Dual Roles for Nuclear RNAi Argonautes in C. elegans Dosage Compensation Michael B. Davis, Bahaar Chawla, Eshna Jash, Lillian E. Tushman, Rebecca A. Haines, Györgyi Csankovszki Department of Molecular, Cellular, and Developmental Biology, University of Michigan, Ann Arbor, Michigan, United States of America Györgyi Csankovszki 1105 N. University Ave Ann Arbor, MI 48109-1048

Nuclear Argonautes in Dosage Compensation Key Words: Nuclear RNAi, Argonautes, Dosage Compensation, C. elegans, Chromatin structure, Gene regulation, Development, X-linked gene expression, FISH Györgyi Csankovszki, Ph.D. Professor of Molecular, Cellular, and Developmental Biology University of Michigan 1105 N. University Ave Ann Arbor, MI 48109-1048 phone: (734) 764-3412 gyorgyi@umich.edu

1 ABSTRACT

Dosage compensation involves chromosome-wide gene regulatory mechanisms which impact higher order chromatin structure and are crucial for organismal health. Using a genetic approach, we identified Argonaute genes which promote dosage compensation in *C. elegans*. Dosage compensation in *C. elegans* hermaphrodites is initiated by the silencing of *xol-1* and subsequent activation of the Dosage Compensation Complex (DCC) which binds to both hermaphrodite X chromosomes and reduces transcriptional output by twofold. A hallmark phenotype of dosage compensation mutants is decondensation of the X chromosomes. We characterized this phenotype in Argonaute mutants using X chromosome paint probe and fluorescence microscopy. We found that while nuclear Argonaute mutants *hrde-1* and *nrde-3* exhibit de-repression of *xol-1* transcripts, they also effect X chromosome condensation in a *xol-1*-independent manner. We also characterized the physiological contribution of Argonaute genes to dosage compensation using genetic assays and find that *hrde-1* and *nrde-3*, together with the piRNA Argonaute *prg-1*, contribute to healthy dosage compensation both upstream and downstream of *xol-1*.

INTRODUCTION

The evolution of sexual dimorphism facilitated the emergence of sex chromosomes in metazoans (Ohno, 1967; Rice, 1984). While the autosomal source of the ancestral sex chromosomes can be divergent between species, a defining factor of sex chromosomes is a difference in the genetic content between the sexes. However, sex chromosomes also bring about the problem of one sex containing half of the gene dose from that chromosome (Ohno, 1967). The problem of haploinsufficiency is evidenced by numerous documented cases of lethality in monosomic conditions (Torres *et al.*, 2008). However, the difference in gene dose associated

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of DCC function mutation (Kramer et al., 2015; Brejc et al., 2017). An additional pathway

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nucleotides in length with a 5' bias for guanosine monophosphate (26Gs) (Gent et al., 2009; Han

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nucleus in an siRNA-dependent manner (Guang et al., 2008; Buckley et al., 2012). Mutants for

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hrde-1(tm1200) III mIs11 IV; EKM 177 mIs11 IV nrde-3(tm1116) X; EKM 178 nrde-3(tm1116)

2 MAGO12 sag-2(tm894) ppw-1(tm914) wago-2(tm2686) wago-1(tm1414) I, wago-11(tm1127)

wago-5(tm1113) wago-4(tm1019) II, *hrde-1(tm1200) sago-1(tm1195)* III, *wago-10(tm1186)* V,

nrde-3(tm1116) X; CB1489 *him-8(e1489)*IV; TY4403 *him-8(e1489) xol-1(y9) sex-1(y263)*;

5 WM158 ergo-1(tm1860) V; WM27 rde-1(ne219) V; WM156 nrde-3(tm1116) X; EKM 125 lon-

6 2(e678) xol-1(y70) X. Worms were fed OP50. In all FISH experiments (Figure 1 and 4),

7 Hermaphrodite viability (Figure 5) and XO rescue (Figure 6) experiments, worms for every

genotype were grown at 15°C for all experiments. This measure was taken to control for

temperature-sensitive effects in strains containing *hrde-1* and *prg-1* mutations.

Male rescue and hermaphrodite viability assays

RNAi-based male rescue assay: Worms with the genotype him-8(e1489); xol-1(y9) sex-1(y263) were fed *E. coli* strain HT115 expressing double stranded RNAi for each gene of interest. Bacteria were picked from a single colony and grown overnight for 8-10 hours at 37°C. 150ul of bacteria were seeded on NMG plates with IPTG (0.2%w/v) and Carbenicillin (1ug/ml) and worms were transferred to plates after one day. The male rescue assay in Figure 2 was conducted as outlined in (Petty et al., 2009). him-8(e1489) xol-1(y9) sex-1(y263) L1 hatchlings were transferred to RNAi plates and allowed to grow until L4 before (2-3) worms were transferred to new RNAi plates. Worms were allowed to lay eggs for 24 hours at 20°C before parents (P0) were removed. Embryos were counted and the presence of males (in the F1) was scored after 2-4 days of growth at 20°C. As a hermaphrodite with the him-8(e1489) mutation produces a population of 38% males, the % of males rescued was calculated by dividing the number of males observed by the number of males expected (expected males = total eggs laid X 0.38) (Philips et al., 2005). Statistical significance was evaluated using Chi square tests for each

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square tests for each comparison of two conditions. The null hypothesis was that no significant

difference in XO rescue would be found between each condition measured. The expected 'viable XO worms' calculation was formulated by taking the summed % viable XO worms between the

two groups being tested and multiplying that proportion by the total XO worm number for each

corresponding condition.

Hermaphrodite viability assay: For the hermaphrodite viability assays, strains of the appropriate genotype were moved to *sex-1(RNAi)* or *EV* plates at the L1 stage. After two days of growth at 15°C L4's were picked and transferred to new RNAi plates and allowed to lay embryos for 24 hours before being transferred again to new plates. Following the removal of parents each day the number of embryos on each plate was counted and after two full days at 15°C viability was scored based on the (number of live worms) divided by the (number of eggs laid). Statistical significance was evaluated using Chi square tests for each comparison of two conditions. The null hypothesis was that no significant difference in hermaphrodite viability would be found between each condition measured. The expected 'alive' calculation was formulated by taking the summed % viability between the two groups being tested and multiplying that proportion by the sample size (*n*) for each corresponding condition.

Fluorescence In Situ Hybridization (FISH)

FISH probe DNA templates were created through degenerate oligonucleotide-primed PCR reactions to amplify purified yeast artificial chromosome (YAC) DNA corresponding to either the X chromosome or chromosome I (Csankovszki *et al.*, 2004, Nabeshima *et al.*, 2011). dCTP-Cy3 (GE) was incorporated along with standards dNTPs for visualization purposes using random priming (Labeling 5X Buffer Promega). For the fixation, worms were dissected in 1X sperm salts (50 mM Pipes pH 7, 25 mM KCl, 1 mM MgSO4, 45 mM NaCl and 2 mM CaCl2) and fixed in 1.6% PFA on slides for 5 minutes at RT. Subsequently slides were frozen on dry ice

ethanol series: 70%, 80%, 95%, 100% for 2 minutes each. After allowing slides to dry for 5

minutes, 10ul of Cy-3-labelled probe was applied with a coverslip and heated to 95°C for 3

minutes. Slides were then incubated in a humidity chamber overnight at 37°C. The following day

slides were washed in 2X SSC + 50% formamide (3X 5-minute washes); 2X SSC (3X 5 minute

washes); 1X SSC (10 minutes); 4X SSC+DAPI (10 minutes). Slides were then mounted with

7 Vectashield (Vector Labs).

Imaging and Quantification

Images were taken with a Hamamatsu ORCA-ER digital camera mounted on an Olympus BX61 epi-fluorescence microscope with a motorized Z drive. The 60X APO oil immersion objective was used for all images. Series of Z stack images were collected (stack size 0.2um) and all images shown are projection images summed from ~3 microns. Quantification was conducted in the Slidebook 5 program (Intelligent Imaging Innovations). Using the mask function, segment masks were drawn individually for the DAPI signal and Cy3 signal for each nucleus. Within the statistics menu the mask statistics function as selected with DAPI as the primary mask and Cy3 as the secondary mask. The morphometrix (voxels) and crossmask (voxels) were selected for the analysis. These procedures generated a number for the overlap corresponding to the volume of the Cy3 and DAPI masks from which chromosome volume was calculated using Cy3 volume/DAPI volume. The average volume of Cy3/DAPI for all worms of a given genotype was calculated and an unpaired (2-sample) Student's T-test was performed to compare the means of each genotype to the appropriate control. At least 20 nuclei per condition were quantified and identical probe batches were used for each experiment with a wild type (N2) control.

qRT-PCR

Synchronous culture of gravid adult worms was grown at 25°C to induce temperature-2 dependent gene expression changes in the temperature sensitive mutants. Worms were then 3 bleached to obtain embryos. Embryos were lysed using a bead beater with 0.1mm zirconia/silica beads (BioSpec Products cat. no. 11079101z). TRIzol-chloroform (Invitrogen, Fisher Scientific) 4 separation of the samples was followed by RNA extraction using the QIAGEN RNeasy Mini Kit 5 6 with on-column DNase I digestion. cDNA was generated from extracted RNA using random hexamers with SuperScript III Reverse Transcriptase (Invitrogen). RT-qPCR reaction mix was 7 8 prepared using Power SYBR Green Master Mix (Applied Biosystems) with 10µl SYBR master 9 mix, 0.8µl of 10µM primer mix, 2µl sample cDNA, and 7.2µl H₂O. Samples were run on the 10 Bio-Rad CFX Connect Real-Time System. Log2 fold change was calculated relative to control (cdc-42). Control primers were taken from Hoogewijs et al., (2008). Statistical significance was 11 calculated using two-tailed unpaired Student's t-test. Error bars represent standard deviation. 12 13 Primer sequences: xol-1 forward GGTCCCACCAGAAATGCAG, xol-1 reverse – 14 CTGTTTCCATGTAAGTTAAGACTGG, cdc-42 forward – ctgctggacaggaagattacg, cdc-42 15 reverse – ctcggacattctcgaatgaag. Immunofluorescence 16 17 Immunofluorescence experiments were performed as described (Csankovszki et al., 2009). One day post-L4 worms were dissected in 1X sperm salts (50 mM Pipes pH 7, 25 mM 18 19 KCl, 1 mM MgSO4, 45 mM NaCl and 2 mM CaCl2) and fixed in 2% paraformaldehyde in 1X 20 sperm salts for 5 minutes with a coverslip. Slides were frozen on dry ice for at least 15 minutes. 21 Coverslips were removed and slides were washed in PBS with 0.1% Triton X-100 (PBST) (3X 22 10 minutes each) and subsequently incubated with primary rabbit anti-H3K9me3 (Active Motif 23 #39765) antibodies (at 1:500 dilution) with a square parafilm. Slides were then moved to a

1 humidity chamber overnight at room temperature. Slides were then washed in PBST (3X 10

minutes each) and secondary donkey anti-rabbit-FITC (Jackson Immunoresearch) antibody at

1:100 dilution was added with a square parafilm slip. Slides were incubated for 2 hours at 37°C

before another series of washes in PBST (3X 10 minutes each). The final PBST wash included

DAPI. Slides were mounted with Vectashield (Vector Labs). Significance for H3Kme3 signal

pattern differences between genotypes was calculated by Chi square tests conducted between two

conditions for each comparison under the null hypothesis of no significant difference in

H3K9me3 pattern between genotypes.

9 RESULTS

X chromosome decondensation in RNAi mutants

A hallmark phenotype of mutants for DCC genes and additional genes influencing dosage compensation is a decondensed X chromosome territory (Lau *et al.*, 2014; Snyder *et al.*, 2016; Brejc *et al.*, 2017). We previously found that heterochromatin proteins, including the H3K9 methyltransferases MET-2 and SET-25, and the chromodomain-containing protein CEC-4 that bind H3K9me, promote dosage compensation and X chromosome compaction (Snyder *et al.*, 2016). Since nuclear RNAi can lead to deposition of H3K9me3 and heterochromatin formation, we wondered whether nuclear RNAi genes would have similar effects. In a previous study, we reported that in nuclear RNAi mutants *morc-1* and *hrde-1* the X chromosomes were decondensed (Weiser *et al.*, 2017). To determine which RNAi pathways influence X chromosome compaction, we conducted X chromosome paint fluorescence in situ hybridization (FISH) experiments with loss of function mutants for various genes in the *C. elegans* RNAi pathways (Figure 1A). Our analysis included mutants for genes eliminating function specifically in each branch of the RNAi pathways (exogenous, endogenous and piRNA) as well as mutants for downstream AGO genes

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which are shared by multiple pathways. The exogenous RNAi pathway was represented by rde-1 1(ne219), a mutation for the primary AGO which disrupts silencing in the pathway (Tabara et 2 al., 1999). Endogenous RNAi pathway mutations included: a mutation in DICER homolog dcr-1(mg375), a helicase domain mutant impairing the production of primary 26G siRNAs specifically in the endogenous RNAi pathway, as well as ergo-1(tm1860), a null mutant for the primary AGO of the endogenous RNAi pathway which eliminates 26G primary siRNAs (Bernstein et al., 2001; Yigit et al., 2006; Pavelec et al., 2009; Welker et al., 2010). The piRNA pathway mutation included prg-1(n4357), a null mutant for the primary AGO of the pathway (Batista et al, 2008; Das et al, 2008). Downstream AGO mutations included hrde-1(tm1200), nrde-3(tm1116) and wago-11(tm1127), which represent null mutants for three of the four nuclear AGO encoding genes, as well as the MAGO-12 (Multiple AGO) mutant bearing loss of function mutations in all 12 of the WAGO genes (C. elegans deletion consortium et al., 2002; Guang et al, 2008; Buckley et al, 2012; Gu et al., 2009). In intestinal cells of wild type hermaphrodites with intact dosage compensation, the X chromosomes occupied about 10% of the nuclear volume on average, consistent with previous studies (Figure 1B) (Lau et al., 2014; Snyder et al., 2016; Brejc et al., 2017). The hrde-1, nrde-3, prg-1, and MAGO-12 mutants displayed significantly decondensed X chromosome volumes, occupying about 15-16% of nuclear volume, and in the range of what was previously reported for heterochromatin mutants (Figure 1B) (Snyder et al., 2016). The X chromosome decondensation phenotype was absent from the rde-1, dcr-1, and ergo-1 mutants, which suggests the effect is not mediated through the exogenous or the endogenous RNAi pathway. The lesscharacterized nuclear AGO mutant wago-11 was also not significantly different from wild type. While the hrde-1, nrde-3, prg-1, and MAGO-12 mutants exhibit significantly increased X

Figure 1: X chromosome volume is de-condensed in nuclear RNAi Argonaute mutants

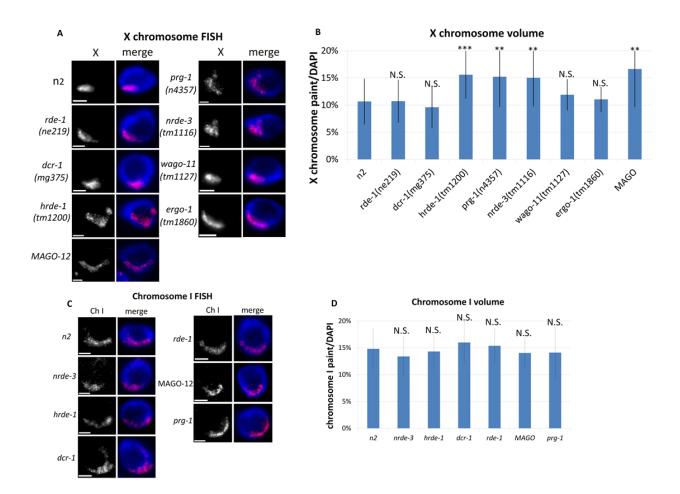


Figure 1. X chromosome is de-condensed in nuclear RNAi Argonaute mutants. (A) FISH X chromosome paint (red) with nuclear (DAPI) staining is shown in adult hermaphrodite intestinal cells. The X paint signal region is larger in *nrde-3*, *hrde-1*, *prg-1*, and *MAGO-12* mutants. **(B)** Quantification for X chromosome volume: (X chromosome paint voxels/DAPI voxels). **(C)** FISH chromosome I paint (red) with nuclear (DAPI) staining is shown. The Ch I paint signal region in wild type (N2) and each mutant with an X chromosome phenotype is similar for this autosome control. **(D)** Quantification for chromosome I volume: (chromosome I paint voxels/DAPI voxels). Significance for B and D is based on a Student's T Test: **=p<0.01, ***= p<0.001 (N2 compared to each mutant). Error bars represent SD.

Depletion of nuclear RNAi AGOs rescues xol-1 mutant males

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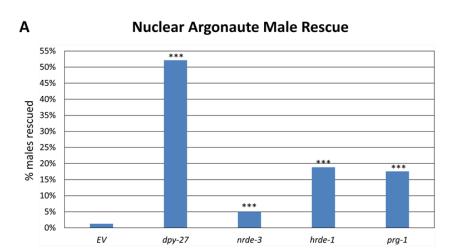
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To further define the individual contribution of AGO mutations to dosage compensation we conducted male rescue experiments. The assay is based on the principle that while dosage compensation is required for XX hermaphrodite viability, if ectopically activated in XO males, dosage compensation is lethal (Miller et al., 1988, Petty et al., 2012). Mutation in the xol-1 master switch gene bypasses the innate chromosome counting mechanism in C. elegans, effectively activating dosage compensation in a sex-independent manner (Rhind et al., 1995). xol-1 males are 100% lethal due to the inappropriate activation of dosage compensation acting on their sole X chromosome. However, xol-1 mutant males can be rescued by inactivation of a gene required for dosage compensation (see methods for details). We previously reported that depletion nuclear RNAi genes morc-1 and hrde-1 led to small, but significant rescue of xol-1 males (Weiser et al., 2017). Here we report that in addition to hrde-1, RNAi of prg-1 and nrde-3 also rescued males with ectopic dosage compensation (Figure 2A and B). On empty vector only about 1% of males are rescued, while a positive control knockdown of DCC component dpy-27 yielded a rescue of 52% of males. prg-1(RNAi) rescued 17.5% of males, hrde-1(RNAi) rescued 18.8% of males, and *nrde-3(RNAi)* rescued 6.1% of males (Figure 2A and B). Thus, the nuclear RNAi AGOs and the piRNA AGO prg-1 promote X chromosome dosage compensation.



B Nuclear Argonaute Male Rescue Chi Square Raw Data and Significance

| | | | OBSERVED | | EXPECTED | | | |
|-----------|------|----------------|-----------------|---------------|-----------------|---------------|--------------|--------------------------|
| Condition | n | total XO worms | viable XO worms | dead XO worms | viable XO worms | dead XO worms | significance | P values per comparrison |
| | | | | | | | | |
| EV | 3130 | 1189 | 15 | 1174 | 286 | 904 | ককক | 0.0000000 |
| dpy-27 | 2539 | 965 | 503 | 462 | 232 | 733 | | |
| | | | | | | | | |
| EV | 3130 | 1189 | 15 | 1174 | 35 | 1155 | ক ক ক | 0.000004 |
| nrde-3 | 2475 | 941 | 47 | 894 | 27 | 913 | | |
| | | | | | | | | |
| EV | 3130 | 1189 | 15 | 1174 | 41 | 1148 | *** | 0.0000000 |
| hrde-1 | 447 | 170 | 32 | 138 | 6 | 164 | | |
| | | | | | | | | |
| EV | 3130 | 1189 | 15 | 1174 | 45 | 1145 | *** | 0.000000 |
| prg-1 | 569 | 216 | 38 | 178 | 8 | 208 | | |

Figure 2. RNAi screen implicates nuclear Argonautes in dosage compensation. (A) RNAi knockdown for various genes in a *him-8; xol-1 sex-1* background. Percent of rescued males is indicated. DCC component gene *dpy-27* rescues a large % of males and the other genes shown rescue a smaller but reproducible number of males. Significance is based on Chi square analysis: *= p<0.05, **=p<0.01, ***= p<0.001. **(B)** Raw data from the Chi Square analysis.

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2 An earlier study from Tang et al., (2018) reported a role for prg-1 in repression of xol-1. The study identified a piRNA 21-ux1 which, when complexed with prg-1, is partially responsible for repressing xol-1 in hermaphrodites to permit dosage compensation to turn on. They showed that both xol-1 transcripts and protein levels are de-repressed in hermaphrodites mutant for prg-1 as well as mutants with the 21-ux1 piRNA deleted. We conducted RT-qPCR on hrde-1 and nrde-3 and prg-1 mutants to determine whether xol-1 was similarly de-repressed in the nuclear RNAi AGO mutants (Figure 3). hrde-1 and nrde-3 mutants both exhibited significant xol-1 derepression (Figure 3). The level of derepression in *nrde-3* mutants was similar to *prg-1* mutants, and somewhat less in hrde-1 mutants. As the canonical piRNA pathway involves nuclear RNAi AGO-mediated silencing, this result suggests that repression of xol-1 by piRNAs may be reinforced by nuclear RNAi (Ashe et al., 2012; Bagijn et al., 2012; Lee et al., 2012; Shirayama et al., 2012). Thus nrde-3, hrde-1, and prg-1 contribute to dosage compensation upstream of xol-1 through its repression. Intriguingly, however, our male rescue assay was conducted in a xol-1 null mutant background, thus the male rescue data (Figure 2) suggests that these genes may play an additional *xol-1*-independent role to promote dosage compensation.

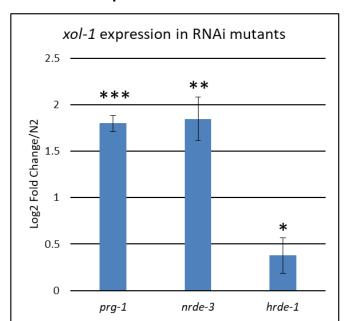


Figure 3 xol-1 is de-repressed in hrde-1 and nrde-3 mutants

Figure 3. *Xol-1* is de-repressed in *hrde-1* and *nrde-3* mutants. Bar graph shows qRT-PCR results. *xol-1* mRNA transcript levels normalized to wild type (N2). *prg-1* is shown as a positive control. *xol-1* transcripts are significantly more abundant in *hrde-1* and *nrde-3*. *xol-1* is derepressed to a similar level in *prg-1* and *nrde-3* mutants, and to a lesser extent in *hrde-1*. Significance is based on a Student's T-Test: **=p<0.01, ****= p<0.001. Error bars represent SD.

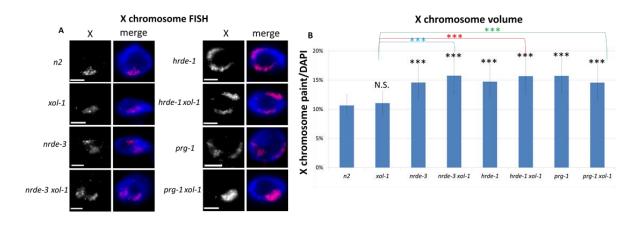
X chromosome decondensation in nuclear RNAi AGO mutants is independent of xol-1

- 3 Since prg-1, hrde-1 and nrde-3 contribute to the repression of xol-1 (Figure 3), and our
- 4 male rescue data (Figure 2) show that RNAi of these genes also rescues males in a xol-1-

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- 5 independent manner, the X chromosome compaction defect in the mutants (Figure 1) may or
- 6 may not be xol-1-dependent. To determine if the X chromosome decondensation in hrde-1, nrde-
- 7 3, and prg-1 mutants (Figure 1) is due to xol-1 de-repression or a xol-1-independent role, we
- 8 conducted X chromosome FISH in double mutants for xol-1 and each of the AGO genes (Figure
- 9 4A). While xol-1 mutants did not exhibit X chromosome decondensation, in each double mutant
- condition, the X chromosome decondensation phenotype of hrde-1, nrde-3 and prg-1 persisted.
- These results suggest that the *xol-1* repression role of the AGO genes is separate from the X

Figure 4 nuclear RNAi Argonaute mutant X decondensation is independent of xol-1



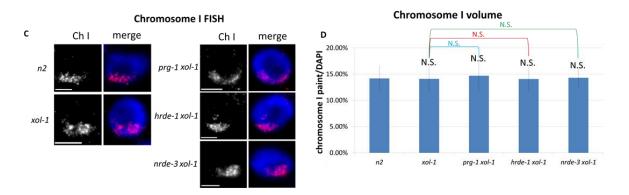


Figure 4. nuclear RNAi Argonaute mutant X de-condensation is independent of xol-1.

(A) FISH X chromosome paint (red) with nuclear (DAPI) staining is shown in adult hermaphrodite intestinal cells. The X paint signal region in single mutants for each Argonaute (nrde-3, hrde-1, prg-1) is larger than wild type (N2) and xol-1, and similar to its double mutant counterpart with xol-1.

(B) Quantification for X chromosome volume: (X chromosome paint voxels/DAPI voxels). (C) FISH chromosome I paint (red) with nuclear (DAPI) staining is shown. The Ch I paint signal region in wild type (N2) and xol-1 negative controls are similar to each Argonaute mutant with xol-1. (D) Quantification for chromosome I volume: (chromosome I paint voxels/DAPI voxels). Significance for B and D is based on a Student's T Test: **=p<0.01, ***= p<0.001 (Black asterisks and labels are N2 compared to each mutant; colored asterisks and labels are xol-1 compared to each double mutant). Error bars represent SD.

Synergistic lethality of nuclear RNAi AGO mutants with sex-1(RNAi)

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- The dual role for nuclear RNAi AGO genes is akin to that of sex-1, a better characterized
- 4 gene in dosage compensation. sex-1 is an X-chromosome encoded "X signal element" (XSE)
- 5 which negatively regulates *xol-1* expression, while also contributing to dosage compensation

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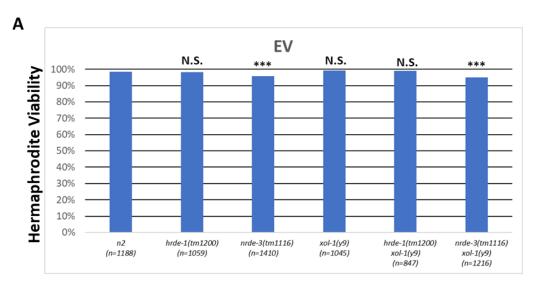
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downstream of xol-1 through an uncharacterized mechanism (Gladden et al., 2007). Hermaphrodite viability data indicate a high degree of lethality in sex-1 null mutants (~20-37% 2 viable) (Carmi et al., 1998, Gladden et al., 2007). This lethality is largely due to xol-1 derepression, as xol-1 sex-1 double mutants are much more viable (~85% viability) (Gladden et al., 2007). However, a mutation in xol-1 does not completely rescue lethality, indicating that sex-1 also plays a xol-1-independent role promoting hermaphrodite viability (Gladden et al., 2007). In order to determine the extent to which hrde-1 and nrde-3 contribute to hermaphrodite viability upstream and downstream of xol-1, we conducted hermaphrodite viability assays with and without the xol-1(y9) mutation and sex-1(RNAi) (Figure 5). Briefly, worms were subjected to sex-1(RNAi) from the L1 larval stage. For each experiment, we counted the total number of eggs laid, the number of unhatched (dead) eggs, the number of dead worms, and the number of live worms that were alive two days after the eggs were laid. The proportion of live worms is shown on Figure 5. nrde-3 and hrde-1 hermaphrodite viability was similar to wild type (N2) on control empty vector RNAi, although the viability of nrde-3 mutants was somewhat reduced (Figure 5A). However on sex-1(RNAi), both mutants exhibited enhanced lethality (Figure 5B, Table S1). Enhanced lethality in the sex-1 mutant background is a characteristic of genes promoting dosage compensation (Gladden et al., 2007). Thus, this data is consistent with a role for hrde-1 and nrde-3 in dosage compensation. Much of the discrepancy between hermaphrodite viability in wild type N2 versus nrde-3 and hrde-1 was eliminated with the addition of xol-1 mutation to each of the single mutants, suggesting that regulation of xol-1 is an important component of this effect. However, there was still a small, but significant increase in lethality on sex-1(RNAi) for hrde-1 xol-1 and nrde-3 xol-1 compared to xol-1 (Figure 5B, Table S1). These results indicate

| 1 | that the nuclear AGO genes contribute to hermaphrodite viability similar to sex-1, to a greater |
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| 2 | extent upstream of xol-1, and to a lesser but significant extent downstream of xol-1. |
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Figure 5 Hermaphrodite viability of nuclear Argonaute mutants



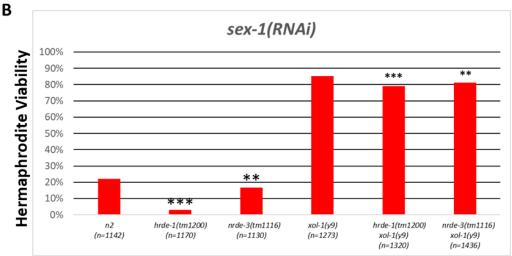


Figure 5. Hermaphrodite Viability of nuclear Argonaute mutants. (A) Hermaphrodite viability on empty vector RNAi. % viability (calculated as the number of live worms/total number of eggs laid) is shown. **(B)** Hermaphrodite viability of *hrde-1* and *nrde-3* mutants with and without *xol-1* on *sex-1(RNAi)*. *hrde-1* mutants are only 3% viable and *nrde-3* mutants are slightly less viable than wild type (N2) worms. The lethality is *xol-1* dependent. Significance in A and B are based on comparisons of N2 to each single mutant (*hrde-1* or *nrde-3*) and *xol-1* to each double mutant. Significance is based on *p* Values from Chi Square analysis for each comparison *=p<0.05, ***=p<0.01, ***= p<0.001 (See supplemental table 1).

Nuclear RNAi AGO mutant XO rescue with sex-1(RNAi)

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2 While hermaphrodite viability defects are not a direct readout of dosage compensation, 3 the rescue of xol-1 mutant XO animals is a highly specific readout of dosage compensation 4 function. To better understand the physiological contribution of hrde-1 and nrde-3 downstream of xol-1, we refined our XO rescue assay, adopting an approach modified from Gladden et al., 5 6 (2007) which utilizes a marker mutation to directly score for the XO karyotype, rather than the 7 male phenotype (Figure 6A). In our RNAi-based xol-1 male rescue assay (Figure 2) we scored the percentage of rescued worms based on the male phenotype. However, because the function of 8 9 XOL-1 is also needed for male development, there could be candidate genes which yield rescued 10 XO worms with the hermaphrodite phenotype. The strain used for the RNAi assay also has a mutation in sex-1 which partially disrupts dosage compensation and sensitizes the strain for 11 rescue. Our modified xol-1 male rescue assay takes advantage of the recessive X-linked lon-12 2(e678) mutation, which renders hemizygous XO or homozygous XX mutant worms ~50% 13 14 longer than wild type or lon-2/+ XX animals. Thus, with the lon-2(e678) marker we eliminate these potential false negatives by directly scoring the karyotype. The assay relies on a mating 15 16 between a male and hermaphrodite which generates a theoretical yield of 50% males to 50% 17 hermaphrodites (Figure 6 A). To distinguish cross-progeny from self-progeny, we include an autosomal linked GFP marker (mIs11) in the males of the cross, resulting in GFP+ cross progeny 18 19 and GFP- self-progeny. The assay also assesses the rescue impact of loss of function mutants, 20 rather than RNAi-based variable knockdown for candidate genes (Figure 6 A). The genetic 21 background of the control cross is thus lon-2(e678) xol-1(y9) hermaphrodites crossed to mIs11 22 males, whereby hrde-1, and nrde-3 mutations were separately crossed into both of the parent strains for each experiment to ensure that the parent and progeny are homozygous mutant for the 23

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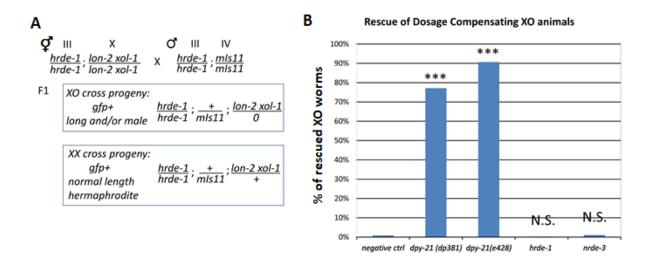
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Figure 6 sex-1-dependent rescue of nuclear Argonaute mutants



C Rescue of Dosage Compensating XO animals with sex-1(RNAi)

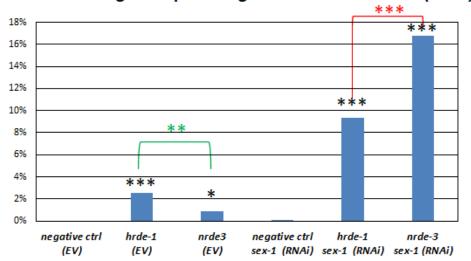


Figure 6. sex-1 dependent rescue of nuclear Argonaute mutants (A) XO rescue mating cross schematic. hrde-1 is shown as an example to highlight the experimental condition cross. hrde-1 lon-2 xol-1 hermaphrodites are crossed to hrde-1 mIs11 (GFP+) males. In the F1, GFP+ (mIs11) worms designate cross progeny. Lon worms represent XO animals hemizygous for lon-2, some of which develop as male, some as hermaphrodite. Normal length, GFP+ hermaphrodites represent XX cross progeny. (B) Percentage of XO worms rescued by various mutations are shown. dpy-21(e428) is a null mutation and dpy-21(dp381) is a partial loss of function mutation. Both positive controls rescue a large amount of XO animals and hrde-1 and nrde-3 on their own do not significantly rescue XO animals. Significance is based on comparison to negative control for each condition. (C) Percentage of XO worms rescued by hrde-1 and nrde-3 are shown with empty vector or sex-1(RNAi) treatment. hrde-1 sex-1(RNAi) and nrde-3 sex-1(RNAi) rescue a significant portion of XO animals. Black asterisks denote significance for comparison of each condition to negative control of the same RNAi treatment. Significance B and C are based on Chi Square analysis (See Supplemental Figure 2A/B). ***= p<0.001.

nrde-3 and hrde-1 modulate the structure of a heterochromatin array in somatic cells

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It is interesting to note that mutations in prg-1, nrde-3 and hrde-1 led to decondensation of the X chromosomes, but not chromosome I (Figure 1). This observation suggests that the structure of the X chromosome is particularly sensitive to loss of nuclear RNAi function. To investigate whether non-X chromosome sequences can be affected, we sought to determine whether *nrde-3* and *hrde-1* have a structural impact on a highly heterochromatinized transgenic array (Figure 7). We conducted immunofluorescence staining for the H3K9me3 heterochromatin mark in intestinal cells of hrde-1 and nrde-3 mutants and wild type worms bearing the mIs11 array. Note that this repetitive array is completely silent in intestinal cells. We noticed that the array formed spherical, tightly condensed structures marked by H3K9me3 accumulation in otherwise wild type worms. However, with a nrde-3 or hrde-1 mutation in the background, the array appeared less condensed. We characterized these structural defects by binning the heterochromatin array morphology phenotypes into one of four categories. The categories were (in order from most to least condensed): spherical, sickle/bar, starburst, spotted. In wild type, the array tended to take on one of the more compact appearances of spherical (50%) or sickle/bar (16%) H3K9me3 distribution a total of 66% frequency (Figure 7 A and B). In hrde-1 mutants, the array takes on the spherical (15%) or sickle/bar (25%) H3K9me3 distribution, a total of 40% frequency, and in nrde-3 mutants the mIs11 array takes on the spherical (35%) or sickle/bar (18%) H3K9me3 distribution a total of 53% frequency (Figure 7 A and B). Among the two categories of qualitatively decondensed array, the biggest difference for both hrde-1 and nrde-3 mutants compared to wild type was a more than two-fold increase of the frequency of starburst H3K9me3 distribution. While the chromosome I controls from our FISH experiments did not capture differences in nuclear volume in the nuclear RNAi AGOs, the H3K9me3 IF data indicate

- that on a highly heterochromatinized array, a pronounced effect on chromatin structure
- 2 morphology can be observed, consistent with a previous report indicating a role for nuclear
- 3 AGOs in compacting chromatin silenced by RNAi (Fields and Kennedy 2019).

Figure 7 *nrde-3* and *hrde-1* modulate the structure of a heterochromatin array

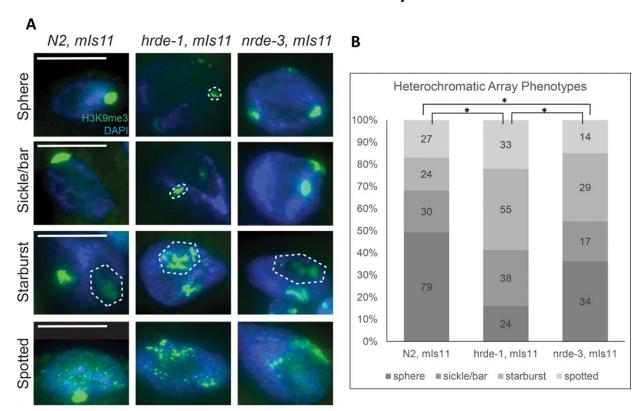


Figure 7. *nrde-3* and *hrde-1* modulate the structure of a heterchromatin array (A) H3K9me3 signal (green) and DAPI (blue) staining is shown in adult hermaphrodite intenstinal cells. Vertical (left) labels represent four qualitative patterns for observed H3K9me3 signal. All patterns were observed for each strain. (B) Quantification of qualitative H3K9me3 signal binned by pattern type. Number of nuclei for each pattern are shown within the bars and y-axis shows the proportion of each H3K9me3 pattern as a percentage of that observed in each genotype. *hrde-1* and *nrde-3* exhibited fewer nuclei with the compacted spherical/sickle/bar arrays and a higher proportion of the starburst/spotted arrays. Significance is based on Chi Square analysis for each comparison between genotypes. *= *P*<0.05.

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DISCUSSION

We demonstrated that nuclear RNAi AGOs HRDE-1 and NRDE-3 play dual roles promoting dosage compensation. First, together with the piRNA AGO PRG-1, they repress the master sex determination and dosage compensation switch gene *xol-1*. XOL-1 promotes male development and inhibits dosage compensation, and therefore XOL-1 function must be turned off in hermaphrodites. In addition, HRDE-1, NRDE-3 and PRG-1 also promote dosage compensation downstream of *xol-1*. This downstream role is required for full compaction of dosage compensated X chromosomes, and for healthy development (Figure 8).

Figure 8 dual role for hrde-1 and nrde-3 in dosage compensation

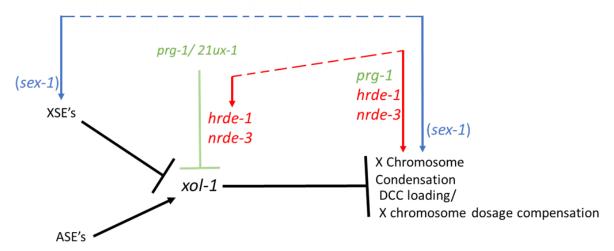


Figure 8. dual role for *hrde-1* **and** *nrde-3* **in dosage compensation** *prg-1* and the *21ux-1* piRNA aid in the repression of *xol-1* in hermaphrodites. *nrde-3* and *hrde-1* also contribute to *xol-1* repression, and cooperate with *sex-1* to contribute to hermaphrodite viability upstream of *xol-1*. *hrde-1* and *nrde-3* also promote the compaction of X chromosomes, and cooperate with *sex-1* to promote physiological dosage compensation downstream of *xol-1*.

The dual roles of *nrde-3* and *hrde-1* in dosage compensation are similar to the dual roles *sex-1* in their *xol-1*-dependent and independent nature (Carmi *et al.*, 1998, Gladden *et al.*, 2007).

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While sex-1's role as an X-signal element is well characterized, the xol-1-independent role of sex-1 has not yet been assessed. Identifying genes targeted by sex-1 will help elucidate how this nuclear hormone receptor homolog gene is acting in conjunction with the nuclear RNAi pathway to promote a significant degree of dosage compensation function. One possibility is that sex-1's role as a nuclear hormone receptor involves recruitment of the nuclear RNAi pathway as one of the mechanism for the repression of its targets. Another possibility is that nuclear RNAi targets a different set of genes that promote dosage compensation in a sex-1 independent manner. A third possibility is that nuclear RNAi's influence on chromatin structure augments sex-1's role in promoting dosage compensation. Mutants for the pathway tethering H3K9me3 heterochromatin to the nuclear lamina also exhibit X chromosome decondensation and contribute to dosage compensation in conjunction with sex-1. Thus, X chromosome decondensation simultaneously with the loss of sex-1's target gene repression may be what underlies the additive detriment to dosage compensation in double mutants for both sex-1 and the nuclear RNAi pathway and sex-1 and the heterochromatin tethering pathway. While both AGO mutants and prg-1 exhibit a xol-1-independent X chromosome decondensation phenotype to similar degree (Figure 4 A and B), their impacts on male rescue (Figure 2 A), xol-1 de-repression (Figure 3), hermaphrodite viability (Figure 5) and rescue of XO animals (Figure 6) vary. One measure of the upstream, xol-1-dependent role is hermaphrodite viability after sex-1(RNAi) treatment. The xol-1-dependent hermaphrodite viability of hrde-1 mutants treated with sex-1(RNAi) was only 3%, compared to 16% in nrde-3 sex-1(RNAi) (Figure 5 B), indicating that hrde-1 may play a more prominent role. In contrast, while the degree of xol-I de-repression is significantly stronger in both AGO mutants compared to wild type (N2), the level of de-repression is far greater in nrde-3 than hrde-1 (Figure 3). Although impaired

1 hermaphrodite viability is not a direct measure of dosage compensation defect, the synergistic

lethality of *hrde-1 sex-1(RNAi)* is *xol-1*-dependent, suggesting that *xol-1* regulation is a major

component of *hrde-1*'s function.

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Rescue of xol-1 mutant males by RNAi, rescue of xol-1 XO animals by introducing genetic mutations, and X chromosome decompaction in xol-1 mutants, are all measures of the downstream, xol-1-independent role. The xol-1-independent X chromosome decompaction phenotype was comparable in prg-1, nrde-3 and hrde-1 mutants (Figure 4). However, the level of X decompaction does not correlate with the level of X derepression, therefore this result should not be interpreted as all genes playing comparable roles (Snyder et al., 2016). The XO rescue experiments on sex-1(RNAi) indicate almost a two-fold greater xol-1-independent contribution to dosage compensation for *nrde-3* over *hrde-1* (Figure 6 C). However, the degree of nrde-3 male rescue from the RNAi-based assay in Figure 2 is smaller than that of hrde-1. One potential explanation for the discrepancy is that comparisons made between different RNAi conditions are subject to varying degrees of knockdown efficacy between treatments. It is worth noting that the sex-1(RNAi) appears to be near (if not) a complete depletion, as the hermaphrodite viability of wild type N2 worms on sex-1(RNAi) is close to the published data for sex-1(null) mutants (Figure 5 B) (Gladden et al., 2007). sex-1(RNAi) is also effective in hrde-1 and nrde-3 mutants as well, as evidenced by the severe effects on hermaphrodite viability (Figure 5). Thus, the XO rescue experiments, using loss of function mutants for each AGO and identical RNAi conditions afford a potentially more accurate comparison of contributions from each individual AGO to dosage compensation. In this vein, a bigger role for nrde-3 downstream of xol-1 is consistent with its expression pattern in hermaphrodite somatic cells from the embryo through adulthood (Guang et al., 2008). After DCC loading to hermaphrodite X chromosomes (~30-50

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cell embryo) NRDE-3's presence in the soma may reinforce maintenance of dosage compensation through the directing of chromatin modifications (Chuang et al., 1994, Dawes et al., 1999). Future studies utilizing a conditional nrde-3 depletion to determine the time in development when *nrde-3* is required for dosage compensation will aid in understanding whether nrde-3 is maintaining and/or initiating the repressive chromatin landscape for dosage compensation. Considering the germline-enriched expression patterns of prg-1 and hrde-1, the somatic X chromosome decondensation phenotype in both mutant adults is intriguing. The fact that prg-1 (Tang et al., 2018) and hrde-1 (Figure 3) repress xol-1 and independently maintain X chromosome compaction (Figure 4) begs the question of when in development and how mechanistically this contribution is made. Since neither dcr-1(mg375) nor ergo-1(tm1860) mutants with impaired endogenous primary 26G siRNA accumulation exhibit X chromosome decondensation (Figure 1A and B), the contribution of nuclear AGOs to X chromosome compaction could originate from the piRNA pathway which bypasses the requirement for 26G primary siRNAs but utilizes the nuclear RNAi machinery for silencing (Ashe et al., 2012; Lee et al., 2012; Luteijn et al., 2012; Shirayama et al., 2012). If that were the case, prg-1 targets may include additional genes important for maintaining the compaction of dosage compensating X chromosomes. The fact that the somatic nuclear AGO nrde-3 also plays a role both in xol-1 repression and in xol-1-independent X decompaction raises the possibility that repression of some of these prg-1 targets are maintained by NRDE-3 in the embryo, rather than HRDE-1. Alternatively, the capacity for these nematode-specific AGOs to direct chromatin modifications may be directly featured in X chromosome compaction. Given hrde-1's chromatin

compaction role in the germline and soma (Fields and Kennedy, 2019), its plausible that in sex-1

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helpful discussions.

mutant hermaphrodites where xol-1 is de-repressed and dosage compensation is impaired, the loss of hrde-1-mediated heterochromatin signatures on the X chromosome further exasperates DCC initiation and/or maintenance. HRDE-1 may reinforce chromatin compaction and direct some degree of heterochromatin formation in the early embryo as well, and this role may then be taken over by NRDE-3 in later stages of embryonic development. The recent discovery of MTR-4's role in the NRDE complex suggests that there may be additional important genes interacting with the nuclear RNAi machinery (Wan et al., 2020). The NRDE complex is the link between nuclear RNAi target genes, histone modifications, and co-transcriptional silencing (Guang et al., 2008, Guang et al., 2010, Buckley et al., 2011, Ashe et al., 2012, Buckley et al., 2012, Luteijn et al., 2012). Thus, identifying the landscape of NRDE complex binding on C. elegans chromosomes and interactions with dosage compensation regulators will further test whether a dosage compensation role is attributed to nuclear RNAi-mediated regulation of genes functioning in dosage compensation, or to nuclear RNAi-mediated regulation of chromosome architecture. **ACKNOWLEDGEMENTS** This work was supported by the National Institute of General Medical Sciences grant NIH R01GM13385801 to G.C. M.B.D. was partially supported by Michigan Predoctoral Training in Genetics (T32 GM007544), and by the Edwards Fellowship and Okkleberg Fellowship from the Department of MCDB at the University of Michigan. Some strains were provided by the Caenorhabditis Genetics Center (CGC), which is funded by NIH Office of Research Infrastructure Programs (P40 OD010440). We thank Joshua Bembenek for the critical reading and feedback on this manuscript. We also thank all members of the Csankovszki lab for

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2