The effects of a synthetic and biological surfactant on community composition and

metabolic activity of a freshwater biofilm

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Abstract

Surfactants are used to control microbial biofilms in industrial and medical settings. Their known toxicity on aquatic biota and their longevity in the environment has encouraged research on biodegradable alternatives such as rhamnolipids. While previous research has investigated the effects of biological surfactants on single species biofilms, there remains a lack of information regarding the effects of synthetic and biological surfactants in freshwater ecosystems. We conducted a mesocosm experiment to test how the surfactant sodium dodecyl sulphate (SDS) and the biological surfactant rhamnolipid altered community composition and metabolic activity of freshwater biofilms. Biofilms were cultured in the flumes using lake water from Lake Lunz in Austria, under high (300 ppm) and low (150 ppm) concentrations of either surfactant over a four-week period. Our results show that both surfactants significantly affected microbial diversity. Up to 36% of microbial operational taxonomic units were lost after surfactant exposure. Rhamnolipid exposure also increased the production of the extracellular enzymes, leucine aminopeptidase and glucosidase, while SDS exposure reduced leucine aminopeptidase and glucosidase. This study demonstrates that exposure of freshwater biofilms to chemical and biological surfactants caused a reduction of microbial diversity and changes in biofilm metabolism, exemplified by shifts in extracellular enzyme activities.

Introduction

In aquatic ecosystems, microorganisms live preferentially in microbial biofilms and act as major drivers of the biogeochemical cycling of carbon, nitrogen, and other nutrients (Paul *et al.* 1991; Decho 2000; Dang and Lovell 2016; Flemming *et al.* 2016; Battin *et al.* 2016). In streams and rivers, microbial biofilms play an important role in the carbon cycle (Lyon and Ziegler 2009; Qu *et al.* 2017). Microorganisms within biofilms are able to break down dissolved organic matter and utilize the dissolved organic carbon (DOC) within the compounds (Romani *et al.* 2003). Aquatic biofilms are then able to produce large quantities of carbon dioxide through mineralization to release back into the atmosphere (Kroukamp and Wolfaardt 2008). Similarly, depending on the nitrogen levels in the system, microbial biofilms resident in fluvial sediments can substantially reduce inorganic nitrogen (N) loads in N-rich aquatic ecosystems through denitrification (Zhang *et al.* 2016; Cui *et al.* 2017). In N-limited aquatic systems, they may help to facilitate the transfer of N to higher trophic levels (Dodds *et al.* 2000).

While microbial biofilms play an important ecological role, they are also of concern in industrial and medical applications. For example, biofilms can facilitate the growth of antibiotic resistant bacteria (Lindsay and von Holy 2006; Srey *et al.* 2013; Bowler *et al.* 2020). Synthetic surfactants, such as sodium dodecyl sulphate (SDS), are widely used in personal care and cleaning products. They reduce biofilm growth in a wide range of industrial, medical, and environmental contexts (Waaler *et al.* 1993; Landa *et al.* 1999; Rigotti *et al.* 2017; Sloup *et al.* 2016; Ueda *et al.* 2019). Surfactants are amphiphilic chemicals that reduce surface tension within a liquid through the formation of micelles. Micelles occur when molecules form an aggregate with hydrophobic tails on the inside (Schmitz 2018) and hydrophilic heads on the outside of an amphiphilic compound (Milovanovic *et al.* 2017). An early study estimated the

global use of surfactants at 36.4 million tons per year (Hauthal 2004; Mungray and Kumar

2009), suggesting that synthetic surfactants may be commonly transferred into freshwater

ecosystems. A previous report by the European Commission (Thornton *et al.* 2001) has

highlighted surfactants as one of the top contaminants found in wastewater treatment effluent.

Given the mass of surfactants produced every year, it can well be anticipated that surfactants still

represent some of the most abundant chemicals in municipal and industrial wastewater outflow.

Wastewater treatment plants (WWTPs) have been observed to have very high levels of

surfactants in their inflows (for example, up to 1900 μg L^{-1} for linear alkylbenzene sulfonates

and 40,000 μg L^{-1} for alkyl ethoxysulfates (Gomez *et al.* 2011)) with removal rates of only 40-

90% during waste-water treatment (Gomez *et al.* 2011; Kruszelnicka *et al.* 2019). Similarly,

surfactant levels of raw water intakes of drinking water treatment plants (DWTPs) can be high

(up to 49 μg L^{-1} for linear alkylbenzene sulfonates and 114 μg L^{-1} for alkyl ethoxysulfates) with

only partial removal of surfactants by DWTPs (Gomez *et al.* 2011). Finally, high levels of

surfactants have also been identified in surface sediments obtained near wastewater treatment

plant discharge areas into coastal waters, such as the Jamaica Bay of New York (Lara-Martín *et*

al. 2012). This underlines the presence and persistence of surfactants in aquatic ecosystems

downstream of urban and industrial environments.

Research on the effects of surfactants on microbial biofilms has primarily focused on

single-species biofilms or those commonly found in medical and industrial environments. Direct

applications of the anionic synthetic surfactant SDS has shown significant disruption of growth

and development of *Pseudomonas aeruginosa* biofilms (Díaz De Rienzo *et al.* 2016; Nguyen *et*

al. 2020). Exposure to SDS has also decreased biofilm density and flattened its shape (Robinson

et al. 2006). Effects on other single species or medical biofilms have also been investigated

including methicillin-resistant *Staphylococcus aureus* (Ueda *et al.* 2019)*, Pseudomonas*

fluorescens (Simoes *et al.* 2008)*,* and *Salmonella enterica* serovar *typhimurium* (Mireles II *et al.*

2001). Inhibited biofilm formation was seen to occur within all investigations.

The use of synthetic surfactants has been questioned due to the potential environmental

impact, specifically the longevity and toxicity to aquatic biota. For example, previous research

has shown that synthetic surfactants, such as Triton X-100 (Octylphenol Ethoxylate), are not

easily biodegradable under aerobic nor anaerobic conditions (Mohan *et al.* 2006). Other synthetic

surfactants such as SDS have also been noted as toxic to a variety of microorganisms such as

Vibrio fischeri, fish and shellfish such as *Clarias batrachus* and *Mytilus galloprovincialis,* yeast,

and also small invertebrates, such as *Daphnia magna,* and *Tigriopus fulvus* (Mariani *et al.* 2006;

Lima *et al.* 2011; Franzetti *et al.* 2012; Messina *et al.* 2014; Cao *et al.* 2020; Kumar *et al.* 2020).

Research has therefore shifted towards identifying a natural alternative that may be less harmful

to the aquatic environment.

Biofilm-dwelling microorganisms naturally produce a number of surfactants to control

the surrounding extracellular polymeric substance (EPS) matrix. The most common substances

of this group are rhamnolipids and sophorolipids which are glycolipids formed by the

combination of either a long-chain aliphatic acid or hydroxyaliphatic acid with a carbohydrate

(Desai and Banat 1997, Marchant and Banat 2012a). When exposing single-cell biofilms of *P.*

aeruginosa to rhamnolipids, growth and development were disrupted, and cell viability was

reduced (De Rienzo *et al.* 2015). Disruptions by rhamnolipids have also been shown for single

species biofilms of *Staphylococcus aureus* (Quinn *et al.* 2013; Aleksic *et al.* 2017; Ceresa *et al.*

2021b; Tambone *et al.* 2021)*, Bacillus pumilus* (Dusane *et al.* 2010)*, and Rhodococcus*

erythropolis (Schreiberova *et al.* 2012). Yet, rhamnolipids are biodegradable in a variety of

environments, including under aerobic and anaerobic conditions (Mohan *et al.* 2006; Naughton

et al. 2019; Ramos da Silva *et al.* 2019). Biosurfactants in general have also been reported to act

as an antibiofilm agents (Banat *et al.* 2014). Biological surfactants can also function in more

varied environmental conditions such as large pH and temperature ranges (Muthusamy *et al.*

2008; Marchant and Banat 2012b). Given that previous research primarily addressed the effects

of biological surfactants on single species biofilms of bacteria relevant to medical settings, there

remains a knowledge gap on how the exposure of multi-species freshwater biofilms to

surfactants affects biofilm microbial community composition and function.

There is a growing body of evidence that anthropogenic trace contaminants, and

contaminants of emerging concern (CECs), such as pharmaceuticals, herbicides, and

antimicrobial agents can have major impacts upon the composition and functioning of aquatic

microbial communities (Rosi-Marshall *et al.* 2013; Argudo *et al.* 2020; Harjung *et al.* 2020;

McClean and Hunter 2020; Ke *et al.* 2020; Kusi *et al.* 2020). Exposure to contaminants such as

the antibacterial agent Triclosan (Hay *et al.* 2001; Drury *et al.* 2013) and the herbicide Diuron

(Sumpono *et al.* 2003; Seghers *et al.* 2003) have been observed to place microbial communities

under a selective pressure that favors microorganisms that can use these contaminants as an

additional carbon source. Given that levels of surfactants and their metabolites are consistently

among the top contaminants identified within wastewater influent and groundwater (Lara-Martín

et al. 2014; Schaider *et al.* 2014), it is reasonable to infer that they could represent a major

control upon the microbial communities within human affected inland waters. However,

although it is likely that surfactants are placing significant pressure on aquatic microbial

communities, research on these effects is lacking. A brief systematic review was carried out to

identify how large a gap there was surrounding all research performed on various emerging

chemicals. Using the terms, "contaminant of emerging concern" and "pharmaceutical", there are

140 results from Wiley, 425 from the Agricultural and Environmental Science Collection, and

111 from the Earth, Atmosphere, and Aquatic Science Collection. Two other searches were then

carried out to determine the research output of other non-surfactant CECs. Using "pesticide"

instead of "pharmaceutical" as a search term produces 30, 163, and 35 results respectively and

using "personal care product" produces 30, 55, and 55 results respectively. From these searches

alone it is obvious that there is a preference for research concerning pharmaceuticals, although

larger inequalities are shown when surfactants are also examined. The terms "contaminants of

emerging concern" and "surfactant" only produces 7 articles in the Wiley Online Library, and 8

results in the both the Agricultural and Environmental Science Collection, and the Earth,

Atmosphere, and Aquatic Science Collection. There is a clear dip in research output for

surfactants, a chemical that is becoming increasingly more common and whose various effects

on aquatic biofilms are still relatively unknown.

This study investigated the effects of the synthetic surfactant SDS and the biological

surfactant rhamnolipid on microbial biofilm community composition, microbial diversity, and

metabolic activity. Using recirculating flume mesocosms, we aimed to answer the following

overarching scientific question: What are the effects of a synthetic and biological surfactant on a

natural aquatic microbial biofilm, and how do these effects compare? We hypothesized that

biofilm exposure to a surfactant will decrease bacterial biodiversity, as the surfactants impact

microbial community composition and causes the loss of sensitive bacterial taxa as previous

research has shown that chemical exposure can place microbial communities under selective

pressure. Secondly, we hypothesize that the resultant changes in microbial community

composition will alter biofilm metabolic activity. We planned to test these hypotheses by

quantifying changes in community composition through 16s rRNA analysis and changes in

biofilm structure and metabolic activity through different analytical methods, such as changes in

gross primary productivity (GPP), community respiration (CR), ash free dry mass (AFDM),

Carbon: Nitrogen ratios (C:N), and extracellular enzyme activity (EEA).

Materials and Methods

Experimental Set up

We set up 15 recirculating experimental flumes near Lunz Am See, Austria following the

method described by Roche *et al.* (2017). Each flume was 2.5m long and had an approximate

slope of 0.8%. In each flume, ~5L of water recirculated, which was renewed with unfiltered lake

water twice per week. We added rhamnolipid (RL) (R90-100G, Sigma-Aldrich, Darmstadt,

Germany) and SDS (sodium dodecyl sulphate, Sigma-Aldrich, Darmstadt, Germany) as

treatments of 150 and 300 ppm to three flumes each (hereafter referred to as either RL 150, RL

300, SDS 150, or SDS 300), while three flumes acted as controls. After the first week, 5 μg L^{-1}

of phosphorus in the form of Na_2HPO_4 was also added to help growth. Surfactant concentrations

were chosen to represent the effective dose of rhamnolipid, as well as double that concentration.

Due to malfunction of one flume, *n* for the rhamnolipid treatments was reduced to 2. Treatments

were randomly placed among flumes. Artificial light was spread equally over all flumes and light

density averaged 9.5 μmol m^{-2} s^{-1} and was the maximum even light density achievable with the

provided light sources. We used a light cycle of 12:12 and 18:6 (Cheah and Chan 2021) hours of

light versus dark early and later in the experiment to mimic natural light cycles at first and then

to encourage biofilm growth later in the experiment. Each flume was equipped with microscope

slides (6) and clay tiles (8) to quantify biofilm growth.

We measured pH, dissolved oxygen (DO) (mg/L), water temperature (°C), and flow (by

bucket measurement) every other day to ensure stable conditions. Twice per week we analysed

DOC, ammonium (NH_4), NO_x, and PO_4 of flume water to ensure they remained stable. In

addition, water temperature was monitored by automatic loggers in drainage tanks. After 32 days

the experiment was stopped due to adequate biofilm growth observed, and biofilm samples were

retrieved from the flumes for further analysis.

Sample Collection

Two microscope slides were removed from each flume and placed in 120mL Schott

bottles with oxygen sensors. Bottles were filled with filtered lake water and sealed to remove all

air bubbles. Three control bottles with no slides were also set up to test for potential activity

within the filtered lake water with control activity subtracted from treatments during analyses.

All bottles were placed in an Imago 500 Controller Environmental Chamber (Snijders Scientific

B.V., Tilburg, Netherlands) using a 12:12 light cycle for 72 hours. Oxygen measurements were

taken at the start and end of each light and dark cycle to obtain data on GPP and CR.

We determined AFDM by weighing 2 clay tiles per flume after drying (9h at 70°C), and

combustion (4h at 450°C). AFDM was obtained by subtracting the remaining ash weight from

the dry weight. Two tiles from SDS 150 flumes were damaged during drying, and AFDM could

not be determined. Biofilms covering two tiles per flume were then scraped into sterilized and

pre-weighed 2mL Eppendorf tubes using a sterile razor blade, lyophilised (-0.001mbar; -76□)

(Martin Christ, Gefriertrocknungsanlagen GmbH, Osterode am Harz, Germany) and then

analysed on a Thermo Scientific FLASH 2000 Elemental Analyser (Thermo Fisher Scientific

GmbH, Bremen, Germany) to quantify carbon (% C) and nitrogen (% N) content. Quantities of C

and N by mass were then examined in ratios to identify changes in ecological stoichiometry (the

relationship between elements, energy, and organisms and their interactions in an ecological

system (Sterner and Elser 2002; Van de Waal *et al.* 2018)).

EEA of β-D-1,4-glucosidase (glucosidase), phosphatase, and leucine-aminopeptidase

were measured in the biofilms following the method described in Romaní and Sabater (2001).

Four mL of sterile water was added to approximately 0.5 g of biofilm per flume that was scraped

from the tiles. The mixture was homogenized by shaking. EEA was measured via artificial

substrates: Glucosidase and phosphatase using 4-methylumbelliferyl (MUF)- substrates (MUF-β-

D-glucopyranoside and MUF-phosphate, respectively (Sigma-Aldrich, Darmstadt, Germany))

and leucine-aminopeptidase using a 7-amino-4-methylcoumarin (AMC)- substrate (L-leucine-

AMC, Sigma-Aldrich, Darmstadt, Germany). Artificial substrates were added to the homogenate

at a final concentration of MUF-glucosidase; 135 mg L^{-1}, MUF-phosphatase; 102.46 mg L^{-1}, and

AMC-leucine-aminopeptidase; 129.94 mg L^{-1} and incubated for 1 hour in the dark. Fluorescence

was measured at the beginning and end of the incubation with a plate reader (Varioskan Flash,

Thermo Fischer Scientific, Vaanta, Finland) at 365/455 nm excitation/emission wavelengths for

the MUF-substrates and 380/440 excitation/emission wavelengths for the AMC-substrate.

Two microscope slides per flume were scraped using sterile procedures into a sterile

1.5mL microcentrifuge tube for 16s rRNA analysis. DNA was immediately extracted from

collected samples using the Dneasy Power Soil Kit (Qiagen GmbH, Hilden, Germany) following

the manufacturer's methodology. We included internal replicates per flume (*n*=2), and

experimental controls were used to control for any potential unaccounted sample contamination.

Extracted products were sent to LGC Biosearch Technologies (LGC Genomics GmbH, Berlin,

Germany). DNA was quantified using gel electrophoresis before amplifying the V3-V4 region of

the 16s rRNA gene with polymerase chain reactions using 341F and 785R primers. Resultant

DNA were sequenced using the Illumina Mi-Seq platform (300 bp paired-end reads) for

amplicon creation.

We used Mothur version 1.44.3 (Schloss *et al.* 2009), and the Mothur MiSeq SOP

(Kozich *et al.* 2013) with some modification, to analyze returned reads. A maximum

homopolymer of 8 was chosen due to the limitation of the reference database (SILVA version

132) with a 97% similarity and above required for identification of operational taxonomic units

(OTUs). UCHIME (Edgar *et al.* 2011) was also used to identify and remove chimeric fragments.

Sequences that could not be directly identified through SILVA, were manually run through

NCBI's BLAST (Madden 2002). We were able to identify all but one OTU with 97% similarity;

OTU005 is thus reported as 'unnamed' in this study.

Data analysis

All data, except the 16s rRNA and C:N results, met assumptions of normality and

homogeneity of variance. We considered $p < 0.05$ as significant and analyzed data using R

version 4.0.3 (R Core Team 2020) and the R packages car (Fox and Weisberg 2019), vegan

(Oksanen *et al.* 2020), ggplot2 (Wickham 2016), and entropart (Marcon and Herault 2015). Non

metric multidimensional scaling analyses (NMDS) were run using the PAST 3 software

(Hammer *et al.* 2001). Due to replication error early in the experiment only the controls and the

300ppm concentration variables were used in statistical difference testing. All GPP and CR data

were normalized to mg O_2 in 120mL per mg of biofilm in 12 hours before being analyzed. C:N

data was normalized to mass (mg C/N per mg biofilm). A one way analysis of variance

(ANOVA) analysis was used to test for significant differences among treatments and the controls

for the GPP, CR, and AFDM. A Kruskal-Wallis test was used to test for significant differences

among the C:N ratios. Coefficients of variation were also calculated for individual flumes for

each analysis.

Changes in EEA were analysed through both a distance-based redundancy analysis (db-

RDA) and three one-way ANOVAs, one per extracellular enzyme. All the data was used within

the db-RDA and only the maximum concentrations of each chemical and control were used for

analysis in the ANOVA. Where appropriate, we applied a Tukey HSD post-hoc test to the

ANOVA output.

Bacterial OTUs were analyzed for alpha and beta diversity as well as differences in the

overall bacterial community composition. We used the Chao1 (Chao 1984) and the Shannon-

Wiener Diversity Indices to quantify bacterial Alpha diversity (Jost 2007). Chao 1 and Shannon-

Wiener results were then analyzed using an ANOVA followed by a Tukey HSD post-hoc test to

identify differences in diversity among treatments. Following this, all singletons and doubletons

were removed, and the data was rarefied based on the smallest number of sequences identified

within a sample. Beta diversity was then analysed using a non-metric multidimensional scaling

analysis (NMDS) on all data present to identify differences in the biofilm bacterial community

among treatments and controls. An analysis of similarities (ANOSIM) was performed with 999

permutations to identify if these differences were significant. Families and genera were

compared graphically, focusing on the top 80% of OTUs identified within the biofilms.

Results

Primary productivity and community respiration

Lake control bottles had an average primary productivity of 0.0304 ± 0.0256 mg O_2 in

120mL and average community respiration of -0.016 ± 0.0177 mg O_2 in 120mL. We found no

significant differences in primary productivity (ANOVA, $F=0.022$, $p=0.978$) and community

respiration (ANOVA, $F=0.148$, $p=0.865$) of the treatments versus the controls (Figures 1A, 1B).

All treatments showed substantial variation among replicates (Figure 1), with the most

pronounced variability being present in respiration. Coefficients of variation were highest for

respiration in the controls (1.56, see Table 1) and lowest (0.021) in the rhamnolipid 150

treatment. Rhamnolipid treated systems overall had the lowest variation for CR, while the

controls had the highest.

Ash free dry mass and C:N ratios

We found no significant difference among treatments and controls (ANOVA $F=0.045$,

$p=0.957$) for AFDM. The coefficients of variation, however, showed differences in variation

among the treatments (Table 1), with higher surfactant concentrations decreasing variation (e.g.,

RL 150 has greater variation than RL 300) (Figure 1C). Control variation was comparable to

both low dose surfactant variations. However, C (ANOVA $F=18.36$, $p=0.0028$) and N (ANOVA

$F=5.471$, $p=0.044$) content was significantly different by mass among treatments (Figure 2A and

Figure 2B). Differences lie between the RL 300 and the control for both C (Tukey HSD

$p=0.0063$) and N (Tukey HSD $p=0.041$) content, and between SDS 300 and the control for C

(Tukey HSD $p=0.0036$) content.

We found no significant differences among treatments and controls for C:N ratios by

mass (Kruskal-Wallis $p=0.193$) present in the biofilms (Figure 2C). There were differences in

variation among treatments and controls (Table 1). Rhamnolipid treated flumes had lower

variation than either SDS treated flumes or the controls, however within each treatment a higher

surfactant concentration increased variation.

Bacterial community composition

Shannon-Wiener Diversity was significantly different among treatments (ANOVA

F=71.702, p<0.0001, Tukey HSD rhamnolipid and control; p=0.043, SDS and control;

p<0.0001, SDS and rhamnolipid; p<0.001) with a higher diversity in the control flumes, second

highest in the rhamnolipid flumes, and lowest in the SDS flumes. Significance was driven by

surfactant presence and type, but not concentration. The control had the greatest bacterial

diversity, while both rhamnolipid treatments had the next highest, and the lowest belonged to

both SDS treatments (Figure 3A). Chao1 had no significant differences (ANOVA F=3.7748,

p=0.087).

By testing beta diversity and community differences on the rarefied data, we identified

distinct groupings between all treatments and controls, as evident by the NMDS (Figure 3B). The

ANOSIM results confirmed the differences among both surfactants and the controls (R=0.9112,

p=0.001). Community differences were further identified by looking at only the taxa in the top

80% of all taxa present across all treatments, which was 18 taxa. We identified several genera

that were heavily dominant in rhamnolipid-treated flumes. These were: *Brevundimonas spp*.,

OTU005, *Pseudomonas,* and *Arthrobacter*. In SDS flumes we observed *Brevundimonas terrae,*

Flavobacterium spp. A, and in the control flumes *Exiguobacterium, Arenimonas,* and

Stenotrophomona. We also identified 32 genera only present in the controls, 5 there were

exclusive to RL 150, 6 in RL 300, 19 in SDS 150, and 7 in SDS 300 (Figure 3C).

Total OTUs within each treatment and OTUs within the top 80% of all taxa per treatment

were also calculated (Table 2). The control flumes had the greatest number of OTUs present, and

the greatest number of OTUs within their top 80% of taxa. The SDS and rhamnolipid flumes had

similar numbers of total OTUs present, but the SDS flumes had 80% of their total OTUs within

half the number of taxa as the rhamnolipids. This indicated a large difference in evenness

between treatments, while the total number of OTUs was fairly similar.

Extracellular Enzyme Activity

According to the db-RDA, EEA differed among all treatments and controls, with the

exception of the rhamnolipid treatments. The differences in db-RDA-distances were mainly

caused by the differences in EEA of leucine aminopeptidase and phosphatase, although the

results were not significant (ANOSIM F=2.9907, p=0.058). While phosphatase did not have any

significant differences among treatments (ANOVA F=2.934 p=0.129), with the exception of

SDS 150 addition of any surfactant decreased the production of phosphatase when compared to

the control (Figure 4A). Rhamnolipid additions yielded a significantly higher production of

glucosidase, while SDS additions reduced production (ANOVA F=5.741 p=0.0404, Tukey HSD

p=0.034) (Figure 4B). The effects of RL 300 and SDS 300 on leucine aminopeptidase were also

significantly different (ANOVA F=5.914 p=0.038, Tukey HSD p=0.032). The addition of

rhamnolipid yielded a higher amount of the extracellular enzymes when compared to the control

and the addition of SDS reduced production (Figure 4C).

Discussion

Our experiment showed that exposure to biological and synthetic surfactants alters the

metabolic activity and the community composition of a mixed-species freshwater biofilm. The

effects of SDS were more pronounced than the effects of rhamnolipid. Our experiment is the first

study to our knowledge that examines the effects of these two surfactants on a multi-species

near-natural freshwater biofilm, which contrasts with the commonly performed single-species

studies. This study was also novel as it directly compared the effects of a synthetic and a

biological surfactant and explicitly studied for the first time the C:N ratios and C and N contents

of biofilms that are exposed to surfactants.

Primary Productivity, Respiration, Ash Free Dry Mass, and C:N ratios

Although our results showed biofilms had no differences in GPP or CR in any treatments

or controls, chemical exposure decreased biofilm respiratory activity in previous experiments,

including surfactant exposure (Simoes *et al.* 2008) and pharmaceutical exposure (Rosi-Marshall

et al. 2013). Biofilm volume has also been reduced after a single surfactant exposure event, with

decreases of 26.5-98.1% occurring between 22-48 hours after exposure (Mireles II *et al.* 2001;

Quinn *et al.* 2013). Typically, biosurfactants, which are amphiphilic polymers, can affect biofilm

surface attachment through altering surface wettability (Rodrigues *et al*. 2006). Biosurfactants

such as rhamnolipids are able to make cell surfaces more hydrophobic through loss of fatty acids

such as lipopolysaccharides (Al-Tahhan *et al.* 2000). Amphiphilic anionic surfactants work

similarly through altering surface characteristics and changing solubility of certain compounds

(Cserháti *et al*. 2002). Surface changes then can alter a biofilm's ability to adhere to a surface,

reducing growth and development. However, previous work on surfactant effects have also

shown that biofilms demonstrate resistance and can recover full respiratory activity 12 hours

after the initial exposure (Simoes *et al.* 2008) and biomass 5 hours after initial exposure (Nguyen

et al. 2020). As our experiment lasted 32 days, we believe that GPP, CR, and AFDM could have

stabilized and recovered full respiratory activity as seen in previous studies (Simoes *et al.* 2008;

Nguyen *et al.* 2020).

Altered C:N ratios are indicative of changes in microbial productivity (where reduced

C:N ratios may yield greater productivity) (Li *et al.* 2016) and biofilm formation (where lower

C:N ratios increase biofilm volume) (Thompson *et al.* 2006). As we did not have any alterations

in C:N ratios, and no changes in productivity (GPP and CR) or growth (AFDM) among any

treatments or controls, our results support these studies. The addition of surfactants provided an

added source of C, however as there were consistent C:N ratios across all flumes it can be

assumed that our system was C limited. It is also likely that a thickened EPS matrix, which may

be used as a defense mechanism in biofilms in response to surfactant exposure, could be another

source of extra carbon (Jefferson 2004; Zakaria and Dhar 2020). In contrast, in systems where C

is not limiting, an increase in C inputs through surfactants may increase C:N ratios, and therefore

negatively affect biofilm productivity and growth.

Diversity and bacterial community composition

Our controls had taxa present that were minimally identified within the surfactant flumes.

These taxa included species (spp.) of *Exiguobacterium*, *Acinetobacter, Arenimonas,* and

Stenotrophomonas. Exiguobacterium is currently researched as an emerging human pathogen

and while susceptible to antibiotics appears to easily develop antibiotic resistance (Chen *et al*.

2017). *Acinetobacter* contains species such as *A. baumanni* that are very well known as human

pathogens and that demonstrate high antibiotic resistance (Rice 2008; Tewari *et al*. 2018).

However, it appears that some species may not have resistance to biological surfactants as its

presence in rhamnolipid treated flumes was extremely low, although certain species of

Acinetobacter had an increase in abundance within the SDS treated flumes. Similar results

appear with *Stenotrophomonas* as it has human pathogenic potential, and species that have

developed multi drug resistance, but appears to be sensitive to both biological and synthetic

surfactants (Chang *et al*. 2015). In our study the addition of either surfactant created an

environment unsuitable for these bacteria, demonstrating how surfactant exposure can alter

biofilm community composition and potentially help to control certain pathogenic species that

are demonstrating resistance to antibiotics. The control flumes had the highest amount of OTUs

present (*n*=203) and the highest diversity, which indicates that both the diversity and abundance

of bacteria present in the biofilms decreases after surfactant exposure.

Rhamnolipids are studied for their ability to reduce pre-existing biofilms and prevent

future biofilm growth with some success (Quinn *et al.* 2013; Dusane *et al.* 2010; De Rienzo *et al.*

2015; Satpute *et al.* 2018). Current research has shown that rhamnolipid exposure on soil

bacteria can shift community composition, although many studies do not examine differences to

the genus level (Lu *et al.* 2019; Wei *et al.* 2020; Akbari *et al.* 2021). Research has also shown

that aquatic microbial communities will shift in response to an outside contaminant with an

increased abundance of bacteria that are not sensitive to that contaminant or can use it as a C

source (Hay *et al.* 2001; Sumpono *et al.* 2003; Seghers *et al.* 2003; Drury *et al.* 2013). Our study

showed that, much like soil communities and aquatic communities exposed to other

contaminants, aquatic biofilms experience significant community changes after exposure to

rhamnolipids.

Further examination into the bacterial genera indicate large increases in a *Brevundimonas*

spp., *Pseudomonas* spp., *Arthrobacter* spp., and OTU005 when compared to all other treatments

and to the controls. One OTU of *Arthrobacter* spp. was only present within the rhamnolipid

flumes, with the exception of one control flume that had low levels of that particular OTU.

Brevundimonas spp., who are opportunistic pathogens (Ryan and Pembroke 2018) have

experienced accelerated growth in the presence of rhamnolipids before when testing the effects

of rhamnolipids on increasing bioremediation in soils (Lu *et al.* 2019). It is likely that these

genera were able to use rhamnolipid as a C source due to the large C quantities within

rhamnolipids (Mnif *et al.* 2018). Their adaptability may explain why they were able to increase

in abundance over time when compared to the other genera present.

SDS caused the largest reduction in microbial diversity when compared with the other

treatments and controls. SDS has been researched and used for its ability to degrade biofilms in

applications where biofilms are undesirable (Izano *et al.* 2007; Ueda *et al.* 2019; Nguyen *et al.*

2020). This is particularly due to their ability to bind to hydrophobic protein components,

introducing a negative charge to the structure which unfolds the bound protein (Gudiksen *et al.*

2006; Fong and Yildiz 2015). While rhamnolipids are also able to denature proteins, they are

considered weak binders, and typically have a reduced denaturing ability when compared to

many other surfactants (Otzen 2017). This may explain why biofilms exposed to SDS had lower

diversity than those in the rhamnolipid treatments or the controls. SDS also had the lowest

amount of OTUs within the top 80% of taxa present in the treatments. We believe that the low

diversity, and community domination by few taxa, demonstrates that SDS exposure creates an

inhospitable environment to many potential specialist taxa. The few remaining and insensitive

taxa can then thrive as more resources are available. Following our findings, these taxa would

include *Brevundimonas terrae,* and an *Acinetobacter* and *Flavobacterium* spp. While the

rhamnolipid treated flumes also had less diversity than the controls, the rhamnolipid treatments

still appeared to favor more taxa and a higher diversity than the SDS treated flumes.

 Extracellular Enzyme Activity

Our experiment showed that substantial changes in biofilm EEA can occur after

surfactant exposure. Although phosphatase activity, or the separation of phosphate groups from a

larger compound such as an acid or protein (Margalef *et al.* 2017), generally decreased after

surfactant exposure, we did have similar levels of activity between the control and SDS 150

flumes. Examination of the bacterial communities within SDS 150 flumes and control flumes

show several parallel genera present that are not as common in the other systems, including

Nocardioides. Treatments were laid out randomly across our experimental flumes within the

experimental setup, and water was allocated to all systems from the same source, which

minimized potential experimental alterations by relative location. We thus assume that all

changes in community composition and EEA refer to the actual biofilm response to treatments.

The presence of a low concentration SDS allowed for the development of bacterial genera like

Nocardioides which typically have both acid and alkaline phosphatase (Xie *et al.* 2017).

However, the addition of high concentration SDS, or rhamnolipid at either a high or low

concentration, reduced phosphatase activity.

Beta-glucosidase (rate-limiting enzyme that converts cellulase to glucose (Singh *et al.*

2016)) and leucine aminopeptidase (enzyme that aids in the hydrolysis of leucine and other N-

terminal residues at the end of peptides and proteins (Matsui *et al.* 2006)) activities were also

affected by surfactant exposure. Previous research has shown that rhamnolipids can act as an

enhancer for the enzyme beta-glucosidase (Zhang *et al.* 2009; Yao *et al.* 2020). Rhamnolipids

are able to protect proteins and limit the degradation of cellulase (Zhang *et al.* 2009; Wang *et al.*

2011). Yin *et al.* (2019) showed that the presence of rhamnolipid can increase genes related to

leucine and amino acid synthesis. The addition of rhamnolipid to our flumes likely protected

cellulase and leucine in the system which led to enhanced beta-glucosidase and leucine

aminopeptidase activity. Beta-glucosidase is also known for its ability to degrade *Pseudomonas*

aeruginosa, a rhamnolipid producing bacteria (Banar *et al.* 2019; Pirlar *et al.* 2020). The flumes

treated with rhamnolipid had a higher abundance of *P. aeruginosa* when compared with the other

flumes.

We assume therefore, that the higher beta-glucosidase levels within the rhamnolipid

flumes were likely caused by a combination of the presence of rhamnolipid to act as an enhancer,

and more abundant *P. aeruginosa.* However, we observed somewhat contrasting results in the

SDS treated flumes, where a decrease in enzyme activity occurred. SDS is an anionic surfactant

that can be used in denaturation of polypeptides (Bhuyan 2009; Schlager *et al.* 2012). SDS is

commonly used in an analysis called SDS-polyacrylamide gel electrophoresis (SDS-PAGE)

where an SDS infused gel is used to separate proteins and enzymes based on their molecular

weight. This analytical method has been used with experiments examining beta-glucosidase

previously (Laemmli 1970; Bai *et al.* 2013), which demonstrates that SDS is able to denature

beta-glucosidase. It is possible that the denaturing ability of SDS has degraded beta-glucosidase

and leucine aminopeptidase, leading to reduced enzyme activity, and likely also reduced biofilm

metabolic activity.

Conclusion

Our experiment showed that both the biologic surfactant rhamnolipid and the synthetic

surfactant, SDS, can significantly affect a mixed freshwater microbial biofilm in terms of

community composition and EEA. Our original hypotheses predicted changes to bacterial

biodiversity, and biofilm metabolic activity after exposure to either surfactant. Rhamnolipids

acted as an enhancer for beta-glucosidase and leucine aminopeptidase, increasing EEA within

the biofilm, while SDS likely denatured the enzymes and reduced EEA within the biofilm. While

there were no differences in GPP and CR, EEA changes can be used as a proxy for community-

scale metabolic activity within the biofilms, there were alterations in biofilm metabolic activity

post surfactant exposure, supporting one of our original hypotheses. Both surfactants also

decreased the diversity of the biofilm bacterial community, which supports our other original

hypothesis. There were unique genera in the biofilms of each treatment, including the controls

which demonstrates that the presence of either surfactant creates an environment that is

inhospitable to sensitive taxa. Although we saw differences in EEA and diversity, there were no

differences in GPP and CR, potentially demonstrating functional redundancy within mixed

microbial biofilms. These results highlight several important areas of concern. Firstly,

rhamnolipids may not be a suitable alternative to SDS for use environmental biofilm control

because of its lower effectiveness in open environmental settings. These results differ from

previous research examining the effectiveness of biosurfactants on biofilm removal in medical

(Ceresa *et al.* 2019; Ceresa *et al.* 2021a) and industrial (Campos *et al.* 2013) environments.

Secondly, in natural ecosystems where biofilms are necessary for key ecosystem processes, such

as degradation of organic matter, the presence of these surfactants, (for example through the

release from WWTPs) may lead to negative effects on microbial diversity within biofilms.

Altered biofilms may have decreased nutrient uptake efficiency due to shifts in their metabolic

activity. This could have negative implications for the aquatic ecosystem as a whole, such as

reduced denitrification. Finally, our results demonstrate that functional redundancy may exist

within diverse biofilms. Surfactant exposure altered community composition and EEA, but the

overall biofilm did not experience any negative respiratory effects. Therefore, while the function

of the biofilm may be altered, enough bacteria are still taking part in respiratory cycles that the

overall metabolic activity of the biofilm is not disrupted.

Declarations

Ethics approval and consent to participate

Not applicable

Consent for publication

Not applicable

Availability of data and materials

Competing interests

The authors declare no competing interests.

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Author's contributions

SG, WH, LC, IB, and JS were all equally involved in the design, execution, and data analysis

within the study. SG wrote the first draft of the manuscript with the exception of the extracellular

enzyme analysis methodology which was written by LC. All authors contributed to commenting

on and editing the manuscript and have read and approved of the final manuscript.

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Figure Legends

Fig. 1 GPP (A), CR (B) and AFDM (C) of microbial biofilms exposed to SDS (sodium dodecyl

sulphate) and RL (rhamnolipid) for 32 days at 150 and 300ppm.

Fig. 2 Carbon (A), Nitrogen (B) and C:N ratios (C) of microbial biofilms exposed to SDS

(sodium dodecyl sulphate) and RL (rhamnolipid) for 32 days at 150 and 300ppm.

Fig. 3 Biofilm bacterial diversity from 16s rRNA sequencing of biofilm exposed to SDS (sodium

dodecyl sulphate) or RL (rhamnolipid) after 32 days at 150 and 300ppm. A) shows Shannon

Diversity, B) shows an NMDS depicting the community differences in treatments when

examining all OTUs present within each sample after rarefication and C) depicts relative

abundance of OTUs.

Fig. 4 EEA of phosphatase (A), glucosidase (B), and leucine aminopeptidase (C) of biofilms

after exposure to either SDS (sodium dodecyl sulphate) or RL (rhamnolipid) for 32 days at 150

and 300ppm. (*indicates significant differences)

Table 1 Coefficients of variation for AFDM, GPP, and CR data. Data collected from samples of biofilm grown in either the surfactant SDS (sodium dodecyl sulphate) or RL (rhamnolipid) for 32 days.

Treatment	Ash free dry mass	Gross primary productivity	CR	C:N
SDS 150	0.70	0.67	.92	0.373
SDS 300	0.11	0.626	1.09	0.643
RL 150	0.60	0.34	0.021	0.0875
RL 300	0.32	0.52	0.59	0.0698
Control	0.59	0.61	1.56	0.569

Table 2 Biofilm OTUs per surfactant treatment and their dominant genera. Biofilms were grown in either SDS (sodium dodecyl sulphate) or rhamnolipid for 32 days.

Surfactant	Conc. (ppm)	Total OTUs present	OTUs in top 80% per treatment	OTUs lost compared to control	OTUs gained compared to control	Dominant genera in top 80% of all taxa present						
Rhamnolipid	150	145	10	58	25	*Brevundimonas*	*Otu005*	*Pseudomonas*	*Arthrobacter*	*Flavobacterium B*	*Prosthecobacter*	*Nocardioides*
Rhamnolipid	300	159	14	44	36	*Brevundimonas*	*Otu005*	*Pseudomonas*	*Arthrobacter*	*Flavobacterium B*	*Prosthecobacter*	
SDS	150	176	7	27	37	*Brevundimonas terrae*	*Acinetobacter*	*Flavobacterium A*	*Paucibacter*	*Flavobacterium B*	*Prosthecobacter*	
SDS	300	130	6	73	23	*Brevundimonas terrae*	*Acinetobacter*	*Flavobacterium A*	*Prosthecobacter*	*Flavobacterium B*	*Prosthecobacter*	*Sphingomonas*
Control	0	203	15	--	--	*Exiguobacterium*	*Acinetobacter*	*Arenimonas*	*Stenotrophomonas*	*Nocardioides*		