1	Define and visualize pathological architectures of human tissues from spatially resolved
2	transcriptomics using deep learning
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28	Abstract
29	Spatially resolved transcriptomics provides a new way to define spatial contexts and understand
30	biological functions in complex diseases. Although some computational frameworks can
31	characterize spatial context via various clustering methods, the detailed spatial architectures and
32	functional zonation often cannot be revealed and localized due to the limited capacities of
33	associating spatial information. We present RESEPT, a deep-learning framework for
34	characterizing and visualizing tissue architecture from spatially resolved transcriptomics. Given

35 inputs as gene expression or RNA velocity, RESEPT learns a three-dimensional embedding with 36 a spatial retained graph neural network from the spatial transcriptomics. The embedding is then 37 visualized by mapping as color channels in an RGB image and segmented with a supervised convolutional neural network model. Based on a benchmark of sixteen 10x Genomics Visium 38 39 spatial transcriptomics datasets on the human cortex, RESEPT infers and visualizes the tissue architecture accurately. It is noteworthy that, for the in-house AD samples, RESEPT can localize 40 cortex layers and cell types based on a pre-defined region- or cell-type-specific genes and 41 furthermore provide critical insights into the identification of amyloid-beta plagues in Alzheimer's 42 disease. Interestingly, in a glioblastoma sample analysis, RESEPT distinguishes tumor-enriched, 43 44 non-tumor, and regions of neuropil with infiltrating tumor cells in support of clinical and prognostic 45 cancer applications.

46

47 Introduction

48 Tissue architecture is the biological foundation of spatial heterogeneity within complex organs like the human brain¹ and is thereby essential in understanding the underlying pathogenesis of human 49 diseases, including cancer² and Alzheimer's disease (AD)³. Recent advances in spatially resolved 50 51 technologies such as 10x Genomics Visium provide spatial context together with high-throughput 52 gene expression for exploring tissue domains, cell types, cell-cell communications, and their biological consequences⁴. Some graph-based clustering methods^{5,6}, statistical methods⁷, or deep 53 learning-based methods^{8,9} can identify spatial architecture and interpret spatial heterogeneity. For 54 example, Seurat¹⁰ and Giotto¹¹ use a similar framework on variable gene selection, dimension 55 reduction, followed by graph-based clustering (i.e., Louvain). STUtility¹² uses non-negative matrix 56 57 factorization to perform dimension reduction and then identifies tissue architecture based on the Seurat framework. SpaGCN⁹ proposes a convolutional graph network to integrate gene 58 59 expression, spatial location, and histology in spatial transcriptomics data analysis. stLearn⁸ also 60 integrates gene expression, spatial location, and histology information in the normalization 61 method and applies the Louvain algorithm as a clustering method. Another approach, BavesSpace⁷ adopts a Bayesian statistical framework to adjust spatial neighborhoods for 62 resolution enhancement and for clustering analysis. Even existing methods can provide some 63 64 useful information, the intrinsic tissue architecture, however, often cannot be fully revealed due to 65 a lack of strong spatial representation for the biological context in tissues, and these tools often do not take full advantage of spatial information and are limited in predicting tissue architectures. 66 67 Therefore, it is still challenging to accurately characterize tissue architectures and the underlying 68 biological functions from spatial transcriptomics.

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70 We reasoned that spatial transcriptomics could be effectively represented and intuitively 71 visualized as an image with expression abundance retaining the spatial context. To this end, we introduce **RESEPT** (*RE*constructing and *Segmenting Expression mapped RGB images based on* 72 73 sPatially resolved Transcriptomics), a framework for reconstructing, visualizing, and segmenting 74 an RGB image from spatial transcriptomics to reveal tissue architecture and spatial heterogeneity. 75 We highlight the unique features of RESEPT as follows: (i) Spatial transcriptomics data are 76 converted as an RGB image by mapping a low dimensional embedding to color channels via a 77 spatial retained graph neural network. This image represents various spatial contexts together 78 with expression abundance faithfully, and it resists robustly to noises due to limitations of 79 measuring technology. (ii) An RGB image is segmented to predict spatial cell types using a pretrained segmentation deep-learning model and an optional segmentation quality assessment 80 protocol. (iii) RNA velocity can be integrated into image training, which is effective in revealing 81 82 some tissue architectures. (iv) With a defined panel of gene sets representing specific biological pathways or cell lineages, RESEPT can recognize the spatial pattern and detect the 83 corresponding active functional regions. (v) The functional zonation boundaries of AD are 84 85 determined effectively by the pre-trained image segmentation deep-learning model. (vi) RESEPT 86 successfully recognized tumor architecture, non-tumor architecture, and infiltration tumor 87 architecture in clinical and prognostic applications on glioblastoma.

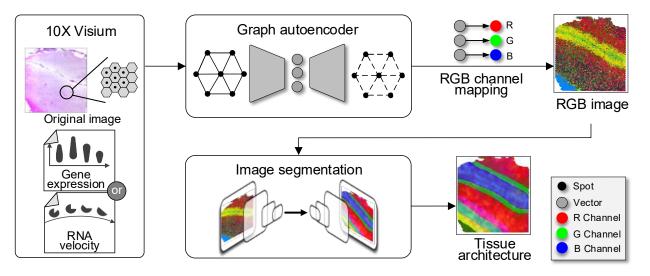
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89 **Results**

90 The architecture of RESEPT comprises representation learning and segmentation

Spatial transcriptomics data are represented as a spatial spot-spot graph by RESEPT (Fig. 1). 91 92 Each observational unit within a tissue sample containing a small number of cells, *i.e.*, "spot," is 93 modeled as a node. The measured gene expression values of the spot are treated as the node 94 attributes, and the neighboring spots adjacent in the Euclidean space on the tissue slice are linked with an undirected edge. This lattice-like spot graph is modeled by our graph neural network 95 (GNN) based tool scGNN¹³, which learns a three-dimensional embedding to preserve the 96 97 topological relationship between all spots in the spatial space of transcriptomics. The three-98 dimensional embedding on gene expression is mapped to three color channels as Red, Green, and Blue in an RGB image, which is naturally visualized as an image of the spatial gene 99 100 expression. Then a semantic segmentation can be performed on the image to identify the spatial

architecture by classifying each spot into a spatially specific segment with a supervisedconvolutional neural network (CNN) model.



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Fig. 1 | The RESEPT schema. RESEPT takes gene expression or RNA velocity from spatial transcriptomics as the input. The input is embedded into a three-dimensional representation by a spatially constrained Graph Autoencoder, then linearly mapped to an RGB color spectrum to reconstruct an RGB image. A CNN image segmentation model is trained to obtain a spatially specific architecture (from whole-gene embedding) or spatial functional regions (from panelgene embedding).

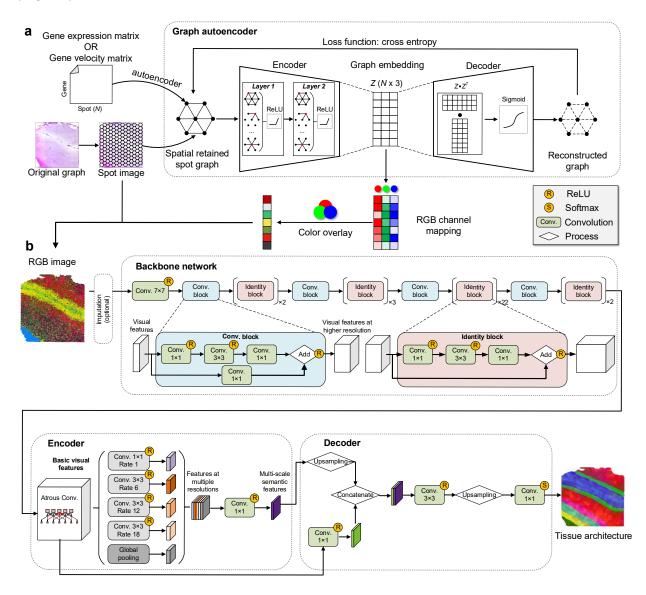
In the 10x Visium Genome platform, each spot has six adjacent spots, so the spatial retained spot 109 110 graph has a fixed node degree six for all the nodes. On the generated spatial spot-spot graph, a graph autoencoder learns a node-wise three-dimensional representation to preserve topological 111 relations in the graph. The encoder of the graph autoencoder composes two layers of graph 112 113 convolution network (GCN) to learn the 3-dimensional graph embedding. The decoder of the 114 graph autoencoder is defined as an inner product between the graph embedding, followed by sigmoid activation function. The goal of graph autoencoder learning is to minimize the difference 115 116 between the input and the reconstructed graph (Fig. 2a).

The segmentation architecture is comprised of a backbone network, an encoder module, and a 117 118 decoder module. The backbone network employs an extra deep network ResNet101¹⁴ to provide basic visual features of the input RGB image. ResNet101 stacks one convolutional layer and 33 119 residual blocks, each of which cascades 3 convolutional layers with a convolutional skip 120 121 connection from the input signals to the output feature maps, for extracting sufficiently rich features. The encoder module utilizes atrous convolutional layers with various rates and sizes of 122 123 filters and one global pooling laver respectively to detect multi-scale semantic features from 124 ResNet101 feature maps. And the decoder module aligns the multi-scale features to the same

size and outputs a segmentation map classifying each spot into a specific spatial architecture.

126 (**Fig. 2b**)

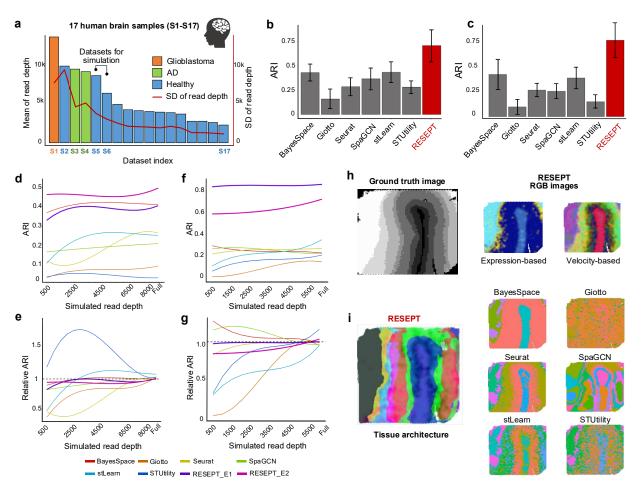
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128 Fig. 2 |The RESEPT framework. (a) A spatial retained spot graph is established by spatial distances of spots and their 129 expression or velocity matrix. The graph autoencoder takes the adjacent distance matrix of the spot graph as the input. 130 Its encoder learns a 3-dimensional embedding of a spatial cell graph. The decoder reconstructs the adjacent 131 correlations among all cells by dot products of the 3-dimensional embeddings followed by a sigmoid activation function. 132 The graph autoencoder is trained by minimizing the cross-entropy loss between the input spatial and the reconstructed 133 graphs. The learned 3-dimensional embeddings are mapped to a full-color spectrum to generate an RGB image 134 revealing the spatial architecture. (b) The segmentation model takes the RGB image as the input, which may be 135 processed with an imputation operation if missing spots exist. Its backbone network ResNet101 consists of one 136 convolutional layer and a series of residual blocks, in which one type of residual block named convolutional block stacks 137 3 convolutional layers with a convolutional skip connection from the input signals to the output feature maps, and the 138 other type of residual block identity block stacks 3 convolutional layers with a direct skip connection from the input

signals to the output feature maps. This extra deep network firstly extracts rich visual features of the input image. The encoder module further extracts multi-scale semantic features by applying four atrous convolutional with different rates and sizes of filters and one global pooling layer respectively to the basic visual feature maps. And the decoder module up-samples the multi-scale features to the same size with basic visual feature maps and then concatenates them together. After a softmax activation function, the decoder module outputs a segmentation map classifying each spot into a specific spatial architecture.

145 RESEPT accurately characterizes the spatial architecture of the human brain cortex region. Using manual annotations as the ground truth on 12 published samples¹⁵ and four in-house 146 147 samples¹⁶ sequenced on the 10x Genomics Visium platform, RESEPT was benchmarked on both 148 raw and normalized expression matrices of the 16 samples (S2-S17 in Fig. 3a and Table 1). Our results demonstrate RESEPT outperforms six existing tools, namely Seurat¹⁰. BayesSpace⁷. 149 SpaGCN⁹, stLearn⁸, STUtility¹², and Giotto¹¹ on tissue architecture identification in terms of 150 Adjusted Rand Index (ARI) 0.706 ± 0.163 (Fig. 3b) based on tuned parameters (Supplementary 151 152 Data 1). Additional benchmarking results in default parameter settings with different evaluation matrices, visualization of RESEPT outcome, running time, and memory usage can be referred to 153 Fig. 3c, Supplementary Fig. 1, and Supplementary Data 2-3. To validate the stability of our 154 model, we generated simulation data with gradient decreasing sequencing depth based on two 155 selected datasets S5 and S6 (Fig. 3a). The RGB images at low read depth presented more intra-156 regional diversity in their color distributions (Supplementary Fig. 2 and Supplementary Data 4). 157 In the downsampling read depth gradients from very low depth to full depth, RESEPT 158 demonstrated its robustness by ARI 0.454 ± 0.014 on S5, and ARI 0.809 ± 0.006 on S6 (Fig. 3d-159 160 g). It is noteworthy that RGB images generated from RNA velocity^{17,18} can reveal clear spatial 161 separation between segments from the identified architecture on the AD sample S4 (Moran's I 0.920 vs 0.787), which is consistent with the brain development zonation (Fig. 3h). On the same 162 sample, RESEPT reveals better tissue architecture than the other tools in ARI 0.409 (Fig. 3i). 163 164 More visualization results from different normalization methods can be referred to 165 Supplementary Fig. 1 and Supplementary Data 5. All the data used in the study are summarized in **Supplementary Table 1**, while datasets on 10x Genomics, Spatial 166 167 Transcriptomics (ST), and High-Definition Spatial Transcriptomics (HDST) platforms without 168 manual annotations were analyzed by RESEPT detailed in Supplementary Fig. 3.



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170 Fig. 3 | The RESEPT workflow and performance. (a) show mean and standard deviation of sequencing reads of 17 171 human brain datasets on 10x Visium platform. S2-S17 have manual annotations as the benchmark, S5 & S6 for 172 simulation for high mean and low standard deviations of read depth, S1 & S4 for the case studies (more details in 173 Supplementary Tables 1-2). (b) Performance of tissue architecture (with 7 clusters pre-defined) identification by six 174 existing tools and RESEPT on criteria ARI. (c) Performance of tissue architecture (default parameters) identification by 175 six existing tools and RESEPT on criteria ARI. (d) Stability of tissue architecture identification across sequencing 176 depths on samples S5 using different tools. The Y-axis shows ARI performance, and the X-axis represents the 177 sequencing depth with subsampling. The lines are smoothed by the B-Spline smooth method. (e) Normalized 178 performance vs. sequencing depth on sample S5. Performance of full sequencing depth is set as 1.0. RESEPT E1 179 using scGNN embedding, RESEPT E2 using spaGCN embedding. (f) and (g) show the stability of ARI and normalized 180 performance against grid sequencing depth for sample S6. (h) RGB image generated from RNA velocity reveals better 181 architecture (Moran's I = 0.920) than gene expression (Moran's I = 0.787) on the AD sample S4. (i) Spatial domains on 182 S4 detected by RESEPT, together with those identified by other tools.

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184 RESEPT benefits from the representation power of the learned embedding from the spatially 185 constrained GNN comparing with spaGCN and UMAP (**Supplementary Figs. 4-5**). The 186 sufficiently diverse training images (**Supplementary Fig. 6**) and fine-gained visual features

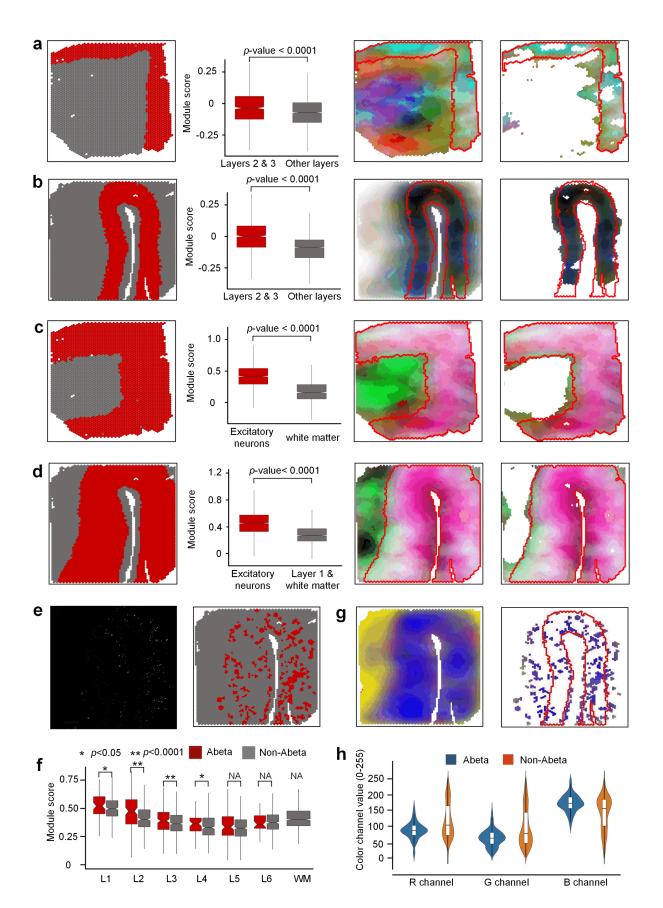
extracted from the extra deep CNN network also give strong discerning power to our segmentation model. We also validated the performance improvement with an increasing number of annotated training data (**Supplementary Fig. 7**). This improvement implied that as more annotated spatial transcriptomic data comes out, RESEPT will enhance its robustness accordingly.

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193 RESEPT interprets and discovers spatially related biological insights in AD

194 With our in-house AD brain samples¹⁶, human postmortem middle temporal gyrus (MTG) from an 195 AD case (Sample S4) was spatially profiled on the 10x Visium platform, and RESEPT successfully identified the main architecture of the MTG comparing with the manual annotation as the ground 196 truth (S3 ARI = 0.474; S4 ARI=0.409). With the RGB image generated from specific gene 197 expression, we distinguish cortical layers 2 & 3 from other layers and identified regions enriched 198 with excitatory neurons and amyloid-beta (A β) plagues. For the AD sample on cortical layers 2 & 199 3 (ground truth¹⁶ as **Fig. 4a-b**), well-defined marker genes (C1QL2, RASGRF2, CARTPT, WFS1, 200 HPCAL1 for layer 2, and CARTPT, MFGE8, PRSS12, SV2C, HPCAL1 for layer 3) from the 201 previous study¹⁹ were embedded and transformed to an RGB image instead of using whole 202 203 transcriptomes (a full gene list in **Supplementary Table 2**). To validate the spatial specificity, 204 module scores from Seurat¹⁰ showed that these marker genes are statistically significantly 205 enriched only on cortex layers 2 & 3 among all the layers (p<0.0001 by Wilcoxon signed-206 rank test). Furthermore, RESEPT visually provided consistent colors for cortical layers 2 & 3. 207 These spatial patterns were strengthened by filtering unrelated colors. More RGB images from 208 other layer-specific marker genes can be found in **Supplementary Fig. 8**. To reveal critical celltype distribution (*i.e.*, excitatory neuron) associated with selective neuronal vulnerability in AD²⁰. 209 five well-defined excitatory neuron marker genes (SLC17A6, SLC17A7, NRGN, CAMK2A, and 210 SATB2) in the cortex were obtained from our in-house database scREAD²¹ (other cell-type marker 211 genes in Supplementary Table 2). The module score and optimized RGB image (Fig. 4c-d) 212 213 showed statistically significant enrichment of excitatory neuron marker genes in cortical layers 2-6 (p<0.0001 by Wilcoxon signed-rank test), and the original and improved RGB image also 214 localized the excitatory neurons (other cell types can be found in Supplementary Fig. 9). 215 216 Moreover, the RGB image can reflect an important AD pathology-associated region, i.e., AB 217 plaques-accumulated region. We conducted an immunofluorescence staining of A β on the adjacent AD brain section (see details in Methods) and identified the brain region with AB 218 219 plaques¹⁶ (**Fig. 4e**). Among the gene module containing 57 A β plaque-induced genes discovered from the previous study², we validated those 20 upregulated genes showed the specific 220

221 enrichment in the A β region compared to the non-A β region in terms of layers 2 & 3 (p<0.0001 by 222 Wilcoxon signed-rank test, **Fig. 4f**). By comparing the color in A β region-associated spots with the RGB image (Fig. 4g), we observed Aβ region-associated spots behaved a consistent color in 223 224 layers 2 & 3. To evaluate RGB value variation quantitatively, we investigated the value range of 225 channels R, G, and B for the Aβ region and non-Aβ region (**Fig. 4h**). The result showed that the 226 A region had a tight dispersion compared to the non-A region, which proved the RGB image can be potentially used to indicate the pathological regions with Aß plaques. Overall, with the 227 evidence of images generated from hallmark panel genes, RESEPT can confidently reflect layer-228 229 specific, cell-type-specific, and pathological region-specific architecture, with well-studied marker genes and disease-associated genes. These results indicate significant potentials and strong 230 applicative power of RESEPT to localize and present important spatial architecture contributing 231 232 to AD pathology.



234 Fig. 4 | RESEPT identifies spatial cellular patterns in the human postmortem middle temporal gyrus (MTG). (a) The 235 box plot shows the module score of the cortical layers 2 and 3 and other layers from Sample S3, where the x-axis 236 shows layer categories and the y-axis represents scores. The second figure shows layer 2 and 3 architecture (red); the 237 third figure shows an RGB image; the fourth figure is reconstructed by filtering out unrelated colors. (b) The box plot 238 shows the module score of excitatory neurons from layers 2 to 6 and other layers. The second figure shows the ground 239 truth of layers 2 to 6; the third figure shows an RGB image; the fourth figure is reconstructed by filtering out unrelated 240 colors. (c) and (d) display the same layer architecture and cell type localization for sample S4. (e) The left figure was 241 generated by immunofluorescence assay to show A^β plaques location, and the right figure highlights the spots with the 242 accumulation of AB plagues. (f) The box plot shows scores for the AB region and the non-AB region split by six layers 243 and white matter. (g) The left figure shows the RGB image from the 20 genes embedding results, and the right figure 244 shows the RGB image cropped according to the A β region and marked by layers 2&3 (encircled by the red line). (h) 245 RGB channel shows the color value dispersion, where blue represents RGB values in the Aß region and orange 246 represents RGB values in the non-Aβ region.

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248 The clinical and prognostic applications of RESEPT in cancer.

249 To demonstrate the clinical and prognostic applications of RESEPT in the oncology field, we 250 analyzed a glioblastoma dataset published by 10x Genomics using the Visium platform (Fig. 5a, 251 Sample S1). Glioblastoma, a grade IV astrocytic tumor with a median overall survival of 15 252 months²², is characterized by heterogeneity in tissue morphologies which range from highly dense tumor cellularity with necrosis to other areas with single tumor cell permeation throughout the 253 254 neuropil. Assessment of tissue architecture represents a key diagnostic tool for patient prognosis and diagnosis. RESEPT identified eight segments (Fig. 5b-c, Supplementary Fig. 10) and 255 distinguished tumor-enriched, non-tumor, and regions of neuropil with infiltrating glioblastoma 256 cells. These segmented areas show similarities to secondary structures of Scherer²³. Based on 257 the morphological features of Segment 3 in the Hematoxylin-Eosin (H&E) image (Fig. 5c), we 258 259 observed cells with large cytoplasm and nuclei with prominent nucleoli, a morphology consistent 260 with cortical pyramidal neurons, and many tumor cells located in this segment showing neuronal satellitosis. Differentially expressed gene (DEG) analysis demonstrated that a pre-defined 261 glioblastoma marker CHI3L1^{24,25} was highly expressed in most of the spots in Segment 3 (Fig. 262 263 5d, differentially expressed gene of each segment can be found Supplementary Data 6). By exploring the H&E image of Segment 6, we found this prominent area of the segment with 264 265 erythrocytes, likely representing an area of acute hemorrhage during the surgical biopsy. This 266 morphological observation was in line with the GO enrichment analysis, where DEGs were enriched in blood functionality pathways (Fig. 5e). Most interestingly, from the morphological 267 268 features of Segment 7, we observed that this segment belongs to infiltrating glioblastoma cells characterized by elongate nuclei admixed with non-neoplastic brain cells. Glioblastoma cells 269

showing elongated nuclei are characteristic of invasion along white matter tracts²³. Comparing DEGs with pre-defined infiltrating markers²⁶, we found that infiltrating tumor marker genes KCNN3 and CNTN1 were expressed specifically in Segment 7 (**Fig. 5f**). Overall, RESEPT successfully recognized tumor architecture, non-tumor architecture, and infiltration tumor architecture. This tool augments the morphological evaluation of glioblastoma by enabling an improved understanding of glioblastoma heterogeneity. This objective characterization of the heterogeneity will ultimately improve oncological treatment planning for patients.

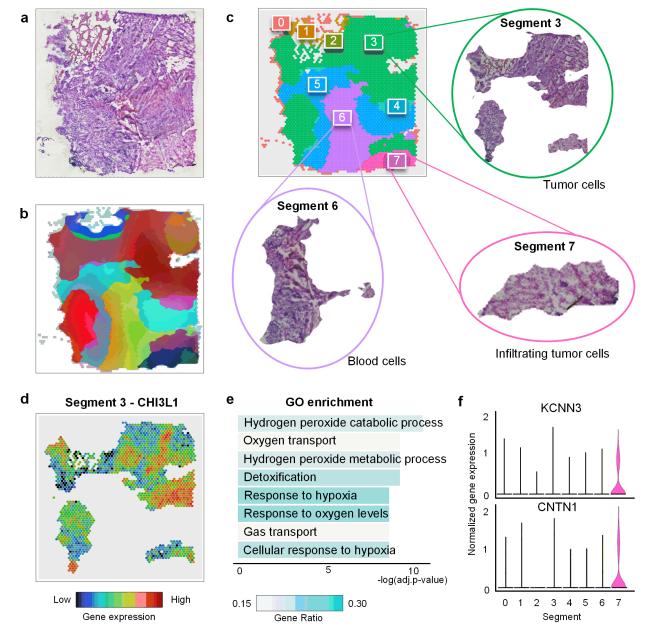


Fig. 5 | RESEPT identifies tumor regions in glioblastoma samples (Sample S1). (a) Original H&E staining image from

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the 10x Genomics. (b) RGB image generated from the RESEPT pipeline. (c) Labeled segmentation by RESEPT and

Segments 3, 6, and 7 are cropped according to the segmentation result. Based on morphological features, our physiologist found Segment 3 contains large tumors from morphological features; Segment 6 contains a large number of blood cells; Segment 7 contains infiltrating tumor cells. (d) Glioblastoma marker gene CHI3L1 is highly and broadly expressed in Segment 3 based on the logCPM normalization value. (e) Bar plot shows the results of GO enrichment analysis, indicating Segment 6 having a large proportion of blood cells with blood signature genes for gas transport. (f) Infiltrating glioblastoma signature marker genes KCNN3 and CNTN1 are highly expressed in Segment 7 based on the logCPM normalization.

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288 Conclusion and Discussion

Our results show RESEPT is a robust and high-performance tool, for spatial transcriptomics data analysis, visualization, and interpretation. Powered by representation learning with graph neural networks in a spatial spot-spot graph model, the spatial transcriptomics is visualized as an RGB image. RESEPT formulates the problem as image segmentation and uses a deep-learning model to detect the tissue architecture. It has the potential to provide specific spatial architectures in broader applications, including neuroscience, immuno-oncology, and developmental biology.

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RESEPT allows taking one of the two types of input, gene expression or RNA velocity. An RGB image generated from RNA velocity may have a different biological meaning from gene expression but is appropriate for some contexts, such as well-differentiated architectures in the spatial slice. For example, our study suggests well-structured brain cortical datasets like AD samples may have better performance in RNA velocity as input than gene expression. We will investigate the guideline on how and when to choose RNA velocity as the input instead of gene expression.

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Besides RGB channels as the default setting, RESEPT can be adjusted to most mixing color pallets in graphic design, such as CMYK (Cyan, Magenta, Yellow, and blacK), HSV (Hue, Saturation, and Value), and hexadecimal colors. These alternative color systems may provide a broader color spectrum and enough variation in hue and brightness to present complex embedding. With these styles of visualization layouts as options, tissue architectures might be more accessible and distinguishable in some cases.

310

In the future, RESEPT will expand the methodology from lattice-based sequencing technologies
 including 10x and ST platform to fluorescence *in situ* hybridization (FISH) technologies, such as
 seqFISH and multiplexed error-robust FISH. With the availability of spatial multi-omics, RESEPT

will also integrate other modals of information as histology image pixels together with the spatialcoordinates and gene expression.

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Meanwhile, RESEPT will be colorblind accessible with a 'colorblind safe' mode in visualization, in which all output images will be replaced with predefined color-blind palettes to avoid problematic color combinations. For different types of color blindness, RESEPT will offer corresponding narrow-down palettes accordingly. In addition, different patterns/labels instead of colors can be mapped in the image to distinguish among clusters.

322

323 Methods

324 1. RESEPT pipeline

RESEPT is implemented in two major steps: (i) reconstruction of an RGB image of spots using gene expression or RNA velocity from spatial transcriptomics sequencing data; (ii) implementation of a pre-trained image segmentation deep-learning model to recognize the boundary of specific spatial domains and to perform functional zonation. **Fig. 1** and **Fig. 2** demonstrate the pipeline with conceptual description and technical details, respectively.

330

331 1.1 Construct RGB image for spatial transcriptomics

332 An RGB image is constructed to reveal the spatial architecture of a tissue slice using threedimensional embedding as the primary color channels. Besides gene expression, RESEPT can 333 accept RNA velocity¹⁷ as the input. RNA velocity unveils the dynamics of RNA expression at a 334 given time by distinguishing the ratio of unspliced and spliced mRNAs, reflecting the kinetics and 335 336 potential influences of transcriptional regulations in the present to the future cell state. The original BAM file of human studies is often unavailable to public users due to ethical reasons, and hence, 337 in most cases, we only refer to expression-derived RGB images in our study. The scGNN¹³ 338 339 package is used to generate spatial embeddings for each spot based on the pre-processed 340 expression matrix or RNA velocity matrix along with the corresponding meta-data. In practice, 341 RESEPT can adapt any type of low dimensional representations, such as embedding from UMAP 342 and spaGCN⁹. On benchmarks, scGNN embedding obtained better results in most cases, so 343 RESEPT uses scGNN in default (Supplementary Fig. 8).

Dimensional Reduction. After log-transformed and normalized library size by CPM, the spatial transcriptome expression or raw RNA velocity as the input is dimensionally reduced by learning a low dimensional embedding through an autoencoder. Both the encoder and the decoder consist

347 of two symmetrically stacked layers of dense networks followed by the ReLU activation function. 348 The encoder learns embedding X' from the input matrix X, and the encoder reconstructs the matrix \hat{X} from the X, where X can be either gene expression or RNA velocity. Thus, $X, \hat{X} \in \mathbb{R}^{N \times M}$ 349 and $X' \in \mathbb{R}^{N \times M'}$, where M is the number of input genes from the spatial transcriptome, M' is the 350 dimension of the learned embedding, and M' < M. N is the number of spots of the spatial slide. 351 The objective of the training is to achieve a maximum similarity between the original and 352 reconstructed matrices through minimizing the mean squared error (**MSE**) $\sum (X - \hat{X})^2$ as the loss 353 function. Positional encoding²⁷ using Euclidean distance between spots on the tissue slice is also 354 355 incorporated in reconstructing the input matrix.

356 Generating Spatial retained Spot Graph. The cell graph is a powerful mathematical model to 357 formulate cell-cell relationships based on similarities between cells. In single-cell RNA sequencing (scRNA-seq) data without spatial information, the classical K-Nearest-Neighbor (KNN) graph is 358 359 widely applied to construct such a cell-cell similarity network in which nodes are individual cells, and the edges are relationships between cells in the gene expression space. With the availability 360 of spatial information in spots as the unit of observation arranged on the tissue slice, our in-house 361 tool scGNN adopts spatial relation in Euclidean distance as the intrinsic edge in a spot-spot graph. 362 363 Each spot in the spatial transcriptomics data contains one or more cells, and the captured expression or the calculated RNA velocity is the summarization of these cells within the spot. Only 364 directly adjacent spots in contact in the 2D spatial plane have edges between them, and hence, 365 366 the lattice of the spatial spots comprises the spatial spot graph. For the generated spot graph G =(V, E), N = |V| denoting the number of spots and E representing the edges connecting with 367 368 adjacent neighbors. A is its adjacency matrix and D is its degree matrix, *i.e.*, the diagonal matrix of number of edges attached to each node. The node feature matrix is the learned embedding X'369 from the dimensional reduction autoencoder. In the 10x Visium platform, each spot has six 370 adjacent spots, so the spatial retained spot graph has a fixed node degree of six for all the nodes. 371 Similar to the KNN graph derived from scRNA-seq, each node in the graph contains M' attributes. 372

373 *Graph autoencoder.* Given the generated spatial spot-spot graph, a graph autoencoder learns a 374 node-wise three-dimensional representation to preserve topological relations in the graph. The 375 encoder of the graph autoencoder composes two layers of graph convolution network (GCN) to 376 learn the low dimensional graph embedding *Z* in *Eq.* (1).

377
$$Z = GCN(GCN(X', A), A)$$
$$GCN(X', A) = ReLU(\tilde{A}X'W)$$
(1)

where $\tilde{A} = D^{-1/2}AD^{-1/2}$ is the symmetrically normalized adjacency matrix and *W* is a weight matrix learned from the training. The output dimensions of the first and second layers are set as 32 and 3, according to the 3 color channels as RGB, respectively. The learning rate is set at 0.001.

The decoder of the graph autoencoder is defined as an inner product between the graph embedding *Z*, followed by a sigmoid activation function:

$$\hat{A} = sigmoid(ZZ^T) \tag{2}$$

385 where \hat{A} is the reconstructed adjacency matrix of A.

The goal of graph autoencoder learning is to minimize the cross-entropy *L* between the input adjacency matrix *A* and the reconstructed matrix \hat{A} .

388
$$L(A, \hat{A}) = -\frac{1}{N \times N} \sum_{i=1}^{N} \sum_{j=1}^{N} (a_{ij} * log(\hat{a}_{ij}) + (1 - a_{ij}) * log(1 - \hat{a}_{ij}))$$
(3)

where a_{ij} and \hat{a}_{ij} are the elements of adjacency matrix A and $\hat{A}, 1 \le i \le N, 1 \le j \le N$. As there are N nodes as the number of spots in the slide, $N \times N$ is the total number of elements in the adjacency matrix.

392 *Reconstruct RGB Image.* The learned embedding $Z \in \mathbb{R}^{N \times 3}$ is capable of representing and 393 preserving the underlying relationships in the modeled graph from spatial transcriptomics data. 394 Meanwhile, the three-dimensional embedding can also be intuitively mapped to Red, Green, and 395 Blue channels in the RGB space of the image. Normalized to an RGB color space accordingly to 396 a full-color spectrum (pixel range from 0 to 255) as Eq. (4), the embedding of each spot is 397 assigned a unique color for exhibiting the expression or velocity pattern in space.

398
$$y_{i,j} = 255 \times \frac{Z_{i,j} - Z_{min}}{Z_{max} - Z_{min}}$$
 (4)

where $y \in \mathbb{R}^{N \times 3}$ and $y_{i,j}$ is its transformed color of the *i*-th spot in the *j*-th channel, $1 \le i \le N, j \in$ 399 $\{R, G, B\}$. Z_{max} and Z_{min} represent the maximum and minimum of all embedding values in the 400 401 RGB channels, respectively. With their coordinates and diameters at the full resolution provided 402 from 10x Visium, we are able to plot all spots with their synthetic colors on a white drawing panel and reconstruct a full-size RGB image explicitly describing the spatial expression or velocity 403 404 properties in the original spatial coordinate system. For the spatial transcriptomic data sequenced 405 in lattice from other techniques as ST platform, RESEPT allows users to specify a diameter to capture appropriate relations between spots in the RGB image accordingly. 406

407 1.2 **RGB image segmentation model**

The RGB image makes the single-cell spatial architecture perceptible in human vision. With the constructed image, we treat the potential functional zonation partition as a semantic segmentation problem, which automatically classifies each pixel of the image into a spatially specific segment.

- Such predictive segments reveal the functional zonation of spatial architecture.
- 412

Image segmentation model architecture. We trained an image-segmentation model based on a
 deep architecture DeepLabv3+ ^{28,29}, which includes a backbone network, an encoder module, and
 a decoder module (Fig. 2).

Backbone network. The backbone network provides dense visual feature maps for the following 416 semantic extraction by any deep convolutional network. Here, ResNet-101³⁰ is selected as the 417 underlying model for the backbone network, which consists of a convolutional layer with 64-418 channels in 7×7 size of filters and 33 residual blocks, each of which stacks one convolutional 419 420 layer with multi-channel (including 64, 128, 256, and 512) in 3×3 size of filters and two convolutional layers with multi-channel (including 64, 128, 256, 512, 1024 and 2048) 1×1 size of 421 422 filters. The generated RGB image is mapped into a c-channel feature map by the first 423 convolutional layer and gradually fed into the following residual blocks to produce rich visual 424 feature maps for describing the image from different perspectives. Here, c equals 64. In each residual block, the feature map generated from the previous block $v \in \mathbb{R}^{N \times 3}$ is updated to $\hat{v} \in$ 425 $\mathbb{R}^{N \times c}$ in Eq. (5). 426

427
$$\hat{y} = \begin{cases} F(y, W_i) + y & i = 1, 4, 8, 31\\ F(y, W_i) + y W_{1 \times 1} & otherwise \end{cases}$$
(5)

428 where

429 • F(*) is the activation function, and we use ReLU ³¹ in this study.

430 • W_i represents the learning convolutional weights in the *i*th block, $1 \le i \le 33$.

431 • $W_{1\times 1}$ represents the learning weights of the convolutional layer with 1×1 kernel size.

Element-wise addition operation F + y in Eq. (5) enables a direct shortcut to avoid the vanishing gradient problem in this deep network. In the 1st, 4th, 8th, and 31st blocks of the 33 residual blocks, their input and output dimensions do not match up due to different filter settings from their previous layers. Accordingly, the projection shortcut with an additional 1×1 convolution in Eq. (5) is used to align dimensions in these blocks, which are also named identity blocks. The rest blocks stacked on the previous blocks with the same filter settings employ a direct shortcut. We leveraged

ResNet-101 as a basic visual feature provider and sent the most informative feature maps fromthe last convolutional layer before logits to the following encoder module.

440 *Encoder module.* The aim of the encoder module is to capture multi-scale contextual information 441 based on the dense visual feature maps from the backbone. To achieve the multi-scale analysis, 442 atrous convolution²⁸ is adopted in the encoder to extend the size of the respective field. For the 443 generated RGB image with width *m* and length *n*, the total number of spots $N = m \times n$. Given the 444 input signal from Eq. (5) as $y \in \mathbb{R}^{m \times n \times c}$ with a *c*'-channel filter $w \in \mathbb{R}^{K \times K \times c'}$, the output feature 445 signal $y' \in \mathbb{R}^{m \times n \times c'}$ is defined as follows:

446
$$y'^{[i,j]} = \sum_{k=0}^{K} y[i+r \times k, j+r \times k] w[k,k]$$
(6)

447 where

448 • y[i, j] represents the input signal at the location (*i*, *j*) with *c*-channel values. 0 ≤ *i* ≤ *m*, 0 ≤ *j* ≤ *n*. *r* is the stride rate in atrous convolution.

450 ● w[k,k] represents the convolutional weights with *c*'-channel values, $0 \le k \le K$. *K* is the 451 kernel size of the convolutional filter.

452 • y'[i, j] represents the output signal at the location (*i*, *j*) with *c*'-channel values.

Compared to the standard convolution, the atrous convolution samples the input signal y with the 453 454 stride r rather than using direct neighbors inside the convolutional kernel. Therefore, the standard 455 convolution is a special case of atrous convolution with r = 1. By using multiple rate value settings (rate = 1, 6, 12 and 18), we separately apply one standard convolutional layer with 256-channel 456 1×1 size of filters (i.e., the atrous convolutional layer with rate = 1), three atrous convolutional 457 458 layers with 256-channel 3×3 size of filters and an additional average pooling layer to produce 459 high-level multi-scale features. These semantic features are then merged into the decoder 460 module.

461 *Decoder module.* In the decoder, the input high-level features are bilinearly up-sampled and 462 concatenated with the basic visual features for recovering the segment boundaries and spatial 463 dimension. A standard convolutional layer with 256-channel 3×3 size of filters is applied to 464 outweigh the importance of the merged features and obtain sharper segmentation results. 465 Eventually, an additional bilinear up-sampling operation forms the output of the decoder to a 466 $m \times n \times 256$ matrix, where m and n denote the width and height of the input image, respectively. 467 The following convolution layer with d-channel 1×1 size of filters squeezes the feature matrix

along the channel axis to $m \times n \times d$ shape, where *d* is the pre-defined maximum number of categories. The softmax³² function is then applied to generate its predictive segmentation map, which takes a matrix with the same size of the input image recording the segment category of each pixel on it. The pixels falling into a certain category in the segmentation map point to a segmented spatial region. Our modeling objective is to minimize the cross-entropy³³ between the predictive segmentation map \hat{S} and labeled spatial functional regions *S*:

474
$$L(S,\hat{S}) = -\frac{1}{m \times n} \sum_{i=1}^{m} \sum_{j=1}^{n} (s_{ij} * \log(\hat{s}_{ij}) + (1 - s_{ij}) * \log(1 - \hat{s}_{ij}))$$
(7)

where s_{ij} and \hat{s}_{ij} are the segment categories of the pixel at the *i*-th row and the *j*-th column for the input images with $m \times n$ pixels. $s_{ij} \in [1, d], \hat{s}_{ij} \in [1, d]$.

477

Training set data preparation. We performed scGNN using various autoencoder dimensions (M' = 3, 10, 16, 32, 64, 128, and 254) and multiple positional encoding intensity parameters ($PE\alpha$ = 0.1, 0.2, 0.3, 0.5, 1.0, 1.2, 1.5, and 2.0), resulting in 56 embeddings used to generate diverse RGB images for each sample in the training set (see image results on <u>https://github.com/OSU-BMBL/RESEPT</u>). In this study, we performed 16-fold Jackknife crossvalidation, each of which formed all but one observation as the training set. The one sample was left to evaluate the trained model in each fold.

485

Model training. We implemented the training procedure on the MMSegmentation platform³⁴, which 486 487 is an open-source semantic segmentation toolbox based on PyTorch. The weights of DeepLabv3+ were initialized by the pre-trained weights from the Cityscapes dataset provided by 488 489 MMSegmentation. To introduce diversity to the training data and improve the generalization of 490 our model, we applied transforms defined in MMSegmentation, including the random cropping, 491 rotation and photometric distortions, to augment the training RGB images. 400 × 400 sized 492 patches are randomly cropped to provide different regions of interest from the whole RGB images. A random rotation (range from -180 degrees to 180 degrees) was further conducted to fit the 493 potential irregular layout of spatial architectures. Some photometric distortions such as 494 brightness, contrast, hue, and saturation changes were also utilized to training samples when 495 loading to MMSegmentation. Stochastic gradient descent (SGD)³⁵ was chosen as the optimization 496 algorithm, and its learning rate was set to 0.01. The training procedure iterated 30 epochs, and 497 the checkpoint among all epochs with the best Moran's I autocorrelation index³⁶ on the testing 498 499 data was selected as the final model.

500

501 *Image segmentation inference.* Once a model completes training, it is capable of predicting the 502 functional zonation on the tissue from its RGB images. On the inference, RESEPT performs scGNN with the same parameter combinations with the training settings resulting in 56 candidate 503 504 RGB images for each input sample. RESEPT infers all the segmentation maps on these 56 images and scores them using the Moran's I metric (details in Supplementary Fig. 9) to assess 505 506 the quality of segmentations. The segmentation maps of 5-top ranked images in terms of Moran's 507 I are returned for user selection. We found that such a quality assessment protocol results in 508 segmentation results with higher accuracy than the default one and enhances the robustness of 509 RESEPT.

510

511 2 Data analysis

512 **2.1 Experiment preparation, data generation, and processing**

513 Experiment preparation and data generation. Four postmortem human brain samples of the middle temporal gyrus¹⁶ were obtained from the Arizona Study of Aging and Neurodegenerative 514 Disorders/Brain and Body Donation Program at Banner Sun Health Research Institute³⁷ and the 515 New York Brain Bank at Columbia University Medical Center³⁸. Two of them are from non-AD 516 517 cases at Braak stage I-II, namely Samples S2 and S5 in the study, and the other two are from early-stage AD cases at Braak stage III-IV, namely Samples S4 and S3 in the study. The region 518 519 of AD cases was chosen based on the presence of Aβ plaques and neurofibrillary tangles. The 520 10x Genomics Visium Spatial Transcriptome experiment was performed according to the User 521 Guide of 10x Genomics Visium Spatial Gene Expression Reagent Kits (CG00239 Rev D). All the sections were sectioned into 10 µm thick and mounted directly on the Visium Gene Expression 522 (GE) slide for H&E staining and the following cDNA library construction for RNA-Sequencing. 523 524 Besides the section mounted on the GE slide, one of the adjacent sections (20 µm away from GE 525 section) from AD samples persevered for the A β immunofluorescence staining. The method of immunofluorescence staining of A β on persevered section was the same as previously 526 described²⁰. The image of A_β staining was used as the ground truth and was aligned to H&E 527 528 staining on GE slides using the "Transform/Landmark correspondences" plugin in ImageJ³⁹.

529

530 *FASTQ generation, alignment, and count.* BCL files were processed by sample with the 531 SpaceRanger (v.1.2.2) to generate FASTQ files via spaceranger *mkfastq*. The FASTQ file was 532 then aligned and quantified based on the reference GRCh38 Reference-2020-A via spaceranger

count. The functions spaceranger *mkfastq* and spaceranger *count* were used for demultiplexing
 sample and transcriptome alignment via the default parameter settings.

535

536 Table 1: Details of 10x Visium data used in the study. The table lists 17 samples of information. The Sample column indicates the sample number in this study. The Protocol column indicates the revision number of 537 538 two Visium protocols. The Tissue column indicates the sample disease's status. The # of spot column 539 indicates the number of spots. The Mean reads column indicates the number of reads for each spot from 540 the bam file. The Median gene column indicates the median number of detected genes for each spot. The 541 Total reads column indicates the total number of each sample calculated from the expression matrix. The 542 Mean reads column indicates the mean read of each spot from the expression matrix. The SD reads column 543 indicates the standard deviation of each spot calculated from the expression matrix. Abbreviations: 544 Alzheimer's disease (AD), CG000239 -Visium Spatial Gene Expression Reagent Kits- User Guide Rev D, 545 Oct.2020 (Rev D), CG000239 -Visium Spatial Gene Expression Reagent Kits- User Guide Rev A, Nov. 546 2019 (Rev A).

Sample Protocol		Tissue	Web reported			Expression matrix		
			#spot	Mean	Median	Total reads	Mean	SD reads
				reads	gene		reads	
S1	Rev D	Tumor	3,468	11,596	4,326	43,841,318	12,641.670	7,204.035
S2	Rev D	Health brain	4,701	42,484	3,022	43,225,942	9,195.053	8,771.039
S3	Rev D	AD	3,445	36,569	3,722	30,383,719	8,819.657	4,275.528
S4	Rev D	AD	4,832	33,660	3,664	41,180,024	8,522.356	4,789.882
S5	Rev D	health brain	4,225	43,186	3,458	33,815,249	8,003.609	3,527.456
S6	Rev A	health brain	3,672	223,921	2,610	21,699,243	5,907.771	2,848.429
S7	Rev A	Health brain	3,641	82,583	2,113	16,701,265	4,589.520	2,356.537
S8	Rev A	Health brain	4,111	118,826	1,854	16,042,438	3,903.270	1,955.168
S9	Rev A	Health brain	3,459	92,729	1,813	13,391,960	3,870.509	1,931.687
S10	Rev A	Health brain	4,634	58,483	1,344	13,823,583	3,775.904	1,920.972
S11	Rev A	Health brain	4,021	69,839	1,742	14,590,115	3,633.902	1,789.643
S12	Rev A	Health brain	3,592	65,000	1,695	12,923,757	3,597.928	1,988.986
S13	Rev A	Health brain	3,499	65,523	1,607	12,007,005	3,432.534	1,772.158
S14	Rev A	Health brain	4,226	76,928	1,384	10,955,668	2,592.444	1,198.877
S15	Rev A	Health brain	4,787	58,813	1,407	12,243,054	2,556.495	1,250.268
S16	Rev A	Health brain	3,662	91,654	1,736	11,356,262	2,450.639	1,150.815
S17	Rev A	Health brain	4,383	60,244	1,159	9,325,211	2,127.101	1,046.434

547

549 To standardize the raw gene expression matrix and spot metadata, the different spatial 550 transcriptomics data were preprocessed as follows.

551 For the 10x Visium data (**Table 1**), the filtered feature-barcode matrix (HDF5 file) was reshaped into a two-dimensional dense matrix in which rows represent spots and columns represent genes. 552 The dense matrix was further added with spots' spatial coordinates by merging them with the 553 'tissue positions list' file, containing tissue capturing information, row, and column coordinates. 554 The mean color values of the RGB channels for each spot's circumscribed square and annotation 555 556 label were also added to the dense matrix after processing the Hematoxylin-Eosin (H&E) image. The gene expression as part of the dense matrix was stored in a sparse matrix format. Other 557 558 information describing the spots' characteristics was stored as individual metadata.

559 For the HDST data, the expression matrix and spots' coordinates were reshaped into the dense 560 matrix, which was similar to 10x Visium preprocessing. The expression matrices from dense 561 matrices were formed into the individual sparse matrices, and other information was stored as 562 metadata.

For the ST data, the expression matrix was reshaped into the two-dimensional dense matrix, and spots' spatial coordinates were added to the dense matrix by merging with the spot_data_selection file. The color values of each spot were added to the dense matrix after processing the H&E image (if available). The remaining steps were the same as for the 10x Visium data.

568

569 **2.3 Data normalization and denoising**

570 Data normalization. The raw read counts were used as formatted input to generate normalization matrices. Seven normalization methods were used in the study, including DEseq2⁴⁰ (v.1.30.1), 571 572 scran⁴¹ (v.1.18.5), sctransform⁴² (v.0.3.2), edge \mathbb{R}^{43} (v.3.32.1), transcripts per million (TPM), reads per kilobase per million reads (RPKM), and log-transformed counts per million reads⁴⁴ (logCPM). 573 574 We used Seurat (v.4.0.1) to generate the sctransform and the logCPM normalized matrices. edgeR was used to generate TMM⁴³ normalized matrices. The gene length was used for 575 calculating TPM, and RPKM was obtained from biomaRt (v.2.46.3) by using useEnsemble 576 577 function and parameters setting as dataset="hsapiens gene ensembl" and GRCh=38. All normalized matrices for whole transcriptomics were eventually calculated via the following default 578 settings and converted into sparse matrices. RNA velocity was calculated for the whole 579 transcriptomics via velocyto¹⁷ (v.0.17.17) and scVelo¹⁸ (v.0.1) followed by their default settings. 580 RNA velocity matrices were converted into sparse matrices. 581

582

583 *Missing spots imputation.* In practice, several spots may have missing expression in some tissue 584 slices due to imperfect technology, which leads to blank tiles at the locations of these spots on the RGB images. Such blank tiles as incompatible noises may skew the following boundary 585 recognition of spatial architecture. We assume the near neighbors are more likely to have similar 586 587 values to the missing spot and impute these missing spots by applying the weighted average to 588 the pixels of their valid six neighboring spots. Since these missing spots are colored while in 589 default as the same with the background out of tissue, we need to distinguish them from all-white 590 pixels according to a topological structural analysis⁴⁵. Firstly, all contours (including outer contours of tissue and inner contours caused by missing spots) of tissue are detected from the border 591 following procedure⁴⁵. The contour with the largest area is determined as the outer contour of 592 593 tissue. Then, all pixels in white inside the tissue contour are replaced by imputation from their 594 neighbors. Given missing spot coordinates, we search their nearest k valid spots s_i (i = 1, 2,..., k) to calculate the imputation value x_s of target missing spot s as: 595

596
$$x_s = \sum_{i=1}^{\kappa} softmax\left(\frac{1}{dis(s_i, s)}\right) \times s_i$$
(8)

where $dis(s_i, s)$ represents the Euclidean distance between target spot *s* and a certain neighbor *s_i* in spatial space. The softmax function normalizes all distance reciprocals of *s* and its *k* (we set *k*=6 by default) neighbors *s_i* to the weights ranging from 0 to 1. The imputation of *s* is the weighted average on all *s_i*. If a tissue slice is detected without missing spots, RESEPT skips this imputation process.

602

Parameter setting. Parameters in scGNN to generate embedding are referred to in the previous 603 study¹³. In the case study of the AD sample, in analysis on cortical layers 2 & 3, the expressions 604 605 of 8 well-defined marker genes were log-transformed and embedded by spaGCN with 0.65 resolution. In the analyses of cortical layer 2 to layer 6, PCA (n.PCs=3) was firstly utilized to 606 607 extract the principal components of their expressions of marker genes for highlighting the dominant signals, and then they were embedded by spaGCN with 0.65 resolution. In the 608 609 exploration of tumor regions in glioblastoma samples, their marker gene expressions were preprocessed by logCPM normalization and PCA (n.PCs=50). The processed data was 610 611 embedded by spaGCN with 0.35 resolution. In the analyses of AD-associated critical cell types, marker gene expressions were preprocessed by log-transform and PCA (n.PCs=3) as well and 612 613 then embedded by spaGCN with 0.65 resolution. For investigating Aβ pathological regions, logtransform to the expressions of validated 20 upregulated genes was applied, and their embeddingwas generated by spaGCN with 0.65 resolution.

616 **3 Benchmarking evaluation**

All the benchmarking tasks were run on a Red Hat Enterprise Linux 8 system with 13 T storage,
2x AMD EPYC 7H12 64-Core Processor, 1TB RAM 1TB DDR4 3200MHz RAM, and 2x NVIDIA
A100 GPU with 40GB RAM. The usage of the existing tools and their parameter settings in our
benchmarking evaluation were described below.

Seurat (v.4.0.1) identifies tissue architecture based on graph-based clustering algorithms (e.g., 621 622 Louvain algorithm). Creating Seurat object, identification of highly variable features, and scaling 623 of the data was performed using default parameters. The PCs were set to 128 to match our 624 framework default setting. The FindNighbors and FindClusters functions with default parameters were used for tissue architecture identification. To further evaluate the robustness of the 625 626 combination of the different parameters, we used 16 samples and selected three important 627 parameters, including the number of PCs (dims = 10, 32, and 64), the value of k for the *FindNeighbor* function (*k.parm* = 20, 50 and 100), and the resolution in the *FindClusters* function 628 (res = 0.1 to 1, step as 0.1).629

BayesSpace (v.1.0.0) identifies tissue architecture based on the Gaussian mixture model 630 631 clustering and Markov Random Field at an enhanced resolution of spatial transcriptomics data. 632 Creating the SingleCellExperiment object is implemented to the following analysis by loading normalized expression data and position information for barcodes. Then, we set 128 as the 633 number of PCs in spatialPreprocess function and parameter log.normalize was set FALSE due to 634 635 the normalized data input. Lastly, tissue architecture was identified by running *qTune* and 636 spatialCluster functions. We followed the official tutorial and adopted k-means as initial methods while other parameters were from the default based on prior information. In the process of 637 638 assessing the robustness of BayesSpace, we set the cluster number as seven, the parameter *n.PCs* in spatialPreprocess function (*n.PCs* = 10, 64, and 128), and the parameter *nrep* in 639 spatialCluster function (*nrep* = 5000, 10000, and 150000) for 16 samples. 640

541 *SpaGCN (v.0.0.5)* can integrate gene expression, spatial location, and histology to identify spatial 542 domains and spatially variable genes by graph convolutional network. SpaGCN was used to 543 generate 3D embedding and tissue architecture and includes three procedures, including loading 544 data, calculating adjacent matrix, and running SpaGCN. In the first step, both expression data 545 and spatial location information were imported. Second, adjacent matrices were calculated using

default parameters. Lastly, we selected 128 PCs, the initial clustering algorithm as Louvain, and other parameters used default settings. To evaluate the robustness of the parameters and enable comparison with other tools, three parameters, the number of PCs ($num_pcs = 20, 30, 32, 40, 50,$ 60, 64), the value of k for the k-nearest neighbor algorithm ($n_neighbors = 20, 30, and 40$), and the resolution in the Louvain algorithm (res = 0.2, 0.3, and 0.4) for 16 samples were adjusted.

651 stLearn (v.0.3.2) is designed to comprehensively analyze ST data to investigate complex 652 biological processes based on Deep Learning. stLearn highlights innovation to normalize data. Therefore, we input expression data, location information as well as images. stLearn consists of 653 two steps, i.e., preparation and run stSME clustering. In preparation, loading data, filtering, 654 655 normalization, log-transformation, preprocessing for spot image, and feature extraction were 656 implemented. In the following module, PCA dimension reduction was set to 128 PCs, applying 657 stSME to normalize log-transformed data and Louvain clustering on stSME normalized data using 658 the default parameters. To evaluate the robustness of the parameters and enable comparison 659 with other tools, three parameters were considered to be adjusted for 16 samples, the number of 660 PCs (*n* comps = 10, 20, 30, 32, 40, and 50), the value of k for the kNN algorithm (*n* neighbors = 10, 20, 30, 40, and 50), and the resolution in the Louvain algorithm (resolution = 0.7, 0.8, 0.9 and 661 1). 662

663 STUtility (v0.1.0) can be used for the identification of spatial expression patterns alignment of consecutive stacked tissue images and visualizations. We implemented STUtility as a tissue 664 665 architecture tool based on the Seurat framework. RunNMF was carried out as the dimension reduction method. The number of factors was set to 128 for matching our framework default 666 setting. FindNeighbors and FindClusters were used to identify tissue architecture. To further 667 668 evaluate the robustness of the combination of the different parameters, we used 16 samples and 669 selected three important parameters for tuning, including the number of factors (*nfactors* = 10, 32, 670 and 64), the value of k for *FindNeighbor* function (k.parm = 20, 50, 100, 200, and 250), and the 671 resolution in *FindClusters* function (res =0.05, 0.1, 0.2, 0.3, 0.5, and 0.7, 0.9).

Giotto (v.1.0.3) is a comprehensive and multifunction computational tool for spatial data analysis and visualization. We implemented Giotto as the issue architecture identification tool in this study via using default settings. Giotto first identified highly variable genes via calculateHVG function, then performed PCA dimension reduction using 128 PCs, constructed the nearest neighbor network via *createNearestNetwork*, and eventually identified tissue architecture via *doLeidenCluster*. To further evaluate the robustness of the combination of the different parameters, we used 16 samples and selected three important parameters for tuning, including

the number of PCs (npc = 10, 32, and 64), the value of k for *createNearestNetwork* function (k = 20, 50 and 100), and the resolution in *doLeidenCluster* function (*resolution* = 0.1, 0.2, 0.3, 0.4, and 0.5).

Downsampling simulation for read depth. Comparing the mean and standard deviation of 16 10x Visium datasets, samples S5 and S6 were selected to generate simulation data with decreasing sequencing depth. Let matrix *C* be the $N \times M$ expression count matrix, where *N* is the number of spots and *M* is the number of genes. Define the spot-specific sequencing depths $c_i = \sum_{j=1}^{M} C_{ij}$, i.e., the column sums of *C*. Thus, the average sequencing depth of the experiment is $\bar{c} = \frac{\sum_{i=1}^{n} c_i}{N}$.

- 687 Let $t < \overline{c}$ be our target downsampled sequencing depth and let C^* be the $N \times M$ downsampled 688 matrix. We perform the downsampling as follows:
- 689 For each spot i = 1, ..., N:
- 690 1) Define the total counts to be sampled in the spot *i* as $t_i = \frac{t \times c_i}{c}$.
- 691 2) Construct the character vector of genes to be sampled as $G_i = \begin{cases} \underbrace{1, \dots, 1}_{C_{i1}}, \underbrace{2, \dots, 2}_{C_{i2}}, \dots, \underbrace{M, \dots, M}_{C_{iM}} \end{cases}$.

693 3) Sample t_i elements from G_i without replacement and define N_j as the number of times 694 gene *j* was sampled from G_i for j = 1, ..., M.

695 4) Let
$$C_{ij}^* = N_j$$
.

696 Using this method, the average downsampled sequencing depth is:

697
$$\overline{C}^* = \frac{\frac{t}{\overline{c}}c_1 + \frac{t}{\overline{c}}c_2 + \dots + \frac{t}{\overline{c}}c_n}{N} = \frac{\frac{t}{\overline{c}}\sum_{i=1}^N c_i}{N} = \frac{t}{\overline{c}} \times \overline{c} = t$$

as desired. Note also that this method preserves the relative total counts of each spot, i.e., spots
that had higher sequencing depths in the original matrix have proportionally higher depths in the
downsampled matrix.

701

702 4 Evaluation metrics

703 4.1 Benchmark performance evaluation criteria

Adjusted Rand Index (ARI), Rand index (RI), Fowlkes–Mallows index (FM), and Adjusted mutual information (AMI) are used to evaluate the performances between the ground truth and predicted results.

707

Adjusted Rand index (ARI) measures the agreement between two partitions. Given a set *S* consisting of *n* elements, $\mathcal{F}_1 = \{X_1, X_2, ..., X_r\}$ and $\mathcal{F}_2 = \{Y_1, Y_2, ..., Y_s\}$ are two partitions of *S*; that is, $S = \bigcup_i X_i$ and $X_i \cap X_j = \emptyset$, so does \mathcal{F}_2 . X_i can be interpreted as a cluster generated by some clustering method. In this way, ARI can be described as follow:

712
$$ARI = \frac{\sum_{ij} \binom{n_{ij}}{2} - [\sum_{i} \binom{a_{i}}{2} \sum_{j} \binom{b_{j}}{2}] / \binom{n}{2}}{\frac{1}{2} [\sum_{i} \binom{a_{i}}{2} + \sum_{j} \binom{b_{j}}{2}] - [\sum_{i} \binom{a_{i}}{2} \sum_{j} \binom{b_{j}}{2}] / \binom{n}{2}}$$
(9)

where $n_{ij} = X_i \cap Y_j$, denotes the number of objects in common between X_i and Y_j ; $a_i = \sum_j n_{ij}$ and $b_j = \sum_i n_{ij}$. Besides, $ARI \in [-1, 1]$, the higher ARI reflects the higher consistency. The bs function of the splines package (v.4.0.3) was used for smoothing ARI generated from grid effective sequencing depth data via default settings.

Rand index (RI) is also a measure of the similarity between two data clustering results. If the ground truth is available, the *R* can be used to evaluate the performance of one cluster method by calculating *R* between the clustering produced by this method and the ground truth. Let *S* be a set containing *n* elements, which represents *n* barcodes in this paper, and two partitions of *S*, $\mathcal{F}_1 = \{X_1, X_2, ..., X_r\}, \mathcal{F}_2 = \{Y_1, Y_2, ..., Y_s\}$; that is, $S = \bigcup_i X_i$ and $X_i \cap X_j = \emptyset$; so does \mathcal{F}_2 . X_i and Y_j are the subset of *S*, representing one cluster produced by some clustering method and the ground truth, respectively. *R* can be computed using the following formula:

724

$$RI = \frac{a+b}{a+b+c+d} = \frac{a+b}{\binom{n}{2}} \tag{10}$$

725 where:

- *a*, *b*, *c*, *d* denote the number of pairs of elements in *S* in the same subset in \mathcal{F}_1 and in the same subset in \mathcal{F}_2 , in different subsets in \mathcal{F}_1 and in different subsets in \mathcal{F}_2 , in the same subset in \mathcal{F}_1 and in different subsets in \mathcal{F}_2 , and in different subsets in \mathcal{F}_1 and in the same subset in \mathcal{F}_2 , respectively.
- $\binom{n}{2}$ is the binomial coefficient. In addition, the range of *RI* is [0,1], and the higher *RI*, the higher similarity of two partitions is.
- 732

The Fowlkes–Mallows index (FM) is an external evaluation method, which can measure the results' consistency of two cluster algorithms. Not only can FM be implemented on two hierarchical clusterings, but also the clusters and the benchmark classifications. For the set S of n objects, A_1 and A_2 denote two clustering results (generated by two cluster algorithms or one for

cluster algorithm, one for the ground truth). In this paper, A_1 is produced by a clustering algorithm while the ground truth contributes A_2 . If the clustering algorithm performs well, then A_1 and A_2 should be as similar as possible. The calculation of FM can be described as:

740
$$FM = \sqrt{PPV \cdot TPR} = \sqrt{\frac{TP}{TP + FP} \cdot \frac{TP}{TP + FN}}$$
(11)

741 where

- TP is the number of true positives, representing the number of pair objects that are present in the same cluster in both A_1 and A_2 .
- FP is the number of false positives, representing the number of pair objects that are present in the same cluster in A₁ but not in A₂.
- TN is the number of false negatives, representing the number of pair objects that are present in the same cluster in A_2 but not in A_1 .
- PPV is so-called precision while *TPR* refers to recall. In addition, $FM \in [0, 1]$. Therefore, in our cases, the closer it is to 1, the better the clustering algorithm will be.
- 750

Adjusted mutual information (AMI) is driven from probability theory and information theory and can be used for comparing clustering results. To introduce adjusted mutual information, the preliminary is necessary to present two conceptions mutual information (MI) and entropy. Given a set $S = \{s_1, s_2, ..., s_n\}$, $\mathcal{F}_1 = \{X_1, X_2, ..., X_r\}$ and $\mathcal{F}_2 = \{Y_1, Y_2, ..., Y_s\}$ are two partitions of *S*, that is, $S = \bigcup_i X_i$ and $X_i \cap X_j = \emptyset$, so does \mathcal{F}_2 . MI between partition \mathcal{F}_1 and \mathcal{F}_2 is defined as:

756
$$MI((\mathcal{F}_1, \mathcal{F}_2) = \sum_{i=1}^r \sum_{j=1}^s P_{\mathcal{F}_1 \mathcal{F}_2}(i, j) \log P_{\mathcal{F}_1 \mathcal{F}_2}(i, j)$$
(12)

758
$$P_{\mathcal{F}_1\mathcal{F}_2}(i,j) = \frac{|X_i \cap Y_j|}{n}$$

759

measures the probability of one object belonging to X_i and Y_j simultaneously.

The entropy associated with the partitioning \mathcal{F}_1 is defined as:

762
$$H(\mathcal{F}_1) = -\sum_{i=1}^{n} P_{\mathcal{F}_1}(i) \log P_{\mathcal{F}_1}(i), \qquad P_{\mathcal{F}_1}(i) = \frac{X_i}{n}$$
(13)

763 where

• $P_{\mathcal{F}_1}(i)$ refers to the probability that the object falls into the cluster X_i .

• $H(\mathcal{F}_2)$ and $P_{\mathcal{F}_2}(j)$ have analogous definitions.

The following formula shows the expected mutual information between two random clustering results:

768
$$E\{MI(\mathcal{F}_1, \mathcal{F}_2)\} = \sum_{i=1}^r \sum_{j=1}^s \sum_{n_{ij}=(a_i+b_j-n)^+}^{\min(a_i, b_j)} \frac{n_{ij}}{n} \log\left(\frac{nn_{ij}}{a_i b_j}\right) \times$$

769
$$\frac{a_i! b_j! (n - a_i)! (n - b_j)!}{n! n_{ij}! (a_i - n_{ij})! (b_j - n_{ij})! (n - a_i - b_j - n_{ij})}$$
(14)

where $(a_i + b_j - n)^+ = max(1, a_i + b_j - n); a_i = \sum_j n_{ij}$ and $b_j = \sum_i n_{ij}, n_{ij} = X_i \cap Y_j$, represents the number of objects in common between X_i and Y_j . Finally, AMI can be obtained by

772
$$AMI(\mathcal{F}_{1},\mathcal{F}_{2}) = \frac{MI(\mathcal{F}_{1},\mathcal{F}_{2}) - E\{MI(\mathcal{F}_{1},\mathcal{F}_{2})\}}{max(H(\mathcal{F}_{1}),H(\mathcal{F}_{2})) - E\{MI(\mathcal{F}_{1},\mathcal{F}_{2})\}}$$
(15)

It should be pointed out that $AMI \in [0, 1]$, the similarity between the two clusterings increases with the augment of AMI.

775

776 **4.2 RGB image and 3D embedding evaluation**

We modified the metric peak signal-to-noise ratio $(PSNR)^{46}$, whose original version is commonly used to measure the reconstruction loss of image compression, to assess the similarity between the color distribution of an RGB image and its corresponding labeled segmentation map. We reused its basic concept to calculate the PSNR from each labeled segment, and then applied weighted sum to the PSNRs from all *p* segments according to their area:

782
$$PSNR = \frac{\sum_{i=1}^{p} PSNR_i \times a_i}{\sum_{i=1}^{p} a_i} = \frac{\sum_{i=1}^{p} 10 \log_{10} \left(\frac{MAX_i^2}{MSE_i}\right) \times a_i}{\sum_{i=1}^{p} a_i}$$
(16)

783 where:

• a_i is the number of pixels located in the *i*th segment, $1 \le i \le p$

• MAX_i is the maximum pixel-value of the *i*th segment, $0 \le MAX \le 255$

• MSE_i is the pixel-wise mean squared error of the *i*th segment.

787 The larger PSNR implies the better the RGB image can indicate the labeled spatial architectures,

and further demonstrates the better quality its corresponding 3-dimensional embeddings achieve.

789 **4.3 Predicted Segmentation Map Quality assessment.**

Differed from the Moran's I auto-correlation index³⁶ using for revealing a single gene's spatial auto-correlation, we modified Moran's I in Geo-spatiality⁴⁷ to evaluate a predictive segmentation map without known ground truth. The metric analyzes the heterogeneity of predictive intersegments by measuring the pixel contrast cross any two predicted adjacent segments per channel:

795
$$Moran's I = \sqrt{\sum_{c=1}^{3} \frac{N \sum_{i=1}^{N} \sum_{j=1}^{N} a_{ij} |(y_i - \bar{y})(y_j - \bar{y})|}{3 \times (\sum_{i=1}^{N} (y_i - \bar{y})^2) (\sum_{i \neq j} a_{ij})}}$$
(17)

796 where

- a_{ij} is the binary spatial adjacency of the i^{th} segment and j^{th} segment . $1 \le i \le N, 1 \le j \le N$
- 798 $y_{i,c} \in \mathbb{R}^3$ denotes the mean pixel values at c^{th} channels in Red, Green and Blue of the i^{th} 799segment, 1 ≤ c ≤ 3,
- $\overline{y_c} \in \mathbb{R}^3$ denotes the mean pixel values at channel Red, Green and Blue of the whole image.
- 801

4.4 Module score calculation and differential expression analysis.

803 The module score for specific marker genes was calculated based on the Seurat function 804 AddModuleScore, which calculated the average expression levels of genes for specific spot 805 groups. The DEG analysis was conducted by the Seurat function FindAllMarkers based on 806 RESEPT predicted seven segments via default settings. Based on the identified DEGs, the 807 enrichment analyses of GO terms (Biological Process) and KEGG were performed via the R 808 package clusterProfile (v.3.18.0) using the functions of enrichGO and enrichKEGG. The 809 enrichment analysis results were filtered out if the adjusted p-value was greater than 0.05. For KEGG analysis, gene database Org.Hs.eg.Db was used for transferring SYMBOL to ENREZID 810 811 via function bitr. R package ggplot2 (v.3.3.2) was used for the visualizations.

812

813 Data availability

The 10x Visium datasets (10 from Spatial Gene Expression 1.0.0; 14 from Spatial Gene Expression 1.1.0, 13 from Spatial Gene Expression 1.2.0; including S1) can be accessed from <u>https://www.10xgenomics.com/products/spatial-gene-expression</u>. Our own AD datasets (S2-S5) are available from Dr. Hongjun Fu upon request. The datasets (S6-S17) used for training model and benchmarking can be accessed via endpoint "jhpce#HumanPilot10x" on Globus data transfer platform at http://research.libd.org/globus/. The HDST datasets are available as accession

- number SCP420 in the Single Cell Portal via link <u>https://singlecell.broadinstitute.org/single_cell</u>.
- The ST and 10x Visium data (squamous cell carcinoma) can be accessed from the GEO database
- with an accession number GSE144239. More details of datasets can be found in SupplementaryTable 1.
- 824

825 Code availability

RESEPT is freely available as an open-source Python package at https://github.com/OSU-
BMBL/RESEPT.

828

829 Contributions

Conceptualization: D.X. and Q.M.; methodology: F.H, J.W., Y.C. ,Q.M. and D.X.; software coding:
F.H, Y.C, J.L, Y.Y, L.S., J.W. and L.Y.; data collection and investigation: Y.C., S.C. and L.S.; data
generation: S.S.; data analysis and visualization: Y.C., F.H, J.L., Y.Y., J-X.L, L.S., S.C., Y.L. and
A.M.; AD result interpretation: H.F.; Glioblastoma result interpretation: J.O.; software testing and
tutorial: Y.Y.; Simulation: C.A. and D.C.; manuscript writing, review, and editing: J.W., Y.C., F.H.,
B.L., C.A., D.C., Z.L., D.X., C.A., D.C. and Q.M.

836

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