

# Stochastic microbiome assembly depends on context

Eric W. Jones<sup>a,\*</sup>, Jean M. Carlson<sup>b</sup>, David A. Sivak<sup>a</sup>, and William B. Ludington<sup>c,d</sup>

<sup>a</sup>Department of Physics, Simon Fraser University, Burnaby, BC, V5A 1S6, Canada

<sup>b</sup>Complex Systems Group, Department of Physics, University of California, Santa Barbara, CA 93106

<sup>c</sup>Department of Embryology, Carnegie Institution for Science, Baltimore, MD 21218

<sup>d</sup>Department of Biology, Johns Hopkins University, Baltimore, MD 21218

## Abstract

Observational studies reveal substantial variability in microbiome composition across individuals. While some of this variability can be explained by external factors like environmental, dietary, and genetic differences between individuals, in this paper we show that for the model organism *Drosophila melanogaster* the process of microbiome assembly is inherently stochastic and contributes a baseline level of microbiome variability even among organisms that are identically reared, housed, and fed. In germ-free flies fed known combinations of bacterial species, we find that some species colonize more frequently than others even when fed at the same high concentration. We develop a new ecological technique that infers the presence of interactions between bacterial species based on their colonization odds in different contexts, requiring only presence/absence data from two-species experiments. We use a progressive sequence of probabilistic models, in which the colonization of each bacterial species is treated as an independent stochastic process, to reproduce the empirical distributions of colonization outcomes across experiments. We find that incorporating context-dependent interactions substantially improves the performance of the models. Stochastic, context-dependent microbiome assembly underlies clinical therapies like fecal microbiota transplantation and probiotic administration, and should inform the design of synthetic fecal transplants and dosing regimens.

## Significance Statement

Individuals are constantly exposed to microbial organisms that may or may not colonize their gut microbiome, and newborn individuals assemble their microbiomes through a number of these acquisition events. Since microbiome composition has been shown to influence host physiology, a mechanistic understanding of community assembly has potentially therapeutic applications. In this paper we study microbiome acquisition in a highly-controlled setting using germ-free fruit flies inoculated with specific bacterial species at known abundances. Our approach revealed that acquisition events are stochastic, and the colonization odds of different species in different contexts encode ecological information about interactions. These findings have consequences for microbiome-based therapies like fecal microbiota transplantation that attempt to modify a person's gut microbiome by deliberately introducing foreign microbes.

## Introduction

The microbiome that organisms initially acquire tends to be stably maintained over time, with resulting physiological consequences for animal growth, development, and health [1, 2]. There is also substantial variation in microbiome composition between individuals: for example, the vast majority of bacterial species found in the human population are not present in a majority of humans [3, 4]. It is not yet known the extent to which this variability is driven by initial microbiome acquisition versus the subsequent ecological dynamics that occur once a species is stably colonized.

Colonization of the gut is stochastic, that is, exposure to a bacterial species does not guarantee colonization. Consequently, every time an organism encounters a bacteria, that bacteria might successfully colonize and begin to proliferate or it might not. These branching outcomes, generated continuously from every bacterial encounter of every organism, contribute to a baseline level of microbiome variability even

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\*eric\_jones\_2@sfu.ca

44 among replicates in identical environments [5, 6]. The state of the microbiome can subsequently affect the  
45 odds that an invader species will successfully colonize—for example, with priority effects the establishment  
46 of one species may preclude or encourage subsequent colonization by another—and such feedbacks further  
47 complicate the microbiome assembly process [7, 8, 9].

48 Although diverse microbiome compositions are consistently observed in natural populations, there have  
49 been few attempts to systematically study the assembly of complex microbial communities under defined  
50 biological conditions and with known strains of bacteria [10, 11, 12]. Especially in vertebrates these “bottom-  
51 up” experiments are difficult to perform due to the sheer bacterial diversity of their gut microbiome, which  
52 can harbor on the order of 1,000 bacterial species (as in the typical human gut) [2]. Invertebrates, by  
53 contrast, often have simpler gut microbial communities: the microbiome of *D. melanogaster* contains on the  
54 order of 10 bacterial species with a core set of approximately five species [13, 14]. As in other animals the  
55 fly gut microbiome tends to be stable over time once initially colonized and has been linked to development,  
56 fecundity, and lifespan [15, 16]. Therefore, the fly gut microbiome exhibits variability across individuals,  
57 affects its host organism’s fitness, and constitutes a tractable experimental system that is representative of  
58 microbiome variability across organisms at large.

59 To probe how probabilistic colonization affects community assembly, we examined five core bacterial  
60 species of the *D. melanogaster* microbiome: *Lactobacillus plantarum* (LP), *Lactobacillus brevis* (LB), *Aceto-*  
61 *tobacter pasteurianus* (AP), *Acetobacter tropicalis* (AT), and *Acetobacter orientalis* (AO). The genus *Aceto-*  
62 *bacter* consists of bacteria that metabolize various carbon sources including sugars, ethanol, and lactate and  
63 excrete acetic acid. Bacteria from the *Lactobacillus* genus metabolize amino acids and sugars and excrete  
64 lactic acid [17, 18, 19]. The yeast-based diet of *D. melanogaster* supplies bacteria in the gut microbiome  
65 with the nutrients needed to drive their ecological dynamics [20].

66 In this paper we characterize the microbiome assembly of *Drosophila melanogaster* and empirically show  
67 that these initial colonization events lead to microbiome variability in flies that are identically reared,  
68 housed, and fed. We took a combinatorial approach and inoculated each of the 31 combinations of the  
69 five core bacteria into separate groups of germ-free flies, with 48 fly replicates per combination (Fig. 1).  
70 The bacterial abundance of each species in each fly was assayed, and this abundance data was converted  
71 into presence/absence data to create a distribution of colonization outcomes for each bacterial combination.  
72 This data was previously published in Gould et al., PNAS 2018, but no analysis of stochastic microbiome  
73 assembly was performed at that time [16]. In this paper we first empirically characterize these colonization  
74 outcomes, then reproduce them with a sequence of increasingly complex mathematical models. We find that  
75 stochastic microbiome assembly generates variability in the fly gut microbiome, and that the colonization  
76 odds of each species are influenced by the context of the other species with which they are fed.

## 77 Results

### 78 Standardized feeding leads to variable colonization outcomes

79 When a bacterial combination of  $N$  species (called a diversity- $N$  combination) is fed to flies,  $2^N$  colonization  
80 outcomes can result (corresponding to the presence/absence of each fed bacterial species). For each bacterial  
81 combination 48 fly replicates were fed bacteria-laden food in an identical manner, but some bacterial species  
82 failed to colonize some fly replicates which led to variable colonization outcomes (see Methods) [16]. Figure  
83 2 plots the empirical frequency of each colonization outcome for each bacterial combination. The most  
84 common colonization outcome (for nearly every bacterial combination) is that all fed species colonize. Each  
85 additional fed species doubles the number of possible colonization outcomes; accordingly, at higher-diversity  
86 combinations more outcomes were observed.

87 Collapsing the colonization outcomes for experiments of each diversity yields the average number of  
88 species that successfully colonize as a function of the number of species fed, shown in Fig. 3a. Some species  
89 failed to colonize in some replicates for experiments of every diversity. Furthermore, as demonstrated in Fig.  
90 3b the proportion of species that successfully colonize is relatively constant (ranging from 0.85-0.9) across  
91 combination diversities. Since variable colonization outcomes occurred even when flies were inoculated with  
92 bacteria at higher doses and in more uniform conditions than are typically found in nature, these findings  
93 suggest that stochastic colonization is a universal feature of microbiome community assembly.

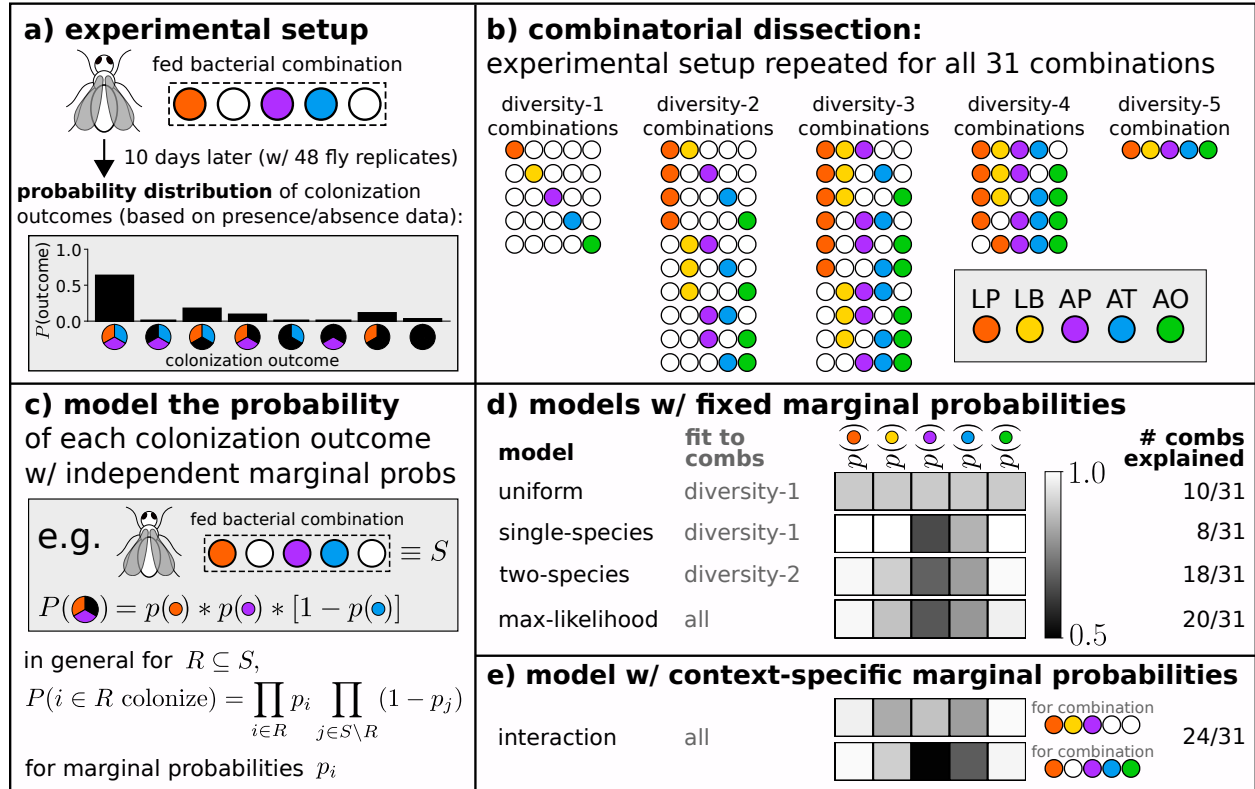


Figure 1: **Experimental schematic and modeling framework.** (a, b) Germ-free flies were associated with all 31 combinations of a core group of five bacteria, with 48 biological replicates per combination. Each fly was fed bacteria-laden food for 10 days, then crushed and plated to determine the bacterial abundance (measured as colony-forming units) of each species. Each species in a diversity- $N$  combination can colonize or fail to colonize, yielding a probability distribution over  $2^N$  colonization outcomes. Data previously published in Gould *et al.*, *PNAS* 2018 [16]. (c) Empirical distributions of colonization outcomes (Fig. 2) are modeled assuming that the colonization of each species is independent, with species-specific colonization probabilities  $p_i$  (also labeled  $p(i)$  in this schematic). (d) Models with fixed marginal colonization probabilities explain the colonization outcomes of up to 20 out of 31 bacterial combinations (multinomial test,  $p > 0.05$ ); the two-species model performs nearly as well as the max-likelihood model but requires only a fraction of the data. (e) Models with context-specific marginal probabilities outperform models with fixed marginal probabilities.

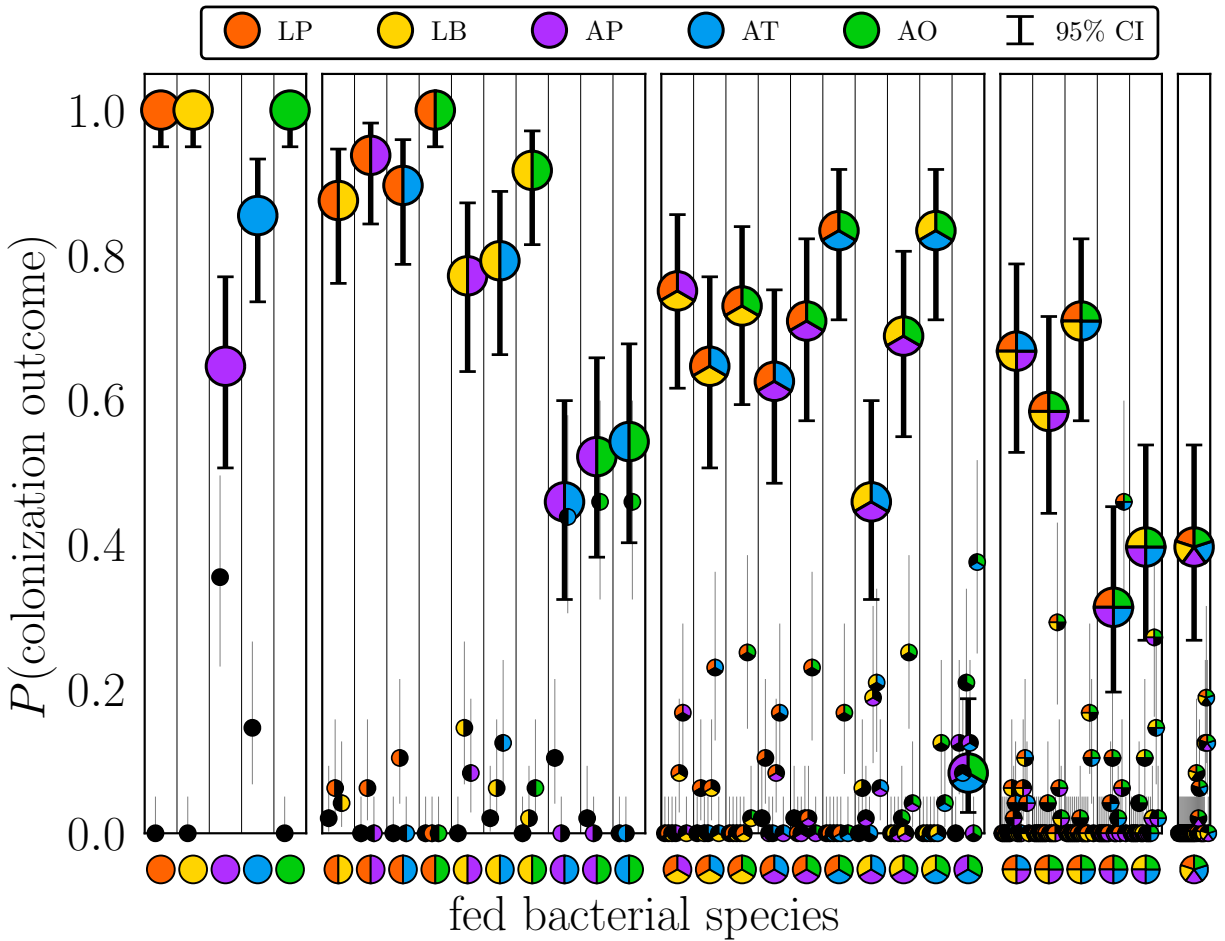


Figure 2: **Distribution of colonization outcomes for each combination of fed species.** Binary presence/absence data (detection limit of 100 bacterial CFUs) from 48 biological fly replicates per combination, represented as probabilities of colonization outcomes. Colonization outcomes are represented as pies with the number of slices equal to the combination diversity, colorful slices representing the presence of that bacterial species (as indicated in the legend), and black slices representing the absence of that species. Outcomes in which all fed species colonized are plotted as large pie markers. For each combination, the probabilities of all colonization outcomes sum to 1. Error bars indicate 95% confidence intervals of the mean.

## 94 Colonization odds of bacterial species imply the existence of “strong” and “weak” 95 colonizers

96 The colonization odds of each bacterial species—that is, the proportion of the time a bacterial species colo-  
97 nized when it was fed—differ in general, revealing a distinction between bacteria that are “strong colonizers”  
98 and others that are “weak colonizers.” Figure 3c shows the colonization odds  $p_N(i)$  of species  $i$  in exper-  
99 iments of a given diversity  $N$ . These diversity-dependent colonization odds demonstrate that LP and AO  
100 are strong colonizers (colonizing more than 95% of flies they are fed to) while AT, and AP are relatively  
101 weak colonizers (colonizing less than 80% of flies they are fed to). The colonization odds of LB are 100%  
102 in single-species experiments but are significantly lower in higher-diversity combinations, possibly reflecting  
103 competitive exclusion of LB by other stronger colonizers when they are present.

## 104 Species colonization odds depend on context

105 Bacterial species colonized with different odds depending on which other species were fed alongside. These  
106 context-dependent deviations in colonization odds  $\Delta p^j(i)$ , defined as the colonization odds of species  $i$  in the  
107 presence of species  $j$  minus the colonization odds of species  $i$  regardless of combination, reflect interactions  
108 between bacterial species. Figure 3d shows a heatmap of these context-dependent deviations, indicating  
109 that *Acetobacter* species colonize more frequently in the presence of *Lactobacillus* species and less frequently  
110 in the presence of other *Acetobacter* species. The colonization odds of LP and LB are basically unaffected  
111 by the presence of other bacteria, while the colonization odds of AP, AT, and AO are sensitive to the  
112 presence of other bacteria. Clustering the rows of the heatmap yields the dendrogram at left, which correctly  
113 assigns bacterial species to their corresponding genera (the similarity metric comparing rows  $i$  and  $j$  only  
114 considers contributions from the three elements that are not  $i$  or  $j$ , see Methods). Notably, this taxonomic  
115 clustering is entirely based on distributions of colonization outcomes, meaning that in this case observational  
116 presence/absence data is sufficient to extract the functional similarity of species of the same genus.

## 117 Reproducing empirical colonization outcomes with probabilistic models in which 118 the colonization of each bacterial species is an independent stochastic process

119 Flies fed a diversity- $N$  combination have  $2^N$  colonization outcomes, corresponding to whether each species  
120 was able to colonize or not. We model these colonization outcomes by assuming that each species’ colonization  
121 is an independent process. More concretely, an *independent colonization model* posits that for a diversity- $N$   
122 combination  $S$ , the probability of a colonization outcome  $R \subseteq S$  is

$$P(R) = \prod_{i \in R} p_i \prod_{j \in S \setminus R} (1 - p_j), \quad (1)$$

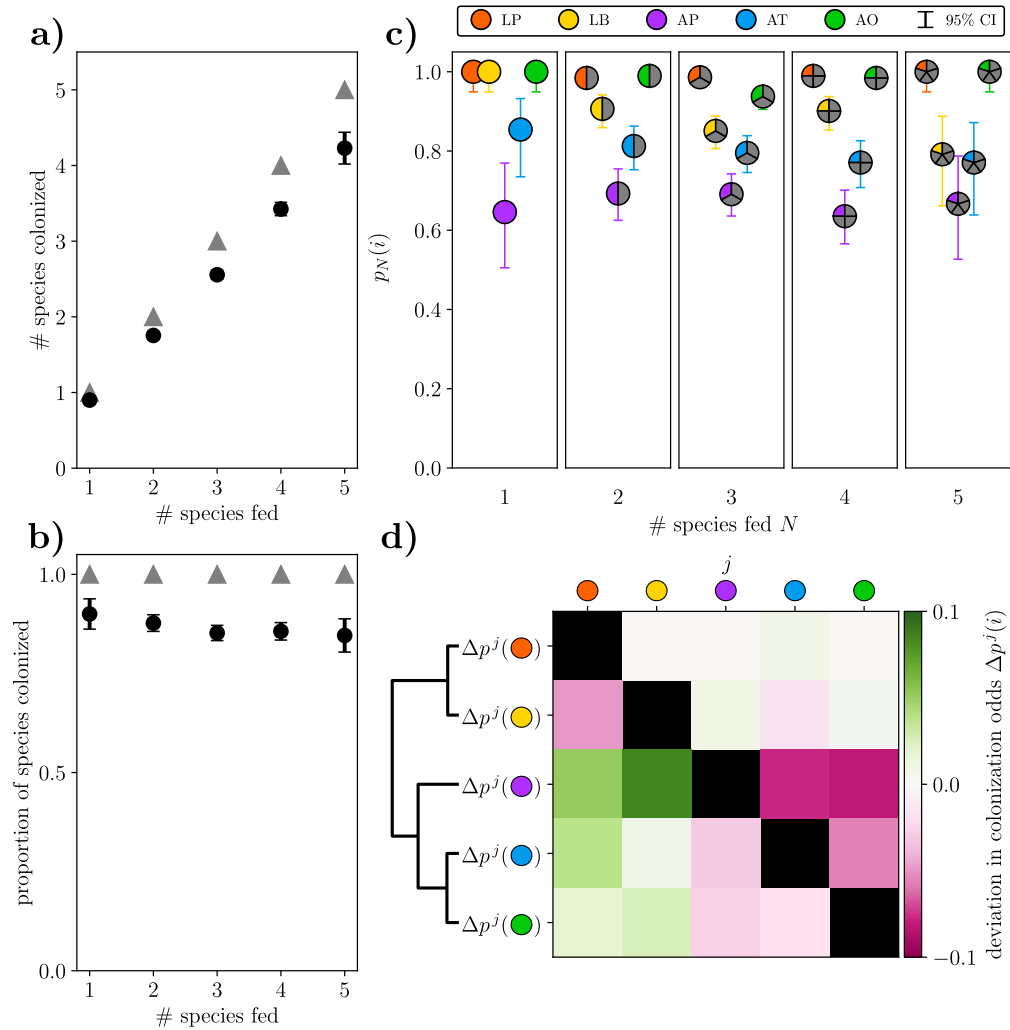
123 where  $p_i$  is the marginal colonization probability of species  $i$ . Notationally we use “colonization odds” to refer  
124 to empirical quantities and “colonization probabilities” to refer to parameters of the independent models.

125 The choice of  $p_i$  entirely determines the expected distribution of colonization outcomes of independent  
126 colonization models for a given bacterial combination. We consider two families of models: models with  
127 fixed colonization probabilities (*i.e.*, models in which  $p_i$  does not depend on the bacterial combination),  
128 and models with context-dependent colonization probabilities (*i.e.*, models where  $p_i$  can depend on the  
129 bacterial combination). We evaluate model performance with multinomial tests, by examining the likelihood  
130 of generating the observed data with a given model, and by computing the Bayesian Information Criterion  
131 (BIC) of each model (see Methods).

## 132 Independent colonization models with fixed marginal colonization probabilities

133 We first consider four independent colonization models in which the colonization probabilities  $p_i$  of each  
134 species are context-independent. Figure 1d shows a heatmap of  $p_i$  in each of the four models, named the  
135 *uniform*, *single-species*, *two-species*, and *max-likelihood* models.

136 In the uniform model the colonization probabilities of each species are set to be identical, equal to  
137 the average colonization odds of single-species experiments. In the single-species model  $p_i$  are set to the



**Figure 3: Empirical characterizations of colonization odds.** (a,b) Bulk colonization properties quantify variability in colonization outcomes. Distributions of colonization outcomes (as in Fig. 2) are coarse-grained across species to yield (a) the average number of species that colonize and (b) the proportion of species that colonize for each combination diversity, plotted as black circles. Gray triangles indicate perfect colonization of every fed species. (c) Single-species colonization odds vary across combination diversities. For each bacterial species  $i$ , diversity-dependent colonization odds  $p_N(i)$  were computed (across all experiments of diversity  $N$ ) as the number of times a species successfully colonized divided by the number of times it was fed. Colorful slices represent the presence of that bacterial species (as indicated in the legend) and grey slices represent all outcomes for the other species. LB is less successful at colonizing in more diverse combinations ( $p = 0.02$ , Cochran-Armitage trend test). Error bars represent the 95% confidence interval of the mean. (d) Colonization odds depend on context. The deviation  $\Delta p^j(i)$  in the colonization odds of species  $i$  in the presence of species  $j$  is defined as the probability that species  $i$  colonized when fed with species  $j$  minus the probability that species  $i$  colonized (regardless of combination), and is plotted as a heatmap. *Acetobacter* species colonized more frequently in the presence of *Lactobacillus* species and less frequently in the presence of other *Acetobacter* species. Grouping the different rows of the heatmap by similarity yields the dendrogram at left, which accurately clusters bacterial species according to their genera.

138 colonization odds of species  $i$  across the diversity-1 experiments; likewise,  $p_i$  of the two-species model are set  
139 to the colonization odds of species  $i$  across diversity-2 experiments. Lastly,  $p_i$  of the max-likelihood model  
140 are chosen to maximize the likelihood across experiments of all diversities, equally weighting the likelihood  
141 of generating the colonization outcomes of each combination. If the colonization probability of any species  
142 in any model is exactly 1 (as for LP, LB, and AO in the single-species model), we replace that probability  
143 by 0.9999 to avoid the possibility of colonization outcomes with zero likelihood, and in the Supplemental  
144 Information we show that the following results are not sensitive to this numerical choice.

145 It is pertinent for researchers to know whether independent colonization models with colonization proba-  
146 bilities  $p_i$  fit to low-diversity (diversity-1 or diversity-2) experiments are similar to models fit to high-diversity  
147 (diversity-3 or higher) experiments, since if this is the case then a full combinatorial dissection is not needed  
148 to characterize the assembly of multi-species microbiomes. Figure 1d shows that colonization probabilities  
149 of the two-species model (which were fit to diversity-2 experiments) are very similar to the probabilities of  
150 the max-likelihood model (which were fit across every experiment). However, the probabilities of the single-  
151 species model differed: in diversity-1 experiments LB colonized 100% of the time, whereas in diversity-2  
152 experiments it colonized only 91% of the time, and in higher-diversity experiments its colonization odds var-  
153 ied between 79% and 90%. These findings suggest that independent colonization models fit to two-species  
154 experiments implicitly account for bacterial interactions in a way that models fit to single-species experiments  
155 cannot.

## 156 Independent colonization models with context-dependent marginal colonization 157 probabilities

158 Next we consider a model in which the colonization probability  $p_i$  of species  $i$  depends on the combination  
159  $S$  in which it is fed (*i.e.*,  $p_i = p_i(S)$ ). The form of this *interaction model* is motivated by the context-  
160 dependent colonization odds in Fig. 3d. In the interaction model, when a *Lactobacillus* species  $i$  is fed with  
161 another *Lactobacillus* species, its colonization probability is adjusted so that  $p_i \rightarrow p_i^{\alpha_{LL}}$ . If a *Lactobacillus*  
162 species  $i$  is fed with an *Acetobacter* species,  $p_i \rightarrow p_i^{\alpha_{LA}}$ , and if it is in the presence of both *Acetobacter* and  
163 *Lactobacillus* species,  $p_i \rightarrow (p_i^{\alpha_{LL}})^{\alpha_{LA}}$ . The same rules apply to *Acetobacter* species, but with parameters  
164  $\alpha_{AL}$  and  $\alpha_{AA}$ . Exponentiation of colonization probabilities ensures that they remain bounded between 0 and  
165 1. This particular model (with its relatively simple form) is representative of the improved performance of  
166 context-dependent colonization models broadly, although an infinite number of alternative context-dependent  
167 models might also demonstrate these properties.

168 The interaction parameters  $\alpha_{LL}$ ,  $\alpha_{LA}$ ,  $\alpha_{AL}$ , and  $\alpha_{AA}$  encode interactions between *Lactobacillus* and  
169 *Acetobacter* species. These parameter values were determined by maximizing the likelihood across all exper-  
170 iments, which yielded  $\alpha_{LL} = 2.4$ ,  $\alpha_{LA} = 2.0$ ,  $\alpha_{AA} = 2.6$ , and  $\alpha_{AL} = 0.5$ . Therefore, in the interaction model  
171 the colonization probabilities of *Lactobacillus* species are lower in the presence of any additional species.  
172 The colonization probabilities of *Acetobacter* species are lower in the presence of other *Acetobacter* species  
173 and are higher in the presence of *Lactobacillus* species. Weak colonizers are more strongly influenced by  
174 these interactions due to the form of the interaction model: for example, the colonization probability of AP  
175 decreases by 30 percentage points when it is in the presence of other *Acetobacter* species in the interaction  
176 model. The fit interaction parameters recapitulate the context-dependent deviation in colonization odds  
177 shown in Fig. 3d.

## 178 Independent colonization models with context-dependent colonization probabili- 179 ties reproduce empirical colonization outcomes better than models with context- 180 independent colonization probabilities

181 The accuracy of independent colonization models is evaluated in two ways in Fig. 4. First, Fig. 4a shows  
182 when a model overestimates (green) or underestimates (pink) the probability that all fed species colonize.  
183 Second, Fig. 4b indicates when a model reproduces (white) or fails to reproduce (dark red) the observed  
184 colonization outcomes, as determined by the  $p$ -value of a multinomial test. The residuals of Fig. 4a capture  
185 model fits for the particular colonization outcome that all fed species colonize, while the  $p$ -values of Fig. 4b  
186 report deviations based on the entire observed and model-predicted distributions of colonization outcomes.

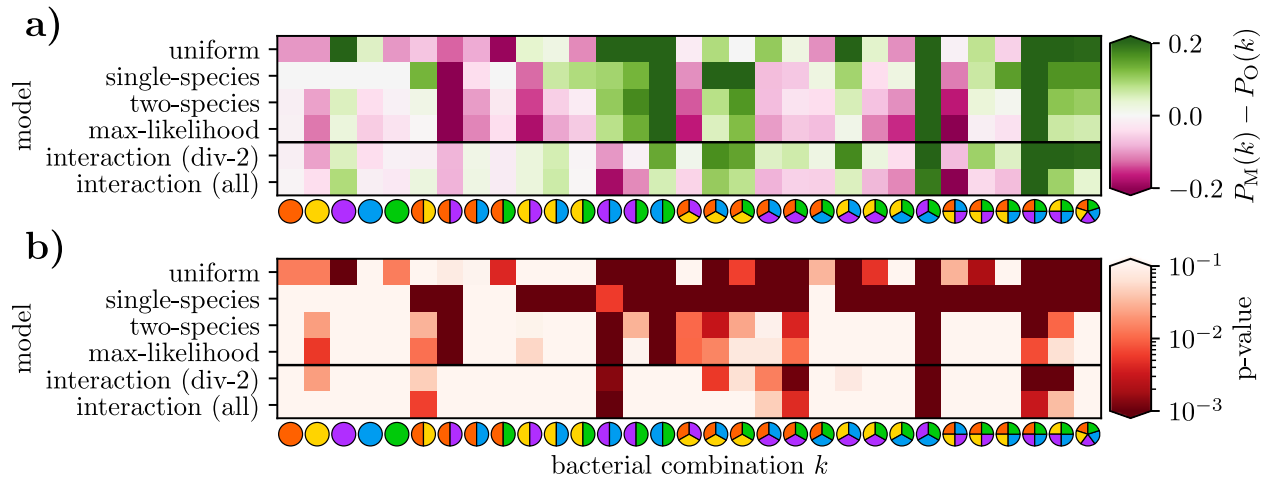


Figure 4: **Evaluation of how independent colonization models reproduce empirical colonization outcomes.** (a) Model residuals for the probability that all fed species colonize, where  $P_M(k)$  and  $P_O(k)$  are the model-predicted and empirically observed probabilities that all fed species of combination  $k$  successfully colonize, respectively. (b) The probability that a model reproduces the empirically observed distribution of colonization outcomes for each bacterial combination  $k$  (multinomial test; see Supplementary Information). In both panels, lighter colors indicate improved model fit, and the four models with fixed colonization probabilities are separated by a horizontal black line from the two models with context-dependent probabilities. The interaction model fit to all data is labeled “interaction (all)”, and the interaction model fit to diversity-2 experiments is labeled “interaction (div-2)”.

Table 1: **Performance metrics for independent colonization models.** The uniform model had one free parameter while the other models with fixed colonization probabilities had five free parameters. Both interaction models had nine free parameters, consisting of five colonization probabilities and four interaction parameters. Models that better combine empirical fit with fewer free parameters have smaller BIC scores.

independent colonization model	# combinations reproduced ( $p > 0.05$ , multinomial test)	log-likelihood (across all experiments)	# free model parameters	Bayesian Information Criterion (BIC)
uniform	10/31	-583	1	1169
single-species	8/31	-1023	5	2063
two-species	18/31	-331	5	680
max-likelihood	20/31	-318	5	653
interaction (div-2)	22/31	-298	9	626
interaction (all)	24/31	-254	9	539



187 Figure 4a makes apparent that the context-dependent interaction models are better than models with  
188 fixed colonization probabilities at predicting the probability that all fed species colonize (*i.e.*, the bottom two  
189 models in each panel have lighter heatmap values than the top four rows). This trend is reinforced in Fig.  
190 4b, where the context-dependent interaction models have higher  $p$ -values (*i.e.*, are better at reproducing the  
191 measured colonization outcomes) than models with fixed colonization probabilities.

192 Table 1 compiles additional performance measures for each model. The likelihood is defined as the  
193 probability of obtaining the observed data with a given model, in this case the product of the probabilities  
194 of 31 draws from multinomial distributions that each correspond to a particular combination of fed bacteria.  
195 The BIC is lowest for the interaction models. For two models  $i$  and  $j$  with equal priors, the quantity  
196  $\exp((\text{BIC}_i - \text{BIC}_j)/2)$  roughly equals the odds that model  $j$  generated the observed data divided by the  
197 odds that model  $i$  generated the observed data [21]. As a rule of thumb, if the difference  $\text{BIC}_j - \text{BIC}_i > 10$   
198 then there is strong evidence to support model  $i$  rather than model  $j$ . Accordingly there is strong evidence  
199 to support models with context-dependent colonization probabilities over models with fixed colonization  
200 probabilities, and also to support models fit to all data over models fit to a subset of the data.

## 201 Model failures indicate bacterial interactions

202 As is evident in Fig. 4b, the empirical colonization outcomes of most bacterial combinations are well-  
203 approximated by the independent colonization models. Nevertheless, these models fail to reproduce a few  
204 combinations (characterized by dark red vertical strips in Fig. 4b), and the models' failure hints at an  
205 anomalous distribution of colonization outcomes. In two of these combinations, AP/AT and AP/AT/AO,  
206 the colonization odds of AP (45% and 33% respectively) are substantially lower than the average colonization  
207 odds of AP across all experiments (67%). Additionally, for AP/AT the colonization odds of AT (90%)  
208 are substantially higher than average (80%). These deviations indicate interactions between bacteria, in  
209 particular the exclusion of AP colonization by other *Acetobacter* species. The interaction model penalizes  
210 the colonization probabilities of *Acetobacter* species in the context of other *Acetobacter* species, yet it still  
211 failed to reproduce the distribution of colonization outcomes observed in AP/AT and AP/AT/AO. Partially,  
212 the interaction model is not compatible with the empirical observation that for the AP/AT combination  
213 the colonization odds of AT are higher in the presence of AP. More generally the rich structure of the  
214 combinatorial colonization outcomes lays bare the limitations of minimally context-dependent colonization  
215 models and motivates the future development of more intricate colonization models.

## 216 Colonization odds inferred from diversity-2 experiments predict colonization out- 217 comes of more diverse experiments

218 In experiments with multiple species the presence of bacterial interactions can affect colonization, and these  
219 effects can be accounted for with a more complex model. Taking these interactions into account—either by  
220 using the two-species model rather than the single-species model or by considering context-dependent col-  
221 onization probabilities—improves the explanatory power of the associated independent colonization model.  
222 Of the independent models with fixed marginal colonization probabilities, the two-species model is nearly  
223 identical to the max-likelihood model, with the colonization probability of each species differing by  $\leq 2$  per-  
224 centage points between the two models. Accordingly, these two models reproduce a similar number (18/31  
225 and 20/31, respectively) of bacterial combinations and have similar log-likelihoods (-331 and -317). Notably,  
226 the single-species model performs much more poorly: when fed in isolation, LP, LB, and AO colonized  
227 perfectly, but their colonization odds worsened significantly once they were fed in combination with other  
228 species. Thus, considering bacterial context (and not using exclusively single-species experiments) signifi-  
229 cantly improves prediction. Supplemental Table 1 contains the log-likelihoods of each model for experiments  
230 of each diversity, which provides additional quantitative backing to this notion.

231 The context-dependent interaction model fit to diversity-2 experiments also outperformed (*i.e.*, repro-  
232 duced the colonization outcomes of more combinations, had a higher log-likelihood, and had a lower BIC  
233 score) all models with fixed colonization odds, including the max-likelihood model. The interaction model  
234 fit to diversity-2 experiments is sufficient to predict the majority (10/16) of high-diversity (diversity-3 and  
235 higher) colonization outcomes. For comparison, the interaction model fit to all experiments reproduces 11/16

236 of high-diversity colonization outcomes. Therefore interactions can be identified and accounted for based  
237 solely on two-species experiments.

## 238 Discussion

### 239 Stochastic colonization is a universal feature of microbiome assembly that pro- 240 duces microbiome variation across individuals

241 Microbiome assembly begins at birth, and the ecological dynamics that give rise to an individual’s micro-  
242 biome can be separated into two sequential processes: probabilistic colonization by a bacterial inoculum,  
243 and subsequent internal dynamics (including replication, death, shedding, and secondary colonization by  
244 sloughed-off bacteria). By examining the stochastic colonization process in detail in the fruit fly, we demon-  
245 strate that a baseline level of microbiome variability exists among identically treated experimental replicates.  
246 In nature, bacterial inocula are typically less concentrated than they were in our experimental setup, so nat-  
247 ural colonization events will therefore occur with lower odds than those that we observed, producing broader  
248 distributions of colonization outcomes than in our experiments.

### 249 Stochastic microbiome assembly underlies bacteriotherapies like fecal microbiota 250 transplantation (FMT) and probiotics

251 Treatments like fecal microbiota transplantation (FMT) and probiotics hinge upon successfully engrafting  
252 a “healthy” microbial community into a sick person’s microbiome [22]. While FMT is capable of produc-  
253 ing long-term shifts in microbiome composition in some individuals, for others its effect can be muted or  
254 ineffectual [23]. Typical fecal transplants introduce hundreds of bacterial species into a sick person’s gut  
255 microbiome, but the imperfect colonization of these species might be partially responsible for the variable  
256 success of FMT treatments [24, 25, 26].

257 Currently, fecal transplant engraftment is typically quantified at a compositional level by examining how  
258 the donee’s microbiome changes over the course of a transplant (*e.g.*, by measuring the species richness), and  
259 also by examining whether the donee’s microbiome becomes more similar (*e.g.*, as quantified by UniFrac) to  
260 the donor’s microbiome [27]. Quantifying the proportion of species from a fecal transplant that successfully  
261 engraft is complicated by the imperfect detection of low-abundance species, but some analyses of this type  
262 have nonetheless been performed. Le Roy, *et al.* implanted a fecal transplant containing 180 operational  
263 taxonomic units (OTUs; approximately equivalent to bacterial species) into germ-free mice, and after nine  
264 weeks 162 of these donor OTUs were found in the donee mice, so 90% of transplanted species successfully  
265 colonized [28]. Maldonado-Gomez *et al.* found the probiotic *Bifidobacterium longum* AH1206 in 30% of  
266 humans six months after ingestion [29]. These two observations imply that bacteriotherapies like FMT and  
267 probiotics are influenced by stochastic microbiome assembly.

268 Future predictive frameworks of FMT or probiotic efficacy should take into account the stochastic nature  
269 of community assembly. For instance, FMT “superdonors” (who provide fecal transplants that engraft  
270 especially frequently) might harbor an increased number of bacteria that are strong colonizers, as we observed  
271 in our experiments [22]. Additionally, given that some bacterial species will fail to successfully engraft  
272 during FMT, our framework points to the advantage of building synthetic fecal transplants with functional  
273 redundancy in mind so that each desired bacterial function can be performed by multiple types of bacteria  
274 present in the transplant. Previous work found that once a bacterial dose is high enough to saturate the  
275 dose-response curve (as was the case in our experiments), simply increasing the inoculation dose does not  
276 substantially affect that species’ colonization odds [5]. Inoculating with several functionally-similar bacterial  
277 species, on the other hand, takes advantage of the independent colonization odds of each species to improve  
278 the likelihood that at least one of the desired species will successfully colonize. Lastly, our framework can  
279 be straightforwardly extended to predict the probability that sequential FMT or probiotic administrations  
280 will be successful: for a bacterial species with per-treatment colonization odds  $p_i$ , the probability it colonizes  
281 after  $N$  inoculations is  $1 - (1 - p_i)^N$ . Thus, this framework could inform FMT and probiotic dosing regimens  
282 and lead to improved clinical outcomes.

## 283 **Presence/absence data reveals ecological interactions and taxonomic structure**

284 We inferred interactions between pairs of bacteria by examining how the colonization odds of one species  
285 change when it is fed with the other species. Notably, these interactions were determined using only pres-  
286 ence/absence data derived from bacterial abundance data. In natural environments with unknown exposures  
287 where organisms assemble their microbiomes from an environmental bath of bacteria, interactions between  
288 bacteria might be similarly identified using only this frequently collected presence/absence data. For exam-  
289 ple, in cohoused mice the context-dependent colonization odds of different species might reflect facilitative  
290 or competitive interactions between different species. Binary presence/absence data offers a particularly  
291 accessible lens for examining complex interactions in the microbiome.

## 292 **Two-species experiments predict colonization outcomes of higher-order experi-** 293 **ments**

294 Independent colonization models fit to diversity-2 experiments performed nearly as well as models fit to  
295 all experiments. Single-species experiments do not capture bacterial interactions, which limits their ability  
296 to explain the colonization behaviors of higher-order bacterial combinations. The colonization patterns of  
297 higher-order combinations are approximated reasonably well by independent colonization models in which  
298 the colonization odds of each species are determined with pairwise experiments, which is consistent with  
299 recent work studying multispecies synthetic soil microbiomes [10].

## 300 **Conclusion**

301 Stochastic microbiome assembly is a ubiquitous process that occurs in all nascent microbiomes and has last-  
302 ing consequences for microbiome composition. Our results detail variability in colonization outcomes in the  
303 fruit fly, even when flies are fed high doses of bacteria in identical experimental conditions. Our experiments  
304 sidestep the complication of historical contingency in community assembly (*i.e.*, priority effects) by simulta-  
305 neously feeding germ-free flies all types of bacterial species [7]. We find that context-dependent deviations  
306 in colonization odds reveal interactions between bacterial species: this method for inferring bacterial inter-  
307 actions is based on the ensemble of colonization outcomes across biological replicates, and differs from other  
308 traditional inference methods that attempt to infer interactions from compositional time-series data [30, 31].  
309 Probabilistic models fit with low-diversity colonization data are capable of reproducing the colonization  
310 outcomes of higher-diversity combinations, which suggests that the acquisition of multi-species microbial  
311 communities can be coarse-grained in terms of the colonization odds of individual species. Stochastic mi-  
312 crobiome assembly plays a crucial role in microbiome dynamics, and interrogating empirical colonization  
313 data with falsifiable mathematical models is a necessary step towards better understanding this process.  
314 Future efforts to deliberately drive an individual's microbiome to a desired composition (the goal of person-  
315 alized microbiome healthcare) will benefit from taking stochastic colonization into account when prescribing  
316 microbiome-based therapies.

## 317 **Materials and Methods**

318 Additional details are provided in SI Appendix.

### 319 **Procedure for bacterial inoculation in germ-free flies**

320 Data in this paper was published in Gould et al., PNAS 2018 [16]. Briefly, each of the 31 combinations of 5  
321 core bacteria (LP, LB, AP, AT, and AO) were fed to 4 separate biological replicates of 12 germ-free flies (48  
322 total flies per combination for 1488 total flies). 48 negative control flies were maintained germ-free. Vials  
323 of initially germ-free flies were inoculated with  $5 * 10^6$  CFUs of each bacterial species. Flies consumed the  
324 bacteria and were transferred to vials with fresh bacteria-laden food every 3 days for 10 days. Individual  
325 flies were then surface-sterilized with 70% ethanol, crushed, and plated on agar plates to enumerate CFUs.  
326 Fig. S1 plots the distribution of CFUs for each species across all experiments. The abundance of stably

327 colonized bacterial species was substantially higher than the limit of detection: median bacterial abundance  
328 of colonized flies was 152,000 CFUs; limit of detection was 100 CFUs. Therefore, while it is feasible that  
329 this presence/absence dichotomy excludes some flies that were minimally colonized, this occurrence appears  
330 to be relatively rare.

### 331 Bacterial inoculation doses are at the “plateau” of the dose-response curve

332 In the fruit fly, the probability that bacterial strains colonize follows a dose-response curve that is an increas-  
333 ing function of the inoculum dose [5]. In the prior study by Obadia et al., single bacterial species were fed  
334 to flies in inoculum doses ranging from  $10^1$  to  $10^8$  CFUs: for example, a lab fly-gut isolate of *Lactobacillus*  
335 *plantarum* colonized 20% of flies when fed at a dose of  $\sim 5$  CFUs, colonized 60% of flies when fed at a dose  
336 of  $\sim 300$  CFUs, and colonized 70% of flies when fed at a dose of  $\sim 3,000,000$  CFUs. This logistic shape—low  
337 colonization odds at low inoculum doses, with colonization odds plateauing (not necessarily at 100%) for high  
338 inoculum doses—was observed in all bacterial species (except for species that colonized 100% at all doses).  
339 Therefore, the colonization odds of individual species strongly depend on the inoculum dose, and are an  
340 important factor in determining colonization outcomes. To reduce the substantial variability in colonization  
341 outcomes of the fruit fly, we standardized our experimental procedure by fixing the inoculum size at  $5 * 10^6$   
342 CFUs for each bacterial species, and flies were permitted to feed continuously. This inoculum size saturates  
343 the dose-response curve so that higher doses do not lead to better colonization odds [5].

### 344 Calculation of 95% confidence intervals of colonization odds

345 To compute the confidence intervals of Fig. 2, each colonization outcome was treated as a binomial variable  
346 (in which success was defined as that colonization outcome, and failure was defined as all other coloniza-  
347 tion outcomes), from which binomial confidence intervals were computed. Binomial confidence intervals do  
348 not take into account the covariance structure of multinomial proportions, and therefore are a conservative  
349 estimate of their confidence intervals. The binomial confidence intervals of Fig. 2 and Fig. 3abc were  
350 computed using the Jeffreys interval (derived from Bayesian statistics) as implemented in the statsmod-  
351 els.stats.proportion.proportion\_confint Python function.

### 352 Multinomial tests, likelihood calculations, and Bayesian Information Criterion 353 (BIC) scores

354 Each of the independent colonization models generates a predicted distribution of colonization outcomes that  
355 can be compared to the empirical colonization outcomes using multinomial tests, likelihood calculations,  
356 and BIC scores. For each bacterial combination, multinomial tests use the multinomial distribution of  
357 colonization outcomes generated by an independent model as a null model and calculate how likely it is for  
358 this null model to generate the observed multinomial distribution of colonization outcomes or a less likely  
359 distribution (see Fig. S1 for a schematic explanation). Exact multinomial tests (used for diversity-1 and  
360 diversity-2 combinations) or Monte Carlo multinomial tests (used for diversity-3 and higher combinations)  
361 were computed with the XNomial package in R. Likelihood computations measure the probability that this  
362 same null model would yield exactly the observed distributions of colonization outcomes, *i.e.*, the probability  
363 of “drawing” the empirical distribution of outcomes with that multinomial null model. Lastly, the Bayesian  
364 information criterion (BIC) is a metric that quantifies the trade-off between increased model accuracy and  
365 increased complexity by rewarding models with a high likelihood and penalizing models with more free  
366 parameters:  $BIC = k \log n - 2 \log L$ , where  $k$  is the number of free parameters of the model,  $n$  is the sample  
367 size, and  $L$  is the likelihood of the observed data given the model.

### 368 Software availability

369 The software and raw data used to perform analyses and generate figures in this study is available online on  
370 GitHub ([https://github.com/erijones/stochastic\\_microbiome\\_assembly](https://github.com/erijones/stochastic_microbiome_assembly)).

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