

1 **Expression variations in Ectodysplasin-A gene (*eda*) may contribute to**
2 **morphological divergence of scales in Haplochromine cichlids**

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47 **Abstract**

48 **Background:** Elasmoid scales are one of the most common dermal appendages and can be
49 found in almost all species of bony fish differing greatly in their shape. Whilst the genetic
50 underpinnings behind elasmoid scale development have been investigated, not much is
51 known about the mechanisms involved in the shaping of scales. To investigate the links
52 between gene expression differences and morphological divergence, we inferred shape
53 variation of scales from two different areas of the body (anterior and posterior) stemming
54 from ten haplochromine cichlid species from different origins (Lake Tanganyika, Lake
55 Malawi, Lake Victoria and riverine). Additionally, we investigated transcriptional differences
56 of a set of genes known to be involved in scale development and morphogenesis in fish.

57

58 **Results:** We found that scales from the anterior and posterior part of the body strongly differ
59 in their overall shape, and a separate look on scales from each body part revealed similar
60 trajectories of shape differences considering the lake origin of single investigated species.
61 Above all, nine as well as 11 out of 16 target genes showed expression differences between
62 the lakes for the anterior and posterior dataset, respectively. Whereas in posterior scales four
63 genes (*dlx5*, *eda*, *rankl* and *shh*) revealed significant correlations between expression and
64 morphological differentiation, in anterior scales only one gene (*eda*) showed such a
65 correlation. Furthermore, *eda* displayed the most significant expression difference between
66 species of Lake Tanganyika and species of the other two younger lakes. Finally, we found
67 genetic differences in downstream regions of *eda* gene (e.g. in the *eda-mnfsf13b* inter-genic
68 region) that are associated with observed expression differences. This is reminiscent of a
69 genetic difference in the *eda-mnfsf13b* inter-genic region which leads to gain or loss of armour
70 plates in stickleback.

71

72 **Conclusion:** These findings provide evidence for cross-species transcriptional differences of
73 an important morphogenetic factor, *eda*, which is involved in formation of ectodermal
74 appendages. These expression differences appeared to be associated with morphological
75 differences observed in the scales of haplochromine cichlids indicating potential role of *eda*
76 mediated signal in divergent scale morphogenesis in fish.

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80 **Keywords**

81 Scale morphology; gene expression; adaptive radiation; East African lakes; Lake Tanganyika;
82 Lake Malawi; Lake Victoria; African cichlids

83

84 **Background**

85 Cichlids pose a great a model system for evolutionary biology, as they include some of the
86 most striking examples of explosive speciation and adaptive radiation. Many aspects of their
87 life history as well as their behaviour, coloration and feeding morphologies are well studied
88 [1–3]. One of the most striking features is their repeated evolution of parallel eco-
89 morphologies, especially across the radiations of the three East African Great Lakes, Lake
90 Tanganyika (LT), Lake Malawi (LM) and Lake Victoria (LV) [4, 5]. These ecological
91 adaptations are also the focus of many studies, as they promise the opportunity to shed light
92 on different molecular mechanisms underlying repeated evolution and diversification [6, 7].
93 Regarding skeletal morphogenesis in particular the evolution of their jaws and their
94 phenotypic plasticity are topics of ongoing research [7–12]. However, while the adaptive
95 value of some of the investigated structures (e.g., feeding apparatus) can be more easily
96 connected to certain ecological specializations [5, 13], this is not so obvious in others, such as
97 scales.

98

99 Fish scales come in a vast array of different shapes and forms. As a part of the dermal
100 skeleton, which amongst other structures also includes teeth, odontodes, spines and fin rays,
101 these postcranial derivatives evolved into morphologically and histologically diverse structures
102 in Actinopterygii [14, 15]. Elasmoid scales, found in most of teleost species, form in the
103 dermal mesenchyme and are mainly used for protection and hypothetically for hydrodynamic
104 modifications [14, 16, 17]. While the elasmoid scales form relatively late in ontogeny and can
105 take diverse forms, they share a composition consisting of three tissues, with elasmodin as the
106 basal component formed in a characteristic plywood-like structure [15, 16]. Scale
107 development, mostly studied in zebrafish, has been found to be orchestrated by several well-
108 known pathways, including Hh, Fgf and Eda [16, 18–20], which are known to be also
109 involved in the appendage formation across several vertebrate groups [21]. Mutations and
110 allele variations in the Eda/Edar pathway, for example, have been linked to fish fin, scale
111 and armour plate development as well as human and mouse hair and teeth growth [19, 22,
112 23]. Nevertheless, besides a recent extensive comparison of the scale morphology across

113 Lake Tanganyika cichlids [24], as well as a genetic study of scale shapes in two closely
114 related Lake Malawi cichlids, which tied Fgf signalling to scale shape variation [20], not
115 much is known about the molecular mechanisms shaping the elasmoid scale.

116

117 In this study, we investigate the morphological differences in the anterior and posterior scales
118 of 10 haplochromine cichlid fish species from three Great East African Lakes, i.e., Lake
119 Tanganyika (LT), Lake Malawi (LM) and Lake Victoria (LV) as well as a riverine
120 haplochromine cichlid species. After identification of a stably expressed reference gene, we
121 also investigate transcriptional differences of a set of genes known to be involved in scale
122 development and morphogenesis in fish. Finally, we tried to find links between the gene
123 expression differences and morphological divergence in both anterior and posterior scales.
124 Our results provide cross-species expression comparisons of scale related genes in
125 haplochromine cichlids and implicate expression differences by which formation of distinct
126 scale morphologies might be determined.

127

128 **Methods**

129 **Fish husbandry and sampling**

130 Ten haplochromine cichlid species; three species from Lake Tanganyika, four species from
131 Lake Malawi, two species from Lake Victoria, and one riverine haplochromine species, were
132 selected for this study (Fig. 1A). The fish were kept and raised in standardized tanks and
133 rearing conditions with the same diet (Spirulina flakes) until they displayed mating
134 behaviour. Between 5 to 11 adult females per species were sampled for morphological
135 analysis and 4 adult females were sampled for gene expression investigation. The sampled
136 fish species were sacrificed by euthanization in with 0.5 g MS-222/litre of water, and 5
137 anterior and posterior scales from left side of the body were removed for morphological
138 analysis (Fig. 1B), whereas similar numbers of scales were taken from both sides and all
139 anterior or posterior scales from each fish were pooled for gene expression part.

140

141 **Morphological analysis**

142 To infer shape differences of scales from divergent African cichlids from different lakes a 2D
143 geometric morphometric framework was deployed. Due to major morphological differences
144 of the scales they were separately investigated for the anterior and posterior part of the body
145 (Fig. 1 B and C). Standardized images of scales were taken with a KEYENCE VHX-5000

146 digital microscope (KEYENCE Germany GmbH). 84 adult specimens from 10 cichlid
147 species inhabiting the three major rift lakes which were reared under standardized aquarium
148 conditions (*Astatotilapia burtoni* = 7; *Neochromis omnicaeruleus* = 10; *Petrochromis famula*
149 = 11, *P. polyodon* = 7; *Paralabidochromis sawage* = 5; *Simochromis diagramma* = 11;
150 *Sciaenochromis fryeri* = 5; *Tropheops tropheops* = 9; *Labeotropheus trewavasae* = 9; Mz:
151 *Maylandia zebra* = 10) were included for the geometric morphometric analyses. For each
152 individual six scale replicates from the anterior and posterior part of the body were probed
153 (Fig. 1B), leading to a total of 1.008 investigated scales. After randomizing pictures in tpsUtil
154 v.1.6 (available at <http://life.bio.sunysb.edu/morph/soft-utility.html>), landmark digitization
155 was conducted on a set of 7 fixed landmarks and 14 semi-landmarks (see Figure 1b for
156 positions) in tpsDig v.2.26 (available at <http://life.bio.sunysb.edu/morph/soft-utility.html>).
157 To ensure consistency, this step was conducted by a single investigator. Generalized
158 Procrustes superimposition [25] was performed in tpsRelw v.1.65 (available at
159 <http://life.bio.sunysb.edu/morph/soft-utility.html>) and aligned landmark configurations were
160 exported for further analysis in MorphoJ v.1.06 [26]. In MorphoJ, single observations
161 obtained from the six replicates were averaged to get the mean shape for each landmark. A
162 Principal Component analysis (PCA) was applied to infer variation in morphospace among
163 scale position (anterior vs. posterior), single specimen, and species. Subsequent analyses
164 were based on separated datasets for anterior and posterior scale landmark setting, whereas
165 PC-scores were exported for linear discriminant function analyses (LDA) in PAST v.4.1 [27].
166 To reduce the number of variables and control for putative over-separation of groups [28],
167 only the first four principal components were used for the LDA. PCA and LDA plots were
168 visualized in R v3.1.2 [29].

169

170 **RNA isolation and cDNA synthesis**

171 As mentioned in the section above, 10 anterior and posterior scales from each fish were
172 pooled for isolating the total RNA isolation in a single tube containing 0.25 mL of a tissue
173 lysis buffer from Reliaprep RNA tissue miniprep system (Promega, #Z6111, USA) as well as
174 one 1.4 mm ceramic bead to crush the scales. The scales were homogenized using a FastPrep-
175 24 Instrument (MP Biomedicals, Santa Ana, CA, USA) and total RNA was extracted
176 following the instructions provided by the manufacturer (adjusted protocol for small amounts
177 of fibrous tissue). In summary, the instruction follows with mixing of the lysis buffer and
178 homogenized scales with isopropanol and centrifuging the entire mix through a column

179 provided by the kit, several RNA washing steps and a final DNase treatment step. The RNAs
180 were quantified by a Nanophotometer (IMPLEN GmbH, Munich, Germany) and their quality
181 was checked with RNA ScreenTapes on an Agilent 2200 TapeStation (Agilent
182 Technologies). Next, the RNA samples with a RNA integrity number (RIN) above six were
183 applied to first strand cDNA synthesis using 300ng of RNA and High Capacity cDNA
184 Reverse Transcription kit (Applied Biosystems). The synthesized cDNA from each RNA
185 sample was diluted 1:5 times in nuclease-free water to conduct qPCR.

186

187 **Gene selection, designing primers and binding site predictions**

188 We selected eight candidate reference genes which have been frequently used in different
189 studies of Haplochromine cichlids and have shown high expression levels in various
190 connective tissues including skeletal tissues [10, 30–35]. Furthermore, we chose 16 target
191 candidate genes, which are implicated in scale development and morphogenesis (Table 1).
192 The primers were designed at conserved sequence of coding regions using the transcriptome
193 data of several East African haplochromine species (*Astatotilapia burtoni*, *Aulonocara*
194 *baenschi*, *Cyrtocara moorii*, *Pundamilia nyererei*, *Metriaclima zebra*, *Simochromis*
195 *diagramma*, *Tropheus duboisi*, and *Gnathochromis pfefferi*) as well as two more distant
196 species from different African cichlid tribes (*Oreochromis niloticus* and *Neolamprologus*
197 *brichardi*) [7, 36–39]. The sequences from all the species were imported to CLC Genomic
198 Workbench, version 7.5 (CLC Bio, Aarhus, Denmark), and after alignment, the exon/exon
199 junctions were specified using the *Astatotilapia burtoni* annotated genome in the Ensembl
200 database (<http://www.ensembl.org>) [40]. The primers were designed spanning exon junctions
201 and a short amplicon size (<250 bp) as recommended to be optimal for qPCR quantification
202 [41]. The primers were designed and assessed through Primer Express 3.0 (Applied
203 Biosystems, CA, USA) and OligoAnalyzer 3.1 (Integrated DNA Technology) to minimize
204 the occurrence of dimerization and secondary structures.

205

206 We retrieved downstream sequences (3'UTR and inter-genic region) of *eda* gene for all the
207 species in this study from European Nucleotide Archive (ENA) and Sequence Read Archive
208 (SRA) in order to identify changes in potential binding sites. To do this, we used genomic
209 sequences of the haplochromine species; *A. burtoni* (GCA_000239415.1), *P. famula*
210 (GCA_015108095.1), *N. omnicaeruleus* (SRR12700904), *P. polyodon* (GCA_015103895.1),
211 *S. fryeri* (ERX1818621), *S. diagramma* (GCA_900408965.1), *M. zebra*

212 (GCA_000238955.1), *T. tropheops* (SAMEA2661272), *L. trewavasae* (SAMN12216683),
213 and *P. sauvagei* (GCA_018403495.1). Next we identify the 3'UTR and inter-genic region of
214 *eda* genes using the annotated genome of *A. burtoni* from Ensembl and aligned them using
215 CLC Genomic Workbench. The different sequence motifs were identified and screened for
216 potential TF binding sites using STAMP [42] and the PWMs obtained from the TRANSFAC
217 database [43].

218

219 **qPCR and data analysis**

220 The qPCR reactions were generated using Maxima SYBR Green/ROX qPCR Master Mix
221 (2X) (Thermo Fisher Scientific, Germany) and the amplifications were conducted on ABI
222 7500 real-time PCR System (Applied Biosystems). The qPCR setups followed the
223 recommended optimal sample maximization method [44]. The qPCR program, dissociation
224 step and calculation of primer efficiencies were performed as described in our previous study
225 [45] (Additional file 1).

226

227 Three different algorithms were applied to validate the most stable reference genes;
228 BestKeeper [46], NormFinder [47] and geNorm [48]. The C_q value of the most stable
229 reference gene was used as normalization factor (C_q _{reference}) to calculate ΔC_q of each target
230 gene (ΔC_q _{target} = C_q _{target} - C_q _{reference}). The lowest expressed sample in each expression
231 comparison was used as a calibrator sample and rest of the samples were subtracted from its
232 ΔC_q value to calculate ΔΔC_q values (ΔC_q _{target} - ΔC_q _{calibrator}). Relative expression quantities
233 (RQ) were calculated through $E^{-\Delta\Delta Cq}$ [49]. In order to perform statistical analysis, fold
234 differences (FD) were calculated by transformation of RQ values to logarithmic values [50].
235 The significant expression differences were calculated using ANOVA statistical tests,
236 followed by Tukey's HSD *post hoc* tests. The correlations between gene expression and a
237 morphometric parameter (canonical variate 1) were calculated through Pearson correlation
238 coefficients (r) for each gene using R.

239

240 **Results**

241 **Divergence in scale morphology**

242 The principal component analysis (PCA) revealed a clear separation in overall average
243 individual shape between anterior and posterior scales (Fig. 1D). PC1 and PC2 explained
244 78.3 % and 8.6 % of the total shape variation, respectively. Generally, on the first axis

245 anterior scales are anterior-posteriorly more compressed compared to the posterior body part
246 (see deformation grids in Fig. 1D). Along the second PC axis changes can be observed in the
247 shape of the posterior scale field (narrow vs. wide), as well as in the lateral edges of the
248 anterior scale field (edges vs. round).

249

250 While comparing different species, large variation in overall shape can be observed in the
251 dataset which is restricted to anterior scales only. *Petrochromis famula*, *Maylandia zebra* and
252 *Sciaenochromis fryeri* occupy large parts of the morphospace and overall, less intraspecific
253 variation can be observed in other species (Fig. 2A). In the anterior dataset changes along the
254 PC1 explain 47.1 % of total variation, and mainly affect the circularity of the overall shape
255 (i.e., that scales get more compressed towards positive values). Changes along the second PC,
256 which explains 19.6 % of the total shape variation, affect the posterior scale field
257 (compression vs. expansion). Compared to anterior scales, less intraspecific shape variation
258 can be observed in posterior scales, whereas PC1 explains 54.8 % and PC2 18.9 % of the
259 total variation, respectively (Fig. 2B). PC1 separates two major clusters (*S. diagramma* + *P.*
260 *famula* vs. rest) whereas changes along the axis mainly contribute to a dorso-ventrally versus
261 anterior-posterior compression of the scale and the roundness of the anterior scale field.
262 Along PC2 shape changes affect the expansion (or compression) of the anterior and posterior
263 scale fields. Generally, the PCA only poorly resolves the lake (or phylogenetic) origin of the
264 single species for both the anterior and posterior dataset.

265

266 The linear discriminant function analysis (LDA) of anterior as well as posterior dataset
267 correctly classified 77.38 % (jackknifed: 67.86 %) and 72.62 % (jackknifed: 65.48%) of
268 species (Fig. 2C and D). The first axis explains 83.66 % and 76.00 % variance of the overall
269 shape variability for the anterior and posterior dataset, respectively. In the anterior dataset,
270 the first LD-axis separates three major clusters made up of samples from Lake Tanganyika,
271 the riverine *Astatotilapia burtoni* and a joint Victoria-Malawi cluster. Similar results were
272 obtained for the posterior dataset, whereas along the first axis the separation between the
273 riverine *A. burtoni* and the Victoria-Malawi cluster is less prominent. Along the second axis,
274 which explains 10.04 % and 13.2 % of the variance of the overall shape variability for the
275 anterior and posterior dataset, respectively, mainly interspecific and intraspecific variation is
276 portrayed. Overall, for the anterior dataset, 83.33% (jackknifed: 75 %) of species were
277 correctly classified according to the lake origin, whereas single classification scores reached
278 values of 100 % (jackknifed: 85.71 %) for *A. burtoni*, as well as 66.67 % (jackknifed: 57.58

279 %) for Malawi, 86.67 % (jackknifed: 86.67 %) for Victoria and 96.55 % (jackknifed: 86.21
280 %). In total, for the posterior dataset, 77.38 % (jackknifed: 70.24 %) of the individuals were
281 correctly assigned to the lake origin, with 85.71 % (jackknifed: 71.43 %) for *A. burtoni*, as
282 well as 66.67 % (jackknifed: 54.55 %) for Malawi, 53.33% (jackknifed: 46.67 %) for
283 Victoria and 100 % (jackknifed: 100 %) for individuals from Tanganyika.

284

285 **Validation of stable reference genes**

286 To quantify the expression levels of the selected target genes, the validation of stable
287 reference gene(s) with least variation in expression across the anterior and posterior scales of
288 different species is a necessary step [51]. The 8 candidates were selected from frequently
289 used reference genes in studies of different tissues in East African cichlids [10, 30–35]. The
290 candidate reference genes showed variable expression levels in the scales, and from highest
291 to lowest expressed were respectively; *actb1*, *hsp90a*, *rps11*, *rps18*, *hprt1*, *gapdh*, *elf1a* and
292 *tbp*. Interestingly, in both anterior and posterior scales, all the three software ranked *actb1* as
293 the most stable reference gene with lowest expression variation across the cichlid species in
294 this study (Table 2). Thus, we used the Cq value of *actb1* as normalization factor (NF) in
295 each sample for quantification of relative expression analyses of the target genes.

296

297 **Gene expression differences between anterior and posterior scales**

298 The relative expression levels of 16 candidate target genes, *bmp4*, *colla2*, *ctsk*, *dlx5*, *eda*,
299 *edar*, *fgf20*, *fgfr1*, *mmp2*, *mmp9*, *opg*, *rankl*, *runx2a*, *sema4d*, *shh* and *sp7*, were compared
300 between the anterior and posterior scales in each of the haplochromine species (Fig. 3). Some
301 of these genes such as *bmp4*, *colla2*, *rankl* and *sp7*, showed almost no expression difference
302 between the anterior and posterior scales. Moreover, none of the target genes showed
303 consistent expression difference across all the species. These indicate potential involvement
304 of various genes in morphological divergence between the anterior and posterior scales.
305 However, two genes, *ctsk* and *shh* exhibited expression difference between the anterior and
306 posterior scales in most of the species (Fig. 3). The directions of expression differences
307 between the anterior and posterior scales for *ctsk* and *shh* were variable depending on the
308 species. Interestingly all the three species from LT showed higher expression in the anterior
309 scale for *shh*, whereas the all the species from LM and LV showed tendency for opposite
310 pattern with increased posterior scale expression. These findings suggest potential role of *ctsk*
311 and *shh* in morphological divergence of the scales along anterior-posterior axis.

312

313 **Gene expression differences between lakes in anterior and posterior scales**

314 Next, we compared the expression levels of the target genes between the lakes in the anterior
315 or posterior scales by considering all the species from each lake as one group (Fig. 4). In the
316 anterior scales, nine out of 16 target genes showed expression differences between the lakes
317 including *bmp4*, *ctsk*, *eda*, *edar*, *mmp2*, *opg*, *rankl*, *shh* and *sp7*. Most of these differences
318 were between LT and the other lakes, and 4 genes, *ctsk*, *mmp2*, *opg* and *rankl* showed higher
319 expression in LT species, while 3 genes, *bmp4*, *eda* and *shh* showed lower expression LT
320 species. Furthermore, 2 genes, *ctsk* and *eda* displayed the strongest expression differences
321 between the lakes in opposite patterns suggesting their role in morphological divergence of
322 the anterior scales across the lakes (Fig. 4). In the posterior scales, 11 out of 16 target genes
323 showed expression differences between the lakes including *bmp4*, *colla2*, *ctsk*, *eda*, *edar*,
324 *fgf20*, *fgfr1*, *mmp2*, *opg*, *rankl*, *shh* and *sp7*. Again, most of these differences in the posterior
325 scales were between LT and the other lakes, and 5 genes, *colla2*, *ctsk*, *mmp2*, *opg* and *rankl*
326 showed higher expression in LT species, while 3 genes, *eda*, *fgf20* and *shh* showed lower
327 expression LT species. In addition, four genes, *fgf20*, *rankl*, *eda* and *shh* displayed the
328 strongest expression differences between the lakes in opposite patterns (*eda* and *rankl* higher
329 in LT, and *fgf20* and *shh* lower in LT) suggesting their role in morphological divergence of
330 the posterior scales across the lakes (Fig. 4). In general, more genes with stronger expression
331 differences between the lakes were observed the posterior scales. Several genes such as *ctsk*,
332 *eda*, *edar*, *mmp2*, *opg*, *rankl* and *shh* appeared to have similar patterns of expression
333 differences between the lakes in both anterior and posterior scales. Importantly, we found
334 only one gene, *eda*, to have strong differences between the lakes in both anterior and
335 posterior scales indicating its potentially crucial role in morphological divergence of the
336 scales across the lakes.

337

338 **Correlation analyses between gene expression and morphological divergence in scales**

339 We analysed the correlation between expression of the genes and canonical variate 1 in the
340 anterior or posterior scales across the species. Only one gene, *eda*, showed significant
341 correlation in the anterior scales (Fig. 5), whereas, in the posterior scales 4 genes including
342 *dlx5*, *eda*, *rankl* and *shh* displayed significant correlations between expression and
343 morphological differences (Fig. 6). Among these genes *eda* exhibited the strongest
344 correlation in the posterior scales. However, the correlation patterns differed between the
345 genes in the posterior scales, i.e. *eda* and *shh* showed positive while *dlx5* and *rankl* had

346 negative correlations with the morphological changes based canonical variate 1. Therefore,
347 again only one gene, *eda*, showed significant correlation between its expression and the
348 morphological differences in both scales indicating its potential role in divergent scale
349 morphogenesis in the cichlid species. The opposite correlation patterns in the posterior scales
350 might also indicate inhibitory regulatory connections between the genes.

351

352 **Genetic differences in non-coding sequences of *eda* gene**

353 Finally, we were interested to investigate genetic differences in available regulatory
354 sequences of *eda* gene including 5'UTR, 3'UTR and short but conserved inter-genic region
355 between *eda* and *tnfsf13b* (immediate downstream gene) across the species. Interestingly, we
356 found two genetic differences (mutations/deletions) in 3'UTR and one in *eda-tnfsf13b* inter-
357 genic region to differ between LT species versus LM and LV species (Table 3). Next, we
358 parsed the short sequence regions containing the mutations/deletions against transcription
359 factor binding site (TFBS) databases. We found that the two changes in 3'UTR seem to lead
360 to gaining TFBS for transcription factors Mef2 and Tcf1 in the LM and LV species, whereas
361 the changes in the inter-genic region led to gaining TFBS for Lef1 transcription factor in the
362 LT species (Table 3). Importantly, the riverine species A.b appeared to have intermediate
363 genetic changes meaning that for the two changes in 3'UTR it showed a deletion similar to
364 the LT species but a mutation similar to the LV and LM species. Also, for the inter-genic
365 change, A.b showed an intermediate mutation between the LT and the other species from LM
366 and LV, however, this mutation showed no gain of TFBS (similar to the LM and LV species).
367 Taken together, these genetic changes showed similarity with differences in gene expression
368 and scale morphology, where the LT species clustered different from LM and LV species and
369 the riverine species (A.b.) showed intermediate differences. This suggests that the identified
370 genetic changes might be the underlying factors for divergent *eda* expression as well as
371 differences in the scale morphology.

372

373 **Discussion**

374 As river-adapted haplochromine cichlids repeatedly seeded adaptive radiations in several East
375 African lakes, cichlid fishes recurrently adapted to corresponding trophic niches. Thereby, the
376 adaptive value of traits is often mirrored by morphological shape parallelism and concomitant
377 similar lifestyles which result from parallel evolution [4, 5]. Hence, fishes from the cichlid
378 species flocks in various African lakes comprise an exciting model to conduct comparative

379 morphological and molecular studies. While most previous studies focused on bony elements
380 that can easily be linked particular trophic niches and divergent natural selection as driver of
381 diversification in cichlids (e.g., [52]), other skeletal structures such as scales might show less
382 obvious adaptive trajectories.

383

384 Above all, between the three East African Great Lakes, the haplochromine cichlids are
385 especially interesting, as they share common ancestry and comprise the Tropheini at LT and
386 the entire the LV and LM haplochromine radiations [53, 54]. As the lakes have all very
387 different geological histories, with Lake Tanganyika being the oldest [55], LM the
388 intermediate [56] and LV the youngest of the three [57], they also depict three extensive
389 radiations at different time points. Thus, depending on the evolutionary age of the different
390 lakes, species (and their morphologies) had more or less time to diverge, despite sharing
391 parallels. The more time passes, much more elaborated predator-prey and host-parasite
392 relationships can evolve. This is manifested by unique ecological and behavioural features,
393 particularly in the oldest of the three lakes, LT, which contains cocoo-catfish species
394 showing brood parasitism [58], dwarfed gastropod shell breeders [59], putative cleaning
395 behaviour [60], or highly elaborated scale eaters [61] (but also see *Genyochromis mento* from
396 Lake Malawi). Particularly the latter case, scale eating, could have influenced the co-
397 evolution of scale morphology in host species. Lake Tanganyika's scale eaters (i.e.,
398 *Perissodus*; Perissodini) show different degrees of specialization, whereas only the shallow
399 water species, *Perrisodus microlepis* and *P. straeleni*, feed almost exclusively on fish scales
400 while other species are not that specialized [4, 61]. The most common prey species of *P.*
401 *microlepis* are members of the Tropheini and Eretmodini [62]. *P. straeleni* seems to be less
402 specialised to certain prey items, but Tropheini scales still make up a major part of the gut
403 contents [63]. Based on our dataset (Tropheini only) it remains speculative to assume that
404 scale-related gene expression and the concomitant morphology might reflect an adaptation to
405 reduce the risk of scale predation. Future studies, including more early branching (non-
406 modern) haplochromine cichlids (e.g., *Pseudocrenilabrus*, *Thoracochromis*,
407 *Astatoreochromis*) or other Tropheini with different lifestyles (e.g., *Ctenochromis*) will be
408 necessary to establish stronger links between scale morphology and this unique predation
409 pressure.

410

411 Nonetheless, understanding which genetic mechanisms underlie the scale morphology might
412 be the key to understand how such similar and/or divergent eco-morphologies evolved.

413 Perhaps the most striking finding of our study was the highly significant differential
414 expression of *eda* between LT species versus the species from the younger lakes (LM and LV
415 species) in both anterior and posterior scales (Fig. 4). Interestingly, the *eda* expression in the
416 riverine species (Ab), which is believed to be an ancestral species to haplochromine cichlids
417 of the three great African lakes, was at intermediate level between LT and the species from
418 LM and LV in both anterior and posterior scales. Moreover, the expression patterns of *eda* in
419 both scales were highly correlated with morphological divergence across the species in this
420 study. Ectodysplasin A (*eda*) encodes a member of the tumor necrosis factor family and
421 mediates a signal conserved across vertebrates which is essential for morphogenesis of
422 ectodermal appendages, such as scale, hair and feathers [22]. The *eda* signal is mediated
423 through its receptor (encoded by *edar*) and initiated upon binding of *eda* to *edar* on the
424 surface of a target cell [22]. In human, mutations in components of *eda* signal can cause
425 hypohidrotic ectodermal dysplasia (HED) which is characterized by reduction and abnormal
426 teeth morphology, absence or reduction of sweating glands and hair [64]. Similarly, impaired
427 *eda* signal in zebrafish and medaka can lead to reduction in the number of scales and teeth
428 [18, 19]. In sculpin (*Cottus*) fishes, genetic changes in the receptor gene (*edar*) has been
429 found to be associated with morphological variations in body prickles (calcified spicules
430 embedded in the skin), which are homologous structures to fish scales [65]. A later study in a
431 highly derived order of teleosts, Tetraodontiformes, which includes ocean sunfishes,
432 triggerfishes and pufferfishes, also showed the importance of *eda* signaling pathway in
433 developmental formation and morphological variations of dermal spines (an extreme scale
434 derivative) [66]. In stickleback, a mutation within an inter-genic region between *eda* and
435 *tnfrsf13b* genes leads to changes in transcriptional responsiveness of *eda* to its upstream Wnt
436 signaling pathway and consequently impairment of armor plate formation [67].

437
438 In this study, we also found genetic changes in 3'UTR and the inter-genic region between *eda*
439 and *tnfrsf13b* genes that could explain the differences in *eda* expression across the
440 Haplochromine cichlids (Table 3). The genetic changes resulted gain or loss of motifs which
441 were predicted to be binding sites for transcription factors encoded by *mef2*, *tcf1* and *lef1*
442 genes. These changes always discriminated the LT species from the species from LM and
443 LV, whereas the riverine species had changes which could be considered an intermediate to
444 both groups. Interestingly, all of the three predicted transcription factors (*mef2*, *tcf1* and *lef1*)
445 are linked to Wnt signaling pathway. It is already known that *mef2* can enhance canonical
446 Wnt signal [68] and it is involved in osteogenesis as well [69–71]. The binding site motif for

447 *mef2* appeared to be deleted in 3'UTR of the LT and riverine species. On the other hand, a
448 binding site motif for *tcfl* was gained in 3'UTR of the LM, LV and riverine species. In mice,
449 *tcfl* is demonstrated to be involve in paraxial mesoderm and limb formation and appeared to
450 be act downstream of Wnt signal similar to *lef1* transcription factor [72]. Moreover,
451 canonical Wnt signaling has been shown to regulate osteogenesis through *tcfl* responsive
452 element on regulatory sequence of *runx2* in mammals [73]. The third motif predicted to be a
453 binding site for *lef1* and only found within *eda - tnfrsf13b* inter-genic region of LT species.
454 *Lef1* is again a well-known mediator of canonical Wnt signaling pathway which inhibits final
455 stage of osteoblast differentiation [74] but it is essential for osteoblast proliferation and
456 normal skeletal development [75, 76]. During development *lef1* function is shown to be
457 essential for scale outgrowth in zebrafish [77], and *eda* expression is known to be regulated
458 by Wnt signal through *lef1* transcriptional activity in mammals [78, 79]. In stickleback,
459 mutation in an inter-genic region between *eda* and *tnfrsf13b* genes is suggested to affect a
460 binding site for c-jun transcription factor which its interaction with *lef1* is required for *eda*
461 transcriptional response to Wnt signal during armor plate formation [67]. Taken together,
462 these observations, suggest mutations in enhancer sequences required for binding of Wnt
463 signal components as potential underlying reason for the divergent expression of *eda* in both
464 anterior and posterior scales of the cichlid species in this study.

465

466 In the posterior scale, in addition to *eda*, three more genes, *dlx5*, *rankl* and *shh*, displayed
467 expression correlation with morphological divergence across the cichlid species (Fig. 6). The
468 first gene, distal less homeobox 5 or *dlx5*, encodes transcription factor stimulating osteoblast
469 differentiation and bone development, and it is also implicated in scale development and
470 regeneration in fish [80–82]. Apart from its role in skeletogenesis, *dlx5* has been found to be
471 involved in divergent development and morphogenesis of other tissues in cichlids such as
472 teeth and nuchal hump [39, 83, 84]. In goldfish, *dlx5* expression appeared to be important at
473 early stages of scale regeneration [80], and in both zebrafish and goldfish, *dlx5* transcription
474 in scale can be affected by environmental clues such as mechanical stimulus [81, 82]. The
475 second gene, *rankl*, encodes a ligand for osteoprotegerin (*opg*) and play crucial role in
476 osteoclast differentiation and bone remodelling. Changes in *rankl* transcription appeared to be
477 important during scale regeneration in goldfish [80, 85], as well as intercellular
478 communications regulating scale bone remodeling in zebrafish and goldfish [81, 86]. Both
479 *dlx5* and *rankl* have shown expression correlation patterns opposite to *eda* and *shh* in the
480 posterior scales. Although, direct regulatory connections between these factors have not been

481 investigated in scale but these findings suggest their potential interactions at transcriptional
482 level. Moreover, higher expression of *rankl* in the scales of LT species compared to LM and
483 LV species might indicate higher level of bone remodelling in their scales.

484

485 The third gene, sonic hedgehog or *shh*, encodes a ligand of hedgehog signaling pathway
486 which is shown to control scale morphogenesis in relationship with the formation of the
487 epidermal fold in the posterior region of scale in fish [16]. In zebrafish, epidermal expression
488 of *shh* has been shown to regulate scale regeneration through controlling osteoblast
489 population and affecting directional bone growth [87]. We found similar expression pattern
490 between *eda* and *shh* which is more pronounced in the posterior scales. This is consistent
491 with previous findings in other vertebrates, for instance, *eda* has been demonstrated to act
492 upstream of *shh* and induce *shh* expression during ectodermal organogenesis in mammals
493 (e.g. during hair placode formation) [88–92]. Furthermore, it has been shown that the *eda*-
494 dependent regulation of *shh* might be a part of larger molecular cascade in which an upstream
495 signal such as Wnt pathway activates *eda* signal and in turn *eda* induces *shh* transcription [90,
496 92, 93]. These observations suggest potential role of *Wnt-eda-shh* axis in divergent scale
497 morphogenesis across Haplochromine cichlids, which seems to be more pronounced in the
498 posterior scales.

499

500 **Conclusions**

501 This is the first attempt to study cross-species association between gene expression and
502 morphological divergence in scales of cichlids from different lakes. Our results provide
503 evidence for potential role of a key signal mediated by *eda* gene to be involved in divergent
504 morphogenesis of scale in closely related cichlid species. We show that *eda* expression has
505 lower level in the scales of species from the older lake (Lake Tanganyika) and correlates with
506 the observed shape variations across species. Our findings shed light on molecular basis of
507 morphological divergence of a less studied skeletal element; however, further investigations
508 are required to understand whether these differences have adaptive relevance in ecological
509 and evolutionary-developmental contexts.

510

511 **List of abbreviations**

512 **LT:** Lake Tanganyika, **LM:** Lake Malawi, **LV:** Lake Victoria, ***bmp4*:** bone morphogenetic
513 protein 4, ***colla2*:** collagen type I alpha 2 chain, ***ctsk*:** cathepsin K, ***dlx5*:** distal-less homeobox
514 5, ***eda*:** ectodysplasin A, ***edar*:** ectodysplasin A receptor, ***fgf20*:** fibroblast growth factor 20,

515 *fgfr1*: fibroblast growth factor receptor 1, *mmp2*: matrix metalloproteinase 2, *mmp9*: matrix
516 metalloproteinase 9, *opg*: osteoprotegerin, *runkl*: receptor activator of nuclear factor kappa B
517 ligand, *runx2a*: runt-related transcription factor 2 alpha, *sema4d*: semaphorin 4D, *shh*: sonic
518 hedgehog signaling molecule, *sp7*: osterix transcription factor.

519

520 **Declarations**

521 *Authors' contributions*

522 EPA, SB, MW and CS designed the study. SB, EPA, MW, and AD conducted the laboratory
523 experiment, measurements and figure preparations. MW and EPA analysed the data, and
524 EPA, MW, AD and CS wrote the manuscript. WG and AD performed fish breeding and
525 sampling. WG photographed the adult fishes used in Figure 1A. All authors reviewed the
526 manuscript and approved its content.

527

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533

534 *Competing interests*

535 The authors declare that they have no competing interests.

536

537 *Availability of data and materials*

538 All data generated or analysed during this study are included in this published article.

539

540 *Consent for publication*

541 Not applicable.

542

543 *Ethics approval and consent to participate*

544 Fish keeping and euthanasia were conducted under permit BMWFW-66.007/0004-
545 WF/V/3b/2016 issued by the Federal Ministry of Science, Research and Economy of Austria
546 (BMWFW) in accordance with the ethical guidelines and regulations of the BMWFW. Fish

547 keeping and sampling was carried out in our certified aquarium facility according to the
548 Austrian animal welfare law.

549

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555

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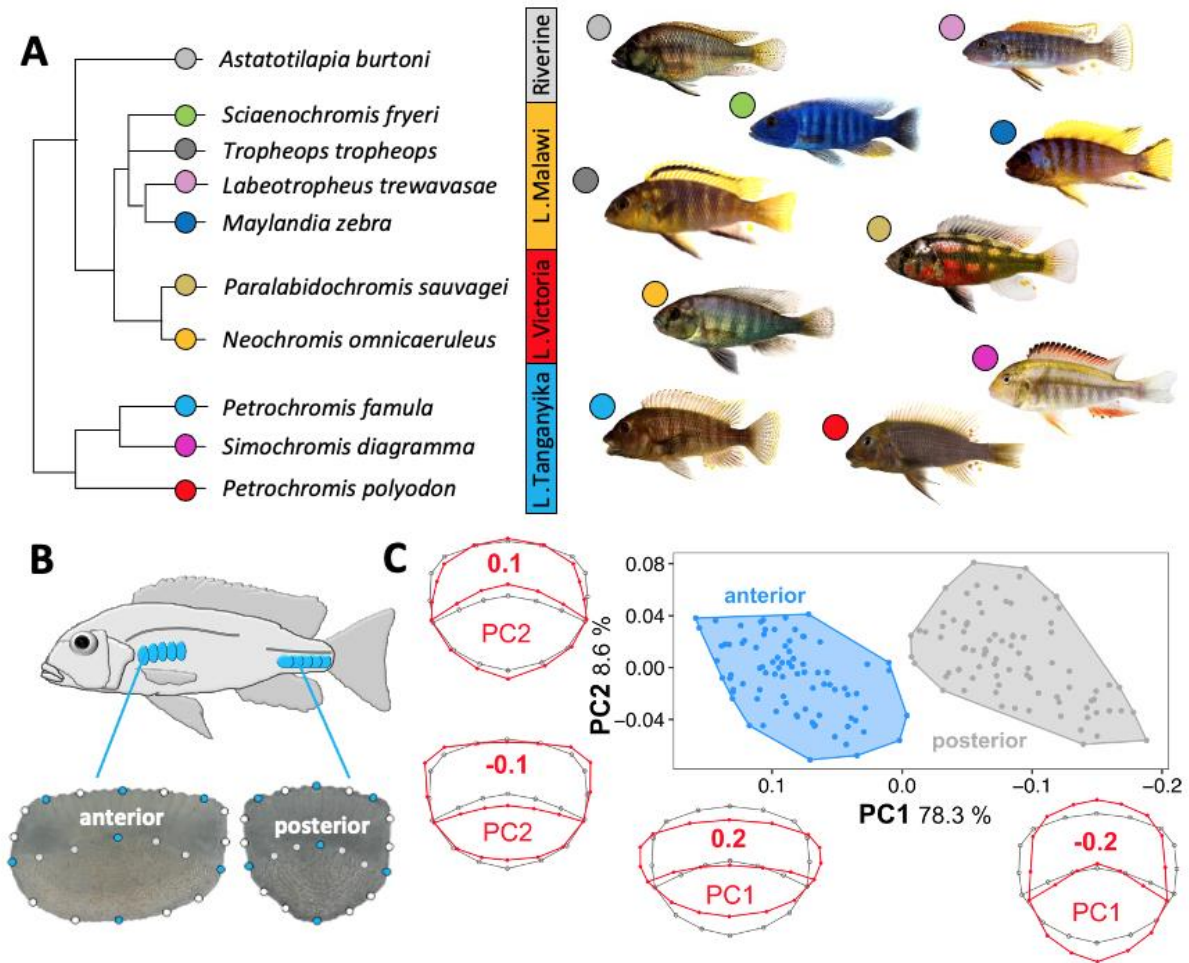
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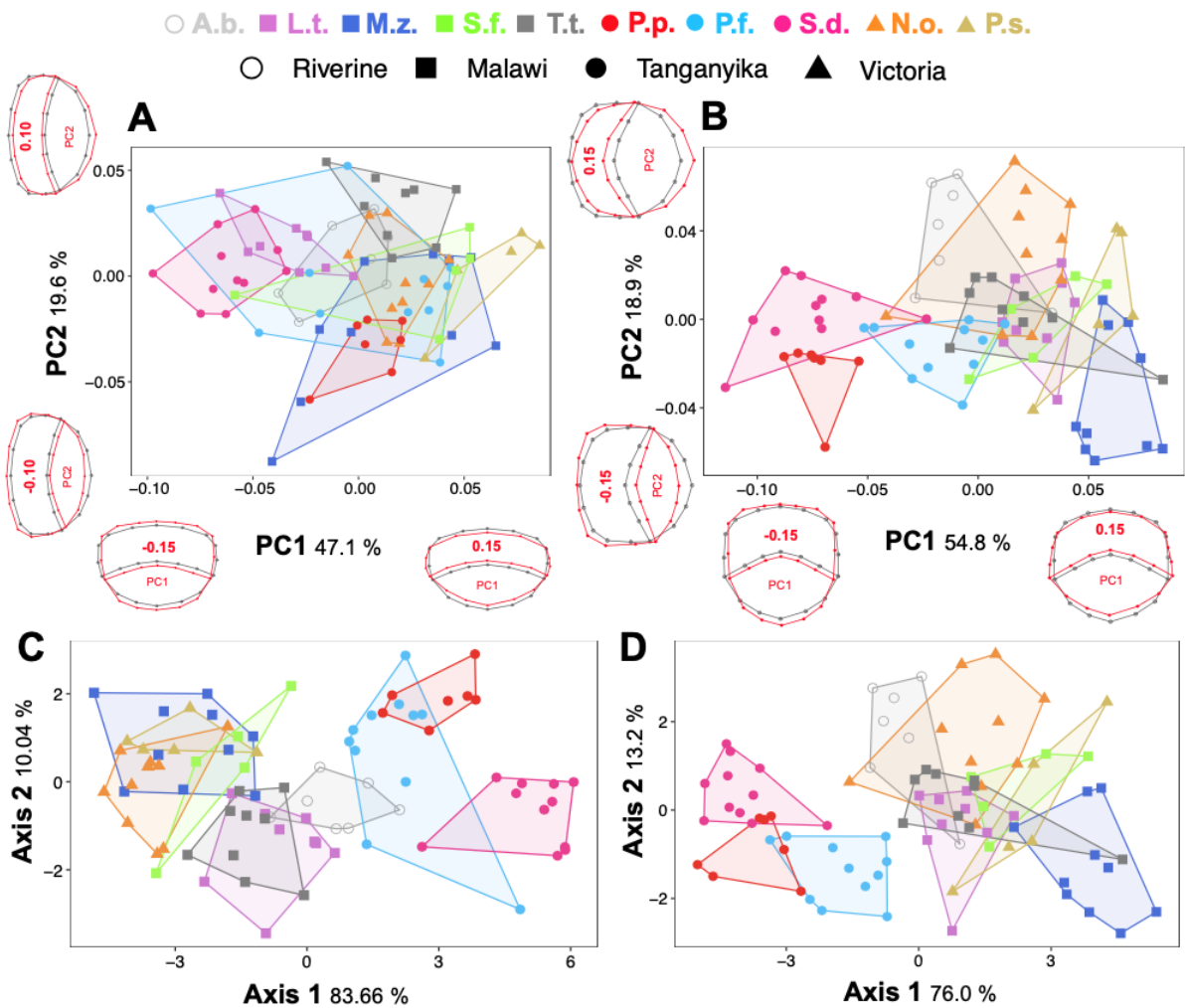


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844 **Figure 1. The haplochromine cichlid species and descriptions of the scale samples.**

845 (a) A simplified phylogenetic relatedness of the East African haplochromine cichlid species
 846 used in this study. (b) Positions of the anterior and posterior scales used in this study and
 847 landmarks used for the geometric morphometric analyses in both anterior (left) and posterior
 848 (right) scales shown as an example for *Petrochromis famula*. Blue dots represent major
 849 landmarks, white dots semi-landmarks and 1 mm scale bars are given below the images. (c)
 850 Principal component analysis (PCA) plots clearly separate scales from the anterior and
 851 posterior part of the body. Additional, warped outline drawings illustrate major shape
 852 changes along the axis (red) compared to the overall mean shape (grey).

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855 **Figure 2. Morphospace of investigated scales from different species and body parts.**

856 Principal component analysis based on average shape of scales collected for the anterior (a)

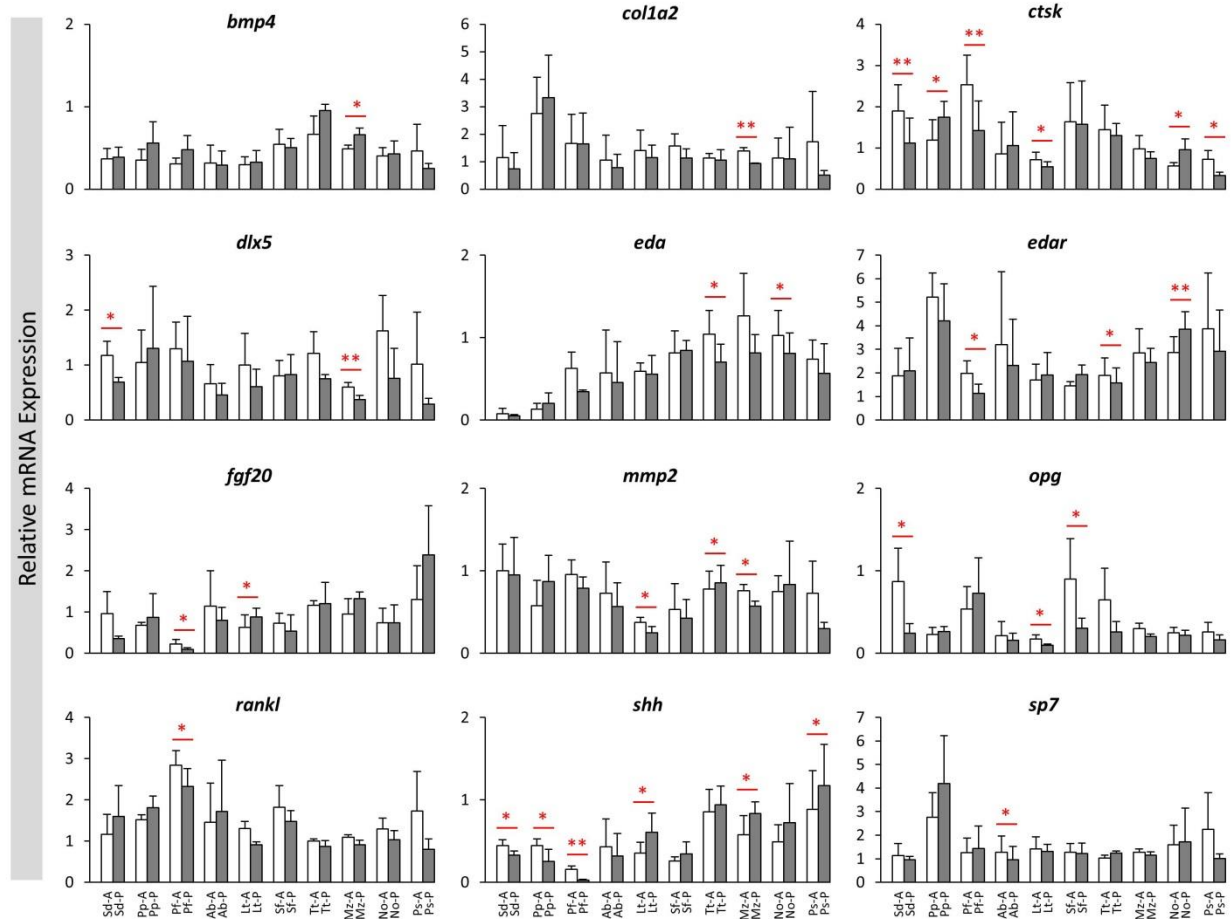
857 and posterior (b) part of the body and respective shape differences along the axis (grey:

858 overall mean shape; red: shape change). Linear discriminant function analysis based on the

859 first four PC-scores for anterior (c) and posterior (d) scales. All data points represent mean

860 shapes obtained from 6 individually collected scales and shapes represent different lake

861 origins. Abbreviations: A.b. *Astatotilapia burtoni*; N.o.: *Neochromis omnicaeruleus*; P.f.:
 862 *Petrochromis famula*, P.p.: *P. polyodon*; P.s.: *Paralabidochromis sauvage* S.d.: *Simochromis*
 863 *diagramma*; S.f.: *Sciaenochromis fryeri*; T.t.: *Tropheops tropheops*; L.t.: *Labeotropheus*
 864 *trewavasae*; M.z.: *Maylandia zebra*.



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866 **Figure 3. The anterior versus posterior scales expression differences of the candidate**
 867 **target genes in haplochromine cichlids from three East African lakes.**

868 Comparisons of relative expression levels between anterior versus posterior scales for 16
 869 candidate target genes in different lakes in East Africa at young adult stage. Significant
 870 differences between the are indicated by red asterisks (*P < 0.05; **P < 0.01). See Figure 1A
 871 for corresponding species abbreviations.

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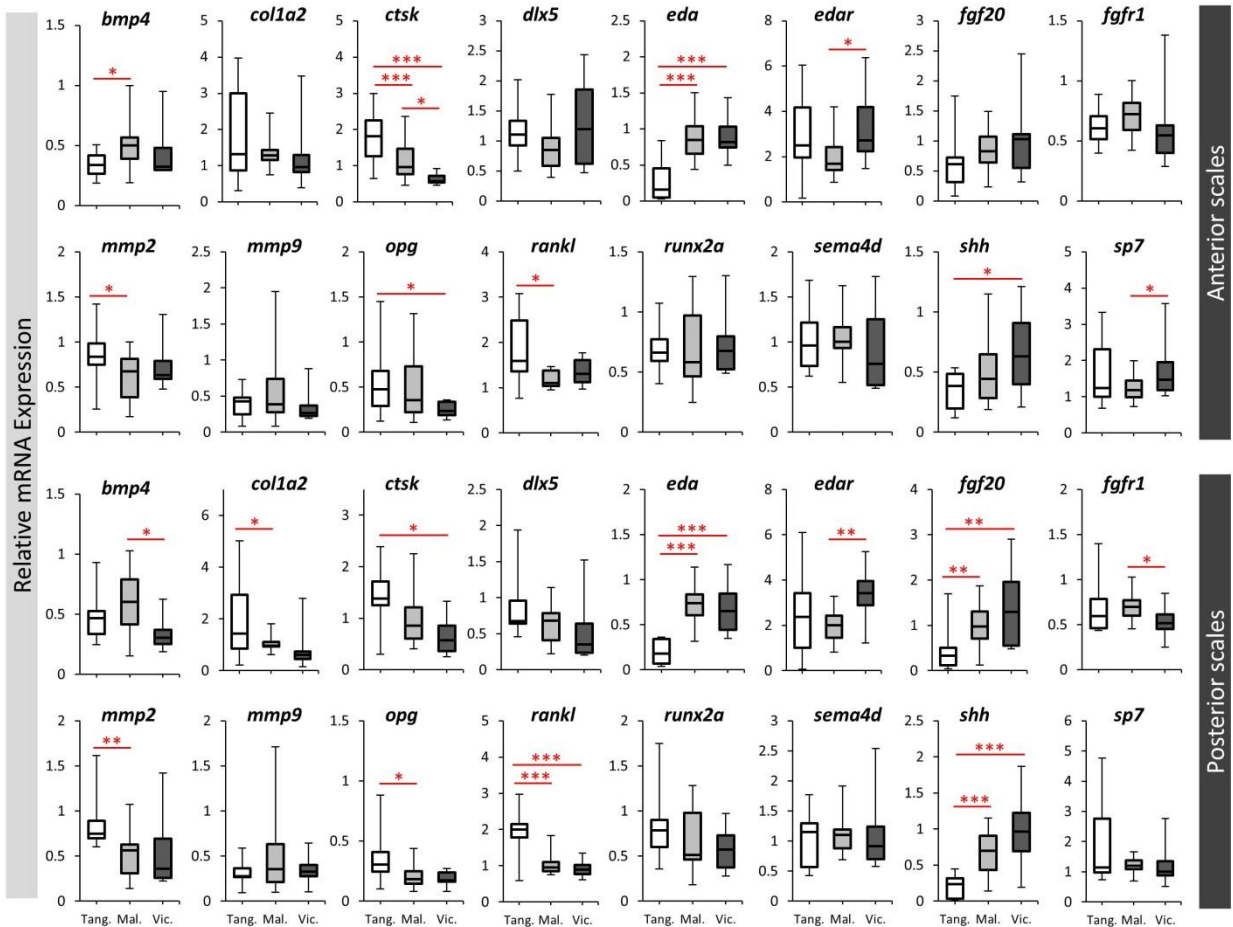
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879 **Figure 4. The lake-based expression differences of the candidate target genes in**
 880 **haplochromine cichlids in this study.**

881 Comparisons of relative expression levels between the lakes, when all species of each lake
 882 were combined, within anterior or posterior scales for 16 candidate target genes. Significant
 883 differences between the are indicated by red asterisks (*P < 0.05; **P < 0.01; ***P < 0.001).
 884 See Figure 1A for corresponding species abbreviations.

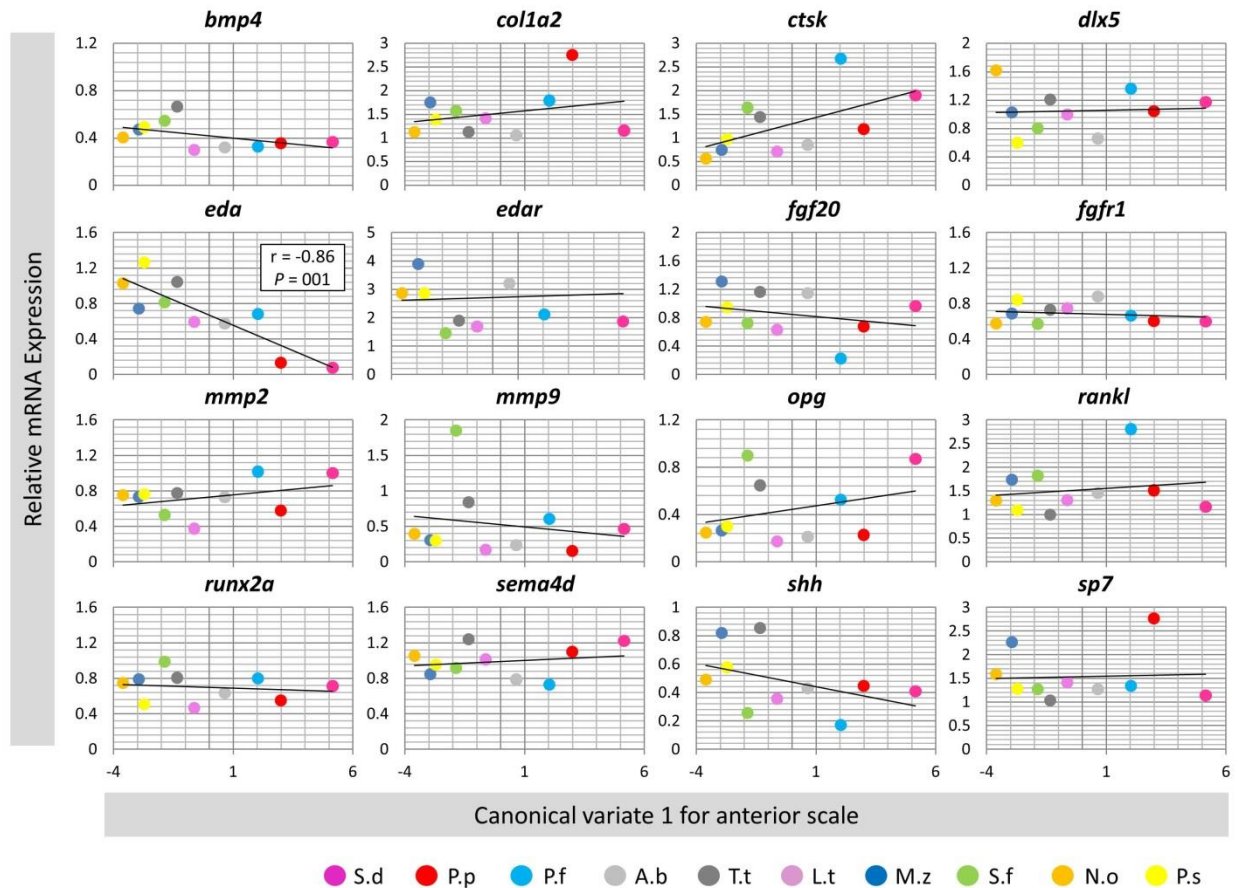
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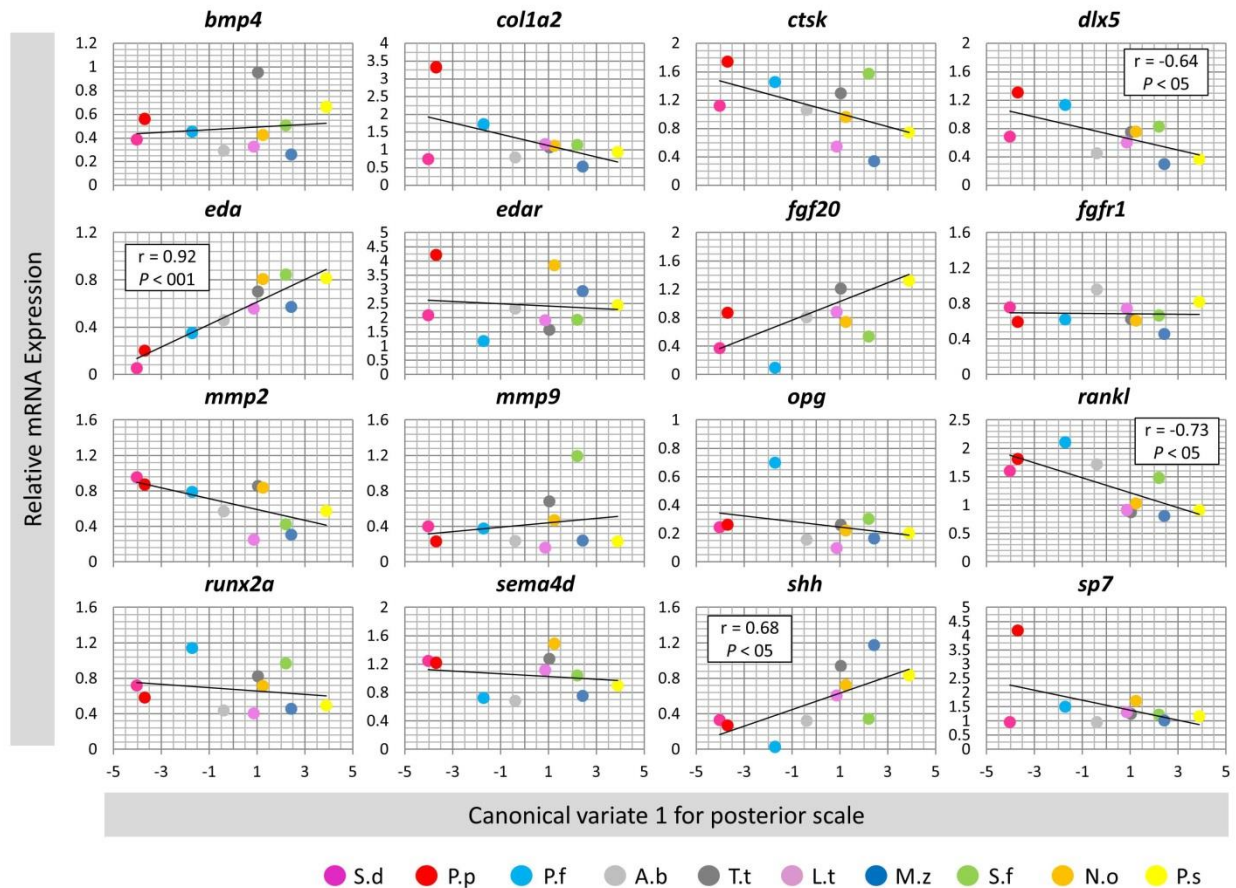


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891 **Figure 5. Correlation analyses of candidate target gene expressions and the anterior**
 892 **scale morphological divergence across the haplochromine species.**

893 A Pearson correlation coefficient (r) was used to assess the similarity between differences in
 894 expression level of the target genes and the major canonical variate in the anterior scales across
 895 all species. See Figure 1A for corresponding species abbreviations.

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898 **Figure 6. Correlation analyses of candidate target gene expressions and the posterior**
 899 **scale morphological divergence across the haplochromine species.**

900 A Pearson correlation coefficient (r) was used to assess the similarity between differences in
 901 expression level of the target genes and the major canonical variate in the posterior scales across
 902 all species. See Figure 1A for corresponding species abbreviations.

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920 **Table 1. Selected target genes involved in the development and/or morphogenesis of**
 921 **scales in teleost fish.**

Gene	Related functions	Species	References
<i>bmp4</i>	A ligand of the TGF- β superfamily implicated in formation and calcification of elasmoid scale	zebrafish	[94]
<i>coll1a2</i>	A member of collagen family highly expressed in both developing and adult elasmoid scales and responsive to environmental changes	zebrafish	[95, 96]
<i>ctsk</i>	A lysosomal cysteine proteinase involved in bone remodeling/resorption and expressed in in both developing and adult elasmoid scale and responsive to environmental changes	zebrafish	[81, 82, 96, 97]
<i>dlx5</i>	A homeobox transcription factor involved in bone development and scale formation and regeneration and responsive to environmental changes	zebrafish goldfish	[80–82]
<i>eda</i> <i>edar</i>	A tumor necrosis factor and its receptor mediating a signal involved in development of ectodermal organs and playing role in scale formation and morphogenesis	zebrafish medaka sculpin stickleback	[19, 65, 67, 98, 99]
<i>fgf20</i>	A fibroblast growth factor involved in formation of scale development and morphogenesis	zebrafish	[100, 101]
<i>fgfr1</i>	A conserved receptor of fibroblast growth factor involved in formation of scales during juvenile development and morphological changes of scales in adult	zebrafish carp cichlid	[20, 102]
<i>mmp2</i> <i>mmp9</i>	Members of matrix metalloproteinases involved in development, regeneration and tissue remodeling of scale	zebrafish	[103]
<i>opg</i>	An osteoblast-secreted decoy receptor that functions as a negative regulator of bone resorption involved in scale formation and regeneration	zebrafish goldfish	[80, 81]
<i>rankl</i>	A ligand for <i>opg</i> and functions as a key factor for osteoclast differentiation bone remodeling involved in scale formation and regeneration and responsive to environmental changes	zebrafish goldfish	[80, 81, 85, 86]
<i>runx2a</i>	A transcription factors essential for osteoblastic differentiation and skeletal morphogenesis involved in scale formation and regeneration and responsive to environmental changes	zebrafish goldfish	[80, 82, 104]
<i>sema4d</i>	A cell surface receptor involved in cell-cell signaling and scale formation and responsive to environmental changes	zebrafish	[81]
<i>shh</i>	A ligand of Hedgehog signaling pathway involved in the control of scale morphogenesis in relationship with the formation of the epidermal fold in the posterior region	zebrafish	[16, 87]
<i>sp7</i>	A bone specific transcription factor required for osteoblast differentiation and scale formation and regeneration	zebrafish carp goldfish	[80, 87, 105]

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Table 2. Ranking of reference genes in anterior and posterior scales across all of the haplochromine species used in this study.

SD indicates a ranking calculation based on standard deviation generated by BestKeeper, whereas SV, stability value, and M, mean expression stability value, are calculated by geNorm and NormFinder, respectively.

	Anterior scales						Posterior scales					
	BestKeeper		geNorm		NormFinder		BestKeeper		geNorm		NormFinder	
	Ranks	SD	Ranks	M	Ranks	SV	Ranks	SD	Ranks	M	Ranks	SV
1	<i>actb1</i>	0.398	<i>actb1</i>	1.110	<i>actb1</i>	0.478	<i>actb1</i>	0.421	<i>actb1</i>	1.133	<i>actb1</i>	0.435
2	<i>rps11</i>	0.532	<i>rps11</i>	1.168	<i>rps11</i>	0.589	<i>rps11</i>	0.571	<i>rps11</i>	1.174	<i>rps11</i>	0.471
3	<i>rps18</i>	0.745	<i>tbp</i>	1.256	<i>tbp</i>	0.669	<i>tbp</i>	0.804	<i>tbp</i>	1.276	<i>tbp</i>	0.705
4	<i>tbp</i>	0.766	<i>hsp90a</i>	1.379	<i>rps18</i>	0.708	<i>hsp90a</i>	0.829	<i>hsp90a</i>	1.346	<i>hsp90a</i>	0.763
5	<i>gapdh</i>	0.869	<i>rps18</i>	1.396	<i>hpri1</i>	0.871	<i>rps18</i>	0.869	<i>rps18</i>	1.536	<i>rps18</i>	0.812
6	<i>hsp90a</i>	0.882	<i>hpri1</i>	1.590	<i>hsp90a</i>	0.879	<i>gapdh</i>	1.003	<i>gapdh</i>	1.703	<i>hpri1</i>	0.918
7	<i>hpri1</i>	0.929	<i>gapdh</i>	1.631	<i>gapdh</i>	1.227	<i>hpri1</i>	1.089	<i>hpri1</i>	1.729	<i>gapdh</i>	1.215
8	<i>elf1a</i>	1.507	<i>elf1a</i>	1.827	<i>elf1a</i>	1.420	<i>elf1a</i>	1.362	<i>elf1a</i>	1.754	<i>elf1a</i>	1.310

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Table 3. Identified genetic differences in regulatory sequences of *eda* gene and predicted binding sites for potential upstream regulators.

PWD ID indicates positional weight matrix ID of a predicted binding site and E-values refer to matching similarity between the predicted motif sequences and the PWD IDs.

Region	Sequence	Species	TFBS	PWM ID	E-value
<i>eda</i> 3'UTR	A-----A	Ab, Sd, Pp, Pf	-	-	-
	AAAAATAGCTA	All the others	Mef2	M00941	2.1296e-12
<i>eda</i> 3'UTR	GAATAGATTAAC	Sd, Pp, Pf	-	-	-
	GAATATATTAAC	All the others	Tcf1	MA0046.1	2.6516e-06
<i>eda</i> / <i>tnfsf13b</i> Intergenic	ACTT-----GCGAG	Sd	Lef1	M00805	2.4053e-06
	ACTTGGACTGCGAG	Pp, Pf	Lef1	M00805	5.1356e-05
	ACTTCGACTGCGAG	Ab	-	-	-
	ACTTCAACTGCGAG	All the others	-	-	-

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Additional file 1.xls. Information about qPCR primers used in this study.

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