

19 **ABSTRACT**

20 The insect immune deficiency (IMD) pathway is a defense mechanism that senses and
21 responds to Gram negative bacteria. Ticks lack genes encoding upstream components that
22 initiate the IMD pathway. Despite this deficiency, core signaling molecules are present and
23 functionally restrict tick-borne pathogens. The molecular events preceding activation remain
24 undefined. Here, we show that the Unfolded Protein Response (UPR) initiates the IMD network
25 in *Ixodes scapularis* ticks. The endoplasmic reticulum (ER) stress receptor, IRE1 α , is
26 phosphorylated in response to tick-borne bacteria, but does not splice the mRNA encoding
27 XBP1. Instead, through protein modeling and reciprocal pulldowns, we show that *Ixodes* IRE1 α
28 complexes with TRAF2. Disrupting IRE1 α -TRAF2 signaling blocks IMD pathway activation and
29 diminishes the production of reactive oxygen species. Through *in vitro*, *in vivo*, and *ex vivo*
30 techniques we demonstrate that the UPR-IMD pathway circuitry limits the Lyme disease-
31 causing spirochete *Borrelia burgdorferi* and the rickettsial agents *Anaplasma phagocytophilum*
32 and *A. marginale* (anaplasmosis). Altogether, our study uncovers a novel linkage between the
33 UPR and the IMD pathway in ticks.

34 INTRODUCTION

35 Arthropod-borne diseases continue to be a substantial source of morbidity and mortality
36 worldwide¹. Factors influencing the ability of arthropods to harbor and transmit pathogens are
37 incompletely understood, although progress on this front has been made in recent years.
38 Arthropod immunity is an important force in shaping vector competency²⁻⁸. For example,
39 humoral defense networks such as the Immune Deficiency (IMD) pathway recognize and restrict
40 invading microbes. As classically defined in *Drosophila melanogaster*, IMD pathway signaling
41 events are similar to the tumor necrosis factor receptor (TNFR) pathway in mammals, but
42 instead respond to the Gram negative bacterial PAMP (pathogen-associated molecular
43 patterns), DAP (diaminopimelic acid)-type peptidoglycan^{9,10}. Pathway initiating receptors PGRP-
44 LC and PGRP-LE (peptidoglycan recognition proteins LC and LE) recruit adapter molecules
45 IMD and FADD (fas-associated protein with death domain)^{11,12}, the latter pairing with DREDD
46 (death-related ced-3/Nedd2-like protein)¹³ which cleaves IMD. The E3 ubiquitin ligase IAP2
47 (inhibitor of apoptosis 2) and E2 conjugating enzymes Bendless, Uev1a, and Effette then
48 promote (K)63 polyubiquitylation of IMD^{9,10,14}. The resulting signaling scaffold leads to cleavage
49 of the NF- κ B signaling molecule Relish, which translocates to the nucleus and promotes
50 antimicrobial peptide (AMP) expression^{10,14}.

51 Significant advances in characterizing arthropod immunity have been possible owing to
52 the insect model organism, *Drosophila*. However, deviations from classically defined fly
53 immunity have been reported. For example, some IMD pathway components are not found in
54 the genomes of arachnids (ex. mites, spiders, etc.) or several hemimetabolous insects such as
55 lice, bed bugs, psyllids, squash bugs, and whiteflies¹⁵⁻²⁷. Triatomine bugs recently had many
56 IMD pathway components identified, but are missing the gene encoding IMD itself³²⁻³⁴. *Ixodes*
57 *scapularis* ticks lack genes encoding upstream regulators of the IMD pathway including
58 transmembrane *PGRPs*, *imd*, and *fadd*^{15,27,28,30}. Despite the absence of upstream regulators,

59 core IMD signaling molecules are active against infection^{28,30,33–35}. Activity of the *Ixodes* IMD
60 pathway hinges on Bendless, Uev1a, XIAP (X-linked inhibitor of apoptosis), p47, Relish, and the
61 negative regulator Caspar, which functionally restricts tick-borne pathogens *Borrelia burgdorferi*
62 (Lyme disease) and *Anaplasma phagocytophilum* (granulocytic anaplasmosis)^{5,28,30,31}. In the
63 absence of classically defined pathway initiators, functionality of the core IMD cascade suggests
64 that an alternative mode of activation exists.

65 Cellular stress responses are well-conserved across eukaryotes and respond to adverse
66 environmental conditions, such as infection^{36–45}. Herein, we demonstrate that a stress-response
67 network, the Unfolded Protein Response (UPR), initiates the IMD pathway in *I. scapularis* ticks.
68 *B. burgdorferi* and *A. phagocytophilum* activate the endoplasmic reticulum (ER) stress receptor
69 IRE1 α (inositol-requiring enzyme 1 α), which pairs with a TRAF2-like (TNF receptor associated
70 factor 2-like) signaling molecule (hereafter referred to as *Ixodes* TRAF2). Through molecular
71 modeling, biochemical interactions, pharmacological manipulations, and RNAi, we show that the
72 *Ixodes* IRE1 α -TRAF2 axis functionally restricts *B. burgdorferi* and *A. phagocytophilum* in ticks,
73 induces the IMD pathway NF- κ B factor Relish, and initiates production of antimicrobial effectors.
74 IRE1 α -TRAF2 signaling also restricts the cattle pathogen *Anaplasma marginale* in *Dermacentor*
75 *andersoni* ticks. Collectively, we show a fundamentally distinct mode of IMD pathway activation
76 that explains how core signaling is activated independent of canonical upstream regulators.

77 RESULTS

78 *The Ixodes UPR responds to tick-borne pathogens and restricts bacterial colonization*

79 The absence of IMD pathway initiating molecules led us to hypothesize that the core
80 signaling components may be induced through crosstalk with other molecular circuits. The UPR
81 is a response network that is activated by ER stress through the transmembrane receptors
82 IRE1 α , PERK (PKR-like ER kinase), and ATF6 (Activating transcription factor 6). In a non-

83 stressed state, the sensor molecule BiP (binding immunoglobulin protein) keeps all receptors
84 inactive by binding to them^{36–38} (Fig 1A). ER stress causes BiP to disassociate from UPR
85 receptors, allowing downstream signaling to ensue^{36,46–48}. This also results in upregulated
86 expression of many UPR components, including BiP, with the goal of restoring cellular
87 homeostasis^{36–38,42,49–51}. To evaluate whether tick-borne pathogens induce the UPR in *I.*
88 *scapularis*, we quantified gene expression in *A. phagocytophilum*-infected nymphs. Relative to
89 uninfected ticks (dotted baseline), significant increases were observed with *BiP*, *ire1a*, and *traf2*,
90 suggesting that the tick UPR responds to infection (Fig 1B).

91 To determine how the UPR impacts pathogen survival in ticks, we used pharmacological
92 inducers or RNAi with the ISE6 *I. scapularis* cell line. Tick cells were treated with low doses of
93 either thapsigargin or tunicamycin to induce ER stress prior to *A. phagocytophilum* infection.
94 Thapsigargin inhibits the sarco/endoplasmic reticulum Ca²⁺ ATPase (SERCA), which decreases
95 calcium levels in the ER⁵². Tunicamycin blocks N-linked glycosylation, leading to an increase of
96 misfolded proteins⁵³. Both treatments resulted in significantly less *A. phagocytophilum* (Fig 1C-
97 D). We also used an RNAi-based approach to over activate the UPR by decreasing expression
98 of the negative regulator BiP. In agreement with pharmacological induction, transcriptional
99 silencing of BiP caused a decrease in *A. phagocytophilum* colonization (Fig 1E). Altogether, this
100 demonstrates that *A. phagocytophilum* induces the UPR in ticks, which functionally restricts
101 bacterial colonization and survival.

102 *Infection induces IRE1α activation, but not XBP1*

103 Transcripts induced by *A. phagocytophilum* are associated with the IRE1α signaling axis
104 (Fig 1A-B), which is the most conserved branch of the UPR among eukaryotes⁵⁴. When
105 activated, IRE1α autophosphorylates and either splices the mRNA *xbp1* (X-box binding protein
106 1) or signals through TRAF2^{36,37,48,55} (Fig 1A). Unspliced *xbp1* mRNA (*xbp1^U*) is held in an
107 inactive state in the cytoplasm by forming a hairpin structure that inhibits translation. The RNase

108 domain of IRE1 α splices an internal intron from *xbp1^U* allowing it to be translated into a protein
109 that functions as a transcription factor^{48,56–59} (Fig 1A). Alternatively, IRE1 α can recruit the
110 signaling molecule TRAF2 to produce proinflammatory responses through NF- κ B signaling^{36–}
111 ^{38,55}. We aligned mammalian sequences from the IRE1 α pathway with tick homologs and
112 observed sequence similarity with BiP, IRE1 α , XBP1, and TRAF2 (Supplemental Figure 1A-D).
113 Notably, the IRE1 α kinase domain, RNase domain, and the activity-inducing phospho-serine
114 (Supplemental Figure 1B) were well-conserved with human sequences. Given this sequence
115 conservation, we used an antibody against human phosphorylated IRE1 α to examine the
116 posttranslational activation status of IRE1 α in ticks. When treated with UPR inducers
117 thapsigargin and tunicamycin, increased IRE1 α phosphorylation was observed in ISE6 tick cells
118 by immunoblot, as expected (Supplemental Figure 2A). *A. phagocytophilum* also induced IRE1 α
119 phosphorylation in ISE6 cells, indicating that infection induces receptor activation (Fig 2A). A
120 small molecule inhibitor, KIRA6⁶⁰, successfully blocked IRE1 α phosphorylation during infection
121 (Fig 2A) and this inhibition led to significant increases in *A. phagocytophilum* numbers (Fig 2B).
122 Similarly, knocking down the expression of *ire1 α* through RNAi also increased *A.*
123 *phagocytophilum* bacterial burden (Fig 2C). These data show that IRE1 α signaling in ticks is
124 activated by infection and restricts bacterial colonization *in vitro*.

125 To delineate the signaling events downstream from IRE1 α , *xbp1^U* was next examined in
126 infected ISE6 cells. Primers flanking the *xbp1* intron (Supplemental Fig 1E) were used to
127 differentiate spliced and unspliced transcripts by PCR. Unspliced *xbp1^U* migrates as a single
128 459 bp band. In contrast, spliced *xbp1^S* presents as a trimer on an agarose gel, consisting of
129 spliced transcripts (*xbp1^S*, 434 bp), unspliced transcripts (*xbp1^U*) and an *xbp1^U-xbp1^S*
130 heterodimer that is an artifact of PCR and migrates slightly higher. Spliced *xbp1^S* was observed
131 in thapsigargin-treated tick cells under all conditions. In contrast, *A. phagocytophilum* infection
132 did not induce *xbp1^U* splicing at any time points *in vitro* (Fig 2D). We next probed *in vivo*

133 samples from replete *I. scapularis* nymphs that were either fed on uninfected mice or those
134 infected with *A. phagocytophilum* or *B. burgdorferi*. Across all samples, *xbp1^U* remained
135 unspliced (Fig 2E). These results indicate that although the tick IRE1 α is activated by infection
136 and restricts bacterial burden, this phenotype is not carried out through XBP1 activity.

137 Since XBP1 is not responsive to infection, we sought to determine whether IRE1 α is
138 signaling through *Ixodes* TRAF2. Reducing the expression of *traf2* through RNAi in *Ixodes* ISE6
139 cells caused a significant increase in *A. phagocytophilum* (Fig 2F), correlating with the
140 phenotype observed when silencing *ire1 α* transcripts (Fig 2C). These data, together with
141 upregulated *traf2* expression in *A. phagocytophilum*-infected *I. scapularis* nymphs (Fig 1B),
142 suggests that IRE1 α is signaling through TRAF2 to restrict pathogen colonization.

143 *IRE1 α interfaces with TRAF2 in I. scapularis ticks*

144 Aligning sequences from humans and ticks reveals that the *Ixodes* TRAF2 is
145 fundamentally unique when compared to the mammalian homolog (Supplemental Figure 3A).
146 The *Ixodes* TRAF2 lacks a RING (Really Interesting New Gene) domain that is necessary for
147 ubiquitin ligase activity⁶¹. The *Ixodes* TRAF2 also has a reduced TRAF-N domain, which is
148 responsible for bridging interactions with other proteins¹³². Given these differences, we
149 performed homology modeling and a “prediction-driven” docking approach⁶² with the *I.*
150 *scapularis* IRE1 α and TRAF2 proteins to gain insight into how they interact. BLAST was used to
151 identify the human TRAF2 crystal structure⁶³ (PDB code 1CA9) as a modeling template for
152 *Ixodes* TRAF2. The modeled form of the *Ixodes* TRAF2 C-terminal region features part of a
153 coiled-coil domain and the highly conserved TRAF-C domain (Supplemental Fig 3B). In
154 addition, the homology model is a trimer where the coiled-coil domain is a single alpha helix and
155 the TRAF-C domain forms an eight-stranded antiparallel β -sandwich. Next, the human IRE1 α
156 crystal structure⁶⁴ (PDB code 6URC) was identified by BLAST as a homology template for
157 modeling the cytosolic RNase/kinase domain of *I. scapularis* IRE1 α . The structure was modeled

158 in the active state quaternary structure proposed to be necessary for autophosphorylation and
159 RNase activity⁶⁵ (Supplemental Figure 3C-D).

160 We then modeled the *Ixodes* IRE1 α -TRAF2 complex using a prediction-driven docking
161 approach⁶⁶. This tactic combines the utility of interface prediction with *ab initio* docking and is a
162 useful alternative to *ab initio* docking alone when examining protein-protein complex formation.
163 CPORT (Consensus Prediction of interface Residues in Transient complexes)⁶⁶ was used to
164 assign active and passive residues at the interface of the trimeric TRAF-C domains and the
165 RNase/kinase domain of IRE1 α (Fig 3A). Residues were then used to filter the docking process
166 by HADDOCK 2.2⁶⁷, which optimizes residue conformations at the interface before proceeding
167 to refinement. The docking model places the trimeric TRAF2 interface at the kinase domain of
168 IRE1 α with a buried surface area of 3262.16 Å² (Fig 3B). Importantly, trimeric TRAF2 is
169 positioned in a manner that does not interfere with the IRE1 α dimer interface and is away from
170 the C-terminal transmembrane domain (circled) that anchors IRE1 α to the ER (Fig 3B). Five salt
171 bridge interactions were identified that define how the TRAF2 trimer is positioned onto the
172 kinase domain of IRE1 α (Fig 3C). Each chain of TRAF2 participates in salt bridge interactions
173 with the kinase domain of IRE1 α . Therefore, the oligomeric state of TRAF2 seems to play an
174 important role in docking specificity with the RNase/kinase domain of IRE1 α . Altogether, *in silico*
175 docking analyses with *Ixodes* IRE1 α and TRAF2 suggest that these two molecules can directly
176 interface with one another.

177 To experimentally validate that IRE1 α and TRAF2 specifically interact, we used a
178 Human Embryonic Kidney (HEK) 293T cell transfection system with plasmids expressing *Ixodes*
179 IRE1 α and TRAF2 fused to affinity tags (Fig 3D). Recombinant protein expression was
180 confirmed by immunoblotting transfected cells with antibodies for FLAG and HA tags (IRE1 α -
181 FLAG and TRAF2-HA). When *Ixodes* IRE1 α and TRAF2 are co-expressed, immunoprecipitating
182 with antibodies against the FLAG tag demonstrates that IRE1 α specifically pulls down TRAF2

183 and vice versa (Fig 3D). Altogether, these data demonstrate that *Ixodes* IRE1 α and TRAF2
184 directly and specifically interact.

185 *Ixodes IRE1 α and TRAF2 restrict in vivo bacterial colonization in ticks*

186 We next determined whether the pathogen-restricting activity of *Ixodes* IRE1 α and
187 TRAF2 observed *in vitro* had similar impacts *in vivo*. To knock down gene expression, unfed *I.*
188 *scapularis* nymphs were microinjected with siRNA targeting *ire1 α* and *traf2* or with a scrambled
189 control (scRNA). Nymphs were rested overnight and then fed to repletion on *A.*
190 *phagocytophilum*-infected mice. Gene silencing and bacterial burden were both quantified by
191 qRT-PCR. Similar to *in vitro* experiments, reducing the expression of *ire1 α* and *traf2* lead to an
192 increase in *A. phagocytophilum* burdens in *I. scapularis* nymphs (Fig 4A-B).

193 *I. scapularis* take a blood meal once per life stage, with ticks initially becoming infected
194 during the larval phase⁶⁸. Since gene expression can vary depending on arthropod life stage^{69–}
195 ⁷¹, we examined the impact of IRE1 α and TRAF2 on pathogen colonization in larvae. We
196 silenced *ire1 α* and *traf2* in *I. scapularis* larvae using a modified immersion protocol where ticks
197 were submerged in siRNA or scrambled controls overnight⁷². Following immersion, larvae were
198 rested for 24 hours before feeding to repletion on *A. phagocytophilum*-infected mice. Significant
199 knockdown of *ire1 α* and *traf2* was observed in siRNA-treated larvae with this method, which
200 caused an increase in *A. phagocytophilum* numbers (Fig 4C-D).

201 Soon after *A. phagocytophilum* is acquired, the bacteria migrate to the salivary glands
202 where they persist throughout the tick life cycle^{68,73,74}. To understand how IRE1 α influences
203 bacterial colonization in tick tissue subsets, we employed an *ex vivo* tick organ culture
204 system^{75,76}. Midguts and salivary glands from adult *I. scapularis* ticks were dissected and
205 treated with the IRE1 α inhibitor KIRA6 prior to infection with *A. phagocytophilum* (Fig 5E).
206 Similar to *in vitro* and *in vivo* findings, inhibiting the activity of IRE1 α lead to significantly higher

207 *A. phagocytophilum* burdens in *ex vivo* salivary gland and midgut cultures (Fig 4F-G),
208 demonstrating that this signaling axis functionally restricts bacterial colonization in disparate tick
209 tissues.

210 We next asked whether the activity of IRE1 α -TRAF2 signaling was restrictive to different
211 tick-borne microbes, such as the Lyme disease-causing spirochete *B. burgdorferi*. Expression of
212 *ire1a* and *traf2* was knocked down through RNAi in both *I. scapularis* nymphs and larvae using
213 the same methods described above and ticks were fed to repletion on *B. burgdorferi*-infected
214 mice. In agreement with the phenotype observed with *A. phagocytophilum*, significantly higher
215 *B. burgdorferi* levels were observed in siRNA-treated ticks at both the nymph (Fig. 5A-B) and
216 larval life stages (Fig 5C-D). These data show that IRE1 α -TRAF2 signaling is broadly
217 responsive to multiple *I. scapularis*-transmitted pathogens and is functionally restrictive to
218 microbial colonization during different tick life stages.

219 *The IMD pathway is triggered by IRE1 α*

220 TRAF2 is a component of the mammalian TNFR network, which is functionally
221 analogous to the arthropod IMD pathway. This parallel led us to ask whether the antimicrobial
222 activity of the *Ixodes* IRE1 α -TRAF2 axis operates through arthropod immunity. AMPs specific to
223 the IMD pathway have not yet been identified in ticks. Instead, the *Drosophila* S2* cell line can
224 be used as a surrogate model to quantify pathway-specific AMPs²⁸. To examine whether ER
225 stress induces an immune response in the absence of microbes, we treated S2* cells with the
226 UPR inducer thapsigargin. AMPs corresponding to the IMD pathway (*diptericin*, *attacin A*, and
227 *cecropin A2*⁷⁷) were significantly induced in a dose-dependent manner compared to
228 unstimulated controls (Supplemental Figure 4A). In contrast, the Toll pathway AMP *IM1*⁷⁷⁻⁷⁹ was
229 not significantly different, demonstrating that ER stress leads to IMD pathway activation
230 independent of microbial agonists.

231 It is known that the IMD pathway is responsive to tick-transmitted pathogens *A.*
232 *phagocytophilum* and *B. burgdorferi*^{28,30}. Since tick-borne microbes also activate the UPR (Figs
233 1B and 2A) and ER stress induces the IMD network (Supplemental Figure 4A), we asked
234 whether blocking IRE1 α during infection would inhibit the IMD pathway. S2* cells that were
235 treated with the IRE1 α inhibitor KIRA6 prior to *A. phagocytophilum* or *B. burgdorferi* infection
236 showed significantly reduced IMD pathway AMPs (Supplemental Figure 4B-C).

237 We next examined whether the tick IMD pathway underwent a similar UPR-driven
238 activation event. Relish is the transcription factor associated with IMD pathway activation.
239 Similar to what was observed in *Drosophila* S2* cells, ISE6 cells that were treated with UPR
240 stimulators thapsigargin or tunicamycin showed an increase in Relish activation (Fig 6A). We
241 next asked if inhibiting IRE1 α would block activation of the IMD pathway in ticks. ISE6 cells
242 were stimulated with *A. phagocytophilum* and *B. burgdorferi* alone or were first pretreated with
243 the IRE1 α inhibitor, KIRA6, before infection. Pretreatment with KIRA6 resulted in a decline in
244 Relish activation (Fig 6B-C), indicating that infection-induced IMD pathway activation occurs
245 through IRE1 α . Collectively, our results provide strong evidence that the IRE1 α -TRAF2 axis
246 functions as an IMD pathway-activating mechanism.

247 *Ixodes* IRE1 α -TRAF2 signaling potentiates reactive oxygen species

248 A complementary immune mechanism to the IMD pathway is the production of reactive
249 oxygen species (ROS), which cause bactericidal damage to nucleic acids, proteins, and
250 membrane lipids^{15,80,81}. Because *B. burgdorferi* and *A. phagocytophilum* are both sensitive to
251 killing by ROS⁸²⁻⁸⁵ and the mammalian UPR can lead to ROS production^{86,87}, we investigated
252 whether ROS can be induced by the *Ixodes* IRE1 α -TRAF2 pathway. ISE6 cells were stimulated
253 with either thapsigargin, tunicamycin, or a vehicle control and monitored for ROS with the
254 fluorescent indicator 2',7'-dichlorofluorescein diacetate. Pharmacological inducers caused
255 significantly higher fluorescence, indicating that the tick UPR potentiates ROS (Fig 6D).

256 Infection with *A. phagocytophilum* and *B. burgdorferi* also elicited ROS production in tick cells
257 (Fig 6E-F). Pretreating ISE6 cells with the ROS-inhibiting agent DPI (diphenyleneidonium
258 chloride) prior to infection reduced fluorescence, as expected. Importantly, blocking IRE1 α
259 activity with KIRA6 either reduced or completely mitigated ROS (Fig 6E-F), demonstrating that
260 infection-induced ROS is potentiated by IRE1 α .

261 *IRE1 α -TRAF2 signaling restricts pathogens across tick vectors*

262 Since the UPR is conserved across eukaryotes, we explored the possibility that the
263 microbe-restricting activity of IRE1 α -TRAF2 signaling could functionally impact other arthropod
264 vectors. *D. andersoni* ticks are important disease vectors that transmit several pathogens
265 including the obligate intracellular rickettsia, *A. marginale*⁸⁸. When inducing the UPR in the *D.*
266 *andersoni* tick cell line DAE100 with tunicamycin and thapsigargin (Fig 7A-B) or blocking IRE1 α
267 with KIRA6 (Fig 7C), we observed significant changes in *A. marginale* invasion and replication,
268 comparable to what was observed with *I. scapularis* and *A. phagocytophilum* (Figs 1C-D, 2B).
269 Moreover, higher bacterial loads were also observed in *D. andersoni* *ex vivo* midgut and salivary
270 gland cultures when IRE1 α activity was blocked with KIRA6 (Fig 7D-F). Altogether, this
271 demonstrates that the microbe-restricting activity of IRE1 α -TRAF2 signaling is conserved across
272 tick species and is active against disparate pathogens, including intracellular bacteria (*A.*
273 *phagocytophilum* and *A. marginale*) and extracellular spirochetes (*B. burgdorferi*).

274 **DISCUSSION**

275 How arthropod immunity responds to infection is a fundamental factor influencing the
276 ability of vectors to harbor and transmit pathogens²⁻⁸. The IMD pathway is increasingly
277 recognized as being divergent across species, with classically defined upstream regulators
278 missing in many arthropod genomes^{15-27,32,34,35}. This suggests that an alternative activation
279 mechanism exists. In this article we demonstrate that the *I. scapularis* IMD pathway is initiated

280 through the IRE1 α -TRAF2 axis of the UPR. Colonization and replication of *A. phagocytophilum*
281 and *B. burgdorferi* are restricted in ticks by *Ixodes* IRE1 α and TRAF2 both *in vitro* and *in vivo*.
282 Moreover, we show that IMD pathway activation and ROS production in response to *A.*
283 *phagocytophilum* and *B. burgdorferi* are dependent on IRE1 α activity and that this mode of
284 antibacterial restriction is conserved across ticks. Collectively, our findings provide an
285 explanation for how the core IMD pathway is activated in the absence of canonical upstream
286 regulators.

287 To our knowledge, this is the first time that cellular stress responses have been
288 implicated in influencing vector competency. Why host cell stress responses are triggered by *A.*
289 *phagocytophilum* and *B. burgdorferi* remains unclear. Ticks do not appear to suffer pathological
290 consequences from the microbes they transmit. The connection between host cell stress and
291 immune outcomes supports a model where transmissible pathogens would benefit most by
292 decreasing infection-induced stress. This model is reinforced by the absence of common
293 inflammatory PAMPs in many tick-transmitted pathogens. For example, all *Ixodes*-transmitted
294 bacteria lack lipopolysaccharide (LPS) and DAP-PGN⁸⁹⁻⁹². *B. burgdorferi* flagella are housed in
295 the periplasm, effectively shielded from recognition by host cells⁹³. During coevolution with ticks,
296 *Ixodes*-transmitted pathogens may have lost inflammatory PAMPs with the benefit of reducing
297 cellular stress and host responses, thereby promoting persistence and transmission.
298 Nevertheless, our data shows that *A. phagocytophilum* and *B. burgdorferi* impart at least some
299 stress on ticks. Since immune responses are energetically costly to the host^{94,95}, we speculate
300 that the tick response is tuned to match the level of threat imposed by infection, ultimately
301 striking a balance that conserves resources and preserves tick fitness.

302 Our findings indicate a mechanism of IMD pathway activation that deviates from the
303 classically defined paradigm where pattern recognition receptors (PRRs) sense bacterial-
304 derived PAMPs. Both intracellular and extracellular pathogens impart stress on the host, which

305 can be caused by secreted toxic byproducts, competition for nutrients, and/or physical damage
306 to host cells/organ systems⁹⁶. For example, *B. burgdorferi* is an extracellular spirochete and an
307 extreme auxotroph that lacks many central metabolic pathways^{97,98}. To get around this
308 limitation, it parasitizes purines⁹⁹, amino acids¹⁰⁰, cholesterol^{101,102}, long-chain fatty acids^{103,104},
309 carbon sources¹⁰⁵, and other metabolites¹⁰⁶ from the host. *A. phagocytophilum* is obligately
310 intracellular and parasitizes amino acids and cholesterol from the host, in addition to
311 manipulating host cell processes with secreted effectors^{107–112}. From this perspective, both
312 microbes cause stress to the host by competing for a finite amount of resources and disturbing
313 normal cellular processes. Indeed, our evidence shows that tick-transmitted microbes stimulate
314 the UPR and are restricted by its activity. Although cellular stress responses detect and
315 respond to stress, they are not necessarily specific to types of stressors and instead respond by
316 monitoring macromolecular threats to the cell^{40,41,113}. This more generalized signal widens the
317 infection-sensing scope of possibility and reduces the requirement for an array of specific
318 immune receptors. In this regard, a wide variety of stimuli would converge on a common
319 immune outcome. Since the UPR is an evolutionarily conserved mechanism across
320 eukaryotes^{36–38}, it is feasible that UPR-initiated immunity is an ancient mode of pathogen-
321 sensing and host defense against a broad array of infectious organisms.

322 In summary, we have discovered a linkage between cellular stress responses and
323 arthropod immunity where the *Ixodes* IRE1 α -TRAF2 signaling axis initiates the IMD pathway
324 (Supplemental Figure 5). The previous “orphaned” status of the IMD pathway in ticks was a
325 perception that arose from comparative studies with the insect model organism, *Drosophila*. In
326 fact, the absence of upstream IMD pathway regulators appears to be a shared trait among
327 chelicerates and hemimetabolous insects^{15–24,26–30,32–34,95}. This revelation underscores the
328 importance of studying fundamental processes outside of model organisms, which may be
329 valuable for determining concepts that could be basally applicable across species. Our findings

330 are conceptually important given that the IMD pathway widely impacts vector competence in
331 many arthropods. With this commonality, one can envision a scenario where a conserved
332 network across species may be an attractive target for future transmission intervention
333 strategies.

334 **METHODS**

335 *Bacteria and animal models*

336 *E. coli* cultures were grown in lysogeny broth (LB) supplemented with ampicillin at 100
337 $\mu\text{g } \mu\text{l}^{-1}$. Cultures were grown overnight at 37°C with shaking between 230-250 RPM.

338 *A. phagocytophilum* strain HZ was cultured in HL60 cells with Roswell Park Memorial
339 Institute (RPMI) 1640 medium supplemented with 10% heat-inactivated fetal bovine serum
340 (Atlanta Biologicals, S11550) and 1X Glutamax (Gibco, 35050061). Cells were maintained
341 between $1 \times 10^5 - 1 \times 10^6 \text{ ml}^{-1}$ at 37°C, 5% CO₂. *A. phagocytophilum* was enumerated as
342 previously described¹¹⁴. Briefly, the percentage of infected cells is multiplied by the average
343 number of microcolonies per cell, termed 'morulae' (5), the average bacteria per morulae (19)
344 and the average amount of bacteria typically recovered from the isolation procedure (50%).
345 Host cell-free *A. phagocytophilum* was isolated by syringe lysis with a 27 gauge needle as
346 previously described³.

347 *B. burgdorferi* B31 (strain MSK5¹¹⁵) was grown in modified Barbour-Stoenner-Kelly
348 (BSK) II medium supplemented with 6% normal rabbit serum (NRS, Pel-Freez, 31126-5) at
349 37°C, 5% CO₂^{115,116}. Spirochete density and growth phase were monitored by dark field
350 microscopy. Prior to infection, plasmid profiles of all *B. burgdorferi* cultures were screened by
351 PCR, as described previously¹¹⁵.

352 Uninfected *I. scapularis* ticks were provided by the Biodefense and Emerging Infectious
353 Diseases (BEI) Research Resources Repository from the National Institute of Allergy and
354 Infectious Diseases (NIAID) (www.beiresources.org) at the National Institutes of Health (NIH) or

355 from Oklahoma State University (Stillwater, OK, USA). Ticks were maintained in a 23°C
356 incubator with 16/8 hours light/dark photoperiods and 95-100% relative humidity. C3H/HeJ mice
357 were purchased from Jackson Laboratories and C57BL/6 mice were obtained from colonies
358 maintained at Washington State University. 6-10 week old male mice were used for all
359 experiments. C57BL/6 mice were infected intraperitoneally with 1×10^7 host cell-free *A.*
360 *phagocytophilum*. C3H/HeJ mice were inoculated intradermally with 1×10^5 low passage *B.*
361 *burgdorferi*. All mice were confirmed for infection status prior to tick placement by collecting 25-
362 50 μ l of blood from the lateral saphenous vein of each mouse 7 days post-infection. *A.*
363 *phagocytophilum* burdens were enumerated by quantitative PCR (16s relative to mouse β -
364 *actin*^{117,118}). *B. burgdorferi*-infected blood was subcultured in BSK-II media and examined for the
365 presence of spirochetes by dark field microscopy^{119,120}. Experiments involving mice were carried
366 out according to guidelines and protocols approved by the American Association for
367 Accreditation of Laboratory Animal Care (AAALAC) and by the Office of Campus Veterinarian at
368 Washington State University (Animal Welfare Assurance A3485-01). The animals were housed
369 and maintained in an AAALAC-accredited facility at Washington State University in Pullman,
370 WA. All procedures were approved by the Washington State University Biosafety and Animal
371 Care and Use Committees.

372 *D. melanogaster* and tick cell cultures

373 *D. melanogaster* S2* cells were cultured with Schneider's *Drosophila* Medium (Gibco,
374 21720024) supplemented with 10% heat inactivated FBS (Sigma, SH30070) and 1X Glutamax.
375 Cell were maintained in T75 culture flasks (Corning, 353136) at 28°C.

376 The *I. scapularis* tick cell line, ISE6, was cultured at 32°C, 1% CO₂ in L15C-300 medium
377 supplemented with 10% heat inactivated FBS (Sigma, F0926), 10% Tryptose Phosphate Broth
378 (TPB, BD, B260300) and 0.1% Lipoprotein Bovine Cholesterol (LPBC, MP Biomedicals,
379 219147680)¹²¹. The *D. andersoni* tick cell line, DAE100, was maintained at 34°C and cultured in

380 L15B medium supplemented with 5% FBS, 10% TBP, and 1% LPBC as previously
381 described^{122,123}.

382 *Polyacrylamide gel electrophoresis and Western blotting*

383 Protein concentrations were quantified using BCA assays per manufacture protocol
384 (Pierce, 23225). 50 µg of protein per sample were separated on a 4-15% MP TGX precast
385 cassette (Bio-Rad, 4561083) at 100V for 1 hour 25 minutes before being transferred to a PVDF
386 membrane. Membranes were blocked with 5% milk in PBS-T (1X phosphate-buffered saline
387 containing 0.1% Tween-20) for 1-2 hours at room temperature before being incubated at 4°C
388 overnight with a primary antibody in PBS-T with 5% BSA (Bovine Serum Albumin) or 0.5%-5%
389 milk. Primary antibodies used for immunoblotting are as follows: α-phospho-IRE1α (Abcam,
390 ab124945, 1:1000), α-Relish (gift from Joao Pedra; 1:500), α-Actin (Sigma, A2103, 1:1000), α-
391 HA (Pierce, 26183, 1:1000), and α-FLAG-HRP (Sigma, A8592, 1:500). Secondary antibodies
392 were applied for 1-2 hours at room temperature and are as follows: Goat α-Rabbit-HRP (Abcam,
393 ab97051, 1:5000), Donkey α-Rabbit-HRP (Thermo Fisher Scientific, A16023, 1:2000), Rabbit α-
394 Mouse-HRP (Bio-Rad, STAR13B, 1:2000), and Rec-G-Protein-HRP (Thermo Fisher Scientific,
395 101223, 1:2000). Blots were visualized with Enhanced Chemiluminescence (ECL) Western
396 blotting substrate (Thermo Fisher Scientific, 32106). If necessary, blots were stripped with
397 Western Blot Stripping Buffer (Thermo Fisher Scientific, 21059) for 15-20 minutes at room
398 temperature with shaking.

399 *Plasmid construction*

400 Both *Ixodes* IRE1α and TRAF2 were codon optimized for expression in human cell lines
401 (GenScript). Primers listed in Supplemental Table 1 were used to amplify full length *I. scapularis*
402 *ire1α* for cloning into pCMV/hygro-Negative Control Vector (SinoBiological, CV005) with *HindIII*
403 sites. Full length *I. scapularis traf2* was amplified and cloned into pCMV-HA (New MCS) vector

404 (received as a gift from Christopher A. Walsh; Addgene plasmid #32530) using *XhoI* and
405 *EcoRV*. All constructs were confirmed by sequencing (Eurofins Genomics).

406 *Maintenance and Transfection of HEK 293T cells*

407 HEK 293T cells were cultured in Dulbecco's Modified Eagle's Medium (DMEM, Sigma,
408 D6429) supplemented with 10% heat inactivated FBS (Atlanta Biologicals, S11550) and 1X
409 Glutamax. Cells were maintained in T75 culture flasks (Corning, 353136) at 37°C, 5% CO₂. For
410 transfection, 1x10⁶ HEK 293T cells were seeded into 6-well plates and allowed to attach
411 overnight. The following day cells were transfected with 2.5 µg of pCMV-TRAF2-HA and/or
412 pCMV-IRE1α-FLAG plasmid DNA using 10 µl of Lipofectamine 2,000 (Invitrogen, 11668027) in
413 Opti-MEM I Reduced Serum Medium (Gibco, 31985062). After 5 hours, media containing the
414 plasmid-Lipofectamine 2,000 complex was removed and replaced with complete DMEM for 48
415 hours at 33°C, 5% CO₂. The transfected cells were lysed with 500 µl of 25 mM Tris-HCl pH 7.4,
416 150 mM NaCl, 1% NP-40, 1 mM EDTA, 5% glycerol with 1X protease and phosphatase inhibitor
417 cocktail (Thermo Scientific, 78440) for 15 minutes on ice.

418 *Co-immunoprecipitation assay*

419 *Ixodes* IRE1α-FLAG and TRAF2-HA expression was validated by immunoblotting whole
420 cell lysates with α-FLAG-HRP (Sigma, A8592, 1:500) and α-HA (Pierce, 26183, 1:1000). After
421 protein expression was confirmed, cross-linked agarose beads (α-FLAG M2: Sigma, A2220; α-
422 HA: Pierce, 26181) were washed 2X with TBS (50 mM Tris, 150 mM NaCl, pH 7.5) and
423 incubated with lysis buffer at 4°C for 1 hour. Approximately 1-2 mg of cell lysate was combined
424 with 80 µl (packed volume) of cross-linked agarose beads and incubated overnight at 4°C.
425 Beads were washed 3 times with TBS and protein was eluted by boiling in 50 µl of 4X Laemmli
426 buffer for 5 minutes. Protein interactions were evaluated by immunoblot as described above.

427 *Template-based homology modeling of Ixodes TRAF2 and the RNase/kinase domain of IRE1α*

428 A BLAST search in the Protein Data Bank (PDB) using the *Ixodes* TRAF2 sequence
429 returned the candidate template crystal structure of the TRAF-C domain from human TRAF2
430 (39.64% sequence identity). The human TRAF2 crystal structure (PDB code 1CA9) was used
431 as a reference for building the homology model of the TRAF-C domain and part of the coiled-
432 coil domain for *Ixodes* TRAF2 (residues 176-357) in SWISS-MODEL^{63,124}. QMEANDisCo was
433 used to obtain a quality score, which defines how well the homology model aligns to reference
434 structures in the PDB. Scores closer to 1 indicate that the homology model matches well to
435 other reference structures¹²⁵. Quality assessment of the TRAF2 homology model in
436 QMEANDisCo gave a score of 0.69. The GalaxyRefine server was used to then further refine
437 the *Ixodes* TRAF2 homology model, which increased the quality score in QMEANDisCo to
438 0.71¹²⁶.

439 A PDB BLAST search for *Ixodes* IRE1 α returned the candidate template crystal structure
440 of the RNase/kinase domain from human IRE1 α (62.20% sequence identity). A homology model
441 for the cytosolic RNase/kinase domain of tick IRE1 α (residues 525-944) was built using the
442 crystal structure of the RNase/kinase domain from humans (PDB code 6URC) with SWISS-
443 MODEL^{64,124}. Quality assessment of the tick IRE1 α homology model in QMEANDisCo gave a
444 score of 0.78.

445 *Prediction-driven docking of Ixodes TRAF2 and the RNase/kinase domain of IRE1 α*

446 A consensus interface predictor, CPORT (Consensus Prediction of interface Residues in
447 Transient complexes), was used to assign residues at the interface of *Ixodes* TRAF2 and the
448 IRE1 α RNase/kinase domain⁶⁶. Predicted residues were used to define the docking interface
449 between *Ixodes* TRAF2 and IRE1 α for docking in HADDOCK2.2⁶⁷. The docked model was
450 immersed in a solvent shell using the TIP3P water model and a short 300K MD simulation was
451 ran to optimize side chains and improve interaction energetics⁶⁷. The cluster with the lowest Z-
452 score was chosen for further analysis. Docking models were then screened based on salt bridge

453 interactions at the docking interface and the model with the best chemical complementarity was
454 used in the final analysis. PyMOL version 2.2.3 was used for all distance measurements of salt-
455 bridge interactions (<4 Å cutoff) (The PyMOL Molecular Graphics System, Schrodinger, LLC).

456 *ROS assay*

457 ISE6 cells were seeded at a density of 1.68×10^5 cells per well in a black-walled, clear-
458 bottom 96-well plate (Thermo Scientific, 165305) with L15C-300 media. The cells were
459 maintained in growth conditions described above for the length of experiments. All wells were
460 treated for 1 hour with 10 μ M 2',7'-dichlorofluorescein diacetate (DCF-DA, Sigma, D6883) in
461 Ringer buffer (155 mM NaCl, 5 mM KCl, 1 mM $MgCl_2 \cdot 6H_2O$, 2 mM $NaH_2PO_4 \cdot H_2O$, 10 mM
462 HEPES, and 10 mM glucose)¹²⁷ alone or with 5 μ M diphenyleneidonium chloride (DPI, Sigma,
463 D2926), 1 μ M KIRA6 (Cayman Chemical, 19151), or 0.1% DMSO. Buffer was removed; cells
464 were washed with room temperature 1X PBS and incubated for 72 hours in L15C-300 alone or
465 with *A. phagocytophilum* (MOI 200), *B. burgdorferi* (MOI 200), 10 nM thapsigargin (TG, Sigma,
466 T9033), or 50 nM tunicamycin (Tu, Sigma T7765). Fluorescence was measured at 504 nm
467 (excitation), 529 nm (emission). Data is graphed as fold change of relative fluorescence units
468 (RFU) normalized to the negative control \pm standard errors of the means (SEM).

469 *Pharmacological treatments, RNAi silencing, quantitative reverse transcriptase-PCR*

470 ISE6 cells were seeded at 1×10^6 cells per well and DAE100 cells were seeded at 5×10^5
471 cells per well in a 24-well plate and pre-treated with KIRA6, thapsigargin, or tunicamycin for
472 indicated times and concentrations prior to infection. Cells were infected with *A.*
473 *phagocytophilum* (ISE6) or *A. marginale* (DAE100) at an MOI 50 for 18 hours before collection
474 in Trizol (Invitrogen, 15596026). For DAE100 experiments, all incubations occurred at 34°C in a
475 BD campy bag with no gaspak. RNA was extracted using the Direct-zol RNA microprep Kit
476 (Zymo, R2062). cDNA was synthesized from 300-500 ng total RNA with the Verso cDNA

477 Synthesis Kit (Thermo Fisher Scientific, AB1453B). Bacterial burden and gene silencing were
478 assessed by quantitative reverse transcription-PCR (qRT-PCR) with the iTaq Universal SYBR
479 Green Supermix (Bio-Rad, 1725125) using primers listed in Supplemental Table 1. Cycle
480 conditions are as recommended by the manufacturer.

481 For transfection experiments, siRNAs and scrambled controls (scRNAs) were
482 synthesized following directions from the Silencer siRNA Construction Kit (Invitrogen, AM1620)
483 using the primers listed in Supplemental Table 1. siRNA or scRNA (3 µg) was used to transfect
484 1×10^6 ISE6 cells overnight with 2.5 µl of Lipofectamine 2,000. Cells were infected with *A.*
485 *phagocytophilum* (MOI 50) for 18 hours before being collected in Trizol. RNA was isolated and
486 transcripts were quantified by qRT-PCR as described above. All data are expressed as means \pm
487 SEM.

488 *Ex vivo I. scapularis and D. andersoni organ culture*

489 Ten male and female unfed adult *I. scapularis* ticks were surface sterilized with
490 continuous agitation in 10% benzalkonium chloride (Sigma, 12060) for 10 minutes, washed
491 twice with sterile water, dried on sterile filter paper under aseptic conditions, and transferred to a
492 sterile tube. Midgut and salivary glands were excised on a microscope slide in a pool of sterile
493 1X PBS with 100 I.U ml⁻¹ penicillin and 100 µg ml⁻¹ streptomycin (Gibco, 15140122). Tissues
494 were placed in individual wells of a 96-well plate (Costar, 3595) with 100 µl of L15C-300 and
495 incubated at 32°C with 1% CO₂. Tissues were treated with 1 µM of KIRA6 or 1% DMSO for 1
496 hour before the addition of 1×10^6 *A. phagocytophilum*. 24 hours post-infection, samples were
497 collected following the addition of 100 µl of Trizol. Tissues were homogenized using
498 QIAshredder columns (Qiagen, 79654) according to the manufacturer's instructions prior to
499 RNA extraction and qRT-PCR analysis, performed as previously described.

500 Twenty male unfed adult *D. andersoni* ticks were surface sterilized and dissected as
501 above. Tissues were placed in individual wells of a 96-well plate with 100 μ l of L15B. Tissues
502 were pretreated with KIRA6 or vehicle control (DMSO) as previously stated prior to the addition
503 of 1×10^6 *A. marginale* for 22 hours. Samples were collected and processed as above with qRT-
504 PCR standard curves using primers listed in Supplemental Table 1. All data are expressed as
505 means \pm SEM

506 *RNAi silencing in nymphs and larvae*

507 *I. scapularis* nymphs were microinjected as described previously^{114,121}. 10 μ l Drummond
508 microdispensers (DrummondSci, 3000203G/X) were drawn to fine point needles using a
509 Narishige PC-100 micropipette puller. *I. scapularis* nymphs were microinjected with 25 nl of
510 siRNA or scRNA (~1000 ng/ μ l) into the anal pore using a Drummond Nanoject III Nanoliter
511 Injector (DrummondSci, 3000207). Ticks were allowed to rest overnight before being placed
512 between the ears and on the back of an infected mouse. Each group was placed on a single
513 mouse and fed to repletion (5-7 days). Nymphs were flash frozen with liquid nitrogen,
514 individually crushed with a plastic pestle and suspended in Trizol for RNA extraction.

515 *I. scapularis* larvae were pre-chilled at 4°C for 5 minutes. Approximately 150 larvae were
516 transferred to a 1.5 ml tube with 40-50 μ l of either siRNA or scRNA (~1000 ng/ μ l). The tubes
517 were centrifuged at 3000 x g for 5 minutes to encourage submersion of the larvae in the dsRNA
518 and were then incubated overnight at 15°C. The following day, ticks were dried and rested
519 overnight before being placed on mice to feed until repletion (3-7 days). Larvae were flash
520 frozen in liquid nitrogen and individually crushed with a plastic pestle. Trizol was added before
521 proceeding to RNA isolation and qRT-PCR analysis, performed as previously stated.

522 *xbp1* PCR and agarose gel electrophoresis

523 RNA was isolated from both ISE6 cells or replete *I. scapularis* nymphs (uninfected, *A.*
524 *phagocytophilum*-infected, or *B. burgdorferi*-infected). ISE6 cells were treated with either 0.5 μ M
525 thapsigargin or *A. phagocytophilum* at an MOI of 50. Cells were collected 1, 3, 8, and 24 hours
526 post-treatment in Trizol. RNA was isolated and cDNA synthesized as previously described. The
527 cleavage status of *xbp1* was assessed via PCR using DreamTaq Green PCR Mastermix
528 (Thermo Scientific, K1082) and the *xbp1* primers listed in Supplemental Table 1 with the cycling
529 protocol recommended by the manufacturer. Samples were analyzed using a 3% agarose
530 (Thermo Fisher, BP160) gel in 1X Tris-Borate EDTA (TBE, Thermo Fisher, BP1333) with 0.5 μ g
531 ml⁻¹ of ethidium bromide (Thermo Fisher, BP102) and imaged with a Protein Simple
532 Alphamager HP system.

533 *UPR and IMD Gene Expression Profiling*

534 Untreated *I. scapularis* nymphs were fed to repletion on *A. phagocytophilum*-infected
535 mice or uninfected mice and frozen. The expression levels of UPR genes were assessed in
536 individual ticks by qRT-PCR as previously described. Primers specific for *bip*, *ire1 α* , *xbp1*, and
537 *traf2* are listed in Supplemental Table 1. Data are expressed as means \pm SEM.

538 1×10^6 *D. melanogaster* S2* cells were seeded in Schneider's media with 1 μ M of 20-
539 hydroxyecdysone to prime the IMD pathway, as previous reported¹²⁸. Cells were treated with
540 indicated concentrations of thapsigargin for 6 hours or with 10 μ M of KIRA6 for 1 hour prior to
541 infection with *A. phagocytophilum* (MOI 50) or *B. burgdorferi* (MOI 50) for 6 hours. Samples
542 were collected in Trizol and RNA was isolated. IMD pathway and Toll pathway-specific AMPs
543 were quantified by qRT-PCR with primers listed in Supplemental Table 1 as previously
544 described.

545 *Gene alignment*

546 UPR gene sequences were identified by querying the *I. scapularis* genome with *Homo*
547 *sapiens* protein sequences using NCBI (National Center for Biotechnology Information) protein
548 BLAST. Human sequences include BiP (NP_005338.1), IRE1 α (NP_001424.3), TRAF2
549 (NP_066961.2), and XBP1 (NP_005071.2). Human and tick sequences were aligned using Jal
550 view¹²⁹. Shaded regions indicate amino acid physiochemical property conservation. EMBL-EBI
551 (European Bioinformatics Institute) Pfam 34.0 was used to identify and annotate protein
552 domains¹³⁰.

553 *Statistical analysis*

554 *In vitro* experiments were performed with 3-5 replicates. *In vivo* experiments involved the
555 use of 10-20 ticks. Data were expressed as means \pm SEM and analyzed with either unpaired
556 Student's t-test or Welch's t-test. Calculations and graphs were created with GraphPad Prism
557 version 9.0. P < 0.05 was considered statistically significant.

558

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869

870 **FIGURE LEGENDS**

871 **Figure 1 / The tick UPR responds to and restricts bacterial colonization.** (a) Graphic
872 representation of the unfolded protein response (UPR) in mammals. (b) UPR gene expression
873 in *A. phagocytophilum*-infected *I. scapularis* nymphs relative to uninfected controls (dotted line).
874 Each point is representative of 1 nymph. Gene expression was quantified by qRT-PCR. (c-e)
875 ISE6 cells (1×10^6) were infected with *A. phagocytophilum* MOI 50 for 18 hours following a 24
876 hour treatment with either (c) thapsigargin, (d) tunicamycin, or (e) siRNA targeting the negative
877 regulator *bip*. Gene silencing and *A. phagocytophilum* load (16s rDNA) was measured by qRT-
878 PCR. All data shown are representative of 5 biological replicates with least two technical
879 replicates \pm SEM. Student's t-test. *P < 0.05. scRNA, scrambled RNA; siRNA, small interfering
880 RNA; NS, not significant. See also Supplemental Figure 1 and Supplemental Table 1.

881 **Supplemental Figure 1 (Related to Figure 2) | UPR molecules are conserved in the *I.***
882 ***scapularis* genome.** (a-d) Amino acid sequence alignment of BiP, IRE1 α , TRAF2, and XBP1
883 between *H. sapiens* and *I. scapularis*. Alignments were created using available sequences from
884 NCBI imported into Jal view. Shaded regions indicate amino acid physiochemical property
885 conservation. Good conservation between sequences was observed for (a) BiP, (b) the IRE1 α
886 protein kinase domain (light blue box) and RNase domain (grey box), (c) the TRAF-type zinc
887 finger domain of TRAF2 (yellow box), and (d) the basic region leucine zipper (bZIP) domain in
888 XBP1 (blue box). (e) Nucleotide sequence for *I. scapularis xbp1* mRNA. The internal intron that
889 is spliced by the RNase domain of IRE1 α is underlined in blue. Cleavage sites are indicated by
890 red lettering and black arrows indicate primer sites used to confirm *xbp1* splicing by PCR.

891 **Figure 2 | The IRE1 α branch of the UPR is induced by *A. phagocytophilum* through**
892 **TRAF2.** (a) Phosphorylated IRE1 α immunoblot against ISE6 (1×10^6) cells treated with either the
893 IRE1 α inhibitor KIRA6 (K6; 1 hour), infected with *A. phagocytophilum* (*A.p.*; 24 hours) or in

894 combination (1 hour KIRA6 pretreatment, followed by *A. phagocytophilum* infection for 24 hours;
895 K6 + *A.p.*). Immunoblot shown is representative of 2 biological replicates. Protein expression
896 differences were quantified by ImageJ and are expressed as a ratio of phosphorylated IRE1 α
897 (~110 kDa) to the internal loading control, β -actin (45 kDa). ISE6 cells were treated with **(b)** the
898 IRE1 α inhibitor KIRA6 (1 hour) or **(c and f)** siRNAs to silence gene expression prior to *A.*
899 *phagocytophilum* (*A.p.*; MOI 50) infection for 18 hours. Gene silencing and *A. phagocytophilum*
900 burden were measured by qRT-PCR. Experiments shown are representative of at least two
901 technical replicates \pm SEM. Student's t-test. *P < 0.05. **(d)** ISE6 cells (1×10^6) were either
902 untreated (-), stimulated with 0.5 μ M of thapsigargin (TG), or infected with *A. phagocytophilum*
903 (*A.p.*; MOI 50) for indicated time points. **(e)** Replete *I. scapularis* nymphs were fed either on
904 uninfected mice (-), *A. phagocytophilum* (*A.p.*)-infected, or *B. burgdorferi* (*B.b.*)-infected mice.
905 **(d-e)** cDNA was synthesized from RNA and used to evaluate *xbp1* splicing by PCR. Samples
906 were analyzed on a 3% agarose gel. scRNA, scrambled RNA; siRNA, small interfering RNA.
907 See also Supplemental Figure 1 and Supplemental Table 1.

908 **Supplemental Figure 2 (Related to Figure 2) | Thapsigargin and tunicamycin induce**

909 **IRE1 α phosphorylation in tick cells.** **(a)** Phosphorylated IRE1 α immunoblot against ISE6
910 (1×10^6) cells treated with ER stress inducers tunicamycin (Tu; 50 nM) and thapsigargin (TG; 50
911 nM) for 24 hours. Immunoblot shown is representative of 2 biological replicates. Protein
912 expression differences were quantified by ImageJ and are expressed as a ratio of
913 phosphorylated IRE1 α (~110 kDa) to the internal loading control, β -actin (45 kDa).

914 **Supplemental Figure 3 (Related to Figure 3) | *Ixodes* IRE1 α and TRAF2 homology models.**

915 **(a)** Domain comparison between human TRAF2 and *Ixodes* TRAF2 proteins. Really Interesting
916 New Gene (RING; orange). Zinc finger (green). TRAF N-domain (blue). TRAF C domain (red).
917 **(b)** The *Ixodes* TRAF2 homology model is a trimer with three chains labeled A (purple), B
918 (magenta), and C (yellow). Part of the coiled-coil domain is modeled as a single alpha helix and

919 the TRAF-C domain forms an eight-stranded antiparallel β -sandwich. **(c)** *Ixodes* IRE1 α
920 homology model of the dimer RNase/kinase domain. The kinase region consists of an N-lobe
921 (yellow) and C-lobe (aqua). **(d)** Residues of the kinase-extension nuclease (KEN) domain (top)
922 and the kinase domain (bottom) are predicted to participate in salt bridge formation and
923 dimerization. Residues at the KEN domain interface predicted to form salt bridges are shown in
924 the top panel. Residues at the nucleotide binding pocket coordinate MgADP and are conserved
925 with human IRE1 α (middle panel). Salt bridge-forming residues at the kinase domain are
926 predicted to participate in IRE1 α dimerization (bottom panel).

927 **Figure 3 | *Ixodes* IRE1 α - TRAF2 molecular interactions.** **(a)** The interfaces assigned by
928 CPORT for the *Ixodes* TRAF2 trimer and IRE1 α homology models. Active central (cyan) and
929 passive peripheral (navy blue) residues shown as spheres were used to filter the docking
930 solutions in HADDOCK 2.2. **(b)** Final docking model between *Ixodes* TRAF2 and IRE1 α places
931 TRAF2 away from the dimer interface and the C-terminus of IRE1 α (black circle), which anchors
932 IRE1 α to the ER. **(c)** Salt bridges were determined between all three chains of *Ixodes* TRAF2
933 and IRE1 α with a measured distance between 2.7 - 2.8 Å. Negatively charged Asp and Glu
934 residues (red spheres) pair with positively charged Lys, Arg, and His residues (blue spheres).
935 **(d)** Immunoprecipitation (IP) analysis followed by Western blotting (WB) showing interaction
936 between FLAG-tagged *Ixodes* IRE1 α and HA-tagged *Ixodes* TRAF2 expressed in HEK 293T
937 cells. WB is representative of two biological replicates. See also Supplemental Figure 3 and
938 Supplemental Table 1.

939 **Figure 4 | Vector competence for *A. phagocytophilum* is influenced by *Ixodes* IRE1 α and**
940 **TRAF2 at multiple life stages *in vivo*.** *I. scapularis* **(a-b)** nymphs or **(c-d)** larvae had *ire1a* and
941 *traf2* expression silenced through RNAi prior to feeding on *A. phagocytophilum*-infected mice.
942 Silencing levels and bacterial load were measured in whole *I. scapularis* nymphs or larvae. **(e)**
943 Schematic of *ex vivo* *I. scapularis* midgut and salivary gland cultures. **(f-g)** Midguts and salivary

944 glands from *I. scapularis* adults were dissected, cultured, and treated with 1 μ M of KIRA6 (1
945 hour) followed by *A. phagocytophilum* infection for 24 hours. Silencing levels and *A.*
946 *phagocytophilum* load (16s rDNA) were measured by qRT-PCR. Each point represents 1 tick,
947 midgut, or pair of salivary glands (two technical replicates each), \pm SEM. Welch's t-test. *P <
948 0.05. scRNA, scrambled RNA; siRNA, small interfering RNA. See also Supplemental Table 1.

949 **Figure 5 | *Ixodes* IRE1 α and TRAF2 restrict *B. burgdorferi* colonization *in vivo* at multiple**
950 **tick life stages.** RNAi silencing of *ire1 α* and *traf2* in *I. scapularis* (a-b) nymphs or (c-d) larvae
951 was performed prior to feeding on *B. burgdorferi*-infected mice. Silencing levels and *B.*
952 *burgdorferi* (*flaB*) were measured in whole *I. scapularis* nymphs or larvae. Each point represents
953 1 tick (two technical replicates each) \pm SEM. Welch's t-test. *P < 0.05. scRNA, scrambled RNA;
954 siRNA, small interfering RNA. Also see Supplemental Table 1.

955 **Supplemental Figure 4 (Related to Figure 6) | The UPR stimulates IMD pathway-**
956 **associated antimicrobial peptides.** (a) Indicated concentrations of thapsigargin (TG) were
957 used to treat S2* cells (1×10^6) for 6 hours prior to examining gene expression differences. (b-c)
958 S2* cells (1×10^6) were pretreated with KIRA6 (1 hour) before (b) *A. phagocytophilum* (*A.p.*; MOI
959 50) or (c) *B. burgdorferi* (*B.b.*; MOI 50) infection (6 hours). Gene expression is relative to *rp49*.
960 Dotted line denotes unstimulated controls. Data shown are representative of 4-5 biological
961 replicates and two technical replicates. See also Supplemental Table 1.

962 **Figure 6 | Infection-induced IMD pathway activation and ROS production functions**
963 **through IRE1 α .** (a-c) Relish immunoblot of ISE6 cells stimulated for 1 hour with (a)
964 thapsigargin (TG) or tunicamycin (Tu), or (b-c) pretreated with KIRA6 (K6) before (b) *A.*
965 *phagocytophilum* (*A.p.*; MOI 50) or (c) *B. burgdorferi* (*B.b.*; MOI 50) infection (24 hours).
966 Immunoblots shown are representative of 2-3 biological replicates. Protein expression
967 differences were quantified by ImageJ and are expressed as a ratio of Relish (~41 kDa) to the

968 internal loading control, β -actin (45 kDa). **(d-f)** ROS assay with ISE6 cells (1.68×10^5) stimulated
969 with **(d)** thapsigargin (TG; 10 nM) or tunicamycin (Tu; 50 nM), **(e-f)** ROS output from ISE6 cells
970 pretreated with either DPI (5 μ M) or KIRA6 (1 μ M) for 1 hour prior to **(e)** *A. phagocytophilum* or
971 **(f)** *B. burgdorferi* infection. ROS was measured by relative fluorescence units (RFU) after 72
972 hours. Data shown is representative of 3 biological replicates and 2 technical replicates, \pm SEM.
973 Student's t-test. *P < 0.05. (-), vehicle control; DPI, diphenyleneidonium.

974 **Figure 7 | IRE1 α and TRAF2-mediated pathogen restriction is conserved across ticks.**

975 DAE100 cells (5×10^5) were treated with indicated concentrations of **(a)** thapsigargin, **(b)**
976 tunicamycin, or **(c)** KIRA6 followed by infection with *A. marginale* (MOI 50) for 18 hours.
977 Student's t-test. *P < 0.05. **(d)** Schematic of *ex vivo* *D. andersoni* midgut and salivary gland
978 cultures. **(e-f)** Midguts and salivary glands from *D. andersoni* adults were dissected, cultured,
979 and treated with 1 μ M of KIRA6 (1 hour) followed by *A. marginale* infection for 22 hours. *A.*
980 *marginale* (*rpoH*) was quantified by qRT-PCR and graphed relative to β -actin. Welch's t-test. *P
981 < 0.05. Each point is representative of 1 tick, midgut, or pair of salivary glands (two technical
982 replicates), \pm SEM. See also Supplemental Table 1.

983 **Supplemental Figure 5 | The UPR triggers the IMD pathway in ticks.** Tick-borne bacteria *A.*
984 *phagocytophilum* and *B. burgdorferi* stimulate the UPR in *I. scapularis* ticks. IRE1 α is activated
985 by phosphorylation (P) and pairs with TRAF2. This signaling axis induces the IMD pathway,
986 Relish activation, and antimicrobial responses that restrict pathogen colonization.

987

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1003 **Author contributions**

1004 L.C.S-L., K.L.R. and D.K.S. designed the study. L.C.S-L., K.L.R., N.P., J.K.U, J.H., and D.K.S.
1005 performed experiments. N.P. and J.W.P. performed homology modeling and IRE1 α -TRAF2
1006 docking. S.M.N. and J.K.U. contributed reagents and performed *D. andersoni* experiments.
1007 E.A.F. designed and constructed Supplemental Figure 5. L.C.S-L., K.L.R., N.P., A.S.G, J.W.P.,
1008 and D.K.S. analyzed data. All authors provided intellectual input into the study. L.C.S-L., K.L.R.,
1009 N.P., and D.K.S. wrote the manuscript; all authors contributed to editing.

Figure 1 | The tick UPR responds to and restricts bacterial colonization

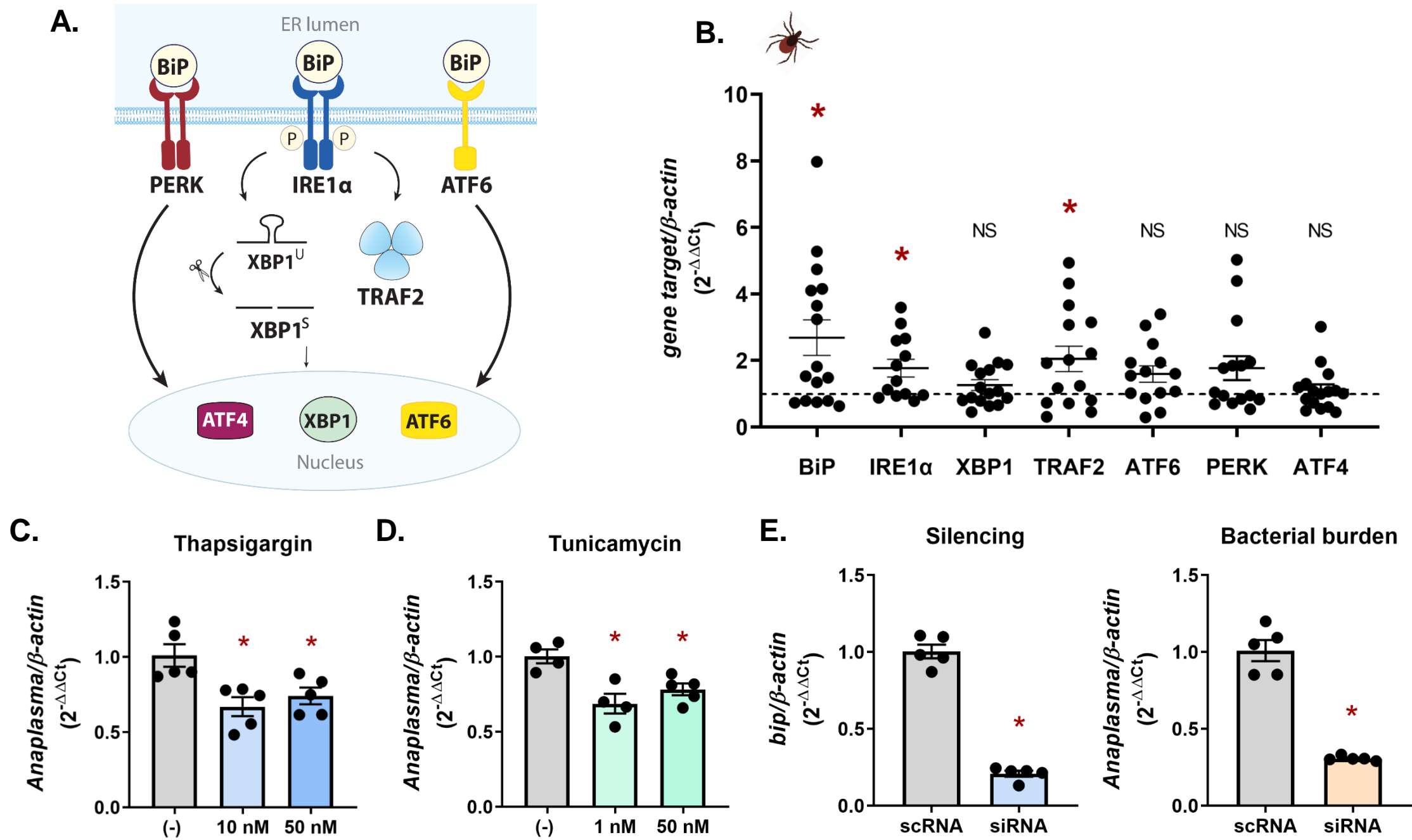
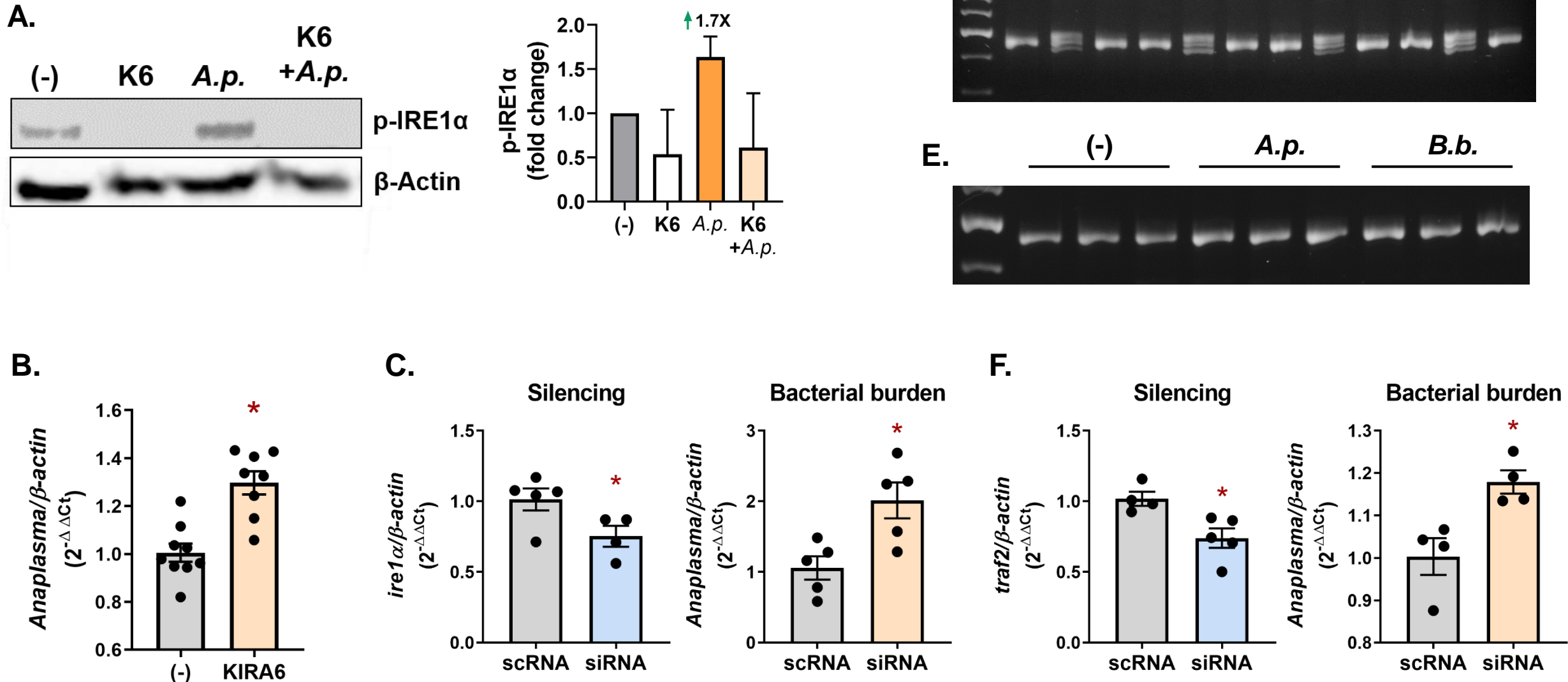


Figure 2 | The IRE1α branch of the UPR is induced by *A. phagocytophilum* through TRAF2



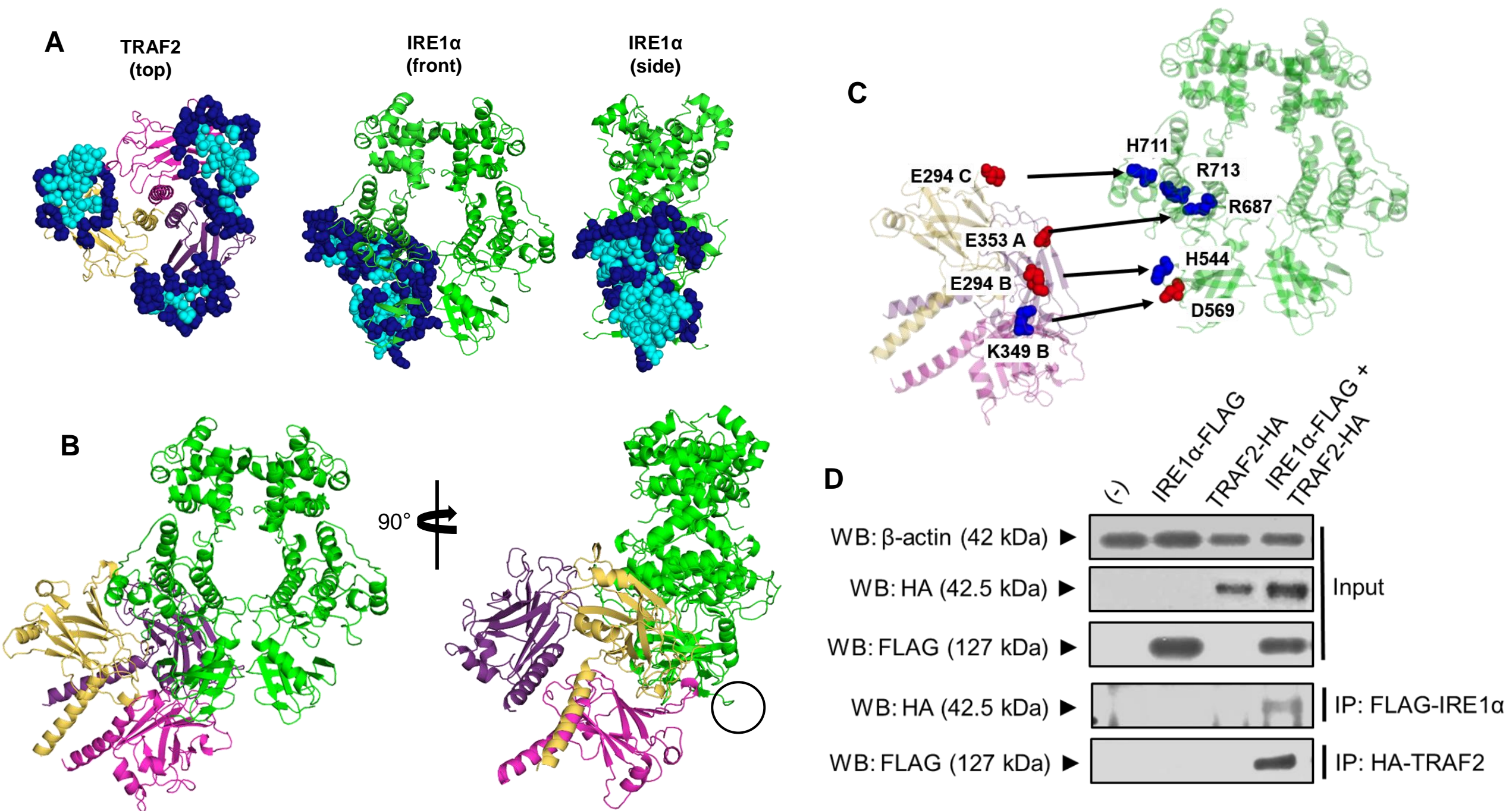


Figure 3 | *Ixodes* IRE1 α - TRAF2 molecular interactions

Figure 4 | Vector competence for *A. phagocytophilum* is influenced by *Ixodes* IRE1 α and TRAF2 at multiple life stages *in vivo*

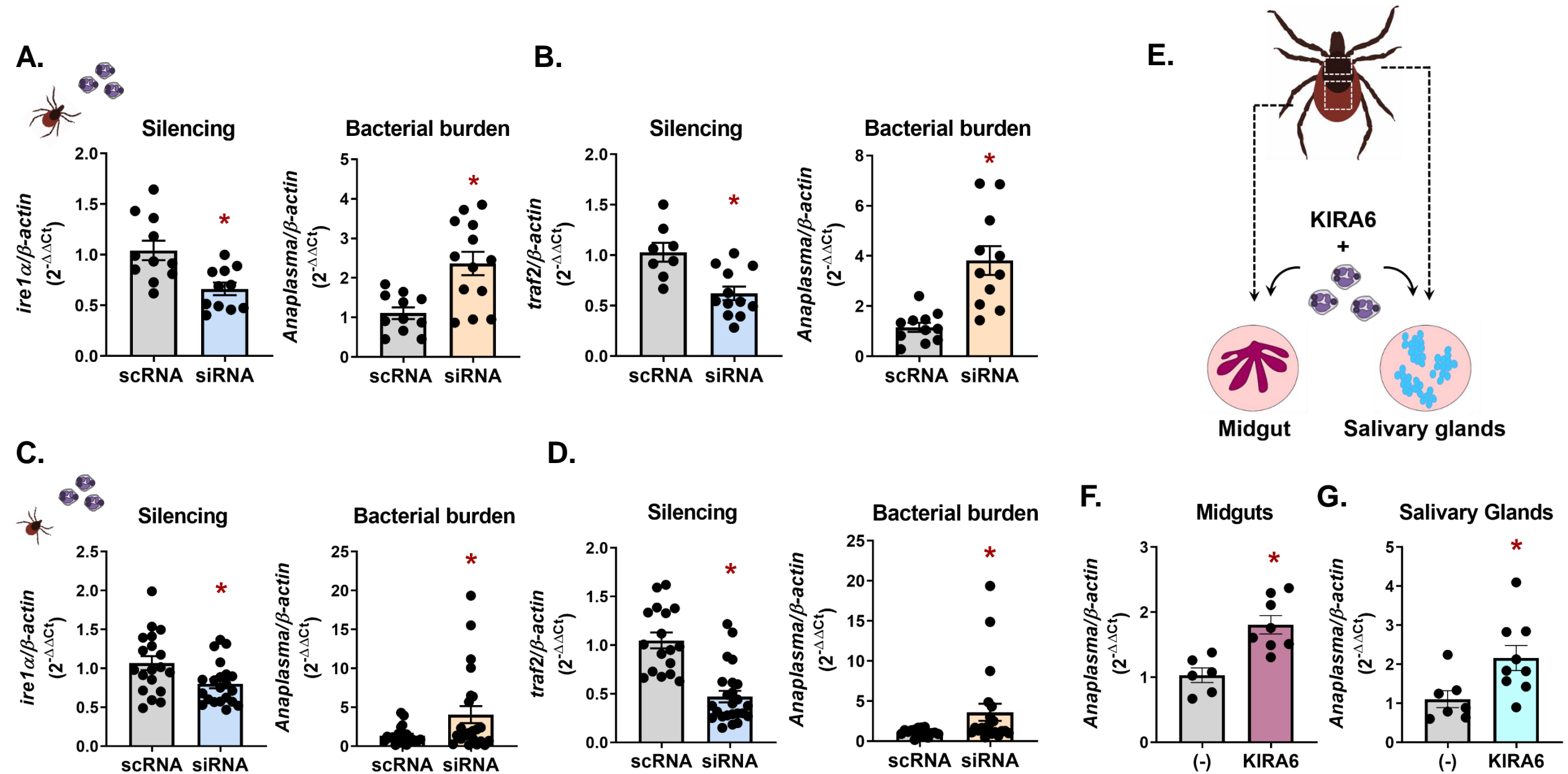


Figure 5 | *Ixodes* IRE1 α and TRAF2 restrict *B. burgdorferi* colonization *in vivo* at multiple tick life stages

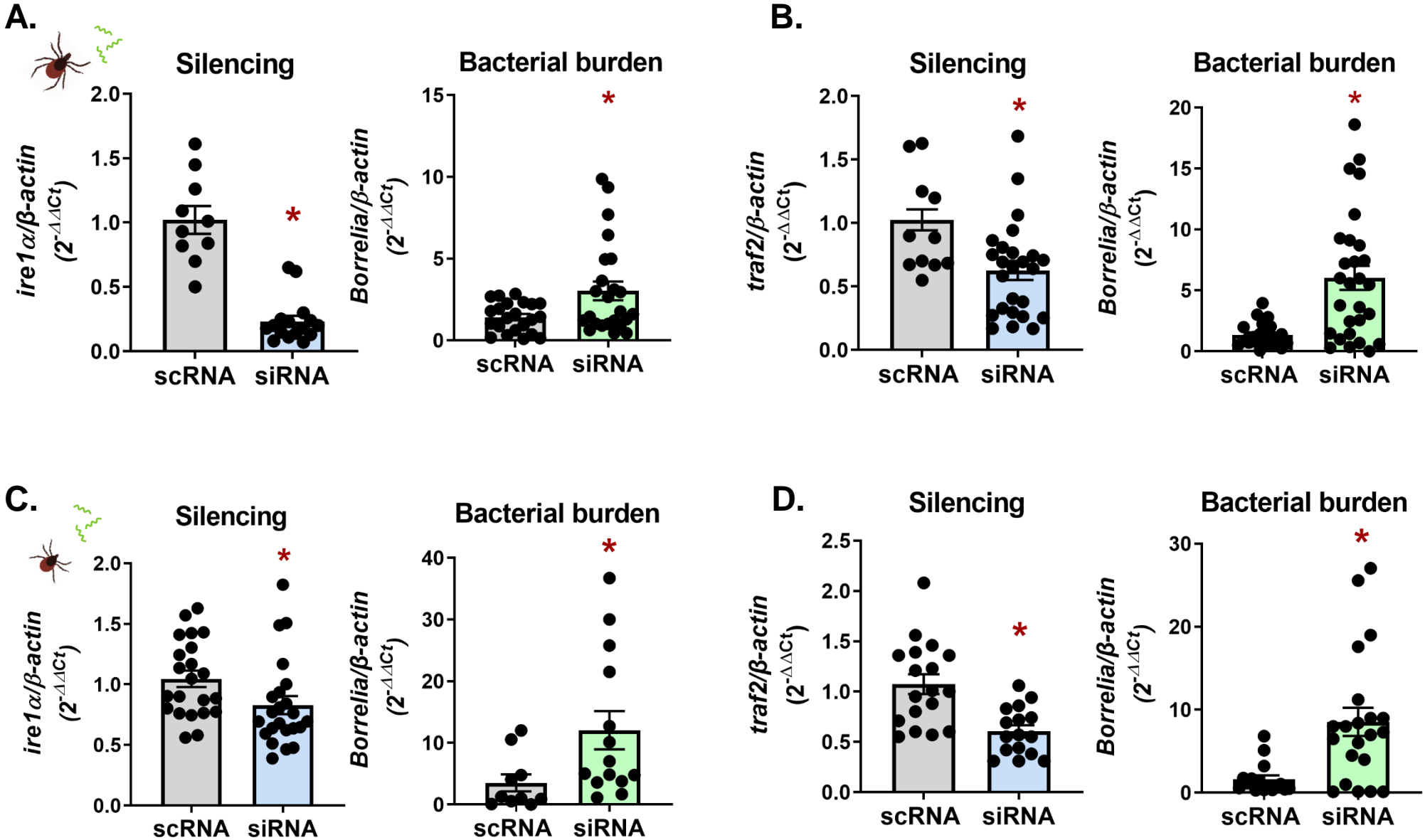


Figure 6 | Infection-induced IMD pathway activation and ROS production functions through IRE1 α

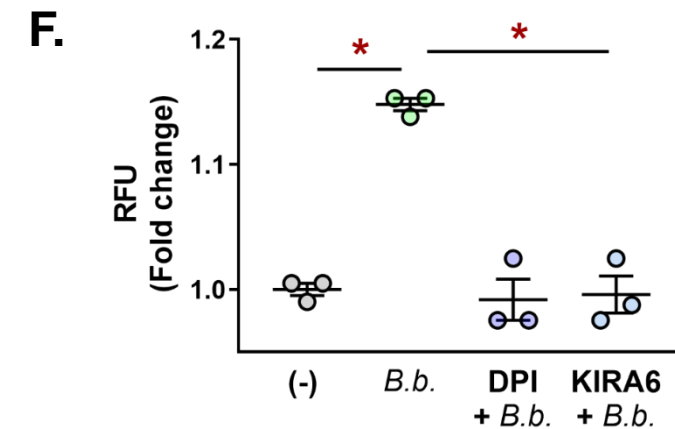
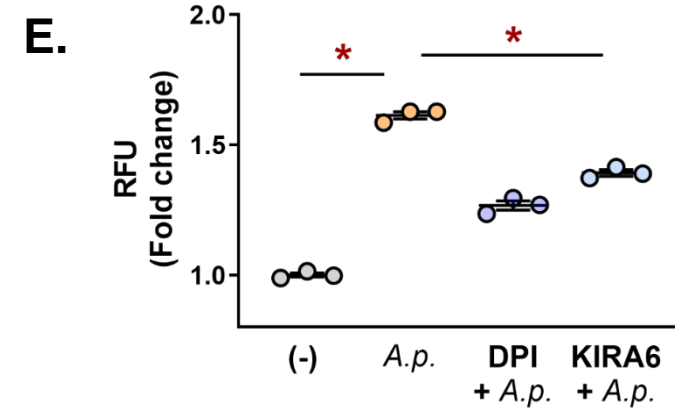
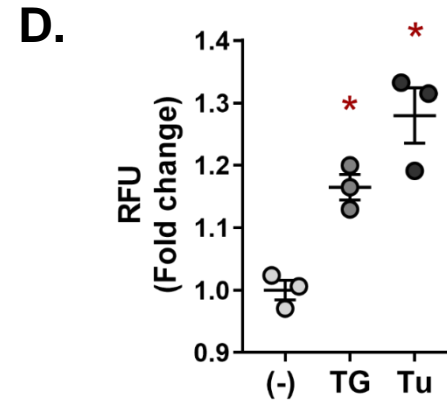
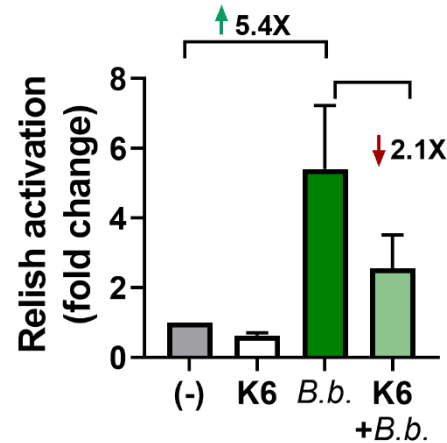
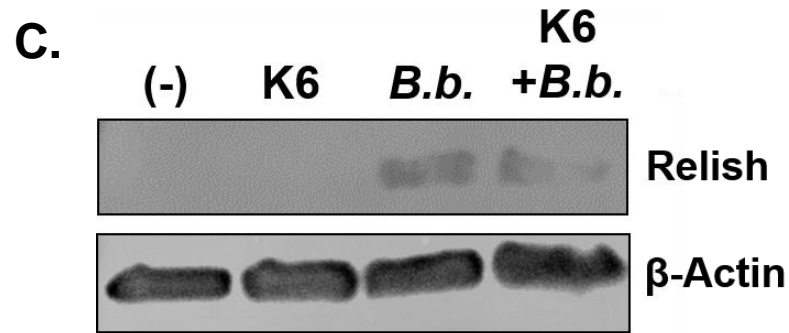
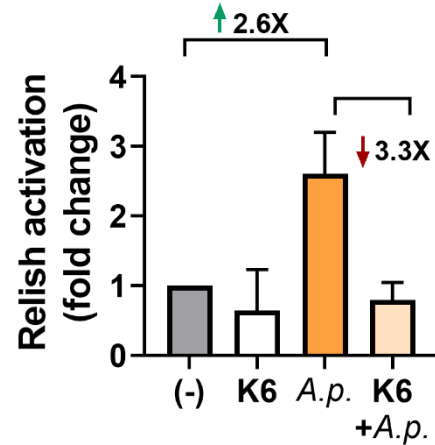
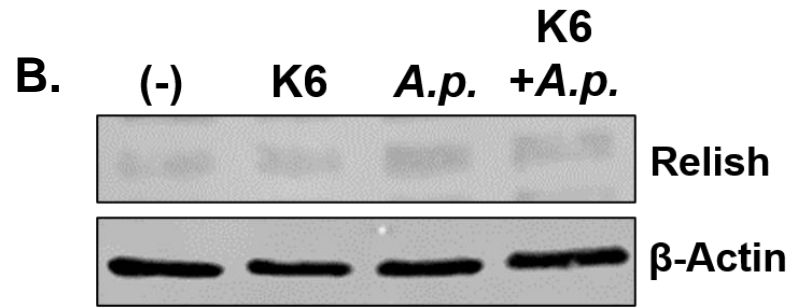
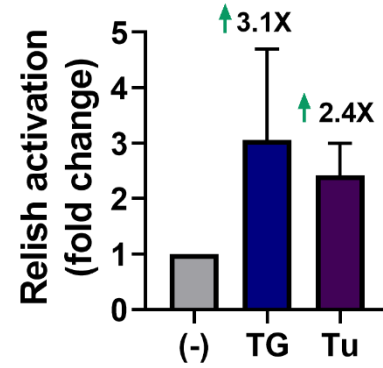
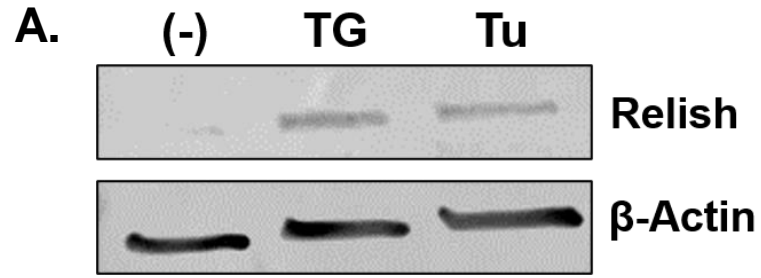


Figure 7 | IRE1 α and TRAF2-mediated pathogen restriction is conserved across arthropod vectors

