1	A sequence-based global map of regulatory activity for deciphering human genetics
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41 Abstract

- 42 Sequence is at the basis of how the genome shapes chromatin organization, regulates gene
- 43 expression, and impacts traits and diseases. Epigenomic profiling efforts have enabled large-
- 44 scale identification of regulatory elements, yet we still lack a sequence-based map to
- 45 systematically identify regulatory activities from any sequence, which is necessary for predicting
- 46 the effects of any variant on these activities. We address this challenge with Sei, a new
- 47 framework for integrating human genetics data with sequence information to discover the
- 48 regulatory basis of traits and diseases. Our framework systematically learns a vocabulary for the
- 49 regulatory activities of sequences, which we call sequence classes, using a new deep learning
- 50 model that predicts a compendium of 21,907 chromatin profiles across >1,300 cell lines and
- 51 tissues, the most comprehensive to-date. Sequence classes allow for a global view of sequence
- and variant effects by quantifying diverse regulatory activities, such as loss or gain of cell-type-
- specific enhancer function. We show that sequence class predictions are supported by
 experimental data, including tissue-specific gene expression, expression QTLs, and evolutionary
- 55 constraints based on population allele frequencies. Finally, we applied our framework to human
- 56 genetics data. Sequence classes uniquely provide a non-overlapping partitioning of GWAS
- 57 heritability by tissue-specific regulatory activity categories, which we use to characterize the
- 58 regulatory architecture of 47 traits and diseases from UK Biobank. Furthermore, the predicted
- 59 loss or gain of sequence class activities suggest specific mechanistic hypotheses for individual
- regulatory pathogenic mutations. We provide this framework as a resource to further elucidate
- 1 regulatory pathogenic indiations. We provide this framework as a resource to further endedd
- 61 the sequence basis of human health and disease.
- 62

63 Introduction

- 64 Deciphering how regulatory functions are encoded in genomic sequences is a major challenge in
- 65 understanding how genome variation links to phenotypic traits. Cell-type-specific regulatory
- 66 activities encoded in elements such as promoters, enhancers, and chromatin insulators are critical
- 67 to defining the complex expression programs essential for multicellular organisms, like those
- 68 affecting cell lineage specificity and development. The majority of disease-associated variants
- 69 from genome-wide association studies (GWAS) are located in noncoding regions¹ and may
- 70 perturb regulatory elements, yet without knowing how changes in sequence affect regulatory
- 71 activities we cannot predict the impact of these variants and uncover the regulatory mechanisms
- 72 contributing to complex diseases and traits. Different variants in the same region can have
- 73 distinct regulatory consequences and resulting phenotypic effects, as shown by mutations in
- represent the expression of a gene critical enhancer regions of SHH²: for instance, a variant may turn off the expression of a gene critical
- for early development in specific tissue and location, while other variants in the same region may
- 76 increase enhancer activity or have no effect at all.
- 77
- 78 Substantial progress has been made in the experimental profiling and integrative analysis of
- repigenomic marks, such as histone marks and DNA accessibility, across a wide range of tissues
- and cell types^{3–5}. Histone marks are commonly used to identify regulatory elements; for

example, H3K4me3 can indicate active promoter regions and H3K27ac/H3K4me1 can indicate
active enhancer regions. Moreover, histone marks and chromatin accessibility can be integrated
with chromatin state models⁶⁻¹⁰. These works have been instrumental to annotating the genome

84 with regulatory elements across many tissues.

85

86 At the same time, deep learning sequence modeling techniques have been successfully applied to

87 learn sequence features that are predictive of transcription factor binding and histone

88 modifications¹¹⁻¹⁷. These models are powerful tools for inferring the impact of sequence

89 variation at the chromatin level. However, each chromatin-level prediction can only inform a

90 very specific aspect of sequence--for example, whether a variant causes an increase or decrease

91 of C/EBP- β binding. We continue to lack a global, integrative view of sequence regulatory

92 activities, including all major aspects of cis-regulatory functions, such as tissue-specific or broad

93 enhancer and promoter activities. This limits our ability to interpret the integrated effects of all

94 chromatin-level perturbations caused by genomic variants and determine their impact on human

- 95 health and diseases.
- 96

97 We address this challenge by creating a global map for sequence regulatory activity based on a

98 new deep-learning-based framework called Sei. This framework introduces a new sequence

99 model that predicts a comprehensive compendium of 21,907 publicly available chromatin

100 profiles--the broadest set to-date--and uses the model to quantitatively characterize regulatory

101 activities for any sequence with a novel vocabulary of sequence classes. Sequence classes cover

102 diverse types of regulatory activities, such as promoter or cell-type-specific enhancer activity,

103 across the whole genome by integrating sequence-based predictions from histone marks,

104 transcription factors, and chromatin accessibility across a wide range of cell types. For example,

105 'embryonic stem cell-specific enhancer' sequence class activity may be estimated from the

106 predicted binding of multiple transcription factors including Pou5F1, Sox2, and Nanog, as well

107 as various histone marks, on a sequence. Importantly, sequence classes can be used to both

108 classify and quantify the regulatory activities of any sequence based on predictions made by the

109 deep learning sequence model. Therefore, sequence classes allow for the quantitative mapping of

any mutation to its impact on cell-type-specific regulatory activities.

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112 The Sei framework thus provides an interpretable and systematic integration of sequence-based

regulatory activity predictions (intrinsic information, based on sequence function) with human genetics data (extrinsic information, based on variant-phenotype association) for discovering the

114 genetics data (extrinsic information, based on variant-phenotype association) for discovering the 115 regulatory basis of human traits and disease. We applied our framework to characterize disease-

and trait-associated regulatory disruptions by combining sequence class information and UK

117 Biobank GWAS data. Sequence classes provide a non-overlapping partitioning of heritability in

118 GWAS by regulatory activity, which we use to profile the regulatory architecture of 47 diseases

119 and traits in UK Biobank $GWAS^{18}$.

121 Moreover, variant effect prediction at the sequence-class-level newly enables the interpretation

- 122 of regulatory mechanisms for individual disease mutations and can differentiate between gain-of-
- 123 function and loss-of-function regulatory mutations. The regulatory and tissue-specific view
- 124 provided by sequence classes suggests potential new mechanisms for individual disease-
- associated variants: for example, we used sequence classes to link mutations in blood-related
- diseases with previously unknown mechanisms to the malfunctioning of cell-type-specific
- 127 enhancers.
- 128

129 We provide the Sei framework as a resource for systematically classifying and scoring any

- 130 sequence and variant with sequence classes, additionally providing the Sei model predictions for
- the 21,907 chromatin profiles underlying the sequence classes. The framework can be run using
- 132 the code at https://github.com/FunctionLab/sei-framework, and a user-friendly web server is
- 133 available at hb.flatironinstitute.org/sei.
- 134

135 **Results**

136

137 Developing a comprehensive sequence model for 21,907 chromatin profiles

138 To capture the widest range of sequence features that are predictive of regulatory activities, we

- 139 first developed a new deep learning sequence model, which we refer to as the Sei model, that
- enables the base-level interpretation of sequences by predicting 21,907 genome-wide cis-
- regulatory targets--including peak calls from 9,471 transcription factor profiles, 10,064 histone
- 142 mark profiles and 2,372 chromatin accessibility profiles--with single nucleotide sensitivity. The
- 143 majority of this data (19,905 profiles) is from the Cistrome Project⁵, a resource that uniformly
- 144 processes and annotates public ChIP-, DNase-, and ATAC-seq datasets, and the remaining
- 145 chromatin profiles were processed by the ENCODE³ and Roadmap Epigenomics⁴ projects. The
- 146 Sei model encompasses an estimated ~1000 non-histone DNA-binding proteins (which we refer
- to as transcription factors), 77 histone marks, and chromatin accessibility across >1300 cell lines
 and tissues (Supplementary Files 1, 2).
- 149

150 To efficiently predict 21,907 chromatin profiles from sequence, we designed a novel model 151 architecture (Supplementary Figure 1) and improved our training pipeline. The Sei model uses a new residual-block architecture with a dual linear and nonlinear path design: the linear path allows 152 for fast and statistically efficient training, while the nonlinear path offers strong representation 153 power and the capability to learn complex interactions. For scaling and performance, we 154 introduced a layer of spatial basis functions, which integrates information across spatial locations 155 156 with much higher memory efficiency than fully connected layers. The model takes as input a 4kb length sequence and predicts the probabilities of 21,907 targets at the center position. The model 157 158 is trained on chromatin profile peak calls, which are binary (presence/absence), but the model 159 output is continuous, representing probabilities of peaks. Our model training pipeline was updated

to improve training speed and performance by using on-the-fly sampling, which reducesoverfitting by generating new training samples for every training step.

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163 The model achieved an average area under the receiver-operating characteristic (AUROC) of 0.972

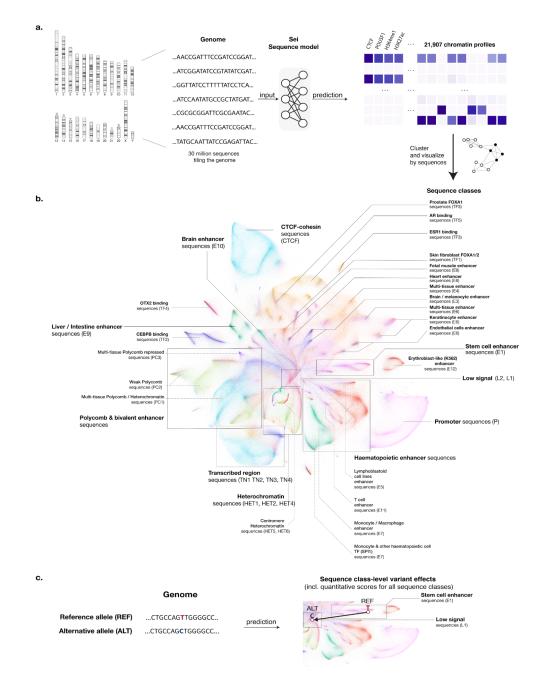
and average area under the precision-recall curve (AUPRC) of 0.409 across all 21,907 chromatin

165 profiles (Supplementary Figure 2). In addition to accurately predicting individual profiles, the

166 predictions also recapitulated the correlation structure of these profiles, which indicates that the

167 Sei model is able to capture the co-localization patterns of chromatin profiles (Supplementary

- 168 Figure 3). Furthermore, the Sei model also improved over our best previously published model,
- 169 DeepSEA "Beluga"¹³, on the 2002 chromatin profiles predicted by both models by 19% on average
- 170 (as measured by AUROC/1-AUROC, Supplementary Figure 4).
- 171
- 172 Therefore, the Sei model is the most comprehensive chromatin-level sequence model to-date, and
- 173 offers an expansive new resource for sequence and variant interpretation.



174

175 Figure 1. Mapping the global regulatory landscape of genomic sequences.

a, Overview of the Sei framework for systematic prediction of sequence regulatory activities. Sequence 176 177 classes are extracted from the predicted chromatin profiles of 30 million sequences evenly tiling the 178 genome. The predictions were made by Sei, a new deep convolutional network sequence model trained on 179 21,907 chromatin profiles. Specifically, classes are identified by applying Louvain community detection 180 to the nearest-neighbor graph of 180 principal components extracted from the predictions data. **b**, 181 Visualizing the global regulatory landscape of human genome sequences discovered by this approach 182 with UMAP. Major sequence classes include cell-type-specific enhancer classes, CTCF-cohesin, 183 promoter, TF-specific, and heterochromatin/centromere classes. c, This framework is further applied to 184 predict sequence-class-level genome variant effects, quantified by changes in sequence class scores.

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187 Defining sequence classes using a sequence model from whole genome sequences

Next, we applied the Sei model to develop a global, quantitative map from genomic sequences to specific classes of regulatory activities, which we term sequence classes, by integrating the wide range of chromatin profiles predicted by Sei. Sequence classes are therefore mapped directly from sequence, and each sequence class represents a distinct program of regulatory activities across tissues and cell types as covered by the Sei model. Furthermore, sequence classes allow for the mapping of any sequence to quantitative scores that represent a broad spectrum of regulatory activities.

195

To cover the whole spectrum of sequence activities, we identified sequence classes from Sei predictions for 30 million sequences uniformly tiling the whole genome (4kb windows with 100bp step size). We visualized the global structure of sequence regulatory signals as represented by the model's chromatin profile predictions with nonlinear dimensionality reduction techniques^{19,20} (Figure 1) and applied Louvain community clustering²¹ to these predictions to categorize the 30 million sequences into 40 sequence classes (Figure 1a).

202

203 This visualization of human genome sequences demonstrates the global organization of sequence 204 regulatory activities (Figure 1b). The center of the visualization contains sequences with weak or 205 no regulatory activity based on histone mark and TF enrichment, and sequences with specific 206 regulatory activities radiate outwards, establishing a continuum from no activity to strong specific 207 activity. Different branches of sequences are enriched in distinct chromatin modifications and 208 transcription factors, and sequences with similar regulatory activities are grouped together. For 209 example, tissue-specific enhancer sequences were predominantly grouped by tissue in the 210 visualization (Figure 1b). In addition, sequences with repressive Polycomb marks were spatially 211 adjacent to H3K9me3-marked heterochromatin sequences (Figure 1b), reflecting their extensive 212 crosstalk in epigenetic silencing²²⁻²⁴. Notably, promoter-proximal and CTCF-cohesin binding 213 sequences form two well-defined clusters that are separated from other sequences, which may 214 reflect the distinct nature of these activities (Figure 1b).

215

216 The sequence classes identified from whole genome sequences recapitulate the sequence 217 organization shown in the visualization and provide a basis for summarizing sequence activities 218 globally and are robust to clustering parameter choices (Supplementary Figures 5, 6). To facilitate 219 intuitive interpretation of sequence classes, we named them based on the corresponding enrichment of cis-regulatory profiles (Figure 2a, Supplementary Figures 7-12, Supplementary File 220 221 3); specifically, we label each sequence class with a functional group acronym and index denoting 222 the rank of the sequence class within the group (Supplementary Figure 13, e.g. E1 encompasses a 223 larger proportion of the genome than E2). Because genomic sequences encode their regulatory 224 activity programs across all cell types, sequence classes also show distinct activity patterns across

cell types and tissues. We label sequence classes primarily based on their active, cell-type-specific
 regulatory activities--in particular, promoter and enhancer activities. Therefore, sequence classes
 that are not labeled as enhancer ('E') or promoter ('P') generally lack enhancer or promoter activity
 in any cell type predicted by Sei.

229

230 In summary, sequence classes contain 1 'P' promoter class, which is most strongly enriched in the 231 active promoter histone mark H3K4me3 across all cell types (Figure 2a, Supplementary Figure 7); 232 12 'E' enhancer classes, which are strongly enriched in enhancer histone marks, such as H3K4me1 233 and H3K27ac, and transcription factors relevant to their activities in select cell types (e.g. 234 PU.1/Spi1 in the E7 monocyte/macrophage enhancer class, HNF4-a in E9 liver/intestine, and 235 Sox2/Nanog/Pou5f1 in E1 stem cell), and often display repressive H3K27me3 marks in inactive 236 cell types (Figure 2a, Supplementary Figures 8-10, Supplementary File 3); 1 'CTCF' sequence 237 class, which is strongly enriched in CTCF and cohesin (Figure 2a, Supplementary File 3); 5 'TF' 238 sequence classes, which are enriched in a few specific transcription factors (e.g. CEBPB sequence class) but have weak or no enhancer mark enrichment (Figure 2a, Supplementary File 3); 4 'PC' 239 Polycomb classes, which are enriched in the Polycomb-repressed region mark H3K27me3 and 240 241 generally not enriched in active promoter or enhancer marks (Figure 2a, Supplementary Figure 10); 6 'HET' heterochromatin classes, which are enriched in the heterochromatin mark H3K9me3 242 243 (Figure 2a, Supplementary Figure 11); 4 'TN' sequence classes, which are enriched in transcription elongation marks H3K36me3 or H3K79me2 (Figure 2a, Supplementary Figure 12); and finally, 7 244 245 'L' (low signal) sequence classes, which are not strongly enriched in any of the above marks 246 (Figure 2a). As a whole, the 40 sequence classes cover >97.4% of the genome (Supplementary 247 Figure 13).

248

249 Beyond classifying genomic sequences to sequence classes, we define sequence class scores to provide a global and quantitative representation of sequence regulatory activities. This for the 250 251 first time allows us to (1) predict the regulatory activity for any sequence and (2) quantify the 252 changes in regulatory activity caused by any sequence variant. Sequence class scores summarize 253 predictions for all 21,907 chromatin profiles based on weights specific to each sequence class, 254 which are computed by projecting Sei predictions onto unit-length vectors that point to the center 255 of each sequence class. Sequences that score highly for a particular sequence class have high predictions for the chromatin profiles associated with that class. Sequence class scores thus allow 256 for the quantification of the regulatory activity of any sequence, where the impact of a variant is 257 represented by the difference between the sequence class scores for the reference and alternative 258 alleles. Importantly, this capability is only allowed by modeling the sequence dependencies of 259 260 sequence class activities and cannot be directly obtained from chromatin profiling data alone. 261

262 Enhancer sequence classes predict tissue-specific gene expression

The group of sequences that are likely most impactful to tissue-specific gene expression regulation are the enhancer ('E') sequence classes, thus here we assessed the association of enhancer sequence class scores with tissue-specific gene expression.

266

267 In the visualization of sequence regulatory activities, sequence classes with different cell type-268 and tissue-specific enhancer activities are localized to distinct subregions (Figure 1b). 'E' 269 sequence classes capture both specific and broad enhancer activities. Based on enhancer mark 270 enrichment (Supplementary Figures 8, 9), E7 is specific for monocyte/macrophage, E11 is 271 specific for T-cell, E5 is specific for lymphoblastoid/B-cell-like cell lines, E9 is specific for liver 272 and intestine, E1 is specific for embryonic stem cells & induced pluripotent stem cells, and E10 273 and E3 are specific for brain (Figure 1, 2; all enrichments stated are significant with p<2.2e-16, 274 Fisher's exact test, two-sided). In contrast, broad enhancer sequence classes can either 275 encompass enhancer activity in similar cell types across different tissues, such as fibroblast (E2) 276 and epithelial (E6) cell types (Supplementary Figures 8, 9), or encompass enhancer activity in many different cell types; for example, E4 is enriched in fibroblast, muscle, astrocytes, 277 278 osteoblast, epithelial, and other cell types. Sequence class enhancer activities are also supported 279 by the enrichment of relevant chromatin states³ and DNase I hypersensitive sites²⁵ across tissues 280 and cell types (Supplementary Figures 14, 15). Consistent with their predicted enhancer 281 activities, the coverage of 'E' sequence class annotations within a 10kb window to transcription 282 start sites (TSS) are correlated with the differential expression patterns of these genes in the 283 corresponding cell types over the tissue-average (Figure 2b).

284

285 Since sequence class scores allow us to systematically predict the effects of variants on higher-286 level regulatory functions, we can estimate whether a given variant diminishes, maintains, or 287 increases the enhancer activity of a sequence based on the difference between the sequence class 288 scores for the reference and alternative alleles. Evaluated on GTEx eQTL data²⁶, we found that 289 variants predicted to increase 'E' sequence class activity were significantly positively correlated 290 with higher gene expression, whereas those predicted to increase 'PC' sequence class activity were 291 significantly negatively correlated with gene expression--consistent with the expected repressive 292 role of 'PC' sequence class activities (Figure 2c). Moreover, when only analyzing fine-mapped eOTLs²⁷ with high posterior inclusion probability (>0.95), we observed higher correlations with 293 294 overall comparable levels of significance (Supplementary Figure 16). Therefore, sequence classes 295 can distinguish the effects of variants on gene expression based on their consequences in regulatory 296 activities.

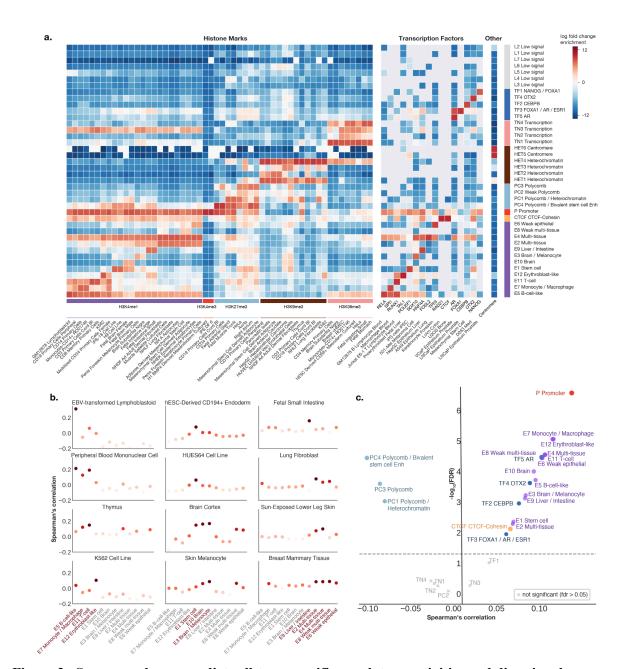


Figure 2. Sequence classes predict cell-type-specific regulatory activities and directional,
expression-altering variant effects. a, Sequence-class-specific enrichment of histone marks,
transcription factors, and repeat annotations. Log fold change enrichment over genome-average
background is shown in the heatmap. No overlap is indicated by the gray color in the heatmap. Top 1-2
histone mark and TF annotation enrichments were selected for each sequence class. b, Enhancer sequence
classes near transcription start sites are correlated with cell-type-specific gene expression in the applicable
times on cell type. (see Methods). The partice shows the Superment correlation between the near set of the sequence of the set of the second set

- tissue or cell types (see Methods). The y-axis shows the Spearman correlation between the proportion of
- ach sequence class annotation within 10kb of TSS and the tissue-specific differential gene expression
- 306 (fold over tissue-average). **c**, Regulatory sequence-class-level variant effects are predictive of directional
- GTEx variant gene expression effects. The x-axis shows Spearman correlations between the predicted
 sequence-class-level variant effects and the signed GTEx variant effect sizes (slopes) for variants with

309 strong predicted effects near transcription start sites (Methods) and the y-axis shows the corresponding

- 10 log10 p-values. All colored dots are above the Benjamini-Hochberg FDR < 0.05 threshold.
- 311 312

313 Regulatory sequence classes are under evolutionary constraints

314 Variants that alter regulatory activities of sequences often disrupt gene regulation and are therefore expected to impact human health and disease. We tested this expectation by comparing 315 316 human population genome variant allele frequencies²⁸ based on the sequence class in which each 317 variant is located and the predicted variant effect on that sequence class. Indeed, we found that variants localized in regulatory sequence classes (E-, P-, and CTCF-) have lower common 318 319 variant frequency than variants in other sequence classes, and therefore showed higher overall 320 negative selection constraint (Figure 3a, x-axis). More importantly, variants predicted to strongly 321 perturb regulatory sequence classes had significantly lower common variant frequencies than 322 variants that weakly perturb these classes (measured by bidirectional variant effect constraint, 323 Figure 3a y-axis, see also Figure 3b, Methods). This is therefore consistent with the hypothesis 324 that disruption of regulatory sequence class activities has a major negative impact on fitness,

- 325 which we refer to as a negative selection signature.
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327 Specifically, we observed strong negative selection signatures for variants assigned to all E,

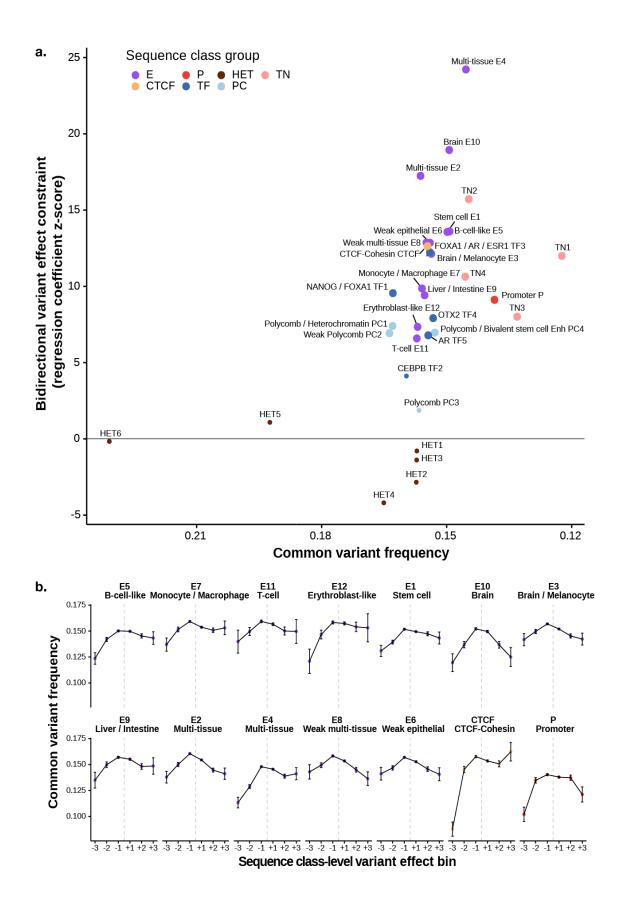
328 CTCF and P sequence classes (Figure 3). Multi-tissue enhancer sequence classes E4 and E2 and

the brain enhancer sequence class E10 show the strongest association of predicted sequence-

- 330 class-level variant effects and common variant frequencies. Notably, for the CTCF sequence
- class, only negative variant effects--decreasing sequence class activity--appear to be under very
- strong constraints, suggesting that CTCF sites are generally tolerant to positive effect mutations
 that further increase CTCF binding. This is in contrast to the generally deleterious impact of both
- increase and decrease of enhancer and promoter activities. As expected, TN sequence classes,
- 335 which overlap with protein-coding regions, are among the sequence classes with the lowest allele
- 336 frequency (Supplementary Figure 17).
- 337

338 In contrast, those assigned to HET, PC, TF, and L sequence classes generally did not show 339 strong negative selection signatures and had higher overall common variant frequencies 340 (Supplementary Figure 17). Importantly, this does not suggest that Polycomb or transcription 341 factors are inessential: the HET, PC, TF, and L classes generally do not show strong enhancer or 342 promoter histone mark enrichment in any cell type (with the exception of bivalent marks in stem 343 cells observed in PC4), and thus they are expected to play less major roles in gene expression 344 regulation. However, Polycomb-related regulation is likely critical for 'E' and 'P' sequence 345 classes, which are often Polycomb-repressed in some cell types but enhancers or promoters in 346 other cell types (Supplementary Figures 7-10). Similarly, we expect that TF binding plays a 347 central role in 'E' classes that are highly enriched in relevant TFs (Figure 2a, Supplementary File 348 3).

- 350 Therefore, sequence classes show distinct evolutionary constraints, and 'E' enhancer sequence
- 351 classes show the strongest bidirectional constraints. This suggests that both increases and
- 352 decreases of enhancer activity are expected to lead to deleterious effects on fitness, highlighting
- 353 the importance of precisely controlling gene expression.



355 Figure 3. Variants with strong regulatory sequence class effects show negative selection signatures.

356 a, Scatter plot for allele-frequency-based analysis of each sequence class. The x-axis shows 1 - common 357 variant frequency (allele frequency > 0.01) across all 1000 Genome variants per sequence class, and the 358 y-axis shows the bidirectional variant effect constraint z-score, which is computed based on logistic 359 regressions predicting common variant (allele frequency > 0.01) from sequence-class-level variant effect 360 score for both positive and negative effects (Methods). Sequence classes with significant (Bonferroni-361 Hochberg FDR<0.05) bidirectional variant effect constraint are indicated with larger dots. 'L' sequence 362 classes are excluded due to lack of interpretation for their sequence-class-level variant effect scores. b, 363 Comparison of common variant frequencies for 1000 Genomes variants assigned to different sequence 364 classes and variant effect bins. The common variant threshold is >0.01 allele frequency across the 1000 365 Genomes population. Error bars show +/- 1 standard error (SE). The sequence-class-level variant effects 366 are assigned to 6 bins (+3: top 1% positive, +2: top 1%-10% positive, +1: top 10% -100% positive, -1: top 367 10% -100% negative, -2: top 1%-10% negative, -3: top 1% negative).

368 369

370 Sequence classes elucidate the tissue-specific regulatory architecture of GWAS traits

The population allele frequency analysis on sequence classes suggest that variants perturbing
regulatory sequence class activities are likely involved in human health and disease. Therefore,
to explore this hypothesis, we used GWAS data to delineate the genetic contribution of each
sequence class to diseases and traits.

375

376 Partitioned heritability from LD score regression (LDSR) has been a powerful tool for

understanding the genetic architecture of diseases and traits using GWAS summary statistics²⁹,
 including identifying enrichment of disease heritability in regulatory elements ^{29,30}. Previous

applications of LDSR use overlapping annotations,^{29–31} which allows for the joint analysis of

380 heritability contribution across a wide range of annotations and has generated significant insight

into a wide range of GWAS studies; however, such analyses cannot unambiguously partition

heritability across annotations. Because sequence classes are both non-overlapping and cover

nearly the entire genome, they provide a clear and more easily interpretable picture of the

regulatory architecture of diseases and traits. To show this, we estimated the proportion of

heritability explained by each sequence class for 47 GWAS traits in UK Biobank (UKBB)^{18,32}
 (Methods). Specifically, we applied LDSR and used a conservative estimate of the proportion of

heritability, subtracting one standard error and lower-bounding by 0. Our analysis of UKBB

388 GWAS revealed genetic signatures of sequence-class-specific regulatory functions (Figure 4,

- 389 Supplementary File 4).
- 390

391 Importantly, 'E' and 'P' sequence classes cover almost all classes that explain a high proportion

392 of heritability for GWAS traits and diseases--the same sequence classes inferred to be under

393 strong evolutionary constraints (Figure 3a, Supplementary File 4). We observed three main

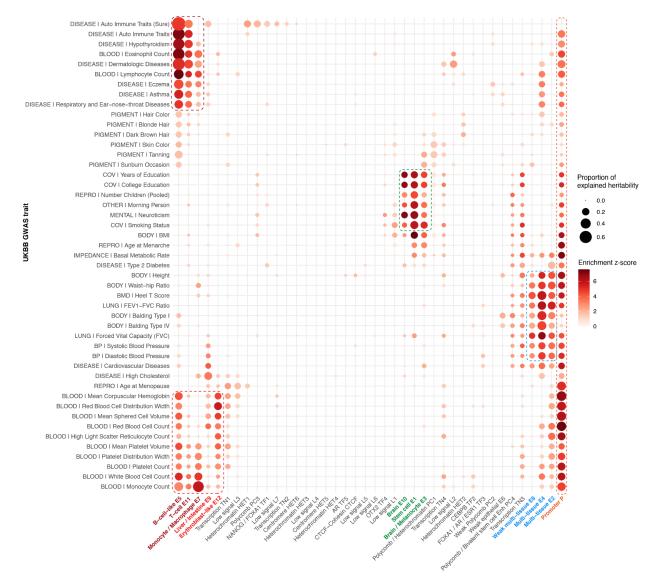
394 groups of traits that share similar heritability composition signatures across sequence classes.

395 The first group is blood-related traits, which contains two subgroups of immune-related and non-

396 immune-related traits. The majority of heritability signals in blood-related traits are explained by

397 enhancer classes for the relevant cell type(s), such as monocyte/macrophage enhancer (E7) for

- 398 *Monocyte Count*, B-cell-like enhancer (E5) for *Auto Immune Traits*, and erythroblast-like (red
- blood cell progenitor) enhancer (E12) for *Red Blood Cell Distribution Width*, which measures
- 400 the range of variation in red blood cell volume. Furthermore, autoimmune-related traits are
- selectively associated with the immune cell type enhancer sequence classes E5 (B-cell like), E11
- 402 (T-cell), and E7 (monocyte/macrophage), while erythroblast-like enhancer E12 is specifically
- 403 linked to red-blood-cell-related traits. Therefore, sequence classes can dissect the cell-type-
- 404 specific regulatory architecture of traits and diseases with heritability decomposition, even
- 405 without relying on gene-level information.
- 406
- 407 Cognitive and mental traits (Morning Person, Neuroticism, Smoking Status, Years of Education,
- 408 *College Education*) have similar sequence-class-level heritability decompositions as well; for
- 409 this second group of traits, heritability was mostly explained by brain enhancer (E10 and E3) and
- 410 stem cell enhancer (E1) sequence classes. The link to E1 is consistent with our observation that
- 411 E1 was also moderately enriched for active enhancer mark H3K4me1 in brain cell types (Figure
- 412 2a, Supplementary Figure 7) and is positively correlated with gene expression in brain tissues
- 413 (Figure 2b).414
- 415 The third group of traits is intriguingly diverse, including *Balding*, *Lung Forced Vital Capacity*,
- 416 *Waist-hip Ratio, Height, and Heel T-score.* The heritability of these traits are mostly explained
- 417 by multi-tissue enhancer classes (E4, E2, and E8), which show activity in epithelial cells,
- 418 fibroblast, muscle, and many other cell types. Enhancer activity across multiple tissues in the
- 419 body may explain the diverse phenotypes that are associated with these traits.
- 420
- 421 Beyond these three groups, there are a number of traits with unique heritability patterns that are
- 422 also linked to highly relevant sequence classes. For example, the *High Cholesterol* trait was most
- 423 associated with the liver and intestine enhancer sequence class (E9), which is consistent with the
- 424 physiology of cholesterol metabolism and known etiology of this condition³³. E9 was also linked
- 425 to red-blood-cell-related traits, in line with the role of liver in erythropoiesis.
- 426
- 427 Finally, the promoter sequence class P uniquely explained a sizable proportion of heritability in
- 428 nearly all traits, suggesting a near-universal involvement of promoter sequence variations in all
- 429 traits and diseases.



- 432 tissue-specific regulation. Partitioned genome-wide heritability in UKBB GWAS with all 40 sequence
 433 classes. The size of the dot indicates the proportion of heritability estimated from LDSR, which is
- 435 classes. The size of the dot indicates the proportion of heritability estimated from LDSR, which is434 conservatively estimated as one standard error below the estimated heritability proportion (bounded by 0).
- 434 Conservatively estimated as one standard error below the estimated heritability proportion (bounded by 0) 435 The color of the dot indicates the significance z-score of the fold enrichment of heritability relative to the
- 436 proportion of all SNPs assigned to the sequence class (bounded by 0). Colored boxes indicate traits
- 437 associated with blood (red), brain (green), multiple tissues (blue) and promoters (orange).
- 438
- 439
- 440 We next assessed whether our new sequence classes could explain GWAS heritability beyond
- that explained by annotations discovered in prior studies. To this end, we performed LDSR
- 442 analysis with our whole genome annotations of sequence classes conditioned on an up-to-date set
- 443 of previously identified baseline annotations (v2.2,
- 444 https://alkesgroup.broadinstitute.org/LDSCORE/). We uncovered 83 significant sequence-class-

⁴³¹ Figure 4. Sequence-class-based partitioning of GWAS heritability shows trait associations with

trait associations with a corrected p-value cutoff of <0.05 (Supplementary File 5). 70% of all

446 UKBB GWAS traits and 9/13 of the E and P sequence classes have at least one significant

447 association after multiple hypothesis testing correction (Supplementary File 5). This finding

- 448 suggests that sequence classes can identify extensive new regulatory signals that enrich GWAS
- 449 interpretation.
- 450

451 Disease mutations are predicted to disrupt the activities of sequence classes

452 Sequence-class-level effects enable the prediction of specific regulatory mechanisms at the 453 individual, pathogenic mutation level. To showcase our framework's capability to predict the 454 mechanisms of individual mutations, we used Sei to predict the direction and magnitude of 455 sequence-class-level mutation effects for all 853 regulatory disease mutations from the Human 456 Gene Mutation Database (HGMD)³⁴. For systematic classification and quantification of these 457 mutations, we assign each mutation to an affected sequence class based on its mutation effects

(the sequence class with the strongest score change) and the sequence that it alters (Methods).

459

460 Overall, the average variant effect score of disease mutations is 4.2x larger than the de novo 461 mutations in healthy individuals (0.903 vs 0.217, p<2.2e-16, Wilcoxon rank-sum test two-sided, 462 max absolute effect across sequence classes) and 6.5x larger than the 1000 Genomes common 463 variants with AF>0.01 (0.903 vs 0.139, p<2.2e-16). Here we focus on analyzing the mutations 464 with the strongest predicted effects (>1.1, n=138/853), where predicted effect refers to the 465 variant effect of the assigned sequence class for each mutation (Figure 5, Supplementary Figure 466 18). Because sequence-class-level variant effects are directional--that is, predicting whether the 467 alternative allele increases or decreases sequence-class level activity--we are able to discover that

468 while the majority (\sim 80%) of pathogenic mutations with strong predicted effects are predicted to

- 469 decrease sequence class activity, the remaining 20% of HGMD pathogenic mutations are
- 470 predicted to increase sequence class activity. Moreover, perturbations to E-, P-, and CTCF-
- 471 classes make up >99% of the mutations with strong predicted effects on sequence class activity

472 (Supplementary File 6): 44.9% are predicted to affect tissue-specific E sequence classes, 38.4%

are predicted to affect the P promoter sequence class, and interestingly, 15.9% are predicted to

474 affect the CTCF-cohesin sequence class (Methods).

475

We found that almost all mutations with strong predicted effects in cell-type-specific E sequence
classes contributed to diseases relevant to that same cell type (Figure 5, Supplementary File 6)-for most of these mutations, the nearby gene is known to be relevant to the disease but the
molecular mechanisms of regulatory disruption is unknown. For example, mutations causing
Protein C deficiency and Hemophilia B, two diseases characterized by the deficiency of specific
plasma proteins produced in the liver (protein C and coagulation factor IX, respectively), are
predicted to decrease E9 liver/intestine sequence class activities. Blood cell-type-specific

483 enhancer sequence classes are disrupted in distinct blood-related diseases and deficiencies

relevant to the corresponding cell type: the E12 erythroblast-like enhancer sequence class is

disrupted in red blood cell-specific diseases such as pyruvate kinase deficiency, erythropoietic

486 porphyria, delta-thalassemia, and beta-thalassemia; the E7 monocyte/macrophage-like sequence

487 class is disrupted in monocyte and macrophage-related chronic granulomatous disease; and the

488 E5 B-cell-like enhancer sequence class is disrupted in X-linked agammaglobulinemia, a

489 functional deficiency of B-cell. For developmental diseases, such as preaxial polydactyly

triphalangeal thumb and radial ray deficiency and triphalangeal thumb-polysyndactyly

- 491 syndrome, the E1 embryonic stem cell-specific enhancer sequence class is predicted to be
- 492 disrupted by mutations in a known distal enhancer of Sonic Hedgehog (SHH) (chr7:156583951

493 $G > A^{35}$, chr7:156583949 $G > C^{36}$), a gene that plays a crucial role in the positioning and growth of

- 494 limbs, fingers, and toes during development.
- 495

496 In addition, 38% of the regulatory mutations with strong predicted effects affect the activity of

the promoter sequence class P, including a hypercholesterolemia mutation near the LDLR gene

498 (chr19:11200089 C>T³⁷), a microcephaly & developmental delay mutation near the PIGY gene

499 (chr4:89444948 C>T³⁸), and a retinoblastoma mutation near the RB1 gene (chr13:48877851

 $G>T^{39}$). The high proportion of mutations perturbing the P sequence class likely reflects both the

- 501 critical role of promoters in diseases and the emphasis on promoter-proximal mutations in past 502 studies.
- 503

504 While the mutations we've discussed thus far are negative effect mutations which decrease 505 sequence class activity, 20% of HGMD pathogenic mutations are predicted to increase sequence 506 class activity. Indeed, these mutations included many known gain-of-function mutations, which 507 validated our predictions. The highest increase in sequence class activity was observed for a mutation (chrX:73072592 G>C) near the XIST gene that skews X-inactivation of the mutant 508 chromosome in females⁴⁰; this mutation was predicted to increase the activity of the CTCF 509 sequence class and has been experimentally validated to increase CTCF binding⁴¹. Similarly, 510 positive effect predictions for 'E' and 'P' sequence classes were also validated by previously 511 512 studied mutations: an alpha-thalassemia mutation near the HBM gene (chr16:209709 T>C⁴²) 513 known to create a GATA1 binding site and increase intergenic transcription was predicted to 514 increase the activity of the erythroblast-specific E12 sequence class, and a TERT gene mutation found in individuals with familial melanoma (chr5:1295161 T>G⁴³) was predicted to increase the 515 516 activity of P. Beyond this, many mutations predicted to have strong positive effects were not 517 previously understood. For example, a mutation near the HBG1 gene (chr11:5271262 A>G⁴⁴) that causes persistence of fetal hemoglobin is also predicted to increase the activity of the 518 519 erythroblast-specific E12 sequence class. Previously, this mutation was known to create an

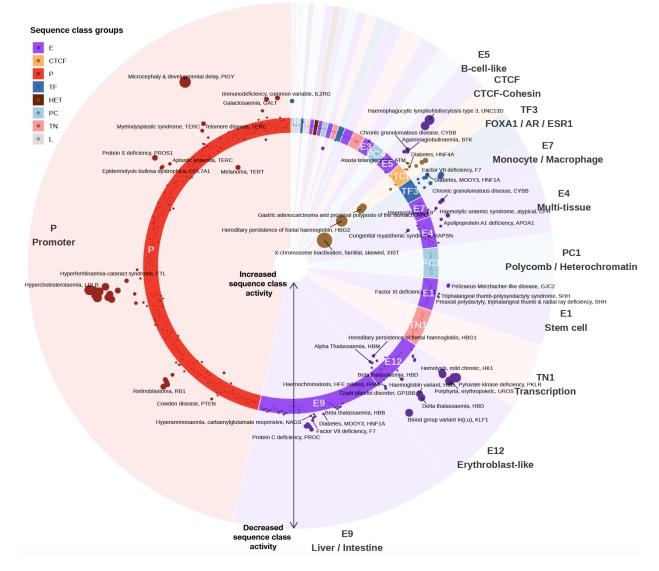
520 ATGCAAAT octamer⁴⁴ that matches the POU family transcription factor motif, but its

- 521 functional consequences were unclear.
- 522

Notably, even though pathogenic mutations from prior genetics studies are subjected to selection
bias, the observation of pathogenic mutations with strong impacts on E-, P-, and CTCF-

- 525 sequence classes are consistent with our estimation that regulatory sequence classes are under
- 526 strong evolutionary constraints, and strong disruptions to these classes are likely to cause health
- 527 consequences. We also note that the pathogenic mutations with strong positive effects on the
- 528 CTCF class do not contradict the population allele frequency analysis which inferred that further
- 529 increase of activity on the CTCF sequence class is generally tolerated, because all these
- 530 pathogenic mutations are located in sequences in other sequence classes, but their sequence class
- identities are altered to the CTCF class by these mutations. This is in contrast to the allele
- frequency analysis, which only focuses on variants located in sequences in the CTCF sequenceclass.
- 533 534

- 535 Therefore, sequence-class-level effects both corroborate existing regulatory mechanisms and
- 536 propose new mechanisms for individual pathogenic mutations. We expect our framework to be a
- 537 valuable tool in accelerating genetic discoveries of disease-causal mutations and their
- 538 mechanisms in the regulatory genome.



540 Figure 5. Disease regulatory mutations are predicted to disrupt promoter, CTCF, and tissue-

541 specific enhancer sequence classes. Sequence-class-level mutation effects of pathogenic noncoding

542 HGMD mutations are plotted. A polar coordinate system is used, where the radial coordinate indicates the

543 sequence-class-level effects. Each dot represents a mutation, and mutations inside the circle are predicted

to have positive effects (increased activity of sequence class), while mutations outside of the circle are

predicted to have negative effects (decreased activity of sequence class). Dot size indicates the absolute
value of the effect. Mutations are assigned to sequence classes based on their sequences and predicted

547 effects (Methods). Within each sequence class, mutations are ordered by chromosomal coordinates. The

associated disease and gene name are annotated for each mutation, and only the strongest mutation is

549 annotated if there are multiple mutations associated with the same disease, gene, and sequence class.

550

551

552 Discussion

553 We developed a genome-wide sequence-based map of regulatory activities using sequence classes, a vocabulary for genomic sequence activities discovered using a data-driven, systematic 554 555 method. Our deep-learning-based framework uses a compendium covering 21,907 publicly 556 available cis-regulatory profiles and the whole genome sequence to create a mapping from any 557 sequence to a comprehensive set of sequence classes. This provides a global sequence-based 558 view of sequence regulatory activities and allows for the quantitative prediction of variant effects 559 on sequence class activities. Sequence classes are a concise vocabulary of regulatory activities 560 that is interpretable, quantifiable, and easily analyzed globally (across all sequence classes) and 561 individually. To our knowledge, it is the first such attempt to systematically map regulatory

562 activities from any sequence.

563

We demonstrated that E- and P- sequence classes are strongly enriched in trait and disease GWAS heritability and under evolutionary constraints. Importantly, sequence classes provide insights into the mechanisms of individual pathogenic mutations by predicting effects on the function of tissue-specific enhancers, promoter activity, and long-range genome interactions (e.g. CTCF-cohesin sequence class). Using sequence-class-level variant effect predictions, we linked many pathogenic mutations to tissue-specific regulatory changes in the relevant tissues. These predictions point to potential mechanisms that can be experimentally tested in the future.

571

572 Sequence classes leverage a sequence model trained on most publicly available cis-regulatory 573 profile data; however, there remains substantial space for improvement as more data becomes 574 available. For example, we are still lacking data for many cell types, developmental stages,

transcription factors, and combinations of chromatin targets measured in new cell types or

576 conditions. More data that covers currently undercharacterized cell types and developmental

577 stages will likely enable the identification of still more cell-type-specific and developmental

578 stage-specific sequence classes, defining sequence classes with increasingly fine-grained

579 regulatory resolution. Furthermore, development of new computational methods to define, for

580 example, hierarchical or combinatorial representations of sequence classes may be needed to

581 make such fine-grained classes easy to interpret and use. Because interpretability and robustness

- 582 were our major goals in designing sequence classes, we chose to use clustering to generate the
- 583 sequence classes and a linear projection step to compute corresponding scores. It is conceivable
- that a more expressive model such as an end-to-end neural network can further improve
- sequence class predictions, and we expect that increasing the expressiveness of the model while
- 586 maintaining interpretability and robustness will be an interesting future challenge.
- 587

588This work demonstrates the potential of sequence classes to discover regulatory disruptions in

human diseases, through both the aggregation of genome-wide variant association signals and

- 590 prediction of the impact of individual mutations. We provide sequence classes and the Sei model 591 as a resource for further research into understanding the regulatory genetic landscape of human
- as a resource for further research mit understanding the regulatory genetic fandscape of human
- health and diseases. Our framework is applicable to any variant, regardless of whether it is
- 593 common, rare, or never previously observed, and we expect it to be a powerful tool for
- understanding the mechanistic effects of noncoding mutations in human health.
- 595
- 596 Methods
- 597

598 Training data

599 21,907 cis-regulatory profiles in peak format were compiled from the processed files of the

600 Cistrome⁵, ENCODE³, and Roadmap Epigenomics projects⁴. The Cistrome Project, which

601 systematically processed publicly available cis-regulatory profiles, contributed the majority of

the profiles predicted in Sei (19,905). We excluded profiles from Cistrome with less than 1000

- peaks. Genome sequences are from the GRCh38/hg38 human reference genome. The full list of
- 604 cis-regulatory profiles is available in Supplementary File 1.
- 605

606 Deep learning sequence model training

- The Sei model is trained to predict 21,907 transcription factor binding, histone marks, and DNA
- accessibility from cis-regulatory profile peaks at the center of 4kb sequences.
- 609

610 The model architecture is composed of three sequential sections: 1) a convolutional network with

611 dual linear and nonlinear paths, 2) residual dilated convolution layers, 3) spatial basis function

612 transformation and output layers. A detailed specification of the model is available in

- 613 Supplementary File 7 and in the code repository (https://github.com/FunctionLab/sei-framework,
- 614 downloadable from https://doi.org/10.5281/zenodo.4906996). In the convolutional architecture,
- 615 we introduced a new design composed of both linear and nonlinear convolution blocks. The
- 616 nonlinear blocks are composed of convolution layers and rectified linear activation functions
- 617 (ReLU), similar to regular convolutional networks. The linear blocks have the same structure as
- 618 the nonlinear blocks but do not include activation functions to facilitate learning of linear
- 619 dependencies. Each nonlinear block is stacked on top of a linear block with a residual connection
- adding the input of the nonlinear block to the output, allowing the computation to go through

621 either the linear or nonlinear path. Dilated convolutional layers with residual connections further

- 622 expands the receptive fields without reducing spatial resolution. Finally, spatial basis functions
- are used to reduce dimensionality of the spatial dimension while preserving the capability to
- 624 discriminate spatial patterns of sequence representations. Specifically, in the Sei model, a B-
- spline basis matrix (256x16) with 16 degrees-of-freedom across 256 uniformly-spaced spatial
- bins is generated and multiplied with the convolutional layers output to reduce the 256 spatial
- 627 dimensions to 16 spline basis function dimensions. After the spline basis function
- transformation, a fully-connected layer and an output layer are used for integrating information
- across the whole sequence and generating the final 21,907-dimensional predictions.
- 630
- Training, validation, and testing datasets are specified by different sets of chromosomes in the
- hg38 genome (holding out chromosome 8 and 9 for the test set and chromosome 10 for the
- validation set), and samples drawn uniformly across the hg38 genome for these partitions,
- excluding regions specified in the ENCODE blacklist⁴⁵. For training, we sampled training
- 635 sequences and their labels on-the-fly from the training set of chromosomes using Selene⁴⁶. As a
- result, almost all training samples are drawn from unique genomic intervals with distinct start
- and end positions to reduce overfitting during the training process. For each 4kb region, a
- 638 21,907-dimensional binary label vector is created for the 21,907 cis-regulatory profiles based on
- 639 whether the center basepair overlaps with a peak in each of the profiles. The model is
- 640 implemented in PyTorch and trained with Selene. A detailed training configuration file is
- available at https://github.com/FunctionLab/sei-framework/blob/main/train/train.yml.
- 642

643 Model performance

- 644 We computed the AUROC and AUPRC for all cis-regulatory profiles predicted by Sei on the test
- 645 holdout dataset, excluding profiles that had fewer than 25 positive samples in the test set.
- Additionally, to assess the correlation structure of the predictions, we compared the rank-
- 647 transformed pairwise Spearman's rank correlations for the predicted cis-regulatory profiles to the
- 648 pairwise correlations for the true labels (peak calls provided in Cistrome DB).
- 649
- 650 The model performance comparison between DeepSEA and Sei is computed on the 2,002 cis-
- regulatory profiles from Roadmap and ENCODE that both DeepSEA and Sei predict. Because
- both models have the same chromosomal test holdout (chr8 and chr9), we use the regions
- 653 specified in the DeepSEA test holdout set to create a common test dataset of sequences and
- labels on which to evaluate the models.
- 655

656 Sequence classes

- 657 We selected 30 million genomic positions that uniformly tile the genome with 100bp step size
- and then computed Sei predictions for 4kb sequences centered at each position. Sequences
- overlapping with ENCODE blacklist regions⁴⁵ or assembly gaps ("N"s) are removed. To process
- 660 the 30 million x 21,907 predictions matrix, the dimensionality is first reduced with principal

661 component analysis (PCA). The PCA transformations were fitted with incremental PCA using a 662 batch size of 1,000,000 for one pass of the whole dataset, and genomic positions were randomly

- assigned to batches. The top 180 principal components, scaled to unit variance, were used for 663
- 664 constructing a nearest neighbor graph where each node is connected to its k-nearest neighbors by
- 665 Euclidean distance (k=14). Louvain community clustering with default parameters was applied
- to the nearest neighbor graph with the python-louvain package, which resulted in 61 clusters. We 666
- 667 refer to the largest 40 clusters as sequence classes and exclude the remaining (smallest) 21
- 668 clusters, which constitute <2.6% of the genome, from our analyses due to their size. These 21
- clusters mainly display Low signal or Heterochromatin like enrichment (Supplementary Figure 669
- 670 19). We refer to this cluster assignment to sequence classes at 100bp resolution as sequence class 671 annotations. We visualized the genome-wide predictions by computing UMAP embedding with a
- 672 subsample of PCA-transformed Sei predictions of 30 million sequences, and then fine-tuned the
- 673 visualization with OpenTSNE. The detailed procedures are available in our code repository
- 674 (https://github.com/FunctionLab/sei-manuscript).
- 675

676 **Sequence class scores**

- 677 Each sequence class is represented as a unit vector in the 21,907-dimensional cis-regulatory
- 678 profile space, in the direction of the average prediction of all sequences assigned to this sequence
- class among the 30 million. In more formal notation, the vector for sequence class i is $v_i =$ 679
- $\frac{\overline{p_{s \in Sequence \ class \iota}}}{||p_{s \in Sequence \ class \iota}||_{2}}$, where p_{s} represents the 21,907-dimensional Sei prediction for sequence s. 680
- 681 Each Sei prediction can then be projected onto any sequence class vector to obtain a sequence
- 682 class-level representation of the prediction, which we call sequence class score or $score_{s,i} = p_s \cdot$
- v_i^T . In addition, predicted sequence-class-level variant effects are represented by the difference 683
- between the sequence class scores of the sequences carrying the reference allele and the 684
- 685 alternative allele, or $score_{v,i} = score_{alt,i} - score_{ref,i}$. To better represent predicted variant
- effects on histone marks, it is necessary to normalize for the nucleosome occupancy (e.g. loss-of-686
- 687 function mutation near TSS can decrease H3K4me3 modification level while increasing 688 nucleosome occupancy, resulting in an overall increase in observed H3K4me3 quantity).
- Therefore, for variant effect computation, we use the sum of all histone profile predictions as an 689
- 690 approximation to nucleosome occupancy and adjust all histone mark predictions to remove the
- 691 impact of nucleosome occupancy change (non-histone mark predictions are unchanged):

$$692 \qquad p^{hm*} = p^{hm}_{ref} \frac{\sum_{k} p^{hmk}_{ref} + \sum_{k} p^{hmk}_{alt}}{\sum_{k} p^{hmk}_{ref}}; p^{hm*} = p^{hm}_{alt} \frac{\sum_{k} p^{hmk}_{ref} + \sum_{k} p^{hmk}_{alt}}{\sum_{k} p^{hmk}_{alt}}$$

- where $\sum_{k} p^{hm^{k}}_{ref}$ represents the sum over all histone mark predictions (among 21907-693 dimensions of a prediction) for the reference allele. We generally exclude Low Signal sequence 694 695 classes in sequence-class-level variant effect analyses because they lack an intuitive biological interpretation.
- 696
- 697

698 Sequence class enrichment of chromatin profiles and genome annotations

- 699 We computed the log fold change enrichment of various chromatin profiles and genome
- annotations for each sequence class based on sequence class annotations (described above, see
- ⁷⁰¹ 'Sequence classes'). Log fold change enrichment is computed by taking the log ratio of the
- 702 proportion of a sequence class intersecting with the annotation versus the background proportion
- of the annotation, where we consider all regions assigned to any sequence class. We computed
- enrichment for all 21,907 profiles predicted by Sei, filtered the chromatin profiles for each
- sequence class to only those having Benjamini-Hochberg corrected p-values (Fisher's exact test,
- two-sided) below 2.2e-16, and selected the top 25 profiles based on log fold change enrichment.
- 707 Cistrome Project profile enrichment is computed over 2 million random genomic positions.
- 708
- 709 The annotation of centromere repeats is obtained from the UCSC RepeatMasker track, and
- annotations of histone marks over multiple cell types are obtained from the Roadmap
- 711 Epigenomics project--enrichments for both of these sets of annotations are computed over the
- 712 entire genome. In addition, we obtained ChromHMM chromatin states from ENCODE ³ and
- 713 tissue and cell-type-specific DHS vocabulary from ²⁵.
- 714

715 Enhancer sequence class correlations with cell-type-specific gene expression

- 716 Tissue expression profiles are from GTEx²⁶, Roadmap Epigenomics⁴, and ENCODE³ and
- 717 transformed to log-scale RPKM (reads per kilobase per million reads mapped) scores as
- 718 previously described¹³ and normalized by tissue-average. Specifically, a pseudocount was added
- before log transformation (0.0001 for GTEx tissues, which are averaged across individuals, and
- 720 0.01 for Roadmap and ENCODE tissues). After log transformation, the average scores across
- tissues were subtracted for each gene; as a result, the processed scores represent log fold change
- 722 relative to tissue-average.
- 723
- 724 Gene-wide expression prediction is evaluated on sequence class annotations (from Louvain
- community clustering) for positions within +/-10kb of the TSSs for these genes. For each
- enhancer sequence class and tissue, we compute the Spearman correlation between the sequence
- 727 class annotation coverage and gene expression.
- 728

729 Correlation between regulatory sequence class variant effects and directional eQTL

730 variant effect sizes

- 731 We collected the eQTLs within +/-5kb of gene TSSs from GTEx v8, combined across all GTEx
- tissues, and computed the Spearman correlation between the top 15k variant effect predictions
- for each sequence class and the eQTL variant effect sizes (averaged across multiple tissues if the
- variant is an eQTL in multiple tissues). The p-values are derived from the Spearman's rank
- correlation test (two-sided) and BH correction is applied. Low Signal and Heterochromatin
- rd sequence classes are excluded from this analysis due to lack of interpretation for their variant
- 737 effect scores in this context.
- 738

- Additionally, we collected fine-mapped GTEx eQTLs from eQTL Catalogue²⁷ and obtained
- sequence class scores for eQTLs with posterior inclusion probability > 0.95. Variants are
- assigned to sequence classes based on the sequence class annotation for the reference genome
- 742 (i.e. variants are not further selected based on variant effect predictions). For each sequence
- class, we computed the Spearman correlation between the sequence class scores and the eQTL
- variant effect sizes in the same way we describe above.
- 745

746 Evolutionary constraints on variant effects

- 747 We computed sequence-class-level variant effects for all 1000 Genomes project phase 3
- variants²⁸. Variants are assigned to sequence classes based on the 100bp resolution genome-wide
- assignment derived from Louvain community clustering as described above. For each sequence
- class we divide variants into 6 bins based on their effects in the same sequence class as
- 751 illustrated in Figure 3, and summarize common variant (AF>0.01) frequencies in each bin by
- mean and standard error of the mean. We also estimated statistical significance of allele
- 753 frequency dependency on sequence-class-level variant effects. For each sequence class, we
- applied logistic regression separately for positive effect and negative effect variants, to predict
- common variants (AF>0.01) from the absolute value of sequence-class-level variant effect score,
- and obtained the significance z-score of the regression coefficient of variant effect. The
- bidirectional evolutionary constraint z-score is defined as the negative value of the combined z-
- scores from positive and negative effect variants with Stouffer's method.
- 759

760 Partitioning GWAS heritability by sequence classes

- UKBB GWAS summary statistics were obtained from ¹⁸. To study the association of sequence
 class genome annotation and sequence class variant effects and trait heritability, we performed
 partitioned heritability LD score regression (LDSR) as described in ²⁹. To partition the
- heritability as sums of heritability explained by each sequence class, we run LDSR with only
- sequence class annotations and a baseline all-ones annotation. We obtained the estimated
- 766 proportion of h^2 explained by each sequence class and its standard error with LDSR as
- implemented in https://github.com/bulik/ldsc. As the estimated proportions can have high
- variance or even be negative (the true value of heritability explained can only be non-negative),
- 769 we use a robust and conservative estimator which is the estimated proportion of h^2 subtracted by
- one standard error, then lower-bounded by zero (the standard error of the estimated proportion of
- 771 h^2 explained is given by LDSR and estimated with the block jackknife procedure as described in 772 ²⁹).
- 773
- To assess the contribution of sequence classes to explaining additional heritability when
- conditioned on known baseline annotations, we also run LDSR with the baseline annotations
- 776 (v2.2, https://alkesgroup.broadinstitute.org/LDSCORE/). The p-values are derived from the
- coefficient z-score, and BH correction is applied.
- 778

779 Sequence class-level variant effect analysis of noncoding pathogenic mutations

- 780 We obtained all mutations assigned "DM" and "regulatory" annotation in the Human Gene
- 781 Mutation Database (HGMD) database (2019.1 release). RMRP gene mutations are excluded
- because they are likely pathogenic due to impacting RNA function instead of regulatory
- 783 perturbations, despite being annotated to the regulatory category in HGMD. For every mutation,
- 784 we predicted the sequence class scores for both the reference and the alternative allele and
- computed the sequence-class-level variant effect as the predicted scores for the alternative allele
- subtracting the scores for the reference allele. To provide an overview of sequence-class level
- reffects of human noncoding pathogenic mutations, mutations are first assigned to sequence
- classes based on the sequence class annotations of the mutation position. For mutations with a
- strong effect in a different sequence class than the originally assigned sequence class (absolute
- value higher than the original sequence class by >1 absolute difference and >2.5 fold relative
- difference), we reassign the mutation to the sequence class with the strongest effects.
- 792

793 Code and data availability

- The Sei framework code is provided in https://github.com/FunctionLab/sei-framework, and the
- model and associated data files downloadable by following the instructions in the GitHub
- repository. Code and data for the manuscript results are available at
- 797 https://github.com/FunctionLab/sei-manuscript.
- 798

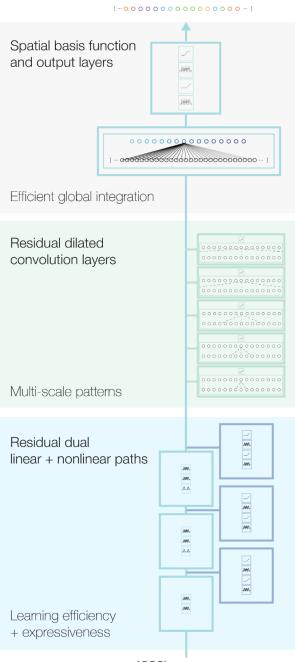
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- 811

812 Author Contributions

- 813 K.M.C. and J.Z. conceived the Sei framework, developed the computational methods, and
- 814 performed the analyses. A.K.W. developed the Sei web server. K.M.C., J.Z., and O.G.T. wrote
- 815 the manuscript.
- 816
- 817
- 818

819 Supplementary Figures



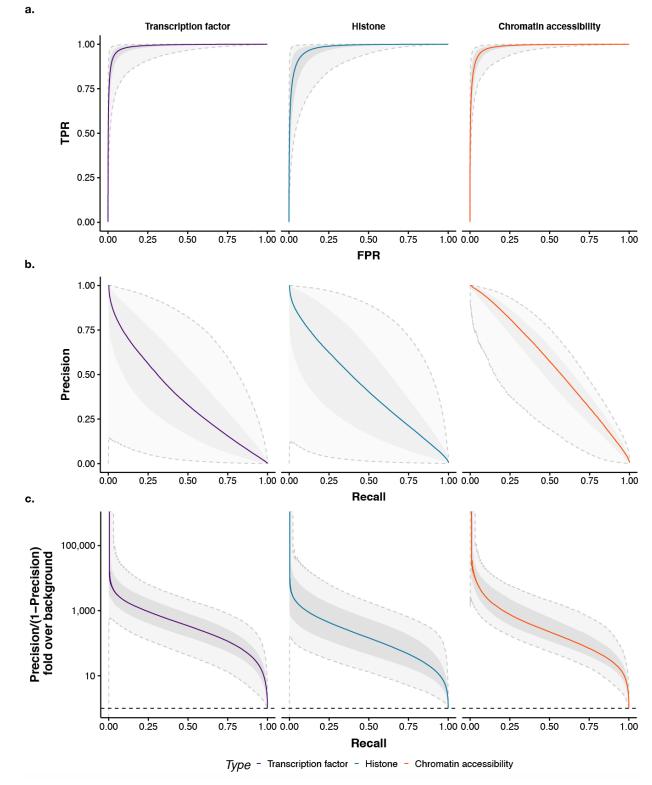
21,907 Chromatin profile targets

4096bp sequence

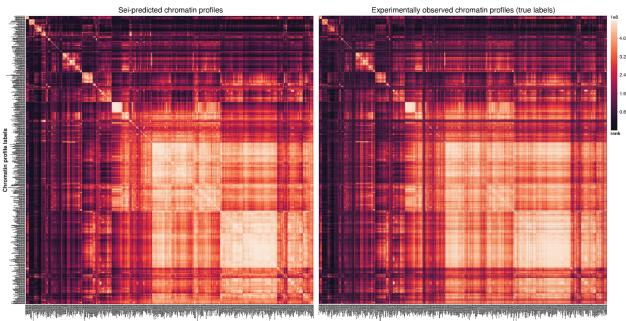
820

821 Supplementary Figure 1. Schematic overview of Sei model architecture. 4096bp sequences, one-hot

encoded, are the input to the model (bottom) and the predicted 21,907 cis-regulatory profiles are theoutput (top).



825 Supplementary Figure 2. Sei model performance on predicting 21907 cis-regulatory profiles on
826 holdout chromosomes.



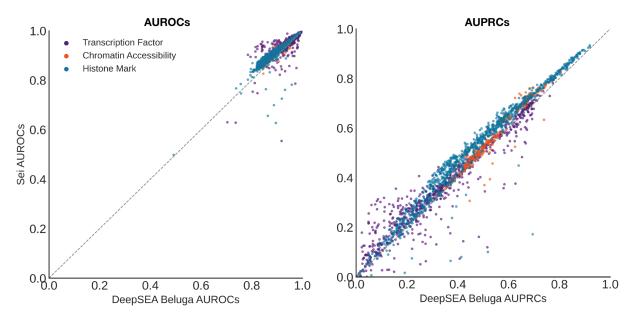
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under the state of the state of

829 Supplementary Figure 3. Visualizing the rank-transform of pairwise Spearman correlations for the
 830 21,907 cis-regulatory profiles in Sei. Sei model predictions share a highly similar correlation structure

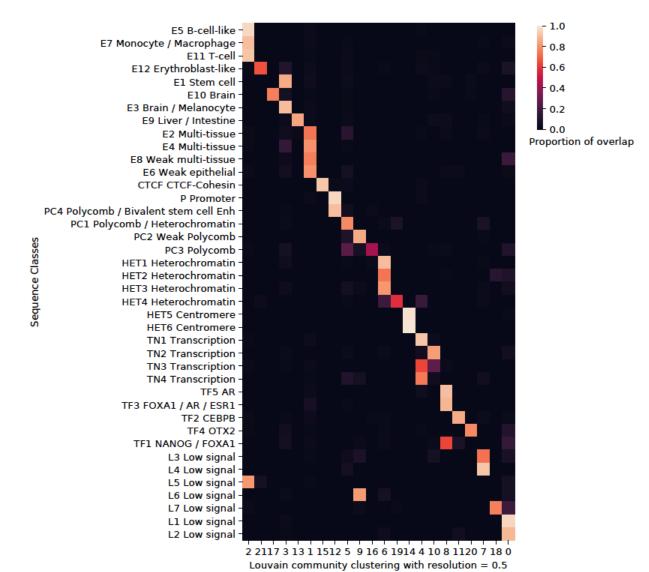
831 with the experimental observations.

832



834 Supplementary Figure 4. Sei model performance comparison with DeepSEA. Performance on the
 835 shared 2002 DeepSEA "Beluga" (2018) cis-regulatory profiles are compared.

836





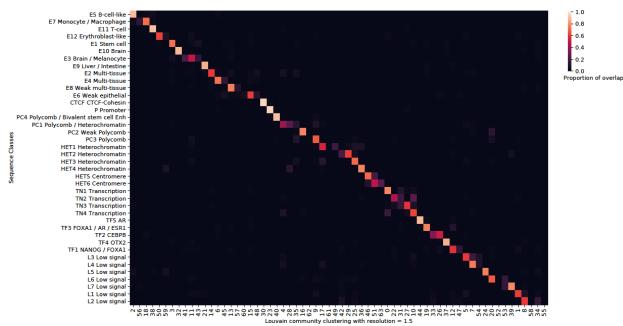
838 Supplementary Figure 5. Comparison of sequence classes and Louvain community clustering with

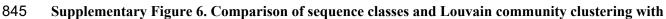
resolution = 0.5. For each sequence class, the proportion overlap was computed between sequence

840 classes and a lower resolution clustering for Louvain community clustering. The lower resolution

841 clustering is largely consistent with the original sequence classes, with some clusters combining several

related enhancer sequence classes into one.



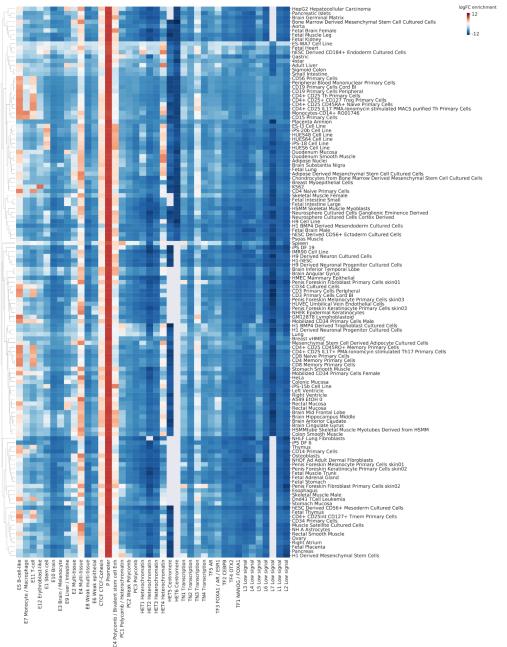


resolution = 1.5. For each sequence class, the proportion overlap was computed between sequence

847 classes and a higher resolution clustering for Louvain community clustering. The higher resolution

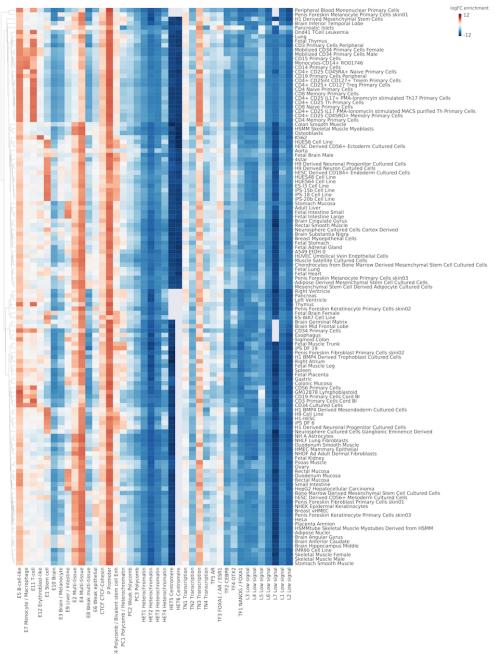
848 clustering closely resembles the current sequence class clusters.

849



H3K4me3 Roadmap Epigenomics tracks

- 851 Supplementary Figure 7. Enrichment of tissue/cell type-specific H3K4me3 (promoter mark)
- 852 profiles in sequence classes. Log fold change enrichment over genome-average background is shown in
- the heatmap. No overlap is indicated by the gray color in the heatmap.
- 854



H3K4me1 Roadmap Epigenomics tracks

855

856 Supplementary Figure 8. Enrichment of tissue/cell type-specific H3K4me1 (enhancer mark) profiles

in sequence classes. Log fold change enrichment over genome-average background is shown in theheatmap. No overlap is indicated by the gray color in the heatmap.

- 859
- 860



H3K27ac Roadmap Epigenomics tracks

861

862 Supplementary Figure 9. Enrichment of tissue/cell type-specific H3K27ac (enhancer mark) profiles

863 in sequence classes. Log fold change enrichment over genome-average background is shown in the

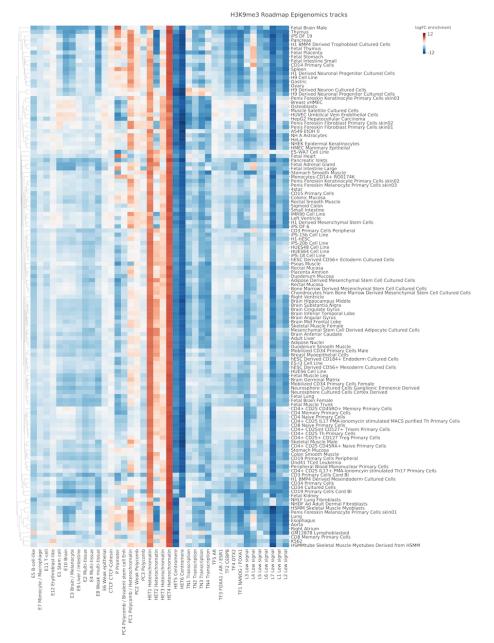
heatmap. No overlap is indicated by the gray color in the heatmap.

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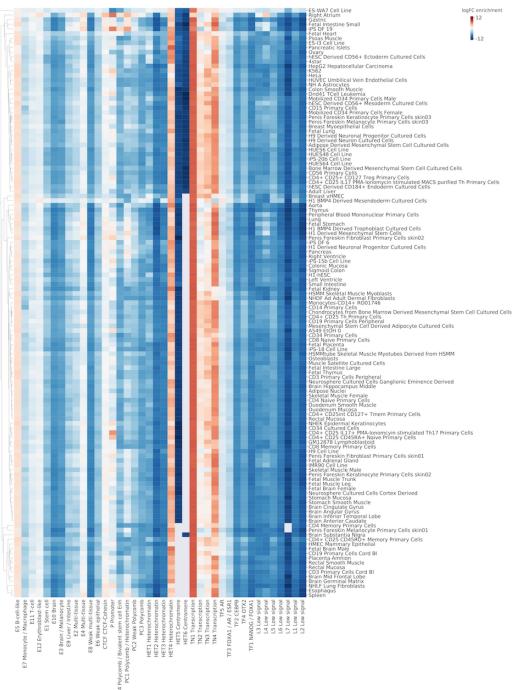


868 Supplementary Figure 10. Enrichment of tissue/cell type-specific H3K27me3 (Polycomb mark)

- 869 profiles in sequence classes. Log fold change enrichment over genome-average background is shown in
- the heatmap. No overlap is indicated by the gray color in the heatmap.
- 871
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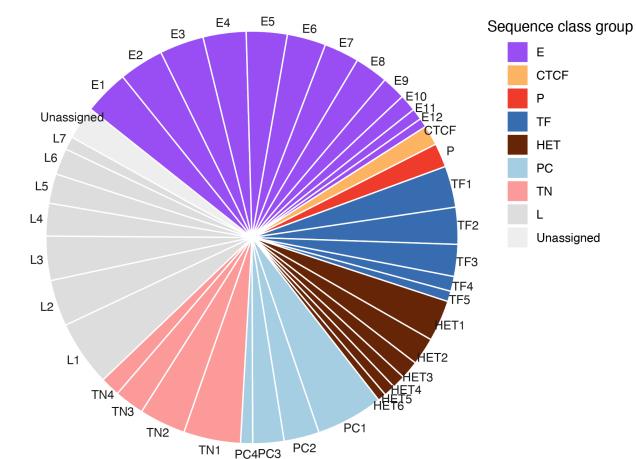
- 875 Supplementary Figure 11. Enrichment of tissue/cell type-specific H3K9me3 (heterochromatin
- 876 mark) profiles in sequence classes. Log fold change enrichment over genome-average background is
- shown in the heatmap. No overlap is indicated by the gray color in the heatmap.
- 878



H3K36me3 Roadmap Epigenomics tracks

880 Supplementary Figure 12. Enrichment of tissue/cell type-specific H3K36me3 (transcription mark)
 881 profiles in sequence classes. Log fold change enrichment over genome-average background is shown in

- the heatmap. No overlap is indicated by the gray color in the heatmap.
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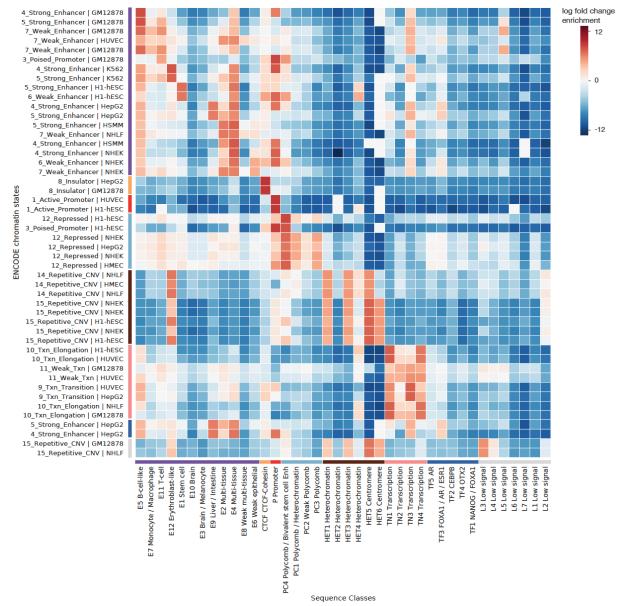
887

889 Supplementary Figure 13. Genome sequence proportion covered by each sequence class. The

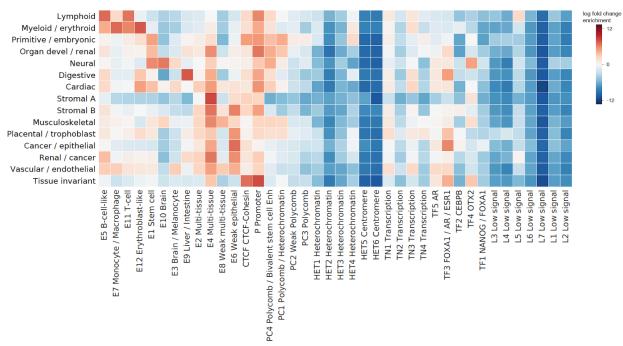
890 proportion of each sequence class is shown in the pie chart. Genome-wide sequence class assignments

891 were based on Louvain clustering of Sei predictions of sequence tiling the genome with 100bp step size.

- 892 The clusters unassigned to sequence classes due to the small size (below top 40 clusters) were categorized893 as "Unassigned".
- 894
- 895
- 896

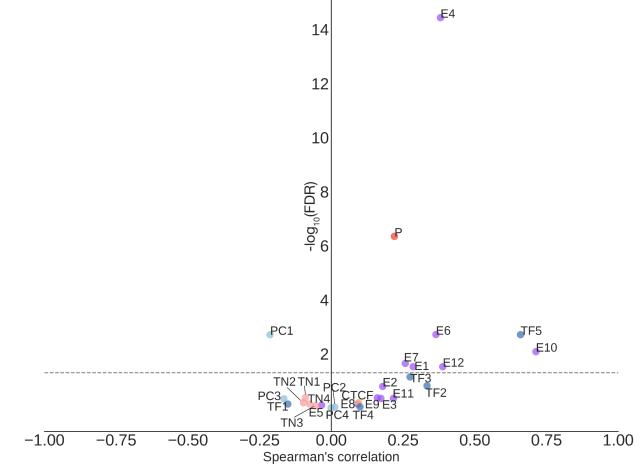


897 Sequence Classes
 898 Supplementary Figure 14. Sequence-class-specific enrichment of ENCODE chromatin states. Log
 899 fold change enrichment over genome-average background is shown in the heatmap. Top 2 chromatin
 900 states enriched were selected for each sequence class.



903 Supplementary Figure 15. Sequence-class-specific enrichment of tissue-specific DHS vocabulary ²⁵.

904 Log fold change enrichment over genome-average background is shown in the heatmap.

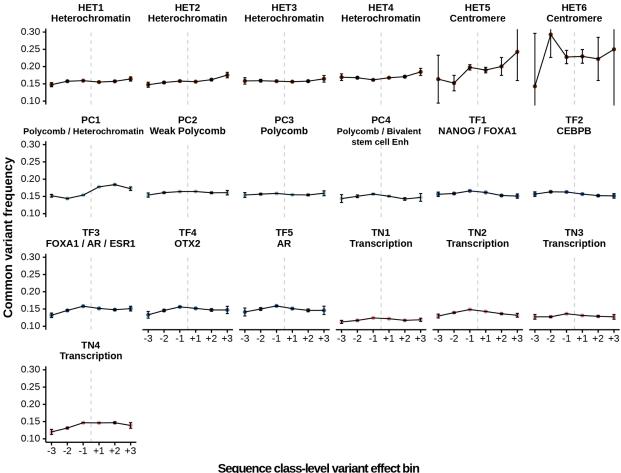


905

906 Supplementary Figure 16. Regulatory sequence-class-level variant effects for SNPs with PIP > 0.95

907 are predictive of directional GTEx variant gene expression effects. Variants assigned to sequence

- 908 classes based on the sequence class annotation for the reference genome. The x-axis shows Spearman
- 909 correlations between the predicted sequence-class-level variant effects and the signed GTEx variant effect
- 910 sizes (slopes) and the y-axis shows the corresponding log10 p-values. The dotted gray line denotes the
- 911 Benjamini-Hochberg FDR < 0.05 threshold.





Sequence class-level variant effect bin

913 Supplementary Figure 17. Population allele frequency profiles for variants in heterochromatin,

914 low signal, polycomb, and transcription sequence classes. Comparison of common variant frequencies
 915 of 1000 Genomes variants assigned to different sequence classes and variant effect bins. The common

of 1000 Genomes variants assigned to different sequence classes and variant effect only. The common

variant threshold is >0.01 allele frequency across the 1000 Genomes population. Error bars show +/- 1
 standard error(SE). The sequence-class-level variant effects are assigned to 6 bins (+3: top 1% positive,

918 +2: top 1%-10% positive, +1, top 10% -100% positive, -3: top 1% negative, -2: top 1%-10% negative, -1,

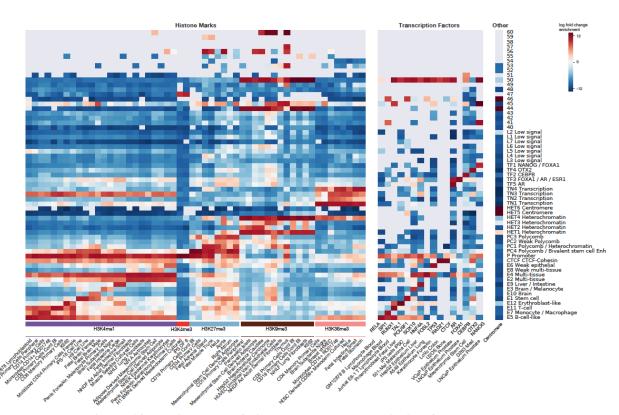
919 top 10% -100% negative).

Absolute sequence-class-level variant effect score	Effect direction				com	a ^e N ^{4e}		å	¢.,		- auto	s d	P	avalent.	sement	air.	S. all	nall rail	6					19	>	ater	٢		
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Pyruvate kinas	e deficiency, PKLR, 1:155271259 C>G mild chronic, HK1, 10:71075518 A>G			Ŧ							+																		
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	i thalassaemia, HBD, 11:5255793 C>T haemoglobin, HBG1, 11:5271262 A>G			:				1																					
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Alph	haemoglobin, HBG2, 11:5276186 A>G a Thalassaemia, HBM, 16:209709 T>C		-	:							-																		
	ariant In(Lu), KLF1, 19:12998078 A>G Ispherocytic, KLF1, 19:12998108 G>A			•				1		1	1																		
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Facto	like disease, GJC2, 1:228337561 A>G XI deficiency, F11, 4:187186995 G>A					1	1	1	1	1	1	11	1								1		1					-	1
Triphalangeal thumb-polysyndact eaxial polydactyly, triphalangeal thumb & radial r	ly syndrome, SHH, 7:156583949 G>C ay deficiency, SHH, 7:156583951 G>A					1				Ħ																			
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Congenital myasthenic	syndrome, RAPSN, 11:47470715 G>C syndrome, RAPSN, 11:47470726 T>C					1		1	+	tt	1												+						
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astric adenocarcinoma and proximal polyposis of	the stomach, APC, 5:112043225 G>A	+	+					+		ł		+ +												-					
	early-onset, PRKN, 6:163148706 C>G Melanoma, CDKN2A, 9:21974875 G>T									. ;																			
	haemoglobin, HBG2, 11:5276213 G>C langiectasia, ATM, 11:108093770 A>G		1	•		1		•		1	••																		È.
	s, MODY1, HNF4A, 20:42984264 G>A Diabetes, HNF4A, 20:42984276 C>T							1			+																		
	nilial, skewed, XIST, X:73072592 G>C		+	• •		+	• •	÷		•	÷	H				+					+	• •	-	-		+		+	-
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	6 deficiency, PROS1, 3:93692761 G>A tic anaemia, TERC, 3:169482399 C>T		1	:		1	: :	:		1	1						: :				1	11		1		1			1
	ary fibrosis, TERC, 3:169482524 C>A tic anaemia, TERC, 3:169482777 G>C						• •	+		t	+																		
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Ataxia, isolated vitami	n E deficiency, TTPA, 8:63998581 G>A felanoma, CDKN2A, 9:21974847 G>A						• •	÷		• •	+										+	• •							
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Deafness, autosomal	tophrenia, NRGN, 11:124609907 C>G recessive 1, GJB2, 13:20767158 G>A		+	•		1		1																					-
	tinoblastoma, RB1, 13:48877851 G>A tinoblastoma, RB1, 13:48877851 G>T							:		11			1			1	: :		1		1	::	1	11		:		1	
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	act syndrome, FTL, 19:49468575 C>T oetal loss, PROCR, 20:33759640 T>G											11		Ħ		1					ţ		1			1		+	ŧ.
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922 Supplementary Figure 18. Predicted sequence class-level variant effects for HGMD regulatory

923 disease mutations. HGMD regulatory disease mutations with sequence-class level variant effect924 score >1.1 are included.

- 0.05
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926

927 Supplementary Figure 19. Enrichment of histone marks, transcription factors, and repeat

annotations for the full set of 61 clusters output by Louvain community clustering. Log fold change
 enrichment over genome-average background is shown in the heatmap. No overlap is indicated by the
 gray color in the heatmap. Top 1-2 histone mark and TF annotation enrichments were selected for each
 sequence class.

932

933 Supplementary File 1. The list of 21907 cis-regulatory profiles and Sei prediction performance.

934

935 Supplementary File 2. Summary of tissues and cell types covered by the Sei model.

936

937 Supplementary File 3. Top 25 enriched Cistrome Project chromatin profiles for each sequence

938 **class.** Log fold change enrichment over genome-average background is shown in the heatmap. No overlap

939 is indicated by the gray color in the heatmap. We computed the enrichment for all 21,907 profiles

- 940 predicted by Sei over 2 million random genomic positions. For each sequence class, the chromatin
- 941 profiles are filtered to those having Benjamini-Hochberg corrected p-values (Fisher's exact test, two-
- sided) < 2.2e-16 selecting the top 25 profiles based on log fold change enrichment.
- 943

944 Supplementary File 4. Partitioned UKBB GWAS heritability by sequence classes using LDSR. The

- proportions of heritability are represented by the LDSR estimate 1 standard error, lower bounded by 0.
- 946 The LDSR enrichment z-scores are also lower bounded by 0.

947 948 949		plementary File 5. Significant UKBB GWAS trait - sequence class associations identified with SR conditioned on the baseline annotations.										
950 951 952 953 954	Supplementary File 6. Predicted sequence class-level variant effects for HGMD regulatory disease mutations. HGMD regulatory disease mutations with sequence-class level variant effect score >1.1 are included.											
954 955 956	Supplementary File 7. Detailed Sei model architecture specification.											
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