Thalamic state controls timing and synchronization of primary somatosensory cortical representations in the awake mouse

Peter Y Borden[1*], Nathaniel C Wright[1*], Arthur E Morrissette[2],

Dieter Jaeger[2], Bilal Haider[1], Garrett B Stanley[1]

[1] Wallace H Coulter Department of Biomedical Engineering, Georgia Institute of Technology and Emory University, Atlanta, GA, USA

[2] Emory University, Department of Biology, Atlanta, Georgia, USA

*Denotes co-first authorship

Abbreviated Title: Thalamic state controls timing and synchronization of S1 cortex

With 41 text pages, 8 Figures

Correspondence: Garrett B Stanley
                         Coulter Department of Biomedical Engineering
                         Georgia Institute of Technology & Emory University
                         313 Ferst Drive
                         Atlanta GA 30332-0535
                         USA

                         Phone: 404-385-5037
                         Fax: 404-385-5044
                         Email: garrett.stanley@bme.gatech.edu

Conflict of Interest: The authors declare no competing financial interests.

Acknowledgements: This work was supported by NIH National Institute of Neurological Disorders and Stroke Grant R01-NS048285 (GBS), R01-NS104928 (GBS), U01-NS094302 (GBS and DJ), and NIH National Institute of Neurological Disorders and Stroke Pre-doctoral NRSA NS098691 (PYB).

Summary (150 words max, currently 150)

The thalamus controls transmission of sensory signals from periphery to cortex, ultimately
shaping perception. Despite this significant role, dynamic thalamic gating and the
consequences for downstream cortical sensory representations have not been well
studied in the awake brain. We optogenetically modulated the ventro-posterior medial
thalamus in the vibrissa pathway of the awake mouse, and measured spiking activity in
the thalamus, and at the level of primary somatosensory cortex (S1) using extracellular
electrophysiology and genetically encoded voltage imaging. Thalamic hyperpolarization
significantly amplified thalamic sensory-evoked spiking through enhanced bursting, yet
surprisingly the S1 cortical response was not amplified, but instead timing precision was
significantly increased, spatial activation more focused, and there was an increased
synchronization of cortical inhibitory neurons. A thalamocortical network model implicates
the precise timing of feedforward thalamic spiking, and timing-sensitive engagement of
synaptic depression, presenting a highly sensitive, state-dependent timing-based gating
of sensory signaling to cortex.

INTRODUCTION

We explore the world through our sensory periphery, where sensors transduce the signals
that ultimately give us perception of the world. The mammalian sensory thalamus gates
information from the periphery to primary sensory cortices, controlling what signals do
and do not make their way to cortex, thus playing a very critical role in sensing. Far from
a static relay, the thalamus is under continuous influence by modulatory inputs from
brainstem and feedback mechanisms from cortex (Sherman and Guillery, 2002;
Sherman, 2005), most extensively explored in the context of sleep and epileptic seizures
(Huguenard and McCormick, 2007; Fogerson and Huguenard, 2016). The large majority
of detailed thalamic studies focusing on its potential role in sensory signaling have been
either in ex-vivo/slice preparations or in intact/in-vivo preparations under anesthesia,
where prominent thalamic properties such as synchronization of convergent
thalamocortical projections and tonic/burst gating have been shown to strongly boost
signaling under these conditions (Murray Sherman, 2001; Sherman, 2001; Swadlow and
Gusev, 2001; Swadlow, 2002; Lesica and Stanley, 2004; Lesica et al., 2006; Wang et al.,
2010; Stanley et al., 2012; Whitmire et al., 2016, 2021). Recent studies exploring the role
of thalamus in the awake, unanesthetized brain have confirmed the critical role of
thalamus in grossly modulating sensory cortex (Halassa et al., 2011; Poulet et al., 2012a;
Lewis et al., 2015; Reinhold et al., 2015; Yu et al., 2016). However, whether increases in
thalamic excitability act to boost cortical sensory-evoked responses in the awake brain as
predicted from ex-vivo and anesthetized studies is unknown.

In addition to direct afferent inputs that serve as the primary drive of thalamocortical
activity, thalamic nuclei receive more subtle modulatory inputs from a range of sources,
including corticothalamic feedback (McCormick and von Krosigk, 1992; Crandall et al.,
2015a), thalamic reticular nucleus (Halassa and Acsády, 2016), as well as diffuse inputs
from the reticular formation (Castro-Alamancos, 2002) and reticular activating system
(Rodenkirch et al., 2019) and other neuromodulatory centers (for a review, see Varela,
2014). The coordinated and collective effects of this complex array of excitatory and
inhibitory inputs sets the operating point, or *state*, for thalamic neurons, which can vary
widely in different conditions. These modulations in baseline thalamic excitability have
important implications for both spontaneous and sensory-evoked thalamic firing activity,
which interact to ultimately shape thalamic drive of cortex. Even small changes in baseline
membrane potential have been shown to have appreciable effects on spontaneous firing
of thalamic neurons (Béhuret et al., 2015), setting the overall tone of synaptic drive to
cortex. The use of pharmacology to directly modulate thalamic state (Godwin et al., 1996;
Hirata and Castro-Alamancos, 2010; Poulet et al., 2012b) or opto/microstimulation and
pharmacology to indirectly affect thalamic state through cortical (Olsen et al., 2012;
Mease et al., 2014; Crandall et al., 2015b; Li and Ebner, 2016; Kirchgessner et al., 2020)
and subcortical (Aguilar and Castro-Alamancos, 2005) inputs has further causally

revealed the sensitivity of cortex to overall thalamic drive. Importantly, thalamic neurons
also exhibit distinct tonic versus burst firing modes (Sherman, 2001), the switching of
which is highly sensitive to baseline membrane potential, and the de-inactivation of T-
type calcium channels (Suzuki and Rogawski, 1989; Kim et al., 2015). Neurons in the
thalamus contain T-type calcium channels that are normally inactivated, but become
activated through prolonged hyperpolarization. Subsequent depolarizing inputs lead to
"bursts" of action potentials. Most actively investigated in the context of sleep states and
rhythmic discharge, the role of this mechanism in sensory signaling remains unclear.
Because thalamocortical high frequency bursting events have been shown to have a
significant impact on downstream cortical activation (Swadlow and Gusev, 2001), the
state-dependent gating of thalamic signaling through the aggregate effects of all these
properties is hypothesized to serve a critical role in processing sensory information (Crick,
1984; Sherman and Guillery, 2002; Sherman, 2005); however, this has not been
investigated extensively in the intact brain due to the lack of methodological approaches
to precisely manipulate thalamic state properties. To date, no studies have utilized
temporally precise, repeatable and reversible modulation to precisely measure the effects
of thalamic state and burst/tonic gating in awake cortex at the level of population signals
and single neuron firing.
107
Here, we directly determined how thalamic gating properties control sensory-evoked
thalamic and cortical responses in the vibrissa pathway of the awake, head-fixed mouse.
Instead of driving or silencing neural activity, optogenetic manipulation was used to
modulate thalamic state while recording extracellular thalamic and cortical activity and
acquiring widefield cortical voltage imaging, using the voltage indicator ArcLight (Jin et
al., 2012; Borden et al., 2017). We found that baseline thalamic firing rate was
surprisingly invariant to optogenetic thalamic hyperpolarization through a transition from
tonic to burst firing activity, and the baseline activity in S1 cortex was correspondingly
invariant to thalamic hyperpolarization, following a brief transient increase in firing activity.
In response to sensory stimuli, the thalamic hyperpolarization significantly amplified the
thalamic evoked response through enhanced bursting; however, the magnitude of the
response in S1 cortex was not amplified, but instead slightly attenuated relative to
baseline, despite the amplification in thalamic drive. However, the sensory evoked
response was also significantly more brief and spatially focused, and accompanied by an
increase in the synchronization of the putative cortical Fast-spiking (FS) inhibitory
neurons. A thalamocortical network model replicated these findings, and implicated the
precise timing of feedforward thalamic spiking, and the corresponding timing-sensitive
engagement of thalamocortical synaptic depression, as a likely mechanism underlying
the experimental observations. Taken together, the results here point to timing rather than
response magnitude as a fundamental feature of the thalamocortical circuit, presenting a
dynamic, state-dependent timing-based gating of sensory signaling to cortex.

METHODS

131 All procedures were approved by the Institutional Animal Care and Use Committee at the
132 Georgia Institute of Technology and were in agreement with guidelines established by the
133 National Institutes of Health.
134

<u>AAV Delivery</u>: At least 5 weeks prior to experimentation, 6 week old female C57BL/6J
(Jackson Laboratories) mice were injected with different viral constructs either in the
ventral posteromedial (VPm) thalamic region with AAV-5-CamKinaseII-eNph3.0 (UNC
Viral Vector core) for optogenetic modulation, in the primary somatosensory (S1) cortex
with AAV-1-hsyn1-ArcLight (UPenn Viral Vector Core) for optical voltage imaging, or both.
For surgical procedures, mice were anesthetized using isoflurane (3-5%). After the
mouse was fully anesthetized, small craniotomies were placed over the regions of interest
and were aligned using stereotaxic measurements (For VPm, 1.8mm lateral from midline
by 1.8mm caudal from bregma). For cortical expression, either single or multiple injection
sites were used surrounding the barrel cortex (centered at 1.5mm caudal from bregma
and 3mm lateral from midline). The virus was loaded into a modified Hamilton syringe
(701-N) with a ~35 micron borosilicate glass pipette tip or a Hamilton Neuros Syringe.
The syringe was initially lowered to the corresponding depth below the surface (for VPm:
3mm and For S1: 0.5mm) and the tissue was allowed to rest for 1 minute before injection.
Both sites received injections of 0.5-1µl of viral construct at a flow rate of 0.1µl/minute.
After injection, the pipette remained in place for an additional 5 minutes before slowly
being removed from the brain. The bore holes were filled with either bone wax or left to
close naturally. Throughout injection, mice were kept warm using a water heating system
to maintain body temperature. See Supplemental Figure S1 for histological validation of
expression of halorhodopsin in thalamus and ArcLight in S1.
155

<u>Awake Animal Preparation:</u> At least four weeks after ArcLight and eNphR3.0 viral
injection, mice were anesthetized under isoflurane and were implanted with a head-plate.
Over the course of 5-14 days preceding the first imaging experiment, mice were routinely
handled to gain familiarity with the imaging system and immobilization device. During this
acclimatization period, mice were head fixed for increasingly longer periods of time, from
15 minutes to 1.5 hours. During stimulation of the whisker, mice were prevented from
interacting with the whisker stimulator by obstructing the path from the paws to the
whisker. Mice were rewarded with sweetened milk (Nestle, Ltd.) throughout imaging. After
at least 5 days of handling and acclimating, mice became tolerant to immobilization in the
headplate restraint system. During passive stimulation of the whiskers, the mice often
actively moved their whiskers. Therefore, the whisker stimulator was placed relatively
close (5mm) to the face to prevent the whisker from slipping out of the manipulator;

however, the amplitude of the deflection was adjusted to maintain a consistent angular
velocity (1200 deg/s).
170
<u>Whisker Stimulation:</u> Whisker stimulation was similar to that utilized previously (Borden
et al., 2017). Briefly, individual vibrissae of the mice were deflected by a high fidelity (1
KHz) galvanometer system (Cambridge Technologies). A whisker stimulus was applied
by positioning the custom designed galvanometer 5-10mm from the face and delivering
an exponential sawtooth (rise and fall time = 5ms). The waveform stimulus velocity was
taken by averaging the time to peak velocity of the stimulus. The velocity was adjusted
based on distance from the face.
178
<u>Thalamic and Cortical Electrophysiology:</u> For thalamic electrophysiology, a small
craniotomy was made over the primary whisker sensitive thalamic ventral-posterior
medial (VPm) region of the mouse, around the injection site (see above). First, the VPm
was mapped with a 2MOhm tungsten electrode (FHC) which was slowly lowered until
2.5mm below the cortical surface. The mouse VPm was identified using both stereotaxic
measurements and depth as well as electrophysiological features (such as latency, peak
response, whisker selectivity). A neuron was determined to be located in the VPm if the
peri-stimulus time histogram (PSTH) contained a peak response 3ms -10ms after a 1200
degree/s (deg/s) punctate single whisker stimulation and did not have a latency shift by
more than 20ms after 1s of a 10Hz adapting stimulus (Wang et al., 2010). The principal
whisker was first determined using a manual probe to isolate the whisker with the largest
evoked response. If further isolation was needed, the principal whisker was determined
by the largest 30ms PSTH response of the surrounding three whiskers. Note that VPm
single units were difficult to isolate in the awake animal, limiting our sample size, but the
multi-unit VPm activity is also reflective of the same findings. For cortical recordings, initial
mapping was conducted using cortical ArcLight voltage imaging or intrinsic imaging (see
below). Once the target cortical column (barrel) was identified and confirmed, a 32-
channel silicon probe (NeuroNexus) or single tungsten electrode was inserted. For both
thalamic and cortical electrophysiology, after the conclusion of the study either a small
7uA 10s lesion, or a fluorescent dye was placed near the recording location and confirmed
using post-mortem histological validation. Neuronal signals were band-pass filtered
(500Hz–5KHz), digitized at 30 KHz/channel and collected using a 96-channel data-
acquisition system (Blackrock Microsystems, Salt Lake City, UT, USA). For single unit
recordings, offline spike sorting was accomplished using Plexon Offline Spike Sorter v4
(Plexon, Inc). For multi-unit data, we measured threshold crossings from the continuously
recorded thalamic or cortical activity. Thalamic multiunit activity was captured using a
threshold criterion of 5 standard deviations over the entire recording (Yang et al., 2016).
For cortical multiunit recordings, a manual threshold was set based on each experiment.
Additional data analysis utilized custom scripts using Matlab (Mathworks, Inc).
208
209

<u>Awake Cortical Fluorescent ArcLight Imaging</u>: ArcLight transfected mice were imaged
through the thinned or removed skull using a two camera system: a Scimedia Imaging
system to measure cortical ArcLight spatial activity, and a custom camera to measure
hemodynamic activity for subtraction. The cortex was imaged using a 184 x123 pixel CCD
Camera, MiCam2 HR Camera (Scimedia, Ltd) to capture ArcLight, and a Basler Ace
(acA1920-155um) 480 x 180 pixel (4x4 binned) CMOS Camera to capture auto-
fluorescence, at 200 Hz with a tandem lens microscope. The entire cortical area was
illuminated at 465 nm with a 400 mW/cm2 LED system (Scimedia, Ltd.) to excite the
ArcLight fluorophore and background auto-fluorescence. The excitation light was
projected onto the cortical surface using the first dichroic mirror (bandpass: 475/625nm,
Semrock, Inc.). Collected light was passed through a second dichroic mirror (Longpass
cutoff: 495 nm, Semrock, Inc.) for collection of the ArcLight and auto-fluorescence signal.
The auto-fluorescence signal was filtered with a bandpass filter between the wavelengths
of 465/75 nm (Semrock, Inc).   The ArcLight signal was filtered with a bandpass emission
filter between wavelengths of 520∕35 nm (Semrock, Inc.). The imaging system was
focused approximately 300µm below the surface of the brain or cortical layer 2/3.
226
<u>Anesthetized Cortical Fluorescent ArcLight & Intrinsic Imaging:</u> ArcLight transfected mice
were imaged through the thinned or removed skull using a Scimedia Imaging system to
measure cortical spatiotemporal activity (leveraging a single camera setup). The cortex
was imaged using a 184x123 pixel CCD Camera, MiCam2 HR Camera (Scimedia, Ltd)
at 200 Hz, and a tandem lens macroscope. The entire cortical area was illuminated at
465 nm with a 400 mW/cm^2 LED system (Scimedia, Ltd.) to excite the ArcLight
fluorophore. The excitation light was further filtered (cutoff: 472-430 nm bandpass filter,
Semrock, Inc.) and projected onto the cortical surface using a dichroic mirror (cutoff: 495
nm, Semrock, Inc.). Collected light was filtered with a bandpass emission filter between
wavelengths of 520-535 nm (Semrock, Inc.). The imaging system was focused
approximately 300µm below the surface of the brain to target cortical layer 2/3. For
intrinsic imaging of the hemodynamic response, the cortical surface was illuminated by a
625nm red LED (ThorLabs), and imaged with the same camera system as above, at a
temporal resolution of 10Hz. During intrinsic imaging, no emission filters were used. In
order to evoke a cortical intrinsic response, the whisker was repetitively stimulated at
10Hz for 6 seconds.
243
244
<u>Functional Fluorescent Mapping of Barrel Cortex:</u> The mouse's whisker system was first
mapped by imaging the rapid ArcLight response to a high velocity (1200 Deg/s) sensory
stimulus separately applied to three different whiskers. The resulting whisker response
averaged over 20 trials was determined to be associated with a principal whisker, and
barrel, if the evoked response was spatially limited to roughly a 0.2 mm x 0.2 mm area
25-30ms after stimulation. Additionally, the response was determined to be originating

from the barrel field if the center of mass of activation moved consistently with the
histologically defined barrel field and was within the standard stereotaxic location of S1
(~3mm lateral, 0.5-1.5mm from bregma). After mapping, a single whisker was deflected
in a way as to emulate a high velocity slip-stick event (1200 deg/s), either with or without
thalamic optogenetic hyperpolarization.
256
Simultaneous Imaging and Thalamic Optogenetic Manipulation: After mapping both the
thalamic and cortical regions, an optrode (2M Ohm tungsten electrode mounted to an
200um optic fiber) was positioned to the stereotaxic locations of the pre-mapped thalamic
region and lowered to the corresponding depth. Once a single thalamic unit was identified
using the above constraints, the unit was determined to be sensitive to optical stimulation
by briefly (1-2s) hyperpolarizing the cells using ~17mW/mm^2 (LED condition) or
~35mW/mm^2 (double LED condition) (unless otherwise noted) at 590nm from an LED
light source (Thorlabs, M590-F1). Each cell was determined to be a thalamic optically
sensitive unit if the lighted caused a transient decrease in firing rate or if the cessation of
the 590nm light caused a rebound burst (Brecht and Sakmann, 2002). After identifying
an optically sensitive thalamic unit, the whisker stimulus was presented under various
light conditions. Light stimulation was presented 500-750ms preceding and following
whisker deflection. There was at least a four second interval between stimulus deliveries
to allow for recovery of halorhodopsin (eNphR3.0). Each session imaged 200ms-1s of
frames preceding whisker stimulation to measure spontaneous activity. Prior to use, light
power was measured from the tip of the ground optical fiber before each experiment to
maintain approximate light intensities delivered to each cell. During light delivery, the
downstream cortical response was recorded using either electrodes for cortical
electrophysiology or voltage imaging as described above. The optogenetic and viral
expression of each experiment was verified through confocal and brightfield imaging of
fixed slices.
278
The LED light intensity used for optogenetic stimulation is within the published range of
light stimulation (35mW/mm^2 is estimated from our maximum power measure of 1.2mW
through a 200um fiber) (Stujenske et al., 2015; Owen et al., 2019). Further, studies that
have directly quantified the effects of optical stimulation on local tissue heating and neural
activation (in the absence of opsin expression) have found no significant difference in the
firing rate change for 1mW light intensity (Stujenske et al., 2015) or minor firing rate
changes for 3mW light intensity, but no behavioral effects (Owen et al., 2019). See
Supplemental Figure S5 for controls that demonstrate a lack of light effects and lack of
confounding interactions between optogenetic activation of VPm thalamus and GEVI
imaging in cortical S1.
289
290
Anesthetized Electrophysiology: A subset of experiments was conducted with mice under
light anesthesia, as a control. These mice were initially anesthetized using isoflurane (3-

5%) and then placed on a heated platform (FHC, Inc.) in a stereotaxic nose cone to
maintain anesthesia. A large incision was placed over the animal's skull, and
the connective tissue and muscle surrounding the skull was removed using a
fine scalpel blade. A modified headplate was attached using dental acrylic (Metabond)
and secured to the skull. For cortical imaging, the skull was thinned with a dental drill until
transparent, or removed entirely and covered with saline or ringers solution. After surgery,
the isoflurane levels were dropped to ~<1% for imaging and electrophysiology, the
procedures for which were identical to those for the awake animal. The animal's vitals
(heart rate and respiratory rate) were constantly measured for tracking anesthesia depth.
302
<u>Histology</u>: Histological procedures were similar to those utilized previously (Borden et al.,
2017), to validate ArcLight in S1 and/or opsin expression in VPm thalamus. Histological
samples were prepared by perfusing the animal transcardially with phosphate buffered
saline (PBS) followed by 4% paraformaldehyde. Brains were post-fixed overnight in 4%
paraformaldehyde then transferred to PBS before sectioning. Thick sections were cut
using a vibratome (100 μm, Leica, VTS 1000) and either directly mounted or saved for
staining.   See Supplemental Figure S1 for histological validation of expression of
halorhodopsin in VPm and ArcLight expression in cortex.
311
312
<u>Thalamic Electrophysiology Data Analysis - Mean Response and Burst Ratio</u>: We report
several different basic measurements of spiking activity from our thalamic units including
evoked response and evoked bursting response. Thalamic firing activity was reported as
PSTHs with units of firing rate in Hz, calculated as the number of spikes within a bin,
divided by the size of the bin (see individual plots for bin size). We determined thalamic
evoked response as the initial response (0-30ms) to sensory stimuli, reported as the
number of spikes per stimulus averaged over many trials (9-102 trials). The
corresponding evoked bursting response was determined as number of bursting spikes
per trial in that same post stimulus period. Bursting spikes were defined as 2 or more
spikes that fire at most 4ms apart preceded by 100ms of silence. The 100ms pre-stimulus
activity is based on reported values for T-type calcium bursts (Swadlow and Gusev, 2001;
Whitmire et al., 2016). All data analysis of the recorded extracellular thalamic units was
accomplished using custom Matlab scripts.
326
<u>Cortical Electrophysiology Data Analysis:</u> Putative single cortical units were identified
offline using open-source spike sorting software (KiloSort2). In order to be considered
well isolated, each neural unit must have signal to noise ratio greater than 2, and have
less than 1% of spikes within 0-1ms Inter-spike Interval (ISI). Although recordings
originated across cortical layers, for simplicity, all units were analyzed in the same pool,
regardless of the laminar origin.   Individual single-units were classified as putative
Regular Spiking Units (RSUs) or Fast Spiking Units (FSUs) based on the characteristics
of the spike waveform (McCormick et al., 1985; Niell and Stryker, 2010; Guo et al., 2017;

Speed et al., 2019; Yu et al., 2019). Specifically, units with a spike-width exceeding 0.4
ms (defined as trough-to-peak) were classified as RSUs, and below this as FSUs. Cortical
firing activity was reported as PSTHs with units of firing rate in Hz, calculated as the
number of spikes within a bin, divided by the size of the bin (see individual plots for bin
size). All spikes within a 1ms ISI were removed from analysis. Synchrony across cortical
single-units was computed from spike cross-correlograms across recorded pairs.
Specifically, synchrony was defined as proportion of spikes from the full (+/- 100ms)
cross-correlogram that were in a central +/- 7.5ms window (Wang et al., 2010; Whitmire
et al., 2016). To determine the number of needed synchronous events to accurately
measure synchrony, we simulated two neurons with a ~5% change in synchrony
(assuming a normal distribution). We found that approximately 50 events were required
to accurately separate the two distributions.
347
<u>Voltage Imaging Data Processing:</u> Raw images were loaded and converted from the
SciMedia ". gsd" format using custom scripts and down-sampled by a factor of two. Each
dataset was first normalized to a %$\Delta F/F_o$ measurement by subtracting and dividing each
trial by the temporal average of the frames 0 to 200ms preceding light delivery (F_o). In
two instances, where 200ms of preceding LED onset activity was not captured, the Fo
was taken as an average 200ms period across no-stimulus trials. Hemodynamic noise
was removed using a PCA background subtraction method. As described in detail
(Borden et al., 2017), *in vivo* ArcLight imaging overlaps with the hemoglobin absorption
spectrum, and therefore contains hemodynamic noise that must be removed for analysis.
Imaging the wildtype mouse cortical surface using the same blue excitation and ArcLight
filter set revealed similar patterns of oscillatory activity, likely through auto-fluorescence
and effects of hemodynamic absorption and blood flow (Ma et al., 2016). The background
PCA subtraction utilizes the auto-fluorescence signal from non-ArcLight transfected
regions to predict the hemodynamic signal across the recorded space. Specifically, the
method uses principal component analysis of non-expressing low background auto-
fluorescence regions (determined from the maximum fluorescence from a non-injected
animal) to find the ongoing hemodynamic components on a single trial basis. Additionally,
the background fluorescence regions were selected at least 1mm away from the recorded
whisker evoked response (Borden at el, 2017). Ideally, these criteria would create a
spatially defined region with little or no ArcLight fluorescence to isolate the hemodynamic
signal from the signal of interest. Each frame is first spatially averaged by either a 200
μm x 200 μm circular averaging (pillbox) filter or a media filter to reduce noise. On a single
trial, the corresponding top five principal components of the low background regions
(which contains approximately 85% of the variance explained) are projected on a pixel by
pixel basis across the entire recording using a lasso regression method with
regularization. The lasso regression utilizes a cross-validated approach to determine the
minimum number of components to develop the model of hemodynamic noise. In order

to prevent the removal of any stimulus evoked activity, each pixel was fit on pre-stimulus
activity (either before light onset for experiments involving optogenetics, or immediately
preceding stimulus delivery). The final predicted hemodynamic signal for each pixel was
subtracted across the entire recording on a pixel by pixel basis. Due to the complex
waveform of the hemodynamic response, a simple notch filter is not effective at
separating the signal from the noise (Borden et al., 2017). We found that the background
PCA subtraction method greatly reduced hemodynamic signal across the entire frame,
compared to the off-ROI method (Borden et al, 2017). In some instances, brief onset and
offset light artifacts of the 590nm light was visible in the recorded ArcLight cortical signal.
To account for any optogenetic transient light artifacts, we only considered the relative
changes in fluorescence during steady state light levels. Both raw and processed images
showed qualitatively similar results.

Awake Voltage Imaging Data Analysis –Dual Camera: In the awake animal, we utilized a
dual camera imaging system to capture a background fluorescence signal for
hemodynamic subtraction. Two different cameras were used to capture the ArcLight and
auto-fluorescence signals, and therefore, pixels could not be directly registered for
subtraction for pixel by pixel correction. Instead, we utilized the same Background PCA
subtraction method to find and develop models of the hemodynamic response based on
the global PCA signal derived from the background image. For the dual camera data,
each component was fit over the entire recording for subtraction of the hemodynamic
noise. Both raw and processed images showed qualitatively similar results. Unless
otherwise noted, each dataset was processed with the Background PCA or Dual Camera
subtraction method as stated above.
399

Imaging Data Analysis – Peak amplitude, Normalized Peak, and Temporal Properties:
We measured the effect of the optogenetic stimulation on the peak amplitude of the
evoked mean ArcLight fluorescence in the determined cortical barrel. The cortical barrel
region of interest (ROI) for each stimulated barrel and each data set was selected as the
200 μm x 200 μm region with the largest response 30ms after stimulus delivery. This
determined ROI was used for all subsequent analyses of the temporal response. To better
isolate the evoked amplitude, the frame preceding stimulus delivery (t=-5ms) was
subtracted from the resulting recorded signal. For each recording, the peak amplitude
was defined as the $\Delta F/F_o$ at the time of the maximum average response between 0 and
110ms for the strongest stimuli (1200 Deg/s) presented under control and various
optogenetic conditions. In order to measure the temporal properties of the evoked
response, we concentrated on the timeseries data from the determined cortical barrel
ROI. For normalized fluorescence (Norm $\Delta F/Fo$), each session's peak response was
divided by the average peak response to the strongest stimulus (1200 Deg/s) under the
control condition. The normalization allows for a better comparison across animals which
may have different levels of ArcLight expression. Peak time was defined as the time of

the maximum fluorescence between 0 and 110 ms post stimulus, and the time of return
to baseline as the time when the fluorescence crossed the pre-stimulus baseline value
following the peak. Peak-to-baseline was then calculated as the time between the
fluorescence peak and the return of the fluorescence to baseline. Recovery was defined
as the average fluorescence in the 120-400ms window following the stimulus.
421
Imaging Data Analysis – Area Measurements: In addition to measuring the peak
response, we also measured the effect of different thalamic states on the evoked area of
sensory cortical activity. We measured the activated area as the number of pixels
exceeding a threshold using the average response at the peak frame (0-110ms)
preceding stimulus delivery. Similar to other studies (Lustig et al., 2013; Millard et al.,
2015), we measured the spatial activation using the 70% threshold. To compare the area
independent of amplitude changes, we normalized the peak frame by dividing by the peak
fluorescence in each condition (Control and LED). In order to isolate the evoked activity
from ongoing activity, we subtracted the frame preceding stimulus delivery (t=-5ms).
Different thresholds had no effect on the observed trends.
432
Whisking Recordings and Analysis: In all analyses, we included electrophysiological and
voltage imaging data regardless of the behavioral state of the animal, which invariably
combines data during whisking and non-whisking periods. As a control, we measured the
awake whisking behavior using a Basler Ace (acA1920-155um) camera sampled with 480
x 300 pixel (4x4 binned) at 20-50 Hz. The whiskers were illuminated using either an
external LED light (860nm) or by the imaging 465nm light source. Images were
continually recorded through the entire recorded session and then aligned using the
movement of the galvo stimulus. A select region of interest (ROI) was placed in the
whisker pad close to the face to determine changes in average intensity during the
recording session.   A custom algorithm measured the squared change in intensity to
determine periods of whisker movement. Whisker movement was assigned using a
threshold of pixel intensity that was used for each imaging session. A movements had to
last longer than 100ms to considered as whisking periods. Any trial with a whisking
periods within 500ms of the stimulus were determined to be a whisking trial. As shown
in Supplemental Figure S4, analyses performed on data parsed based on whisking
confirm that the observed trends were consistent across whisking and non-whisking
periods.
450
451
Integrate & Fire or Burst (IFB) Modeling: The Integrate and Fire or Burst (IFB) model was
derived from previously published models of thalamic function from the LGN (Smith et al.,
2000; Lesica and Stanley, 2004; Lesica et al., 2006) and VPm (Whitmire et al., 2016). In
order to simulate the experimental parameters and account for changes in thalamic
activity, some additional terms and parameters were added and adjusted. Additionally,
we generated ongoing activity using two methods, either injected current noise or synaptic

events, with both showing the same results. The results shown here use the synaptic
event model where IPSCs and EPSCs are modulated as fixed inputs. The model itself
was written and analyzed using custom scripts in Matlab 2016a.
461
462 The model is based on modifications of the standard integrate and fire model representing
463 the effects of integrated synaptic currents on membrane voltage:
464

465
$$C\frac{dV}{dt} = I_{EPSC} - I_{IPSC} - I_L - I_T - I_{Halo}$$

466
$$I_L = g_L(V - V_L), \quad I_T = g_T m_\infty h(V - V_T)$$

467
$$\frac{dh}{dt} = \begin{cases} \dfrac{-h}{\tau_h^-}, & (V > V_h) \\ \dfrac{(1-h)}{\tau_h^+}, & (V < V_h) \end{cases}$$

468
$$\frac{dI_{EPSC}}{dt} = \frac{I_{EPSC}}{\tau_s}$$

469
$$\frac{dI_{IPSC}}{dt} = \frac{I_{IPSC}}{\tau_s}$$

470
The following parameters were used to simulate thalamic activity: $C = 2\text{uF}/cm^2$, $g_L =$
$0.035 \text{ mS}/cm^2$, $g_T = 0.07 \text{ mS}/cm^2$, $V_L = -65\text{mV}$, $V_{reset} = -45\text{mV}$, $V_h = -68\text{mV}$, $V_T =$
120mV, $\tau_h^+ = 0.1\text{s}$, $\tau_h^- = 0.02\text{s}$, $\tau_s = 1e - 2\text{uF}/cm^2$, $Threshold = -35\text{mV}$, $I_{Halo} = 0 -$
$1uA/cm^2$. EPSPs occurred at a rate $\text{EPSP}_{rate} = 1 - 25\text{Hz}$, where each excitatory post-
synaptic event had a peak of $3nA/cm^2$, and decayed according to the above first-order
differential equation for I_{EPSC}. Similarly, IPSPs occurred at a rate of $\text{IPSP}_{rate} = 0 - 5\text{Hz}$,
where each inhibitory post-synaptic event had a peak of $1uA/cm^2$, and decayed
according to the above first-order differential equation for I_{IPSC}. To simulate the different
levels of thalamic activity, we varied the rates of EPSP inputs on the thalamic model
(based on published ranges of thalamic activity (Urbain et al., 2015)). IPSPs were
simulated at a much lower rate (20% of EPSP rate) to add additional variability to baseline
activity. The model outputs represent the average response of a 100 simulated thalamic
neurons in response to various levels of thalamic hyperpolarization and baseline activity.
The model was updated at 1ms steps. The absolute refractory period was set to 1ms.
485
Cortical E-I Modeling: We constructed a simple model of the thalamocortical network
using custom scripts written in Python 3.6.10, as described previously (Wright et al., 2021)
We modeled a single cortical barrel as a clustered network of excitatory and inhibitory
single-compartment leaky integrate-and-fire (LIF) neurons, subject to excitatory thalamic
and non-thalamic synaptic inputs. For both the "Control" and "LED" conditions, we
simulated 50 trials, each lasting 200 ms, with a time-step of 0.05 ms. A detailed

description of the model can be found in Cortical E-I Modeling Supplemental, Section 1.
All code is freely available upon request.
494
495   Non-zero thalamocortical (TC) synaptic weights were broadly distributed, and we
496   implemented differential TC connectivity by imposing higher TC convergence (Bruno and
497   Simons, 2002; Cruikshank et al., 2007) and shorter synaptic latencies (Cruikshank et al.,
498   2007a; Kimura et al., 2010a) for inhibitory neurons, and requiring that VPm neurons with
499   the highest rates synapsed only onto inhibitory neurons (Bruno and Simons, 2002).
500
We modeled a single cortical column as a network of 800 excitatory and 100 inhibitory
LIF neurons, with relatively strong inhibitory-to-excitatory synapses (Gabernet et al.,
2005). We imposed spatial clustering via "small-world" network connectivity (Litwin-
Kumar and Doiron, 2012; Bujan et al., 2015; Wright et al., 2017a, 2017b), with 10% re-
wiring probability. Inhibitory LIF neurons had shorter membrane time constants (Gentet
et al., 2010) and refractory periods than excitatory neurons, which – together with the TC
connection properties described above – supported higher firing rates in inhibitory
neurons, as observed here (Fig. 6B, C) and in previous work (Bruno and Simons, 2002;
Khatri et al., 2004; Gentet et al., 2010; Taub et al., 2013). Excitatory neurons were subject
to an inhibitory spike-rate adaptation conductance, which helped to stabilize network
activity.
512
513   We drew tonic and burst thalamic spike times from the empirical VPm spike time PSTHs,
514   with bursts modeled as either pairs (in the Control condition) or triplets (in the LED
515   condition) of spikes with 2.5 ms ISI. In response to a spike in a given thalamic neuron,
516   all TC synapses from that neuron decayed instantly and recovered slowly.
517
We employed four alternate models to parse the roles played by TC synaptic adaptation,
changes in thalamic firing (i.e., burst ratio and the overall time-course of evoked firing),
and intracortical inhibition. For each model, we calculated the grand mean +/- SEM firing
rates for all neurons, for a 50 ms pre-stimulus window, and an "early" (0 – 50 ms post-
stimulus) and "late" (60 – 100 ms post-stimulus) response window, where stimulus onset
time represents the time of galvo deflection onset, or *t = 0* in the empirical VPm PSTH.
For synchrony analysis, we randomly selected 200 excitatory and 200 inhibitory neuron
pairs.
526
<u>Statistical Analysis & Data Sharing:</u> All tests were conducted using the MATLAB Statistics
Toolbox (Mathworks, Inc.). For all measurements, we determined if the specific data sets
were normally distributed using the Lilliefors test for normality. If the data were normal,
we used the appropriate (paired or unpaired) t-test for statistical difference. If the
population was determined to have non-normal distributions, we conducted non-

parametric Wilcoxon signed-rank tests to determine statistical significance. All sample
sizes are reported in the figure captions and Results text, along with an indication of
particular test and the corresponding statistical significance level (* - p<0.05, ** - p<0.01,
*** - p<0.001 in figures). All datasets and software are available upon request.
536
537
538   **RESULTS**
539
All experiments were conducted in the vibrissa pathway of the mouse (Figure 1A). To
directly test the predictions of previous in-vitro and anesthetized work, we conducted the
first experiment in the isoflurane-anesthetized mouse (illustrated in Figure 1B). In the
lightly anesthetized mouse, we utilized extracellular electrodes to record whisker-evoked
spiking activity in VPm thalamus, in the presence (LED, ~17mW/mm^2, 590nm) and
absence (Control) of a hyperpolarizing optogenetic modulation of excitatory thalamic relay
neurons expressing halorhodopsin (eNphR3.0, see Supplemental Figure S1), through a
small fiber optic cable attached to the electrode (Figure 1B). Note that the inhibitory opsin
was engaged with a constant illumination at a range of relatively low light levels, to induce
sustained hyperpolarization, as opposed to complete inactivation. Note also that the total
amount of light delivered here was in a range that has been previously shown to not
induce significant heating of the surrounding tissue (see Methods), and control
experiments indicate that there is no effect of the light alone in the absence of opsin
expression (Supplemental Figure S5). Although the in-vivo optogenetic implementation
precludes precise knowledge of the degree of hyperpolarization of the thalamic neurons
due to variations in opsin expression, position of optic fiber relative to cells, etc., a
separate set of in-vitro, slice experiments showed that VPm neurons were hyperpolarized
by ~15-25 mV for the light levels utilized (see Supplemental Figure S2). Given that in the
in-vitro experiments, light was presented more directly to the VPm neurons expressing
halorhodopsin, and that in the in-vivo experiments the presentation of light did not
completely silence the neurons, it is likely that the in-vitro experiments were an upper-
bound for the in-vivo case, and the actual hyperpolarization induced in-vivo was less than
that of the in-vitro experiments.
563
Neurons in VPm thalamus responded to a single, computer-controlled punctate whisker
stimulation (1200 deg/sec, sawtooth waveform) with a brief, transient sequence of action
potentials (Figure 1D, see Methods for single unit and multi-unit classification). The
sensory evoked thalamic VPm response was significantly amplified by optogenetic
thalamic hyperpolarization, as shown in Figure 1D (all spikes in black, putative T-type
calcium "burst" spiking in red, classified according to the criterion in Figure 1C). Shown in
the insets are the low baseline firing rates in the anesthetized condition. Overall, there
was a ~35% increase in the evoked response from the Control to the LED condition

(Figure 1E left, black, p=0.0046, paired Wilcoxon signed-rank, n=29 units), in large part
driven by the increase in sensory evoked thalamic bursting (Figure 1E left, red, p=4.5e-
4, paired Wilcoxon signed-rank, n=29 units). In a subset of experiments, we recorded the
downstream cortical multiunit activity (Figure 1E right), and found a corresponding
increase during the period of hyperpolarization (n=102 trials, 2 recordings, 1 mouse).
Additionally, we simultaneously recorded cortical S1 activation at a meso-scopic scale
with optical voltage imaging in primary somatosensory (barrel) cortex using a Genetically
Engineered Voltage Indicator (GEVI, ArcLight, Borden et al., 2017) during optogenetic
hyperpolarization of thalamus (see Methods). This approach enables the characterization
of the overall spatiotemporal changes in S1 due to the thalamic manipulation
(Supplemental Figure S5). The imaging was focused at a cortical depth consistent with
layer 2/3, where it captured sub-threshold activity reflective of suprathreshold layer 4 input
to layer 2/3 (Petersen et al., 2003). The fluorescence imaging of cortical S1 confirmed a
corresponding amplification of the sensory evoked response during thalamic
hyperpolarization (raw %dF/Fo), as shown in an example session for the fluorescence
images in Figure 1F and further quantified across the larger dataset in the left panel of
Figure 1G (normalized relative peak evoked response, ~60% increase, 1G inset raw
relative peak evoked %dF/Fo, p=0.017, paired Wilcoxon signed-rank, n=13 recordings
across 9 mice). There was an amplification in the peak response, followed by a relatively
fast transient decrease in fluorescence, followed by a gradual return to baseline over
approximately 200ms. The results here using optogenetic manipulation of thalamus and
wide-field voltage imaging of cortex are consistent with previous observations of
spontaneous thalamic bursting and the impact on downstream synaptic targets in cortical
layer 4 (Swadlow and Gusev, 2001). Importantly, the amplification in the peak response
observed here under these conditions reflects what would have been expected from the
observations in thalamus, if the cortical sensory representation were solely shaped by the
amplitude of the feedforward thalamic inputs.
599

Thalamic State and Ongoing Thalamic and Cortical activity in the awake brain
In a next set of experiments, we sought to characterize the influence of thalamic state
properties on gating of signaling to cortex in the awake mouse. Before turning to the
sensory-evoked responses, we first probed the effect thalamic hyperpolarization has on
baseline, ongoing activity that is significantly higher in the awake state as compared to
under anesthesia. The baseline firing rate in the awake state is significantly higher than
in the anesthetized condition, and we thus expect to see some effect of the optogenetic
hyperpolarization on baseline firing. An example single-unit VPm recording (experimental
setup in Figure 2A) is shown in the raster plot in Figure 2B. VPm neurons in awake mice
exhibited moderate ongoing firing activity (4.9 Hz for this example). Upon presentation of
a ramping optical input to thalamus (LED, 590nm, ~17mW/mm^2), the spiking activity
underwent a qualitative change to a more sparse pattern of multiple action potentials,

612 typical across all recorded cells. Bursts were present in the baseline (Control, no light)
613 condition, but substantially more prevalent in the presence of the hyperpolarizing
614 optogenetic input. This effect is summarized across all clearly identified single-units in the
615 top panel of Figure 2C (n=5), showing the average instantaneous firing rate for all spiking
616 in black, and for the putative burst spiking in red (bands represent +/- 1 standard error of
617 the mean, SEM). This shows that following an initial decrease in firing rate at the onset
618 of the light ramp, the VPm firing activity returned to the same overall pre-stimulus baseline
619 firing rate. This was accompanied by a monotonic increase in the burst firing rate,
620 suggesting that the return to pre-stimulus baseline firing rate was due to a compensatory
621 transition from tonic to burst firing. Note that the VPm nucleus in mice is a very small
622 structure, difficult to target and difficult to identify and maintain clearly isolated single units
623 in the awake state, thus we conservatively classified several of the recordings as multi-
624 unit in nature, which precludes burst/tonic identification. This same net effect we observed
625 in the single units is also shown in the bottom panel of Figure 2C for the multi-unit data
626 (n=9 recordings). The multi-unit firing rate did not significantly change from the Control
627 (0 mW/mm^2) to the hyperpolarized condition (Figure 2D left, multi-unit, Control vs LED p=
628 0.098, Control vs Double LED p= 0.65, Wilcoxon signed-rank, n=9 MU recordings;
629 ~17mW/mm^2 - LED or ~35mW/mm^2 - Double LED). Note that the firing rate in the LED
630 conditions was calculated from the 250-750ms window, after the initial transient decrease
631 in firing rate. This lack of sustained effect on the overall thalamic firing rate was also
632 evident in the single-units (Figure 2D, middle, in the Control condition (n= 8 units) as
633 compared to the activation of the halorhodopsin (n= 5 units), for both all spikes (black)
634 and spikes classified as belonging to a burst (red). While there was no significant change
635 in the overall single-unit firing rate (black, Control vs LED p=0.55, Control vs Double LED
636 p= 0.37, unpaired t-test), there was a significant increase in the firing rate associated with
637 bursting (red, Control vs LED p=0.014, Control vs Double LED p= 0.032, unpaired t-test),
638 indicating a compensatory shift from tonic to burst firing with the thalamic
639 hyperpolarization resulted in a significant increase in the burst ratio metric from the
640 Control to hyperpolarized condition (Fig. 2D, right, Control vs LED p=0.0016, Control vs
641 Double LED p=0.0016, unpaired Wilcoxon rank sum test). Further examination showed
642 that increasing hyperpolarization also increased the number of spikes per burst
643 (illustrated in left of Figure 2E), which partially offsets the loss of tonic spiking (right of
644 Figure 2E, Control vs LED p=0.048, Control vs Double LED p= 0.016, unpaired Wilcoxon
645 rank sum test, n=5 units across conditions, only considered units that had bursts).
646
647 Although it is not surprising that the thalamic burst mechanism was engaged by the
648 optogenetic hyperpolarization, it is surprising that the net baseline firing rate was not
649 decreased, but was instead invariant to the thalamic hyperpolarization. This effect was
650 not as apparent in the anesthetized condition when the baseline thalamic firing rate is
651 near zero, but emerges in the awake state where baseline thalamic firing rate is

significantly higher. To investigate possible causes, we constructed an integrate-and-fire
or burst (IFB) model of thalamic firing that has been utilized in previous studies (Smith et
al., 2000; Lesica and Stanley, 2004; Lesica et al., 2006), where the resting membrane
potential was set by the level of activation of the hyperpolarizing opsin (see Methods).
When the model neuron was set to have a spontaneous, baseline firing rate consistent
with our observations (~5 Hz), the effect of the hyperpolarizing opsin activation indeed
replicated this phenomenon (Figure 2F). An initial decrease in firing rate at the onset of
the light ramp was followed by a return to pre-light baseline level of firing (compare with
Figure 2C). Moreover, as in the experimental observation, the return to the baseline firing
rate in the hyperpolarized condition is dominated by the increase in bursting activity in the
IFB model (Figure 2F, red). The exact combination of the baseline activity and intensity
of the hyperpolarizing input strongly influenced the net resultant effect (Supplemental
Figure S3). Prolonged periods of halorhodopsin activation have been shown to potentially
alter the reversal potential of chloride (Raimondo et al., 2012). However, due to the short
timescales of LED stimulation used in this work (1-1.5s), and the large transition to burst
firing, the effect shown here is more likely driven by low voltage activation of T-type
calcium channels, further reinforced by the IFB model.
669
To uncover the downstream effects of the above observations in VPm, we performed the
same optogenetic manipulations during multi-electrode recording of single-unit activity in
S1 in the awake mouse (Figure 3A). Shown in the top panel of Figure 3B is a raster plot
from one example session (10 trials each from 15 simultaneously recorded units), in
response to the optogenetic hyperpolarization of thalamus with the LED (again 590nm,
17mW/mm^2). This example demonstrates a clear transient increase in spiking with the
thalamic hyperpolarization, typical of the cortical recordings. The bottom panel of Figure
3B shows the aggregate single-unit firing rate, with a clear transient increase in firing rate
at the light onset (bands represent +/- 1 SEM), with a gradual return to the baseline, pre-
hyperpolarization level of firing rate at steady-state. Figure 3C summarizes this trend,
showing the mean single-unit firing rate across recorded cortical single-units in the
Control condition (0mW/mm^2) versus activation of halorhodopsin in thalamus (LED -
17mW/mm^2 or Double LED - 35mW/mm^2), for the initial increase in firing rate during a
transient period (300-350 ms, open symbols) and the subsequent return to baseline firing
rate at steady state (700-750 ms, closed symbols). There was a significant transient
increase in the cortical firing rate across both led conditions (transient, Control vs LED
p=5.4e-14, Control vs Double LED p= 1.4e-15, Wilcoxon signed-rank test, n=118 units).
After the transient increase, we observed a subsequent return to near baseline, either
with no net effect or a marginal increase in the rate (steady-state, Control vs LED p=0.2,
Control vs Double LED p= 0.013, Wilcoxon signed-rank test). Interestingly, following
thalamic hyperpolarization, the cortical firing rate appeared to begin its initial transient
increase while the thalamic activity remained relatively low (Figure 2), which could reflect

an increased sensitivity of the thalamocortical synapse following the initial period of
quiescence (Swadlow and Gusev, 2001; Swadlow et al., 2005)
694
Taken together, the results here suggest that in response to the optogenetically induced
thalamic hyperpolarization, the thalamic VPm neurons in the awake mouse exhibit a
transient decrease in baseline firing rate, followed by a return to approximately pre-
hyperpolarization level that is at least partially explained by a trade-off between tonic and
burst firing, while the downstream activity in cortical S1 exhibits a transient increase in
baseline firing rate, followed by an eventual return to approximately the original baseline
rates.
702

Thalamic State and Sensory Evoked Thalamic and Cortical Activity

703
We next recorded the sensory evoked single-unit activity in VPm thalamus in the
presence and absence of thalamic hyperpolarization in the awake mouse (Fig. 4A).
Neurons in VPm thalamus responded to a single, computer-controlled punctate whisker
stimulation (1200 deg/sec, sawtooth waveform) with a brief, transient increase in multi-
unit activity (see Methods), which was significantly boosted with thalamic
hyperpolarization (Fig. 4B; PSTH across multi-units, LED approximately 17mW/mm^2, n=9
recordings, 2 mice). Overall, there was an approximately 85% increase in the sensory
evoked response of the thalamic MU VPm neurons with optogenetic hyperpolarization
(Figure 4C, Control vs LED p=0.0039, Control vs Double LED p=0.0039, paired Wilcoxon
signed-rank, n=9 recordings, 2 mice). To explore the potential role of tonic/burst gating in
the boosting of the thalamic response, we analyzed clearly identified VPm single-units
where spiking could be classified as tonic or burst in origin. The single-units also exhibited
a brief, transient sequence of action potentials (Fig. 4D; PSTH across single-units, n=8
for Control, n=5 for LED approximately 17mW/mm^2), qualitatively similar to the multi-unit
data and to single-units observed in the anesthetized case. The top plot shows the peri-
stimulus time histogram (PSTH, 2 ms bins) for all spikes (black) and putative burst spikes
(red). In response to the transient sensory input, in the no-light (Control) condition, there
is a short-latency transient VPm spiking response (black), with a modest level of putative
T-type calcium bursting (red). In the presence of the hyperpolarizing light input to
thalamus (Figure 4D, bottom, LED), however, there is an obvious increase in the VPm
response to the sensory input (black), driven by a dramatic increase in bursting response
(red), that is qualitatively similar to that in the anesthetized condition. The latency of the
sensory-evoked response also increased, consistent with the T-type calcium channel
bursts. We tested a range of LED intensities to determine the impact of various levels of
thalamic hyperpolarization on the evoked bursting (Figure 4E; LED approximately
17mW/mm^2, and Double LED approximately 35 mW/mm^2, for full range see Supplemental
S6). With thalamic hyperpolarization, there was a significant increase in single-unit burst
ratio (Figure 4E, Control vs LED p=0.011, Control vs Double LED p=0.019, unpaired

Wilcoxon rank sum, n=5 units in 2 mice for (single & double) LED conditions, n=8 units in
3 mice for Control). Taken together, the multi- and single-unit data reveal a boosting of
the sensory evoked thalamic response with thalamic hyperpolarization that is likely due
to the increased sensory-evoked thalamic bursting.
736
To examine the downstream consequences of the observed changes in the sensory-
evoked response in thalamus, we recorded cortical S1 activation with wide-field optical
voltage imaging during optogenetic hyperpolarization of thalamus in the awake mouse
(illustrated in Figure 4F, see Methods). Note further that fluorescent imaging approaches
like this are differential in nature (responses are based on relative not absolute changes
in fluorescence), and are thus not well suited to capture overall absolute levels of ongoing
background activity, but instead are targeting evoked responses relative to background.
Based on previous findings (Swadlow and Gusev, 2001), the results in the anesthetized
mouse, and the amplified sensory-evoked response in thalamus with thalamic
hyperpolarization in the awake mouse, we expected to see a corresponding amplification
of the cortical sensory-evoked response. Shown in Figure 4F is a trial averaged sequence
of the sensory-evoked fluorescence images. Following the deflection at time 0, a localized
change in fluorescence began to emerge 10-15 ms later. Surprisingly, thalamic
hyperpolarization did not result in an increased cortical response, but instead induced an
apparent modest decrease in sensory evoked S1 activity. As quantified in Figure 4G, the
peak fluorescence showed an approximately 20-40% decrease with this level of thalamic
hyperpolarization (Control vs LED p=0.020, Control vs Double LED p=0.0039, paired
Wilcoxon sign rank test, 2 mice over 9 sessions for LED conditions). Note that the right
panel of Figure 4G is the same data as in the left panel, with each dataset normalized to
the Control condition, to show a percent decrease in the peak fluorescence.
757
Finally, in a separate set of experiments, we hyperpolarized thalamus while
simultaneously recording the S1 Cortical SU responses using a multichannel electrode
(illustrated in Figure 4H). The corresponding PSTHs for responses to a punctate whisker
stimulus (1200 deg/sec, sawtooth waveform) are shown in Figure 4I (Control (0mW/mm^2)
vs. LED (17mW/mm^2) conditions). The average absolute evoked cortical response
remained approximately invariant during LED conditions across all cortical recorded units
(Figure 4J, left, 5 to 100ms post-stimulus, n=118). However, the evoked cortical spiking
relative to baseline decreased with increasing LED intensities (Control vs LED p=0.20,
Control vs Double LED p=0.034, paired Wilcoxon signrank, n=118 units, across 3 mice),
consistent with the GEVI recordings (Figure 4G), which are relative measures by
construction.
769
To summarize, there is an amplification of the sensory evoked thalamic response with
thalamic hyperpolarization, driven by the increase in sensory evoked bursting. Given this

amplification of the thalamic sensory evoked response that serves as the primary
feedforward inputs to cortical S1, it was surprising to observe in the awake mouse not an
increase, but instead a sensory evoked cortical response that was invariant in absolute
amplitude and decreased relative to background activity.
776
777 **Thalamic State and Spatial and Temporal Sharpening of Sensory Evoked Cortical**
778 **Response**
779
The GEVI imaging of cortex enables further investigation of the spatial characteristics of
the observed phenomenon. Figure 5A shows the imaging frame associated with the peak
sensory-evoked fluorescence, for the Control (top) and thalamic hyperpolarization (LED,
bottom) conditions. What is apparent qualitatively from the images is that there was an
overall attenuation of the cortical evoked response with thalamic hyperpolarization, as
previously shown in Figure 4. Less immediately obvious is the effect of the thalamic
hyperpolarization on the spatial extent of the sensory evoked cortical activation, as an
overall loss in amplitude would trivially produce an apparent loss in spatial activation, as
illustrated conceptually in the top panel of Figure 5B – often referred to as the "iceberg"
effect. The bottom panel of Figure 5B shows conceptually the alternative - that the
reduction in spatial area of activation may not just be due to the reduction in amplitude of
the evoked response, but instead can reflect a spatial sharpening of the sensory evoked
response with increasing thalamic hyperpolarization after accounting for the decrease in
peak amplitude of fluorescence. For the GEVI recordings here, the latter is indeed the
case - this is summarized as a reduction in the evoked normalized area of activation in
the left panel of Figure 5D (Control vs LED p=0.025, Control vs Double LED p=0.046,
paired t-test, 2 mice over 9 recording sessions for LED conditions).
797
In addition to the spatial characteristics of the sensory evoked response revealed by the
voltage imaging, the voltage imaging is also useful in revealing relatively fast temporal
dynamics of the aggregate cortical activity. Figure 5C shows the integrated fluorescence
signal within a barrel column in the presence (LED condition) or absence (Control) of light
input to activate halorhodopsin in thalamus, giving a picture of the temporal dynamics of
the sensory-evoked clear response to the punctate whisker stimulus (2 mice over 9
recording sessions). We again found a decrease of the sensory evoked response (note
that the fluorescence in Fig. 5C is normalized) despite a ~85% increase in the sensory-
evoked multi-unit response in thalamus as measured in comparable experiments (Fig. 4).
Also apparent is the presence of a more rapid, post-peak decay in the fluorescence with
thalamic hyperpolarization, and a prolonged period of sub-baseline fluorescence before
returning to baseline after several hundred milliseconds. To quantify the temporal
sharpening of the sensory evoked response, we calculated the temporal width of the
evoked response as the time between the fluorescence peak and the first return to

baseline level (defined as peak-to-baseline, Figure 5C), as shown in the middle panel of
Figure 5D, revealing a clear narrowing/sharpening of the temporal response as a function
of thalamic hyperpolarization from ~150ms in the Control condition, to ~67ms in the
thalamic hyperpolarized condition (Control vs LED p=0.0059, Control vs Double LED
p=0.0019, unpaired t-test, LED conditions n=9, Control n=8 recording sessions, note one
Control recording did not return to a pre-stimulus baseline). To quantify the prolonged
post-stimulus undershoot of activity, we calculated the overall fluorescence in a window
from 120 to 400ms post-stimulus (defined as mean recovery in Figure 5C, Control vs LED
p=0.016, Control vs Double LED p=0.020, paired t-test, n=9 recording sessions), as
shown in the right panel of Figure 5D. The increasingly negative relative fluorescence in
this window revealed a clear increase in the undershoot with increasing thalamic
hyperpolarization. Taken together, these S1 voltage imaging results show that in addition
to the attenuation of the sensory-evoked response with thalamic hyperpolarization, there
is a corresponding sharpening of the spatial activation of S1 and a temporal sharpening
in the form of a more transient evoked response with an inhibitory undershoot.
827
Thalamic State and the Cortical Spike Timing
Taken together, the results from Figure 5 revealed that the thalamic hyperpolarization
sharpens both the spatial and temporal aspects of the cortical sensory evoked response
in the awake brain. The absence of these effects in the anesthetized brain in Figure 1
suggests the potential role of cortical circuitry in shaping the sensory representations, as
isoflurane as an anesthetic has been shown to significantly suppress intracortical
dynamics (Greenberg et al., 2008; Vizuete et al., 2012; Haider et al., 2013; Aasebø et al.,
2017; Suzuki and Larkum, 2020). To further probe this phenomenon, we parsed our
cortical single-units into putative excitatory neurons (regular spiking units, RSUs) and
putative inhibitory neurons (fast spiking units, FSUs). Specifically, single-unit activity was
identified (see Methods) and parsed based on RSU and FSU classification and combined
across cortical layers, the result of which is shown in Figure 6A. The top panel shows the
waveform for typical putative RSU (red) and FSU (blue), where the solid line represents
the mean waveform, and the band represents the standard deviation around the mean.
The bottom panel shows the distribution of the time from the trough to the peak for the
recorded waveforms. A threshold was set at 0.4 ms based on prior literature ((Guo et al.,
2017), see Methods), for classifying the individual units into RSUs or FSUs.
845
Apparent in the aggregate PSTH is the expected higher baseline/ongoing activity and
sensory evoked responses in the FSUs compared to the RSUs, as shown in Figure 6B
(~17 HZ for FSU, n=32; ~3 Hz for RSU, n=86), along with several other observations
quantified in Figure 6C. As shown in the left panels of Figure 6C, in response to the
thalamic hyperpolarization (LED ~17 mW/mm^2, double LED ~35 mW/mm^2), there was a
relatively modest increase in baseline (pre-stimulus, -50 – 0 ms) firing rate for RSUs

(Control vs LED p=5.3e-4, Control vs Double LED p=0.012, Wilcoxon signed-rank test,
n=86), while FSUs showed no significant change (Control vs LED p=0.15, Control vs
Double LED p=0.30, Wilcoxon signed-rank test, n=32). The response to the punctate
sensory stimulus was a relatively short latency, transient increase in firing activity in both
cell types, appearing to be relatively unchanged in overall magnitude with thalamic
hyperpolarization, further quantified in the middle panels of Figures 6C (early response,
5-50ms post stimulus). We observed a slight increase in the RSU evoked firing rate (less
than ~10% increase, Control vs LED p=0.067, Control vs Double LED p=0.0082,
Wilcoxon signed-rank test, n=86); however, FSUs displayed no statistical difference
(Control vs LED p=0.30, Control vs Double LED p=0.45, Wilcoxon signed-rank test,
n=32). This same effect was consistent across a larger range of optogenetic light levels
(Supplemental Figure S6).

Although the overall magnitude of the early phase of the sensory evoked response was
relatively unchanged by the thalamic hyperpolarization for both the FSUs and RSUs,
there was a qualitative change in shape of the PSTH, with an increased latency to peak
in the early phase, and change in the later phase of the evoked response. Specifically,
thalamic hyperpolarization induced a reduction in the later phase of the cortical response,
and a dip below the baseline firing rate in both the FSUs and RSUs, as shown in the
PSTHs in Figure 6B. This was reflected in a decrease in the late phase (60-100ms) of the
evoked response from the Control to the LED condition as shown in the right panels of
Figure 6C (RSU - Control vs LED p=0.017, Control vs Double LED p=0.014, n=86, FSU
Control vs LED p=2.2e-4, Control vs Double LED p=4.5e-4, n=32, Wilcoxon signed-rank
test).

The changes in timing and shape of the PSTHs of the cortical neurons suggest the
possibility that thalamic hyperpolarization could affect synchronization within the cortical
network.   We analyzed synchrony across simultaneously recorded cortical single-units.
Synchrony in firing across a pair of Neurons 1 and 2 is evaluated by examining the spike
times of Neuron 2 relative to a particular spike of Neuron 1, across all spikes of Neuron
1, as illustrated in Figure 7A (see Methods). This forms the spike cross-correlogram
(CCG), from which the synchrony is calculated as the integrated area within a +/- 7.5 ms
window (see Methods, (Wang et al., 2010; Whitmire et al., 2016)), as illustrated in the
bottom of Figure 7A. Due to the sensitivity of the synchrony metric to firing rate, we only
examined pairs with a robust measurement (more than 50 synchronous events) to control
for measurement accuracy (experimental results were invariant with different thresholds,
see Methods), which restricted the analysis to FSUs in our dataset. Figure 7B shows
rasters from an example pair of FSUs in the Control and double LED conditions (top),
along with the spikes identified to be synchronous by this criterion (bottom). Figure 7C
shows the aggregate cross-correlograms across 99 FSU pairs for the sensory evoked

response, calculated from the spiking in the 100 ms window following the delivery of the
sensory stimulus. There is an increase in the concentration of mass around 0 lag with
thalamic hyperpolarization, which is indicative of an increased synchrony. This is
summarized in Figure 7D, showing a significant increase across FSU units in the
measured synchrony (Control vs LED, p=1.5e-8, Control vs Double LED, p=3.5e-13,
Wilcoxon signed-rank test, n=99 FSU pairs). For comparison, the synchrony was also
computed for the ongoing, spontaneous activity, revealing the synchronizing effect of the
transient sensory input. These results were qualitatively similar for various synchrony
window sizes (not shown).

Modeling of the thalamic burst driven cortical E-I circuit
We next sought to understand the mechanistic basis of three key experimental results: 1)
the invariant absolute S1 sensory response in the thalamic hyperpolarized (LED)
condition in the awake mouse, despite the dramatic increase in sensory-evoked (burst)
spikes in VPm; 2) the decrease in late (60 – 100 ms post-stimulus) spiking in the S1
response; and 3) the increase in sensory-evoked cortical synchrony in FS neurons in the
thalamic hyperpolarized (LED) condition. To explore the potential role of various thalamic
and cortical mechanisms, we constructed a simple model of the thalamocortical network,
as described previously (Wright et al., 2021). This network mimics the numerical
expansion of neurons at the thalamocortical junction, and incorporates several known
properties of thalamocortical and intracortical connectivity (Figure 8A, see Methods and
Supplemental Information on Cortical E-I Modeling). The network was composed of a
single model VPm "barreloid" (40 independent spike trains) projecting to a single barrel
"column", modeled as an interconnected network of 800 excitatory and 100 inhibitory
single-compartment leaky integrate-and-fire neurons with clustered connectivity (Litwin-
Kumar and Doiron, 2012; Bujan et al., 2015; Wright et al., 2017a, 2017b). For each VPm
spike train, ongoing and sensory-evoked VPm tonic and burst spikes were drawn from
inhomogeneous Poisson processes matching the empirical tonic and burst VPm PSTHs
(Figure 8B, top two rows). Motivated by previous studies of cortical sensory adaptation
in brain slice (Gabernet et al., 2005; Cruikshank et al., 2007b, 2010) and in anesthetized
(Chung et al., 2002; Gabernet et al., 2005; Heiss et al., 2008; Cohen-Kashi Malina et al.,
2013) and awake (Musall et al., 2014) rodents, we modeled rapid sensory adaptation of
the thalamocortical (TC) synapse as instantaneous depression and exponential recovery
of the TC synapse in response to a thalamic spike (see Methods). For a more detailed
description of the model, see Supplemental Information on Cortical E-I Modeling, Section
1.

This model network succeeded in qualitatively reproducing the three results identified
above: despite the fact that the VPm evoked rate in a 50 ms post-stimulus window was
substantially boosted in the hyperpolarized (LED) condition, there was comparatively little

change in the S1 excitatory and inhibitory early responses (Figure 8B, bottom two rows,
and Figure 8C, left). In addition, late cortical spiking in the LED condition decreased
significantly compared to the Control condition (Figure 8B, insets in bottom two rows, and
Figure 8C, right). Note that unlike the experimental data, synchrony measures from
simulated cortical activity were not limited by lack of firing events, enabling synchrony
measurements across both sub-populations. We found that the evoked pairwise
synchrony of S1 neurons was higher in the LED condition (Fig. 8D – G).   In short, the
mechanisms incorporated in this model were sufficient to predict the key experimental
results. We next sought to identify the minimum set of mechanisms necessary to explain
each result (see Supplemental Information on Cortical E-I Modeling, Section 2). To do
this, we employed alternate versions of the model described above, and compared
simulation results across models to infer the role of each altered parameter.
944
First, we asked why the early absolute S1 response was invariant to thalamic
hyperpolarization, despite the boosted VPm response (Supplemental Information on
Cortical E-I Modeling, Section 2.1). We found that the overall increase in evoked VPm
rate in the LED condition yielded weaker TC synapses at the time of TC synaptic
transmission, due to activity-dependent synaptic depression (Supplemental Figure S7A,
B). Further, the short inter-spike intervals within bursts allowed little time between burst
spikes for synaptic recovery (Supplemental Figure S7C), and so the high proportion of
burst spikes in the LED condition further reduced the mean TC synaptic weight at the time
of synaptic transmission relative to the Control condition (Supplemental Figure S7D).
When either synaptic adaptation was removed, or the proportion of evoked burst spikes
was held fixed, the model S1 early response was instead boosted in the LED condition
compared to the Control condition (Supplemental Figure S7E). These results thus
demonstrate that the invariance of the early model response was due to more profound
TC synaptic adaptation induced by the substantial increase in thalamic bursting in the
LED condition. Notably, the S1 response was boosted in the LED condition in the
anesthetized condition, and the model also reproduced this result when we additionally
assumed that the LED-induced hyperpolarization enhanced sensory-evoked synchrony
across VPm units (Fig. S7F, G). Second, we asked why the late S1 response was
reduced in the LED condition compared to Control (Supplemental Information on Cortical
E-I Modeling, Section 2.2). We found that this reflected the late decrease in VPm firing,
as the overall time-course of cortical firing tracked that of VPm (Supplemental Figure S8A,
B). Finally, we asked why cortical pairwise synchrony increased in the LED condition
(Supplemental Information on Cortical E-I Modeling, Section 2.2). We found that the
change in the time-course of VPm firing yielded a higher concentration of cortical spikes
at intermediate response latencies, which shaped correlations between pairs of cortical
neurons; pairs of cortical spikes were more likely to be separated by short lags, and less

likely to be separated by longer lags, in the LED condition (Supplemental Figure S8C –
F).
973
Taken together, our modeling results demonstrate the sensitivity of cortical sensory
representations to thalamic firing. The degree of thalamic bursting and rapid sensory
adaptation of TC synapses together determine the efficacy with which VPm spiking drives
S1. This predicts the counterintuitive result that enhanced VPm bursting does not result
in a boosted S1 response. At longer (tens of milliseconds) timescales, S1 activity levels
generally track that of VPm, and the late drop-out of VPm firing in the LED condition is
inherited by S1. Finally, the increased S1 synchrony in the LED condition reflects
changes in the overall time-course of VPm firing in the 100 ms following sensory stimulus
onset.
983

DISCUSSION

The sensory thalamus controls the flow of signaling from the periphery to cortex,
ultimately gating what we do and do not perceive about the outside world. Despite its
critical role in sensing, how this circuit controls signaling remains poorly understood. Here,
through a range of experimental approaches in the awake, head-fixed mouse, we show
that manipulation of thalamic state through hyperpolarization switches the thalamus from
a tonic to burst firing mode that serves to amplify thalamic sensory evoked responses.
Sensory cortex, on the other hand, exhibits an invariant absolute evoked response
despite the increased thalamic input, instead demonstrating increased timing precision,
a focusing of spatial activation, and increased synchrony of spiking. Thalamocortical
network modeling further reveals that the induced changes in thalamic spike timing and
the engagement of thalamocortical synaptic depression by enhanced bursting are
sufficient to explain the increase in cortical synchronization and the invariant cortical
response amplitude, respectively. The findings here present a highly sensitive, state-
dependent timing-based gating of sensory signaling to cortex.

1000

Thalamic Gating and the Effects on Cortex
Given the amplification of sensory evoked thalamic responses in thalamus with thalamic
hyperpolarization, the effects on cortex were surprising. The absolute cortical sensory
evoked response was invariant to thalamic hyperpolarization in awake mice, despite the
boosted thalamic response. Note that the increase in cortical background firing rate and
the invariant absolute sensory evoked cortical response amplitude together led to a
decrease in the cortical response relative to baseline, which was consistently reflected in
both the relative single unit (Figure 4J) and GEVI (Figure 4G) measures. What was
enhanced by the thalamic hyperpolarization, however, was instead the timing precision
and synchrony of the sensory evoked cortical activity, which are important to understand
in terms of the mechanisms underlying this observation and the potential implications for
downstream signaling. The modeling results suggest an interplay between the timing
related changes in thalamic firing with a shift to burst mode, and the timing dependent
depression of thalamocortical synapses, are likely the key role players in the observed
dynamics, although more extensive experiments involving causal manipulations of these
mechanisms would need to be conducted to more conclusively establish this explanation.
Moreover, the enhanced timing and synchrony of the sensory evoked S1 response
suggests a potent input for downstream signaling, despite the invariance in firing rate, but
corresponding recordings of the S1 recipient regions would be needed to ultimately
determine the effect of the changes in S1. Finally, neurons in VPm thalamus sends axonal
projections differentially across laminae in S1 (Sermet et al., 2019), likely resulting in
variations in the effects of thalamic hyperpolarization on S1 neurons in different cortical
layers. Layer specific effects were not targeted in this study, and thus electrode

recordings obtained across laminae were combined. It is likely that the thalamic-timing
related effects on S1 activity we report here would be more pronounced in thalamic
recipient layers of S1 as compared to layers not receiving direct monosynaptic thalamic
input, resulting in a more variable effect in our pooled data. In contrast to the electrode
recordings, the optical GEVI imaging approach utilized here captures primarily sub-
threshold activity of S1 neurons with cell bodies in layer 2/3 (Petersen et al., 2003), which
could explain the more dramatic effects seen in the voltage imaging, although the imaging
obscures any differences across excitatory and inhibitory sub-populations.
1032
Invariance in Baseline Thalamic Firing Activity
In this study, we utilized optogenetic hyperpolarization to bias thalamic sensory
responses toward bursting, without significantly changing other dynamics that might
indirectly impact sensory responses. One particularly surprising finding here was that
despite optogenetically induced hyperpolarization of thalamus, the overall baseline firing
rate of thalamic neurons was not suppressed, but instead unchanged. Following a
transient decrease in firing rate, the recorded VPm neurons returned to their original
baseline firing rate. This finding is, however, consistent with other reported observations.
In the visual pathway for example, optogenetic excitation of the reticular nucleus (TRN)
transiently silences LGN, followed by a return to the original firing rate at steady-state
(Reinhold et al., 2015). In the somatosensory pathway, strong photoinhibition attenuated
VPm thalamic firing rate, but failed to quench activity altogether (Halassa et al., 2011;
Poulet et al., 2012a; Lewis et al., 2015; Reinhold et al., 2015; Yu et al., 2016). The most
parsimonious explanation given the observations here is that moderate amounts of
hyperpolarization serve to engage the dynamics of the T-type calcium channels that are
inactive at normal baseline conditions, effectively compensating for the loss in tonic
spiking due to the hyperpolarization. Although the optogenetic approach here does not
enable direct observation of the magnitude of the hyperpolarizing input, separate
intracellular in-vitro slice experiments where we repeated the protocol while patching on
to VPm neurons revealed relatively modest amounts of hyperpolarization that were well-
sustained during constant light illumination. This is important, as recent studies have
suggested that prolonged activation of specific opsins can have unintended
consequences, notably here the possibility of changes in the reversal potential for chloride
(Raimondo et al., 2012b), which could theoretically result in changes in the degree of
hyperpolarization, although halorhodopsin as a pump is less directly affected by
immediate (local) changes in reversal potential as compared to channel-based
optogenetics. Further, with halorhodopsin there is the potential for photoinactivation and
decreased photocurrents (Zhang et al., 2019), which could also change the degree of
hyperpolarization over time.   Through the combination of the intracellular control
experiments and replication of the primary result through the integrate and fire or burst

(IFB) model, the likelihood that these possible effects played a primary role here is low,
especially over the relatively short timescales shown here.

1065

Importantly, beyond the engagement of the T-type calcium channel burst mechanism
upon initial hyperpolarization, it would seem that additional hyperpolarization would
further push the neuron away from threshold, making it more difficult to burst, which would
predict a corresponding decrease in firing rate. However, we found that increased
hyperpolarization increased the number of spikes per burst, which served to offset the
decrease in the number of bursts with increasing hyperpolarization. The result is a
surprisingly resilient mechanism in response to this perturbation. Although other elements
of the circuit certainly play a role in this observation (e.g., TRN), the findings here suggest
that the intrinsic properties of the thalamic neurons could be a primary mechanism at
work.

1076
1077
Thalamic Manipulation and Intrinsic Modulation
The optogenetic manipulation approach here was utilized to gain systematic control over
thalamic burst/tonic firing modes to precisely quantify the functional effects at the level of
thalamus and primary sensory cortex.   This is especially important in the awake,
unanesthetized brain, where continuous fluctuations in thalamic state preclude a clear
picture of the causal effects of this mechanism in the thalamocortical circuit. It is
nevertheless important to place the findings here in the context of naturally occurring
fluctuations in thalamic state.   Ongoing thalamic spontaneous bursting events were
traditionally only associated with slow wave sleep or anesthesia; however, this has been
largely disproven, with low rates of bursts occurring both spontaneously and during
naturalistic stimuli in awake somatosensory (Swadlow and Gusev, 2001a; Stoelzel et al.,
2009; Wright et al., 2021), visual (Niell and Stryker, 2011), and auditory systems
(Massaux et al., 2004).   The thalamus receives a range of complex, excitatory and
inhibitory inputs that interact with the intrinsic cell properties to collectively set the baseline
membrane potential and firing rate of these neurons in normal physiological conditions.
In the experiments presented here, the mice were awake and head-fixed, but otherwise
idling, trained to tolerate the head-fixation with the periodic delivery of a water reward.
During the experiments described here, it appeared that the animals remained engaged
and were unlikely to spend much time in a state of low arousal, setting the baseline firing
rate and level of neuromodulatory input to the thalamus to a corresponding level.
Although the optogenetic manipulation here is likely quite strong relative to other
modulatory inputs to thalamus, it is true that these other inputs could affect and influence
the thalamus in concert with our manipulation. However, any effects from this would likely
be averaged out across trials, only contributing to the variability of the measures we
present. It is also the case that cortex is under the influence of a range of modulatory
inputs, some of which are shared with thalamus, and thus our manipulation of thalamic

state could effectively serve to decouple the two regions, resulting in the thalamus and
cortex being in different "states", a condition which is likely not entirely physiological.
However, there is strong evidence that thalamic activity itself is a strong driver of cortical
state (Poulet et al., 2012a), and thus the manipulations of thalamic state could indirectly
gain control of cortical state as well. To really disentangle these issues of brain state
across different structures like the thalamus and cortex, it is likely that approaches such
as closed-loop feedback control of neural activity (Bolus et al., 2018, 2021) need to be
employed to more strongly and effectively explore the coupling across brain regions.
1112
Potential Role in Sensory Signaling
Almost four decades ago, Crick proposed a provocative hypothesis – that the thalamic-
reticular complex serves as a dynamic gate for attentional control of sensory signaling to
cortex (Crick, 1984). Further refinement of this idea suggested that the switching between
tonic and burst firing modes of thalamic neurons that is facilitated by the unique dynamics
of the T-type calcium channels that are prevalent in the thalamus could establish a context
dependent signaling (Sherman and Guillery, 2002; Sherman, 2005). In this framework,
burst spiking would promote the detection of salient sensory features, while tonic spiking
would promote the transmission of details about the nature of the sensory stimulus.
Furthermore, the thalamic burst would also potentially provide a "wake-up call" to cortex
(Sherman, 2001), garnering attentional resources that ultimately would serve to switch
the thalamic mode of firing to tonic through depolarizing corticothalamic feedback. As
attractive a framework as this is, it is also a daunting theory to test experimentally due to
the complexity of the circuit, the required specificity of recording and manipulation, and
ultimately the need to cast in the context of changing states of arousal during trained
behaviors. Several studies in the anesthetized brain have shown that thalamic bursting is
indeed well driven by the appearance of salient sensory features (Lesica and Stanley,
2004; Alitto et al., 2005; Denning and Reinagel, 2005), that this promotes the detection
of change in the sensory input from the perspective of an ideal observer of thalamic
spiking (Lesica and Stanley, 2004), and that this sensitivity is strongly shaped by thalamic
state (Lesica et al., 2006). Further studies showing the sensitivity of sensory cortex to
thalamic bursting (Swadlow and Gusev, 2001) seem to set the stage for at least part of
the overarching coding scheme in which case the cortical response would be amplified
by the thalamic bursts in "detect" mode, yet none of this has been tested in the awake
brain to date. Although the results here in the awake brain demonstrate that the absolute
S1 sensory evoked response was invariant to thalamic hyperpolarization and even
diminished relative to background cortical activity, despite potent sensory-evoked
thalamic bursting, what emerges is increased timing precision, increased focus of spatial
activation, and a corresponding synchronization of the cortical sensory-evoked response.
Given the likely timing sensitivity of down-stream brain structures in the sensorimotor arc,
the synchronization of cortical activity may in fact be a more critical element of cortical

signaling than overall magnitude, supported by recent behavioral work demonstrating the
importance of cortical synchrony over firing rate (Jadhav et al., 2009). Taken together,
the results here point to timing rather than response magnitude as a fundamental
currency of the thalamocortical circuit, presenting a dynamic, state-dependent timing-
based gating of sensory signaling to cortex that has strong implications for detectability
and discriminability in complex sensory environments.

References

Aasebø IEJ, Lepperød ME, Stavrinou M, Nøkkevangen S, Einevoll G, Hafting T, Fyhn M (2017) Temporal Processing in the Visual Cortex of the Awake and Anesthetized Rat. Eneuro 4:ENEURO.0059-17.2017.

Aguilar JR, Castro-Alamancos M a (2005) Spatiotemporal gating of sensory inputs in thalamus during quiescent and activated states. J Neurosci 25:10990–11002.

Alitto HJ, Weyand TG, Usrey WM (2005) Distinct properties of stimulus-evoked bursts in the lateral geniculate nucleus. J Neurosci 25:514–523.

Béhuret S, Deleuze C, Bal T (2015) Corticothalamic Synaptic Noise as a Mechanism for Selective Attention in Thalamic Neurons. Front Neural Circuits 9:1–21.

Bolus MF, Willats AA, Rozell CJ, Stanley GB (2021) State-space optimal feedback control of optogenetically driven neural activity. J Neural Eng 18:036006.

Bolus MF, Willats AA, Whitmire CJ, Rozell CJ, Stanley GB (2018) Design strategies for dynamic closed-loop optogenetic neurocontrol in vivo. J Neural Eng 15:026011.

Borden PY, Ortiz AD, Waiblinger C, Sederberg AJ, Morrissette AE, Forest CR, Jaeger D, Stanley GB (2017) Genetically expressed voltage sensor ArcLight for imaging large scale cortical activity in the anesthetized and awake mouse. Neurophotonics 4:031212.

Brecht M, Sakmann B (2002) Whisker maps of neuronal subclasses of the rat ventral posterior medial thalamus, identified by whole-cell voltage recording and morphological reconstruction. J Physiol:495–515.

Bruno RM, Simons DJ (2002) Feedforward mechanisms of excitatory and inhibitory cortical receptive fields. J Neurosci 22:10966–10975.

Bujan AF, Aertsen A, Kumar XA, Kumar A, Kumar XA (2015) Role of Input Correlations in Shaping the Variability and Noise Correlations of Evoked Activity in the Neocortex. J Neurosci 35:8611–8625.

Castro-Alamancos MA (2002) Different temporal processing of sensory inputs in the rat thalamus during quiescent and information processing states in vivo. J Neurophysiol 87:946–953.

Chung S, Li X, Nelson SB (2002) Short-term depression at thalamocortical synapses contributes to rapid adaptation of cortical sensory responses in vivo. Neuron 34:437–446.

Cohen-Kashi Malina K, Jubran M, Katz Y, Lampl I (2013) Imbalance between excitation and inhibition in the somatosensory cortex produces postadaptation facilitation. J Neurosci 33:8463–8471.

Crandall SR, Cruikshank SJ, Connors BW (2015a) A Corticothalamic Switch: Controlling the Thalamus with Dynamic Synapses. Neuron 86:768–782.

Crandall SRR, Cruikshank SJJ, Connors BWW, Crandall SRR, Cruikshank SJJ, Connors BWW (2015b) A Corticothalamic Switch: Controlling the Thalamus with Dynamic Synapses. Neuron 86:1–15.

Crick F (1984) Function of the thalamic reticular complex: The searchlight hypothesis. Proc Natl Acad Sci U S A 81:4586–4590.

Cruikshank SJ, Lewis TJ, Connors BW (2007a) Synaptic basis for intense thalamocortical activation of feedforward inhibitory cells in neocortex. Nat Neurosci 10:462–468.

Cruikshank SJ, Lewis TJ, Connors BW (2007b) Synaptic basis for intense thalamocortical activation of feedforward inhibitory cells in neocortex. Nat Neurosci 10:462–468.

Cruikshank SJ, Urabe H, Nurmikko A V., Connors BW (2010) Pathway-Specific Feedforward
Circuits between Thalamus and Neocortex Revealed by Selective Optical Stimulation of
Axons. Neuron 65:230–245.
Denning KS, Reinagel P (2005) Visual control of burst priming in the anesthetized lateral
geniculate nucleus. J Neurosci 25:3531–3538.
Fogerson PM, Huguenard JR (2016) Tapping the Brakes: Cellular and Synaptic Mechanisms that
Regulate Thalamic Oscillations. Neuron 92:687–704.
Gabernet L, Jadhav SP, Feldman DE, Carandini M, Scanziani M (2005) Somatosensory
integration controlled by dynamic thalamocortical feed-forward inhibition. Neuron
48:315–327.
Gentet LJ, Avermann M, Matyas F, Staiger JF, Petersen CCHH (2010) Membrane potential
dynamics of GABAergic neurons in the barrel cortex of behaving mice. Neuron 65:422–435.
Godwin DW, Vaughan JW, Sherman SM (1996) Metabotropic glutamate receptors switch visual
response mode of lateral geniculate nucleus cells from burst to tonic. J Neurophysiol
76:1800–1816.
Greenberg DS, Houweling AR, Kerr JND (2008) Population imaging of ongoing neuronal activity
in the visual cortex of awake rats. Nat Neurosci 11:749–751.
Guo W, Clause AR, Barth-Maron A, Polley DB (2017) A Corticothalamic Circuit for Dynamic
Switching between Feature Detection and Discrimination. Neuron 95:180-194.e5.
Haider B, Häusser M, Carandini M (2013) Inhibition dominates sensory responses in the awake
cortex. Nature 493:97–100.
Halassa MM, Acsády L (2016) Thalamic Inhibition: Diverse Sources, Diverse Scales. Trends
Neurosci 39:680–693.
Halassa MM, Siegle JH, Ritt JT, Ting JT, Feng G, Moore CI (2011) Selective optical drive of
thalamic reticular nucleus generates thalamic bursts and cortical spindles. Nat Neurosci
14:1118–1120.
Heiss JE, Katz Y, Ganmor E, Lampl I (2008) Shift in the Balance between Excitation and Inhibition
during Sensory Adaptation of S1 Neurons. J Neurosci 28:13320–13330.
Hirata A, Castro-Alamancos MA (2010) Neocortex network activation and deactivation states
controlled by the Thalamus. J Neurophysiol 103:1147–1157.
Huguenard JR, McCormick D a. (2007) Thalamic synchrony and dynamic regulation of global
forebrain oscillations. Trends Neurosci 30:350–356.
Jadhav SP, Wolfe J, Feldman DE (2009) Sparse temporal coding of elementary tactile features
during active whisker sensation. Nat Neurosci 12:792–800.
Jin L, Han Z, Platisa J, Wooltorton JRA, Cohen LB, Pieribone VA (2012) Single Action Potentials
and Subthreshold Electrical Events Imaged in Neurons with a Fluorescent Protein Voltage
Probe. Neuron 75:779–785.
Khatri V, Hartings JA, Simons DJ (2004) Adaptation in thalamic barreloid and cortical barrel
neurons to periodic whisker deflections varying in frequency and velocity. J Neurophysiol
92:3244–3254.
Kim HR, Hong SZ, Fiorillo CD (2015) T-type calcium channels cause bursts of spikes in motor but
not sensory thalamic neurons during mimicry of natural patterns of synaptic input. Front
Cell Neurosci 9:428.
Kimura F, Itami C, Ikezoe K, Tamura H, Fujita I, Yanagawa Y, Obata K, Ohshima M (2010a) Fast

activation of feedforward inhibitory neurons from thalamic input and its relevance to the
regulation of spike sequences in the barrel cortex. J Physiol 588:2769–2787.
Kimura F, Itami C, Ikezoe K, Tamura H, Fujita I, Yanagawa Y, Obata K, Ohshima M (2010b) Fast
activation of feedforward inhibitory neurons from thalamic input and its relevance to the
regulation of spike sequences in the barrel cortex. J Physiol 588:2769–2787.
Kirchgessner MA, Franklin AD, Callaway EM (2020) Context-dependent and dynamic functional
influence of corticothalamic pathways to first- and higher-order visual thalamus. Proc Natl
Acad Sci 117:13066–13077.
Lesica N a, Stanley GB (2004) Encoding of natural scene movies by tonic and burst spikes in the
lateral geniculate nucleus. J Neurosci 24:10731–10740.
Lesica N a, Weng C, Jin J, Yeh C-I, Alonso J-M, Stanley GB (2006) Dynamic encoding of natural
luminance sequences by LGN bursts. PLoS Biol 4:e209.
Lewis LD, Voigts J, Flores FJ, Ian Schmitt L, Wilson MA, Halassa MM, Brown EN (2015) Thalamic
reticular nucleus induces fast and local modulation of arousal state. Elife 4:1–23.
Li L, Ebner FF (2016) Cortex dynamically modulates responses of thalamic relay neurons
through prolonged circuit-level disinhibition in rat thalamus in vivo. J Neurophysiol
116:2368–2382.
Litwin-Kumar A, Doiron B (2012) Slow dynamics and high variability in balanced cortical
networks with clustered connections. Nat Neurosci 15:1498–1505.
Lustig BR, Friedman RM, Winberry JE, Ebner FF, Roe AW (2013) Voltage-sensitive dye imaging
reveals shifting spatiotemporal spread of whisker-induced activity in rat barrel cortex. J
Neurophysiol 109:2382–2392.
Ma Y, Shaik MA, Kim SH, Kozberg MG, Thibodeaux DN, Zhao HT, Yu H, Hillman EMC (2016)
Wide-field optical mapping of neural activity and brain haemodynamics: considerations
and novel approaches. Philos Trans R Soc B Biol Sci 371:20150360.
Massaux A, Dutrieux G, Cotillon-Williams N, Manunta Y, Edeline J-M (2004) Auditory Thalamus
Bursts in Anesthetized and Non-Anesthetized States: Contribution to Functional
Properties. J Neurophysiol 91:2117–2134.
McCormick DA, Connors BW, Lighthall JW, Prince DA (1985) Comparative electrophysiology of
pyramidal and sparsely spiny stellate neurons of the neocortex. J Neurophysiol 54:782–
806.
McCormick DA, von Krosigk M (1992) Corticothalamic activation modulates thalamic firing
through glutamate "metabotropic" receptors. Proc Natl Acad Sci 89:2774–2778.
Mease RA, Krieger P, Groh A (2014) Cortical control of adaptation and sensory relay mode in
the thalamus. Proc Natl Acad Sci 111:6798–6803.
Millard DC, Whitmire CJ, Gollnick CA, Rozell CJ, Stanley GB (2015) Electrical and Optical
Activation of Mesoscale Neural Circuits with Implications for Coding. J Neurosci 35:15702–
15715.
Murray Sherman S (2001) Tonic and burst firing: Dual modes of thalamocortical relay. Trends
Neurosci 24:122–126.
Musall S et al. (2014) Tactile frequency discrimination is enhanced by circumventing neocortical
adaptation. Nat Neurosci 17:1567–1573.
Niell CM, Stryker MP (2010) Modulation of visual responses by behavioral state in mouse visual
cortex. Neuron 65:472–479.

Niell CM, Stryker MP (2011) Modulation of visual responses by behavioral state in mouse visual
cortex. Neuron 65:472–479.
Olsen SR, Bortone DS, Adesnik H, Scanziani M (2012) Gain control by layer six in cortical circuits
of vision. Nature 483:47–52.
Owen SF, Liu MH, Kreitzer AC (2019) Thermal constraints on in vivo optogenetic manipulations.
Nat Neurosci 22:1061–1065.
Petersen CCH, Grinvald A, Sakmann B (2003) Spatiotemporal dynamics of sensory responses in
layer 2/3 of rat barrel cortex measured in vivo by voltage-sensitive dye imaging combined
with whole-cell voltage recordings and neuron reconstructions. J Neurosci 23:1298–1309.
Pinto DJ, Brumberg JC, Simons DJ (2000) Circuit dynamics and coding strategies in rodent
somatosensory cortex. J Neurophysiol 83:1158–1166.
Poulet JF a, Fernandez LMJ, Crochet S, Petersen CCH (2012a) Thalamic control of cortical states.
Nat Neurosci 15:370–372.
Poulet JFA, Fernandez LMJ, Crochet S, Petersen CCH (2012b) Thalamic control of cortical states.
Nat Neurosci 15:370–372.
Raimondo J V., Kay L, Ellender TJ, Akerman CJ (2012a) Optogenetic silencing strategies differ in
their effects on inhibitory synaptic transmission. Nat Neurosci.
Raimondo J V., Kay L, Ellender TJ, Akerman CJ (2012b) Optogenetic silencing strategies differ in
their effects on inhibitory synaptic transmission. Nat Neurosci 15:1102–1104.
Reinhold K, Lien AD, Scanziani M (2015) Distinct recurrent versus afferent dynamics in cortical
visual processing. Nat Neurosci 18:1789–1797.
Rodenkirch C, Liu Y, Schriver BJ, Wang Q (2019) Locus coeruleus activation enhances thalamic
feature selectivity via norepinephrine regulation of intrathalamic circuit dynamics. Nat
Neurosci 22:120–133.
Sermet BS, Truschow P, Feyerabend M, Mayrhofer JM, Oram TB, Yizhar O, Staiger JF, Petersen
CCH (2019) Pathway-, layer- and cell-type-specific thalamic input to mouse barrel cortex.
Elife 8:1–28.
Sherman SM (2001) A wake-up call from the thalamus. Nat Neurosci 4:344–346.
Sherman SM (2005) Thalamic relays and cortical functioning. In: Progress in Brain Research, pp
107–126.
Sherman SM, Guillery RW (2002) The role of the thalamus in the flow of information to the
cortex Adams P, Guillery RW, Sherman SM, Sillito AM, eds. Philos Trans R Soc London Ser B
Biol Sci 357:1695–1708.
Smith GD, Cox CL, Sherman SM, Rinzel J (2000) Fourier analysis of sinusoidally driven
thalamocortical relay neurons and a minimal integrate-and-fire-or-burst model. J
Neurophysiol 83:588–610.
Speed A, Del Rosario J, Burgess CP, Haider B (2019) Cortical State Fluctuations across Layers of
V1 during Visual Spatial Perception. Cell Rep 26:2868-2874.e3.
Stanley GB, Jin J, Wang Y, Desbordes G, Wang Q, Black MJ, Alonso J-MJ-M (2012) Visual
orientation and directional selectivity through thalamic synchrony. J Neurosci 32:9073–
9088.
Stoelzel CR, Bereshpolova Y, Swadlow H a (2009) Stability of Thalamocortical Synaptic
Transmission across Awake Brain States. J Neurosci 29:6851–6859.
Stujenske JM, Spellman T, Gordon JA (2015) Modeling the Spatiotemporal Dynamics of Light

and Heat Propagation for In Vivo Optogenetics. Cell Rep 12:525–534.

Suzuki M, Larkum ME (2020) General Anesthesia Decouples Cortical Pyramidal Neurons. Cell
180:666-676.e13.

Suzuki S, Rogawski M a (1989) T-type calcium channels mediate the transition between tonic
and phasic firing in thalamic neurons. Proc Natl Acad Sci U S A 86:7228–7232.

Swadlow H a, Gusev a G (2001) The impact of "bursting" thalamic impulses at a neocortical
synapse. Nat Neurosci 4:402–408.

Swadlow HA. (2002) Thalamocortical control of feed–forward inhibition in awake
somatosensory 'barrel' cortex Adams P, Guillery RW, Sherman SM, Sillito AM, eds. Philos
Trans R Soc London Ser B Biol Sci 357:1717–1727.

Swadlow HA, Bezdudnaya T, Gusev AG (2005) Spike timing and synaptic dynamics at the awake
thalamocortical synapse. Prog Brain Res 149:91–105.

Taub AH, Katz Y, Lampl I (2013) Cortical Balance of Excitation and Inhibition Is Regulated by the
Rate of Synaptic Activity. J Neurosci 33:14359–14368.

Urbain N, Salin PA, Libourel PA, Comte JC, Gentet LJ, Petersen CCHH (2015) Whisking-Related
Changes in Neuronal Firing and Membrane Potential Dynamics in the Somatosensory
Thalamus of Awake Mice. Cell Rep 13:647–656.

Varela C (2014) Thalamic neuromodulation and its implications for executive networks. Front
Neural Circuits 8:1–22.

Vizuete JA, Pillay S, Diba K, Ropella KM, Hudetz AG (2012) Monosynaptic functional connectivity
in cerebral cortex during wakefulness and under graded levels of anesthesia. Front Integr
Neurosci 6:1–11.

Wang Q, Webber RM, Stanley GB (2010) Thalamic synchrony and the adaptive gating of
information flow to cortex. Nat Neurosci 13:1534–1541.

Whitmire, CJ, Liew YJ, Stanley GB. Thalamic state influences timing precision in the
thalamocortical circuit, J. Neurophysiol., 125(5):1833-1850, 2021.

Whitmire CJ, Waiblinger C, Schwarz C, Stanley GB (2016) Information Coding through Adaptive
Gating of Synchronized Thalamic Bursting. Cell Rep 14:795–807.

Wright NC, Borden PY, Liew YJ, Bolus MF, Stoy WM, Forest CR, Stanley GB (2021) Rapid Cortical
Adaptation and the Role of Thalamic Synchrony During Wakefulness. J Neurosci:JN-RM-
3018-20.

Wright NC, Hoseini MS, Wessel R (2017a) Adaptation modulates correlated subthreshold
response variability in visual cortex. J Neurophysiol 118:1257–1269.

Wright NC, Hoseini MS, Yasar TB, Wessel R (2017b) Coupling of synaptic inputs to local cortical
activity differs among neurons and adapts after stimulus onset. J Neurophysiol 118:3345–
3359.

Yang H, Kwon SE, Severson KS, O'Connor DH (2016) Origins of choice-related activity in mouse
somatosensory cortex. Nat Neurosci 19:127–134.

Yu J, Gutnisky DA, Hires SA, Svoboda K (2016) Layer 4 fast-spiking interneurons filter
thalamocortical signals during active somatosensation. Nat Neurosci 19:1–14.

Yu J, Hu H, Agmon A, Svoboda K (2019) Recruitment of GABAergic Interneurons in the Barrel
Cortex during Active Tactile Behavior. Neuron 104:412-427.e4.

Zhang C, Yang S, Flossmann T, Gao S, Witte OW, Nagel G, Holthoff K, Kirmse K. Optimized
photo-stimulation of halorhodopsin for long-term neuronal inhibition. BMC Biol. 2019 Nov

27;17(1):95. doi: 10.1186/s12915-019-0717-6.

1376

1377   **FIGURE CAPTIONS**

1378

Figure 1. Thalamic hyperpolarization amplifies direct feedforward input from thalamus in the
anesthetized mouse. A. Pathway of the mouse vibrissa system from the facial vibrissae in the
periphery, to brainstem, to thalamus, to S1. **B.** Experimental setup. Mice were injected with the
viral vector eNphR3.0 (AAV5-CamIIKianse-eNphR3.0-mCherry) targeting the ventral posterior-
medial (VPm) region of the thalamus and the viral vector ArcLight (AAV1-hysn1- ArcLightD- SV40)
in the vibrissa region of the primary somatosensory cortex (S1). For optogenetic
hyperpolarization, thalamic units were presented with constant illumination (LED, 590 nm,
approx. 17 mW/mm^2) from a 200μm optic fiber, with LED illumination starting at 0.5s preceding
stimulus (t= -0.5s) and ending 0.5s after stimulus (t=0.5), while recording simultaneously with a
single tungsten electrode. Note that unlike for the awake recordings, light presentation was not
ramped. For cortical GEVI imaging, the entire cortical area was illuminated through the thinned
skull at 465 nm with an LED and imaged with a CCD imaging setup (see Methods). **C.** Thalamic
bursts were identified as two or more spikes with inter-spike interval (ISIs) less than 4ms,
preceded by silence for 100ms or more. Thalamic spikes that were part of an identified burst
were classified as putative burst spikes (red), and all else were classified as tonic spikes (black).
D. Peri-stimulus time histogram (PSTH) for thalamic spiking in response to a single, punctate
whisker stimulus (t=0) for the Control (no light, top panel) and thalamic hyperpolarized (LED,
bottom panel) conditions, 29 units. Instantaneous firing rates (bin size 2 ms) shown for all spikes
(black) and putative burst spikes (red). **E. Left** Mean sensory-evoked thalamic firing rate (n=29
thalamic units) over the 0 – 30 ms time window increased from the Control (no light) to the LED
conditions, for all spikes (black, p= 0.0046, paired Wilcoxon signed-rank) and burst spikes (red,
p= 4.5e-4, paired Wilcoxon signed-rank). Error bars represent mean +/- SEM. **Right**. Mean
sensory-evoked Cortical multiunit (MU) firing rate from 102 trials (across 2 recordings, 1 mouse,
5ms bin size). **F.** Example session of GEVI imaging following the delivery of a punctate whisker
stimulus at time t=0, for the Control (no light, top row) and LED (bottom row) conditions. Images
are averaged across 51 trials. Black bar represents 1mm. **G. Left** - Mean normalized peak GEVI
sensory-evoked response was larger for the LED as compared to the Control condition (n= 9 mice,
13 recording sessions). For this plot, animals and sessions were each normalized to their control
levels, and the LED condition is reported relative to the control. **Left Inset** - raw relative peak
evoked %dF/Fo, p=0.017, paired Wilcoxon signed-rank, n=13 recordings across 9 mice. **Right** -
Time series of the normalized sensory-evoked GEVI signal, generated from the integrated
fluorescence within the 0.2 x 0.2mm ROI in the Control (gray) and LED (orange) conditions. Error
bars represent mean +/- SEM.

1412

Figure 2. Thalamic baseline firing rate is invariant to optogenetic hyperpolarization via a
tonic/burst switch. A. Experimental setup. Mice were injected with the viral vector eNphR3.0
(AAV5-CamIIKianse-eNphR3.0-mCherry) targeting the ventral posterior-medial (VPm) region of
the thalamus. On each trial, thalamic units were presented with light for 1.5 s (590 nm, LED
approx. 17mW/mm^2 ramp, Double LED approx. 35mW/mm^2 ramp – see Methods) from a 200μm
optic fiber and recorded simultaneously with a single tungsten electrode. **B.** Example

extracellular single trial rasters depicting effects of thalamic hyperpolarization on spiking in VPm
thalamus. Black indicates tonic spikes; red indicates burst spikes. Recorded spike waveform
shown at top. **C. Top -** Aggregate single-unit (SU, n=5 units) PSTH for all spikes (black) and burst
spikes (red). Apparent is an initial decrease in overall firing rate following presentation of light,
followed by a return to the pre-hyperpolarization level. **Bottom -** Aggregate multi-unit (MU)
activity (n=9 recordings). Bands represent are mean +/- SEM. **D. Left** - Mean multi-unit thalamic
firing rate over the 250-750ms time window from the Control (no light) to the LED condition, for
all MU spikes (black, Control vs LED p=0.098, Control vs Double LED p=0.65, paired Wilcoxon
signrank test, n=9 recordings). **Middle -** Mean single-unit thalamic firing rate over the 250-750ms
time window from the Control (no light, n=8 units) to the LED condition (n=5 units), for all spikes
(black, Control vs LED p=0.55, Control vs Double LED p=0.37, unpaired t-test) and burst spikes
(red, Control vs LED p=0.014, Control vs Double LED p=0.032, unpaired t-test). **Right -** Increase in
burst ratio from the Control to LED condition, where burst ratio is defined as the number of burst
spikes divided by the total number of spikes (Control vs LED p=0.0016, Control vs Double LED
p=0.0016, unpaired Wilcoxon rank sum test). **E. Left -** Example bursts for the Control and LED
conditions. **Right -** Increase in the mean number of spikes per burst from the Control to the LED
condition (Control vs LED p=0.048, Control vs Double LED p=0.016, unpaired Wilcoxon rank sum
test, n=5, only considering cells with bursts). Error bars represent mean +/- SEM. **F.** Simulated
spontaneous activity from Integrate-and-fire-or-burst (IFB) model with hyperpolarizing input at
time t=0, showing all spikes (black) and burst spikes (red). See Methods and Supplemental Figure
S3.
1440
Figure 3. Cortical S1 baseline firing rate is invariant to optogenetic hyperpolarization of
thalamus after transient increase. A. Experimental setup. Mice were injected with the viral
vector eNphR3.0 (AAV5-CamIIKianse-eNphR3.0-mCherry) targeting the ventral posterior-medial
(VPm) region of the thalamus. Thalamic units were continuously presented with light (590 nm,
LED approx. 17mW/mm^2 ramp, Double LED approx. 35mW/mm^2 ramp) from a 200μm optic fiber,
while cortical single-units were recorded simultaneously with a laminar multi-electrode within
an identified cortical column (barrel) – see Methods. **B. Top -** Example extracellular rasters of
cortical activity, depicting effects of thalamic hyperpolarization on cortical spiking, across trials
and simultaneously recorded units (10 trials each from 15 units). **Bottom** - Aggregate PSTH across
all recorded trials and cortical single-units (n=118). Bands represent mean +/- SEM. Highlighted
are the transient and steady-state portions of the cortical response to the light. **C.** Mean cortical
firing rate in the Control and LED conditions, showing an increase in the transient (open symbol,
300-350ms, Control vs LED p=5.4e-14, Control vs Double LED p=1.4e-15, Wilcoxon signed-rank
test) and a return to near steady-state (closed symbol, 700-750ms, Control vs LED p=0.2, Control
vs Double LED p=0.013, Wilcoxon signed-rank test) portions of the response. Error bars represent
mean +/- SEM.
1457
Figure 4. Thalamic hyperpolarization amplifies the thalamic but not cortical sensory-evoked
response in the awake mouse. A. Experimental setup. Mice were injected with the viral vector
eNphR3.0 (AAV5-CamIIKianse-eNphR3.0-mCherry) targeting the ventral posterior-medial (VPm)
region of the thalamus and the viral vector ArcLight (AAV1-hysn1- ArcLightD- SV40) in the vibrissa
region of the primary somatosensory cortex (S1). For optogenetic hyperpolarization, on each trial

thalamic units were presented with light for 1.5 s (590 nm, LED approx. 17mW/mm^2 ramp,
Double LED approx. 35mW/mm^2 ramp – see Methods) from a 200μm optic fiber, with LED
illumination beginning at 0.75s preceding stimulus delivery, and continuing for 0.75s after
stimulus delivery, while recording simultaneously with a single tungsten electrode. For cortical
GEVI imaging, the entire cortical area was illuminated through the thinned skull at 465 nm with
an LED and imaged with a CCD imaging setup (see Methods). **B.** Grand Multiunit PSTH for
thalamic spiking in response to a single, punctate whisker stimulus (t=0) for the Control (no light,
top panel, n=9 recordings) and LED (bottom panel, n=9 recordings) conditions. Instantaneous
firing rates (bin size 2 ms) shown for all spikes (black). **C.** Mean sensory-evoked thalamic response
over 0-30ms time window for multi-unit recordings (Control vs LED p=0.0039, Control vs Double
LED p=0.0039, unpaired Wilcoxon rank sum, n=9). Error bars represent mean +/- SEM. **D.** Grand
single unit PSTH for thalamic spiking in response to a single, punctate whisker stimulus (t=0) for
the Control (no light, top panel, n=8 units) and LED (bottom panel, n=5 units) conditions.
Instantaneous firing rates (bin size 2 ms) shown for all spikes (black) and putative burst spikes
(red). **E.** Mean single-unit sensory-evoked thalamic burst ratio over the 0–30 ms time window
(Control vs LED p=0.011, Control vs Double LED p=0.019, unpaired Wilcoxon rank sum Control
n=8 units, LED conditions n=5 units). **F.** Example session of GEVI imaging following the delivery of
a punctate whisker stimulus at time t=0, for the Control (no light, top row) and LED (bottom row)
conditions. Images are averaged across 51 trials. **G. Left** - Peak GEVI sensory-evoked response
(between 0-110ms post stimulus) slightly decreased from the Control to the LED condition
(Control vs LED p=0.020, Control vs Double LED p=0.0039, paired Wilcoxon signrank, n=9
recordings from 2 mice). **Right -** Mean normalized peak GEVI sensory-evoked response for the
Control and LED conditions (n=9 recordings). Before combining, animals and sessions were each
normalized to their Control levels, and the LED condition is reported relative to the Control. **H.** In
separate experiments, we hyperpolarized the thalamus while simultaneously recording the S1
Cortical response using a multichannel electrode. **I.** Cortical Grand PSTH evoked sensory response
(t=0) across all recorded single units (n=118 units, 5ms bins) for Control (black) and LED (orange)
conditions. **J. Left** Average absolute evoked cortical response remained approximately invariant
during LED on conditions across all cortical recorded units (n=118). Evoked sensory response
period defined as between 5-100ms post stimulus. **Right.** Relative evoked cortical response
decreased with increasing LED intensities (Control vs LED p=0.20, Control vs Double LED p=0.034,
paired Wilcoxon signrank, n=118 units). Relative evoked response defined as the absolute
response minus the preceding baseline activity -50-0ms pre-stimulus. Error bars represent mean
+/- SEM.
1497
Figure 5. Thalamic hyperpolarization sharpens the spatial and temporal cortical sensory evoked
response revealed through GEVI imaging. A. GEVI imaging frames at peak response for the
control (top) and LED (bottom) conditions for an example session. **B.** Cartoon illustration of the
coupling between amplitude and spatial area in cortical imaging. **Top -** A reduction in evoked
fluorescence amplitude can be qualitatively perceived as a reduction in spatial area of activation,
due to the "iceberg" effect. **Bottom -** However, the spatial area of activation above a fixed
threshold after normalization to the peak reveals the true effects on the area. **C.** Normalized
amplitude time series of fluorescence within ROI for Control (black) and thalamic hyperpolarized
(orange, LED), n= 9 recordings from 2 mice) conditions. Bands represent mean +/- SEM. **D.**

Summary analyses (Error bars represent mean +/- SEM). Note, for this figure all conditions are
normalized to their respective peak responses, as portrayed in B. **Left** - Mean spatial activation
area of the normalized sensory-evoked response decreased from the Control to the LED condition
(Control vs LED p=0.025, Control vs Double LED p=0.046, paired t-test, n= 9 recordings). **Middle** -
The peak-to-baseline (defined in Figure 5C), calculated as the mean time from the peak of the
fluorescence to the first return to pre-stimulus baseline (ms), decreased from the Control to the
LED condition (Control vs LED p=0.0059, Control vs Double LED p=0.0019, unpaired t-test, n= 8
Control recordings, 9 LED recordings, note one of the Control recordings did not return to
baseline). **Right** – The recovery (defined in Figure 5C), calculated as the relative mean
fluorescence during the time duration following the peak stimulus-evoked response (120-
400ms), decreased from the Control to the LED condition (Control vs LED p=0.016, Control vs
Double LED p=0.020, paired t-test, n= 9 recordings). LED approx. 17mW/mm^2 ramp, Double LED
approx. 35mW/mm^2 ramp – see Methods. Error bars represent mean +/- SEM.
1520
Figure 6. Thalamic hyperpolarization affects timing but not magnitude of sensory-evoked
responses in cortex. A. Cortical single-units were classified as Regular Spiking Units (RSUs, red)
or Fast Spiking Units (FSUs, blue) based on the time interval from the peak-to-trough (see
Methods). Example RSU and FSU waveforms are shown at the top, where bands represent +/- 1
SD. The distribution of time intervals from peak-to-trough for the spike waveforms is shown at
the bottom. **B.** PSTHs of the aggregate putative FSUs (left, n=32) and RSUs (right, n=86) in
response to a punctate whisker deflection at time t=0 (bin size 2ms) for the Control (black) and
double LED (orange) conditions. **C.** Summary analyses (Error bars represent mean +/- SEM) for
RSUs (top row) and FSUs (bottom row). **Left** - Mean baseline (-50 to 0ms) RSU (Control vs LED
p=5.3e-4, Control vs Double LED p=0.012, Wilcoxon signed-rank test, n=86) and FSU (Control vs
LED p=0.15, Control vs Double LED p=0.30, Wilcoxon signed-rank test, n=32) firing rates for the
LED relative to the Control condition. **Middle** - RSU (Control vs LED p=0.067, Control vs Double
LED p=0.0082, Wilcoxon signed-rank test, n=86) and FSU (Control vs LED, p=0.30, Control vs
Double LED p=0.45, Wilcoxon signed-rank test, n=32) early sensory response (5-50ms) for the
LED compared to the Control conditions. **Right** – Late sensory response (60-100ms) for the LED
compared to the Control condition for the RSU (Control vs LED p=0.017, Control vs Double LED
p=0.014, n=86, Wilcoxon signed-rank test) and FSU (Control vs LED p=2.2e-4, Control vs Double
LED p=4.5e-4, n=32, Wilcoxon signed-rank test) populations. LED approx. 17mW/mm^2 ramp,
Double LED approx. 35mW/mm^2 ramp – see Methods. Error bars represent mean +/- SEM.
1540
Figure 7. Thalamic hyperpolarization enhances cortical synchrony. A. The spike cross-
correlogram (CCG) was calculated as a histogram of spike times of cell 2 relative to a target spike
of cell 1, repeated for all spikes of cell 1. The pair-wise synchrony was calculated from the CCG as
the area of the CCG between +/- 7.5ms, normalized by the total area of the CCG. **B. Top** Example
Raster of two simultaneously collected FSU units, Cell 1 (black), Cell 2 (purple) for Control and
LED conditions. **Bottom**. Synchronous spiking events only for the same neural pair (red, firing
within 7.5ms of each other). **C.** Aggregate cross-correlograms for FSUs (n=99 pairs, see Methods)
for the control (black) and LED (orange) conditions. Note that for the LED condition, the light level
was 35 mW/mm^2 to better emphasize the change in synchrony with thalamic hyperpolarization.
Cross-correlograms have been smoothed via a moving average filter, 2.5ms window. **D.** Mean

levels of synchrony FSU pairs, for the spontaneous/baseline cortical firing as compared to the
sensory-evoked response for the Control and LED conditions. Relative to the Control condition,
thalamic hyperpolarization (LED, 35mW/mm^2) resulted in an increase in synchrony for the FSU
(Control vs LED, p=1.5e-8, Control vs Double LED, p=3.5e-13, Wilcoxon signed-rank test, n=99
pairs) populations. LED approx. 17mW/mm^2 ramp, Double LED approx. 35mW/mm^2 ramp – see
Methods. Error bars represent mean +/- SEM.
1557
Figure 8. A thalamocortical network model with TC synaptic adaptation reproduces three key
experimental results. A. Thalamocortical model schematic (see Methods). A clustered network
of excitatory (red) and inhibitory (blue) neurons received inputs from a model VPm barreloid, as
well as random excitatory inputs. Thalamocortical synapses instantly depressed and slowly
recovered in response to a VPm spike. **B.** Grand mean PSTHs for VPm tonic and burst spikes
(top) and network excitatory and inhibitory neurons (bottom), for the Control (black) and LED
(orange) conditions. **Insets:** same, but for 60 – 100 ms post-stimulus window. **C. Left** - Grand
mean (+/- SEM) rates for all model VPm (black), excitatory (red) and inhibitory (blue) neurons for
the early (0 – 50 ms post-stim, left) response window. VPm control: 28.08 +/- 2.04 Hz; VPm LED:
34.53 +/- 2.67 Hz; 23.0 % increase, p = 2.16 x 10^{-7}, Wilcoxon signed-rank test. Excitatory control:
11.24 +/- 0.3 Hz; excitatory LED: 11.41 +/- 0.32 Hz; 1.53% increase, p = 0.34. Inhibitory control:
143.39 +/- 2.85 Hz; inhibitory LED: 142.73 +/- 2.76 Hz; 0.46% decrease, p = 0.61.   **Right** – Same,
but for late (60 – 100 ms post-stim, right) response window. VPm control: 7.02 +/- 0.64 Hz; VPm
LED: 2.28 +/- 0.29 Hz; 67.6% decrease, p = 1.50 x 10^{-7}. Excitatory control: 3.23 +/- 0.11 Hz;
excitatory LED: 1.42 +/- 0.08 Hz; 56.0% decrease, p = 4.94 x 10^{-90}. Inhibitory control: 47.38 +/-
1.71 Hz; inhibitory LED: 17.95 +/- 1.27 Hz; 62.1% decrease, p = 3.86 x 10^{-18}. **D.** Grand cross-
correlograms for 147 randomly-selected, valid pairs of network excitatory neurons (see Model
Supplement Section 1). **E. Left** - Same as in (D), but for window used to calculate synchrony (+/-
7.5 ms). **Right** - Grand mean pairwise synchrony for excitatory pairs used to generate CCGs in D,
left. Exc-exc synchrony control: 0.56 +/- 0.02; exc-exc synchrony LED: 0.66 +/- 0.02; p = 3.03 x 10^{-7}
, control vs. LED. **F, G.** Same as in (D, E), but for 200 randomly-selected, valid network inhibitory
neurons. Inh-inh synchrony control: 0.53 +/- 0.003; inh-inh synchrony LED: 0.60 +/- 0.003; p =
1.44 x 10^{-34}, control vs. LED.   * Indicates $0.01 \leq p < 0.05$, ** indicates $0.001 \leq p < 0.01$, ***
indicates p < 0.001, Wilcoxon signed-rank test.
1582
1583
1584
1585

Figure 1

D anesth. VPm grand PSTH

all spikes
burst spikes

Control

LED

sensory stim onset

time (ms)

E *anesth. VPM evoked response*

anesth. cortical MU recordings

LED
Control

sensory stim onset

time (ms)

F anesth. CTX evoked GEVI response

Control

LED

sensory stim onset

5ms   10ms   15ms   20ms   25ms

-%dF/Fo

G anesth. CTX evoked GEVI response

LED
Control

sensory stim onset

time (ms)

Figure 2

Figure 3

Figure 4

Figure 5

A   *mean GEVI response (example session)*

1mm

LED

−0.4   −0.3   −0.2   −0.1   0   0.1

−%dF/Fo

B   Control   LED

amp   max

space

normalize

normed amp   1.0   0.7

space

C   normalized amplitude
mean GEVI ROI time-series (example session)

peak-to-baseline

mean recovery
(avg. 120 - 400 ms)

norm. %dF/Fo

−1

Control

LED

−0.1   stim onset   0.1   0.2   0.3   0.4   0.5

D   evoked norm. area >70%

Area (mm²)

0.25

0.15

0.05

*

Control   LED   ⚡LED

peak-to-baseline (ms)

Time (ms)

**

Control   LED   ⚡LED

mean recovery

Norm. Response

−1

−2

*

Control   LED   ⚡LED

Figure 6

Figure 7

A

single trial
target spike

cell 1

cell 2

time rel to target spike (ms)
-100   0   100

repeat for
all spikes
and trials

pairwise cross-
correlogram (CCG)

count →

Lag (ms)
-100   0   100

$$synchrony = \frac{sum(CCG[-7.5ms:7.5ms])}{sum(CCG)}$$

B   Example FSU Pair
Spiking Activity

\# Trial

Control

⚡LED

stim onset   Time(ms)

Synchronous Spikes
only

\# Trial

Control

⚡LED

C

Avg. Population
Pairwise CCG

Control
⚡LED

Time(ms)

D

Synchrony

***

Spont.   Control   LED   ⚡LED

Figure 8