Long-term Transverse Imaging of the Hippocampus with Glass Microperiscopes

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1 ABSTRACT

2 The hippocampus consists of a stereotyped neuronal circuit repeated along the septal-temporal 3 axis. This transverse circuit contains distinct subfields with stereotyped connectivity that support 4 crucial cognitive processes, including episodic and spatial memory. However, comprehensive 5 measurements across the transverse hippocampal circuit *in vivo* are intractable with existing 6 techniques. Here, we developed an approach for two-photon imaging of the transverse 7 hippocampal plane in awake mice via implanted glass microperiscopes, allowing optical access 8 to the major hippocampal subfields and to the dendritic arbor of pyramidal neurons. Using this 9 approach, we tracked dendritic morphological dynamics on CA1 apical dendrites and 10 characterized spine turnover. We then used calcium imaging to guantify the prevalence of place 11 and speed cells across subfields. Finally, we measured the anatomical distribution of spatial 12 information, finding a non-uniform distribution of spatial selectivity along the DG-to-CA1 axis. 13 This approach extends the existing toolbox for structural and functional measurements of 14 hippocampal circuitry.

15

16 **INTRODUCTION**

The hippocampus is critical for episodic and spatial memories^{1–3}, but the neural computations 17 18 underlying these functions are not well understood. The trisynaptic circuit linking entorhinal 19 cortex (EC) to dentate gyrus (DG), DG to CA3, and CA3 to CA1 is believed to endow the 20 hippocampus with its functional capabilities. Since the circuit was first described in the 21 anatomical studies of Ramon v Cajal over a century ago⁴, considerable work has focused on 22 each of the major hippocampal subfields (CA1-3 and DG) to identify their roles in hippocampal 23 processing. The resulting body of literature has indicated that the subfields have related, but distinct roles in pattern separation and completion 5^{-14} , response to novelty 15^{-19} , and the 24 encoding of social variables^{20–22}. Additionally, there appear to be differences between the 25 subfields in place field stability^{19,23,24}. Although this work has increased our understanding of 26 27 each of the subfields individually, it is not clear how neuronal activity is coordinated across the 28 hippocampus.

This lack of knowledge comes, in part, from the technological limitations that prevent the recording of neuronal populations across hippocampal subfields in the same animal.

31 Historically, electrophysiology has been the principal tool used to study the hippocampus.

32 Electrophysiological recordings have the advantage of high temporal resolution and can directly

33 measure spiking, but they are typically limited to small numbers of neurons in particular

34 subfields. Additionally, localization of recorded neurons within the hippocampus is approximate,

35 requiring post-hoc histological analysis to estimate the position of the electrode tracks, and the 36 distances between the recorded neurons and the electrode sites are poorly defined. In recent 37 years, calcium imaging approaches (i.e. single photon mini-endoscopes and two-photon 38 microscopy) have been used to record hippocampal activity, allowing for the simultaneous 39 measurement of large numbers of neurons with known spatial relationships^{24–29}. However, these 40 approaches require aspiration of the overlying neocortex and are generally restricted to a single 41 subfield for each animal.

Taken together, current experimental techniques are limited in their ability to: (1) record
response dynamics and coordination across the hippocampus, (2) identify and distinguish
between different neural subtypes, (3) allow for the chronic recording of cells across subfields,
and (4) resolve key cellular structures, such as apical dendritic spines.

46 To address these challenges, we have developed a procedure for transverse imaging of 47 the trisynaptic hippocampal circuit using chronically implanted glass microperiscopes. As has been found with previous studies using implanted microprisms in cortex^{30–33}, the neural tissue 48 49 remained intact and healthy for prolonged periods of time (up to 10 months), and both dendritic 50 structure and calcium activity could be repeatedly measured in behaving mice. Optical modeling 51 and point spread function measurements showed that axial resolution is decreased compared to 52 traditional cranial windows, but is sufficient to image individual apical dendritic spines in 53 hippocampal neurons several millimeters below the pial surface. Using this approach, we 54 quantified spine turnover in CA1 apical dendrites across days. We then measured functional 55 responses from CA1, CA3, and DG in head-fixed mice as they explored a floating carbon fiber arena^{34,35}. We found neurons in all regions whose activity met criteria to be considered place 56 57 cells (PC) and speed cells (SC). Further, we found non-uniform distributions of spatial 58 information across the extent of the DG-to-CA1 axis, in agreement with earlier electrophysiological studies^{36–38}. Taken together, this approach adds to the existing 59 60 neurophysiological toolkit by enabling chronic structural and functional measurements across 61 the entire transverse hippocampal circuit. 62

63 **RESULTS**

64 Optical access to the transverse hippocampus using implanted microperiscopes

In order to image the transverse hippocampal circuit using two-photon (2P) imaging, we

66 developed a surgical procedure for chronically implanting a glass microperiscope into the septal

67 (dorsomedial) end of the mouse hippocampus (Fig. 1A; see Methods). For imaging CA1 only,

68 we used a 1 mm x 1 mm x 2 mm microperiscope (v1_{CA1}; Fig 1B, left), and for imaging the entire

69 transverse hippocampus (CA1-CA3, DG), we used a 1.5 mm x 1.5 mm x 2.5 mm 70 microperiscope ($v2_{HPC}$; Fig 1B, right). The microperiscope hypotenuse was coated with 71 enhanced aluminum in order to reflect the imaging plane orthogonally onto the transverse plane 72 of the hippocampus (Fig. 1C, D). To insert the microperiscope, we made a single incision 73 through the dura and tissue, then lowered the tip of the microperiscope into the incision, pushing 74 the cortical tissue medially. Although this approach eliminated the need for the aspiration of cortical tissue typically performed prior to hippocampal imaging²⁵, it nonetheless results in 75 76 severed connections and compressed tissue medial to the implant. Since the septal end of the 77 hippocampus is affected by the implant, we used immunohistochemistry to quantify the effect of 78 microperiscope implantation on microglia and astrocyte proliferation as a function of distance from the prism face (Fig. 1E). Similar to previous research using microprism implants³¹, we 79 80 found an increase in the prevalence of astrocytes and microglia <200 μ m from the 81 microperiscope face, but the prevalence decreased past this distance and was indistinguishable 82 from the control hemisphere 300-400 μ m from the microperiscope face (Fig. 1F).

83 Use of the microperiscope requires imaging through several millimeters of glass, which 84 could cause beam clipping or optical aberrations, resulting in decreased optical resolution. To determine the extent to which this occurred in our experiments, we modeled the expected point 85 86 spread function and compared it to the experimentally-determined point spread function 87 measurements using fluorescent microspheres (Fig. S1). Compared to a standard cranial 88 window, we found that the lateral resolution of the microperiscope, measured as the full width at 89 half maximum (FWHM) of the fluorescent microsphere profile, was similar to a standard cover 90 slip (coverslip: 0.7 μ m; v1_{CA1} microperiscope: 1.0 μ m; 2.5 mm microperiscope: 0.7; Fig. S1), 91 while the axial resolution of the craniotomy window with the microperiscope was significantly 92 lower (coverslip: 3.0 μ m; 2 mm microperiscope: 9.0 μ m; 2.5 mm microperiscope: 7.0 μ m; Fig. 93 S1). Optical modeling indicates that the decrease in axial resolution is predominantly due to 94 clipping of the excitation beam, resulting in a reduction of the functional numerical aperture of 95 the imaging system (theoretical point spread function of $v1_{CA1}$ microperiscope: 10.9 μ m; $v2_{HPC}$ 96 microperiscope: 7.7 μ m; see Methods) rather than optical aberrations. As a result, use of 97 adaptive optics did not significantly improve the axial resolution, though adaptive optics did 98 improve the signal intensity by 40-80% (data not shown). Despite the decrease in axial 99 resolution resulting from the microperiscopes, the resolution is still sufficient to clearly image 100 individual HPC neurons (Fig. 1C) and sub-micron morphological structures (Fig. 2). 101

102

103 Resolving spines on the apical dendrites of CA1

104 Dendritic spines are highly dynamic and motile structures that serve as the postsynaptic sites of excitatory synapses in the hippocampus^{39,40}. Previous *in vitro* studies suggest a role for dendritic 105 106 spines in structural and functional plasticity, but the transient and dynamic nature of these 107 structures make them ideally suited to being studied *in vivo*^{41–43}. Although existing techniques allow imaging of spines on the basal CA1 dendrites near the surface of the hippocampus^{43,44}. 108 109 imaging the apical dendritic spines of hippocampal neurons has not previously been possible. 110 Using the microperiscope, we were able to track dendritic spine dynamics throughout the 111 somato-dendritic axis of both CA1 and CA3 neurons in intact mice. 112 In order to visualize apical dendrites in CA1 neurons, we implanted a cohort of Thy1-GFP-M mice, sparsely expressing GFP in a subset of pyramidal neurons⁴⁵, with v1_{CA1} 113 114 microperiscopes (Fig. 2A: n = 7 mice). We focused on CA1 apical dendrites, but both apical and 115 basal dendrites could be imaged in CA1-3 neurons. Although it is theoretically possible to image 116 DG dendritic structures using the microperiscope, the Thy1-GFP-M mouse line has dense 117 expression throughout DG (Fig. 1C), which prevented clear identification of distinct processes. 118 To resolve individual spines along the dendrite, high-resolution images were taken from several

axial planes spanning the segment, and a composite image was generated using a weighted
 average of individual planes (Fig. 2B, C; see Methods). We reduced noise by filtering and

binarizing and isolated dendrites of interest for tracking across days (Fig. 2B-D; Fig. S2A; seeMethods).

123 Previous studies have shown that dendritic spines fall into four major morphological subtypes: filopodium, thin, mushroom, and stubby^{46–48}. We found that our resolution was 124 125 sufficient to classify dendritic spines into their relative subtypes and evaluate density and 126 turnover based on these parameters (Fig. 2E, F; Fig. S2B). Consistent with previous studies, we 127 found a non-uniform distribution of dendritic spines: 30.4% thin, 41.0% stubby, 26.2% 128 mushroom, and 2.3% filopodium (F(3,100) = 51.47, p < 0.0001, one-way ANOVA; Fig. 2E). The low proportion of filopodium found in this and previous 2P imaging and histological studies^{49,50} 129 (2-3%), as compared to electron microscopy studies^{51,52} (~7%), may result from the narrow 130 width of these structures causing them to fall below the detection threshold. Consistent with 131 previous work^{53–55}, we found that particular classes, such as filopodium, had a high turnover 132 133 rate, while other classes were more stable across sessions (F(3,100) = 7.17, p < 0.001, one-134 way ANOVA; Fig. 2F). 135 We found that total turnover dynamics reflect 15.0% \pm 2.0% spine addition and 13.0% \pm

136 1.9% spine subtraction across consecutive days (Fig. 2H, I). We computed the survival fraction,

a measure indicating the fraction of spines still present from day one⁴²⁻⁴⁴. Although daily spine 137 138 addition and subtraction was 15.0% in our original analysis (Fig. 2H, I), the survival fraction 139 curve yields a more conservative estimate of turnover dynamics. Across 10 days, we found a 140 23.5% net loss in original spines (Fig. 2G), indicating that most spine turnover takes place within 141 an isolated population of transient spines. Both our cumulative turnover and survival fraction results were similar to previous findings from basal dendrites in CA1^{43,44}, indicating that apical 142 143 and basal dendrites exhibit similar spine dynamics. Although we only tracked spine turnover for 144 up to 10 days, we found that we could identify the same dendritic processes over long time 145 periods (up to 150 days; Fig. S3), allowing for long-term longitudinal experiments tracking 146 isolated dendritic structures.

147

148 Recording place and speed cells in CA1, CA3, and DG

Much of the experimental work testing the hypothesized roles of CA1, CA3, and DG neurons
has come from place cell (PC) recordings^{7–9,13,56,57}. While the results of these studies have been
instrumental, it has not been possible to measure activity throughout the transverse
hippocampal circuit in the same animal. We therefore investigated the ability of our
microperiscope to record from PCs in each of the hippocampal subfields during exploration of a
spatial environment.

To measure functional responses, we implanted $v2_{HPC}$ microperiscopes in transgenic 155 156 mice expressing GCaMP6s in glutamatergic neurons^{58,59} (see Methods). As with the Thy1-GFP-M mice, we were able to image neurons from CA1-CA3, and DG in the same animal (Fig. 3A, B; 157 158 Video S1). In some cases, depending on microperiscope placement, we were able to record 159 from all three areas simultaneously (Fig. S4). In all HPC subfields, we found normal calcium 160 dynamics with clear transients (Fig. 3C, D; Videos S2, S3). The imaging fields remained stable 161 and the same field could be imaged over 100 days later (Fig. 3E). In addition, microperiscope implantation allowed measurement of neural responses from mossy cells in the dentate gyrus 162 163 (Figs. S4, S5A) and, depending on prism placement, simultaneous imaging of deep-layer 164 cortical neurons in parietal cortex (Fig. S5B).

As 2P microscopy generally requires the animal to be head-fixed, the behavioral assays used to probe PC activity are limited. Previous work has made use of virtual reality (VR)^{24,25}, however it remains unclear how similar rodent hippocampal activity in real world environments is to that in VR^{60,61}. To study PC activity in a physical environment, our head-fixed mice explored a carbon fiber arena that was lifted via an air table³⁴ (Fig. 4A; see Methods). The mice were thus able to navigate the physical chamber by controlling their movement relative to the floor. 171 Although this approach lacks the vestibular information present in real world navigation, it 172 captures somatosensory and proprioceptive information missing from virtual environments. 173 Moreover, recent work has found that place field width and single cell spatial information using this approach is comparable to the responses of free foraging animals³⁵. For measurement of 174 175 place fields, we allowed mice to navigate a curvilinear track over the course of 20-40 minutes (Video S4). As found previously³⁵, using a curvilinear track allowed for robust sampling of the 176 177 spatial environment and improved place field localization, though they could also be measured 178 in the open field. In order to measure spatial properties of the hippocampal neurons 179 independent of reward, we relied on exploration rather than active reward administration for 180 sampling of the environment.

181 To characterize place fields, we recorded from neurons in CA1, CA3, and DG (CA1: n =1026; CA3: n = 832; and DG: n = 463) in transgenic mice with panexcitatory expression of 182 183 GCaMP6s (Fig. 4B; n = 8 mice). We found PCs in all three subfields, with a distribution that was in general agreement with previous 2P imaging experiments^{19,24} (Fig. 4C, F; CA1: 31.7%; CA3: 184 185 24.5%; DG: 17.7%; see Methods) and with fields that spanned the entirety of the track (Fig. 4E). 186 The spatial information and place field width of the CA1 place cells were similar to those found 187 in a previous study using the same floating chamber design³⁵. We found that spatial information 188 was highest in CA1 (Fig. 4H; CA1: 0.86 \pm 0.03 bits/inferred spike; CA3: 0.74 \pm 0.04 189 bits/inferred spike; DG: 0.62 \pm 0.05 bits/inferred spike; mean \pm s.e.m.) and that place field 190 widths were comparable across the three regions (Fig. 4G; CA1: 18.3 \pm 0.4 cm; CA3: 18.1 \pm 191 0.5 cm; DG: 18.7 \pm 0.7 cm; mean \pm s.e.m.). As cells responsive to speed have recently been found in the medial EC^{62,63} and CA1^{63,64}, we also identified neurons as speed cells (SCs) if their 192 activity was significantly related to running speed⁶² (see Methods). We found SCs in CA1, CA3, 193 194 and DG, with all areas having cells that showed both increased and decreased activity with 195 higher running speeds (Fig. 4D, F). Speed cells were most abundant in DG (Fig. 4F: CA1: 9.1%: 196 CA3: 13.5%; DG: 30.2%), consistent with past work that found it was possible to decode the 197 speed of freely moving animals from the activity of DG, but not CA1⁵⁷. 198

199 Distribution of PC properties along the DG-to-CA1 axis

Recent work has suggested that PC properties are heterogeneously distributed along the extent
 of the DG-to-CA1 axis. In particular, place field width and spatial information have been found to
 vary among different subregions of CA3, with dorsal CA3 (dCA3)/CA2 having lower spatial
 information and larger place fields than medial CA3 (mCA3)^{36–38}, and proximal CA3 having
 values that were most similar to DG¹³. Such distributions could be supported by known

anatomical gradients in connectivity of CA3^{65–69}. However, given that these studies required 205 206 separate animals for the recording of each location along the DG-to-CA1 axis, and that 207 electrophysiology has limited spatial resolution along the transverse axis, we used the 208 microperiscopes to measure these properties throughout the DG-to-CA1 axis in the same mice. 209 Using the $v2_{HPC}$ microperiscope, we simultaneously imaged from several hundred cells 210 (range: 270-292 neurons) extending from pCA3 to pCA1 (Fig. 5A). Recordings from distinct 211 imaging planes in different mice (n = 3) were compared by calculating the distance of individual 212 cells from the inflection point of the DG-to-CA1 transverse axis (Fig: 5A; see Methods). In agreement with previous studies^{36–38}, we found a non-uniform distribution of spatial information 213 214 along this axis (Fig. 5B, C; F(6, 801) = 2.67, p = 0.01, General Linear F-test against a flat 215 distribution with the same mean). In particular, we found that pCA3 cells had spatial information 216 that was closer in value to those in DG (Fig. 5C; Fig. 4H), and that mCA3 had spatial 217 information that was greater than dCA3/CA2 (Fig. 5B, C). We found that place field widths were 218 smallest in mCA3, and largest in dCA3/CA2, although we failed to find a statistically significant 219 non-uniform distribution with respect to place field width across the extent of the DG-to-CA1 axis 220 (Fig. S6; F(4, 221) = 1.61, p = 0.17, General Linear F-test against a flat distribution with the 221 same mean).

222

223 **DISCUSSION**

224 The microperiscope hippocampal imaging procedure we developed allows researchers, for the 225 first time, to chronically image neuronal structure and functional activity throughout the 226 transverse hippocampal circuit in awake, behaving mice. This approach builds on microprism procedures developed for imaging cortex^{30–32}, allowing multiple hippocampal subfields in the 227 228 same animal to be accessed optically. Using the microperiscope, we were able to resolve 229 spines on the apical dendrites of CA1 pyramidal cells and track them across time. Additionally, 230 we were able to characterize place cells (PCs) and speed cells (SCs) in all three hippocampal 231 subfields, and investigate their anatomical distribution across subfields.

232

233 Comparison to other methods

Historically, electrophysiology has been the principal tool used to study the hippocampus.

235 Electrophysiological recordings have much higher temporal resolution than calcium imaging and

can directly measure spikes, but have limited spatial resolution. Our approach allows large scale

- imaging of neurons across multiple hippocampal subfields, with known spatial and
- 238 morphological relationships. In addition, our approach allows genetically-controlled labeling of

particular cell types, imaging of cellular structures such as dendrites and spines, and
 unequivocal tracking of functional and structural properties of the same cells across time, none
 of which are possible with existing electrophysiological approaches.

Several approaches making use of optical imaging have been developed for use in the 242 243 hippocampus. These include gradient index (GRIN) lenses^{70,71}, and cannulas that can be combined with both one-photon (1P) head-mounted microendoscopes²⁶⁻²⁸ and 2P 244 imaging^{24,25,29}. These methods have been limited to horizontal imaging planes, making it difficult 245 246 to image CA3 and DG, and intractable to image all three subfields in the same animal. While all 247 of these methods cause damage to the brain, and some require the aspiration of the overlying 248 cortex, the damage is largely restricted to superficial hippocampus. This contrasts with the 249 implantation of our microperiscope, which is inserted into the septal end of the hippocampus 250 and necessarily causes some damage to the structure. Despite this, we find normal response 251 properties, including selectivity for location and speed, in CA1-CA3 and DG (Fig. 4). Damage in 252 the direction orthogonal to the transverse axis caused by the implantation of the microperiscope is similar to that caused by microprisms in cortex³¹, as glial markers were found to decay to 253 254 baseline levels 300-400 μ m away from the face of the microperiscope. We showed that, 255 following successful implantation, the imaging fields were stable and the same cells could be 256 imaged up to 3 months later (Fig. 3C). However, we emphasize that tissue damage caused by 257 the microperiscope assembly to the hippocampus should be taken into consideration when 258 planning experiments and interpreting results.

259

260 Structural and functional properties along the transverse hippocampal circuit

We utilized the microperiscope in two experiments that would not have been possible with existing methods: (1) we tracked the spines on apical CA1 dendrites *in vivo* (Fig. 2); (2) we simultaneously recorded from PCs along the extent of the DG-to-CA1 transverse axis (Fig. 5).

264 Several studies have tracked the spines of basal CA1 dendrites *in vivo* by imaging the dorsal surface of the hippocampus^{43,44}. However, spines on the apical dendrites have not been 265 266 tracked in vivo. Given that these spines make up the majority of the input to CA1 pyramidal 267 neurons, there is a significant need for understanding their dynamics. Using the microperiscope, 268 we tracked isolated apical dendrites for up to 10 consecutive days (Fig. 2D). We found 269 moderate addition and subtraction across days, indicating dynamic turnover in apical dendrites (Fig 2H, I). However, consistent with previous studies in basal spines^{43,44}, we found the majority 270 271 of spines (76.5%) survived throughout the imaging period (Fig. 2G). This high survival fraction 272 suggests that the cumulative turnover rates we observe are reflective of a distinct pool of

transient spines, while the majority of spines remain stable across days over a longer timescale.
 These results add to the growing notion that, even in the absence of salient learning and reward
 signals, dendritic spines are dynamic structures^{43,72,73}. Understanding the nature and timescales
 of these dynamics has significant implications for the reported instability of PCs^{23,24,27,74–76}.

- 277 Previous studies have found gradients of connectivity in CA3^{65–69}, suggesting that there 278 may be functional gradients as well. Electrophysiological recordings have indeed found that 279 spatial information and place field width vary as a function of distance along the DG-to-CA1 axis $^{36-38}$, and that the most proximal part of CA3 is functionally more similar to DG than to the 280 281 rest of CA3¹³. This has led to a more nuanced understanding of the hippocampal circuit, with 282 coarse anatomical subdivisions having finer functional subdivisions. However, given that these 283 previous studies relied on recordings in different animals for each location along the DG-to-CA1 284 axis, and given electrodes' poor spatial resolution in the transverse axis, the results have had to 285 be cautiously interpreted. Using the microperiscope, we imaged several hundred neurons in 286 multiple mice along the extent of the DG-to-CA1 axis (Fig. 5A). The location of each neuron 287 relative to the CA3 inflection point could be easily identified, allowing for unequivocal 288 characterization of spatial information and place field width along the DG-to-CA1 axis across several mice (Fig. 5B, C; Fig. S6). Similar to the previous studies^{36–38}, we found a non-uniform 289 290 distribution of spatial information (Fig. 5B, C). Place field width also appeared to vary along the 291 DG-to-CA1 axis, though we failed to find statistically significant non-uniformity with respect to 292 place field width (Fig. S6B). Indeed, we found that spatial information, but not place field width, 293 differed significantly between CA1, CA3, and DG (Fig. 4H). Given the high spatial resolution and 294 ability to simultaneously record from cells across the DG-to-CA1 axis using this approach, our 295 results strengthen the hypothesis of distributed spatial coding across hippocampal subfields.
- 296

297 Future applications

298 This paper explored a few possible uses for the microperiscope in interrogating the hippocampal 299 circuit. However, there are a number of candidate applications we did not pursue in this 300 manuscript that could reveal novel insight into hippocampal function. Here, we describe several 301 potential applications: (1) The microperiscope allows for the recording from multiple 302 hippocampal subfields simultaneously (Fig. S4), allowing investigation of interactions between 303 neurons in different subfields during behavior. This could also be useful for determining the 304 effect of neuron- or subfield-specific optogenetic manipulations on downstream subfields. (2) 305 The microperiscope enables the investigation of local circuits by allowing morphological and 306 genetic identification of different cell types. This includes identifying genetically-distinct

307 hippocampal neurons (e.g. CA2 neurons, specific interneuron subtypes) as well was identifying 308 particular cell types by position or morphological characteristics (e.g. mossy cells in DG; Fig. 309 S5A). As these distinct cell types play important roles in hippocampal function^{20,77–81}, having 310 access to them will enable a greater understanding of the hippocampal circuit. (3) The 311 microperiscope can be combined with retrograde-transported viruses to allow projection-based 312 cell identification, making it possible to identify neurons that project to specific downstream 313 targets. Since hippocampal neurons that project to different brain regions have been found to 314 exhibit distinct functional properties (e.g. neurons in ventral CA1 that project to the nucleus accumbens shell have been implicated in social memory²¹), the union of these tools will be a 315 powerful means for understanding hippocampal outputs. (4) Finally, the microperiscope 316 317 provides access to the entire dendritic tree of pyramidal neurons (Figs. 1C, 2A, 4B; Video S2), giving optical access to dendritic signaling over a much larger spatial extent than has been 318 previously possible^{82–84}. By sparsely expressing calcium or glutamate sensors in hippocampal 319 320 pyramidal neurons, spines throughout the somatodendritic axis could be imaged, allowing 321 determination of how place field responses arise from the responses of individual spines, similar 322 to experiments in visual cortex investigating the cellular origin of orientation tuning from synaptic inputs^{85,86}. 323

Combined with electrophysiological and traditional imaging approaches, imaging of the transverse hippocampal circuit with microperiscopes will be a powerful tool for investigating hippocampal circuitry, structural dynamics, and function.

FIGURES

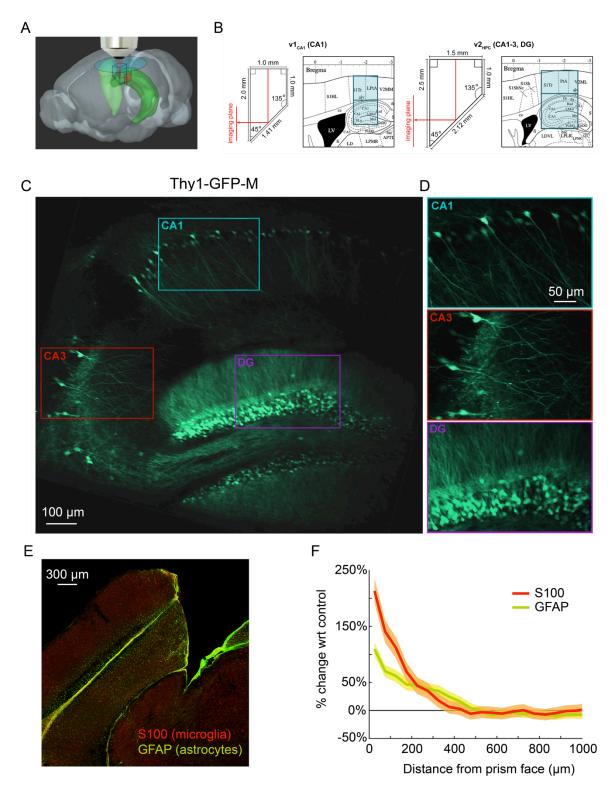


Figure 1. Implanted microperiscopes allow imaging of the hippocampal transverse plane.

- (A) Three-dimensional schematic⁸⁷ illustrating microperiscope implantation and light path for hippocampal imaging.
- (B) Schematics⁸⁸ showing the imaging plane location of $v1_{CA1}$ (1 mm imaging plane, 2 mm total length) and $v2_{HPC}$ (1.5 mm imaging plane, 2.5 mm total length) microperiscopes.
- (C) Tiled average projection of the transverse imaging plane using the v2_{HPC} microperiscope implant in a Thy1-GFP-M transgenic mouse. Scale bar = 100 μ m.
- (D) Enlarged images of hippocampal subfields (CA1, CA3, DG) corresponding to the rectangles in (C). Scale bar = 50 μ m.
- (E) Example histological section stained for microglia (S100 red) and astrocytes (GFAP green). Scale bar = 300 μ m.
- (F) Quantification of microglia and astrocyte density as a function of distance from the prism face, normalized to the density in the unimplanted contralateral hemisphere (n = 2 mice; mean \pm bootstrapped s.e.m.).

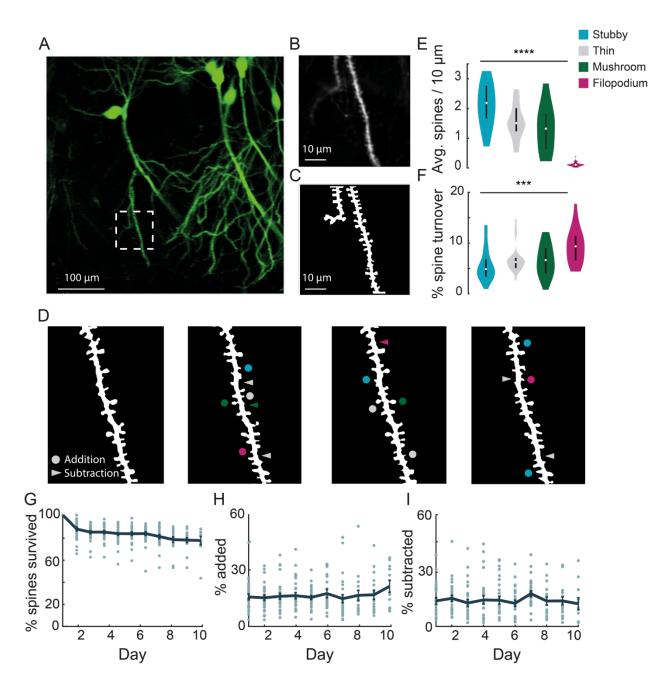


Figure 2. Chronic imaging of spine morphology in CA1 apical dendrites.

- (A) Average projection of CA1 neurons sparsely expressing a GFP reporter (Thy1-GFP-M) imaged through the v1_{CA1} microperiscope. Scale bar = 100 μ m.
- (B) Weighted projection (see Methods) of the apical dendrites shown in the dashed box of (A). Scale bar = 10 μ m.
- (C) Filtered and binarized image (Figure S2A; see Methods) of the dendrites in (B) to allow identification and classification of individual dendritic spines. Scale bar = 10 μ m.

- (D) Tracking CA1 dendritic spines over consecutive days on a single apical dendrite. Arrowheads indicate subtracted spines and circles indicate added spines. Colors indicate spine type of added and subtracted spines: filopodium (magenta), thin (grey), stubby (blue), and mushroom (green).
- (E) Average number of spines per 10 μ m section of dendrite, for each of the four classes of spine (*n* = 26 dendrites from 7 mice). one-way ANOVA, *F*(3,100) = 51.47, *****p* < 0.0001
- (F) Percent spine turnover across days in each spine type (n = 26 dendrites from 7 mice). one-way ANOVA, F(3,100) = 7.17, ***p < 0.001.
- (G) Spine survival fraction across processes (*n* = 26 dendrites from 7 mice) recorded over 10 consecutive days.
- (H) Percent of spines added between days over 10 days of consecutive imaging.
- (I) Percent of spines subtracted between days over 10 days of consecutive imaging.

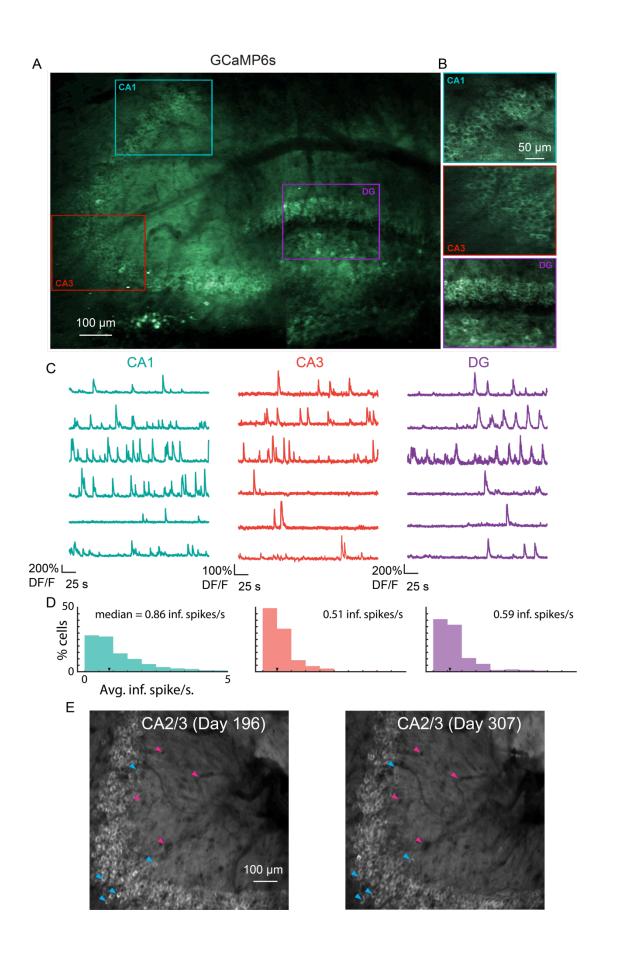


Figure 3. Microperiscope imaging of calcium dynamics across subfields in awake mice.

- (A) Example tiled image (as in Fig. 1C) for a single panexcitatory transgenic GCaMP6s mouse (Slc17a7-GCaMP6s). Scale bar = 100 μ m.
- (B) Enlarged images of each hippocampal subfield (CA1, CA3, DG) corresponding to the rectangles in (A). Scale bar = 50 μ m.
- (C) Example GCaMP6s normalized fluorescence time courses (% DF/F) for identified cells in each subfield.
- (D) Distribution of average inferred spiking rate during running for each hippocampal subfield (CA1, CA3, DG). Median is marked by black arrowhead.
- (E) Example average projection of CA2/3 imaging plane 196 days post implantation (left) and 111 days later. Image was aligned using non-rigid registration (see Methods) to account for small tissue movements. Magenta arrowheads mark example vasculature and blue arrowheads mark example neurons that are visible in both images. Scale bar = $100 \ \mu$ m.

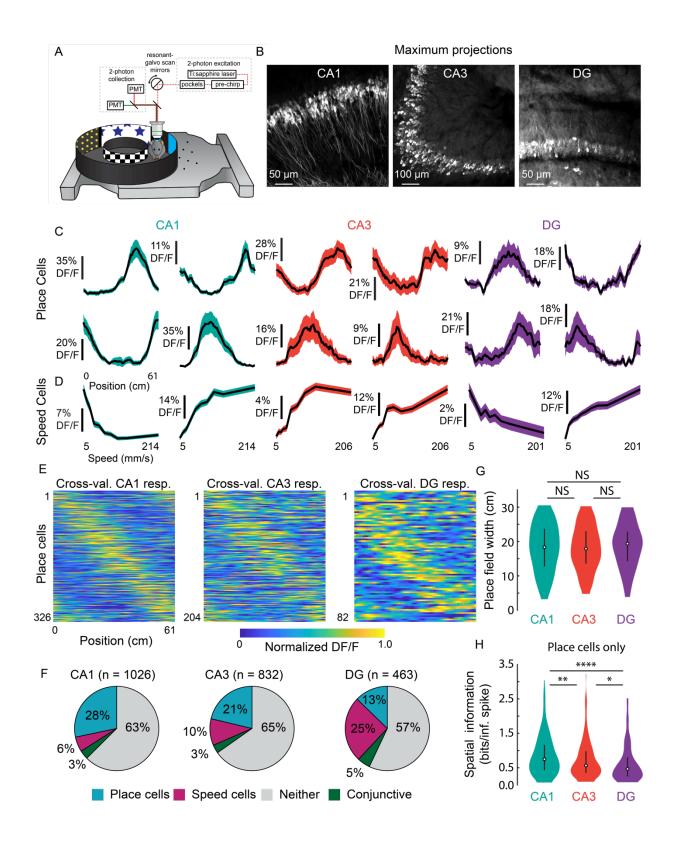


Figure 4. Prevalence of place and speed cells across hippocampal subfields.

- (A) Schematic of air-lifted carbon fiber circular track (250 mm outer diameter) that the mice explored during imaging (Video S4). Four sections of matched visual cues lined the inner and outer walls.
- (B) Example maximum projections of GCaMP6s-expressing neurons in each subfield. Scale bar = 50, 100, 50 μ m respectively.
- (C) Plots of mean normalized calcium response (% DF/F) versus position along the circular track for four identified place cells (PCs) in each subfield. Shaded area is s.e.m.
- (D) Plots of mean calcium response (% DF/F) versus speed along the circular track for two identified speed cells (SCs) in each subfield. Shaded area is s.e.m.
- (E) Cross-validated average responses (normalized DF/F) of all PCs found in each subfield, sorted by the location of their maximum activity. Responses are plotted for even trials based on peak position determined on odd trials to avoid spurious alignment.
- (F) Distribution of cells that were identified as PCs, SCs, conjunctive PC+SCs, and noncoding in each subfield.
- (G) Distribution of place field width for all place cells in each subfield. Error bars indicate the interquartile range (75th percentile minus 25th percentile). Two-sample Kolmogorov-Smirnov test: CA1-CA3, *p* = 0.47; CA3-DG, *p* = 0.21; CA1-DG, *p* = 0.58. NS, not significant (*p* > 0.05).
- (H) Distribution of spatial information (bits per event) for all place cells in each subfield. Error bars are the same as in (G). Two-sample Kolmogorov-Smirnov test: CA1-CA3, p = 0.0087; CA3-DG, p = 0.04; CA1-DG, $p = 7.6 \times 10^{-5}$. *p < 0.05, **p < 0.01, ****p < 0.0001.

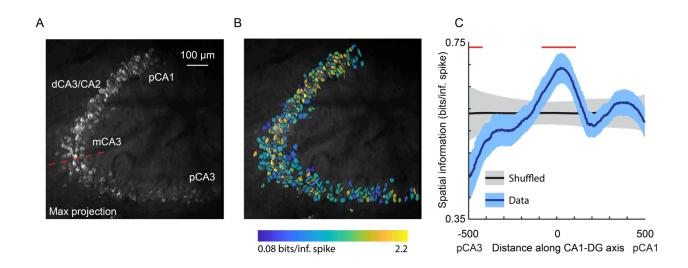


Figure 5. Spatial selectivity of neurons varies along the DG-to-CA1 axis.

- (A) Maximum projection of an example DG-to-CA1 axis recording. Approximate locations of CA3 and CA1 subfields are labeled. Inflection point labeled with red line. Scale bar = $100 \ \mu$ m.
- (B) Spatial information (bits/inferred spike) pseudo-colored on a logarithmic scale, for each neuron overlaid on the maximum projection in (A).
- (C) Spatial information, as a function of distance along the DG-to-CA1 axis (pCA3 to dCA1), of real data (blue) vs. shuffled control (black). Shaded area is bootstrapped s.e.m. Red lines indicate values that are outside the shuffled distribution (p < 0.05). A general linear F-test revealed significant non-uniformity (n = 3 fields from 3 mice; F(6, 801) = 2.67, p = 0.01).

SUPPLEMENTAL FIGURES

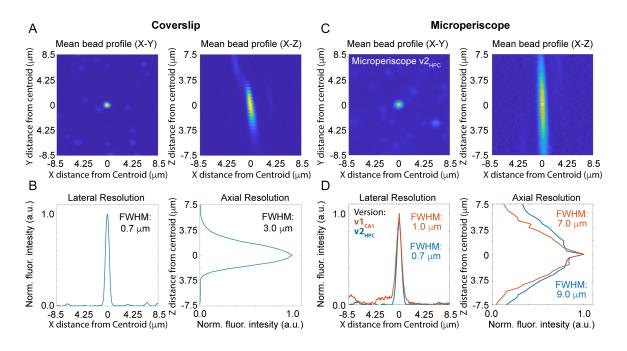


Figure S1: Lateral and axial resolution of cranial window and microperiscopes.

- (A) Average X-Y profile (left) and X-Z profile (right) of 0.1 μ m fluorescent microspheres (*n* = 17 microspheres) embedded in agar under a single glass coverslip (0.15 mm thickness), imaged with a 16x/0.8NA Nikon objective.
- (B) Plot of normalized fluorescence intensity profile of X dimension (lateral resolution; FWHM = 0.7 μ m) and Z dimension (axial resolution; FWHM = 3.0 μ m) through the centroid of the microsphere (*n* = 17 microspheres).
- (C) Average X-Y profile (left) and X-Z profile (right) of 0.1 μ m fluorescent microspheres (*n* = 20 microspheres) imaged through the v2_{HPC} microprism (2.5 mm path length through glass) with a 16x/0.8NA Nikon objective.
- (D) Plot of normalized fluorescence intensity profile of X dimension (lateral resolution; $v1_{CA1}$ FWHM = 1.0 μ m; $v2_{HPC}$ FWHM = 0.7 μ m) and Z dimension (axial resolution; $v1_{CA1}$ FWHM = 7.0 μ m; $v2_{HPC}$ FWHM = 9.0 μ m) through the centroid of the microsphere (*n* = 10 microspheres for $v1_{CA1}$, *n* = 20 microspheres for $v2_{HPC}$).

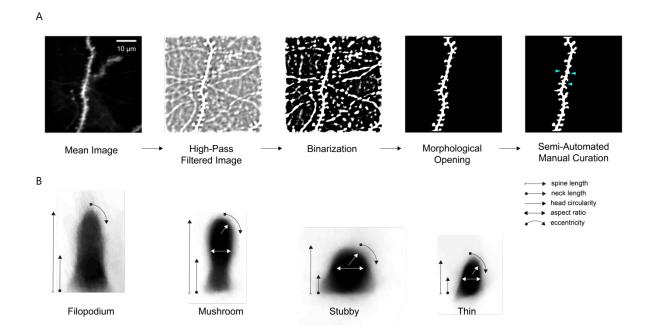


Figure S2: Dendritic morphology image processing pipeline and spine classification.

- (A) Steps in dendritic morphology image processing pipeline (see Methods). Weighted mean image is obtained by acquiring average projections from 4 planes spaced by 3 μ m and weighting the images around the brightest part of the dendrite. Next, the mean image is high-pass filtered to reveal fine structures. The image is then binarized using a global threshold, to filter out less prominent dendrites. Morphological opening is applied to remove any elements of the high-pass filtered image that survive the binarization process that are too small to be the principal dendrite. A semi-automated manual curation process is then used to add back any individual spines that were lost during binarization and remove any unwanted dendritic segments. Cyan arrowheads indicate manually added spines. To isolate the spines, the image is skeletonized and structures that protruded laterally from the dendritic shaft and had a total area of >1 μ m² were identified as spines. Scale bar = 10 μ m.
- (B) Mean profile of all filopodium, mushroom, stubby, and thin spines (n = 47, 431, 695, and 495, respectively), computed by averaging across the registered spines of each morphological classification. Spines were classified according to spine length, neck length, neck width, head circularity, and eccentricity (see Methods).

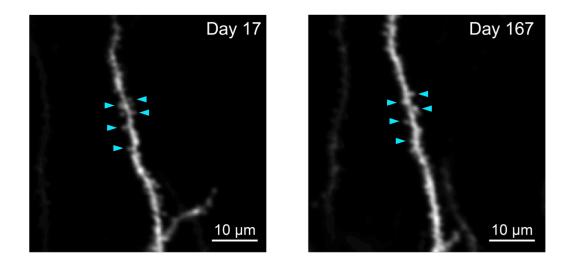


Figure S3: Long-term imaging of the same dendrite.

Weighted average projection of the same dendritic process 150 days apart. Spines present at both time points indicated by cyan arrowheads. Scale bar = 10 μ m.

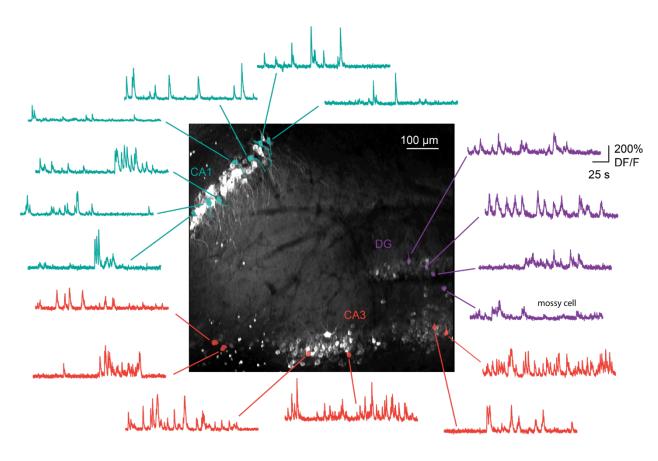


Figure S4. Simultaneous imaging of all three hippocampal subfields. Maximum projection, and example GCaMP6s fluorescence time courses for identified cells, from a recording in which CA1 (n = 55 cells), CA3 (n = 158), and DG (n = 28) were simultaneously recorded from the same image plane. Scale bar = 100 μ m.

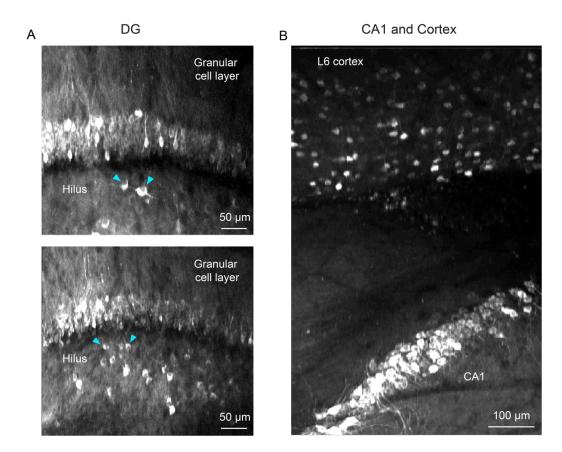


Figure S5. Additional applications for microperiscope imaging.

- (A) Two maximum projections of imaging planes in which both the granular cell layer and the hilus in the DG could be imaged simultaneously. Example putative mossy cells indicated with cyan arrowheads. Scale bar = 50 μ m.
- (B) Maximum projection from an imaging session in which both CA1 and L6 of the neocortex are visible, allowing simultaneous imaging of neurons in CA1 and deep layers of the parietal cortex. Scale bar = 100 μ m.

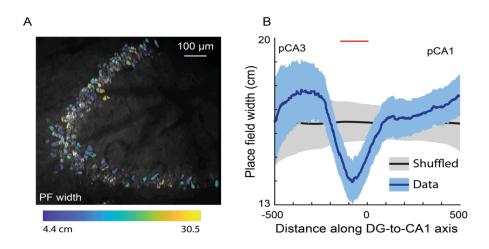


Figure S6. Place field width along the DG-to-CA1 axis.

- (A) Place field width pseudo-colored for each place cell overlaid on the maximum projection from Fig. 5A. Any cell that was not a place cell is not pseudo-colored. Scale bar = 100 μ m.
- (B) Place field width, as a function of distance along the DG-to-CA1 axis (pCA3 to pCA1), of real data (blue) vs. shuffled control (black). Shaded area is s.e.m. Red lines indicate individual positions with place field values that were outside of the shuffled distribution (p < 0.05). However, a General Linear F-test revealed no significant non-uniformity: *F*(4, 221) = 1.61, *p* = 0.17.

SUPPLEMENTAL VIDEOS

Video S1. Demonstration of two-photon imaging of a SIc17a7-GCaMP6s mouse through the microperiscope. Recording starts in the superficial cortex in front of microperiscope, then moves through the microperiscope to the hippocampus, zooming in on subfields CA1, CA3, and DG.

Video S2. Calcium activity in subfield CA1 in a Slc17a7-GCaMP6s mouse imaged through the microperiscope.

Video S3. Calcium activity in subfield DG in a Slc17a7-GCaMP6s mouse imaged through the microperiscope.

Video S4. Mouse running in the floating chamber circular track. Ambient light is higher than usual levels for improved video quality.

327 METHODS

328 Animals

- For dendritic morphology experiments, Thy1-GFP-M (Jax Stock #007788) transgenic mice (*n* =
 7) were used for sparse expression of GFP throughout the forebrain. For forebrain-wide calcium
- 331 indicator expression, Emx1-IRES-Cre (Jax Stock #005628) x ROSA-LNL-tTA (Jax Stock
- 332 #011008) x TITL-GCaMP6s (Jax Stock #024104) triple transgenic mice (*n* = 2) or Slc17a7-
- 333 IRES2-Cre (Jax Stock #023527) x TITL2-GC6s-ICL-TTA2 (Jax Stock #031562) double
- transgenic mice (n = 6) were bred to express GCaMP6s in excitatory neurons. For imaging
- experiments, 8-51 week old (median 17 weeks) mice of both sexes (6 males and 9 females)
- were implanted with a head plate and cranial window and imaged starting 2 weeks after
- 337 recovery from surgical procedures and up to 10 months after microperiscope implantation. The
- animals were housed on a 12 hr light/dark cycle in cages of up to 5 animals before the implants,
- and individually after the implants. All animal procedures were approved by the Institutional
- Animal Care and Use Committee at University of California, Santa Barbara.
- 341

342 Surgical Procedures

- All surgeries were conducted under isoflurane anesthesia (3.5% induction, 1.5 2.5%
- maintenance). Prior to incision, the scalp was infiltrated with lidocaine (5 mg kg-1,
- subcutaneous) for analgesia and meloxicam (2 mg kg-1, subcutaneous) was administered
 preoperatively to reduce inflammation. Once anesthetized, the scalp overlying the dorsal skull
 was sanitized and removed. The periosteum was removed with a scalpel and the skull was
 abraded with a drill burr to improve adhesion of dental acrylic.
- 349 For hippocampal imaging, we used two types of custom-designed glass microperiscope 350 (Tower Optical). The first ($v1_{CA1}$), for imaging the upper part of the hippocampus (CA1/CA2) 351 consisted of a 1 x 1 x 1 mm square base and a 1 mm right angle prism, for a total length of 2 352 mm on the longest side (Fig. 1B, left). The second ($v2_{HPC}$), for imaging the entire transverse 353 circuit (CA1-3, DG) had a 1.5 x 1.5 x 1.0 mm (L x W x H) square base and a 1.5 mm right angle 354 prism, for a total length of 2.5 mm on the longest side (Fig. 1B, right). The hypotenuse of the 355 right angle prisms were coated with enhanced aluminum for internal reflectance. The 356 microprism was attached to a 5 mm diameter coverglass (Warner Instruments) with a UV-cured 357 optical adhesive (Norland, NOA61). Prior to implantation, the skull was soaked in sterile saline 358 and the cortical vasculature was inspected to ensure that no major blood vessels crossed the 359 incision site. If the cortical vasculature was suitable, a 3-4 mm craniotomy was made over the 360 implantation site (centered at 2.2 mm posterior, 1.2-1.7 mm lateral to Bregma). For the smaller

361 microperiscope ($v1_{CA1}$), a 1 mm length anterior-to-posterior incision centered at -2.1 mm 362 posterior, 1.2 mm lateral to Bregma was then made through the dura, cortex, and mediodorsal 363 tip of the hippocampus to a depth of 2.2 mm from the pial surface with a sterilized diamond 364 micro knife (Fine Science Tools, #10100-30) mounted on a manipulator. For the larger 365 microperiscope ($v2_{HPC}$), two overlapping 1.0 mm length anterior-to-posterior incisions were 366 made centered at -1.8 mm posterior / 1.7 mm lateral and -2.4 mm posterior / 1.7 mm lateral to 367 Bregma to a depth of 2.7 mm, with a total anterior-to-posterior incision length of 1.6 mm. Note 368 that placements in the regions shown in Fig. 1B required incision coordinates slightly posterior 369 to those indicated on the atlas. Care was taken not to sever any major cortical blood vessels. 370 Gelfoam (VWR) soaked in sterile saline was used to remove any blood from the incision site. 371 Once the incision site had no bleeding, the craniotomy was submerged in cold sterile saline, 372 and the microprism was lowered into the cortex using a manipulator, with the imaging face of 373 the prism facing lateral. Once the microprism assembly was completely lowered through the 374 incision until the coverglass was flush with the skull, the edges of the window were sealed with 375 silicon elastomer (Kwik-Sil, World Precision Instruments), then with dental acrylic (C&B-376 Metabond, Parkell) mixed with black ink. Care was taken that the dental cement did not protrude 377 over the window, as it could potentially scratch the objective lens surface. Given the working 378 distance of the objective used in this study (3 mm), the smaller microperiscope ($v1_{CA1}$) implant 379 enabled imaging from 2250 - 2600 μ m below the coverglass surface, corresponding to 380 approximately 150 - 500 μ m into the lateral hippocampus (the 150 μ m of tissue nearest to the 381 prism face was not used for imaging). The larger microperiscope ($v2_{HPC}$) implant enabled 382 imaging from 2650 - 2850 μ m below the coverglass surface, corresponding to approximately 383 150 - 350 μ m into the lateral hippocampus (the 150 μ m of tissue nearest to the prism face was 384 not used for imaging). The microprism implantations were stable for up to ten months following 385 the surgery.

After microperiscope implantation, a custom-designed stainless steel head plate (eMachineShop.com) was affixed using dental acrylic (C&B-Metabond, Parkell) mixed with black ink. After surgery, mice were administered carprofen (5 - 10 mg kg-1, oral) every 24 hr for 3 days to reduce inflammation. Microperiscope designs and head fixation hardware are available on our institutional lab web site (https://goard.mcdb.ucsb.edu/resources).

391 **Point Spread Function Measurements**

To measure empirical point spread functions, fluorescent microspheres (0.2 μm yellow-green
 fluorescent microspheres; ThermoFisher F8811) were embedded 1:2000 in 0.5% agar and
 placed under the cranial window or on the face of the microperiscope. Image stacks were taken

395 through the microspheres (0.06 μ m per pixel in XY; 0.5 μ m per plane in Z), and candidate 396 microspheres were isolated using a watershed algorithm (Matlab image processing toolbox). 397 Only microspheres that were >20 pixels (1.2 μ m) away from nearest neighbor microspheres and 398 completely contained within the Z-stack were used for further analysis. We registered isolated 399 microspheres at their centroids and measured the full width at half maximum (FWHM) of the 400 average XY and XZ profiles to determine the lateral and axial resolution, respectively. 401 Since the geometry of the microperiscope limits the angle of the focusing light cone 402 through the microperiscope, it predominately determines the functional numerical aperture at

the imaging plane. Based on the microperiscope geometry, we calculated the effective NA of the $v1_{CA1}$ microperiscope and $v2_{HPC}$, and used it to calculate the theoretical lateral and axial resolution according to the following formulae⁸⁹

$$\omega_{XY} = \begin{cases} \frac{0.320 \,\lambda}{\sqrt{2} \,NA} & NA < 0.7\\ \frac{0.325 \,\lambda}{\sqrt{2} \,NA^{0.91}} & NA > 0.7 \end{cases}$$
$$\omega_Z = \frac{0.532 \,\lambda}{\sqrt{2}} \left[\frac{1}{n - \sqrt{n^2 - NA^2}} \right].$$

406 To perform aberration correction with adaptive optics, a deformable mirror (Multi-3.5, 407 Boston Micromachines Corporation) was set at a plane conjugate to the raster scanning mirrors 408 and the back aperture of the objective lens in the two-photon imaging system. Fluorescent 409 microspheres (0.2 μ m) were imaged, and the standard deviation of the image brightness was 410 maximized under different configurations of the deformable mirror. Twelve selected Zernike 411 modes are applied and modulated sequentially over a total of three rounds. The 12 zernike 412 modes are: 1) oblique astigmatism, 2) vertical astigmatism, 3) vertical trefoil, 4) vertical coma, 5) 413 horizontal coma, 6) oblique trefoil, 7) oblique quadrafoil, 8) oblique secondary astigmatism, 9) 414 primary spherical, 10) vertical secondary astigmatism, 11) vertical guadrafoil, 12) secondary 415 spherical. For each Zernike mode, 21 steps of amplitudes were scanned through, and images 416 were acquired for each step. The amplitude that resulted in the largest standard deviation was 417 saved and set as the starting point of the DM configuration for the scanning of the next Zernike 418 mode. The brightness, the lateral resolution, and the axial resolution are compared with and 419 without the application of the deformable mirror correction.

420 Air-floated Chamber

421 For measurement of spatial responses, mice were head-fixed in a floating carbon fiber

chamber³⁴ (Mobile Homecage, NeuroTar, Ltd). The chamber base was embedded with magnets
to allow continual tracking of the position and angular displacement of the chamber. Behavioral
data was collected via the Mobile HomeCage motion tracking software (NeuroTar, versions
2.2.0.9, 2.2.014, and 2.2.1.0 beta 1). During imaging experiments, image acquisition was
triggered using a TTL pulse from the behavioral software to synchronize the timestamps from
the 2P imaging and chamber tracking.

428 A custom carbon fiber arena (250 mm diameter) was lined with four distinct visual 429 patterns (5.7 cm tall, 18.1 cm wide) printed on 7 mil waterproof paper (TerraSlate) with black 430 rectangles (5.7 cm tall and 1.5 cm wide) placed in between the four patterns. A circular track 431 (Fig. 4A; Video S4) was made by adding a removable inner circle (14 cm in diameter and 4.2 432 cm tall) with visual cues that were matched to the outer wall printed on 7 mil waterproof paper. 433 Transparent tactile stickers (Dragon Grips) were placed on the arena floors to give differential 434 tactile stimuli along the track. In between each recording and or behavioral session, the arena 435 walls and floors were thoroughly cleaned.

436 Mice were acclimated to the arena by the following steps: 1) On the first day the mice 437 were placed into the chamber and allowed to freely explore without head fixation for 15 - 20 438 minutes. A piece of plexiglass with holes drilled through was placed on top of the arena to keep 439 the mice from climbing out. 2) On the second day, the mice were head-fixed to a crossbar 440 extending over the floating chamber (Fig. 4A) and allowed to freely explore the floating chamber 441 freely for 15 minutes. Air flow (3 - 6.5 psi) was adjusted to maximize steady walking/running. On 442 subsequent days, the head fixation time was increased by increments of 5 minutes, as long as 443 the mice showed increased distance walked and percent time moving. This was continued until 444 the mice would explore for 30 - 40 minutes and run for greater than 15% of the time. 3) Mice 445 were head-fixed in the floated chamber for 20 minutes with a custom light blocker attached to their headplate. 4) Mice were head-fixed and placed on the 2P microscope to allow habituation 446 447 to the microscope noise. 5) After mice were fully habituated, 20 - 40 minute duration recording 448 sessions on the 2P microscope were performed.

If at any point during the above acclimation protocol the mouse significantly decreased
distance traveled or percentage of time moving, then the mouse was moved back to the
previous step.

452 Custom software was written to process the behavioral data output by the Mobile
453 HomeCage motion tracking software. Because the Mobile HomeCage motion tracking software
454 sampling rate was faster than the frame rate of our 2P imaging, all behavioral variables (speed,

455 location, polar coordinates, and heading) that were captured within the acquisition of a single 2P 456 frame were grouped together and their median value was used in future analysis. For the polar 457 angle (which we used as the location of the mouse in 1D track), the median was computed using an open source circular statistics toolbox (CircStat 2012a) written for Matlab⁹⁰. We 458 459 removed any time points when the mouse was not moving, as is standard for measurement of place fields²⁵. This helps separate processes that are related to navigation from those that are 460 461 related to resting state. To do this, we smoothed the measured instantaneous speed and kept 462 time periods > 1 s that had speeds greater than 20 mm/s (adding an additional 0.5 s buffer on 463 either side of each time period).

464 **Two-photon Imaging**

465 After recovery from surgery and behavioral acclimation, GFP or GCaMP6s fluorescence was

466 imaged using a Prairie Investigator 2P microscopy system with a resonant galvo scanning

467 module (Bruker). For fluorescence excitation, we used a Ti:Sapphire laser (Mai-Tai eHP,

468 Newport) with dispersion compensation (Deep See, Newport) tuned to λ = 920 nm. Laser power

ranged from 40-80 mW at the sample depending on GCaMP6s expression levels.

470 Photobleaching was minimal (<1% min⁻¹) for all laser powers used. For collection, we used

471 GaAsP photomultiplier tubes (H10770PA-40, Hamamatsu). A custom stainless-steel light

472 blocker (eMachineShop.com) was mounted to the head plate and interlocked with a tube around

the objective to prevent light from the environment from reaching the photomultiplier tubes. For

474 imaging, we used a 16x/0.8 NA microscope objective (Nikon) to collect 760 x 760 pixel frames

475 with field sizes of 829 x 829 μ m or 415 x 415 μ m. Images were collected at 20 Hz and stored at

476 10 Hz, averaging two scans for each image to reduce shot noise.

For imaging spines across days, imaging fields on a given recording session were
aligned based on the average projection from a reference session, guided by stable structural
landmarks such as specific neurons and dendrites. Physical controls were used to ensure
precise placement of the head plate, and data acquisition settings were kept consistent across
sessions. Images were collected once every day for 5 - 10 days.

482 Two-photon Post-processing

Images were acquired using PrairieView acquisition software (Bruker) and converted into multi-page TIF files.

485 For spine imaging, registration and averaging was performed for each z-plane spanning 486 the axial width of the dendrite to ensure all spines were captured across z-planes. The resulting 487 projections were weighted according to a Gaussian distribution across planes. Non-rigid 488 registration was used to align dendritic segments across consecutive recording sessions. The 489 registered images underwent high-pass filtering to extract low amplitude spine features using code adapted from Suite2P's enhanced mean image function⁹¹ (Fig. S2A). The resulting ROIs 490 491 were binarized using Otsu's global threshold method for spine classification (Fig. S2A). In most 492 cases, the global threshold successfully isolated the single most prominent dendrite. In fields 493 with higher background dendrites that were not desired, these extraneous dendrites were 494 manually excluded. To identify spines that fall below the global threshold, the user manually 495 specifies incrementally lower thresholds from which to select spines that were excluded in the 496 initial binarization. (Fig. S2A). Spines above the global threshold with an area of >1 μ m² were 497 included in our analysis. To classify each spine as one of the four major morphological classes, 498 we performed the following steps. First, we found the base of the spine by identifying the region 499 closest to the dendritic shaft. Second, we calculated the length of the spine by taking the 500 Euclidean distance between the midpoint of the spine base and the most distant pixel. Third, 501 this vector was divided evenly into three segments to find the spine head, neck, and base areas 502 respectively. Finally, spines were classified in the four categories, considering the following 503 threshold parameters (Fig. S2B): stubby (neck length < 0.2 μ m and aspect ratio < 1.3), thin 504 (neck length > 0.2 μ m, spine length < 0.7 μ m, head circularity < 0.8 μ m), mushroom (neck 505 length > 0.2 μ m, head circularity > 0.8 μ m), and filopodium (neck length > 0.2 μ m, spine length 506 < 0.8 μ m, aspect ratio >1.3).

507 For calcium imaging sessions, the TIF files were processed using the Python implementation of Suite2P⁹¹. We briefly summarize their pipeline here. First, TIFs in the image 508 509 stack undergo rigid registration using regularized phase correlations. This involves spatial 510 whitening and then cross-correlating frames. Next, regions of interest (ROIs) are extracted by 511 clustering correlated pixels, where a low-dimensional decomposition is used to reduce the size 512 of the data. The number of ROIs is set automatically from a threshold set on the pixel 513 correlations. We manually checked assigned ROIs based on location, morphology and DF/F 514 traces.

515 Since the hippocampal pyramidal cells are densely packed and the prism reduces the 516 axial resolution, we perform local neuropil subtraction using custom code 517 (<u>https://github.com/ucsb-goard-lab/two-photon-calcium-post-processing</u>) to avoid neuropil 518 contamination. The corrected fluorescence was estimated according to

 $F_{corrected}(n) = F_{soma}(n) - \alpha (F_{neuropil}(n) - \overline{F}_{neuropil}),$

519

- 520 where $F_{neuropil}$ was defined as the fluorescence in the region <30 μ m from the ROI border
- 521 (excluding other ROIs) for frame *n*. $\bar{F}_{neuropil}$ is $F_{neuropil}$ averaged over all frames. α was
- 522 chosen from [0, 1] to minimize the Pearson's correlation coefficient between *F*_{corrected} and *F*_{neuropil}.
- 523 The Δ F/F for each neuron was then calculated as

$$\frac{\Delta F}{F} = \frac{F_n - F_0}{F_0},$$

where F_n is the corrected fluorescence ($F_{corrected}$) for frame *n* and F_0 is defined as the first mode of the corrected fluorescence density distribution across the entire time series.

526 We deconvolved this neuropil subtracted Δ F/F to obtain an estimate for the 527 instantaneous spike rate, which we used (only) for the computation of neurons' spatial 528 information (see below). This inferred spike rate was obtained via a MATLAB implementation of 529 a sparse, nonnegative deconvolution algorithm (OASIS) used for Ca²⁺ recordings⁹². We used an 530 auto-regressive model of order 1 for the convolution kernel.

531 Spine Imaging Data Analysis

532 After nonrigid registration, high pass filtering, and binarization of the dendritic segment,

533 individual spines were extracted based on standard morphological criteria⁹³. Spines projecting

534 laterally from the dendritic segment were extracted and analyzed as individual objects, as

described previously (Fig. S2). The sum of the members of each spine class, as well as the total

536 number of all spines, was recorded for each session. Spine totals (S_{total}) were then broken down 537 into 10 μ m sections of the dendritic segment ($S_{section}$) using the following calculation:

$$S_{section}(n) = \frac{S_{total}(n)}{\left(D_{length} * \frac{F_{\mu m}}{F_{pixels}}\right)} * 10,$$

538

539 where length of the dendritic segment, D_{length} , was determined by skeletonizing the dendritic 540 shaft to 1 pixel in diameter, then taking the area of the pixels. F_{pixels} is the FOV in pixels, which 541 here was 760 x 760 at 16x magnification, and F_{um} is the FOV in microns, which was 52 x 52 μ m.

542 Turnover was estimated at 24 h increments; turnover here is defined as the net change 543 in spines per day for each morphological class (Fig. 2F). To determine which specific spines 544 were involved in turnover across days, segments recorded 24 h apart were aligned and overlaid

using a custom MATLAB interface, which allowed the user to manually select new or removed spines. Percent addition/subtraction $S_{a/s}$ was calculated as:

$$S_{a/s} = \frac{N_{a/s}(t)}{N(t)} * 100,$$

547

where $N_{a/s}(t)$ is spines that have been added or subtracted and N(t) is the total average number of spines. To account for variance in spine classification across days, turnover of specific classes of spines was normalized to total cumulative turnover per day.

To calculate the survival fraction curve S(t), we determined which spines were present at time t_n that were not present at time $t_0^{43,44}$. The dendritic segment from t_0 was transparently overlaid with segments from t_n , and replacement spines that were present in t_0 but not t_n were manually identified. Survival fraction was quantified as

$$S(t) = \frac{N_r(t_n)}{N(t_0)} * 100,$$

555

where $N_r(t_n)$ are the total spines at t_n that were also found in t_0 , and $N(t_0)$ are the total number of spines that were present in t_0 . Survival fraction, as well as % addition and subtraction, was calculated in 10 μ m sections to control for segment length.

559 Calcium Imaging Data Analysis

560 For calcium imaging experiments during exploration of the air-floated chamber, 561 processed and synchronized behavioral data and 2P imaging data were used to identify place 562 and speed cells as follows.

563 First, the 1D track was divided into 72 equal bins (each ~0.85 cm in length). Activity as a 564 function of position (we refer to these as spatial tuning curves) was computed for each lap, with 565 activity divided by occupancy of each binned location. To avoid misattribution of slow calcium 566 signals to spatial bins, any lap where the average instantaneous speed was greater than 180 567 mm/s, or where the total length of the lap took less than 1 second, were removed and not 568 considered for further analysis. To assess the consistency of spatial coding of each cell, we 569 randomly split the laps into two groups and computed the correlation coefficient between the 570 averaged spatial tuning curves. We then did the same for shuffled data in which each lap's 571 spatial tuning curve was circularly permuted by a random number of bins. This was performed 572 500 times, and the distribution of actual correlation coefficient values was compared to the

573 distribution of circularly shuffled values using a two-sample Kolmogorov-Smirnov test ($\alpha = 0.01$). 574 A cell that passed this test was considered a "consistent" cell.

To identify a neuron as a place cell, the neuron had to pass the consistency test and also be well fit by a Gaussian function, $R_{DF/F} = A_0 + A e^{\left(\frac{(X-B)}{C}\right)^2}$, with FWHM = $2C\sqrt{\log 2}$. Specifically, we required that: 1) the adjusted R² > 0.375; 2) 2.5cm < FWHM < 30.6 cm (50% of track length); 3) A > 0; 4) A/A₀ > 0.50. Cells that met these conditions were characterized as place cells; with place fields at the location of maximal activity and width defined as the FWHM.

580 Speed cells were identified using a standard process developed for identification of 581 speed cells in medial enthorinal cortex and hippocampus^{62–64}. We computed the Pearson 582 correlation of each cells' DF/F trace with the mouse's speed across the experiment. This value 583 is considered as a "speed score". We then circularly shuffled the DF/F 100 times (making sure 584 that the amount shuffled was greater than 10 frames to ensure that the shuffled distribution did 585 not have artificially high correlations). Cells whose speed score was greater than 99%, or less 586 than 1%, of the shuffled distribution were considered speed cells.

587 To compute the spatial information⁹⁴ of cell j (Sl_j), we used the following formula

$$SI_j = \frac{1}{\overline{a}_j} \sum_{k=1}^{72} p(k) a_j(k) \log_2 \left[\frac{a_j(k)}{\overline{a}_j} \right]$$

where \bar{a}_j is the mean inferred spike rate of cell *j*, $a_j(k)$ is the mean inferred spike rate of cell *j* at position bin *k*, and p(k) is the probability of being at position bin *k*. We divide by \bar{a}_j to have SI in units of bits/inferred spike.

591 To align recordings where we recorded along the CA1-DG axis, we found the inflection 592 point of the axis and then computed the distance of each cell to that point. To do this, we 593 performed the following steps. 1) We extracted the position of each identified cell using 594 Suite2p's centroid output. 2) We then fit a function of the form $a(x - b)^2 + c$ to the cell positions by rotating the field-of-view from 0 to 180 degrees and finding the rotation that maximized the R^2 595 596 value of the fit. 3) We determined the inflection point as the peak of the curve and de-rotated the 597 fit to determine the inflection point and curve in the original coordinates. The distance of each 598 cell to the inflection point was found by finding the point along the fit curve that had the minimal 599 distance to the cells centroid.

600 Immunohistochemistry

601 Samples were perfusion fixed using 4% paraformaldehyde in 0.1M sodium cacodylate buffer 602 (pH = 7.4) for 10 mins, and then immersion fixed overnight at 4°C. Next, sections were rinsed in 603 cold PBS 5 x 5 mins and 1 x 1hr. Whole brains were then embedded in 10% low-melting 604 agarose. Subsequently, 100 μ m coronal sections were cut using a vibratome (Leica, Lumberton, 605 NJ). Sections were then blocked overnight in normal donkey serum (Jackson ImmunoResearch; 606 West Grove, PA) diluted 1:20 in PBS containing 0.5% bovine serum albumin, 0.1% Triton-X 607 100, and 0.1% sodium azide, hereafter, PBTA at 4°C. Next, primary antibodies anti-GFAP 608 (1:500; abcam; ab53554), anti-S100 (1:1000; DAKO; Z0311) were diluted in PBTA and 609 incubated overnight at 4°C. Then, sections were rinsed 5 x 5 mins and 1 x 1hr before 610 corresponding secondary antibodies along with the nuclear stain Hoechst33342 (1:5000; 611 Molecular Probes; H-3570) were incubated overnight at 4°C. Lastly, secondary antibodies were 612 rinsed and sections mounted using Vectashield (Vector laboratories Inc; H-1200) and sealed

613 under #0 coverslips.

High resolution wide-field mosaics of brain samples were then imaged with a 20x oil
immersion lens and an Olympus Fluoview 1000 laser scanning confocal microscope (Center
Valley, PA) at a pixel array of 800 x 800 and then registered using the bio-image software
Imago (Santa Barbara CA).

618 We then calculated glial cell density as a function of distance from the prism face. First, 619 each mosaic was rotated so that the medial-lateral axis of the brain sample was aligned to be 620 parallel with the horizontal axis of the image. Then each mosaic was cropped to remove 621 extraneous pixels outside of the imaged brain slice. Next, a line denoting the face of the prism 622 was manually drawn parallel to the dorsal-ventral axis aligned with the location of the prism 623 face. We then used a custom cell-counting algorithm that identified potential regions of interest 624 (ROIs). We limited the ROIs to be within the hippocampal formation in the brain slices. The 625 euclidean distance between the closest point on the defined prism face and each ROI's centroid 626 was calculated. Afterward, a similar procedure was performed on the contralateral side of the 627 brain slice, with a mock "prism face" defined at symmetric coordinates to the true prism face, to 628 serve as a control. These steps were repeated for each channel of the mosaic.

After extracting each ROIs distance from the prism face, we counted the number of cells
in each 50 µm distance bin. To account for basal glial cell density, we calculated the percent
change of glial cell density on the prism side with respect to the control side. This procedure

was repeated 1000 times using randomly sampled distances, with replacement, to bootstrap thesample variance.

634 Statistical Information

- 635 Violin plots were made using an open source Matlab package⁹⁵. Statistical tests for spine
- 636 morphological types were calculated using a one-way ANOVA. Reliability across laps was
- 637 tested with a two-sample Kolmogorov-Smirnov test. Comparisons between model fits for spatial
- 638 distribution of spatial information and place field width used a General Linear F-test.

DATA AVAILABILITY

Microperiscope designs can be found on our institutional lab website

(https://goard.mcdb.ucsb.edu/resources). Spine imaging data from Fig. 2 and neuronal response data from Figs. 4-5 are available on Dryad (DOI: TBA, final version will be uploaded upon manuscript acceptance). Code for spine analysis and place/speed cell identification is available on Github (DOI: TBA, final version will be uploaded upon manuscript acceptance).

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AUTHOR CONTRIBUTIONS

W.T.R. and M.J.G. designed the experiments; M.J.G. performed the surgical implants; W.T.R., N.S.W., L.M., and M.J.G. conducted the imaging experiments and analyzed the data; G.L. and T.D.M. performed immunohistochemistry and K.K.S. analyzed the resulting images; C.H.Y. and S.L.C. performed optical modeling; W.T.R., N.S.W., and M.J.G. wrote the manuscript.

COMPETING INTERESTS

The authors declare no competing financial interests.

MATERIALS AND CORRESPONDENCE

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