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2 **Inhibitory effect of an anti-prokineticin-1**
3 **antibody on liver metastases in mice injected**
4 **with human colorectal cancer cell lines**

5 Short title: Anti-prokineticin-1 antibody and liver metastases in mice
6 injected with CRC cell lines

7

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16

17 **Abstract**

18 Controlling hematogenous metastases is an effective treatment strategy
19 for colorectal cancer. Multidisciplinary treatment for colorectal cancer has
20 made great strides, and molecularly-targeted drugs have greatly improved
21 the prognosis of patients. However, currently accepted molecularly-
22 targeted therapeutic agents require concomitant use with anticancer
23 agents. Thus, new molecularly-targeted drugs need to be developed. The
24 prokineticin family of angiogenic factors has the potential of becoming
25 target molecules. Among them, prokineticin-1 (PROK1) is involved in the
26 promotion of angiogenesis, tumor growth, and liver metastases in
27 colorectal cancer. We manufactured our own anti-PROK1 antibody and
28 verified its effect in inhibiting liver metastases and prolonging survival.
29 The method involved creating liver metastasis model mice using human
30 colorectal cancer cell lines. These mice were divided into anti-PROK1
31 antibody administration and control groups. Mice were treated
32 intraperitoneally with antibodies or phosphate-buffered saline (control)
33 every 3 days. The number of liver metastatic lesions and survival time of
34 each group were compared. The number of metastatic lesions decreased,
35 and survival time was significantly prolonged in the antibody-treated

36 group. Furthermore, using microarray and immunostaining in both groups,
37 we confirmed the effect of administering the anti-PROK1 antibody on the
38 oxidation, reduction, and apoptotic processes, and cell division of tumors,
39 and that alterations were suppressed in 72.1% of the genes examined. The
40 expression of transforming growth factor- β (TGF- β), a tumor suppressor
41 gene, was increased. The increased expression of TGF- β via PROK1
42 antibody administration may suppress the cancer cell proliferation ability,
43 leading to liver metastasis suppression and prolonging the survival time of
44 mice.

45

46 **Introduction**

47 Colorectal cancer is a highly prevalent malignancy worldwide, including in
48 Japan [1-4]. The prognosis of colorectal cancer at an early stage is
49 favorable, but the prognosis of unresectable, advanced colorectal cancer
50 is not yet satisfactory. According to statistics of the Ministry of Health,
51 Labor, and Welfare in Japan, the number of deaths from colorectal cancer
52 continues to increase, exceeding 50,000 in 2016.

53 While there are various metastasis modes in colorectal cancer, such as
54 lymph node, peritoneal, and hematogenous metastases, most patients face
55 poor prognosis due to hepatic and other hematogenous metastases [5-7].
56 Recently, the prognosis of patients with colorectal cancer has improved
57 due to great progress in multidisciplinary treatment. However, there is
58 currently no treatment method that is capable of significantly improving
59 the prognosis of patients when distant metastasis or recurrence is
60 observed.

61 Angiogenic growth factors are important, and several such factors are
62 thought to be involved in the development of colorectal cancer [8-10]. The
63 prokineticin family of proteins, which we have focused on and studied
64 throughout the years, is one family of angiogenic factors. It consists of two

65 types of proteins: prokineticin-1 (PROK1) and PROK2. PROK1 is expressed
66 in normal endocrine tissues of the adrenal gland, ovaries, and testes, and
67 promotes the growth of vascular endothelial cells under hypoxic conditions.
68 However, PROK1 is not homologous to vascular endothelial growth factor
69 (VEGF) and is completely different from the known VEGF family of
70 proteins [11].

71 To date, our laboratory has reported the involvement of PROK1 in tumor
72 growth, angiogenesis, and infiltration in colorectal cancer through in vitro
73 and in vivo experimental systems [12-14]. In this study, we used the anti-
74 PROK1 antibody that was prepared in our laboratory and demonstrated its
75 metastasis inhibitory effect on human colorectal cell lines using a liver
76 metastasis mouse model.

77

78 **Materials and Methods**

79 **Confirmation of PROK1 expression in human** 80 **colorectal cancer cell lines**

81 Human colorectal cancer cell lines (HCT116, HT29, DLD-1) were cultured
82 at 37 °C under 5% CO₂ for 3 days using RPMI medium with 10% fetal
83 bovine serum. They were then frozen with an optimal cutting temperature

84 compound, dissected into 4- μ m sections with a microtome, and stained
85 overnight at 4 °C using an anti-PROK1 antibody (Novus Biologicals,
86 Littleton, CO, USA).

87 **Liver metastasis mouse model**

88 Human colorectal cancer cells (1×10^6) were injected under the spleen
89 capsule of male SHO mice to prepare the liver metastasis mouse model.

90 Antibody administration and control groups were prepared for each
91 colorectal cancer line (n = 5). In the antibody treatment group, the anti-
92 PROK1 antibody (500 μ g) was administered intraperitoneally the day
93 before tumor cell injection under the spleen capsule and every 3 days after
94 injection. In the control group, phosphate-buffered saline was
95 administered intraperitoneally in the same manner. The anti-PROK1
96 antibody was originally prepared by our department as described
97 previously [15].

98 The number of metastatic regions in the liver and the survival times of
99 each group were compared. Mice were examined daily for their general
100 condition and signs of moribund behavior. The mice were considered
101 moribund when they could no longer reach out to water and/or food and
102 were euthanized within 4 h of reaching moribund status. Survival curves

103 were established using the Kaplan-Meier method, and a significant
104 difference was determined at $p < 0.05$ using the log-rank test.

105 **Microarray**

106 Using ISOSPIN Cell & Tissue RNA (Nippon Gene, Yoyama, Japan), total
107 RNA was extracted from the primary lesions and metastatic regions in the
108 liver and spleen of mice, and a microarray was performed. In the
109 microarray, 24,351 genes were analyzed using the Clariom S Array, and
110 human GeneChip (Thermo Fisher Scientific, Waltham, MA, USA).

111 **Immunohistochemical staining of liver metastases**

112 Liver metastatic tissue was sliced at 10 μm thickness with a microtome
113 and stained overnight at 4 $^{\circ}\text{C}$ with an anti-Ki67 antibody (Novus
114 Biologicals). The mean numbers of positive cells at 400 \times magnification
115 were compared between the two groups, and the Mann-Whitney U test was
116 used to determine any significant difference, which was set at $p < 0.05$.
117 All statistical analyses were performed using EZR software [16].

118

119 **Results**

120 **Immunostaining of human colorectal cancer cell** 121 **lines**

122 Cytoplasm was stained with the anti-PROK1 antibody in the HCT116, HT29,
123 and DLD-1 cell lines (Fig 1). This demonstrated the presence of PROK1 in
124 these lines.

125

126 **Fig 1. Immunohistochemical staining of colorectal cancer cell lines.**

127 Prokineticin-1 (PROK1) is stained in the cytoplasm of HCT116, HT29, and
128 DLD-1 cells.

129

130 **Reduction of liver metastasis**

131 Two weeks after injection of each colorectal cancer cell line, we confirmed
132 that liver metastases were formed in all mice (Fig 2A). We removed the
133 livers and confirmed the number of metastatic regions in each liver (Fig
134 2B). The median numbers of these regions were: HCT116 (control group:
135 95; antibody group: 68); HT29 (control group: 70; antibody group: 60); and
136 DLD-1 (control group: 9; antibody group: 2). These results indicated that
137 there were fewer liver metastases in the antibody-administered than in the
138 control group.

139

140 **Fig 2. Images of liver metastases.** A. Liver metastasis 2 weeks after

141 spleen injection of the HCT116 cell line. Left: control group, Right:
142 antibody group. B. Liver removed at the time of death. Left: control group,
143 Right: antibody group.

144

145 **Extension of survival time**

146 We established survival curves for each group and compared the median
147 survival times: HCT116 (control group: 33 days; antibody group: 26 days;
148 $p = 0.00885$); HT29 (control group: 28 days; antibody group: 46 days; $p =$
149 0.0279); and DLD-1 (control group: 28 days; antibody group: 13 days; $p =$
150 0.00249). The p-value for the survival time of mice ($n = 15$) was $p = 0.0273$.
151 The survival time was significantly prolonged in the antibody-administered
152 group (Fig 3).

153

154 **Fig 3. Comparison of survival times.** Mice injected with HCT116, HT29,
155 and DLD-1 cells all show a significant increase in the survival time when
156 treated with the anti-prokineticin-1 antibody. The survival curve was
157 created by the Kaplan-Meier method.

158

159 **Microarray**

160 The heat map showed that genetic changes were inhibited in the antibody-
161 treated mice (Fig 4). Specifically, the microarray revealed that changes
162 were inhibited in 72.1% of the 24,351 analyzed genes. In addition, when
163 the functions of genes that were significantly changed upon antibody
164 administration (genes that had increased or decreased expression more
165 than two-fold due to antibody administration) were analyzed using DAVID
166 functional annotation, we found that the expression of genes involved in
167 oxidation-reduction and apoptotic processes, and cell division, were
168 significantly changed ($p < 0.05$) (Fig 5A). Among the altered genes, some
169 were involved in the p53 cascade. In addition, there was an increase in the
170 expression of transforming growth factor- β (TGF- β), which is a tumor
171 suppressor gene (Fig 5B).

172

173 **Fig 4. Microarray heatmap.** A microarray was performed to detect liver
174 metastases-related genetic changes at the time of death. This map shows
175 that genetic changes are suppressed in the anti-prokineticin-1 antibody-
176 administered group compared to the control group.

177

178 **Fig 5.** we found that the expression of genes involved in oxidation-

179 reduction and apoptotic processes, and cell division, were significantly
180 changed ($p < 0.05$).

181

182 **Immunohistochemical staining of liver metastases**

183 The median numbers of Ki67-positive cells in each group were compared:
184 HCT116 (control group: 74.3; administration group: 24.3; $p = 0.0159$);
185 HT29 (control group: 92.3; administration group: 39.3; $p = 0.0159$); and
186 DLD-1 (control group: 99.7; administration group: 11.0; $p = 0.0119$). The
187 p-value for the liver metastasis in mice ($n = 15$) was $p = 0.000013$. Liver
188 metastasis-positive cells significantly decreased in the antibody-treated
189 groups with each of the cell lines in these animal models.

190

191 **Discussion**

192 At present, antibody-based drugs, such as the ones targeting VEGF, are
193 used clinically as molecularly-targeted therapeutic agents for
194 gastrointestinal cancer, and improved prognosis has been observed in
195 unresectable advanced colorectal cancer [17]. VEGF is thought to act on
196 the interstitium around cancer cells and facilitate metastasis. According to
197 several reports, VEGF and hematogenous metastasis are closely related in

198 lung, breast, and renal cancers [18–20]. Thus, drugs affecting VEGF have
199 anticancer effects. For example, bevacizumab has a VEGF-neutralizing
200 effect and normalizes the vasculature, which improves the delivery and
201 effectiveness of chemotherapeutic agents [12-23]. Ziv-Aflibercept is a
202 receptor-antibody complex consisting of VEGF-binding sections from the
203 extracellular domains of VEGF receptors 1 and 2, fused to human
204 immunoglobulin IgG1Fc [24]. Regorafenib targets VEGF 1-3, TIE2, and
205 other receptor tyrosine kinases [25]. However, malignant tumors cannot
206 be suppressed completely via administration of these molecularly targeted
207 therapeutic agents alone; therefore, there is a demand for better
208 therapeutic drugs.

209 Hematogenous metastases, which involve multiple angiogenic factors,
210 account for about 80% of remote metastases in colorectal cancer. Thus,
211 controlling hematogenous metastases is an important therapeutic strategy
212 for this disease [26,27]. To date, our laboratory has carried out continuous
213 studies focusing on the angiogenic factor, PROK1, as a target molecule for
214 treating cancer. The anti-PROK1 monoclonal antibody produced by our
215 department is a neutralizing antibody that has been proven to inhibit the
216 angiogenic and subcutaneous tumorigenic potentials when added to

217 colorectal cancer cell lines [12,13,15]. While PROK1 staining is not
218 observed in the normal mucosa of the large intestine, it is present in the
219 cytoplasm of cells in about 40% of colorectal cancers. Positive staining is
220 significantly higher in stages III and IV, which indicates more advanced
221 colorectal cancer than stages I and II. It has also been clarified that PROK1
222 expression is a prognostic factor in colorectal cancer. Specifically, the 5-
223 year survival rate is lower when PROK1 expression is observed than that
224 when it is not observed in stage III and IV colorectal cancer [28].

225 Goi et al. demonstrated that an anti-PROK1 antibody inhibited
226 angiogenesis and tumorigenic potential [4]. In the present study, we
227 applied our anti-PROK1 antibody in a mouse model of cancer to investigate
228 how it acted on liver metastases. To maintain its concentration in the blood,
229 the anti-PROK1 antibody was administered intraperitoneally the day
230 before tumor implantation and every 3 days thereafter. In the antibody-
231 treated group, liver metastases were decreased and a significant increase
232 in survival time was observed when compared to the control group.

233 The microarray revealed that genetic changes were suppressed upon
234 administration of the anti-PROK1 antibody; changes were inhibited in
235 72.1% of the 24,351 analyzed genes. The altered genes included some

236 involved in the p53 cascade as well as an increase in the tumor suppressor
237 gene, TGF- β . TGF- β contributes to growth inhibition, cell differentiation,
238 and the induction of apoptosis in many cells, including epithelial cells. In
239 addition, TGF- β is involved in the epithelial-mesenchymal transition in
240 cancer cells, and enhances the motility and infiltration of epithelial cells
241 [29].

242 In this study, we examined the action of TGF- β indirectly by performing
243 immunostaining to detect liver metastases in both groups using an anti-
244 Ki67 antibody. The results showed significantly fewer Ki67-positive cells
245 in the antibody-treated group, indicative of inhibited metastases. Overall,
246 the proliferation of colorectal cancer cells was suppressed in the anti-
247 PROK1 antibody-treated group. We believe that administration of the anti-
248 PROK1 antibody suppressed liver metastases by increasing the expression
249 of TGF- β and enhancing cancer cell suppression. Moving forward, we
250 intend to examine the application of the anti-PROK1 antibody in other
251 gastrointestinal cancers using the peritoneal dissemination model, as well
252 as in gastric cancer and pancreatic cancer cell lines.

253

254 **Conclusion**

255 We found that the anti-PROK1 antibody acted on the oxidation-reduction
256 and apoptotic processes, and inhibited cell division of tumors in a liver
257 metastasis mouse model using human colon cancer cell lines. We also
258 believe that the increased expression of TGF- β following anti-PROK1
259 antibody administration may prolong the survival time of mice by
260 suppressing cell growth and liver metastases.

261

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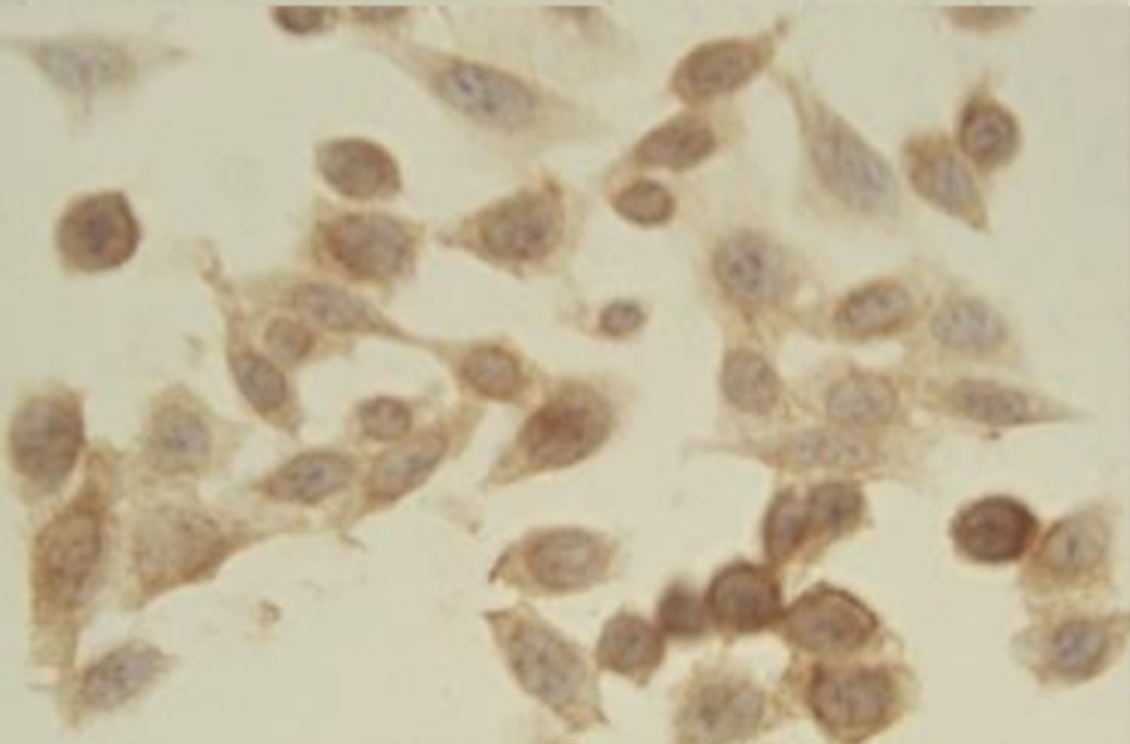
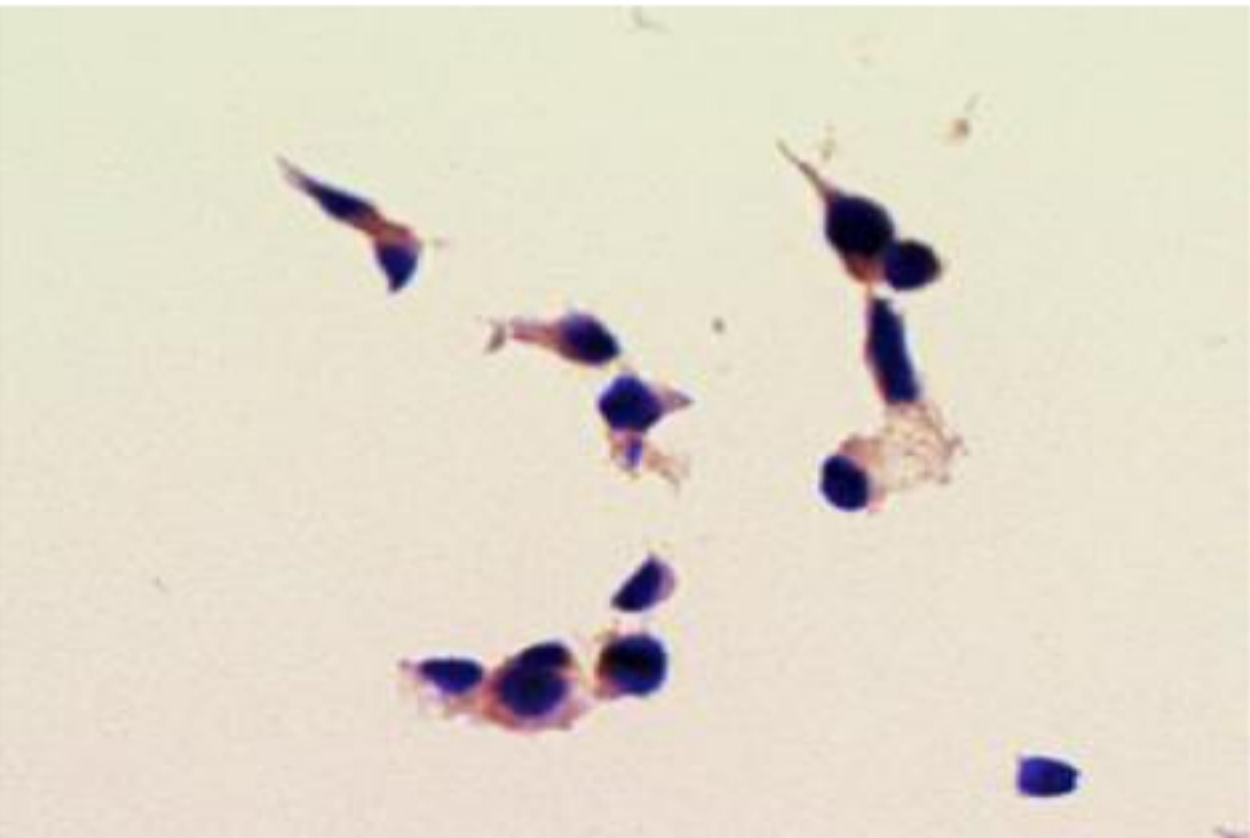
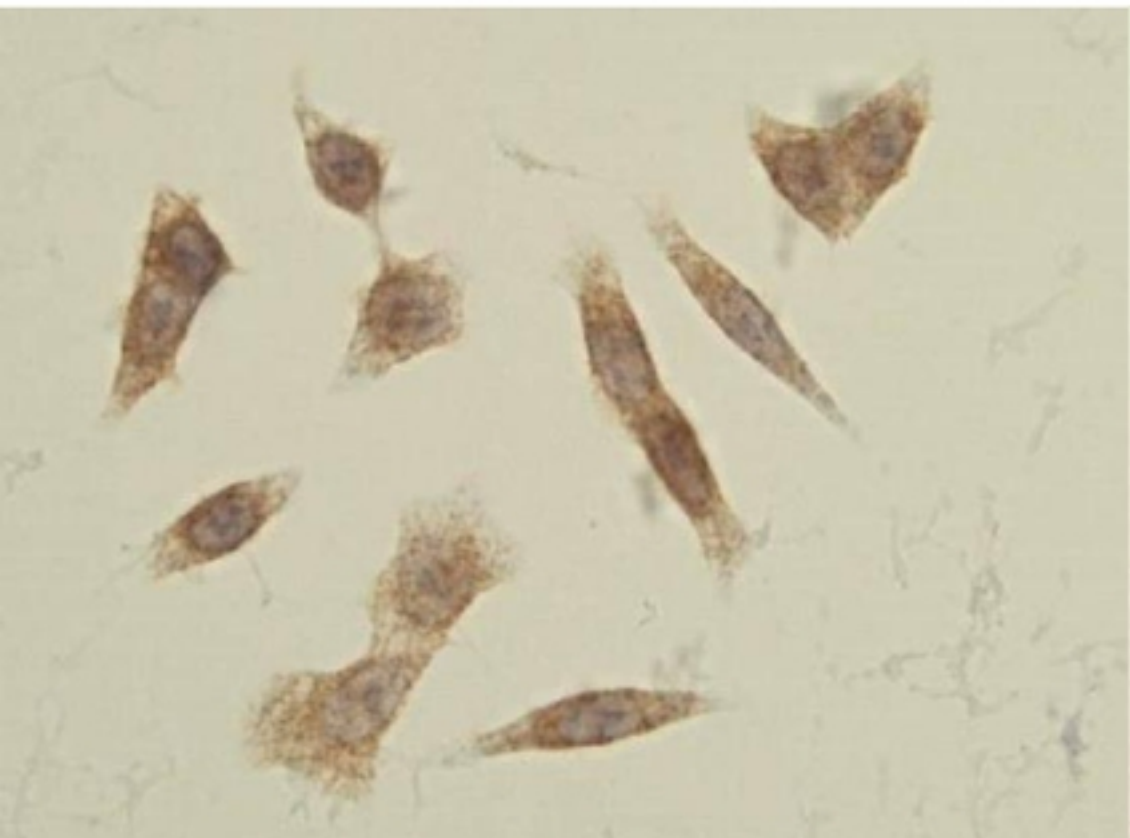
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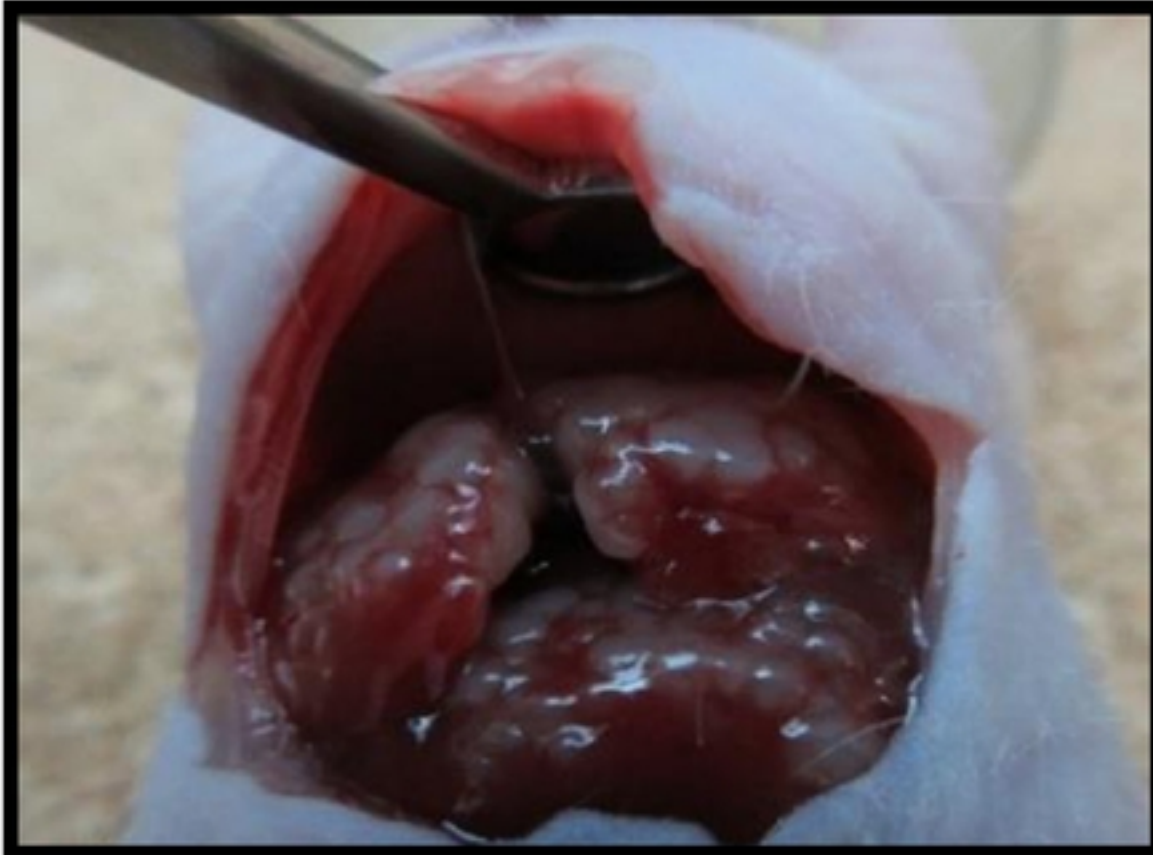


PROK1 in the cytoplasm of HCT116, HT29, DLD-1 were stained

HCT116	HT29
DLD-1	

Figure 1

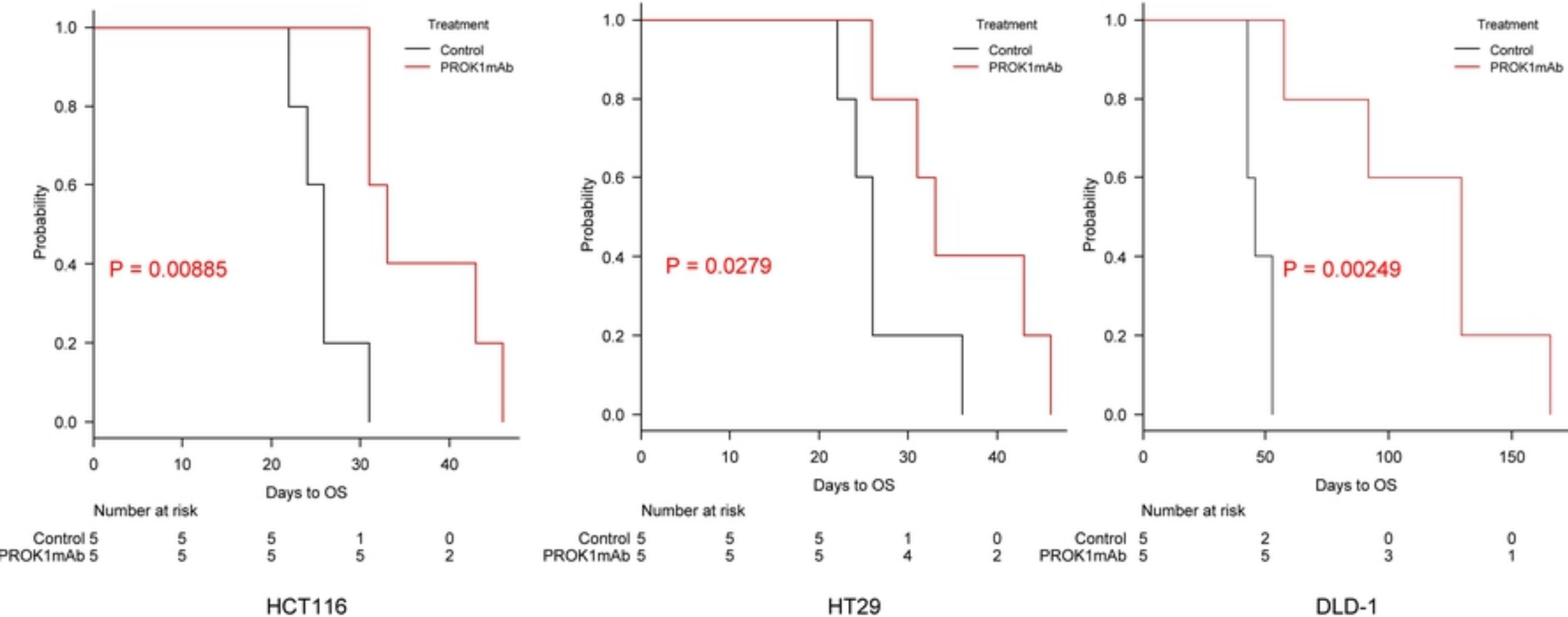
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b

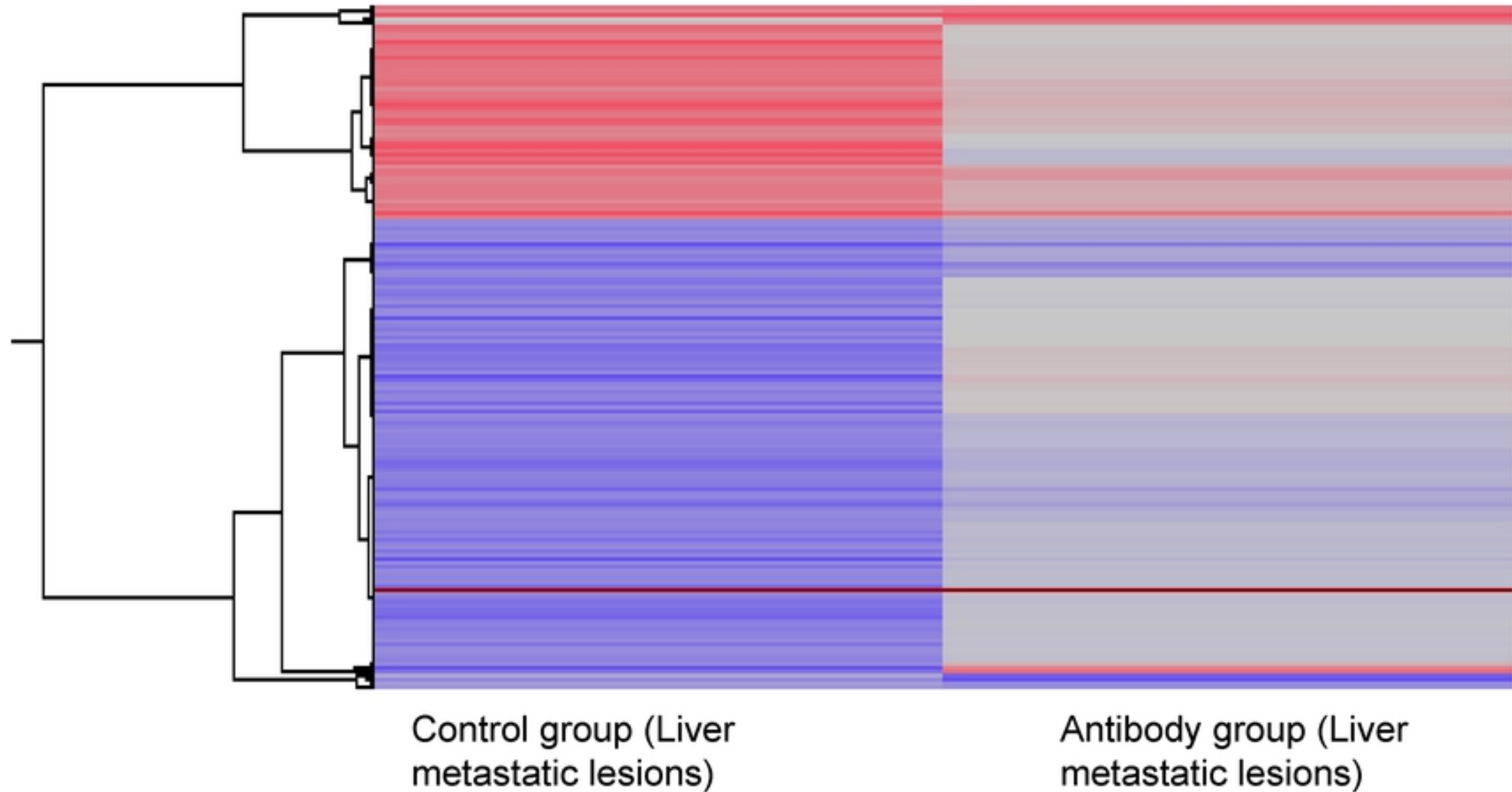


Figure 2



HCT116, HT29, and DLD-1 all showed a significant increase in survival time. The survival curve was created by the Kaplan-Meier method.

Figure3



When microarray was performed on liver metastases at the time of mouse death, gene changes were suppressed in the antibody-administered group compared to the control group.

Figure4

a

Genes involved in the oxidation reduction process (p = 0.0036)	Genes involved in apoptotic process (p = 0.0033)	Genes involved in cell division (p = 0.042)
3-hydroxyisobutyrate dehydrogenase(HIBADH) (x0.444)	BCL2 interacting protein 3 (BNIP3) (x3.9449)	Cell division cycle associated 5 (CDCA5) (x0.3978)
Superoxide dismutase3 (SOD3) (x3.6301)	BUB1 mitotic checkpoint serine(BUB1) (x0.4005)	NDC80,kinetochore complex Component(NDC80) (x0.2432)

b

Genes involved in the P53 signaling pathway
Cyclin D2 (CCND2) (x0.4965)
Cyclin kinase2 (CDK2) (x0.4931)
Insulin-like growth factor1 (IGF1) (x5.5022)
Apoptosis-related cysteine peptidase (CASP8) (x0.4118)

Tumor suppressor genes
Transforming growth factor1(TGFβ1) (x2.2501)
Transforming growth factor2(TGFβ2) (x4.4076)

*Analysis was performed by David functional annotation.