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2	Mosaic cis-regulatory evolution drives transcriptional partitioning of
3	HERVH endogenous retrovirus in the human embryo
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12 Abstract

The human endogenous retrovirus type-H (HERVH) family is expressed in the 13 preimplantation embryo. A subset of these elements are specifically transcribed in 14 pluripotent stem cells where they appear to exert regulatory activities promoting self-15 renewal and pluripotency. How HERVH elements achieve such transcriptional 16 specificity remains poorly understood. To uncover the sequence features underlying 17 HERVH transcriptional activity, we performed a phyloregulatory analysis of the long 18 19 terminal repeats (LTR7) of the HERVH family, which harbor its promoter, using a wealth of regulatory genomics data. We found that the family includes at least 8 previously 20 unrecognized subfamilies that have been active at different timepoints in primate 21 evolution and display distinct expression patterns during human embryonic 22 23 development. Notably, nearly all HERVH elements transcribed in ESCs belong to one of the youngest subfamilies we dubbed LTR7up. LTR7 sequence evolution was driven by 24 25 complex mutational processes, including multiple recombination events between subfamilies, that led to transcription factor binding motif modules characteristic of each 26 27 subfamily. Using a reporter assay, we show that one such motif, a predicted SOX2/3 binding site unique to LTR7up, is essential for robust promoter activity in induced 28 29 pluripotent stem cells. Together these findings illuminate the mechanisms by which HERVH diversified its expression pattern during evolution to colonize distinct cellular 30 31 niches within the human embryo.

32 Introduction

Transposable elements (TEs) are genomic parasites that use the host cell machinery 33 for their own propagation. To propagate in the host genome, they must generate new 34 insertions in germ cells or their embryonic precursors, as to be passed on to the next 35 generation (Charlesworth and Langley, 1986; Cosby et al., 2019; Haig, 2016). To this 36 end, many TEs have evolved stage-specific expression in germ cells or early embryonic 37 development (Faulkner et al., 2009; Fort et al., 2014; Göke et al., 2015; Miao et al., 38 39 2020; Urusov et al., 2011). But how does this precise control of TE expression evolve? Many endogenous retroviruses (ERVs) are known to exhibit highly stage-specific 40 41 expression during early embryonic development (Chang et al., 2021; Göke et al., 2015; Hermant and Torres-Padilla, 2021; Peaston et al., 2004; Svoboda et al., 2004). ERVs 42 are derived from exogenous retroviruses with which they share the same prototypical 43 structure with two long terminal repeats (LTRs) flanking an internal region encoding 44 45 products promoting their replication (Eickbush and Malik, 2002). There are hundreds of ERV families and subfamilies in the human genome, each associated to unique LTR 46 sequences (Kojima, 2018; Vargiu et al., 2016). Each family has infiltrated the germline 47 at different evolutionary timepoints and have achieved various levels of genomic 48 amplification (Bannert and Kurth, 2004; Vargiu et al., 2016). One of the most abundant 49 families is HERVH, a family derived from a gamma retrovirus that first entered the 50 genome of the common ancestor of apes, Old World monkeys, and New World 51 monkeys more than 40 million years ago (mya) (Goodchild et al., 1993; Izsvák et al., 52

53 2016; Mager and Freeman, 1995).

There are four subfamilies of HERVH elements currently recognized in the Dfam (Storer 54 et al., 2021) and Repbase (Bao et al., 2015; Kojima, 2018) databases and annotated in 55 56 the reference human genome based on distinct LTR consensus sequences: LTR7 (formerly known as Type I), 7b (Type II), 7c, and 7y (Type Ia) (Bao et al., 2015; 57 Goodchild et al., 1993; Jern et al., 2005, 2004). Additional subdivisions of HERVH 58 elements were also proposed based on phylogenetic analysis and structural variation of 59 60 their internal gene sequences (Gemmell et al., 2019; Jern et al., 2005, 2004). However, all HERVH elements are currently annotated in the human genome using a single 61

consensus sequence for the internal region (HERVH_int) and the aforementioned fourLTR subfamilies.

HERVH has been the focus of extensive genomic investigation for its high level of RNA 64 expression in human embryonic stem cells (ESCs) and induced pluripotent stem cells 65 (iPSCs) (Fort et al., 2014; Gemmell et al., 2015; Izsvák et al., 2016; Kelley and Rinn, 66 2012; Loewer et al., 2010; Römer et al., 2017; Santoni et al., 2012; Zhang et al., 2019). 67 Several studies showed that family-wide HERVH knockdown results in the loss of 68 69 pluripotency of human ESC and reduced reprogramming efficiency of somatic cells to iPSC (Lu et al., 2014; Ohnuki et al., 2014; Wang et al., 2014). Others reported similar 70 71 phenotypes with the knockdown of individual HERVH-derived RNAs such as those produced from the *lincRNA-RoR* and *ESRG* loci (Loewer et al., 2010; Wang et al., 72 73 2014) or the deletion of individual HERVH loci acting as boundaries for topological associated domains (Zhang et al., 2019). These results converge on the notion that 74 75 HERVH products (RNA or proteins) exert some modulatory effect on the cellular homeostasis of pluripotent stem cells. However, it is important to emphasize that 76 77 different HERVH knockdown constructs produced variable results and inconsistent phenotypes (Lu et al., 2014; Wang et al., 2014; Zhang et al., 2019), and a recent 78 79 knockout experiment of the most highly transcribed locus (ESRG) failed to recapitulate its previous knockdown phenotype (Takahashi et al., 2021). Despite intense study, 80 which expressed HERVH loci, if any, are necessary for the maintenance of pluripotency 81 82 remain unclear.

The mechanisms regulating the transcription of HERVH also remain poorly understood. 83 RNA-seg analyses have established that HERVH expression in human ESCs, iPSCs, 84 and the pluripotent epiblast can be attributed to a relatively small subset of loci 85 86 (estimated between 83 and 209) driven by LTR7 (sensu stricto) sequences (Göke et al., 2015; Wang et al., 2014; Zhang et al., 2019). The related 7y sequences are known to 87 88 be expressed in the pluripotent epiblast of human embryos (Göke et al., 2015) and a distinct subset of elements associated with 7b and 7y sequences are expressed even 89 90 earlier in development at the onset of embryonic genome activation (Göke et al., 2015). These observations suggest that the HERVH family is composed of subsets of elements 91

- expressed at different timepoints during embryonic development and that these 92 expression patterns reflect, at least in part, the unique cis-regulatory activities of their 93 LTRs. While it has been reported that several transcription factors (TFs) bind and 94 activate HERVH LTRs, including the pluripotency factors OCT4, NANOG, SP1, and 95 SOX2 (Göke et al., 2015; Ito et al., 2017; Kelley and Rinn, 2012; Kunarso et al., 2010; 96 Ohnuki et al., 2014; Pontis et al., 2019; Santoni et al., 2012), it remains unclear how TF 97 binding contributes to the differential expression of HERVH subfamilies and why only a 98 minority of HERVH are robustly transcribed in pluripotent stem cells and embryonic 99 development. 100
- 101 To shed light on these questions, we focused this study on the cis-regulatory evolution
- of LTR7 elements. We use a "phyloregulatory" approach combining phylogenetic
- analyses and regulatory genomics to investigate the sequence determinants underlying
- the partitioning of expression of HERVH/LTR7 subfamilies during early embryonic
- 105 development.

106 **<u>Results</u>**

107 LTR7 consists of 8 previously undefined subfamilies

We began our investigation by examining the sequence relationships of the four LTR7 108 subfamilies currently recognized in the human genome: LTR7 sensu stricto (748 proviral 109 copies; 711 solo LTRs), 7b (113; 524), 7c (24; 223), and 7y (77; 77). We built a 110 111 maximum likelihood phylogenetic tree from a multiple sequence alignment of a total of 781 5' LTR and 1073 solo LTR sequences of near complete length (>350 bp) 112 113 representing all intact LTR subfamilies extracted from the RepeatMasker output of the hg38 human reference assembly. While 7b and 7y sequences cluster, as expected, into 114 115 clear monophyletic clades with relatively short internode distances and little subclade structure, sequences from the 7c and LTR7 subfamilies were much more 116 117 heterogeneous and formed many subclades (Fig. 1A). Notably, sequences annotated as LTR7 were split into distinct monophyletic clades indicative of previously 118 119 unrecognized subfamilies within that group. The branch length separating some of these LTR7 subclades were longer from one another than they were from those falling 120 within the 7b, 7c, and 7y clades, indicating that they represent subfamilies as different 121 from each other as those previously recognized (Fig. 1A). 122

- 123 We next sought to classify LTR7 elements more finely by performing a phylogenetic
- analysis using a multiple sequence alignment of all intact LTR7 sequences (>350 bp)
- along with the consensus sequences for the other LTR7 subfamilies for reference. We
- defined high-confidence subfamilies as those forming a clade supported by >95%
- 127 ultrafast bootstrap (UFbootstrap) and internal branches >0.015 (1.5 nucleotide
- substitutions per 100 bp) separating subgroup nodes. Based on these criteria, LTR7
- elements could be divided into 8 subfamilies (Fig. 1B).
- 130 While long internal branches with high UFbootstrap support separate LTR7 subfamilies,
- intra-subfamily internal branches with >95% UFbootstrap support were shorter (<0.015),
- suggesting that each subfamily was the product of a rapid burst of amplification of a

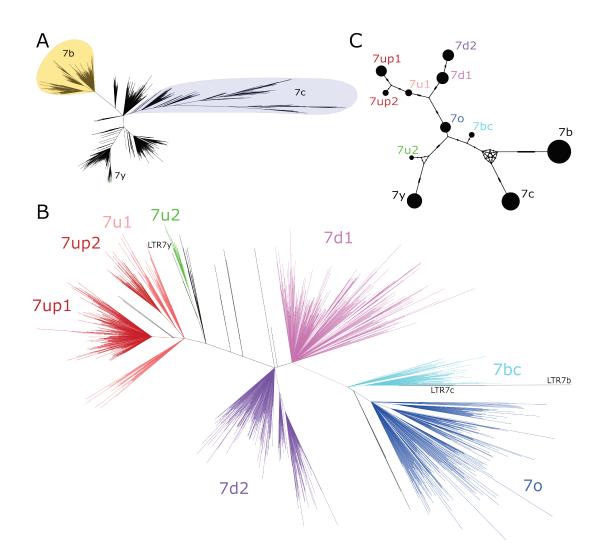


Fig. 1: Phylogenetic analysis of LTR7 sequences. A) Unrooted phylogeny of all solo and 5' LTR7 sequences. All nodes with UFbootstraps >0.95, >10 member insertions, and >1.5 substitutions / 100 bp (~6 base pairs) are grouped and colored (see methods). Previously listed consensus sequences from 7b/c/y were included in the alignment and are shown in black. B) Unrooted phylogeny of all solo and 5' LTR7 subfamilies from 1a, 7b, 7c, and 7y. Colors denote clades consisting of previously annotated 7b, 7c, and 7y with >95% concordance. C) Median joining network analysis of all LTR7 and related majority rule consensus sequences. Ticks indicate the number of SNPs at non-gaps between consensus sequences. The size of circles is proportional to the number of members in each subfamily. Only LTR7 insertions that met filtering requirements (see methods) are included while 7b/c/y counts are from dfam.

- common ancestor. To approximate the sequence of these ancestral elements we
- 134 generated majority-rule consensus sequence for each of the 8 newly defined LTR7
- subfamilies (70, 7bc, 7up, etc.). The consensus sequences were deposited at
- 136 <u>www.dfam.org</u>.
- 137 To investigate the evolutionary relationships among the newly defined and previously
- 138 known LTR7 subfamilies, we conducted a median-joining network analysis (Leigh and

Bryant, 2015) of their consensus sequences (Fig. 1C). The network analysis provides 139 additional information on the relationships between subfamilies and approximates the 140 141 shortest and most parsimonious paths between them (Bandelt et al., 1999; Cordaux et al., 2004; Posada and Crandall, 2001). The results place 70 in a central position from 142 which two major lineages are derived. One lineage led to two sub-lineages, formed by 143 7up1, 7up2, and 7u1 (with 7up1 and 7up2 being most closely related) and by 7d1 and 144 7d2. The other lineage emanating from 7o rapidly split into two sub-lineages; one gave 145 rise to 7u2 and then to 7y and the other gave rise to 7bc which is connected to the two 146 more diverged subfamilies 7b and 7c (Fig. 1C). Together these results indicate that the 147 LTRs of HERVH elements can be divided into additional subfamilies than those 148 previously recognized. 149

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151 The age of LTR7 subfamilies suggests three major waves of HERVH propagation

The genetic differences between LTR7 subfamilies suggest that they may have been active at different evolutionary timepoints. To examine this, we used reciprocal *liftover* analysis to infer the presence/absence of each human LTR7 locus across five other primate genomes. Insertions shared at orthologous genomic position across a set of species are deemed to be ancestral to these species and thus can be inferred to be at least as old as the divergence time of these species (Johnson, 2019).

158 The results of this cross-species analysis indicate that LTR7 subfamilies have been 159 transpositionally active at different timepoints in the primate lineage (Fig. 3A). The

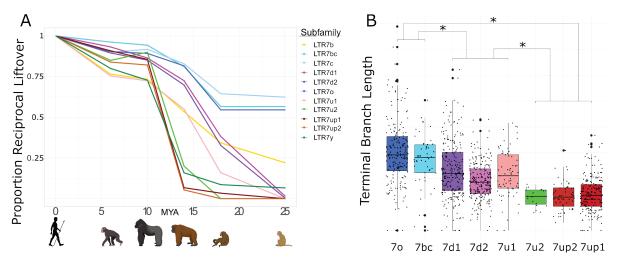


Fig. 2: Age analysis of LTR7 subfamilies. A) Proportion of a given subfamily that have 1:1 orthologous insertions between human and other primate species. LTR7 subfamilies are from trees in Figs. 1a and 2a; 7b/c/y subfamilies are from RepeatMasker annotations. Non-human primates are spaced out on the X axis in accordance with their approximate divergence times to the human lineage. B) Terminal branch lengths of all LTR7 insertions from Fig. 1a. Groups with similar liftover profiles were merged for statistical testing (see methods). Differences with padj<1e-15 are denoted with * (Wilcox rank-sum test with Bonferroni correction).

subfamilies 70, 7bc, and 7c are the oldest since the majority of their insertions are found 160 at orthologous position in rhesus macaque, an Old World Monkey (OWM). These three 161 subfamilies share similar evolutionary trajectories, with most of their proliferation 162 occurring prior to the split of OWM and hominoids, ~25 mya (Fig. 2a). Members of the 163 7b subfamily (the most numerous, 637 solo and full-length insertions) appear to be 164 165 overall younger, since only 22% of the human 7b elements could be lifted over to rhesus macaque and the vast majority appeared to have inserted between 10 and 20 166 mya (Fig. 2A, Figure supplement 1). Only 5 of the 550 elements in the 7d1 and 7d2 167 subfamilies could be retrieved in rhesus macague, but ~30% were shared with gibbon 168 169 and ~75% were shared with orangutan. Thus, these two subfamilies are largely hominoid-specific and achieved most of their proliferation prior to the split of African and 170 Asian great apes ~14 mya (Fig. 3a). Members of the 7u1 subfamily also emerged in the 171 hominoid ancestor, but the majority (55%) of 7u1 elements present in the human 172 genome inserted after the split of gibbons in the great ape ancestor, between 14 and 20 173 mya. Thus, the 7b, 7d1/2, and 7u1 subfamilies primarily amplified during the same 174 evolutionary window, 14 to 20 mya. 175

The 7up1/2, 7y, and 7u2 subfamilies represent the youngest in the human genome, with 176 most of their proliferation occurring between ~10 and ~14 mya, in the ancestor of 177 African great apes (Fig. 3A). Based on these results, these subfamilies seem to have 178 experienced a burst of transposition after the divergence of African and Asian great 179 apes but before the split of the pan/homo and gorilla lineages. For example, only 14 of 180 181 the 208 (6.7%) human 7up1 elements can be retrieved in orangutan, but 178 (85.6%) can be found in gorilla. These data indicate that the three youngest LTR7 subfamilies 182 mostly expanded in the ancestor of African great apes (Fig. 2C). 183

184 As an independent dating method, we used the terminal branch length separating each 185 insertion from its nearest node in Fig. 1B (Fig. 2B). Here, the terminal branch lengths are proportional to nucleotide divergence accumulated after insertion and can thus 186 187 approximate each insertion's relative age. This method largely corroborated the results 188 of the *liftover* analysis and revealed three age groups among LTR7 subfamilies 189 characterized by statistically different mean branch lengths (p(adj)< 1e-15; Wilcox ranksum test). By contrast, we found no statistical difference between the mean branch 190 191 length of the subfamilies within these three age groups, suggesting that they were concomitantly active. Taken together, our dating analyses distinguish 3 major waves of 192 193 HERV propagation: an older wave 25-40 mya involving 7c, 7o, and 7bc elements, an 194 intermediate wave 9-20 mya involving 7b, 7d1/2 and 7u1, and a most recent wave 4-10 mya implicating primarily 7up1/2, 7u2 and 7y elements. 195

196

197 Only LTR7up shows robust transcription in human ESC and iPSC

198 Our data thus far indicate that LTR7 is composed of genetically and evolutionarily 199 distinct subfamilies. Because a subset of HERVH elements linked to LTR7 were previously reported to be transcribed in pluripotent stem cells (human ESCs and 200 201 iPSCs), we wondered whether this activity was restricted to one or several of the LTR7 subfamilies newly defined herein. To investigate this, we performed a "phyloregulatory" 202 203 analysis, where we layered locus-specific regulatory data obtained from publicly available genome-wide assays in ESCs (mostly from the H1 cell line, see methods) for 204 each LTR insertion on top of a phylogenetic tree depicting their evolutionary 205

relationship. We called an individual LTR7 insertion as positive for a given feature if 206 there is overlap between the coordinates of the LTR and that of a peak called for this 207 208 mark (see methods). We predicted that if transcriptional activity was an ancestral property of a given subfamily, evidence of transcription and "activation" marks should be 209 clustered within the cognate clade. Alternatively, if transcription and activation marks 210 211 were to be distributed throughout the tree, it would indicate that LTR7 transcriptional activity in pluripotent cells was primarily driven by post-insertional changes or context-212 specific effects. Differences in the proportion of positive insertions for a given mark 213 between LTR7 subfamilies were tested using a chi-square test with Bonferroni 214 correction. Unless otherwise noted, all proportions compared thereafter were 215

significantly different (padj< 0.05).

217 The results (Fig. 3A) show that HERVH elements inferred to be "highly expressed" 218 (fpkm > 2) based on RNA-seg analysis (Wang et al., 2014) were largely confined to two 219 closely related subfamilies, 7up1 and 7up2, together referred to as 7up hereafter. Indeed, we estimated that 33% of 7up elements (88 loci) are highly expressed 220 221 according to RNA-seq compared with only 2% of highly expressed elements from all other subfamilies combined (17 loci). Nascent RNA mapping using GRO-seg data 222 223 (Estarás et al., 2015) recapitulated this trend with 22% of 7up loci with visible signal (Figure supplement 2), compared with only 4% of other LTR7 loci (Fig. 3D, Figure 224

supplement 2). Half of the loci displaying GRO-seq signal (53/96) also showed evidence
of mature RNA product (supp. file 1). Thus, HERVH transcriptional activity in H1 ESCs

is largely limited to loci driven by 7up sequences.

As previously noted from ChIP-seg data (Ohnuki et al., 2014), we found that KLF4 228 229 binding is a strong predictor of transcriptional activity: KLF4 ChIP-seq peaks overlap 91% of 7up loci and KLF4 binding is strongly enriched for the 7up subfamilies relative to 230 other subfamilies (Fig. 3A,B,D). NANOG binding is also enriched for 7up (97.7% of loci 231 232 overlap ChIP-seq peaks) but is observed to varying degrees at other LTR7 loci that do not show evidence of active transcription based on GRO-seq and/or RNA-seq (85% of 233 234 7u1 loci, 32% 7d1, 45% 7d2, 13% 7o, 8.7% 7bc, and 0% of 7u2). Other TFs with known roles in pluripotency are also enriched at 7up loci, such as SOX2 (32% LTR7up, 1-3% 235

all other LTR7), FOXP1(49%, 0-4.3%), and FOXA1(28%, 0-1.4%). In fact, FOXA1 binds
only a single non-7up insertion in our dataset, making it the most exclusive feature of
7up loci among the TFs examined in this analysis. In contrast, OCT4 binds merely 12%
of 7up loci (see supp. file 8 for full statistical analysis of all marks).

240 Congruent with having generally more TF binding and transcriptional activity, 7up loci

- also have a propensity to be decorated by H3K4me3, a mark of active promoters (76%
- LTR7up vs 19% all others) and the broader activity mark H3K27ac (89% vs 48%) (Fig.
- ²⁴³ 3A,B). By contrast, H3K4me1, a mark typically associated with low POLII loading as
- seen at enhancers as opposed to promoters, is spread rather evenly throughout the tree
- of LTR7 sequences (26% vs. 18%) (Fig. 2A,B). Thus, promoter marks are primarily
- restricted to 7up loci, but a broader range of 7up loci display putative enhancer marks.

Taken together, our phyloregulatory analysis suggests that strong promoter activity in
ESCs is restricted to 7up elements.

249

250 Differential activation, rather than repression, explain the differential

251 transcriptional activity of LTR7 subfamilies in ESCs

252 The pattern described above could be explained by two non-mutually exclusive hypotheses: (i) 7up elements (most likely their progenitor) have acquired unique 253 sequences (TF binding sites, TFBS) that promote Pol II recruitment and active 254 transcription, and/or (ii) they somehow escape repressive mechanisms that actively 255 target the other subfamilies, preventing their transcription. For instance, 7up elements 256 may lack sequences targeted by transcriptional repressors such as KRAB-Zinc Finger 257 proteins (KZFP) that silence the other subfamilies in ESCs. KZFP are well-known for 258 binding TEs in a subfamily-specific manner where they nucleate inheritable epigenetic 259 silencing (Ecco et al., 2017; Jacobs et al., 2014; Wolf et al., 2020; Yang et al., 2017) 260 and several KZFPs are known to be capable of binding LTR7 loci (Imbeault et al., 261 2017). To examine whether KZFPs may differentially bind to LTR7 subfamilies, we 262 analyzed the loading of the corepressor KAP1 and the repressive histone mark 263

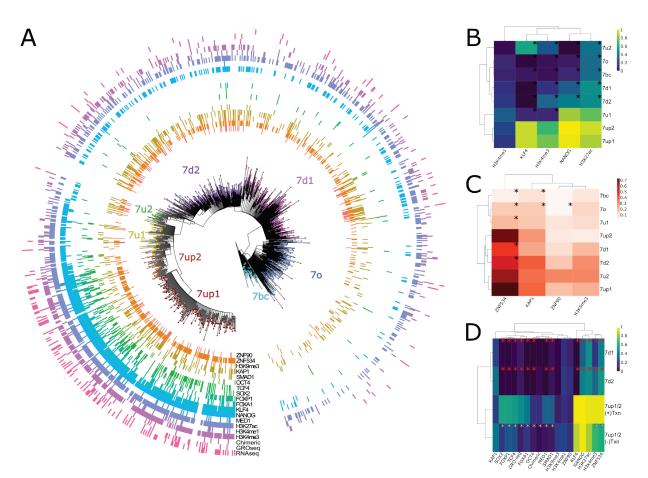


Fig. 3: Phyloregulatory analysis of LTR7. A) "Phyloregulatory" map of LTR7. The phylogenetic analysis to derive the circular tree is the same as for the tree in Fig. 1A but rooted on the 7b consensus. Subfamilies defined in Fig. 1 are denoted with dotted colored tips. Positive regulatory calls for each insertion are shown as tick marks of different colors and no tick mark indicates a negative call. All marks are derived from ESC except for ZNF90 and ZNF534, which are derived from ChIP-exo data after overexpression of these factors in HEK293 cells (see methods) B) Heatmap of major activation and repression profiles. Proportions indicate the proportion of each group positive for a given characteristic. Trees group LTR7 subfamilies on regulatory signature, not sequence similarity. Asterisks denote statistical differences between given group and 7up1 (padj> 0.05 Wilcox rank-sum with Bonferroni correction). C) Heatmap done in similar fashion to Fig. 3B but for repression marks. D) Heatmap of transcribed (>2 fpkm) and untranscribed 7up1/2 (<2 fpkm) and all 7d1/2. Red asterisks denote statistical differences between 7d1/2 and 7up1 (padj< 0.05 chi-square Bonferroni correction). White asterisks denote differences between transcribed and untranscribed LTR7up.

- H3K9me3 typically deposited through the KZFP/KAP1 complex, across the LTR7
- 265 phylogeny using ChIP-seq data previously generated for ESCs (Imbeault et al., 2017;
- Theunissen et al., 2016). We found that KAP1 and H3K9me3 loading were neither
- 267 enriched nor depleted for 7up elements relative to other subfamilies (Fig. 3A,C). Overall,
- there were no significant differences in the level of H3K9me3 marking across
- subfamilies and the only difference in KAP1 binding was a slight but significant

depletion for 7bc and 7o compared to all other subfamilies including 7up (14% vs. 35% -270 padj< 0.05 chi-square Bonferroni correction). Furthermore, KAP1 and H3K9me3 loading 271 272 were found in similar proportions in expressed and unexpressed 7up elements (padj> 0.05) (Fig. 2C). This was also the case for CpG methylation, whose presence was not 273 differential between subfamilies (padj> 0.05 Wilcox rank-sum with Bonferroni correction) 274 (Figure supplement 2). Thus, KAP1 binding and repressive marks at LTR7 in ESCs 275 poorly correlate with their transcriptional activity and differential repression is unlikely to 276 explain the differential promoter activity of LTR7 subfamilies in ESCs. 277

We also examined the binding profile of ZNF534 and ZNF90, two KZFPs previously 278 279 reported to be enriched for binding LTR7 elements using ChIP-exo data in human embryonic kidney 293 cells (Imbeault et al., 2017), in order to examine whether they 280 281 bind a particular subset of elements in our LTR7 phylogeny. We found that while ZNF90 bound all LTR7 subfamilies to a similar extent, ZNF534 preferentially bound members of 282 283 the 7up subfamily (72% of LTR7up vs. 34-53% of non-LTR7up). However, ZNF534 binding in 293 cells did not correlate with transcriptional activity of 7up elements in 284 285 ESCs nor with KAP1 binding or H3K9me3 deposition in these cells (Fig. 3A,D). In other words, there was no significant enrichment for ZNF534 binding within untranscribed 7up 286 287 elements nor depletion within the 7up elements we inferred to be highly transcribed in ESCs. These observations could simply reflect the fact that ZNF534 itself is not highly 288 expressed in ESCs (Figure supplement 3) and do not preclude that ZNF534 represses 289 7 Jup in other cellular contexts or cell types. Collectively these data suggest that 290 291 differential LTR binding of KZFP/KAP1 across subfamilies cannot readily explain their differential regulatory activities in ESCs. Thus, differential activation is the most likely 292 driver for the promoter activity of 7up elements in ESCs. 293

To determine which factors are associated and potentially determinant for 7up promoter activity, we compared the set of "highly expressed" 7up loci to 7up loci which are apparently poorly expressed, using 7d1/d2 as outgroups (Fig. 3D). While known regulators of LTR7 transcription, KLF4 and NANOG, are enriched for binding to 7up elements, their occupancy alone cannot distinguish transcribed from untranscribed 7up loci (Fig. 3D). Thus, other factors must contribute to the transcriptional activation of 7up

elements. Our analysis of pluripotent transcriptional activators SOX2, FOXA1, FOXP1,
 OCT4, TCF4, and SMAD1 (Boyer et al., 2005; Chambers and Smith, 2004; Niwa, 2007)
 binding profiles show that all of these TFs are enriched in robustly transcribed 7up loci
 compared to non-transcribed loci (Fig. 3D). Intriguingly, when overexpressed in HEK293
 cells, the potential KZFP repressor ZNF534 preferably binds ESC-transcribed 7up over
 untranscribed 7up, suggesting that ZNF534 may suppress transcription-competent 7up
 in cellular contexts where this factor is expressed.

- Together these data suggest that differential repression cannot explain the differential promoter activity of LTR7 subfamilies in ESCs but rather that highly expressed LTR7up
- loci are preferentially bound by a cocktail of transcriptional activators that are less
- 310 prevalent on poorly-expressed loci.
- 311

Inter-element recombination and intra-element duplication drove LTR7 sequence evolution

314 The data presented above suggest that the transcriptional activity of 7up in ESCs emerged from the gain of a unique combination of TFBS. To identify sequences unique 315 316 to 7up relative to its closely related subfamilies, we aligned the consensus sequences of the newly defined LTR7 subfamilies and those of 7b/c/y consensus sequences. This 317 318 multiple sequence alignment revealed blocks of sequences that tend to be highly conserved across subfamilies, only diverging by a few SNPs, while other regions 319 showed insertion/deletion (indel) segments specific to one or a few subfamilies (Fig. 320 4A). These indels resulted in substantial gain and loss of DNA between closely related 321 322 subfamilies, with the longest consensus (7y) having a length of 472-bp and the shortest 323 (70) a mere 365-bp. These observations suggest that segmental rearrangements have played an important role in the evolution of LTR7 sequences. 324

Upon closer scrutiny, we noticed that the indels characterizing some of the subfamilies
 were at odds with the evolutionary relationship of the subfamilies defined by overall
 phylogenetic and network analyses. This was particularly obvious in segments we

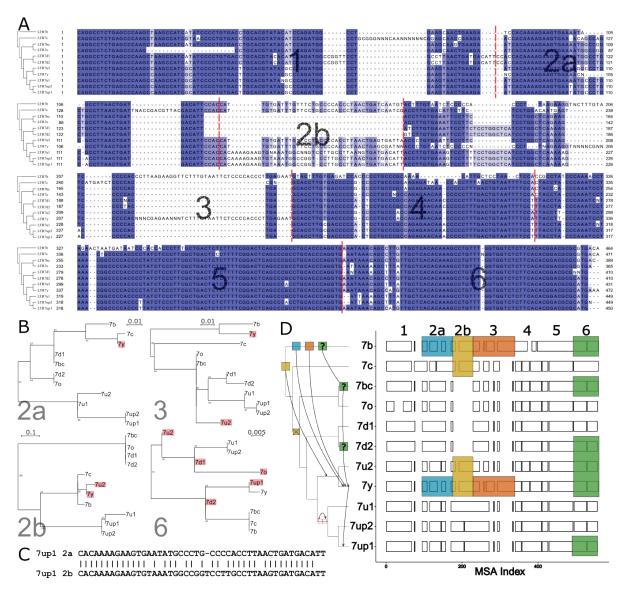


Fig. 4: Modular block evolution of LTR7 subfamilies. A) A multiple sequence alignment of LTR7 subfamily consensus sequences. The phylogenetic topology from Figure 1 is shown on the left. The MSA is broken down into sequence blocks (red lines) with differential patterns of relationships. B) Parsimony trees from Fig. 4a sequence blocks. Subfamilies whose blocks do not match the overall phylogeny are highlighted in red. Bootstrap values >0 are shown. C) Blastn alignment of LTR7up1 block 2a and 2b. D) A multiple sequence alignment of majority-rule consensus sequences from each LTR7 subfamily detailing shared structure. Blocks show aligned sequence; gaps represent absent sequence. Colored sections identify putative phylogeny-breaking events. Recombination events whose directionality can be inferred (via aging) are shown with blocks and arrows on the cladogram. Recombination events with multiple possible routes are denoted with "?". The deletion of 2b is denoted on the cladogram with a red "X"; the duplication of 2a is denoted with 2 red rectangles.

- termed block 2b (where 7y and 7u2 share a large insertion with 7b and 7c) and block 3
- 329 (where 7y and 7b share a large insertion). This led us to carefully examine the multiple
- 330 sequence alignment of the LTR7 consensus sequences to identify indels with different

patterns of inter-subfamily relationships. Based on this analysis, we defined seven
sequence blocks shared by a different subset of subfamilies, pointing at relationships
that were at odds with the overall phylogeny of the LTR7 subfamilies (Fig. 4A-B). These
observations suggested that some of the blocks have been exchanged between LTR7

- subfamilies through recombination events.
- 336 To systematically test if recombination events between elements drove the evolution of
- LTR7 subfamilies, we generated parsimony trees for each block of consensus
- 338 sequences and looked for incongruences with the overall consensus phylogeny. We
- found a minimum of 6 instances of clades supported in the block parsimony trees that
- were incongruent with those supported by the overall phylogeny (Fig. 4B,D).

We also found some blocks evolved via tandem duplication. Notably, block 2b was 341 absent from 7d1/2 and 7bc/o but present in all other subfamilies. However, block 2b 342 from 7b, 7c, 7u2, and 7y aligned poorly with block 2b from 7up and 7u1. Instead, block 343 2b from 7up/u1 2b was closely related (~81% nucleotide similarity) to block 2a from the 344 same subfamilies (Fig. 4D), suggestive that it arose via tandem duplication in the 345 common ancestor of these subfamilies. To further clarify the evolutionary history of the 346 2a-2b duplication, we aligned all 2a and 2b blocks from all subfamilies and generated a 347 parsimony tree (Figure supplement 4). This analysis indicated that the 2b block from 348 7up/u1 most closely resembles the 2a block from 7d. 349

The results above suggest that the evolution of HERVH was characterized by extensive diversification of LTR sequences through a mixture of point mutations, indels, and recombination events.

353

HERVH subfamilies show distinct expression profiles in the preimplantation embryo

356 We hypothesized that the mosaic pattern of LTR sequence evolution described above

357 gave rise to TFBS combinations unique to each family that drove shifts in HERVH

- expression during early embryogenesis. To test this, we aimed to reanalyze the
- expression profiles of newly defined LTR7 subfamilies during early human

- 360 embryogenesis and correlate these patterns with the acquisition of embryonic TF
- 361 binding motifs within each of the subfamilies.

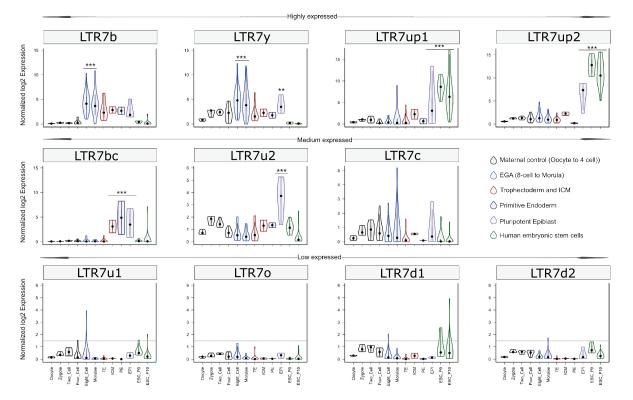


Fig. 5: Expression profile of LTR7 subfamilies in human preimplantation embryonic lineages and ESCs. The solid dots and lines encompassing the violins represent the median and quartiles of single cellular RNA expression. The color scheme is based on embryonic stages, defined as maternal control of early embryos (Oocytes, Zygote, 2-cell and 4-cell stage), EGA (8-cell and Morula), inner cell mass (ICM), trophectoderm (TE), epiblast (EPI) and primitive endoderm (PE) from the blastocyst, and ESCs at passages 0 and 10.

- To perform this analysis, we first reannotated the hg38 reference genome assembly
- using Repeatmasker with a custom library consisting of the consensus sequences for
- the 8 newly defined LTR7 subfamilies plus newly generated consensus sequences for
- 365 7b, 7c, and 7y subfamilies redefined from the phylogenetic analysis presented in Fig. 1B
- 366 (Figure supplement 5) (see methods). Our newly generated Repeatmasker annotations
- 367 (supp. file 2) did not drastically differ from previous annotations of LTR7 and 7c, where
- 368 90% and 86% of insertions, respectively, were concordant with the old Repeatmasker
- annotations (though LTR7 insertions were now assigned to one of the 8 newly defined
- subfamilies). 7y and 7b annotations, however, shifted significantly. Only 33% of
- 371 previously annotated 7y reannotated concordantly with 53% now being annotated as
- ³⁷² 7u2 and only 52% of 7b reannotated concordantly, with 22% now annotated as 7y.

These shifts can be largely explained by the fact that 7u2 and 7y are closely related (Fig. 1A-C) and 7y and 7b share a great deal of sequence through recombination events (Fig. 4B-C).

Next we used the newly generated Repeatmasker annotations to examine the RNA
expression profiles of the different LTR7 subfamilies using scRNA-seq data from human
pre-implantation embryos and RNA-seq data from human ESCs (Blakeley et al., 2015;
Tang et al., 2010) (see methods).

As expected, we found that the 7up subfamilies were highly expressed in the pluripotent 380 epiblast and in ESCs (Fig. 5). 7up expression was highly specific to these pluripotent 381 cell types, with little to no transcription at earlier developmental time points. As 382 previously observed (Göke et al., 2015), the 7b subfamily exhibited expression at the 8-383 cell and morula stages, coinciding with EGA (Fig. 5). Another remarkable expression 384 385 pattern was that of 7u2 which was restricted to the pluripotent epiblast (Fig. 5). Interestingly, the 7y subfamily combined the expression of 7b and 7u2 (8-cell and 386 morula plus epiblast), perhaps reflecting the acquisition of sequence blocks from both 387 subfamilies (Fig. 4B-C). Despite very similar sequence and age (Fig. 1, Fig. 2, Fig. 4A), 388 7bc and 7o elements show stark contrast in their expression profiles. 7o elements show 389 no significant transcription at any time point in early development, while 7bc elements 390 391 display RNA expression throughout the blastocyst, including trophectoderm and inner 392 cell mass, primitive endoderm, and pluripotent epiblast (Fig. 5). Previous expression analysis of the oldest LTR7 subfamily, 7c, did not find robust stage-specific expression 393 (Göke et al., 2015). Our analysis revealed that some 7c elements display moderate 394 RNA expression at various developmental stages (Fig. 5). This pattern may reflect the 395 396 relatively high level of sequence heterogeneity within this subfamily (Fig. 1). 397 In summary, our analysis indicates that LTR7 subfamilies have distinct but partially

398 overlapping expression profiles during human early embryonic development that appear 399 to mirror their complex history of sequence diversification.

400

A predicted SOX2/3 motif unique to 7up is required for transcriptional activity in pluripotent stem cells

We hypothesized that differences in embryonic transcription among LTR7 subfamilies 403 were driven by the gain and loss of TF binding motifs, and that one or more of these 404 mutations led to 7up's pluripotent-specific transcription. To find TF motifs enriched 405 406 within each LTR7 subfamily relative to the others, we performed an unbiased motif enrichment analysis using the program HOMER to calculate enrichment scores of 407 408 known TF motifs within each segmental block defined in Fig. 4A in a pairwise comparison of each subfamily against each of the other subfamilies (see methods). The 409 410 results yielded a slew of TF motifs enriched for each subfamily relative to the others (see Fig. 6A for 7up1 and enrichment for all HERVH subfamilies in supp. files 3,4). 411 412 These results suggested that each LTR7 subfamily possesses a unique repertoire of TF binding motifs, which could explain their differential expression during embryonic 413 414 development.

415 Next, we sought to pinpoint mutational events responsible for the gain of TF motifs

responsible for the unique expression of 7up in ESC. The single most striking motif

distinguishing the 7up clade from the others was a SOX2/3 motif which coincided with

an 8-bp insertion in block 2b (Fig. 6A,B). Note this motif (and insertion) was also present

in 7u1, the closest relative to 7up (Fig. 4C), but absent in all other subfamilies (Fig. 6B).

We hypothesized that the 8-bp insertion provided a binding motif for SOX2 and/or SOX3 420 421 contributing to 7up promoter activity in ESCs. Indeed, SOX2 and SOX3 bind a highly 422 similar motif (Bergsland et al., 2011; Heinz et al., 2010), activate an overlapping set of 423 genes and play a redundant function in pluripotency (Corsinotti et al., 2017; Niwa et al., 2016; Wang et al., 2012). In addition, we observed that both SOX2 and SOX3 are 424 425 expressed in human ESCs but SOX3 was more highly and more specifically expressed 426 in ESCs (Figure supplement 6A,C). While SOX3 binding has not been profiled in human ESCs, ChIP-seq data available for SOX2 indicated that it binds preferentially 7up in a 427 region coinciding with the 8-bp motif (Fig. 6B). Together these observations suggest 428 that 7up promoter activity in ESCs might be conferred in part by the gain of a SOX2/3 429 motif in block 2b. 430

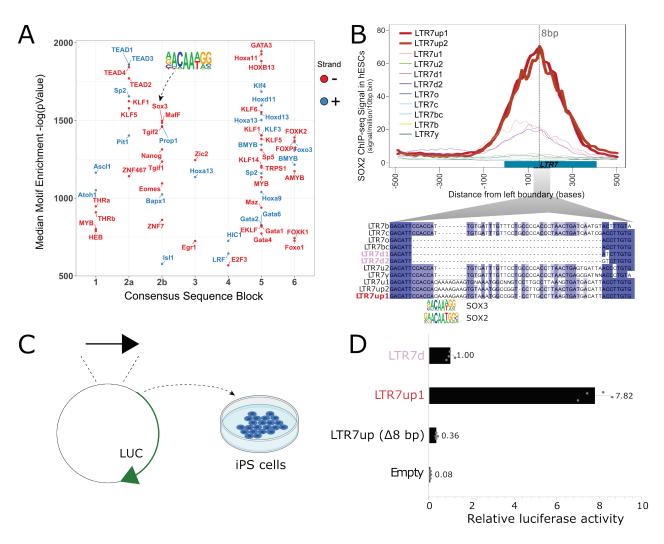


Fig. 6: An 8-bp insertion, SOX2/3 binding site necessary for LTR7up transcription. A) (log) p-values >500 for HOMER motifs enriched in 7up1 insertion's sequence blocks vs the same blocks from other insertions from other HERVH subfamilies are shown. B) Line plots show SOX2 ChIP-seq signal at LTR7 subfamily loci in human ESCs. Signal from genomic loci was compiled relative to position 0. The 7up/u1 8bp insertion position is shown with a dotted line. Region 2b harboring SOX2/3 TFBS is detailed below. C) Scheme of DNA fragments cloned into pGL3-basic vector driving luciferase gene expression (LUC) with identified SOX2/3 motifs. 3 constructs were analyzed: Entire LTR7up (7up1), 7d1/2 consensus sequence (approximate ancestral sequence for all LTR7d) and LTR7up with 8 nucleotides deleted (LTR7up (Δ8bp - AAAAGAAG)) (see panel B). D) Normalized relative luciferase activity of tested fragments compared to LTR7 down; n = 4 measurements; bars, means across replicates; error bars, standard deviation of the mean, dots, individual replicates.

- To experimentally test this prediction, we used a luciferase reporter to assay promoter
- 432 activity of three different LTR7 sequences in iPSCs (see methods). The first consisted
- 433 of the full-length 7d consensus sequence (predicted to be inactive in iPSCs), the second
- contained the full-length 7up1 consensus (predicted to be active) and the third used the
- same 7up1 consensus sequence but lacking the 8-bp motif unique to 7up1/2 and 7u1
- elements overlapping the SOX2/3 motif (Fig. 6B,C). The results of the assays revealed

437 that the 7d construct exhibited, as predicted, only weak promoter activity in iPSC compared to the empty vector (Fig. 6D), while the 7up1 construct had much stronger 438 439 promoter activity, driving on average 7.8-fold more luciferase expression than 7d and 100-fold more than the empty vector (Fig. 6D). Strikingly, the promoter activity was 440 essentially abolished in the 7up1 construct lacking the 8-bp motif, which drove minimal 441 luciferase expression (on average, 3-fold less than LTR7d and 20-fold less than the 442 intact LTR7up sequence). These results demonstrate that the 8-bp motif in 7up1 is 443 necessary for robust promoter activity in iPSCs, likely by providing a SOX2/3 binding 444 site essential for this activity. 445

446 **Discussion**

The HERVH family has been the subject of intense investigation for its transcriptional 447 and regulatory activities in human pluripotent stem cells. These studies often have 448 449 treated the entire family as one homogenous, monophyletic entity and it has remained generally unclear which loci are transcribed and potentially important for pluripotency. 450 This is in part because HERVH/LTR7 is an abundant and young family which poses 451 technical challenges to interrogate the activity of individual loci and design experiments 452 453 targeting specific members of the family (Chuong et al., 2017; Lanciano and Cristofari, 2020). Here, we applied a 'phyloregulatory' approach that integrates regulatory 454 genomics data to a phylogenetic analysis of LTR7 sequences to reveal several new 455 insights into the origin, evolution, and transcription of HERVH elements. In brief, our 456 457 results show that: (i) LTR7 is a polyphyletic group composed of at least eight monophyletic subfamilies; (ii) these subfamilies have distinct evolutionary histories and 458 459 transcriptional profiles in human embryos and a single and relatively small subgroup (~264 loci), LTR7up, exhibits robust promoter activity in ESC; (iii) LTR7 evolution is 460 461 characterized by the gain, loss, and exchange of cis-regulatory modules likely underlying their transcriptional partitioning during early embryonic development. 462

463

Phyloregulatory analysis of LTR7 disentangles the cis-regulatory evolution of HERVH

Previous studies have treated LTR7 sensu stricto insertions as equivalent 466 467 representatives of their subfamilies (Bao et al., 2015; Gemmell et al., 2019; Göke et al., 2015; Izsvák et al., 2016; Storer et al., 2021; Wang et al., 2014; Zhang et al., 2019). 468 While some of these studies were able to detect differential transcriptional partitioning 469 470 between LTR7, LTR7y, and LTR7b (Göke et al., 2015), the amalgamating of LTR7 loci limited the ability to detect transcriptional variations among LTR7 and to identify key 471 sequence differences responsible for divergent transcription patterns. Our granular 472 parsing of LTR7 elements and their phyloregulatory profiling has revealed striking 473 genetic, regulatory, and evolutionary differences amongst these sequences. 474

Importantly, a phylogeny based on the coding sequence (RVT domain) of HERVH
provided less granularity to separate the subfamilies than the LTR sequences (Figure
supplement 7). The classification of new subfamilies within LTR7 enabled us to discover
that they have distinct expression profiles during early embryonic development (Fig. 5)
that were previously obscured by their aggregation into a single group of elements. For
example, the 7u2 subfamily is, to our knowledge, the first subfamily of human TEs
reported to have preimplantation expression exclusively in the epiblast.

482 It has been observed for some time that only a small subset of HERVH elements are expressed in ESCs (Gemmell et al., 2019; Göke et al., 2015; Ohnuki et al., 2014; 483 484 Santoni et al., 2012; Schön et al., 2001; Wang et al., 2014; Zhang et al., 2019). Some have attributed this property to variation in the internal region of HERVH, context-485 486 dependent effects (local chromatin or cis-regulatory environment) and/or age (Gemmell et al., 2019; Zhang et al., 2019). Our results provide an additional, perhaps simpler 487 488 explanation: we found that HERVH elements expressed in ESCs are almost exclusively driven by two closely related subfamilies of LTR7 (7up) that emerged most recently in 489 490 hominoid evolution. We identified one 8-bp sequence motif overlaps a predicted SOX2/3 binding site unique to 7up that is required for promoter activity in pluripotent 491 492 stem cells. These results highlight that the primary sequence of the LTR plays an 493 important role in differentiating and diversifying HERVH expression during human embryonic development. 494

495 The phyloregulatory approach outlined in this study could be applied to illuminate the regulatory activities of LTR elements in other cellular contexts. In addition to 496 embryogenesis, subsets of LTR7 and LTR7y elements are known to be upregulated in 497 498 oncogenic states (Babaian and Mager, 2016; Glinsky, 2015; Kong et al., 2019; Yu et al., 499 2013). It would be interesting to explore whether these activities can be linked to the gain of specific TFBS using the new LTR7 annotations and regulatory information 500 501 presented herein. Other human LTR families, such as MER41, LTR12C, or LTR13 have been previously identified as enriched for particular TF binding and cis-regulatory 502 503 activities in specific cellular contexts (Chuong et al., 2016; Deniz et al., 2020; Ito et al., 2017; Krönung et al., 2016; Sundaram et al., 2014). In each case, TF binding 504

505 enrichment was driven by a relatively small subset of loci within each family. We

suspect that some of the intrafamilial differences in TF binding and cis-regulatory

activity may be caused by unrecognized subfamily structure and subfamily-specific

508 combinations of TFBS, much like we observe for LTR7.

509

510 **Recombination as a driver of LTR cis-regulatory evolution**

511 Recombination is a common and important force in the evolution of exogenous RNA

viruses (Jetzt et al., 2000; Pérez-Losada et al., 2015; Simon-Loriere and Holmes, 2011)

and endogenous retroviruses (Vargiu et al., 2016). Traditional models of recombination

describe recombination occurring due to template switching during reverse transcription,

a process that requires the co-packaging of RNA genomes, a feature of retroviruses

and some retrotransposons (Lai, 1992; Matsuda and Garfinkel, 2009). Previous studies

517 proposed that the HERVH family had undergone inter-element recombination events of

both its coding genes (Mager and Freeman, 1987; Vargiu et al., 2016) and LTR

(Goodchild et al., 1993). Specifically, it was inferred that recombination event between

520 Type I LTR (i.e., LTR7) and Type II LTR (LTR7b) led to the emergence of Type Ia

521 (LTR7y).

522 Our findings of extensive sequence block exchange between 7y and 7b (Fig. 4D) are

523 consistent with these inferences. Furthermore, our division of HERVH into at least 11

subfamilies, rather than the original trio (Type I, II, Ia), and systematic analysis of

recombination events (Fig. 4) suggest that recombination has occurred between

526 multiple lineages of elements and has been a pervasive force underlying LTR

527 diversification. We identified a minimum of six recombination events spanning 20 million

years of primate evolution (see Fig. 4D and summary model in Fig. 7). The coincidence

of recombination events with changes in expression profiles (Fig. 7) suggests that these

events were instrumental to the diversification of HERVH embryonic expression. The

531 hybrid origin and subsequent burst of amplification of LTR7 subfamilies (Fig. 1,2)

suggest they expanded rapidly after shifting their transcriptional profiles. The

coincidence of niche colonization with a burst in transposition leads us to speculate that

these shifts in expression were foundational to the formation and successful expansion

535 of new HERVH subfamilies. It would be interesting to explore whether inter-element 536 recombination has also contributed to the evolution of other LTR subfamilies and the 537 diversification of their expression patterns.

538 Previous work has highlighted the role of TEs, and LTRs in particular, in donating built-

in cis-regulatory sequences promoting the evolutionary rewiring of mammalian

transcriptional networks (Chuong et al., 2017; Feschotte, 2008; Hermant and Torres-

541 Padilla, 2021; Jacques et al., 2013; Rebollo et al., 2012; Sundaram and Wysocka, 2020;

542 Thompson et al., 2016). We show that recombination provides another layer to this

idea, where combinations of TFBS can be mixed-and-matched, then mobilized and

544 propagated, further accelerating the diversification of these regulatory DNA elements.

545 As HERVH expanded and diversified, its newly evolved cis-regulatory modules became

546 confined to specific host lineages (Fig. 2). Thus, it is possible that the formation of new

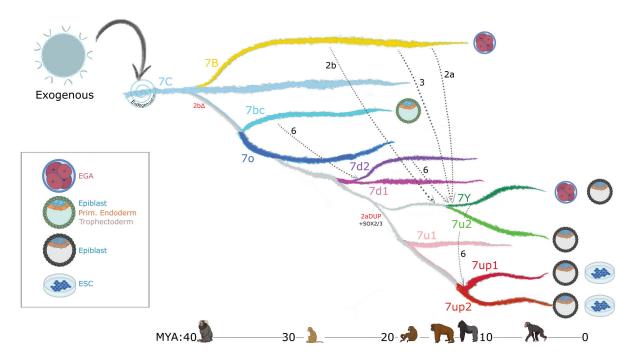


Fig. 7: Model of LTR7 subfamily evolution. Estimated LTR7 subfamily transpositional activity in mya are listed with corresponding approximate primate divergence times (bottom). The positioning and duration of transpositional activity are based on analysis from Fig. 3b. The grey connections between subfamilies indicate average tree topology which is driven by overall pairwise sequence similarity. Dashed lines indicate likely recombination events which led to the founding of new subfamilies. Stage-specific expression profiles from Fig. 5a are detailed to the right of each corresponding branch.

547 LTR via recombination and their subsequent amplification catalyzed cis-regulatory 548 divergence across primate species.

549

LTR evolution enabled HERVH's colonization of different niches in the human embryo

Our evolutionary analysis reveals that multiple HERVH subfamilies were 552 553 transpositionally active in parallel during the past ~ 25 my of primate evolution (Fig. 2,7). 554 This is in stark contrast to the pattern of LINE1 evolution in primates, which is 555 characterized by a single subfamily being predominantly active at any given time (Khan et al., 2006). We hypothesize that the ability of HERVH to colonize multiple cellular 556 niches underlie this difference. Indeed, we observe that concurrently active HERVH 557 subfamilies are transcribed at different developmental stages, such as 7up and 7u2 558 559 being transcribed in the pluripotent epiblast at the same time that 7y and the youngest 7b were transcribed at the 8 cell and morula stages (Fig. 7). We posit that this 560 561 partitioning allowed multiple HERVH subfamilies to amplify in parallel without causing overt genome instability and cell death during embryonic development. 562

563 Niche diversification may have also enabled HERVH to evade cell-type-specific repression by host-encoded factors such as KZFPs. KZFPs are thought to emerge and 564 565 adapt during evolution to silence specific TE subfamilies in a cell-type specific manner (Bruno et al., 2019; Cosby et al., 2019; Ecco et al., 2017; Imbeault et al., 2017). For 566 567 example, there is evidence that the progenitors of the currently active L1HS subfamily became silenced in human ESCs via KZFP targeting, but evaded that repression and 568 569 persisted in that niche through the deletion of the KZFP binding site (Jacobs et al., 570 2014). HERVH may have persisted through another evasive strategy: changing their TFBS repertoire to colonize niches lacking their repressors. To silence all LTR7, any 571 potential HERVH-targeting KZFP would need to gain expression in multiple cellular 572 contexts. For example, one potential repressor, ZNF534, binds a wide range of LTR7 573 574 sequences, but is particularly enriched at 7up in HEK293 cells (Fig. 3A,D). Our analysis shows that ZNF534 is most highly expressed in the morula, but dips in human ESC 575 (Figure supplement 3). Thus, ZNF534 may repress 7up at earlier stages of development 576

577 but is apparently unable to suppress 7up transcription in pluripotent stem cells. If true,

this scenario would illustrate how LTR diversification facilitated HERVH persistence in

the face of KZFP coevolution. Further investigation is needed to explore the interplay

580 between KZFPs and HERVH subfamilies during primate evolution.

581

582 Implications for stem cell and regenerative biology

Lastly, our findings may provide new opportunities for stem cell research and

regenerative medicine. Our data on 7up reinforces previous findings (Corsinotti et al.,

585 2017; Wang et al., 2012) that place SOX2/3 as central players in pluripotency.

586 Furthermore, our analysis identified a set of TFs whose motifs are uniquely enriched in

587 different LTR7 subfamilies with distinct expression patterns in early embryonic cells,

which may enable a functional discriminatory analysis of the role of these TFs in each

cell type. HERVH/LTR7 has been used as a marker for human pluripotency (Ohnuki et

al., 2014; Santoni et al., 2012; Wang et al., 2014), and recent work has revealed that

591 HERVH/LTR7-positive cells may be more amenable to differentiation, and are therefore

referred to as "primed" cells (Göke et al., 2015; Theunissen et al., 2016). However,

593 primed cells are not as promising for regenerative medicine as so-called "naïve" cells

594 (Nichols and Smith, 2009), which are less differentiated and resemble cells from late

595 morula to epiblast, or so-called "formative" cells, which most closely resemble cells from

the early post-implantation epiblast (Kalkan and Smith, 2014; Kinoshita et al., 2021;

597 Rossant and Tam, 2017). Of relevance to this issue is our finding that elements of the

⁵⁹⁸ 7u2 subfamily are highly and exclusively expressed in the pluripotent epiblast in vivo

(Fig. 5), but weakly so in H1 ESC, which consists of a majority of primed cells and a

600 minority of naïve or formative cells (Gafni et al., 2013). Thus, it might be possible to

601 develop a LTR7u2-driven reporter system to mark and purify naïve or formative cells

602 from an heterogenous ESC population. Similarly, a MERVL LTR-GFP transgene has

been used in mouse to purify rare 2-cell-like totipotent cells where this LTR is

specifically expressed amidst mouse ESCs in culture (Hermant and Torres-Padilla,

605 2021; Macfarlan et al., 2012).

- In conclusion, our study highlights the modular cis-regulatory evolution of an
- 607 endogenous retrovirus which has facilitated its transcriptional partitioning in early
- 608 embryogenesis. We believe that phyloregulatory dissection of endogenous retroviral
- 609 LTRs has the potential to further our understanding of the evolution, impact, and
- applications of these elements in a broad range of biomedical areas.

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622 <u>Methods</u>

623 HERVH LTR sequence identification:

- All HERVH-int and accompanying LTRs (LTR7, 7b, 7c, and 7y) were extracted from
- masked (RepeatMasker version 4.0.5 repeat Library 20140131 (Smit et al., 2013))
- 626 GRCh38/hg38 (alt chromosomes removed). All annotated HERVH-int and HERVH LTR
- were run through OneCodeToFindThemAll.pl (Bailly-Bechet et al., 2014) followed by
- rename_mergedLTRelements.pl (Thomas et al., 2018) to identify solo and full-length
- 629 HERVH insertions. 5' LTRs from full-length insertions >4kb were combined with and
- solo LTRs. LTRs >350bp were considered for future analysis.
- 631 Multiple sequence alignment, phylogenetic tree generation, and LTR7 subdivision:
- All HERVH LTRs (Fig. 1A supp. file 5) or only LTR7s (Fig. 1B supp. file 6) were
- aligned with mafft –auto (Nakamura et al., 2018) strategy: FFT-NS-2/Progressive
- 634 method followed by PRANK (Löytynoja and Goldman, 2010) with options -showanc -
- 635 support -njtree -uselogs -prunetree -prunedata -F -showevents. Uninformative structural
- variations were removed with Trimal (Capella-Gutierrez et al., 2009) with option -gt
- 637 0.01.
- To visualize inter-insertion relationships, the MSA was input into IQtree with options -nt
- AUTO -m MFP -bb 6000 -asr -minsup .95 (Chernomor et al., 2016). This only displays nodes with ultrafast (UF) bootstrap support >0.95.
- 641 Clusters of >10 insertions sharing a node with UFbootstrap support that were separated
- from other insertions by internal branch lengths >0.015 (1.5subs / 100 bp) were defined
- 643 as belonging to a new bona fide LTR7 subfamily (Fig. 1B).
- 644 LTR7 consensus generation and network analysis:
- 645 Majority rule (51%) was used to generate each LTR7 subfamily at nodes described in
- Fig. 1. Positions without majority consensus are listed as "N". Majority rule consensus
- sequences were aligned with MUSCLE in SEAVIEW (supp. file 7) (Edgar, 2004; Gouy
- et al., 2010). Alignment was visualized with Jalview2 (Waterhouse et al., 2009)(Fig. 4A)
- 649 and ggplot2 (Fig. 4).

- Non-gap SNPs from the muscle alignment were used to construct a median-joining
- network (Bandelt et al., 1999) with POPART (Leigh and Bryant, 2015).
- 652 <u>Reverse Transcriptase Domain extraction, alignment, and tree generation:</u>
- The reverse transcriptase (RT) domain was extracted from HERVH-int consensus via
- repbrowser (Fernandes et al., 2020):
- 655 CACCCTTACCCCGCTCAATGCCAATATCCCATCCCACAGCATGCTTTAAAAGGATT
- 656 AAAGCCTGTTATCACTCGCCTGCTACAGCATGGCCTTTTAAAGCCTATAAACTCTCC
- 658 GGATCTGTGCCTTATCAACCAAATTGTTTTGCCTATCCACCCCATGGTGCCAAACC
- 660 TCAAACATGCTTTCTTTACTATTCCTTTGCACCCTTCATCCCAGCCTCTCTTCGCTTT
- 661 CACTTGGA
- This sequence was blated (best hit) against all annotated HERVH-int in the human
- 663 genome and matches were extracted. Corresponding LTR7 subdivision annotations
- 664 from figure 1 were matched with these HERVH-int RT domains. Mafft alignment and
- IQTree generation were done identically to the Mafft and IQTree run for the LTRs (see
- 666 corresponding methods section).
- 667 Peak calling:
- 668 ChIP-seq datasets representing transcription factors (TFs), histone modifications, and
- regulatory complexes in human embryonic stem cells and differentiated cells were
- retrieved from GSE61475 (38 distinct TFs and histone modifications), GSE69647
- 671 (H3K27Ac, POU5F1, MED1 and CTCF), GSE117395 (H3K27Ac, H3K9Me3, KLF4, and
- 672 KLF17), and GSE78099 (An array of KRAB-ZNFs and TRIM28) (Imbeault et al., 2017).
- ZNFs enriched in LTR7 binding (ZNF90, ZNF534, ZNF75, ZNF69B, ZNF257, ZNF57,
- and ZNF101) from HEK293 peaks were all evaluated, but only ZNF90 and ZNF534
- 675 bound >100 LTR7 insertions (data not shown). The others were dropped from the
- 676 analysis.

ChIP-seq reads were aligned to the hg19 human reference genome using the Bowtie2. 677 All reads with phred score less than 33 and PCR duplicates were removed using 678 679 bowtie2 and Picard tools respectively. ChIP-seq peaks were called by MACS2 with the parameters in "narrow" mode for TFs and "broad" mode for histone modifications, 680 keeping FDR < 1%. ENCODE-defined blacklisted regions were excluded from called 681 peaks. For phyloregulatory analysis (Fig. 2), we then converted hg19 to hg38 (no alt) 682 coordinates via UCSC *liftover* (100% of coordinates lifted) and intersected these peak 683 with the loci from LTR7 subfamilies using bedtools with any overlap. For ChIP-seq 684 binding enrichment on a subset of marks following motif analysis (Fig 5), 70% overlap of 685 peak and LTR was required. Enrichment of a given TF within LTR7 subfamilies was 686 calculated using enrichR package in R, using the customized in-house codes (see the 687 688 codes on GitHub for the detailed analysis pipelines and calculation of enrichment

689 score).

690 Phyloregulatory analysis:

Peaks from external ChIP-seq datasets were intersected with LTR7 insertions (Quinlan and Hall, 2010). LTR7 insertions that intersected with >1bp of peaks were counted as positive for the respective mark. We repeated this analysis with a range of overlap requirements from extending the LTR 500bp into unique DNA to 70% overlap and found few differential calls (data not shown). The phylogenetic tree rooted on 7b (ggtree) was combined with these binary data (ggheat).

"Highly transcribed" (fpkm >2) and "chimeric" HERVH from H1 cells (GSE54726) (Wang 697 698 et al., 2014) were intersected with LTR7 similarly to ChIP-seq data. Those which intersected LTR7 were marked as "RNA-seq" or "chimeric" respectively. GRO-seq 699 profiles from H1 cells (Estaras et al.) (GSE64758) were created for windows 10bp 700 upstream and 8kb downstream of 5' and solo LTR7 (Ramírez et al., 2016). The most 701 visible signal was confined to the top 7th of insertions (Figure supplement 2). All LTR7 702 were subdivided into septiles, due to visible signal being confined to the top 7th of 703 insertions; those of the top septile were labeled "GRO-seg". 704

705 Peak proportion heatmap generation and statistical analysis:

Tables with the proportion of solo and 5' LTRs from a given subfamily positive for select

- marks (phyloregulatory analysis) were used to generate heatmaps with the R package
- ggplot (ggheat) (Ginestet, 2011). Those with padj<0.05 (Chi-square Bonferroni
- correction n=147 tests for a total of 21 marks examined) were considered significantly
- enriched in 7up1. Enrichment for non-LTR7up subfamilies was not tested. While not all
- tested marks are displayed in the main text, statistical analysis was performed with all
- tested marks (n=147) (supp. file 8). For comparing transcribed 7up to untranscribed
- 713 7up, 18 pairwise comparisons were made (supp. file 9).

714 Aggregate signal heatmap generation:

- GRO-seq (H1 cells GSE64758), whole-genome bisulfite sequencing (WGBS-seq H1
- cells), and H3K9me3 ChIP-seq (H1 primed GSE78099) bams were retrieved from
- (Estarás et al., 2015), (Dunham et al., 2012), and (Theunissen et al., 2016) respectively.
- 718 Deeptools (Ramírez et al., 2016) was used to visualize these marks by LTR7 subfamily
- division in windows 10bp upstream and 8kb downstream of the most 5' position in the
- 720 LTR (Figure supplement 2).

721 Orthologous insertion aging:

- Human coordinates for 7b, 7c, and 7y and LTR7 used in alignments and tree generation
- were lifted over (Kent et al., 2002; Raney et al., 2014) from GRCh38/hg38 (Miga et al.,
- 2014) to Clint_PTRv2/panTro6 (Waterson et al., 2005), Kamilah_GGO_v0/gorGor6
- (Scally et al., 2012), Susie_PABv2/ponAbe3 (Locke et al., 2011), GGSC
- Nleu3.0/nomLeu3 (Carbone et al., 2014), or Mmul_10/rheMac10 (Gibbs et al., 2007).
- 727 Those that were successfully lifted over from human to non-human primate were then
- ⁷²⁸ lifted over back to human. Only those that survived both liftovers (1:1 orthologous) were
- counted as present in non-human primates. The proportion of those orthologous to
- human and total number of orthologous was plotted with ggplot2.

731 <u>Terminal branch length aging:</u>

732 Terminal branch lengths from the LTR7 phylogenetic tree (Fig. 1B) were extracted and

- plotted with ggplot2. Similarly aged subfamilies were inferred from means here and from
- orthologous insertion aging for statistical testing. Three total groups were tested for

differences in means (7up1/7up2/7u2 vs. 7d1/7d2/7u1 vs. 7bc/o) via Wilcox rank-sum
 test with Bonferroni multiple testing correction.

737 Identification of recombination breakpoints and consensus parsimony tree generation:

738 Major recombination breakpoints were identified by eye from the consensus sequence

- MSA, where SNPs and structural rearrangements seemed to have different
- relationships between blocks. Putative block recombination events were identified by
- looking for shared shapes in the block consensus MSA (Fig. 4A). To test if these were
- truly recombination events and could not be explained by evolution by common
- 743 descent, inter-block sequence relationship differences were tested by generating
- parsimony trees and comparing these to the overall phylogenetic structure from Fig. 1A.
- 745 Parsimony trees were generated in SEAVIEW, treating all gaps as unknown states
- (except in the case of 2b, where the entire sequence is gaps and gaps were not treated
- 747 differently than other sequence), bootstrapped 5000 times with the option "more
- thorough tree search". Differences in block parsimony trees and the overall phylogeny
- that had bootstrap support were marked in red and included in Fig. 4D,7.
- 750 <u>7up consensus block 2a 2b alignment and parsimony tree:</u>
- LTR7up blocks 2a and 2b (Fig. 4) appeared to share sequence. To determine if block
- 252 2b was the result of a duplication of 2a, we extracted these sequences from the
- LTR7up1 consensus and aligned them with blastn (NCBI web version) with default
- settings. To determine the relationship of all HERVH LTR 2a and 2b blocks, we
- performed a muscle alignment (default settings) of all 2a and 2b from all HERVH LTR
- consensus sequences and then generated a parsimony tree with 5000 bootstraps with
- 757 SEAVIEW with the option "more thorough tree search".
- 758 New LTR7B/C/Y consensus generation and remasking of human genome:
- Consensus sequences for LTR7 subfamilies were generated using the tree from figure
- 1b (see above). For LTR7b/c/y, we used the alignment and tree comprising all HERVH
- LTR (Figure supplement 5). To do this, we identified nodes with >0.95 ultrafast
- bootstrap support that were comprised of predominately (>90%) of previously annotated
- LTR7b, LTR7c, or LTR7y. These sequences were used to generate majority-rule

consensus sequences for their respective subfamily. We generated 2 mutually exclusive LTR7c consensus sequences (LTR7C1 and LTR7C2) due to the high
 sequence divergence of LTR7C. Both of these subfamilies were merged into "LTR7C"
 after remasking.

768 Parsing previously annotated LTR7 into 8 subfamilies and evidence of recurrent 769 recombination events caused concern that HERVH LTRs may be misannotated in the repeat masker annotations. To compensate, we remasked (Smit et al., 2013) 770 771 GRCh38/hg38 (excluding alt chromosomes) with a custom library consisting of the new consensus sequences for LTR7 subfamilies, new consensus sequences for 7b, 7y, and 772 773 7c (see above) based on the HERVH LTR tree from Fig. 4, and HERVH-int (dfam). We also included annotated consensus sequences from dfam for MER48, MER39, AluYk3, 774 775 and MST1N2, who we found a HERVH only library also masked to a limited degree 776 (data not shown). With this library, we ran RepeatMasker with crossmatch and 777 "sensitive" settings: -e crossmatch -a -s -no is. Changes in annotations can be found in

- 778 (HERVH_LTRremasking.xlsx)
- 779 Embryonic HERVH subfamily expression analysis:

We downloaded the raw single-cell RNA-seq datasets from early human embryos and 780 embryonic stem cells (GSE36552) and the EPI, PE, TE cells (GSE66507) in sra format. 781 782 Following the conversion of raw files into fastg format, the quality was determined by using the FastQC. We removed two nucleotides from the ends as their quality scores 783 784 were highly variable compared with the rest of the sequences in RNA-seq reads. Prior 785 to aligning the resulting reads, we first curated the reference genome annotations using 786 the LTR7 classification, shown in the manuscript. We extracted the genes (genecode V19), and LTR7 subfamilies (see figure 5) genomic sequences and combined them to 787 788 generate a reference transcriptome. These sequences were then appended, comprising 789 the coding-sequences plus UTRs of genes and locus-level LTR7 subfamilies sequences in fasta format. We then annotated every fasta sequences with their respective genes or 790 LTR7 subfamilies IDs. To guide the transcriptome assembly, we also appended the 791 792 each of the resulting contigs and modelled them in gtf format that we utilized for the 793 expression quantification. Next, we indexed the concatenated genes and LTR7

subfamilies transcriptome and genome reference sequences using 'salmon' (Patro et 794 al., 2017). Finally, we aligned the trimmed sequencing reads against the curated 795 796 reference genome. The 'salmon' tool quantified the counts and normalized expression (Transcripts per million (TPM)) for each single cell RNAseg sample. Overall, this 797 approach enabled us to simultaneously calculate LTR7 subfamilies and protein-coding 798 799 gene expression using expected maximization algorithms. Data integration of obtained count matrix, normalization at logarithmic scale, and scaling were performed as per the 800 "Seurat V.3.7" (http://satijalab.org/seurat/) guidelines. The annotations of cell-types were 801 taken as it was classified in original studies. We calculated differential expression and 802 tested their significance level using Kruskal–Wallis test by comparing cell-types of 803 interest with the rest of the cells. The obtained p-values were further adjusted by the 804 805 Benjamini-Hochberg method to calculate the False Discovery Rate (FDR). All the statistics and visualization of RNA-seq were performed on R (https://www.r-project.org/). 806

807 Motif Enrichment:

For each subfamily of LTR7 elements, all re-annotated elements were aligned against 808 809 the subfamily consensus sequence using MUSCLE (Edgar, 2004). These multiplesequence alignments were then split based on the recombination block positions in the 810 consensus sequence. The consensus sequence was then removed. Binding motif 811 812 position-weight matricies were downloaded from HOMER (Heinz et al., 2010) and were 813 used to perform pairwise motif enrichment using the command 'homer2 find'. For LTR7up1 enrichment (Fig. 6A - testing which motifs were enriched in LTR7up1 814 compared to other subfamilies), enrichment was only calculated for LTR7up1 and the 815 motifs with a -log(p-value) cutoff of 1x10-5 were kept. For enrichment in all subfamilies 816 817 (supp. files 3,4) – testing all subfamilies against all others), every pairwise subfamily 818 combination within each block was tested and all results are displayed.

819

820 SOX2 ChIP-seq signal on LTR7:

821 SOX2 ChIP-seq and whole-cell extract datasets from primed hESCs were downloaded

- in fastq format from GEO ID GSE125553 (Bayerl et al., 2021). Fastq reads were
- mapped against the hg19 reference genome with the bowtie2 parameters: -very-

37

sensitive-local. All unmapped reads with Phred score < 33 and putative PCR duplicates 824 were removed using *Picard* and *samtools*. All the ChIP-seg narrow peaks were called 825 826 by MACS2 (FDR < 0.01). To generate a set of unique peaks, we merged ChIP-seq peaks within 50 bp of one another using the *mergeBed* function from bedtools. We then 827 intersected these peak sets with LTR7 subgroups from hg19 repeat-masked 828 coordinates using bedtools intersectBed with 50% overlap. LTR7up1 and LTR7up2 829 were harboring the highest number of peaks compared with the rest of the subgroups. 830 To illustrate the enrichment over the LTR7 subgroups, we first extended 500 basepairs 831 from upstream and downstream coordinates from the left boundary of each 832 LTR7subgroups. These 1KB windows were further divided into 10 bps bins. The 833 normalized ChIP-seg signal over the local lambda (piled up bedGraph outputs from 834 835 MACS2) was counted in each bin. These counts were then normalized by the total number of mappable reads per million in given samples and presented as signal per 836 837 million per 10 bps. Finally, these values were averaged across the loci for each bin to illustrate the subfamilies' level of ChIP-seg enrichment. Replicates were merged prior to 838 839 plotting. Note: Pearson's correlation coefficient between replicates across the bins was found to be r > 0.90. 840

841

842 Luciferase reporter assay:

The inserts (LTR7 variants or EF1a promoter) with restriction enzyme overhangs were 843 844 ordered from Genewiz and cloned into pGL3-basic plasmid upstream of the firefly reporter gene (E1751, Promega). Minipreps were prepared with QIAprep Spin Miniprep 845 846 kit (Qiagen). Plasmids were sequenced to ensure the correct sequence and directionality of the insert. 24 h before transfection, human iPSC WTC-11 (Coriell 847 Institute) cells were plated on Vitronectin (Thermo Fisher Scientific) coated 12-well 848 plates in Essential 8 Flex medium (Thermo Fisher Scientific) with E8 supplement 849 (Thermo Fisher Scientific), Rock inhibitor and 2.5% penicillin-streptomycin. Cells were 850 co-transfected with 800 ng of plasmid of interest and 150 ng plasmid containing EF1a 851 852 upstream of GFP for normalization with Lipofectamine Stem transfection reagent (Thermo Fisher scientific) according to manufacturer's instructions. 48 h after 853

- transfection, cell pellet was harvested and luciferase activity was measured with
- 855 Luciferase Reporter Assay kit (Promega) on Glomax (Promega) according to
- instructions. Transfection efficiency and cell count was normalized with GFP.
- 857 1. 7down:
- 858 **GCTAGC**TGTCAGGCCTCTGAGCCCAAGCTAAGCCATCATATCCCCTGTGACCTGC
- 859 ACGTACACATCCAGATGGCCGGTTCCTGCCTTAACTGATGACATTCCACCACAAAA
- 860 GAAGTGAAAATGGCCTGTTCCTGCCTTAACTGATGACATTATCTTGTGAAATTCCTT
- 861 CTCCTGGCTCATCCTGGCTCAAAAGCTCCCCTACTGAGCACCTTGTGACCCCCACT
- 863 TCCTATAAAACGGCCCCACCCCTATCTCCCTTCGCTGACTCTCTTTCGGACTCAG
- 864 CCCGCCTGCACCCAGGTGAAATAAACAGCTTTATTGCTCACACAAAGCCTGTTTGG
- 865 TGGTCTCTTCACACGGACGCGCATGCTCGAG
- 866 2. LTR7upcons:
- GCTAGCTGTCAGGCCTCTGAGCCCAAGCCAAGCCATCGCATCCCCTGTGACTTGC 867 ACGTATACGCCCAGATGGCCTGAAGTAACTGAAGAATCACAAAAGAAGTGAATATG 868 CCCTGCCCCACCTTAACTGATGACATTCCACCACAAAAGAAGTGTAAATGGCCGGT 869 CCTTGCCTTAAGTGATGACATTACCTTGTGAAAGTCCTTTTCCTGGCTCATCCTGGC 870 TCAAAAAGCACCCCCACTGAGCACCTTGCGACCCCCACTCCTGCCCGCCAGAGAA 871 CAAACCCCCTTTGACTGTAATTTTCCTTTACCTACCCAAATCCTATAAAACGGCCCC 872 ACCCTTATCTCCCTTCGCTGACTCTCTTTTCGGACTCAGCCCGCCTGCACCCAGGT 873 GAAATAAACAGCCATGTTGCTCACACAAAGCCTGTTTGGTGGTCTCTTCACACGGA 874 CGCGCATGCTCGAG 875
- 876 5. LTR7upcons_AAAGAAG_deletion:
- 877 GCTAGC TGTCAGGCCTCTGAGCCCAAGCCAAGCCATCGCATCCCCTGTGACTTGC
 878 ACGTATACGCCCAGATGGCCTGAAGTAACTGAAGAAGTCACAAAAGAAGTGAATATG
 879 CCCTGCCCCACCTTAACTGATGACATTCCACCATTGTAAATGGCCGGTCCTTGCCT
 880 TAAGTGATGACATTACCTTGTGAAAGTCCTTTTCCTGGCTCATCCTGGCTCAAAAAG

- 881 CACCCCCACTGAGCACCTTGCGACCCCCACTCCTGCCCGCCAGAGAACAAACCCC
- 882 CTTTGACTGTAATTTTCCTTTACCTACCCAAATCCTATAAAACGGCCCCACCCTTAT
- 883 CTCCCTTCGCTGACTCTCTTTTCGGACTCAGCCCGCCTGCACCCAGGTGAAATAAA
- 884 CAGCCATGTTGCTCACACAAAGCCTGTTTGGTGGTCTCTTCACACGGACGCGCAT
- 885 GCTCGAG
- 886 5'Nhel highlighted in Yellow
- 887 3'Xhol highlighted in Cyan

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