

1 **Adaptation of the autosomal part of the genome on the presence of dioecy**

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8 **Author Contributions:** J.Z. and B.J. designed research; R.H. contributed new analysis tools;
9 J.Z. and B.J. analyzed data; R.H. discussed the paper; and J.Z. and B.J. wrote the paper.

10 **Competing Interest Statement:** The authors declare no competing interest.

11 **Keywords:** genome evolution, adaptive evolution, dioecy

12 **This file includes:**

13 Main Text

14 Figure 1

15 Table 1

16 **Abstract**

17 We have attempted to answer the question of whether the presence of sex chromosomes in
18 the genome can affect the evolution of the autosomal part of the genome. As a model, we
19 used dioecious plants from the section *Otites* of the genus *Silene*. We have observed a rise in
20 adaptive evolution in the autosomal and pseudoautosomal parts of the genome, which are
21 associated with the evolution of dioecy. This rise is caused neither by the accumulation of
22 sexually antagonistic genes in the pseudoautosomal region nor by the co-evolution of genes
23 acting in mitochondria (in spite of the fact that the dioecy evolved in this case most likely
24 from cytoplasmic male sterility). Thus, this rise in the amount of positively selected codons is
25 most likely caused by the adaptive evolution of genes involved in the specialization of the
26 autosomal part of the genome on the dioecy as described in sex-allocation theory.

27 **Introduction**

28

29 Separate sexes are very common in animals, but they also appear in plants (Renner & Müller,
30 2021). After sex determining gene(s) appear in the genome, many evolutionary processes are
31 started on the sex chromosomes (Charlesworth, 2019). Moreover, many autosomal genes
32 express in a sex-specific manner, and their expression is regulated by the presence of sex-
33 determining gene(s). These sex-specific differences in gene expression lead to the formation
34 of male and female individuals. But can the presence of separate sexes lead to evolutionary
35 changes in the sequences of autosomal genes? Because males invest more in the quantity of
36 their progeny, whereas the goal of the females is to invest in the quality of the progeny, the
37 presence of separate sexes could lead to the adaptation of the autosomal genes to males' and
38 females' different breeding strategies.

39 In animals, separate sexes and sex chromosomes are of very old origin, and thus it could be
40 very difficult to search for signs of the adaptation of the autosomal part of the genome to the
41 presence of separate sexes. On the other hand, many plant genera contain recently evolved
42 dioecious clades. One of the plant genera with young sex chromosomes is the genus *Silene*.
43 The dioecious *Silene* species are especially well-suited to this study because closely related
44 non-dioecious species are known, transcriptomic data for both the dioecious species and their
45 non-dioecious relatives are known, and genetic maps are available in several species
46 (reviewed in (Balounova et al., 2019)). In the genus *Silene*, apart from the dosage
47 compensation studies (Martin et al., 2019; Muyle et al., 2018), adaptation of the
48 transcriptome to the dioecy was also studied from a quantitative point of view (Zemp,
49 Widmer, & Charlesworth, 2018). However, it is not known whether the presence of sex
50 chromosomes in the genome can affect the evolution of the coding regions in the autosomal
51 part of the genome.

52

53 **Results and discussion**

54 In this study, we use the currently available RNAseq data obtained in the dioecious section
55 *Otites* to study the influence of the rise of dioecy on the evolution of the sequences that are
56 not sex-linked. Results of the phylogenetic analysis shown in Fig. 1 are mostly in accordance
57 with the results from the previous study that did not include *S. nocturna* and *S. paradoxa*. A
58 minor difference in topology is that the current results support the clade joining two members

59 of the group Cyri (defined previously (Balounova et al., 2019) according to phenotypic
60 markers), while in our previous study, the group Cyri appeared as completely polyphyletic
61 (Balounova et al., 2019). Current dating, based on the estimation of the synonymous
62 divergence of the outgroup (*S. nocturna*) from the other species, suggests the age of dioecious
63 section *Otites* cca 2.3 million years which is in the range of the previous estimate based on
64 calibration by fossils (1.17–2.60 million years) (Slancarova et al., 2013).

65 The ω ratio ($\omega = dN/dS$) is one of the most widely used statistical tests used to quantify
66 selection pressures acting on protein-coding regions. This measure quantifies selection
67 pressures by comparing the rate of substitutions at silent sites (dS), which are presumed
68 neutral, to the rate of substitutions at non-silent sites (dN), which possibly experience
69 selection. The comparison of the two- and three-ratios branch models in the CODEML
70 program of PAML package (Yang, 2007) shows that the ω value of the branch preceding sex
71 chromosome evolution ($\omega = 0.16$; blue in Fig. 1) is lower than the ω value of the branch
72 where dioecy and sex chromosomes evolved ($\omega = 0.29$; red in Fig. 1). This difference is
73 highly significant ($P < 10^{-99}$, likelihood ratio test; LRT). After the formation of the dioecy
74 (green in Fig. 1), the ω value significantly decreased ($\omega = 0.26$; $P < 10^{-99}$, LRT).

75 Because the increase of the ω values can be caused by the changes in the number of either
76 positively or neutrally selected sites, we compared branch-site models of these branches. The
77 results are summarized in Table 1. The branch where dioecy evolved showed a significant
78 percentage of positively selected codons ($P < 10^{-99}$, LRT). On the other hand, we did not
79 detect codons under positive selection in the branch preceding dioecy (blue in Fig. 1) ($P >$
80 0.99 , LRT). Moreover, the ω values of sites under purifying selection did not increase
81 significantly ($P = 0.95$, Wilcoxon test). To confirm these results, we performed tests for
82 relaxed selection using RELAX program (Wertheim, Murrell, Smith, Kosakovsky Pond, &
83 Scheffler, 2015) of HyPhy package (Kosakovsky Pond et al., 2020; Pond, Frost, & Muse,
84 2005). Transition from the gynodioecy to the dioecy was connected with the selection
85 intensification ($P = 0.00$; $K = 1.55$). On the other hand, when the branch involving dioecy
86 evolution and the branch after sex chromosome evolution were compared, non-significant
87 relaxation was detected ($P = 0.339$, $K = 0.91$). Most of the identified adaptively evolved
88 codons (63 out of 87 codons) are recruited from the neutrally evolved codons, which is
89 significantly more than by chance ($P < 10^{-16}$, chi-squared goodness of fit test). Because the
90 dioecy in the *Silene* section *Otites* most likely evolved from the gynodioecy, and because the
91 gynodioecy is in the genus *Silene* usually of nucleo-cytoplasmic type, the dioecy evolved, in

92 this case, most likely by the genetic fixation of a male sterile cytoplasm and subsequent
93 recruitment of a fertility restorer as sex determining gene, as discussed by (Zluvova et al.,
94 2005). In this case, no drastic change in population size or rate of inbreeding is necessary to
95 open the route to dioecy. The observed absence of any sign of selective pressure relaxation is
96 in accordance with this hypothesis.

97 The rise of the amount of positively selected codons on the branch including sex chromosome
98 evolution cannot be caused by the selection of sexually antagonistic genes on the sex
99 chromosomes (Rice, 1996), because the analysed dataset does not contain any completely
100 sex-linked gene. Moreover, it cannot be caused by the accumulation of sexually antagonistic
101 genes in the pseudoautosomal region, because we found that only one of the studied ESTs
102 was located in this part of the sex chromosomes.

103 Because all close relatives of the analyzed species are gynodioecious, most likely with
104 cytoplasmic male sterility, one plausible explanation for the rise of adaptive evolution can be
105 connected with the presumed nucleo-cytoplasmic origin of the sex-determining system. The
106 fixation of a certain type of male-sterility causing cytoplasm could influence the evolution of
107 nuclear genes involved in mitochondrial metabolism. However, among the 42 ESTs
108 identified as having at least one adaptively evolved codon on the branch involving sex
109 chromosome formation, we did not find an overrepresentation of proteins located in
110 mitochondria (7 ESTs; $P = 0.77$, Pearson's chi-squared test).

111 We can hypothesize that this rise in the amount of positively selected codons is caused by the
112 selection of genes involved in the specialization of the genome on the dioecy as described in
113 the sex-allocation theory (Charnov, 1982), which states that female plants allocate more
114 resources to the quality of seeds whereas male plants allocate resources into the amount of
115 pollen. However, based on phenotypic data, it is possible to conclude that the adaptation of
116 the genome to the dioecy is rather complex and includes a wide variety of genes (Geber,
117 Dawson, & Delph, 1999). Indeed, the genes identified as adaptively evolving on the branch
118 where dioecy evolved (see Supporting Information) show rather diverse characteristics.
119 These results are in good accordance with the previous observations on the phenotypic level
120 (Geber et al., 1999).

121 The discovered coincidence between the increased amount of adaptively evolved codons in
122 autosomes and the evolution of dioecy cannot prove causality in this process. However, the

123 hypothesis that the changes in autosomes represent an adaptation to the sexually dimorphic
124 phenotype of dioecious species appears most likely.

125

126 **Materials and methods**

127 The dataset from the previous work (Balounova et al., 2019) was supplemented by *S.*
128 *nocturna* (SRR6040876) and *S. paradoxa* (SRR999296-SRR999299, publically available)
129 samples. *S. pseudotites* has been excluded from the dataset based on its suspected hybrid
130 origin. The reads of the *S. nocturna* and *S. paradoxa* were assembled using Trinity (Haas et
131 al., 2013). The assembly was treated with an Evidencemapper pipeline to reduce the level of
132 duplicates and used as a reference. The reads were mapped using Bowtie2 (Langmead &
133 Salzberg, 2012), the SNPs were called via FreeBayes (Garrison & Marth, 2012) and phasing
134 was performed with WhatsHap (Patterson et al., 2015). The regions with coverage lower than
135 2 masked using the maskfasta method in BEDTools (Quinlan & Hall, 2010). The phased
136 sequences were added to the original alignments using the MAFFT aligner (Katoh &
137 Standley, 2013) based on the results of best reciprocal blast hit search (Camacho et al., 2009).
138 Phylogenetic analysis was done using the StarBeast2 module in BEAST 2 (Bouckaert et al.,
139 2014; Ogilvie, Bouckaert, & Drummond, 2017). Two independent chains were run for 1000
140 000 000 states (Yule model, birth-death). For the dating, the calibration of the most recent
141 common ancestor of *S. nocturna* and the other species was done similarly to Balounova et al.
142 (Balounova et al., 2019) (based on estimated divergence per synonymous site dS
143 (substitutions per synonymous site) and the divergence time estimates for several angiosperm
144 lineages (Brassicaceae, Malvaceae, Euphorbiaceae, Fabaceae, Cucurbitaceae, Rosaceae,
145 Solanaceae, and Poaceae), so as not to depend on a single fossil record or phylogenetic tree
146 position, which yielded a mean substitution rate of 5.35×10^{-9} synonymous substitutions/site/
147 year) (De La Torre, Li, Van de Peer, & Ingvarsson, 2017). Ks values for the distance of
148 individual species of the section *Otites* to *S. nocturna* were estimated using KaKs calculator 2
149 program (Wang, Zhang, Zhang, Zhu, & Yu, 2010), and the mean value was used for the
150 calibration of the tree. The resulting dataset did not contain any of the completely sex-linked
151 genes identified previously (Balounova et al., 2019) (Martin et al., 2019).

152 PAML analyses were used to determine whether some ESTs evolved under selective
153 pressure. The CODEML program of PAML (Yang, 2007) was used to estimate the ratio (ω)
154 of the non-synonymous substitution rate (dN) to the synonymous substitution rate (dS). As

155 the reference tree, the phylogenetic tree constructed as described above was used. The
156 equilibrium frequencies of codons were calculated from the nucleotide frequencies
157 (CodonFreq=2) because it best fits the data as calculated by second-order AIC. All models in
158 CODEML were run at five different initial ω values ($\omega = 0.1, 0.2, 0.5, 1, 2$), and no problems
159 with the convergence were observed. Both branch and branch-site models were applied to the
160 branch preceding sex chromosome formation, to the branch including sex chromosome
161 formation and to the branch following the sex chromosome formation. In the branch analyses,
162 two-ratios models were compared to three-ratios models to reveal whether the ω values differ
163 significantly. The resulting log likelihood values were evaluated using likelihood-ratio tests
164 to determine any statistical significance of the difference. The chi2 program of PAML was
165 used to estimate the P-values. The confidence intervals for proportion were computed online
166 (<http://vassarstats.net/prop1.html>) using the method with continuity correction (Newcombe,
167 1998) that is derived from a procedure outlined in (Wilson, 1927). The results obtained in
168 CODEML were further confirmed using a test for relaxed selection in RELAX program
169 (Wertheim et al., 2015) of the HypHy package (Pond et al., 2005) (Kosakovsky Pond et al.,
170 2020). Because the results did not converge well, the analysis was run 500-times and the
171 results with the highest log likelihood were used.

172 The modified model A of the branch-site models was used to compute the percentages
173 of purifying, neutral and adaptive codons in the analyzed ESTs, to compute the ω values, to
174 compute the Bayes Empirical Bayes probability of codons being either purifying, neutral or
175 adaptive and to identify ESTs with adaptively evolved codons. The Wilcoxon test computed
176 online (<https://www.socscistatistics.com/tests/signedranks/default2.aspx>) was used to
177 compare the ω values of the codons with $\omega < 1$ between the branches before and during sex
178 chromosome and dioecy formation. The chi-squared goodness of fit test was used to calculate
179 the P-value of adaptively evolving codons to evolve from neutrally evolving codons. The
180 Pearson's chi-squared test was used to compute the P-value of a random presence of genes
181 acting in mitochondria among the genes with positively selected codons.

182

183 **Acknowledgments**

184 This research was supported by the Czech Science Foundation (grant 19-15609S).
185 Computational resources were supplied by the project "e-Infrastruktura CZ" (e-INFRA
186 LM2018140) provided within the program Projects of Large Research, Development and

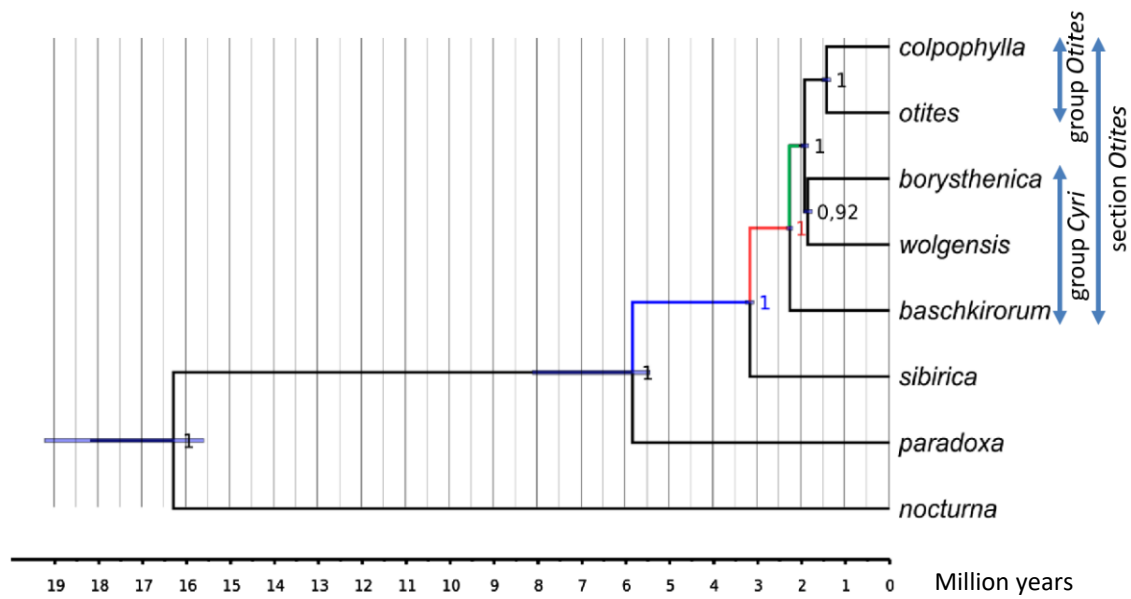
187 Innovations Infrastructures and by the ELIXIR-CZ project (LM2018131), part of the
188 international ELIXIR infrastructure.

189

190 **Competing Interest Statement**

191 No financial and/or non-financial competing interests declared.

192 **Figures**
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197 **Figure 1. Phylogenetic tree of the section *Otites* and the closely related species.** The
198 colors of the highlighted branches refer to analyses of adaptive analyses. For details, see
199 Results and Discussion.

200 **Tables**
201

branch	$\omega < 1$		$\omega = 1$		$\omega > 1$	
	percentage (%)	95% CI of percentage difference (%)	percentage (%)	95% CI of percentage difference (%)	percentage (%)	95% CI of percentage difference (%)
preceding sex chromosome evolution	80.496	-0.19 - 0.31	19.504	-0.17 - 0.34	0	0.02 - 0.03
including sex chromosome evolution	80.557	-0.2 - 0.31	19.419	-0.17 - 0.32	0.024	0.02 - 0.03
following sex chromosome evolution	80.497		19.503		0	

202

203 **Table 1. Branch-site analysis of *Silene* transcriptome before and after sex chromosome**
204 **evolution.** Confidence intervals (CI) are given for the difference of percentage between the
205 neighboring rows. Statistically significant values are highlighted in bold.

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