1 Title: Quantification and visualization of the tumor microenvironment heterogeneity from spatial
2 transcriptomic experiments
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39

Abstract

Spatially-resolved transcriptomic technologies promise to increase our understanding of the tumor microenvironment, which will lead to better cancer prognosis and therapies. Several spatial transcriptomics technologies have been developed, as well as a few methods for data analysis. Nonetheless, analytical pipelines that take users from gene counts to association of tumor spatial heterogeneity with clinical data are not available. Here we present spatialGE, a software that provides visualizations and quantification of the tumor microenvironment heterogeneity using spatial transcriptomics. Our software includes: 1) generation of high-resolution gene expression surfaces via spatial interpolation; 2) quantification of spatial heterogeneity measures that can be compared against clinical information (e.g., patient survival); 3) cell deconvolution methods at the spot level; 4) spatially-informed clustering; and 5) a new data structure that allows storage and analysis of multiple ST samples simultaneously. We show the utility of spatialGE by studying the spatial heterogeneity of cutaneous malignant melanoma samples.

Significance: spatialGE enables the analysis of spatial transcriptomics samples and exploration of the tumor microenvironment, as well as the association of clinical outcomes with the spatial variation in gene expression.

Introduction

Spatially-resolved transcriptomic (ST) methods have allowed a better understanding of the tumor microenvironment (TME) at an unprecedented resolution (1). Characterizing heterogeneity within the TME is key to cancer prognosis and development of therapies (2,3). Advances in ST promise to unravel tumor heterogeneity at different scales, from gene expression differences among samples to clonal diversity within tissues (4). Importantly, the study of the TME is crucial to comprehend immune infiltration and the relationship with immunotherapy response (5).

Several ST technologies to study the TME have been developed (6-12). The most common approach involves mRNA probe hybridization in immobilized tissues on surfaces (7). These technologies can produce data for either a subset of genes (e.g., panel) or the whole transcriptome (7). Spatial transcriptomics preserves the localization of gene expression within the tissue architecture (13), as opposed to bulk RNA (RNA-seq) or in single-cell RNA sequencing (scRNAseq) where tissue homogenization or dissociation is necessary (14). Therefore, besides the high dimensionality of RNA-seq, the analysis needs to account for the spatial location of transcripts. Consequently, data structures are needed to accommodate both expression and spatial data, as well as related information (e.g., clinical information, demographics). Similarly, methods for the visualization and analysis of tissue spatial heterogeneity are needed, with existing tools varying in flexibility and approaches (15-20). Hence, we developed spatialGE, a pipeline for the processing and analysis of ST experiments. The pipeline borrows ideas from geostatistical techniques and applies them to the exploration of the TME. The novel features of spatialGE include: 1) generation of transcriptomic surfaces; 2) quantification of gene expression spatial heterogeneity and association with clinical information;

84 3) immune gene expression deconvolution methods; 4) spatially-informed clustering; and 5) a

85 data structure to store gene counts, spatial, and sample metadata for multiple ST tissue sections.

Materials and Methods

Spatial transcriptomics data input and transformation

In spatialGE, for each tissue section the user provides count data, with gene names

following the HUGO nomenclature. Spot coordinates should also be provided per tissue section.

Optionally, an additional, single table can be provided containing associated clinical variables

(Fig. 1A). The example data set presented with the spatialGE software is the Thrane et al. study

of cutaneous malignant melanoma (21). The spatialGE software processes raw counts with

library size normalization (22) followed by voom transformation (23) to produce roughly

Gaussian distributed gene expression values per gene (Supplementary Figure 1). Relative gene

expression at each spot can then be visualized using "quilt plots" (Fig. 1B).

Spatial transcriptomic surfaces estimation via interpolation ("kriging")

Visualization of spatial patterns in gene expression within the TME can be improved with

the generation of transcriptomic surfaces. To produce smooth transcriptomic surfaces, spatialGE

uses spatial interpolation (24) with either ordinary and universal kriging used to predict surfaces

based on gene expression values or deconvoluted cell type scores (Fig. 1B-C). Ordinary kriging

assumes a constant mean value across the sampled area (stationarity). Conversely, universal

kriging assumes the mean gene expression varies across the tissue (24).

Spatial transcriptomics heterogeneity statistics

In addition to ST surfaces, spatialGE provides users with approaches to quantify spatial

heterogeneity across and detection of "hot-spots". A variety of measures are included to provide

a summary of TME heterogeneity (Supplementary Table XXSP_STATS; Fig. 1B): Moran's I

(25) and Geary's C (26) allow users to ascertain whether gene expression is uniform or

concentrated in specific tissue regions. The Getis-Ord G_i statistic measures the tendency of a

given gene to produce areas of concentrated high expression (hot-spots) or low expression (cold-

spots) (27). The use of spatial statistics allows to quantify associations with other measurable

variables from clinical outcomes. The statistics were implemented using the R package spdep

(28).

Spatial transcriptomics gene expression deconvolution

For cell type deconvolution, xCell (29) has been implemented to infer cell compositions

at each spot. Kriging can then be applied to these scores to obtain a spatial surface for a given

cell type (Fig.1C). Additionally, tumor purity scores are generated using ESTIMATE (30),

followed by classification of spots as primarily tumor or stroma using Gaussian model-based

clustering, as implemented in the R package mclust (31).

Unsupervised spatially-informed clustering to infer TME expression subtypes

To understand the location and types of different TME compartments, unsupervised

spatially-informed clustering can be performed (Fig. 1D). For clustering, principal component

analysis (PCA) of gene counts is completed. Then, a spatially-informed distance matrix for

clustering is computed based on two scaled distance matrices: 1) transcriptomic autocorrelation

between spots using the principal components (D_1), and 2) spatial distances between spots (D_2).

Next, the autocorrelation matrix is "shrunk" toward the physical distance matrix by calculating a

weighted average distance matrix:

$$D = [(1 - w) * D_1] + (w * D_2)$$

The user specifies the weight (w) to apply. Based on our experience a weight between

0.05 and 0.25 seems to best capture tissue heterogeneity, without too much "shrinkage". Using

the weighted matrix, D, hierarchical clustering with dynamic cut-points (32) can be completed to

derive the TME compartments, without the need to specify the number of compartments.

Availability

spatialGE is available as an R package at https://github.com/FridleyLab/spatialGE. A

tutorial is also provided showing users how to use the package and its various features. A script

to reproduce the analyses in this manuscript is available in Supplementary Information.

Results

The utility of spatialGE is demonstrated using the Thrane et al. ST tissue sections, with

equidistant spots of 100μM diameter and 200μM apart (21). The eight tissue sections

(representing four patients) had an average of 15,864 genes, and average number of spots of 293

(range = 212–383). Both quilt plots and transcriptomic surfaces of genes relevant to cutaneous

malignant melanoma captured the heterogeneity of the tumor and stromal compartments (Fig.

2A, Supplementary Fig. 2), consistent with pathology images in the Thrane et al. study (21).

With spatialGE, we could also reproduce their findings on the spatial patterns of gene

expression. For example, in tissue section 2 (patient 1), the genes *PMEL* and *SPP1* were

expressed throughout the tissue, except in a region classified as lymphoid tissue (Supplementary

Fig. 2A-B). Conversely, *CD74* and *IGLL5* exhibited the opposite pattern, with low expression in

the melanoma region (Fig. 2A, Supplementary Fig. 2C-D). The expression of *SOX10* was high

across the tissue section, whereas SOX4 expression was low in spots classified as tumor by the

ESTIMATE scores (Supplementary Fig. 2E-F). Future research could study the potential use of

SOX4 to identify melanoma regions using data from ST experiments.

The spatial autocorrelation statistics (Moran's I, Geary's C, and Getis-Ord G_i) captured

gene expression heterogeneity across the tissue and showed moderately strong relationships with

standard deviation ($0.49 < R^2 < 0.64$; Fig. 2B). A higher degree of spatial heterogeneity was

found for *CD74* compared to *SOX10*, as indicated by Moran's I (I=0.20 and I=0.02,

respectively). Nonetheless, *SOX10* showed higher Geary's C (Supplementary Fig. 2D-E),

reflecting Geary's C higher sensitivity to smaller agglomerations of high expression. The Getis-

Ord G_i statistic, a measure of "hot-spots", indicated that expression of *PMEL* and *SPP1* had a

strong tendency to generate high expression regions (Supplementary Fig. 2A-B). Interestingly,

Moran's I and Geary's C calculated for *CD74* showed an association between spatial

heterogeneity in the biopsies and patient survival, with >120 months survival observed when

CD74 exhibited high spatial association (Fig. 2C).

After immune cell deconvolution for each spot, kriging of B cell scores showed a

transition from high scores in stroma to low scores nearing the melanoma compartment. This

finding was congruent with ESTIMATE binary tumor/stroma classifications (patient 1; Fig. 2A).

Spatially-informed clustering with $w = 0.075$ seemed to capture the tissue heterogeneity of the

tumor when compared to the pathology images in Thrane et al. (21) (Supplementary Fig. 3 and.

4). The first and second clusters corresponded to melanoma and stromal areas. The third cluster

surrounded the melanoma area and included spots in the region of high B cell scores (Fig. 2D;

Supplementary Fig. 3).

Discussion

Our pipeline, spatialGE, provides visualizations of spatially-resolved gene expression, as

well as quantification of TME heterogeneity and exploration of its potential associations with

clinical information. Gene expression visualizations at high resolution are offered by few tools

(20), however spatialGE is unique in implementing kriging to achieve this task for multiple ST

tissue sections simultaneously. Unlike other approaches, kriging gives users flexibility to choose

visualization resolution with few modeling assumptions. Additionally, kriging is used to

visualize tissue composition as inferred by deconvolution methods. Our pipeline also features

unsupervised spatially-informed clustering, a simple yet informative method to detect TME

compartments. Several ST clustering approaches are already available (16-18,20), however our

method resembles tissue features by weighting transcriptomic similarities between spots by their

distances to one another. Another innovation of spatialGE is the estimation of quantifiable

metrics (spatial heterogeneity statistics) to capture transcriptomic complexity and the ability to

compare them against patient clinical outcomes. A comparison between spatialGE and other

software is available in the supplementary information (Supplementary Table 2).

Applying spatialGE to the melanoma ST data, we observed agreement between the

transcriptomic surfaces and the tumor and stroma regions observed in pathology images (21).

Our spatially-informed clustering approach provided TME compartments (i.e., clusters) that

resembled the features annotated in pathology images (21), with an immune tumor border effect

observed, in which the melanoma compartments were surrounded by regions with high B cell

scores. Finally, we observed an association between spatial heterogeneity and clinical variables

providing support to the hypothesis that spatial heterogeneity is a predictor of patient outcomes

(3). For example, higher levels of *CD74* (indicated by Moran's I and Geary's C) corresponded to

longer survival.

In future spatialGE versions, we will include features to analyze a wider ST technology

spectrum. We are currently developing gene expression visualizations and histological

annotation of spots for technologies using non-gridded arrangements, as well as a web-based

implementation of spatialGE. Another developing area is the integration of scRNA-seq data to

provide ST cell-level insights. Finally, we are expanding our spatially-informed clustering

method to identify genes that differ among clusters.

In summary, spatialGE provides a suite of methods for the exploration of the TME via

ST. By adapting geostatistical methods (e.g., kriging and autocorrelation statistics) and a novel

spatially-informed clustering, we provided an innovative approach to the study processes such as

immune infiltration and the effect of TME architecture on patient outcomes.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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Figure captions

Figure 1. Inputs (A) and outputs (B-D) from the analysis of spatially resolved transcriptomic data using spatialGE. **A.** Gene count matrices (M) with features (g) as rows and spots (s) as columns, as well as spot coordinates (C) are used to create a Spatial Transcriptomics List (STList). Optionally, a table with data on clinical variables (V) can be provided. **B.** Gene counts are voom-transformed, and "quilt" plots can be generated showing expression of a given gene at each spot. The transformed expression values can also be used to generate transcriptomic surfaces via "kriging". Spatial autocorrelation statistics are also calculated (e.g., Moran's I), which can be used to explore their relationship with clinical information (e.g., survival). **C.** Gene expression deconvolution via xCell and ESTIMATE is performed and immune cell type surfaces can be plotted, as well as classifications of spots into tumor or stroma compartments. **D.** spatialGE implements hierarchical clustering of the spots by weighting the transcriptomic differences in gene expression with the spatial distances between spots.

Figure 2. Results from spatialGE analysis of a melanoma stage IIIc using spatial transcriptomics (patient 1, slice 2 (21)). **A.** Quilt plot showing voom-transfromed expression at each spot for immune gene *CD74*, with squares and crosses indicating tumor and stromal spots respectively, as inferred by ESTIMATE (A–top left). The tumor and stromal assignments can also be observed also in the accompanying plot (A–top right). A transcriptomic surface of *CD74* expression allows for a clearer visualization of the tissue heterogeneity (A–bottom left), with gray squares indicating the ESTIMATE tumor assignments. The inferred abundance of B cells in the tissue can be visualized by the predicted surface of xCell scores (A–bottom right). **B.** Relationship between the spatial autocorrelation statistics and standard deviation of gene expression. The red

dot represents *CD74*, and asterisk (*) indicates a significant relationship between the spatial

statistic and the standard deviation. **C.** Scatter plots showing the relationships between spatial

statistics calculated for *CD74* and the survival of patients in months (21). The colored arrows

provide a guide for the interpretation of the spatial statistics. The dot with black border indicates

the tissue section from patient 1 featured in panels the rest of the panels. **D.** ESTIMATE

tumor/stroma assignments and spatially-informed clusters (using a spatial weight of 0.1) are

shown. The yellow (1) and blue (2) clusters roughly represent tumor and stroma spots

respectively. The red cluster (3) likely correspond to spots showing immune activity given the

topological match with B cell scores (see **A**).

Supplementary Figure captions

Supplementary Fig. 1. Raw and voom-transformed counts for a set of genes within the

melanoma ST array 1 from patient 1 (21): **A.** *PMEL*; **B.** *SPP1*; **C.** *SOX10*; **D.** *CD74*.

Supplementary Fig. 2. Transcriptomic surfaces resulting from spatial interpolation ("kriging")

of *PMEL* (**A**), *SPP1* (**B**), *CD74* (**C**), *IGLL5* (**D**), *SOX10* (**E**), and *SOX4* (**F**). The same genes are

shown for all the tissue slices in Thrane et al. (21).

Supplementary Fig. 3. Cluster assignment of the spots as generated by unsupervised spatially-

informed clustering and dynamic tree cuts. Spatial weights (*w*) from 0.0 to 0.5 were evaluated.

A. *w*=0; **B.** *w*=0.025; **C.** *w*=0.05; **D.** *w*=0.0.75; **E.** *w*=0.1; **F.** *w*=0.15; **G.** *w*=0.2; **H.** *w*=0.5.

Supplementary Fig. 4. Cluster assignment of the spots as generated by unsupervised spatially-

informed clustering and different values of k from 2 to 5. **A.** k=2; **B.** k=3; **C.** k=4; **D.** k=5. Each

page in this file shows clusters at different spatial weights from *w*=0.0 to *w*=0.5.

A Gene counts   Spot coordinates   Clinical metadata

B Normalization   **STLlist** (R object)   **D** Spot clustering

voom   Spatially-informed clustering

"Quilt" plots

Spatial interpolation   Spatial statistics   **C** Deconvolution

"kriging"   Moran's I   xCell
Geary's C
Getis-Ord Gi   *ESTIMATE*

Transcriptomic surface   Immune cell surface   Tumor Stroma

Survival   Clinical plot

Moran's I