1 **Gut microbiome dysbiosis during COVID-19 is associated with increased risk for bacteremia and**
2 **microbial translocation.**
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Abstract

The microbial populations in the gut microbiome have recently been associated with COVID-19 disease

severity. However, a causal impact of the gut microbiome on COVID-19 patient health has not been

established. Here we provide evidence that gut microbiome dysbiosis is associated with translocation of

bacteria into the blood during COVID-19, causing life-threatening secondary infections. Antibiotics and

other treatments during COVID-19 can potentially confound microbiome associations. We therefore first

demonstrate that the gut microbiome is directly affected by SARS-CoV-2 infection in a dose-dependent

manner in a mouse model, causally linking viral infection and gut microbiome dysbiosis. Comparison with

stool samples collected from 101 COVID-19 patients at two different clinical sites also revealed

substantial gut microbiome dysbiosis, paralleling our observations in the animal model. Specifically, we

observed blooms of opportunistic pathogenic bacterial genera known to include antimicrobial-resistant

species in hospitalized COVID-19 patients. Analysis of blood culture results testing for secondary

microbial bloodstream infections with paired microbiome data obtained from these patients suggest that

bacteria translocate from the gut into the systemic circulation of COVID-19 patients. These results are

consistent with a direct role for gut microbiome dysbiosis in enabling dangerous secondary infections

during COVID-19.

Main text

A better understanding of factors contributing to the pathology of coronavirus disease 2019 (COVID-19) is

an urgent global priority. Infections by SARS-CoV-2 are frequently asymptomatic or mild in nature, but

may also cause a broad range of severe and life-threatening symptoms. Previous reports have

demonstrated that severe COVID-19 is frequently associated with specific inflammatory immune

phenotypes, lymphopenia, and a generally disproportionate immune response leading to systemic organ

failure[1,2]. Even in mild cases, gastrointestinal symptoms are reported frequently, and recent studies

reported that COVID-19 patients lose commensal taxa of the gut microbiome during hospitalization[3,4].

Differences in gut bacterial populations relative to healthy controls were observed in all COVID-19

patients, but most strongly in patients who were treated with antibiotics during their hospitalization[4]. Most

recently, COVID-19 patients treated with broad spectrum antibiotics at admission were shown to have

increased susceptibility to multi-drug resistant infections and nearly double the mortality rate from septic

shock[5,6], and a recent meta-analysis found that over 14% of 3,338 COVID-19 patients acquired a

secondary bacterial infection[7]. However, the causal direction of the relationship between disease

symptoms and gut bacterial populations is not yet clear.

65   Complex gut microbiota ecosystems can prevent the invasion of potentially pathogenic

bacteria[8,9]. Conversely, when the gut microbiota incurs damage, such as through antibiotics treatment,

competitive exclusion of pathogens is weakened[10] and potentially dangerous blooms of antibiotic resistant

bacterial strains can occur [11,12]. In immunocompromised cancer patients, blooms of Enterococcaceae and

Gram-negative proteobacteria can lead to gut dominations by few or single species[13–16]. Gut domination

events are dangerous to these patients because antibiotic resistant bacteria may translocate from the gut

into the blood stream. Consequently, enterococcal dominations have been associated with 9-fold

increased risk of bloodstream infections (BSIs) with vancomycin-resistant Enterococcus (VRE), and

domination by Gram-negative proteobacteria with 5-fold increased risk of Gram-negative rod BSIs[13].

Bacterial co-infection can also cause life-threatening complications in patients with severe viral

infections[6,17]; therefore, antibacterial agents were administered empirically to nearly all critically ill

suspected COVID-19 patients since the incidence of bacterial superinfection was unknown early during

the pandemic[4,18]. However, it is now known that nosocomial infection during prolonged hospitalization is

the primary threat to patients with COVID-19[19], rather than bacterial co-infection upon hospital

admission[7,20–22]. Evidence from immunocompromised cancer patients suggests that indiscriminate

administration of broad-spectrum antibiotics may, counter-intuitively, increase nosocomial BSI rates by

causing gut dominations of resistant microbes that can translocate into the blood[13,23]. Thus, empiric

antimicrobial use, i.e. without direct evidence for a bacterial infection, in patients with severe COVID-19

may be especially pernicious because it may select for antimicrobial resistance and could promote gut

translocation-associated BSI.

The role of the gut microbiome in respiratory viral infections in general[24], and in COVID-19

patients in particular, is only beginning to be understood. Animal models of influenza virus infection have

uncovered mechanisms by which the microbiome influences antiviral immunity[25–27], and in turn, the viral

infection was shown to disrupt the intestinal barrier of mice by damaging the gut microbiota[28,29]. Hence,

we hypothesized that gut dysbiosis during COVID-19 may be associated with BSIs. To test this, we first

determined whether SARS-CoV-2 infection could directly cause gut dysbiosis independently of

hospitalization and treatment. Daily changes in fecal bacterial populations were monitored following

intranasal inoculation of transgenic mice expressing human *ACE2* driven by the *cytokeratin-18* promoter

(*K18-ACE2tg* mice) with either a high dose (HD,10^4PFU) or low dose (LD, 10PFU) of SARS-CoV-2

(**Figure 1a**). Although disease was not as evident in LD mice, we confirmed the presence of infectious

virus in the lung by plaque assay at sacrifice (**Extended Data Figure S1**). Among the HD mice, we

observed significant microbiome changes (**Figure 1b**), with a repeatedly observed community trajectory

corresponding to a loss in relative abundances of obligate anaerobe species such as members of the

Clostridiales order (**Figure 1c**), concurrent with an expansion of Verrucomicrobiales (**Figure 1a,c**). During

this shift in the microbiome, α-diversity in the gut bacterial ecosystem was decreasing, a trend also

observed in the LD mice, albeit to a lesser extent, but not in the control mice (**Figure 1b**). After less than

one week of viral infection, α-diversity was reduced in infected mice (**Figure 1b**, 95%HDI<0 Bayesian

estimation of differences in group means BEST, methods). Alongside the progressive increase in

microbiota compositional dysbiosis, we also observed systemic signs of severe infection, including weight

loss (**Extended Data Figure S2**), as well as ruffled fur, heavy breathing, reduced activity and hunched

posture (**Extended Data Table S1**). These results demonstrate that SARS-CoV2 infection directly causes

gut microbiome dysbiosis in a mouse model.

We next profiled the bacterial composition of the fecal microbiome in 138 samples (**Figure 2a**)

obtained from SARS-CoV-2 infected patients treated at NYU Langone Health (NYU, 73 samples,

Extended Data Table S2) and Yale New Haven Hospital (YALE, 65 samples, **Extended Data Table S3**).

Analysis of metagenomic data obtained from sequencing of the 16S rRNA genes revealed a wide range

of bacterial community diversities, as measured by the inverse Simpson index, in samples from both

centers (NYU: [1.0, 32.2], YALE: [1.5, 29.3], **Figure 2b**); on average, samples from NYU were less

diverse (-2.5, p<0.01, **Figure 2c**). However, the composition in samples between the two centers did not

show systematic compositional differences (**Figure 2d,e,f**). On average, in both centers, members of the

phyla Firmicutes and Bacteroidetes represented the most abundant bacteria, followed by Proteobacteria

(**Figure 2d**). The wide range of bacterial diversities was reflected in the high variability of bacterial

compositions across samples (**Figure 2e,f**). In samples from both centers, microbiome dominations,

defined as a community where a single genus reached more than 50% of the population, were observed

frequently (NYU: 21 samples, YALE: 12 samples), representing states of severe microbiome injury in

COVID-19 patients (**Figure 2g**).

In agreement with a recent study associating gut microbial compositions with disease severity[4],
we found that samples from patients who were treated in the ICU had reduced bacterial diversity
(**Extended Data Figure S3a**). In 22 cases, gastrointestinal symptoms were recorded, but only two of
those patients required ICU treatment and corresponding stool samples had higher average diversity than
other samples (**Extended Data Figure S3b,d**). Strikingly, however, samples associated with a BSI had
strongly reduced bacterial α-diversities (mean difference: -7.7, CI_{BEST}[-10.2, -5.2], **Extended Data Figure**
S3c).
The lower diversity associated with samples from 21 patients with BSIs led us to investigate their
bacterial taxon compositions and the potential that gut dysbiosis was associated with BSI events. All BSI
patients had received antibiotic treatments during hospitalization, which could exacerbate COVID-19
induced shifts in microbiota populations[11,12,15], but may indeed be administered in response to a
suspected or confirmed BSI. However, we noted that most BSI patients (80%) also received antibiotics
prior to their BSI. Principal coordinate analysis of all stool samples indicated that the BSI-associated
samples spanned a broad range of compositions (**Figure 3a**). To identify bacterial abundance patterns
that consistently distinguished BSI from non-BSI-associated samples, we next performed a Bayesian
logistic regression. This analysis estimated the association of the 10 most abundant bacterial genera with
BSI cases, i.e. it identified enrichment or depletion of bacterial genera in BSI associated samples
(**Figure 3b**). This analysis revealed that the genus *Faecalibacterium* was negatively associated with BSI
(OR: -1.49, CI:[-2.82, -0.18]). *Faecalibacterium* is an immunosupportive Clostridiales genus that is a
prominent member of the human gut microbiome[30–32], and its reduction is associated with disruption to
intestinal barrier function[33,34], perhaps via ecological network effects[34].
To evaluate the effect size of the association between *Faecalibacterium* and BSIs, we performed
a posterior predictive check. Using the average genus composition found across all samples, we first
computed the distribution of predicted BSI risks (**Figure 3c**), and compared this risk distribution with a
hypothetical bacterial composition which increased *Faecalibacterium* by 10% points. The predicted risk
distributions associated with these two compositions differed strongly (mean difference 26%,
CI: [-9%, 67%], **Figure 3c**). Domination states of the microbiome increase the risk for BSIs in
immunocompromised cancer patients [13]; such dominations imply high relative abundances of single taxa,
and therefore a low diversity. Consistent with this, *Faecalibacterium* abundance was positively correlated
with diversity (R: 0.55, $p<10^{-10}$, **Figure 3d**) in our data set and as reported previously [30].
We therefore next investigated a direct association between the bacteria populating the gut
microbiome and the organisms identified in the blood of patients. Visualizing the bacterial composition in
stool samples from patients alongside the BSI microorganism (**Extended Data Figure S4**, **Figure 3e**)
suggested a correspondence with the respective taxa identified in the blood: high abundances of the BSI-
causing microbes were found in corresponding stool samples (**Figure 3e**). To analyze this, we first
assigned stool samples associated with each BSI event into 5 categories defined by the taxonomic order
of the causative bacterial organisms, as well as one singleton group of a fungal infection case as a sixth

category; stool samples from uninfected patients were assigned a seventh, "uninfected" category. For

samples of each BSI category, we first calculated their median abundances of bacterial predictors in the

stool. We then ranked these stool taxon median abundances across BSI categories. As expected from

the visualization of sample compositions (**Figure 3e**), we found that BSI category sample sets were

generally enriched in their respective taxa in the stool. For example, samples associated with *Klebsiella*,

Escherichia or *Serratia* BSIs (Enbct category, **Figure 3f**) had the highest rank of Enterobacterales

abundances across the BSI category sample sets (**Figure 3f**). We tested this observation statistically

using the $\log_{10}$-relative bacterial abundances in stool samples as independent predictors of identified BSI

pathogens, i.e. the BSI category, in a Bayesian categorical regression model where the uninfected class

was used as a pivot (see methods). In addition to taxon abundances, the model included the bacterial

α-diversity as a predictor. As expected, a strong statistical association between diversity and BSIs in

general was detected (**Extended Data Figure S5**). The rank analysis had suggested that

Staphylococcales are not enriched in BSIs by *Staphylococcus* (**Figure 3f**); this was supported by the

Bayesian model which showed that $\log_{10}$-abundances of Staphylococcales in the stool were not

detectably predictive of a *Staphylococcus* BSI. By contrast, our analysis demonstrated that the bacterial

abundances of all other BSI-causing organisms in the stool were predictive of corresponding BSIs.

Collectively, these results reveal an unappreciated link between SARS-CoV-2 infection, gut

microbiome dysbiosis, and a major complication of COVID-19, BSIs. The loss of diversity and

immunosupportive *Faecalibacterium* in patients with BSIs mirrored a similar loss of diversity and

Clostridiales in the mice receiving high doses of SARS-CoV-2, suggesting that this virus causally affects

the microbiome, either through direct infection[35–39] or through a systemic inflammatory response [2,4].

However, the dysbiosis in patients with COVID-19 exceeded the microbiota shifts observed in the mouse

experiments, including microbiome dominations by single taxa, which was not seen in the mouse

experiments. It is possible that in our experiment, mice were sacrificed before perturbations to the gut

microbial populations reached a maximum. However, it is also plausible that the frequently administered

antibiotic treatments that hospitalized COVID-19 patients receive exacerbated SARS-CoV-2 induced

microbiome perturbations. Additionally, unlike the controlled environment experienced by laboratory mice,

hospitalized patients are uniquely exposed to antimicrobial-resistant infectious agents present on

surfaces and shed by other patients. Indeed, domination events where the gut is populated by only a few

taxa have been described in hospitalized, immunocompromised cancer patients treated with broad

spectrum antibiotics[15]. We frequently observed such dominations in our COVID-19 cohorts treated at two

hospitals.

Our observation that the type of bacteria that entered the bloodstream was disproportionately

enriched in the associated stool samples is a well characterized phenomenon in cancer patients[13],

especially during chemotherapy induced leukocytopenia when patients are severely

immunocompromised[11,30]. COVID-19 patients are also immunocompromised and frequently incur

lymphopenia, rendering them susceptible to secondary infections[40]. Our data suggests dynamics in

COVID-19 patients may be similar to those observed in cancer patients: BSI-causing organisms may
translocate from the gut into the blood, potentially due to loss of gut barrier integrity, through virus-
induced tissue damage rather than chemotherapy. Consistent with this possibility, soluble immune
mediators such as TNFa and interferons produced during viral infections, including SARS-CoV-2,
damage the intestinal epithelium to disrupt the gut barrier, especially when the inflammatory response is
sustained as observed in patient with severe COVID-19[41–43].

One limitation of our data is temporal ordering of samples. Occasionally stool samples were
collected after observation of BSI, and this mismatch in temporal ordering is counter intuitive for gut-to-
blood translocation and a causal interpretation of our associations. However, the reverse direction, that
blood infection populates and changes the gut community, is unlikely for the organisms identified in the
blood, and if our associations were not causal, we would expect no match between BSI organisms and
stool compositions.

Taken together, our findings support a scenario in which gut-to-blood translocation of
microorganisms following microbiome dysbiosis, a known issue for chronic conditions such as cancer,
leads to dangerous BSIs during COVID-19. We suggest that investigating the underlying mechanism
behind our observations will inform the judicious application of antibiotics and immunosuppressives in
patients with respiratory viral infections and increase our resilience to pandemics.

Materials and Methods

Bioethics statement

The collection of COVID-19 human biospecimens for research has been approved by the NYUSOM
Institutional Review Board under il8-01121 Inflammatory Bowel Disease and Enteric Infection at NYU
Langone Health. The data presented in this study were also approved by Yale Human Research
Protection Program Institutional Review Boards (FWA00002571, protocol ID 2000027690). Informed
consent was obtained from all enrolled patients.

Mouse experiments

<u>Cells & virus</u>

Vero E6 (CRL-1586; American Type Culture Collection) were cultured Dulbecco's Modified Eagle's
Medium (DMEM,Corning) supplemented with 10% fetal bovine serum (FBS, Atlanta Biologics) and 1%
nonessential amino acids (NEAA,Corning). SARS-CoV-2, isolate USA-WA1/2020 19 (BEI resources
#NR52281), a gift from Dr. Mark Mulligan at the NYU Langone Vaccine Center was amplified once in
Vero E6cells. All experiments with SARS-CoV-2 were conducted in the NYU Grossman School of
Medicine ABSL3 facility by personnel equipped with powered air-purifying respirators.

<u>Mice</u>

Heterozygous K18-hACE2 C57BL/6J mice (strain: 2B6.Cg-Tg(K18-ACE2)2Prlmn/J) were obtained from

The Jackson Laboratory. Animals were housed in groups and fed standard chow diets. All animal studies

were performed according to protocols approved by the NYU School of Medicine Institutional Animal Care

and Use Committee (IACUC n°170209). 24-week-old K18-hACE2 males were administered either 10PFU

SARS-CoV-2 (low dose, LD), 10^4PFU SARS-CoV-2 (high dose, HD) diluted in 50μL PBS (Corning) or

50μL PBS (non-infected, CTRL) via intranasal administration under xylazine-ketamine anesthesia

(AnaSedR AKORN Animal Health, KetathesiaTM Henry Schein Inc). Viral titer in the inoculum was

verified by plaque assay in Vero E6 cells. Following infection, mice were monitored daily for weight loss

and signs of disease. Stool samples were collected and stored at -80°C.

<u>Measurement of viral load by plaque assay</u>

Six or seven days after infection, mice were sacrificed. For some mice lungs were collected in Eppendorf

tubes containing 500μl of PBS and a 5mm stainless steel bead (Qiagen) and homogenized using with the

Qiagen TissueLyser II. Homogenates were cleared for 5 min at 5,000 × g, and viral supernatant was

frozen at -80°C for titration through plaque assay. In brief, Vero E6 cells were seeded at a density of 2.2 ∗

10^5 cells per well in flat-bottom 24-well tissue culture plates. The following day, media was removed and

replaced with 100μL of tenfold serial dilutions of the virus stock, diluted in infection medium. Plates were

incubated for 1h at 37°C. Following incubation, cells were overlaid with 0.8% agarose in DMEM

containing 2% FBS and incubated at 37°C for 72hrs. Cells were then fixed with formalin buffered 10%

(Fisher Chemical) for 1h. Agarose plugs were then removed and cells were stained for 20 min with crystal

violet and then washed with tap water.

Human study population and data collection

This study involved 101 patients with laboratory-confirmed SARS-CoV-2 infection. SARS-CoV-2 infection

was confirmed by a positive result of real-time reverse transcriptase-polymerase chain reaction assay on

a nasopharyngeal swab. 64 patients were seen at NYU Langone Health, New York, for routine medical

procedures, outpatient care, or admitted through the Emergency Department at NYU Langone Health's

Tisch Hospital, New York City, between January 29, 2020 – July 2, 2020 and were followed until

discharge. In order to be eligible for inclusion in the study, stool specimens needed to be from individuals

>18 years of age. Data including demographic information, clinical outcomes, and laboratory results were

extracted from the electronic medical records in the NYU Langone Health clinical management system.

Blood and stool samples were collected by hospital staff. OmnigeneGut kits were used on collected stool.

In parallel, 37 patients were admitted to YNHH with COVID-19 between 18 March 2020 and 27 May 2020

as part of the YALE IMPACT cohort described at length elsewhere[2]. Briefly, participants were enrolled

after providing informed consent and paired blood and stool samples were collected longitudinally where

feasible for duration of hospital admission. No statistical methods were used to predetermine sample size

for this cohort. Demographic information of patients was aggregated through a systematic and

retrospective review of the EHR and was used to construct **Extended Data Table 3**. Symptom onset and

aetiology were recorded through standardized interviews with patients or patient surrogates upon

enrolment in our study, or alternatively through manual EHR review if no interview was possible owing to

clinical status at enrolment. The clinical data were collected using EPIC EHR and REDCap 9.3.6

software. At the time of sample acquisition and processing, investigators were blinded to patient clinical

status.

DNA extraction and bacterial 16S rRNA sequencing

For bacterial DNA extraction 700µL of SL1 lysis buffer (NucleoSpin Soil kit, Macherey-Nagel) was added

to the stool samples and tubes were heated at 95°C for 2h to inactivate SARS-CoV-2. Samples were then

homogenized using the FastPrep-24TM instrument (MP Biomedicals) and extraction was pursued using

the NucleoSpin Soil kit according to the manufacturer's instructions. DNA concentration was assessed

using a NanoDrop spectrophotometer. Samples with too low DNA concentration were excluded. DNA

from human samples was extracted with PowerSoil Pro (Qiagen) on the QiaCube HT (Qiagen), using

Powerbead Pro (Qiagen) plates with 0.5mm and 0.1mm ceramic beads. For mouse samples, the variable

region 4 (V4) of the 16S rRNA gene was amplified by PCR using primers containing adapters for MiSeq

sequencing and single-index barcodes. All PCR products were analyzed with the Agilent TapeStation for

quality control and then pooled equimolar and sequenced directly in the Illumina MiSeq platform using the

2x250 bp protocol. Human samples were prepared with a protocol derived from [44], using KAPA HiFi

Polymerase to amplify the V4 region of the 16S rRNA gene. Libraries were sequenced on an Illumina

MiSeq using paired-end 2x250 reads and the MiSeq Reagent Kitv2.

Bioinformatic processing and taxonomic assignment

Amplicon sequence variants (ASVs) were generated via dada2 v1.16.0 using post-QC FASTQ files.

Within the workflow, the paired FASTQ reads were trimmed, and then filtered to remove reads containing

Ns, or with maximum expected errors >= 2. The dada2 learn error rate model was used to estimate the

error profile prior to using the core dada2 algorithm for inferring the sample composition. Forward and

reverse reads were merged by overlapping sequence, and chimeras were removed before taxonomic

assignment. ASV taxonomy was assigned up to genus level using the SILVAv.138 database with the

method described in [45] and a minimum boostrapping support of 50%. Species-level taxonomy was

assigned to ASVs only with 100% identity and unambiguous matching to the reference.

Compositional analyses

<u>α-Diversity</u>

We calculated the inverse Simpson (*IVS*) index from relative ASV abundances (*p*) with *N* ASVs in a given

sample, $IVS = \frac{1}{\sum_i^N p_i^2}$.

<u>Principal Coordinate Analyses</u>

Bray-Curtis distances were calculated from the filtered ASV table using QIIME 1.9.1 and principal

components of the resulting distance matrix were calculated using the scikit-learn package for the Python

programming language, used to embed sample compositions in the first two principal coordinates (see

published code for the implementation in the Python programming language).

<u>Average compositions and manipulation of compositions</u>

To describe the average composition of a set of samples we calculated the central tendency of a

compositional sample [46]. For counter factual statistical analyses that require changes to a composition,

e.g. an increase in a specific taxon, we deployed the perturbation operation ($\oplus$), which is the

compositional analogue to addition in Euclidean space[46]. A sample x containing the original relative taxon

abundances is perturbed by a vector y,

$$y: \ x \oplus y = \left[\frac{x_1 y_1}{\sum_{i=1}^{D} x_i y_i}, \frac{x_2 y_2}{\sum_{i=1}^{D} x_i y_i}, \ldots, \frac{x_D y_D}{\sum_{i=1}^{D} x_i y_i} \right] \forall x, y \in S^D$$

where S^D represents the D-part simplex.

Statistical analyses

Computer code alongside processed data tables are made available and can be used to reproduce the

statistical analysis and regenerate the figures (**Extended Data File 1**).

<u>Bayesian ttest</u>

To compare diversity measurements between different sample groups, e.g. different clinical status, we

performed a Bayesian estimation of group differences (BEST, [47]) , implemented using the pymc3 package

for the Python programming language; with priors ($\sim$) and deterministic calculations ($=$) to assess

differences in estimated group means as follows:

$\quad\quad g_1 \sim \text{Normal}(\mu = 15, \sigma = 15)$

$\quad\quad g_2 \sim \text{Normal}(\mu = 15, \sigma = 15)$

$\quad\quad \sigma_{g1} \sim \text{Uniform}(\text{low} = 1e{-}4, \text{high} = 30)$

$\quad\quad \sigma_{g2} \sim \text{Uniform}(\text{low} = 1e{-}4, \text{high} = 30)$

$\quad\quad v \sim \text{Exponential}(1/15) + 1$

$\quad\quad \lambda_1 = \sigma_{g1}^{-2}$

$\quad\quad \lambda_2 = \sigma_{g2}^{-2}$

$\quad\quad G1 \sim \text{StudentT}(\text{nu} = v, \text{mu} = g_1, \text{lam} = \lambda_1)$

$\quad\quad G2 \sim \text{StudentT}(\text{nu} = v, \text{mu} = g_2, \text{lam} = \lambda_2)$

$\quad\quad \Delta = G1 - G2$

Bayesian inference was performed using "No U-turn sampling"[48]. Highest density intervals (HDI) of the

posterior estimation of group differences (Δ) were used to determine statistical certainty (***: 99% HDI >0

or <0, **: 95%HDI, *:90% HDI). The BEST code is provided in the Extended Data and implemented

following the pymc3 documentation (**Extended Data File 1**).

<u>Bayesian logistic regression</u>

We performed a Bayesian logistic regression to distinguish compositional differences between infection-

associated samples and samples from patients without secondary infections. We modeled the infection

state of patient sample *i*, *yi* with a Binomial likelihood:

$\qquad y_i \sim \text{Binomial}(n = 1, p = p)$

$\qquad p = \text{inverse logistic}(\alpha + X_i\beta)$

$\qquad \alpha \sim \text{Normal}(\mu = 0, \sigma = 1)$

$\qquad \beta \sim \text{Normal}(\mu = 0, \sigma = 1)$

Where prior distributions are indicated by $\sim$; α is the intercept of the generalized linear model, β is the

coefficient vector for the $\log_{10}$-relative taxon abundances X_i in sample *i*.

<u>Bayesian categorical regression</u>

To interrogate a correspondence between the taxon abundances in stool samples and the

microorganisms causing BSIs, we performed a Bayesian categorical regression. Briefly, we chose to

investigate an association between stool taxon abundances (independent predictor variable) and the

microbe identified in the blood (categorical outcome variable with seven unordered values) using a

multiclass regression (categorical regression). We estimated for each sample a probability of being

associated with one of the 6 BSI types (i.e. BSI by: Bacteroidaceae, Enterobacteriaceae,

Lactobacillaceae, Pseudomonadaceae, Staphylococcaceae, Saccharomycetaceae), and we used a

seventh class, uninfected, as a pivot. This means we are estimating a seven component simplical vector

(*s*) containing the probabilities of a sample to be associated with one of the seven categories (6 BSI types

and uninfected). For each category, we set up a linear model (s_g, where g indicates the category). Each

linear model includes $\log_{10}$-relative stool taxon abundances of the taxa corresponding to the BSI category.

Furthermore, we had shown that alpha diversity (IVS) was globally associated with BSI; thus, diversity

was a predictor in each linear model. We set up a model using varying intercept and varying slope terms

such that the linear models used partially pooled coefficients for baseline risks ($\beta[1]$) and slopes

corresponding to the stool sample predictors ($\beta[2]$). The multiclass probabilities in *s* were then obtained

by applying the softmax function. The following model and priors were used:

$\qquad y_i \sim \text{Categorical}(p = p)$

$\qquad p = \text{softmax}(s)$

$\qquad z = (\sigma p * Lp) * zp$

$\qquad s_{\text{Bacteroidaceae}} = \beta[1] + z[1,1] + (\beta[2] + z[2,1]) * X_{\text{Bacteroidaceae}} + \beta_{\text{diversity}} * IVS$

$\qquad s_{\text{Enterobacteriaceae}} = \beta[1] + z[1,2] + (\beta[2] + z[2,2]) * X_{\text{Enterobacteriaceae}} + \beta_{\text{diversity}} * IVS$

$\qquad s_{\text{Lactobacillaceae}} = \beta[1] + z[1,3] + (\beta[2] + z[2,3]) * X_{\text{Lactobacillaceae}} + \beta_{\text{diversity}} * IVS$

$S_{Pseudomonadaceae} = \beta[1] + z[1,4] + (\beta[2] + z[2,4]) * X_{Pseudomonadaceae} + \beta_{diversity} * IVS$

$S_{Staphylococcaceae} = \alpha_{Staphylococcaceae} + \beta_{Staphylococcaceae} * X_{Staphylococcaceae} + \beta_{diversity} * IVS$

$S_{Saccharomycetaceae} = \alpha_{Saccharomycetaceae} + \beta_{diversity} * IVS$

$S_{uninfected} = 0$

$\beta \sim Normal(\mu = 0, \sigma = 1)$

$\sigma \sim Exponential(\lambda = 1)$

$\sigma p \sim Exponential(\lambda = 1)$

$Lp \sim LKJ\_corr\_choleski(2)$

$\alpha_{Staphylococcaceae} \sim Normal(\mu = 0, \sigma = 1)$

$\beta_{Staphylococcaceae} \sim Normal(\mu = 0, \sigma = 1)$

$\beta_{diversity} \sim Normal(\mu = 0, \sigma = 1)$

$\alpha_{Saccharomycetaceae} \sim Normal(\mu = 0, \sigma = 2)$

$zp \sim Normal(\mu = 0, \sigma = 1)$

Where p corresponds to the probabilities of each category, β is a two-component variable for the intercept

and slope terms, representing the global baseline probability of bacterial infections with Bacteroidaceae,

Enterobacteriaceae, Lactobacillaceae, and Pseudomonadaceae as well as the global slope coefficient for

the effect of stool log_{10}-relative abundances, which are partially pooling information across bacterial

infection categories. To achieve partial pooling and account for correlations between varying intercepts

and slopes (z), we jointly inferred the Choleski-factorized covariance matrix (σp, Lp, zp), using the

Lewandowski-Kurowicka-Joe (LKJ) distribution as a prior (LKJ_corr_choleski). $\beta_{diversity}$ is the coefficient for

the effect of the *IVS* diversity. Of note, 16S rRNA sequencing does not provide abundances for the fungal

infection by Saccharomycetaceae; therefore, we used only a baseline risk for this infection type

($\alpha_{Saccharomycetaceae}$) and IVS as predictors. To ensure equal prior probabilities for this category relative to the

other categories, which have an additional predictor term and thus wider prior probabilities, we

compensated the otherwise reduced prior uncertainty by widening the prior for $\alpha_{Saccharomycetaceae}$. Also, we

assumed that infections by Staphylococcaceae could sometimes include contaminations from the skin of

the patient or staff; therefore, we did not pool estimates for BSIs by Staphylococcaceae with other

coefficients. The model was implemented in the STAN programming language and compiled using

cmdstan. Code, the compiled STAN model, R notebooks to obtain and process the posterior chains, and

data tables are provided in the supplement (**Extended Data File 2**).

Data Availability

All data is made available as Extended Data. We provide code and data to reproduce the main analyses in the form of jupyter notebooks and R notebooks, alongside processed "tidy" data tables, compiled STAN programs and code to regenerate the figures. The raw sequencing data have been deposited on the Sequencing Reads Archive (SRA), and SRA accession numbers are available for two bioprojects corresponding to the mouse sequencing data (**Extended Data File 3**) and the human stool samples (**Extended Data File 4**).

 Figures and captions

Figure 1. SARS-CoV-2 infection causes gut microbiome alterations in mice. **a** Time lines of fecal
microbiota composition measured by 16S rRNA gene sequencing in mice infected with high (HD, 10^4PFU),
or low doses (LD, 10PFU) and in uninfected control mice (CTRL); time of infection=Day 1. Bars represent
the composition of the 30 most abundant bacterial families per sample, blocks of samples correspond to
an individual mouse's time course. **b** α-diversity (Shannon) in the final samples per infection group; **:
HDI95<0 BEST. **c** log_{10}-relative family abundances at the final time point. heavy breathing, reduced activity
and hatched posture (Extended Data Table S1).

Figure 2. Gut microbiome bacterial compositions during COVID-19 in patients from NYU Langone
Health and Yale New Haven Hospital. **a** Bacterial family composition in stool samples identified by 16S
rRNA gene sequencing; bars represent the relative abundances of bacterial families; red circles indicate
samples with single taxa >50%. Samples are sorted by the bacterial α-diversity (inverse Simpson index,
b). **c** α-diversity in samples from NYU Langone Health and Yale New Haven Hospital. **d** Average phylum
level composition per center. **e-g** Principal coordinate plots of all samples shown in **a**, labeled by center
(**e**), most abundant bacterial family (**f**) and domination status of the sample (**g**).

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Figure 3. Microbiome composition is associated with secondary bloodstream infections. **a** Principal
coordinate plot of all samples, BSI associated samples in orange. **b** Posterior coefficient estimates from a
Bayesian logistic regression regressing log_{10} relative abundances of the top 10 most abundant bacterial
genera on BSI status. **c** Posterior prediction of BSI risk based on bacterial composition contrasting the
predicted risk of the average composition across all samples (red) with the risk estimated for the same
composition changed such that *Faecalibacterium* was increased by 10% (blue). **d** Log_{10} relative
abundances of *Faecalibacterium* correlated with α-diversity, shaded region: 95%CI. **e** Sample
compositions with BSIs indicated; left: *Staphylococcus* BSI associated samples; right: other BSI associated
samples, the BSI causing microbial genus annotated in colors corresponding to the colors in stool
microbiome compositions. **f** Rank analysis of abundance patterns in stool samples from different BSI
categories; a filled circle indicates the calculated rank of the focal BSI category (row) in terms of the
corresponding taxon stool abundance relative to samples from other BSI categories (only 5 out of 7 BSI
categories are shown because fungal BSIs and the uninfected category have no corresponding bacterial
stool abundances). **g** Posterior coefficients of the statistical association between bacterial order log_{10}
relative abundances of BSI causing bacteria and BSI events from a hierarchical Bayesian categorical
regression; **: 95%HDI>0, *: 90%HDI>0, .:85% HDI>0.

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Figure 1

Figure 2

a

PCoA-2

PCoA-1

b

intercept
Bacteroides
Enterococcus
Parabacteroides
Escherichia-Shigella
Streptococcus
Klebsiella
Pseudomonas
Faecalibacterium
Staphylococcus
Alistipes
other

−2.5   0.0   2.5
OR

Figure 3

c

density

+10%
Faecalibacterium

avg. composition

0.0   0.5   1.0
p(BSI)

d

inverse Simpson

−4   −2   0
Faecalibacterium

e

Staphylococcus BSI assoc. samples

other BSI associated samples

Klebsiella
Escherichia, S
Serratia, S
Pseudomonas
Serratia
Acinetobacter
Proteus
Enterococcus,E,S
Lactobacillus, S
Bacteroides
Candida, S

0   1   0   1

f rank of avg. abundances

Lact
Enbct
Pseu
Bact
Staph

BSI category

bottom   top

g assoc. in corresponding BSI

Lact
Enbct
Pseu
Bact
Staph

0   1   2

**
.
*
*
n.c.

Supporting Information

Extended Data Figure S1. Plaque assay; SARS-CoV2 replicates in the lungs of *K18-ACE2tg* mice infected with 10 PFU. Lungs from mice LD3, LD4, LD5 were harvested 7 days post-infection and homogenized in 500uL PBS. A lung collected 2 days post-infection from a mouse infected with 200 PFU in another experiment was used as positive control (left). Viral load in the homogenates was determined by plaque assay by incubation for 1h of 1/10 (top), 1/100 (middle) or 1/1000 (bottom) dilutions of the homogenates on Vero E6 cells. After 1h incubation, cells were overlayed with agarose containing medium. 72h post infection, the cells were fixed and stained with crystal violet. Plaques are visible as holes in the stained cell layer, one plaque is counted as one viral particle present in the diluted suspension.

Extended Data Figure S2. Weight- and diversity loss during SARS-CoV-2 infection in mice. a z-scores of α-diversity (top) and body weight (bottom) over time; colors per mouse. **b** Difference in [g] of body weight from day of infection and six days after for the different experimental groups (HD: high viral dose, LD: low viral dose, CTRL: uninfected control).

Extended Data Figure S3. Microbiome diversity is associated with clinical metadata. a-c α-diversity in patients with different clinical status; respectively, ICU treatment required, gastrointestinal symptoms reported, positive blood culture detected (***: 99%HDI <0, **: 95%HDI <0, BEST analysis of difference in means [24]). **d** Fractional overlap between clinical metadata categories in **a-c**.

Extended Data Figure S4. Microbiome composition is associated with secondary bloodstream infections. All stool samples ordered by bacterial α-diversity (GMB) visualized as bars representing relative bacterial genus abundances, alongside microorganisms identified in the blood (BSI) using the same color scheme.

Extended Data Figure S5. Rank abundances in uninfected samples and global GMB association coefficients with BSIs. a Rank of BSI predictors in the GMB of uninfected samples. **b** Posterior association coefficient estimates of GMB predictors; ***: 99% HDI>0, **: 95%HDI>0, *: 90%HDI>0, .:85% HDI>0.

Extended Data Table S1. Mouse disease scores. Scoring system: Reduced activity/resistance = 1, if not moving = 2, ruffled fur=1, hatched posture = 1 or 2, heavy breathing =1

497   **Extended Data Table S2. Clinical Characteristics of Patients with Confirmed COVID-19 at NYU**

498   **Langone Health.**

500   **Extended Data Table S3. Clinical Characteristics of Patients with Confirmed COVID-19 at YNHH.**

Extended Data Figure S2

 Extended Data Figure S3

 Extended Data Figure S4

517 **Extended Data Table S2: Clinical Characteristics of Patients with Confirmed COVID-19 at NYU**

518 **Langone Health.**

	N=65
Age (median, IQR)	51 (31-66)
Sex	
Male	58%
Female	42%
Admission Type	
Ambulatory	17%
Hospital	83%
Comorbidities	
Hypertension	38%
Hyperlipidemia	18%
Obesity	25%
Hypothyroid	5%
Diabetes Mellitus	32%
Chronic Viral Infection (HIV/Hepatitis)	10%
COPD	7%
ESRD/CKD	7%
Current Malignancy - blood	5%
Current Malignancy - other	2%
History of DVT	3%
Organ Transplantation	10%
SLE	3%
Immunosuppression	17%
IBD	22%
Presenting Symptoms	
Fever > 99F	46%
Cough	28%
Sputum	3%
Shortness of Breath	40%
Diarrhea	45%

Hospital Course and Outcomes	
ICU Admission	53%
Pneumonia	42%
Diarrhea	13%
Intubation	36%
Sepsis	23%
Encephalopathy	12%
Death	5%
Length of Stay (days, median, IQR)	37 (10-86)
Chest Radiograph Findings	
Bilateral haziness/opacities	38%
Unilateral haziness/opacities	3%
Bilateral Effusion	5%
Unilateral Effusion	3%
Consolidation	7%
Atelectasis	3%

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522 **Extended Data Table S3: Clinical Characteristics of Patients with Confirmed COVID-19 at Yale New**
523 **Haven Hospital.**

	N=37
Age (years)	62.52 ± 19.72 (n=33)
Sex (F | M)	39% | 61% (n=33)
BMI	31.22 ± 10.3 (n=25)
Race and Ethnicity	
Black or African-American	52% (n=17/33)
Hispanic or Latino	15% (n=5/33)
Asian	0% (n=0/33)
Caucasian	33% (n=11/33)
Other	0% (n=0/33)
Risk Factors	
None Admitted	16% (n=4/25)
Cancer within 1 year	4% (n=1/25)
Chronic Heart Disease	36% (n=9/25)
Hypertension	64% (n=16/25)
Chronic Lung Disease	20% (n=5/25)
Immunosupression	4% (n=1/25)

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Author contribution

LB performed the mouse experiments with help from MGN, AMVJ. MV, JEA and JS prepared the

samples from NYU. MV, JEA prepared the clinical data from NYU with help from JG, EW, BS. JK

provided the data from Yale with help from ACM and the IMPACT team, AIK and AI. JS designed and

performed the analyses with help from GAH and APS. JS and KC designed the research question with

support from VJT and BS. JS and KC wrote the manuscript. All other authors contributed materials,

scientific feedback and commented on the manuscript.

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Conflicts

KC has received research support from Pfizer, Takeda, Pacific Biosciences, Genentech, and Abbvie;

consulted for or received an honoraria from Puretech Health, Genentech, and Abbvie; and holds U.S.

patent 10,722,600 and provisional patents 62/935,035 and 63/157,225. JS is cofounder of Postbiotics

Plus Research LLC.

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