- 1 Membrane Fusion can be Driven by Sec18/NSF, Sec17/ α SNAP, and *trans*-SNARE complex
- 2 without HOPS
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14 Abstract

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16 Yeast vacuolar membrane fusion has been reconstituted with R, Qa, Qb, and Qc-family SNAREs, 17 Sec17/ α SNAP, Sec18/NSF, and the hexameric HOPS complex. HOPS tethers membranes and 18 catalyzes SNARE assembly into RQaQbQc trans-complexes which zipper through their SNARE 19 domains to promote fusion. Previously, we demonstrated that Sec17 and Sec18 can bypass the 20 requirement of complete zippering for fusion (Song et al., 2021), but it has been unclear whether this activity of Sec17 and Sec18 is directly coupled to HOPS. HOPS can be replaced for 21 22 fusion by a synthetic tether when the three Q-SNAREs are pre-assembled. We now report that 23 SNARE zippering-arrested fusion intermediates that are formed without HOPS support 24 Sec17/Sec18-triggered fusion. This zippering-bypass fusion is thus a direct result of Sec17 and 25 Sec18 interactions: with each other, with the platform of partially zippered SNAREs, and with 26 the apposed tethered membranes. As these fusion elements are shared among all exocytic and 27 endocytic traffic, Sec17 and Sec18 may have a general role in directly promoting fusion.

Intracellular membrane fusion is catalyzed by protein families which are conserved from yeast 29 30 to humans and among the organelles (Wickner and Rizo, 2017). These include Rab-family 31 GTPases, large tethering complexes which bind to Rabs (Baker and Hughson, 2016), membrane-32 anchored SNARE proteins which assemble into *trans*-complexes that bridge membranes before 33 fusion, and SNARE chaperones of the SM, Sec17/ α SNAP and Sec18/NSF families. Yeast vacuole 34 fusion combines Rab-effector tethering and SM functions into the large hexameric HOPS 35 (homotypic fusion and vacuole protein sorting) complex (Wurmser et al., 2000; Seals et al., 2000; Stroupe et al., 2006). HOPS binds to acidic lipids (Orr et al., 2015) and to its Rab Ypt7 on 36 37 each fusion partner membrane (Hickey et al., 2010), activating it (Torng and Wickner, 2020) to 38 initiate N- to C- directional assembly among the R, Qa, Qb, and Qc SNAREs. Though Sec17 and 39 Sec18 will block fusion from spontaneously assembled trans-SNARE complexes, HOPS confers 40 resistance to Sec17 interference (Mima et al., 2008). Without Sec17 or Sec18, HOPS-assembled 41 trans-SNARE complexes require complete zippering to induce fusion (Schwartz and Merz, 42 2009). The ubiguitous chaperones Sec17 and Sec18 will bind to partially zippered SNARE 43 complexes and use the N-terminal apolar loop on Sec17 to trigger fusion without needing 44 complete zippering (Schwartz and Merz, 2009; Zick et al., 2015; Schwartz et al., 2017; Song et 45 al., 2021). Sec17 has direct affinity for HOPS (Song et al., 2021); it has been unclear whether HOPS is needed for Sec17 and Sec18 to engage partially zippered SNAREs and mediate 46 47 zippering-bypass fusion.

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We now exploit a synthetic tether to show that HOPS is not required for Sec17 and Sec18 to 49 50 drive zippering-bypass fusion. The 4 SNAREs, Sec17/ α SNAP, and Sec18/NSF, which are the 51 fundamental components of the 20s particle (Zhao et al., 2015), suffice to drive fusion. As a 52 synthetic tether, we employ the dimeric protein glutathione-S-transferase (GST) fused to a PX 53 domain. Dimeric GST-PX binds PI3P to tether membranes bearing PI3P (Song and Wickner, 2019). When Q-SNAREs are pre-assembled into a QaQbQc ternary complex on one fusion 54 55 partner membrane, tethering by GST-PX supports fusion without the need for SM function 56 (Song and Wickner, 2019). This fusion relies on SNARE zippering, as it is blocked by deletion of 57 the C-terminal region of the Qc SNARE domain, the Qc 3Δ mutation (Schwartz and Merz, 2009; 58 Song and Wickner, 2019). We find that GST-PX tethered membranes bearing R and QaQbQc3 Δ SNAREs on fusion partners, unable to completely zipper and fuse because of the shortened Qc 59 SNARE domain, are rescued from this arrested state and will fuse upon addition of Sec17 and 60 61 Sec18. Thus the Sec17 and Sec18 fusion functions do not rely on interactions with HOPS, 62 instead acting through their interactions with each other, with tethered membranes, and with a 63 partially-zippered trans-SNARE binding platform.

65 Results

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67 Proteoliposomes were prepared with vacuolar lipids, with membrane anchored Ypt7, and with 68 either the R- or the 3Q-SNAREs. The Qc SNARE was either wild-type with its full-length SNARE domain or Qc3 Δ which lacks the C-terminal 4 heptads of its SNARE domain. The Ypt7/R- and 69 70 Ypt7/3Q- proteoliposomes bore lumenal fusion-reporter fluorescent proteins, either Cy5-71 labeled streptavidin or biotinylated phycoerythrin (Figure 1A). Proteoliposomes were purifed 72 by flotation to remove unincorporated proteins. When these proteoliposomes are mixed, their 73 lumenal fluorescent proteins are separated by at least the thickness of two lipid bilayers, too far 74 for measurable fluorescence resonance energy transfer (FRET). Upon fusion and the attendant 75 content mixing, the binding of biotin to streptavidin brings the Cv5 and phycoerythrin 76 fluorophores into intimate contact, yielding a strong FRET signal (Zucchi and Zick, 2011). Fusion 77 incubations were performed with mixed Ypt7/R and Ypt7/3Q proteoliposomes and with 78 external nonfluorescent streptavidin to block any signal from proteoliposome lysis. Each 79 incubation had either HOPS or GST-PX to tether the membranes (Figure 1A). Also present from the start were either a) buffer alone, b) Sec17, c) Sec18 and ATP_yS, or d) both Sec17 and 80 Sec18/ATP γ S. Fusion was monitored by FRET between the lumenal probes; the initial rate 81 during the first 5 minutes is termed the α portion in Figures 1B-E. At 30 minutes, each reaction 82 83 received a supplement of the components not added at time 0, i.e. a) Sec17, Sec18 and ATP_YS, 84 b) Sec18 and ATP_YS, c) Sec17, or d) buffer alone, so that all incubations had Sec17, Sec18, and 85 ATPyS as the incubation continued in the β portion of the experiment (Fig1B β -E β), from 30 86 minutes to 32 minutes. Distinct information can be gleaned from the α and β intervals of the 87 experiment, and these are considered in turn below.

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89 **HOPS is not required for Sec17/Sec18 stimulation of fusion.** When the 3Q complex includes 90 wild-type full-length Qc, HOPS-mediated fusion (Figure 1B α , black curve) shows only minor 91 effects from adding either Sec17 (red), Sec18 with a nonhydrolyzable ATP analog (blue), or 92 Sec17, Sec18, and ATP γ S (green). In contrast, when the 3Q complex includes Qc3 Δ to arrest 93 SNARE zippering and block fusion (Figure 1C α , black curve), both Sec17 and Sec18 are required 94 to bypass the zippering-arrest and allow fusion (Figure 1C α , contrast the green curve vs the 95 blue, red or black curves).

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97 The dimeric tether GST-PX (Song and Wickner, 2019) also supports fusion with pre-assembled 98 wild-type Q-SNAREs (Figure 1D α , black curve), but this fusion is blocked by Sec17 (Figure 1D α , 99 red curve) without rescue by Sec18 (green curve). When fusion with the dimeric GST-PX tether 100 is blocked by the Qc3 Δ mutation (Figure 1E α , black curve), there is no rescue by Sec17, alone or 101 in combination with Sec18/ATP γ S (Figure 1E α , red and green curves), since HOPS is the only 102 tether which bypasses inhibition by Sec17 (Song and Wickner, 2019).

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104 At the end of these 30 minute incubations, each reaction received a further addition of any 105 components not added at time 0. After this addition, each incubation had Sec17, Sec18, and 106 ATP γ S. Fusion incubations continued in the β portion of the experiment, from 30 minutes to 32 107 minutes, and beyond. Although full-length SNAREs support zippering and fusion with either the

HOPS or GST-PX tether, a kinetic intermediate accumulates which gives some additional fusion 108 109 upon addition of Sec17/Sec18/ATP γ S (Figure 1, B β and D β , black curves). When SNARE zippering and the attendant fusion was blocked by the Qc3 Δ mutation, HOPS-dependent 110 zippering-bypass fusion requires Sec17, Sec18, and ATP γ S (Figure 1C α), as reported (Song et al., 111 2020; 2021). In their absence, fusion intermediate accumulated, since there is rapid fusion 112 upon their addition (Figure 1C β , curves a-c). Strikingly, though the presence of Sec17 from the 113 114 start of the incubation blocks the formation of rapid-fusion intermediate with the GST-PX tether 115 (Figure 1E β , red curve), rapid-fusion intermediate does accumulates with the GST-PX tether when Sec17 is absent, as Sec17 addition at 30minutes triggers fusion (Figure 1E β , curves a and 116 c). Replicates of this experiment were quantified for the fusion rate during the α and β intervals 117 (Figure 1F). Inhibition by Sec17 with the GST-PX tether instead of HOPS is only seen when 118 Sec17 is present from the start of the incubation, prior to *trans*-SNARE assembly (Figure 1E, red 119 120 curve b and green curve d), but once membranes have undergone tethering and trans-SNARE 121 assembly, Sec17 and Sec18 support zippering-bypass fusion without HOPS (Figure 1E β , blue and 122 black curves).

124 Discussion

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126 Sec17 has direct affinity for SNAREs and can inhibit fusion of the organelle (Wang et al., 2000) 127 or block fusion of reconstituted proteoliposomes without HOPS (Mima et al., 2008). HOPS 128 engages each of the 4 SNAREs (Stroupe et al., 2006; Baker et al., 2015; Song et al., 2020) and 129 catalyzes their assembly (Baker et a., 2015; Orr et al., 2017; Jiao et al., 2018; Song et al., 2020) 130 in a manner which renders fusion relatively resistant to Sec17 (Mima et al., 2008; Song and 131 Wickner, 2019). Excessive Sec17 blocks the initial stages of fusion while stimulating fusion when 132 added after trans-SNARE assembly (Zick et al., 2015). With the synthetic tether GST-PX, Sec17 133 which interacts with SNAREs before they assemble into trans-complexes inhibits the 134 subsequent fusion (Song and Wickner, 2019). We now show that once fusion intermediates of 135 trans-SNARE complexes have formed, Sec17 and Sec18 will promote efficient zippering-bypass 136 fusion whether tethering is by HOPS or by the synthetic tether GST-PX (Figure 1C β and E β).

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138 HOPS serves both as a tether (Hickey et al., 2010) and catalyst to initiate SNARE assembly 139 (Baker et al., 2015), but it has been unclear whether it catalyzes the later stages of zippering or is needed for Sec17/Sec18-induced fusion without zippering. The engagement of SNAREs by 140 141 HOPS (Stroupe et al., 2006; Baker et al., 2015; Song et al., 2020) largely bypasses Sec17 142 inhibition. Once SNAREs are partially zippered in trans, Sec17 and Sec18 do not need HOPS to 143 support the completion of fusion. The completion of SNARE zippering is promoted by Sec17 144 (Song et al., 2021), and Sec17 displaces HOPS from SNARE complexes (Collins et al., 2005; 145 Schwartz et al., 2017). The interactions among the SNAREs, Sec17/ α SNAP and Sec18/NSF are 146 seen at a molecular level in the 20s complex, consisting of a 4-SNARE coiled coil anchored to 147 membranes at their C-termini, surrounded by up to 4 Sec17/ α SNAP molecules , and all capped 148 at the membrane-distal end by Sec18/NSF (Zhao, 2015). A "trans-20s" (Song et al., 2021; Rizo 149 et al., 2021) may generally drive fusion, since its elements are common to all exocytic and 150 endocytic trafficking while the tethering complexes of other organelles are varied and often do 151 not have the organelle's SM protein as a tightly-bound subunit like Vps33 is in HOPS (Baker and 152 Hughson, 2016). These findings support the model (Song et al., 2021) that HOPS only acts for 153 tethering and to catalyze the initial phase of zippering in a Sec17-resistant manner. HOPS is 154 specific for fusion at the vacuole/lysosome, but Sec17/ α SNAP, Sec18/NSF, and SNAREs are 155 general elements of exocytic and endocytic vesicular trafficking. Our current findings suggest 156 that Sec17 and Sec18 support of zippering-bypass fusion may not be restricted to the 157 vacuole/lysosome, but may broadly contribute to many SNARE-mediated fusion events.

159 Methods

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161 Reagents were purchased, and proteins purified, as described in Song et al., 2021.

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163 **GST-PX constructions**.

DNA encoding the PX domain from the Qc SNARE Vam7 (Amino acyl residues 2-123) was amplified by PCR with CloneAMP HiFi PCR premix (Takara Bio USA, Mountain View, CA, USA). The amplified DNA fragment was cloned into BamHI and Sall digested pGST parallel1 vector

- 167 (Sheffield et al., 1999) with an NEBuilder HiFi DNA Assembly kit (NEB, Ipswich, MA, USA).
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- 169 For GST-PX

170 F AGGGCGCCATGGATCCGGCAGCTAATTCTGTAGGGAA

- 171 R AGTTGAGCTCGTCGACTATGGCTTTGACAACTGCAGGA
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- 173 GST-PX was prepared as described (Fratti and Wickner, 2007).
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175 Proteoliposome preparation and fusion assays were as described in Song et al. (2021). In brief, proteoliposomes were separately preincubated for 10 min at 27°C with EDTA and GTP, followed 176 177 by addition of MgCl₂, to load the Ypt7 with GTP. After separate preincubation for 10min at 27°C of both proteoliposome preparations and of mixtures of all soluble proteins (HOPS, GST-178 179 PX, Sec17, and Sec18/ATP γ S) empty assay wells received in rapid succession 5 μ l of Ypt7/R proteoliposomes, 5µl of Ypt7/3Q proteoliposomes, and an 8µl mixture of all soluble 180 components. FRET representing fusion was recorded each minute for 30 min, as described 181 182 (Song et al., 2021), then the multiwell plate was withdrawn and 2µl of buffer, Sec17, Sec18, or a 183 mixture of Sec17, Sec18, and Mg:ATPyS was added and the plate returned to the machine in 184 time for the 31 minute time-point and those thereafter. 185

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278 Figure legend

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280 Figure 1. Sec17, Sec18, and ATPyS promote rapid and HOPS-independent fusion without SNARE zippering. Proteoliposomes were prepared with vacuolar lipids, membrane-anchored Ypt7, and 281 282 either R or the 3 Q-SNAREs with molar ratios of 1Ypt7:8,000 lipids and 1 of each SNARE/16,000 283 lipids. Since Qc3 Δ is a labile member of SNARE complexes (Song et al., 2021), we used a 5-fold 284 molar excess to the other SNAREs in preparing Ypt7/QaQbQc3^Δ proteoliposomes. The initial 285 mixtures at t=0 were 18 µl, and remaining components were added at 30min in 2µl. A vertical 286 bar separates the first 30min, termed α , and the 2-minute β interval after further additions. 287 Experiments were repeated in guintuplicate; mean values and standard deviations are shown. 288











