<sup>1</sup> A sex chromosome drives the emergence of vocal learning following hormonal manipulation in

# 2 female zebra finches

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<sup>12</sup> Zebra finches are sexually dimorphic vocal learners. Males learn to sing by imitating mature 13 conspecifics, but females do not. The lack of vocal learning in females is associated with anatomical <sup>14</sup> differences in the neural circuits responsible for vocal learning, including the atrophy of several brain <sup>15</sup> regions during development<sup>1</sup>. However, this atrophy can be prevented and song learning retained in females after pharmacological estrogen treatment<sup>2-4</sup>. Little is known about the genetic machinery 16 17 controlling this sex and estrogen responsive song system development. To screen for drivers, we 18 performed an unbiased analysis of transcriptomes from song control nuclei and surrounding motor 19 regions in zebra finches of either sex treated with 17- $\beta$ -estradiol or vehicle until sacrifice on day 30, 20 when divergence between the sexes is anatomically apparent. Utilizing the newly assembled 21 autosomes and sex chromosomes from the zebra finch Vertebrate Genomes Project assemblies<sup>5</sup>, we <sup>22</sup> identified correlated gene modules that were associated to song nuclei in a sex and estradiol 23 dependent manner. Female estradiol treated HVC, in the vocal learning circuit, acquired the smallest of 24 the modular specializations observed in male HVC. This module was enriched for genes governing 25 anatomical development, and it's specilization was dispraportionately influenced by the expression of Z 26 sex chromosome transcripts in HVC. We propose that vocal learning may be prevented in female zebra finches via the suppression of an estrogen inducible Z chromosome *cis*-acting regulatory element. 27

### 28 Main

29 Vocal learning is the ability to imitate sounds. In humans, vocal learning is a necessary and specialized 30 component for spoken language and song. Though no animal produces human-like language, the most 31 similar phenotypes are found in 7 non-human clades of vocal learners, four mammalian and three avian, each having independently evolved the trait<sup>6</sup>. Oscine songbirds have proved to be the most 32 33 tractable for studying vocal learning in the lab, with much of the field focusing on the Australian zebra finch (*Taeniopygia guttata*). Despite ~300 million years of separation since a common ancestor<sup>7,8</sup>, there 34 35 is remarkable evolutionary convergence between songbird and human vocal learning in terms of the 36 behavioral progression, the developmental effects of deafening, the anatomical connectivity of 37 vocal-motor learning circuits, the sites of accelerated evolution within the genome, and even the 38 specific genes that mark song and speech circuits with higher or lower specialized expression against the surrounding motor control circuits<sup>6,9–15</sup>. Unlike in humans, however, vocal learning is strongly 39 sexually dimorphic in zebra finches<sup>1</sup>. Male zebra finches learn to produce a species appropriate song 40 by imitating mature conspecifics during juvenile development, while females are limited to producing 41 innate calls<sup>16</sup>. 42

43 The adult male zebra finch brain song system includes four major interconnected telencephalic song control nuclei; HVC (proper name) in the dorsal nidopallium (DN); the lateral magnocellular 44 45 nucleus of the anterior nidopallium (LMAN) found in the anterior nidopallium (AN); the robust nucleus of the arcopallium (RA) in the lateral intermediate arcopallium (LAI); and Area X in the striatum (Str; Fig. 46 47 1a)<sup>11</sup>. During juvenile development in females HVC and RA atrophy, the striatal song nucleus Area X never appears, and HVC fails to form synapses in RA<sup>1,17–24</sup>. Amazingly, female zebra finches treated 48 with estrogen or a synthetic analog at an early age do not exhibit song system atrophy and instead form 49 50 a functional neural circuit with all the anatomical components and connections seen in males<sup>2-4,25</sup>. This "masculinized" song system allows estrogen supplemented females to vocally imitate adult male 51 conspecifics, though not with the same accuracy as males<sup>2,4,26</sup>. Interestingly, lesioning female HVC 52 53 prevents estrogen dependent anatomical "masculinization" of its postsynaptic targets, RA and Area  $X^{27}$ .

54 The genetic basis of estrogen sensitive, sexually dimorphic zebra finch vocal learning remains 55 largely unknown. However, the examination of a rare gynandromorphic zebra finch with lateralized sex 56 chromosome composition indicates that genetically male Area X and HVC are larger than their female analogs independent of gonadal hormone production, implicating sex chromosome gene expression 57 58 within the song system<sup>28</sup>. Unlike the mammalian X and Y, birds use the Z and W sex determination system where females are hemizygotic (ZW), and males homozygotic  $(ZZ)^{29}$ . The relevant 59 transcriptional machinery must also be set up by posthatch day 30 (PHD30), after which estrogen fails 60 to masculinize female brains or behavior and the male song system enlarges while the female song 61 system atrophies<sup>2,17,19,30</sup>. To screen for genetic drivers and locate their action within the song system, we 62 63 performed an unbiased analysis of transcriptomes from song system components and surrounding 64 motor control regions in zebra finches of either sex treated with 17- $\beta$ -estradiol (E2) or vehicle until 65 sacrifice at PHD30. We used a new zebra finch genome assembly and annotation produced by the Vertebrate Genomes Project containing both the Z and W<sup>5</sup>, to identify candidate drivers of vocal 66 67 learning loss in females from the sex chromosomes.

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## <sup>69</sup> Identification of gene modules

We used RNA-Seq data from a previous study on the effects of E2 manipulation on the song system which was analyzed using a differential expression approach after mapping reads to an older genome lacking the W sex chromosome<sup>26</sup>. We first re-mapped RNAseq reads to the new assembly from the four major song nuclei (HVC, LMAN, RA, and Area X) and their adjacent non-vocal motor surrounds (DN, AN, LAI, and Str respectively; **Fig. 1a**), taken from quiet males and females at 30 days old; treated with either E2 or a vehicle control since hatch (**Fig. 1b**)<sup>26</sup>. The non-vocal surrounds allow us to examine specialized up- or down-regulated gene expression patterns in the song control nuclei<sup>12,13</sup>.

77 Within the mapped gene expression data, we asked the following five questions: "What were the 78 specialized gene expression profiles of males at PHD30?"; "Which female song nuclei were specialized 79 by E2?"; "What were the gene networks implicated in that specialization?"; "Which genes most strongly 80 drove this specialization?"; and "Are the sex chromosomes involved, and if so how?". To do this in an 81 unbiased way, we performed weighted gene correlation network analysis (WGCNA) to first decompose 82 the transcriptome into actively expressed gene modules by performing iterative hierarchical clustering on the matrix of gene-to-gene correlations in expression across samples<sup>31</sup>. Of the ~21,000 annotated 83 84 zebra finch genes, 13,220 were expressed in the finch telencephalon and assigned to one of 14 85 co-expression modules, a comparable number of genes to what we have seen expressed in adult zebra 86 finch telencephalon<sup>14,32</sup>. Two of the modules clearly marked single samples (Fig. S2), indicative of 87 technical overfitting, and were excluded from further analysis. The remaining 12 modules, including 88 12,444 genes, were dynamically expressed across brain regions and treatment groups, which we 89 lettered in descending order of size A through L, containing from 4,890 to 127 constituent genes (Fig. 90 1c).

#### 91

### <sup>92</sup> PHD30 female HVC and Area X lack specialized gene modules

93 To answer our first question of modules specialized to male song nuclei, we first calculated the module 94 eigengene (MEG) for each of our modules (Fig. 1d). MEGs are the first principle component of 95 variance of all genes in a module and can be thought of as an aggregate measure of expression for 96 each module's expression across samples. We then associated gene modules to song nuclei by 97 correlating MEG expression song system membership for each nucleus against its respective surround 98 (e.g. RA vs. LAI) in vocal learning males (Fig. 2a). As expected, the male song system was strongly 99 specialized in each of the four nuclei. Male HVC was specialized relative to DN by the significant 100 differential expression of modules B,C,F, and G; LMAN was specialized relative to the surrounding AN 101 by modules B,F,G, and I; RA was specialized relative to LAI by modules A,C, and G; and Area X was 102 specialized relative to the Str by modules C,E,F,G, and I. To address our second question, we found 103 that in vehicle-treated females, LMAN and RA exhibited similar gene module specialization patterns 104 observed in males, appearing well set up for vocal learning even in the absence of E2. In contrast, 105 neither the female vehicle HVC nor Area X showed significant gene module specializations against 106 their surrounds, making them more likely sites of E2 action (Fig. 2b).

# 107

### 108 E2 sensitive HVC module for tissue expansion

109 To answer our third question and determine whether exogenous E2 caused specialized gene module 110 expression within the song system in females, we compared MEG expressions in female-E2-HVC 111 samples to the combination of female-E2-DN, female-Veh-DN, and female-Veh-HVC samples. We 112 identified a single module whose expression was specialized in HVC of E2-treated females, and it was 113 the same module G found in male HVC (Fig. 2c). This was the smallest of the four modules specialized 114 in male HVC relative to DN, containing 344 genes (**Table S1**). To understand the biological function of 115 this gene module, we mapped the zebra finch genes to their 1:1 human orthologs where possible and 116 then used human gene annotation to examine the Gene Ontology (GO) functions enriched within the 117 module G constituent genes (Table 1). We found significantly enriched GO terms, which included "DNA binding transcription factor activity", "cell differentiation", "anatomical morphogenesis", "cell to cell 118 119 signaling", and "positive regulation of multicellular organism growth", indicating that module G genes 120 likely enact a proliferative developmental program. Other significantly enriched terms such as 121 "extracellular matrix structural component", "external side of the plasma membrane", and "extracellular 122 space" indicate that this module may also act to restructure the extracellular matrix, perhaps to 123 accommodate new cells.

124 We also compared female-E2-Area X samples to all other female samples from the striatum, <sup>125</sup> and found specialized expression module G, as well as modules C and F (Fig. 2c). The strong signal 126 here is consistent with female Area X being completely absent without E2 and its presence depending 127 on HVC masculinization by E2<sup>27</sup>. For RA of E2 treated females we found specialized modules A and C 128 (Fig. 2d) and for LMAN specialized modules C,F,G (Fig. 2c). Unlike the module G specialization in 129 HVC, both the female-E2 treated RA (module A,C) and LMAN (module C,F,G) module specializations 130 were also present in females given the vehicle (Fig. 2b), making the observed RA and LMAN 131 specializations unlikely to be causative for the gain of vocal learning in females after E2 treatment. 132

### 133 Telencephalic sexually responsive gene module

134 We next examined MEG associations to sex differences and E2 responses within the song system (Fig. 135 2d) and surrounding brain regions (Fig. 2e). Sex differences were compared between vehicle treated 136 males and females. Here we found that module G was expressed higher in the vocal learning capable 137 male HVC than the non-vocal learning capable female HVC. Module G similarly responded to sex 138 within Area X, however since Area X is absent in vehicle treated females this comparison likely reflects 139 the sexually dimorphic existence of Area X rather than a sex specific specialization. Module C 140 expression was significantly higher in both female LMAN and RA relative to their male counterparts. In 141 contrast, we found that gene module E was sexually dimorphic throughout the telencephalon, both in 142 song nuclei and surrounding regions. Specifically, the eigengene for module E was highly expressed in 143 males, and lowly expressed in females.

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## 145 Gene modules enriched on specific chromosomes

146 We then determined whether any modules were enriched in genes from specific chromosomes. After 147 bootstrapping the mapping between genes and modules to approximate a multiple test corrected 148 upper-bound confidence interval for each chromosome-module pairing, we found genes in 8 of the 12 149 modules were enriched on specific chromosomes (Fig. 3a). The most striking effect observed was the 150 enrichment of module E genes on the Z and W sex chromosomes, with nearly all W expressed genes 151 and  $\sim \frac{2}{3}$  of Z expressed genes being members of module E. This explains why the eigengene of module 152 E consistently exhibited higher expression in the male telencephalon regardless of treatment (Fig. 153 2d,e). When considering gene membership in module E as a continuous variable over the whole 154 transcriptome by correlating each gene to the module's eigengene, we found that levels of Z and W transcripts were anticorrelated within this module (Fig. 3b), indicating that Z chromosome transcripts 155 156 were generally depleted in female brains with W chromosome expression. This broad reduction in Z 157 chromosome transcript abundance is consistent with the finding that diploid Z chromosomes do not 158 inactivate in male birds to compensate for gene dosage, unlike the X inactivation seen in female 159 mammals<sup>28,33</sup>.

160 Other significant mappings included the largest module, module A, a component of RA's 161 specialization, being slightly enriched across several macro-chromosomes (Chr1, 2, 3, 4, and 10). 162 Interestingly, *FOXP2*, a language associated gene in humans<sup>34</sup> and vocal learning associated gene in 163 songbirds<sup>35</sup> appeared to be a potent driver of module A, correlating with MEG-A at  $r^2 = 0.92$ . 164 Conversely, module D, which did not associate with any experimental variables in our data, was 165 enriched across micro-chromosomes (Chr22, 25, 27, 28, 29, and "other"). Module B, a component of 166 the male HVC specialization, was enriched on chromosome 1A; module B also showed enrichment for 167 genes with convergent specialized expression between zebra finch HVC and layer 2/3 neurons of the human laryngeal motor cortex<sup>14</sup> (Fig. 2g). No modules were enriched for the gene set convergently 168 169 regulated between zebra finch RA and layer 5/6 neurons of the human laryngeal motor cortex (Fig. 2h). 170 Module F, a component in HVC, LMAN, and Area X specializations, was enriched on chromosome 2. In 171 addition to module D, both modules J and K were enriched on "other", a category that includes newly identified zebra finch micro-chromosomes<sup>36</sup>. These findings indicate a remarkable association between 172 the structure of song nuclei gene expression networks, measured in an unbiased way with WGCNA, 173 174 and the chromosomal structure of the genome.

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### <sup>176</sup> Interactions between vocal learning and sex chromosome modules

177 As it is unlikely that the gene modules act independently of each other, we sought putative interacting 178 genes between two modules of interest, the HVC vocal learning responsive module G and the sex 179 chromosome dominated module E. To do this, we went beyond the binary, in-or-out module 180 membership used to initially calculate MEGs and defined continuous module membership as the correlation  $(r^2)$  between a given gene's expression and any given MEG<sup>31</sup>. This allowed us to quantify 181 182 the extent to which any gene is associated with any of the modules. Looking across all assigned genes 183 for our modules of interest, we identified two outlier genes, PDE8B and HABP4, which were the E most 184 gene assigned to module G and the G most gene assigned to module E, respectively (Fig. 2f). Both of 185 these genes were found on the Z chromosome. PDE8B catalyzes the hydrolysis of the second 186 messenger cAMP and mutations to the gene cause an autosomal dominant form of striatal degeneration in humans<sup>37</sup>. HABP4 is an RNA binding protein, known to repress the expression and 187 subsequent DNA binding of MEF2C<sup>38</sup>, a Z chromosome transcription factor which has undergone a 188 dramatic accelerated evolution in songbirds<sup>15</sup> and whose repression by FOXP2 is critical for 189 190 cortico-striatal circuit formation in mice related to vocal behaviors<sup>39</sup>.

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## <sup>192</sup> Gene drivers of E2-treated female HVC specialization

193 To reduce the 344 genes in module G to the putative drivers of vocal learning in HVC and address our 194 fourth question, we next examined the relationship of continuous membership in module G (correlation 195 to MEG-G) to gene expression specialization in vocal learning capable HVC at the level of single 196 genes. We did this separately for each of the four vocal learning comparisons discussed above: 1) male 197 HVC specialization; 2) female E2 treated specialization; 3) HVC sexual dimorphism; 4) HVC E2 198 response (Fig. 4a). We defined genes of interest as having  $r^2 \ge 0.5$  for both vocal learning specialization 199 and module G membership in any single comparison (Fig. 4b). All such genes exhibited a positive 200 correlation to vocal learning ability across sample sets (Fig. 4b), meaning that their expression was 201 higher in vocal learning capable HVC relative to non-vocal learning controls.

202 We next intersected these four HVC specialized gene sets to generate the core genes list for 203 module G involvement in E2 and sex dependent HVC development (Fig. 4c). We found 15 genes 204 which strongly mark vocal learning capable HVC in all comparisons and also appear to drive module G 205 expression (Table 2, Fig. S3). To address our fifth and final question, we examined the chromosomes 206 of origin for these 15 core genes and found that four (GHR, LRRC2, RGS7BP, and THBS4) were on 207 the Z sex chromsome (Fig. 4d). This > 4-fold enrichment of Z chromosome transcripts within the core 208 gene set was statistically significant (p = 0.0016, upper-tailed hypergeometric test) against a 209 background of module assigned genes. This result was statistically significant regardless of the 210 background gene set when using all genes (~21,000) or only module G members (344). These four Z 211 chromosome module G genes in HVC all exhibited > 50% reduced expression in vehicle-treated female 212 HVC relative males, as expected for Z chromosome transcripts, but with increased HVC expression 213 following E2 treatment (Fig. S3). This indicates that these transcripts are subject to additional, E2 214 sensitive transcriptional regulation that does not affect other genes from the Z chromosome in HVC.

215 Of the 15 core genes, several have been previously studied in the brains of other species which 216 could inform their role in vocal learning systems. THBS4 encodes a secreted extracellular-matrix 217 glycoprotein necessary for appropriate neuronal migration in the mouse<sup>40</sup> and is elevated 6-fold in the 218 human cortex compared with non-vocal learning primates<sup>41</sup>. Human EDA2R was recently identified as a 219 top correlate of cognitive performance and brain size<sup>42</sup>; it was also found in a human GWAS study to correlate with circulating estrogen and testosterone levels<sup>43</sup>. Rare mutations in human *PHETA1* lead to 220 221 Lowe oculocerebrorenal syndrome, the pathophysiology that includes seizures, mental retardation, and 222 structural brain abnormalities<sup>44,45</sup>. SIX2 is a homeobox domain containing transcription factor that governs early brain and craniofacial development, and provides neuroprotection from dopamine 223 224 injury<sup>46,47</sup>. Perhaps one of the most relevant genes to atrophy of HVC in females, is the growth hormone 225 receptor (GHR), which encodes a transmembrane receptor whose activation controls cell division<sup>48</sup>. 226 The GHR ligand, growth hormone, has interestingly been shown to be duplicated and undergoing 227 accelerated evolution in the genomes of songbirds, including the zebra finch<sup>49</sup>. Findings in these gene 228 sets indicate that without estrogen treatment and induction of module G expression, females prevent 229 the HVC expansion through a sex chromosome mechanism that blocks function of growth and neuronal 230 migration promoting genes.

231

### 232 Implications for sexually dimorphic vocal learning

233 How did E2 treatment produce the transcriptomic effects we observed with module G in female HVC 234 and what are the implications regarding sexually dimorphic vocal learning in the zebra finch? 235 Presumably, this process begins with increased estrogen receptor (ER) activity within HVC cells after 236 being provided surplus activating ligand<sup>50</sup>, which is followed by altered transcription of ER targets in the 237 genome. This initial transcriptional loading of the system is then processed by gene regulatory networks 238 within each cell, spreading in effect through the transcriptome. It is possible that differential MEG-G 239 expression arose purely from traditional gene regulatory networks, where transcription factors form 240 complex, elaborate feedback networks with themselves, each other, and the genes they regulate. 241 However, this framework fails to explain why module G transcriptional specializaton in vocal learning 242 HVC was enriched for transcripts from a single chromosome; the Z sex chromosome with halved copy number in females. 243

244 We propose that the Z chromosome gene complex identified here may be in part controlled by a 245 cis-acting gene regulatory element on the Z chromosome, activable downstream of ER activity. The 246 genes regulated by this element may include necessary components in a proliferative transcriptional 247 program, represented in our data as the enrichment of Z chromosome genes in the drivers of module G 248 expression in HVC. The module G proliferative program could be specialized to developing male HVC 249 by the expression of patterning genes, such as SIX2 early in development, and plausibly maintained 250 through persistent GHR signaling. We propose this cis-acting regulator is normally silent during female 251 HVC development, a silence enforced by a combination of insufficient Z chromosome dosage, the 252 expression of W chromosome transcripts, and sex differences in hormone levels. In the subsequent 253 absence of gene products such as GHR, module G does not specialize in developing female HVC 254 leading to a less proliferative female HVC late in development. We term this hypothesis the "Z 255 chromosome *cis*-regulatory song model" of sexually dimorphic zebra finch vocal learning (**Fig. 5**).

256 Our results challenge the traditional notion that hormonal signaling organizes the brain to 257 produce sexually dimporhic behaviors independent of neuronal sex chromosome content<sup>51,52</sup>. Instead, 258 our data indicated that sexual dimorphism in zebra finch song learning ability was established by the 259 interaction of sex hormone signaling and sex chromsome gene expression within the HVC lineage 260 during development. These findings are thematically similar to work in the Four Core Genotypes mouse 261 line<sup>53</sup>, where chromosomal and gonadal sex are sepparable due to translocation of the sex determining 262 Sry gene. In these mice, sex chromosome composition has been shown to affect sexaully dimorphic circuit anatomy, behaviors, and gene expression<sup>53–56</sup>, though the sex chromosome genes responsible 263 264 remain unknown in all instances. By leveraging the discrete nature of the song system, the female 265 zebra finch response to E2, and modern genomic technologies, here we were able to identify specific 266 candidate genes of vocal learning loss from the Z sex chromosome and describe the sexually 267 dimorphic, HVC specialized gene network which they participate in.

In conclusion, we show that E2 treatment in females induces a subset of gene expression specializations seen in male HVC and that this specialization is disproportionately influenced by the expression of Z chromosome transcripts. We hypothesize that these genes are regulated by a Z chromosome *cis*-regulatory element and are necessary for continued HVC proliferation late in development. Additional experiments manipulating these genes to both mimic and prevent the action of E2 in female zebra finches are needed to test this hypothesis, as are comparisons of zebra finch Z chromosome structural and epigenomic profiles across sex, hormonal manipulation, and development.

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## 282 Author contributions

<sup>283</sup> MD performed all analyses and wrote the paper, HNC gathered all data and edited the paper, HM and <sup>284</sup> EDJ edited the paper.

285

## 286 Materials & Correspondence

287 Correspondence to Erich D. Jarvis.

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## 289 Competing interests

<sup>290</sup> We have no competing interests.

## 291 Materials and Methods

292 Animal Handling and Sample Preparation:

<sup>293</sup> The samples used in the present analysis are the E2 or vehicle treated subset of a previously published <sup>294</sup> RNAseg dataset<sup>26</sup>. We briefly re-describe our methodology here.

295

E2 (Sigma E1024-1G) was dissolved in DMSO (100mg/mL) and then diluted in olive oil (1mg/mL).
30-50uL of E2 sample or DMSO only vehicle was applied to the flank of male and female zebra finches
daily from PHD0-PHD14 and on alternating days from PHD15-30 (n=3 per sex-treatment combination).
We have previously shown that this treatment program is sufficient to induce song system
masculinization in E2 treated female zebra finches<sup>26</sup>.

301

302 On PHD30, animals were sacrificed following one hour of dark isolation. Animals were anesthetized by 303 isoflurane inhalation and rapidly decapitated. Brain hemispheres were dissected, embedded in OCT, 304 and flash frozen in an ethanol and dry ice slurry. Sections were taken from the right hemisphere 305 coronally at 14um onto polyethylene naphthalate (PEN) membrane slides for RNA isolation and 306 adjacent sections taken on charged glass slides for histology or in-situ hybridizations. From the PEN 307 membrane slides, song nuclei and surrounding control regions were laser capture microdissected 308 (LCM) using an ArcturusXT LCM system (Nikon) guided by a Nissl stained tissue series for each 309 animal.

310

RNA was extracted from the LCM isolated tissue samples using the Arcturus Picopure kit (Applied
Biosystems KIT0204) following manufacturer's instructions. RNA quality was assessed using an Agilent
2100 bio-analyzer and the RNA 6000 pico kit (Agilent 5067- 1513). Next, cDNA was synthesized using
the SMART-Seq v4 Ultra Low input RNA Kit (Takara 634892). Sequencing libraries were made with the
NEBNext Ultra II DNA Library Prep kit (New England Biolabs E7645L) and cleaned-up using
SPRIselect beads (Beck-man Coulter B23317). Libraries were sequenced by Novogene Co., Ltd. on
the Novaseq 6000 platform (Illumina) and S4 flow cells resulting in 150bp paired-end reads.

318

## 319 RNAseq read mapping and quality control:

320 RNAseg reads were first trimmed to remove adapters and low guality base calls using Trimmomatic<sup>57</sup> 321 and then mapped to a high-quality Vertebrate Genomes Project (VGP) female zebra finch nuclear 322 genome (bTaeGut2.pat.W.v2, GCF 008822105.2)<sup>5</sup> using STAR (v2.7.1)<sup>58</sup>. These mappings were then 323 tallied at the level of genes using Rsubread::featureCounts (R-3.6.1) and then counts normalized to 324 fragments per kilobase of transcript per million mapped reads<sup>59</sup>. Read based quality control was 325 performed with FastQC (Babraham Bioinformatics) with reports prepared by MultiQC (Python-3.5.5)<sup>60</sup>. 326 This workflow was automated by the CountMatrix pipeline (https://github.com/mattisabrat/CountMatrix/). 327 We next removed two outlier samples (one male vehicle HVC and one female vehicle RA) based upon 328 hierarchical clustering of the sample space before computing gene to gene correlations (Fig. S1). 329

## 330 Gene Module Identification:

331 All remaining analyses were completed in R-3.7.1 and Bioconductor-v19. Data was wrangled in the 332 tidyverse, and custom visualizations produced with ggplot, RColorBrewer, and ggpubr<sup>61,62</sup>. Unsigned 333 topological overlaps between genes (gene-to-gene correlations) were calculated in a single block with 334 WGNCA::blockwiseModules, and then module assignment was performed using 335 WGCNA::recutBlockwiseTrees specifying a minimum module size of 100 genes<sup>31</sup>.

### 336 Module association to vocal learning:

337 Module eigengenes (MEGs) from each module were correlated with song system membership/vocal 338 learning capability and the statistical significance of each correlation assessed using 339 WGCNA::corPvalueStudent. This was done in the following sample subsets by node: male samples; 340 female samples; female samples treated with vehicle; song system components from either sex treated 341 with vehicle; and female song system components of either treatment. There are four comparisons of 342 vocal learning groups to be drawn within these subsets; the male song system against the surrounds; 343 the female E2 treated song system against all other female samples; the vehicle treated male song 344 system against the vehicle treated female song system; and the female E2 treated song system against 345 the female vehicle treated song system. The comparison of the vehicle treated female song system 346 against the surrounds (correlation to song system variable) was also performed. In cases where there 347 were outliers on both sides of a comparison originating from the same animal, we performed post-hoc 348 two-tailed Wilcoxon rank sum tests using wilcox.test to associate the rank order of MEG expression to 349 the variable at play for those comparisons.

350

351 <u>Module gene ontology and convergent vocal learning gene expression signature enrichment:</u>

352 Module assigned zebra finch genes were mapped to their 1:1 human orthologs where possible, 353 dropping unmapped multi-mapped or genes, using orthofindR::getOrthos 354 (https://github.com/ggedman/orthofindR) which wraps Ensembl's biomaRt. We tested for enriched 355 human gene ontology biological process terms within the human orthologs of each module using 356 generally applicable gene set enrichment (GAGE) implemented in gage::gage<sup>63</sup>. To determine if the 357 genes previously shown as convergently differentially expressed in zebra finch RA or HVC and human 358 dorsal laryngeal motor cortex mapped to specific modules, we treated these two gene lists identically to 359 GO terms and tested for their significant enrichment across the human orthologs of each module also 360 using GAGE.

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### 362 Module enrichment on chromosomes:

To associate modules to chromosomes, we bootstrapped Bonferonni corrected upper bound 95% confidence intervals for the enrichment of each chromosome-module pairing by randomizing the mapping of genes to modules 25k times and calculating the enrichments observed on each chromosome from each module in each randomization to approximate the null distributions.

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### <sup>368</sup> Identification of core module G genes in HVC and Z chromosome enrichment:

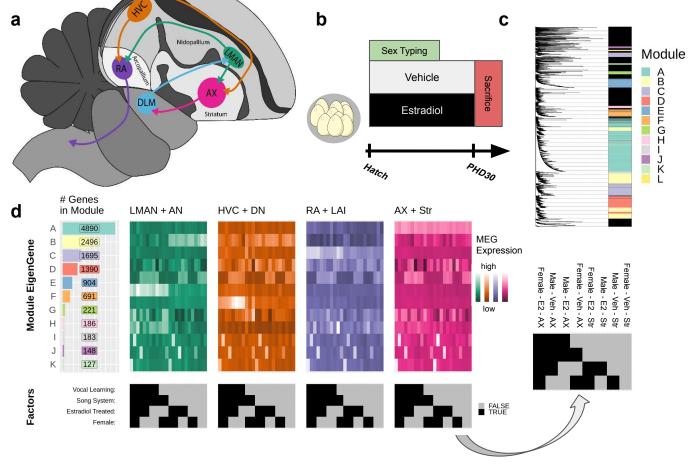
369 We defined genes of interest as the subset of significantly (p < 0.05) vocal learning capability correlated 370 genes in HVC whose expression correlated to module eigengene G (MEG-G) across the dataset at  $r^2 \ge$ 371 0.5 and to vocal learning at  $r^2 \ge 0.5$  in at least one of the four vocal learning comparisons in HVC, again 372 calculated using WGCNA::corPvalueStudent. These comparisons were: all male HVC samples against 373 all male DN samples; female E2 treated HVC against all other female samples at the node, including 374 vehicle treated HVC; Vehicle treated male HVC against vehicle treated female HVC; and E2 treated 375 female HVC against vehicle treated female HVC. We defined core genes as those meeting this criteria 376 for all four HVC vocal learning comparisons. We tested the statistical significance of Z chromosome 377 enrichment in this core gene list with an upper-tailed hypergeometric test, implemented in phyper, 378 where each core gene is a sampling event without replacement from module assigned genes.

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509 Figure 1 - Song system anatomy and experimental design. a, Diagram of song system connectivity within the adult male zebra finch brain. Area X connects back to LMAN through the 510 511 non-vocal specific thalamic nucleus DLM. b, Experimental design. Animals were treated with E2 or a vehicle from hatch until sacrifice on PHD30. c, WGCNA and assignment of genes to modules. Left: 512 513 Hierarchy computed over the transcriptome wide topological overlap matrix. Right: Rows are genes colored according to the assigned module, unassigned genes in black. d, MEG expression heatmaps 514 515 by module size (left) aligned to traits of interest (bottom). Each row is an MEG and each sample is a column with samples grouped by neural circuit node into different colored subpanels. 516

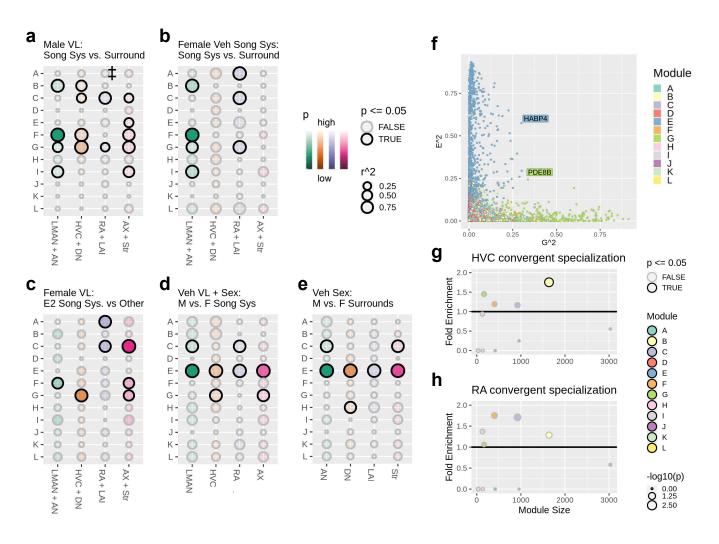
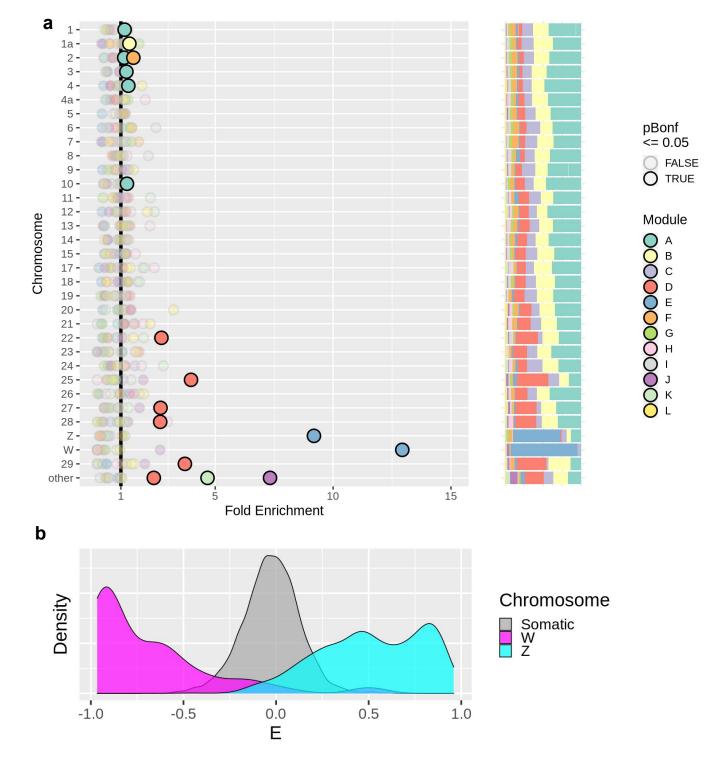
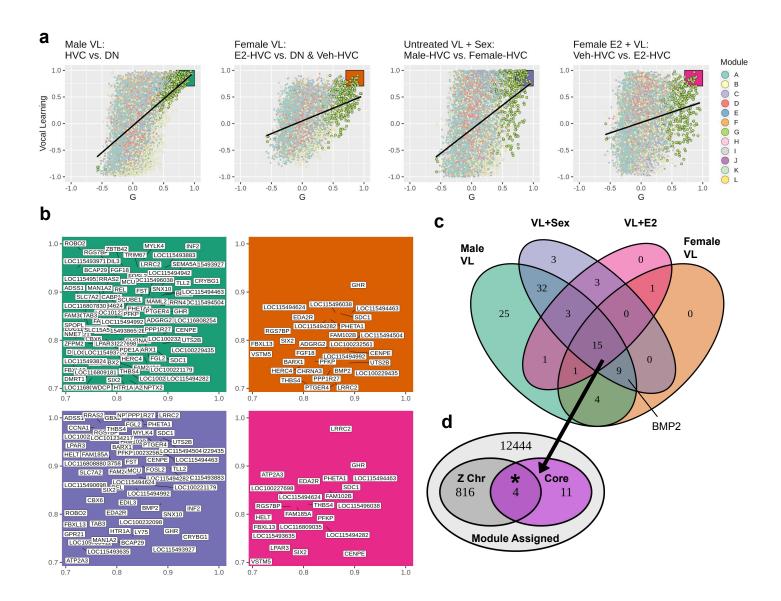


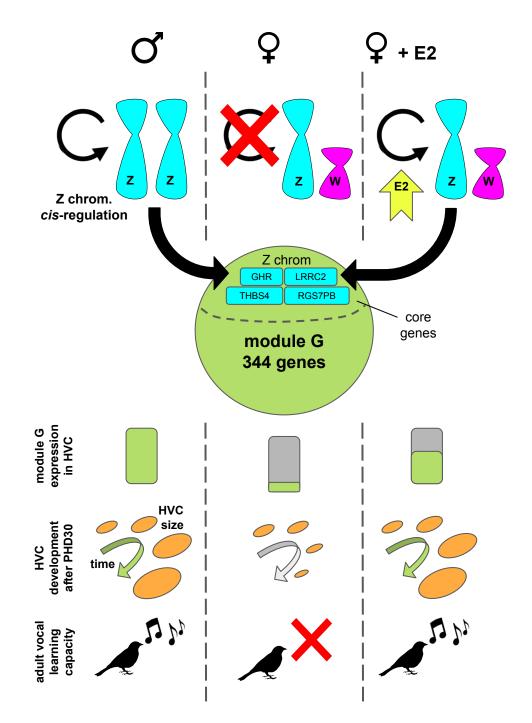
Figure 2 - Association of modules to specific variables. a-e, Results of statistical association 517 between MEG expression and variables of interest. Plots show the associations between gene 518 modules (rows) to a, male song system membership; b, vehicle treated female song system 519 520 membership; c, female vocal learning after E2; d, sexual dimorphism within the song system; e, 521 sexual dimorphism within the surrounding control regions. Each neural circuit node is considered 522 separately (columns). Pearson correlation and Students t test,  $\alpha$ =0.05. Where T test is inappropriate 523 because of paired outliers, ± indicates p=0.06 by post hoc Wilcoxon rank sum test. f. Comparison of membership in module E (r<sup>2</sup> to MEG-E, y-axis) and module G (r<sup>2</sup> to MEG-G, x-axis) across module 524 assigned genes. g, Enrichment of genes previously found to be convergently differentially expressed 525 526 in the human laryngeal motor cortex and either HVC (top) or RA (bottom). Values above theblack line 527 indicate above random chance.



**Figure 3 - Gene modules on chromosomes. a**, Fold enrichment of modules onto chromosomes (left) and raw data, the proportion of genes from each chromosome assigned to each module (right). Values to the right of the vertical black line indicate above random chance. Significance was assessed using a bootstrapped test of observed enrichment against Bonferroni corrected 95% upper bounds estimated for each module chromosome pairing based on 25,000 randomizations. **b**, Distribution of continuous membership in module E across the module assigned transcriptome (Pearson r to MEG-E: E) with sex chromosomes separated.



535 Figure 4 - Identification of core genes and association to the Z chromosome. a, Single gene 536 continuous membership in module G (x-axis; Pearson r to MEG from module G) for all assigned genes vs correlation to vocal learning in masculine or masculinized HVC relative to samples from 537 538 non-vocal learning females in each of the four comparisons (titles). Shaded area indicates gene of interest criteria for each comparison. b, Blowup of shaded regions in a showing genes of interest 539 from each comparison. c, Identification of core genes by intersecting the four gene sets of interest. d, 540 Enrichment of Z chromosome transcripts within the core genes. \* indicates p < 0.05 by an 541 542 upper-tailed hypergeometric test.



**Figure 5 - Z chromosome** *cis*-regulatory hypothesis of sexually dimorphic zebra finch vocal learning. We propose that estradiol treatment in female zebra finches masculinizes song behavior by overcoming insufficient Z sex chromosome dosage to activate a Z chromosome *cis*-acting regulator in HVC which would otherwise be male specific. The genes controlled by this putative *cis*-acting element are drivers of a larger proliferative genetic program which prevents HVC atrophy and allows for its expansion late in development, sufficient for rudimentary vocal learning in females.

## **Module G: Significantly Enriched GO**

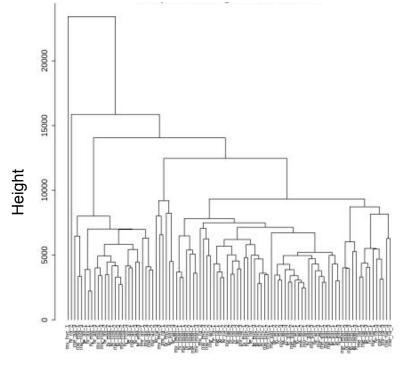
GOterm	GOid	р
DNA-binding transcription factor activity, RNA polymerase II-specific	GO:0000981	0.012
cell differentiation	GO:0030154	0.013
DNA-binding transcription factor activity	GO:0003700	0.015
extracellular matrix structural constituent	GO:0005201	0.024
inflammatory response	GO:0006954	0.026
anatomical structure morphogenesis	GO:0009653	0.03
external side of plasma membrane	GO:0009897	0.036
extracellular space	GO:0005615	0.037
DNA-binding transcription activator activity, RNA polymerase II-specifi	ic GO:0001228	0.04
cell-cell signaling	GO:0007267	0.041
positive regulation of multicellular organism growth	GO:0040018	0.042
transmembrane transporter activity	GO:0022857	0.043

**Table 1 - Module G functional enrichment analysis.** Significantly enriched GO terms within the 1:1 human orthologs of module G. Lists full GO term, GOid, and p value calculated by generally applicable gene set enrichment<sup>47</sup>.

Z Chromosome	Other	cont.
GHR	CENPE	LOC115494624
LRRC2	EDA2R	PFKP
RGS7BP	FAM102B	PHETA1
THBS4	FBXL13	SDC1
	LOC115494282	SIX2
	LOC115494463	

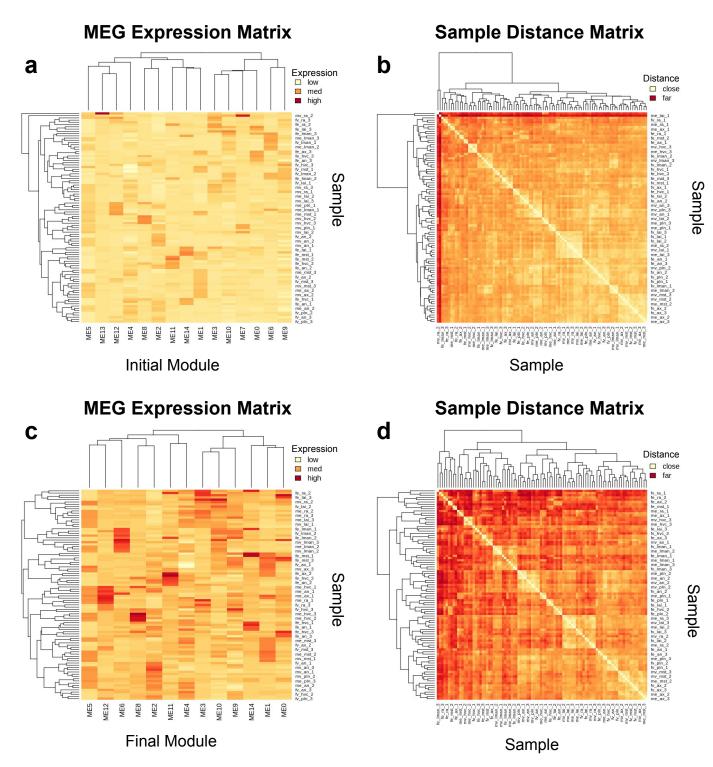
#### **Module G: Core VL Genes**

**Table 2 - Core genes of module G specialization to vocal learning HVC.** Putative drivers of module G specialization to vocal learning capale HVC. Intersection of the four gene of interest sets (**Fig. 4b**), separating the significant enrichment of genes from the Z sex chromosome.



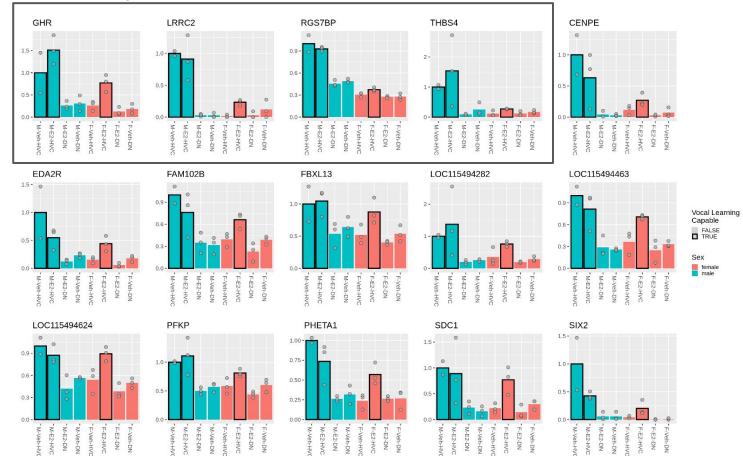
Sample

**Figure S1 - Outlier sample detection by hierarchical clustering.** Two samples (mv\_hvc\_1 and fe\_ra\_2, left most) form deep, single sample branches in the hierarchical clustering tree, indicative of outlier samples unlikely to fit the correlational structure of the larger dataset. Samples were removed prior to gene network construction and module detection.



**Figure S2 - Initial module overfitting to single samples. a**, ME7 is highly expressed only in mv\_ra\_2 and ME13 is highly expressed only in fv\_ra\_3. **b**, This overfitting causes these samples to be deep outliers in the sample-sample distance matrix. **c**, Removing these module eigengenes from the set prevents these samples from behaving as outliers in the distance matrix in **d**. These overfit modules were removed prior to module lettering and statistical analysis.

#### Z chromosome genes



**Figure S3 - Expression of module G core genes in HVC and surrounding dorsal nidopallium.** Each of the 15 core genes show reduced expression in female HVC relative to the male with an increase in expression in response to E2 treatment. This transcriptional response to E2 is not seen in the surrounding DN.

1/2021.07.12.452102; this version posted July 12, 2021. The copyright holder for this preprint (which author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCC-BY-NC-ND 4.0 International license. UTS2 B UCC1154 UCC115 <sup>CC115494282</sup> MCU CRYBG1 PFKP NPTX2 FGF18 LY75 CHRNA3 LOC115494992 DIL3 CUBE1 FRIM67 Gene 0.84 0.84 0.86 0.87 0.88 0.90 0.92 0.94 0.82 0.82 0.82 0.82 0.83 0.83 0.84 0.84 0.84 0.85 0.85 0.85 0.85 0.85 0.85 0.85 0.85 0.85 0.85 0.85 0.86 0.86 0.87 0.87 0.89 0.89 0.89 0.89 0.89 0.89 0.90 0.90 0.91 0.96 0.81 G RRAS2 GPR21 WDCP CCNA1 KERA CBX6 PDE1A SIX2 GBX2 EDA2R LPAR3 LMTK2 TAB3 REL LOC115493824 FAM3C DMRT1 LOC116809035 LOC115495199 SPTLC3 LOC100223270 LOC115493751 SLC7A2 MAN1A2 LOC100227698 SLC15A5 **RGS7BP** HTR1A FAM185A HERC4 BCAP29 CABP1 LOC115491108 FAM240A BARX1 **ZBTB42** LOC115493865 THBS4 LOC115494624 LOC116809181 LOC115493758 LOC101234217 LOC100232098 Gene 0.74 0.75 0.75 0.75 0.75 0.75 0.76 0.76 0.76 0.76 0.76 0.76 0.77 0.77 0.78 0.78 0.78 0.78 0.78 0.79 0.79 0.79 0.80 0.80 0.80 0.80 0.80 0.80 0.81 0.81 0.74 0.74 0.74 0.75 0.75 0.77 0.77 0.77 0.81 0.81 0.81 0.81 0.81 G ACSL1 CPA6 GLI2 PTX3 PDE9A NME7 HELT VSTM5 NFKBIZ **GPR155** LOC116809117 LOC100223369 FFAR4 SLC35C2 LOC100219379 UTP25 LOC100221052 LOC115490696 SLC5A8 LOC100232469 LOC115493739 LOC116808614 TMC5 LOC115495208 LOC100222099 LOC100228395 LOC116808880 FBXL13 DNAH10 LOC116807829 SPOPL LOC115493971 ROBO2 LOC100219000 ADSS1 LOC115490698 LOC116807830 LOC105759411 LOC100227652 CCDC146 CCDC82 LOC116806729 LOC115493635 Gene 0.70 0.70 0.70 0.70 0.70 0.71 0.73 0.74 0.74 0.68 0.69 0.69 0.69 0.69 0.69 0.69 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.70 0.71 0.71 0.71 0.71 0.72 0.72 0.72 0.72 0.73 0.73 0.73 0.73 0.74 0.71 0.71 0.71 0.68 0.69 G SNTG1 HEY1 DIPK1A CTCFL GPHN DAPL1 ST6GAL2 DSG2 MUC2 TACC2 DMXL2 COL19A1 NEK10 CHRNA5 POU1F1 AR LOC115491133 VAV2 SLC13A1 SLC16A3 MPP5 CCBE1 LOC115493570 PDE8B LOC100218870 HMMR ARHGAP24 LOC116808083 LOC115496575 LOC115494903 LOC105758841 LOC115496281 LOC115495472 LOC100222749 LOC100221042 RSP04 LGMN LOC116808110 TMSB15B LOC116807734 LOC115491275 TRNAU1AP LOC116808795 Gene 0.64 0.64 0.64 0.64 0.64 0.64 0.64 0.64 0.65 0.65 0.65 0.65 0.66 0.66 0.66 0.66 0.66 0.66 0.67 0.67 0.68 0.68 0.68 0.68 0.68 0.68 0.66 0.68 0.68 0.64 0.64 0.64 0.64 0.64 0.65 0.65 0.65 0.65 0.65 0.67 0.68 0.64 0.64 G HTR1F GRIP1 EYA4 FOXO3 ASB15 DIO3 HPRT1 YEATS4 IL1R1 **TTC28** OPN4 TWIST1 MUSTN1 LOC11549720: LOC115491180 LOC115493350 LOC116807851 TRAPPC9 SHISA8 EIF4E3 LOC116808684 LOC100189947 LOC115494393 LOC116806804 ATP11B TNFRSF13C LOC115496139 LOC115491135 LOC116807890 LOC101233072 LOC116808082 LOC100220758 ONECUT1 CDCP2 LOC116808070 TMEM201 LOC100230156 LOC11549547: LOC100225408 LOC116806809 LOC115495769 LOC101233680 LOC100222387 Gene 0.58 0.58 0.58 0.59 0.59 0.59 0.60 0.60 0.60 0.61 0.61 0.61 0.62 0.62 0.62 0.62 0.62 0.63 0.63 0.63 0.63 0.63 0.63 0.63 0.63 0.63 0.64 0.58 0.58 0.58 0.59 0.61 0.62 0.62 0.59 0.59 0.61 0.61 0.61 0.61 0.62 0.62 0.63 G POT1 ASB10 FOXL3 PKP4 CD83 PITX3 ACKR3 GMDS MARK1 AZIN1 BBS5 CH25H GFRA1 SLIT3 IGF2 PGM3 MFAP4 FAM110A TOM1 MCM10 TNKS LOC115494131 ITGA9 LOC115492991 LOC100221733 PROB1 CDADCI LOC116808247 CHRNB2 NIF3L1 LOC116807701 LOC116808493 LOC116809281 WWC2 LOC115497877 LOC100220690 SLC25A4 LOC115490816 LOC116807831 LOC115496648 LOC115490948 LOC116808425 TSC22D1 Gene 0.55 0.52 0.52 0.52 0.53 0.53 0.53 0.53 0.53 0.54 0.54 0.54 0.54 0.54 0.54 0.54 0.55 0.55 0.55 0.56 0.56 0.56 0.56 0.56 0.56 -0.56 0.57 0.57 0.57 -0.57 0.58 0.52 0.52 0.52 0.52 0.53 0.55 -0.55 0.56 0.57 0.57 0.51 0.52 G GRB2 ATG3 DAPP1 FOSB NME2 CDYL SCGN FLNC WT1 VIT2 ACSF2 COG5 TK1 TX LOC105758677 MAP2 **OPN1SW** BRCA1 LOC115492560 LOC100223745 HAO2 LOC116808435 LOC115494032 MUC6 PKHD1 LOC115497656 LOC115491148 LOC100227844 LOC100218321 LOC115496073 LOC100218771 TPPP3 LOC100220430 LOC100222730 LOC115495608 LOC115495359 LOC115494122 SEPTIN3 GABRB1 LOC115495752 SLC25A48 LOC100223718 LOC115493910 Gene 0.48 0.49 0.44 0.44 -0.44 0.44 0.45 0.45 0.45 0.46 0.46 0.46 0.46 0.47 0.47 0.47 0.48 0.50 0.50 0.50 0.51 0.51 0.44 0.44 0.45 0.46 0.46 0.46 0.46 0.46 0.46 0.47 0.47 0.48 0.49 0.49 0.49 0.49 0.50 0.50 0.51 0.43 0.48 G SFRP4 MYPN GLDN BHLHA15 SHMT1 CDT1 PRDM13 PLA2G4E HPD MIR204-2 VWA3B LOC115491274 MMRN2 LOC115493492 MIR2984 LOC116808124 TGIF1 **INSYN2B** LOC115496033 LOC116808453 LOC115496310 LOC116808141 LOC116808559 LOC115493789 ACOT11 ZNF367 HOXA11 LOC115497917 TRNAC-GCA\_8 LOC116809294 OC115492139 \_OC115491248 \_OC116809267 OC115494754 \_OC115498168 \_OC100223936 \_OC116808892 OC116807756 \_OC100220760 \_OC116807261 \_OC116806919 \_OC100222957 OC101233536 Gene 0.38 0.39 0.40 0.40 0.43 0.31 0.32 0.32 0.32 0.33 0.33 0.33 0.34 0.34 0.34 0.35 0.35 0.35 0.35 0.36 0.36 0.37 0.37 0.37 0.37 0.38 0.38 0.39 0.39 0.40 0.40 0.40 0.40 0.41 0.41 0.41 0.42 0.43 0.43 0.43 0.43 0.31 0.31 G

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Table S1-ModuleG constituent genes. Lists all genes assigned to module

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569 continuous membership in module G (Pearson r to MEG from moduleG)

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