Title:

The ultrasound guide: katydid ear pinnae code for bat call detection

Running title:

Tympanal pinnae in katydids

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Abstract

Early predator detection is a key component of the predator-prey arms race, and has driven the evolution of multiple animal hearing systems. Katydids (Insecta) have a sophisticated ear consisting of paired tympana on each foreleg that receive sound externally and internally, creating a pressure-time difference receiver system capable of sensitive and accurate directional hearing, despite the katydid's small size. Some katydid species have pinnae of unknown function, which form cavities around the outer tympanal surfaces and have been hypothesised to influence the external sound paths. Combining experimental biophysics and numerical modelling on 3D ear geometries, we investigated pinna function in the katydid *Copiphora gorgonensis*. Pinnae induced large sound-pressure gains that enhanced sound detection at high ultrasonic frequencies (>60 kHz), matching the echolocation range of their nocturnal insectivorous bat predators. Comparing pinna resonances of sympatric katydid species supported these findings, and suggests that pinnae may have evolved for enhanced predator detection.

Introduction

Throughout the animal kingdom, the need to localize sound signals, both to detect conspecifics and to avoid predation, is a major evolutionary selection pressure. As a result, vastly different species have convergently evolved mechanisms of hearing to fulfil similar functions[1,2], and hearing organs have evolved in closely related taxonomic groups many times independently[3–5]. To effectively determine the location of a sound source, an animal with two ears will utilize interaural time and amplitude differences. Such binaural auditory systems must satisfy three requirements to function: (1) the distance between each ear must be sufficient to produce recognisable differences in sound arrival time, (2) the ears must be

separated by a body which is large enough to attenuate sound between each ear, (3) the ears

must be neurologically coupled in order to calculate time and amplitude differences[6,7].

However, small animals such as insects are theoretically too small to exploit diffractive

effects of sound on their bodies to perceive minute differences in sound delays and

intensities[8]. To increase the interaural distance, insects like katydids (Orthoptera:

Tettigoniidae) have evolved independently functioning ears located in their forelegs[9]. Many

species have also evolved the ability to produce and detect ultrasonic frequencies[10], meaning

that the resulting distance between the ears provides sufficient spatial separation to exceed

the shorter wavelengths of incoming conspecific sounds[2]. Similar to the mammalian ear, the

tettigoniid ear has an air-filled ear canal (EC), also called acoustic trachea, delivering the

sound to the tympana. Further, in the tettigoniid ear the EC amplifies the sound signals[11–18]

and reduces propagation velocity[11,12,18] due to its narrowing, exponential horn design. Sound

enters the EC through a specialised opening in the prothorax known as the acoustic spiracle[19].

The EC leads the sound through the thorax and the leg to the internal tympanal surface in the

ear of the foreleg. In addition, the tettigoniid ear can also receive sound directly at the ear at

the external tympanal surface. Thus   the tettigoniid ear functions as a pressure – time

difference receiver[2,11–18,20] unlike mammalian ears, which functions as a single input pressure

receiver via the EC.

At the external auditory input, certain tettigoniids also possess cuticular pinnae (also referred

to as folds, flaps or tympanal covers) partially enclosing their tympana (Fig. 1). Early

observations made by Autrum[21,22] suggested that the cuticular pinnae aided the insect to

determine the direction of sound, effectively acting as a sound guide. The prominence of

cuticular pinnae present in a variety of Pseudophyllinae and Conocephalinae species (see

examples in the Supplementary Fig. S1) generated more interest in Autrum's observations.

Morphologies of cuticular pinnae vary greatly between species, and were first categorized in

a phylogenetic context by Bailey[9]. Bailey and Stephen[23] proposed a dual functioning auditory

system in the tettigoniid *Mygalopsis marki* to explain differences in the auditory

morphologies in spiracle size and tympanal pinnae in which the external tympanal ports

appears to function as omnidirectional receivers and the EC combined with the spiracle

operate as a highly sensitive non-directional receiver. An investigation by Stephen and

Bailey[24] corroborated those findings in *Hemisaga* sp. where the authors demonstrated

increased acoustic sensitivity at the external tympanal port (through blocking the entrances or

slits from here forth). The authors concluded that the dual channel system consisting of the

EC and spiracle serve for the detection of predators whilst the external tympanal ports are

used for detecting conspecific communication signals[24]. Studies of ultrasonic rainforest

pseudophyllines provided more evidence of principal sound reception for conspecific

communication using the external tympanal port as a consequence of exceptionally small

spiracle sizes[25]. It was reported by the authors that diffraction of very short wavelengths

along the tympanal slits contributed to directional orientation in rainforest katydids.

Despite these findings, the role of cuticular pinnae has been subject to considerable debate in

the literature. Before experimental evidence of the dual port system in katydids was

published[11,18], attention was given to the EC as the main port for sound capture[16,26–30].

Moreover, Michelsen and Nocke[27] argued that the size of the ear is considerably smaller than

the wavelengths of most carrier frequencies of described insects known at the time, and

therefore the sound pressure field around the ear would be constant and yield no directional

cues. In other words, sound accessing the external tympanal port is not related to the direction

of incidence, and tympanal pinnae are merely protective features sheltering the fragile

tympanum. Montealegre-Z and Robert[31] showed that quieter, low amplitude sounds waves

acting on the external tympanal membrane (without gain from the EC) of the Neotropical

katydid *Copiphora gorgonensis* (Tettigoniidae: Copiphorini) do cause vibrations of

significant amplitude in the inner ear. Therefore, even these very weak vibrations are

mechanically transduced. The external sound arrives 60-80 µs before its amplified form of

self on the internal tympanal surface via EC, and this significant phase delay forms the basis

of the pressure – time difference receiver definition[11,12]. In katydids with cuticular pinnae

surrounding the tympana, evidence suggests that the insect can use both ports, but how the

external port contributes to directionality remains unknown[32].

Here, we investigate the role of cuticular pinnae using the neotropical katydid *Copiphora*

gorgonensis, a species endemic to the Gorgona Natural National Park, an island in the Pacific

Ocean off the western coast of Colombia[33]. *Copiphora gorgonensis* has become a model

species for hearing studies due to the transparency of the cuticle which facilitates non-

invasive, real-time measurements of the inner ear[1,31,33]. We integrated experimental

biophysical measurements based on micro – scanning laser Doppler vibrometry (LDV) and

micro-computed tomography to simulate the function of the cuticular pinnae and how they

contribute to auditory orientation in katydids. The coupling of the modalities was applied to

3-dimensional (3D) print models of the copiphorine ear, and scaled experiments were

performed to validate the simulations. We investigated if: (1) the direction of incidence of the

sound stimulus, presented by a loudspeaker, is a function of the sound wave directly

accessing the tympana through the slits; (2) the tympanal chambers produce sound pressure

gains that act externally on the tympana; (3) tuning properties of the pinnal cavities are a

result of pinnal geometry and can be predicted by the volume of the cavity and the size of the

entrance to the cavity.

Based on Autrum's original observations, we hypothesized that tympanal pinnae function as

ultrasonic guides by pinnae forming exceptionally small, but cavernous cavities. Further, we

hypothesized that these cavities act as Helmholtz-like resonators able to capture and amplify

diminishing ultra-high frequency waves. In contrast to katydids that also possess pinnae but

rely on a single input configuration, the external copiphorine port participates in predator
detection.

Results

Time domain response of the external tympanal port

We investigated the role of tympanal pinnae in sound capture by testing how the direction of
incidence of the sound stimulus presented by the loudspeaker induced tympanal
displacements at three frequencies (23, 40 and 60 kHz) with the cuticular pinnae intact and
later ablated. A total of 2,736 measurements were performed on 13 ears (1,512
measurements for male specimens; 1,224 for female specimens). For time to sound arrival,
we found a significant interaction between the presence of cuticular pinnae with angle of
incidence and with frequency (Supplementary Table S1). Post hoc analysis showed the
presence of pinnae significantly slowed the time of arrival at 23 kHz (t-ratio = -11.15, $P <$
0.001) and 40 kHz (t-ratio = -7.43, $P < 0.001$), but not at 60 kHz (t-ratio = -1.86, $P = 0.063$;
Fig. 2B). The effect of tympanum on time of arrival and displacement amplitudes was found
to be significant (Supplementary Table S1).

For displacement amplitude, we found that there was a significant interaction between the
presence of pinnae and frequency (Supplementary Table S1). Post hoc analysis showed
greatest displacement amplitudes at 23 kHz with the pinnae ablated (t-ratio = 3.20, $P < 0.001$;
Fig. 2C), which demonstrates that pinnae do not enhance auditory perception of the carrier
frequency in *C. gorgonensis*, and that the tympanum achieves this displacement by resonance
(see[1,11]). There were no differences at either 40 kHz (t-ratio = 0.84, $P = 0.399$) and 60kHz (t-
ratio = -0.61, $P=0.540$). Across all frequencies, there was a sharp decline in displacement
amplitudes at 40 kHz (23 vs 40 kHz: t-ratio = 20.55, $P < 0.001$) and 60kHZ (23 vs 40 kHz: t-

ratio = 19.72, $P < 0.001$), but no difference between 40 and 60 kHz (t-ratio = -0.83, $P =$

0.687; Fig. 2C). We also found a significant interaction between the presence of cuticular

pinnae with angle of incidence showing the impact of pinnae in increasing arrival time as the

sound source rotates opposite the ear (Supplementary Table S1). Responses were strongest

for sound presented perpendicular to each respective slit cavity with the area of the cuticular

septum bifurcating the cavities, also referred as "point zero," obtaining the lowest

displacement amplitudes with the pinnae intact. Contrarily, point zero showed the greatest

displacement amplitude with the pinnae ablated with incident angles on either side of point

zero showing a gradual subdued response to the stimulus.

Anatomical measurements of the external tympanal port

2D measurements of the slit area, pinnal protrusion area, septum width, and slit dimensions

were studied using an Alicona Infinite Focus microscope ($n = 8$). We found that the size of

the entrance of the cavity was not significantly different between the ATM and PTM

(Wilcoxon paired rank sum test, $P = 0.958$). The distance between the septum and the outer

edge of the pinnae showed that the protrusion area of the PTM was significantly larger than

the ATM (two sample *t* test, $t(14) = -4.64$, $P < 0.001$).

The 3D measurements of the tympanal cavity volumes and cross section of the ears showed

that the PTM cavity volume was slightly larger than the ATM volume (Wilcoxon paired rank

sum test, $P = 0.958$). The mean cross-sectional width of the ear was 1.143 mm ± 0.353 ($n =$

8).

Tympanal cavity resonance calculations

We used the 2D (slit area) and 3D measurements (cavity) to estimate resonance of the

tympanal cavities (Supplementary Table S2). This was calculated by the assumption that the

slit openings are a perfect circle (to determine radius) and the cavity acts as a cylindrical tube

using a neckless Helmholtz resonance equation. Here, c is speed of sound in air (343 m s^{-1}),

S is cross-sectional area of the opening with radius r, 1.85 is the correction length of the neck

and V denotes the volume of the resonator / cavity[34].

$$f(h) = \frac{c}{2\pi}\sqrt{\frac{1.85r}{V}}$$

The tympanal chambers ($n = 8$) showed a neckless Helmholtz resonance of 94.280 ± 3.532

kHz for the ATM and 91.694 ± 3.929 kHz for the PTM (Wilcoxon paired rank sum test, $P =$

0.093).

3D printed model time and frequency domain measurements

The interaction between the presence of pinnae and frequency were found to be significantly

affect sound pressure (dB), whilst tympanum did not (Supplementary Table S1). Across all

frequencies, the presence of pinnae increased sound pressure, but differences were greatest at

higher frequencies (23 kHz: t-ratio = -2.54, $P = 0.014$; 40 kHz: t-ratio = -8.69, $P < 0.001$; 60

kHz t-ratio = -15.66, $P < 0.001$; 110 kHz t-ratio = 41.70, $P < 0.001$; Fig. 4). More

specifically, the greatest pressure gains were detected at 104.65 kHz for both the ATM (24.19

dB) and PTM (27.68 dB) with the pinnae intact. With the pinnae ablated, the greatest

pressure gain was found to be 97.98 kHz for both the ATM (8.04 dB) and PTM (7.81 dB).

Numerical results

For sound pressure measurements there was a significant interaction between the presence of

pinnae and frequency (Supplementary Table S1). At 23 kHz ears without pinnae had

significantly higher sound pressures (t-ratio = 3.45, $P < 0.001$), but the effect was reversed at

40kHZ (t-ratio = -5.94, $P < 0.001$) and 60kHZ (t-ratio = -28.52, $P < 0.001$), with differences

increasing as frequency increased (Fig. 2D). There was no effect of angle of sound incidence

or tympanum on sound pressures (Supplementary Table S1).

Simulated sound pressure gains (Fig. 3A-C), and their distribution maps (Fig. 3A and 3B)

showed the greatest sound pressure gain at a mean value of ~ 118 kHz (ATM mean 121 kHz,

PTM mean 115 kHz), and such gains were reduced or lost entirely when the pinnae were

removed (Supplementary Table S1; Fig.3D).

Behavioral audiograms

Behavioural audiograms were obtained from nine tethered females walking on a treadmill.

Audiograms were obtained with stimuli in the range 20-120 kHz, as this species is entirely

ultrasonic (males call with a 23 kHz pure tone). Audiograms show that the startle response of

females decline sharply for stimuli between 20 kHz and 35 kHz, however, response remains

essentially constant at higher frequencies (Table S3. Fig. S13).

Discussion

To understand the function of cuticular pinnae of katydid ears, we conducted acoustic

experiments on living specimens and used micro-CT to produce images for numerical

mathematical models and to print 3D print scaled ears. In all our experiments, the pinnae had

a significant effect on the sound reception at the tympana. The experimental results of the live

specimens show that at 60 kHz, the pinnae significantly enhanced cavity-induced pressure

gains. Further, the extent of the pinnal contribution to tympanal displacement amplitude

depends on the incident angle of the sound source at tested frequencies ≤ 60 kHz. The

tympanal ear of *C. gorgonensis* naturally resonates at ca 23 kHz, which corresponds to the

dominant frequency of the male calling song[33,35]. This was also observed in our experimental

results, irrespective of pinnal presence or absence. At ultrasonic frequencies, the pinnae

enclosed tympanal membrane of *C. gorgonensis* show stronger mechanical responses due to

the resonances of the tympanal cavities. Montealegre-Z and Robert[31] previously

demonstrated that even minuscule tympanal displacements in *C. gorgonensis* create large

displacement of the *crista acustica* (CA). Tympanal displacements are nearly duplicated in

the CA as the effect of the cantilever system with ear response measured though transparent

cuticle. Similar findings can be inferred from LDV experiments on *Mecopoda elongata*[36]

with an exposed CA. Insect mechanosensory auditory neurons are capable of detecting

exquisitely small mechanical displacements, down to 100 pm[37], close to the theoretical limits

of sensitivity[38]. Therefore, the sound pressure gain induced by the tympanal pinnae at

ultrasonic frequencies (>60 kHz; Fig. 3) should produce sufficient tympanal displacement to

create a response in the CA and subsequently electrical response in the auditory receptors.

As a result of the small size of the katydid ear our experiments were limited to testing

frequencies in the lower frequency range. Thus we performed numerical simulations to

predict pressure amplitudes at frequencies above 60 kHz. In addition, 3D printed ear models

were used to experimentally support the simulation results. Our numerical simulations have

predicted sound pressure gains in the frequency range of 50 – 150 kHz with mean resonant

frequencies of 115 kHz and 121 kHz in the PTM and ATM cavities respectively (Fig. 3C).

Between 50 – 60 kHz, detectable pressure gains inside the cavity started to act along the

external tympanal membrane with the best gain of about 23 dB found at resonant frequencies.

We did not compare the tympanal response between the experimental and numerical data

directly, due to the simplifying assumption in the mathematical models that the tympana are

composed of homogeneous material. In reality, the tympanal membrane is composed of

materially different layers where the external surface has more chitinous, sclerotized surfaces

extending from the tympanal plate to the membrane, while the internal membrane is

composed of elastic, tracheal derived material. To test solid boundaries, we 3D printed ears

and scaled the sound stimuli to match the ear size. Differences between the numerical and 3D

print models results can be attributed to the material properties incorporated for the tympana

in the models. When we incorporated the material properties of the 3D ears into the

simulations, the resonant frequencies were found to be at ~111 kHz (ATM 112.5 kHz, PTM

109.5 kHz; Supplementary Fig. S2) which is close to the ~105 kHz measured in the 3D print

models (Fig. 3E) and ~ 107.5 kHz of the actual cavities themselves (Supplementary Fig.

S3). Without the pinnae, pressure gains were dramatically reduced in the simulations.

However, a slight resonance was found in both the numerical simulations and 3D print

models caused by the incomplete ablation of the pinnal structures (Figs. 3D and 3F).

Ultrasound guides in insects

Other insects with only a single acoustic input system but also with pinnae on their ears, like

moths, are endowed with tympanal membranes mechanically tuned to anticipate the high

frequencies used by hunting bats[39]. In this scenario, tympanal pinnae could be an

evolutionary adaptation for ultrasonic frequency reception similar to the mechanism found in

notodontid moths (Notondontidae)[44]. In these moths, cup–like pinnae formed from the

abdominal hood are suggested to enhance the reflection of sounds onto the tympanic

membrane[44]. With the pinnae ablated, moths entirely lost the ability to localize sounds[40], not

only for ultrasonic frequencies.

High frequency singers of the katydid subfamily Pseudophyllinea in general have very small

spiracle sizes, long but narrow ECs, and tympana adorned with various forms of cuticular

pinnae[41]. Certain pseudophyllines have been shown to depend more on the external sound

stimulus for communicating with conspecifics, than for internal sound reception through the

EC, if their song carrier frequency is greater than 50 kHz[23–25,42]. Mason et al.[25] found that the

dominant port for hearing relies on the tympanal slit to spiracle size area ratio where the

larger opening dictates principal auditory input. By physically occluding auditory inputs. The

authors measured the summed action potentials of the tympanal nerve and found threshold

gains in the ultrasonic range in the rainforest katydids *Myopophyllum speciosum* (carrier

frequency 81 kHz), *Haenschiella ecuadorica* (carrier frequency 102 kHz) and *Typophyllum*

nr *trapeziforme* (carrier frequency 21 kHz) when the spiracle physically occluded. The

responses from the hearing system matched their best auditory frequency. This demonstrated

the dominance of the tympanal port for sound entry of conspecific frequencies. With the

tympanal cavities blocked, *M. speciosum* reported threshold gains of 30 dB SPL (re 20 µPa),

H. ecuadorica over 50 dB, and *T.* nr *trapeziforme* 20 – 40 dB at their respective best auditory

frequencies. Threshold gains above this range were reported with the tympanal chambers

occluded showing a possible adaptation to hear predators via the spiracular port. In our

study, we avoided physically occluding the acoustic spiracle as the boundary conditions of

the EC would change tympanal sensitivity[15,43,44]. Moreover, completely occluding the

spiracle would prevent natural venting of metabolic gases (i.e. CO_2) and inhibit equilibration

of tracheal gases with atmospheric pressure[12,45]. In *C. gorgonensis*, the spiracle area is large,

naturally open on average three times larger than the total area of the tympanal slit (1 mm^2 :

0.3 mm^2) which is inversely related to the scale of pseudophyllines. Nevertheless, reflectance

and power transmittance inside the tympanal cavity experience different acoustics dynamics

than the EC. Power transmittance of ultrasonic frequencies suffer significant attenuation as a

result of high reflectance of sound waves along the narrowing EC in *C. gorgonensis*[46]. It is

unknown how EC morphology in the Pseudophyllinae functions, let alone how it positively

affects sound pressure of ultrasonic frequencies for EC conduction if the system does not rely

on a single input.

By concentrating or funnelling ultrasonic energy into the tympanal cavity, the pinnae enhance

ultrasonic reception of incidental sounds. The cavity induced pressure gains are the

consequence of geometry of the tympanal slit in relation to the geometry and volume of the

cavity (Supplementary Table S2). High ultrasonic frequencies that are entering directly and

diffracting along the slit edges as each pinnal structure of the ear becomes larger than 1:10

the wavelength of the perceived sound[47]. These imparted forces are magnified by the motion

of the tympanum. The resonances afforded by the pinnal structures are evident as both the

mathematical and 3D print models do not include a vibrating tympanum. In katydids,

irrespective of incident sound pressure magnitude, the cavities provide a consistent pressure

gain of at least 13 dB within the frequency range 100 – 120 kHz (Fig. 3C). This in contrast to

tympanate moths that depend on the incident sound intensity for mechanical tuning high

frequency bat calls[39,40,48] to produce gains up to 16 dB[40].

The ATM slit opening is slightly larger than the PTM, but the PTM has a larger cavity. This

causes differences in pressure gains and resonances between both sides. In *C. gorgonensis*,

the PTM pinnal structure is approximately 13% larger than the ATM. This increases the

micro-acoustical diffraction of ultrasonic frequencies entering the PTM chamber and

provides the necessary phase delays to locate sounds. Bailey et al.[49] observed similar pinnal

asymmetries in the katydid *Oxyecous lesnei* but the ATM structure was much larger than the

PTM which suggests that each tympanum is differentially tuned (for other examples see Fig.

1[50]; Supplementary Fig. S4). Here, we showed that the tympanal cavities and their

asymmetric openings act as Helmholtz resonators at frequencies of 94.28 kHz for the ATM

and 91.594 kHz for the PTM in *C. gorgonensis*. Though the simulations show a peak of 118

kHz (ATM 121 kHz, PTM 115 kHz), the Helmholtz calculation assumes the slit opening is a

circle and the cavity is a solid walled sphere. Pressure distribution maps from the

mathematical models suggest that at 110 kHz (see Fig.3A), the resonance of the pinnae may

function in a piston motion, whereby fluctuating air movements are present at the opening of

each independent cavity: an observation characteristic of Helmholtz resonance. Sound

pressure gains inside the 3D printed model tympanal cavities and resonances closely matched

the simulated numerical models (Fig. 5), showing a net average sound pressure gain of 16.1

dB for the ATM and 19.87 dB for the PTM at 105 kHz.

The asymmetry of the tympanal cavities have an acoustic function. Our results show that

pinnae cause intra-aural time differences and oscillation phase shifts at ultrasonic frequencies

between vibrations in the ATM and PTM (Fig. 2A). This contributes to differences of

intensity and arrival of sound that induce pressure gains of ultrasonic frequencies (Fig. 3C).

Differential intra - aural tympanal mechanical responses have also been found in the pinnae

possessing paleotropical katydid *Onomarchus uninotatus* where the ATM exhibits tuning to

the conspecific call and the PTM's response is tuned to higher frequencies suggesting a

possible mode for predator detection[51]. The ATM of *C. gorgonensis* is tuned to higher

frequencies than the PTM, but how this relates to ecology and behaviour remains unknown as

both are connected to the same mechanoreceptor cells. Not only do pinnal structures provide

a long diffractive edge for sound waves, but the dorso-ventral asymmetry between the ATM

and PTM pinnae may function similar to owl ears where pinnal based cues provide

exceptional directional information for ultrasonic reception[52]. Owl ears are positioned

asymmetrically with one ear lower than the other which causes prey calls to reach the owl's

ears at different times. Following the same concept, we argue that it is possible for a single

ear to obtain directional cues for sound localization at frequencies emitted by hunting bats

during echolocation with a single ear due to the asymmetries within. Considered that the tettigoniid ear is capable of resolving such small differences in time and intensity[9,49], it could receive the direction of an attacking bat and engender ultrasound - triggered defensive behaviour[53].

In *C. gorgonensis*, the dual inputs of the spiracle and the external port function as a sound pressure gain compensation system. As previously shown for *C. gorgonensis*[46], and in other species[18,41], the spiracular port and the EC with its exponential horn geometry act as a bandpass filter limited in providing pressure gains to high ultrasonic frequencies (>50 kHz)[12,13] and designed to favour the specific carrier frequency. The level of sound entering the spiracle depends on how the incident soundwave accesses the spiracular opening[49]. The effect of the EC geometry in reducing sound velocity is as much as ~20% or ca 60 µs, and coupled with the contralateral EC, collectively a total of eight inputs are possible with each causing a vibration with variable delays in four internal and four external tympanal surfaces to the same stimulus[12]. Though the reduction in velocity contributes exceptional binaural directional cues, the external port provides real-time sensitivity to exploit unamplified, fading ultrasound. Without time delays, the ear pinnae act as extreme ultrasonic guides that resonate at frequencies closer to the call of common bat predators. Hence the EC might be an impractical and even a more dangerous mode to detect attacking bats as the direction of the angle of incidence accompanied by time delays shorten reaction time and obfuscate the localisation of the predator.

Bat detection by resonance

The island of Gorgona is home to over 33 bat species with many remaining undescribed and underrepresented in wildlife inventories, including least three substrate gleaning bats of the

family Phyllostomidae[54]. Neotropical katydids have evolved sophisticated auditory features

as strategies for survival against substrate gleaning bats[55–59]. The habitat of *C. gorgonensis* is

in the understory vegetation, often dense vegetation of the tropical forest[60]. In this

environment, acoustic signals are heavily attenuated which leads to significant transmission

loss[61], but insects have evolved sophisticated receivers to perform call discrimination in these

acoustically challenging environments[62]. Adaptations by katydids to bat evade predation

include the use of narrow bandwidth, high frequency carrier frequencies, and sporadic calling

in order to diminish signal proliferation in the acoustic environment[55,63–65], and ergo evade

eavesdropping by bats[66]. Certain adaptations are a trade-off as the katydid becomes more

conspicuous and vulnerable to small mammals and for katydids that perform vibrotaxis, even

tremulations can attract bats[67,68] other predators like spiders[69]. Likewise, bats foraging at this

level also face similar acoustic shortcomings, affecting their echolocation abilities[70]. Thus,

several phyllostomid substrate gleaning bats are very well adapted to listen to prey-produced

sounds like rustling noises or mating calls of, e.g., male katydids[55,71]. However, at least one

gleaning bat species, *Micronycteris microtis* (Phyllostomidae), uses a sophisticated

echolocation strategy to discriminate the location of katydids concealed in vegetation[72,73].

Despite passive acoustic defences, calling from restrictive locations and equipped with very

large mandibles and sharp fastigia, katydids like *C. gorgonensis* are predated by

phyllostomid bats[59].

Here we argue that the pinnal structures of the external tympanal ports of katydid ears act as

sound guides providing acute ultrasonic hearing allowing the detection of echolocation calls

of hunting bats and thus an additional sensory based defence in the predatory-prey arms race.

The presented numerical and experimental evidence suggests that the greatest ultrasonic gain

of the pinnae is at frequencies matching those falling into the frequency range of the

echolocation calls of native bat species (Fig.5). As neotropical bats approach their target, they

emit short, broadband, multi-harmonic sweeps, demodulate the frequency from higher

frequencies above 135 kHz to as low 35 kHz[72,74]. In terms of predator detection, a katydid

like *C. gorgonensis* has an excellent chance of detecting the calls of a hunting bat even at the

start of the sweep. Responses to these high frequencies are supported by behavioural

audiograms that show responses to bat call frequencies (Supplementary Table S3, Fig. S3).

A gain of 13 – 20 dB at the start of the bat call provides essential awareness time (≤ 0.86 ms

in terms of duration of the complete sweep[72]) to *C. gorgonensis* as a result of the tympanal

pinnae.

Katydids with tympanal pinnae on their ears may have initially evolved such sophisticated

hearing devices to survive nocturnal predators while they sing under the cover of darkness.

Sympatric katydids with pinnae and also potentially predated by bats like *C. gorgonensis*

exhibit similar cavity induced pressure gains in the range of phyllostomid bats

(Supplementary Fig. S5). It is likely that predation pressures in the island of Gorgona have

driven the evolution of katydid pinnae to enhance the resonance of bat calls as evidenced by

Supersonus aequoreus and *Eubliastes aethiops*.

The pressure – time difference receiver of *C. gorgonensis* is a unique system that can capture

different ranges of frequencies between the multiple entry ports that can atone the limitations

of each but is also capable of compensating for limitations in auditory orientation[12]. For

katydids, incident sounds from elevation are difficult to perceive[32]. The ability of the

external port to be positioned and rotated in accordance with the movement of the foretibial

knee and foretibial leg joints permits for the ear to be more vertically oriented. The μ-CT

imaging presented here of the tympanal cavities supports the theory for vertical orientation as

the sub-slit cavity is asymmetrically recessed to the distal end, which is likely to enhance

mechanical responses to vertical stimuli (Fig. 1D). For ultrasonic reception, a total of four

asymmetrical external ports may be behaviourally articulated in a manner to enhance the

detection of elevated bat calls, and the physical separation between the external ports of each

ear yield sufficient binaural cues.

Katydid ear pinnae and the fossil record

The presence of ear pinnae in katydids is unknown in the fossil record. Katydid ancestors

(e.g., Haglidae and Prophalangopsidae from upper Jurassic[75]) and early katydids from the

middle Paleogene (Eocene, ~ 55 mya[76,77]) all show naked tympana (without pinnae). The

earliest echolocating bats are from the Eocene[78], therefore it is likely that ear pinnae evolved

in response to bat predation pressures, and could have appeared independently in various

clades of Tettigoniidae, but pinnae in the katydid ears have never been mapped in a

phylogeny[79]. Analogous ear adaptations are observed in some Eneopterinae crickets (Tribe

Lebinthini), which differ from field crickets in their use of high frequencies for specific

communication (12 - 28 kHz). These crickets emerged also in the Eocene[80] and while their

ancestors exhibit only one functional tympanum (PTM) the extant forms show two functional

asymmetric tympana, with the ATM covered by pinnae[81]. Such adaptation suggests a new

paradigm of the dual role of the ears, in detecting conspecific calls and bat echolocation.

Several katydids species (e.g., Phaneropterinae, Mecopodiae) exhibit naked tympana

(Supplementary Fig. S1B). While little is known about the ecology of many species, some

species might have specialised EC designs to increase the range of the bandpass filter[16,18,41] or

exhibit behavioural/ecological adaptations that help them to detect or avoid bats. For

example, some species might be active during the daytime[82,83], or dwell in dense vegetation

that challenges flying[82].

While the diversity of form and function of pinnae in katydids requires a deeper comparative

analysis, the presented findings suggest that in the assessed species, pinnae act as ultrasonic

resonators for the early detection of echolocating bats. Given the broad global distribution of

echolocating bats and the diversity of their feeding habits, it would be expected that similar

adaptations exist in other prey taxa.

Materials and methods

Specimens

Copiphora gorgonensis (Tettigoniidae: Copiphorini) is endemic to Gorgona National Natural

Park, Colombia (02°58′03″N 78°10′49″W). The original generation of the species were

imported to the UK under the research permit granted by the Colombian Authority (DTS0-G-

090 14/08/2014) in 2015. The specimens were ninth generation, captive bred colonies and

maintained at 25°C, 70% RH, light: day 11 h: 23 h. They were fed *ad libitum* diet of bee

pollen (Sevenhills, Wakefield, UK), fresh apple, dog food (Pedigree Schmackos, UK) and

had access to water. Live experiments were conducted on seven adults of *C. gorgonensis*

from our laboratory breeding colonies at the University of Lincoln (Lincoln, UK). Following

experimentation, these specimens plus an additional four females already stored in ethanol

were micro-CT scanned for finite element modelling; totalling 17 ears (10 female, 7 male).

Live specimens were preserved in ethanol-filled jars and stored in a freezer at – 22°C at the

University of Lincoln (Lincoln, UK).

Vibration measurements

Insects were chemically anesthetized using triethylamine-based agent FlyNap (Carolina

Biological Supply, USA) for 15 min prior to the mounting process, and remained awake

throughout the duration of the experiment. The animals were dorsally mounted using a

specialized platform to isolate the external and internal sound inputs and also mimic their

natural stance. A rosin-beeswax mix was used to fix the pronotum, and the mid and hind legs,

to the mount. This specialized platform[11] consists of a two Perspex panels (1.61 mm

diameter) that are joined by latex and suspended in the air by a 12 x 12 mm metal frame

attached to a micromanipulator (World Precision Instruments, Inc., USA) (see[1]). At the

Perspex junction, the forelegs of the insect were extended through arm holes cut in the

Perspex and attached on a rubber block with metal clasps. A metal clasp was placed on each

foretibia and forefemur (total of 4) to arrest foreleg motion. The arm holes and frame borders

were sealed with latex to deny sound propagation to the spiracle.

The LDV system consisted of a the OFV-2520 Dual Channel Vibrometer - range velocity

controller for operating two single point laser sensor heads, sensor heads hereafter (OFV-534,

Polytec, Germany) each with VIB-A – 534 CAP camera video feed and laser filters. Each

sensor head was mounted on a two - axis pivoting stage (XYZ, Thorlabs Inc., USA) anchored

to an articulating platform (AP180, Thorlabs Inc., USA) and manually focused at 10.5 cm

above a vibration isolation table (Pneumatic Vibration Isolation Table with a B120150B -

Nexus Breadboard, 1200 mm x 1500 mm x 110 mm, M6 x 1.0 Mounting Holes, Thorlabs

Inc., USA) supported by an anti-vibration frame (PFA52507 - 800 mm Active Isolation

Frame 900 mm x 1200 mm, Thorlabs Inc., USA) in an anechoically isolated chamber (AC

Acoustics, Series 120a, internal dimensions of 2.8 m x 2.7 m x 2 71 m). The sensor heads

were outfitted with magnification microscopic lenses (Mitutoyo M Plan 10x objective for

Polytec PSV-500 single laser head OFV 534, Japan) and positioned about 35 to 40 mm away

from the insect foreleg at 45° angles towards the Perspex surface (Supplementary Fig. S6).

The narrow entrance to the tympanal chambers restricted the use of LDV to measure

tympanal responses across the entire tympanal membrane. Therefore, the placement of the

sensor heads was limited to positions where the sensor heads were perpendicular to the

tympanic membrane of interest. The sensor speeds were maintained at 0.005 (m/s)/V and recorded using an OFV-2520 internal data acquisition board (PCI-4451; National Instruments, USA).

Tympanal vibrations were induced by a four-cycle sinusoidal wave at 23, 40 and 60 kHz. The closed-field configuration of the loudspeaker restricts the delivery of high ultrasonic stimuli to 60 kHz. A rotating automated stage (PRM1Z8 rotation mount, Thorlabs Inc., USA) with a KDC101 K-Cube™ DC Servo Motor Controller (Thorlabs Inc., USA) positioned a multi-field magnetic loudspeaker (MF1, Tucker Davis, USA) with a parabolic nozzle (see Supplementary Materials from[12]) and plastic probe tip (3.5 cm L x internal diameter 1.8 mm W) about 3.5 mm away from the mounted insect and 10.2cm above the breadboard table. The speaker was moved across a 12 cm semi-circle in 1° steps (0.56 mm). The probe tip was positioned at "point zero" and 20 single shot recordings at 1°, totalling 10° at either side of this port (Supplementary Fig. S7). A high quality 500 band pass filter was applied at 10 to 30 kHz for the 23 kHz recordings, 30 to 50 kHz for the 40 kHz recordings, and 50 to 70 kHz for the 60 kHz recordings. All acoustic signals were generated by a waveform generator (SDG 1020, Siglent, China), synchronized with the LDV, amplified (ZB1PS, Tucker Davis, USA) and measured by a 1/8□ (3.2 mm) omnidirectional microphone (Type 4138, Bröel & Kjaer, Nærum Denmark) located about 3 mm from tympanum. The microphone, with built in preamplifier (B&K 2670, Brüel & Kjær, Nærum, Denmark), was calibrated using a sound-level calibrator (Type 4237, Bröel & Kjaer, Nærum, Denmark) and set to 316 mV/Pa output via a conditioning amplifier (Nexus 2690-OS1, Brüel & Kjær, Nærum, Denmark). A reference measurement was performed by placing the microphone 3 mm from the probe tip to the loudspeaker before each experiment. Using a micro-manipulator, the microphone was positioned approximately 3 to 3.5 mm from the ear to monitor the acoustic isolation of the anechoic platform.

Experimental procedures

The sensor heads were manually focused on the external tympanal surface using the 2 - axis

pivoting stage and manual wheel with the aid of the sensor head camera output displayed on

an LED screen. For the time measurements, the point zero was found for each leg and for

each test frequency. The point zero was the point where the displacements from the anterior

tympanic membrane (ATM) and posterior tympanic membrane (PTM) matched the

oscillation phase of the generated 4-cycle sinusoidal waves. This ensured that the vibrations

of the tympanic membranes were synchronous relative to the speaker position. Displacement

amplitudes from the same cycle order number were measured from each sensor head

reference, and approximately 252 data points were measured per ear.

After recording the vibrations for both insect ears, the cuticular pinnae were carefully excised

(not to damage the tympanal organs or the fine layer of tissue ventrally connected to the

tympanic membranes) using a razor blade. The measurements were repeated for each ear

following the same protocol.

Time and displacement measurements were analysed by identifying the second oscillation of

the 4-cycle tone generated waves in each PSV software window (PSV 9.4 Presentation

software, Polytec, Germany).

Morphological studies of the ear

To produce 3D data for modelling, 17 ears of *C. gorgonensis* were scanned using a SkyScan

1172 X-ray micro-CT scanner (Bruker Corporation, Billerica, MA, USA) with a resolution

between 1.3 and 2.9 µm (55 kV source voltage, 180 µA source current, 300 ms exposure and

0.1° rotation steps). As experimental procedures required removal of the cuticular pinnae,

eight additional specimens with intact pinnae were scanned. The micro-CT projection images

were reconstructed with NRecon (v.1.6.9.18, Bruker Corporation, Billerica, MA, USA) to

produce a series of orthogonal slices. The 3D segmentation of the ear, measurements of the

ear cross section and width, and volumetric measurements of the cavities formed by the

pinnae were performed with the software Amira-Aviso 6.7 (Thermo Fisher Scientific,

Waltham, Massachusetts, USA). Micro-CT stereolithography files (STL) were generated for

mathematical modelling using established protocols[11,12] and to 3D print ear models.

For 2D measurements of the cavity slit area, pinnal protrusion, and the distance between the

pinnal cavities, an Alicona InfiniteFocus microscope (G5, Bruker Alicona Imaging, Graz,

Austria) at x5 objective magnification was used to capture images with a resolution of

abou100 nm of collection specimens with pinnae intact ($n = 8$ ears).

Bat and insect call recordings

The echolocation calls of phyllostomid bats (Chiroptera: Phyllostomidae) native to Gorgona

Island were recorded in 2015. The call of *Micronycteris megalotis* was recorded using the

Echo Meter Touch 2 (Wildlife Acoustics, Maynard, MA, USA), with a sampling rate of 384

kHz. *Gardnerycteris crenulatum*, *Tonatia saurophila* and *Micronycteris microtis* were

recorded in a small indoor flight cage (1.4 x 1.0 x 0.8 m) in which they were allowed to fly

via an ultrasound condenser microphone (2 - 200 kHz frequency range, ±3 dB frequency

response between 25 - 140 kHz; CM16, CMPA preamplifier unit, Avisoft Bioacoustics,

Glienicke, Germany) and real time ultrasound acquisition board (6 dB gain, 500 kHz

sampling rate, 16 bit resolution; UltraSoundGate 116Hm, Avisoft Bioacoustics, Glienicke,

Germany) connected to a laptop (Think Pad X220, Lenovo, Beijing, China), with a

corresponding recording software (Avisoft RECORDER USGH, Avisoft Bioacoustics,

Glienicke, Germany). These are the most common insectivorous gleaning species in the

habitat of *C. gorgonensis*. Call description of single calls is presented as Supplementary Fig.

S8.

SI Appendix, Section 1 (see Supplementary Fig. S9) has details of male *C. gorgonensis*

calling song recording.

Vibrations of synthetic 3D-printed scaled ear models

For time domain measurements, 3D models of the ears were placed on a micromanipulator

arm with blu-tac (Bostik Ltd, Stafford, UK) and positioned frontally 30 cm from a MF1

loudspeaker at the same elevation. A 25 mm tipped B&K Type 4182 probe microphone

(Brüel & Kjær, Nærum, Denmark) with a 1×25 mm (0.99″) probe tube length and 1.24 mm

(0.05″) interior diameter, calibrated using a B&K Type 4237 sound pressure calibrator (Brüel

&Kjær, Nærum, Denmark) was placed ventral to the ear. The ear moved onto the microphone

using an electronic micromanipulator (TR10/MP-245, Sutter Instrument, Novato, California,

USA), to a position 1 cm from the back of the cavity. Stimuli delivered were individually

scaled to match the wavelength of a real-size ear (e.g., for a 1:10 scale printed model, the

frequency delivered to simulate 120 kHz would be $120/10 = 12$ kHz) to account for variation

in printed model scaling. 3D printed models were scaled 1:11.43 (male 1:11.33; female

1:11.53) with the corresponding average scaled stimuli of 2.01 kHz for 23 kHz, 3.50 kHz for

40 kHz, 5.25 kHz for 60 kHz, and 9.63 kHz for 110 kHz. Four cycle pure tones were

produced using a function generator (SDG1000; Siglent Technologies Co. Ltd), and the

amplitude set to deliver 1 Pa to the microphone at each frequency. Received signals were

amplified using a B&K 1708 conditioning amplifier (Brüel & Kjær, Nærum, Denmark), and

acquired using a PSV-500 internal data acquisition board (Polytec GmbH, Waldbronn, Germany) at a sampling frequency of 512 kHz. The microphone remained stationary during the experiments, nor was its direct path to the speaker obstructed. Instead, the microphone entered the ear via the drilled hole, allowing the pinnae to surround the tip of the microphone. Thus, the reported sound pressure gains result solely from the cavities of the 3D model, and not the motion of the microphone. When the microphone was positioned inside the cavities, the gap between the drilled hole and microphone probe was sealed with blu-tac (Bostik Ltd, Stafford, UK) to mimic the real cavity and avoid acoustic leaking (refer to Supplementary Materials Section 2).

To calculate the frequency that produced the best gain, the MF1 loudspeaker was replaced with a RAAL 140-15D Flatfoil loudspeaker (RAAL, Serbia), with a different amplifier (A-400, Pioneer, Kawasaki, Japan). This speaker was able to deliver a broadband stimulus of periodic chirps, generated within Polytec 9.4 software (Polytec GmbH, Waldbronn, Germany), with a simulated frequency range of 2-150 kHz. Recording in the frequency domain, at a sampling frequency of 512 kHz, the amplitude of the broadband stimulus was mathematically corrected within the software to deliver 60 dB at all frequencies. The reference frequency spectrum with no ear present could be subtracted from the frequency spectrum reported within the cavities to calculate frequency-specific gain and thus cavity resonance. Gain was calculated by subtracting the probe microphone sound pressure (dB) measured 1 cm outside of the cavity from inside the tympanal cavity measurements (Fig. 4; see also Supplementary Materials Section 2).

For comparative purposes, the ears of the following sympatric and pinnae bearing katydid species from the Island of Gorgona were also 3D printed and subjected to experiments according to the aforementioned protocol: *Supersonus aequoreus*, *Eubliastes aethiops*, and Pleminiini sp. (see Supplementary Fig. S4).

Frequency domain recordings of the cavity resonance, and time domain recordings of pure

tone gains were then exported as .txt files for analysis. Methods for printing 3D ear models

are provided in the Supplementary Materials Section 1.

Mathematical models and numerical simulations

The mathematical models have been constructed as a scattering acoustic – structure

interaction problem and simulate the acoustic response of the tympanal cavities to an incident

plane acoustic wave in an air domain. Hence, the 3D model considers the interaction of the

sound wave with the ear, for which realistic material properties have been incorporated. The

air acoustic domain is truncated as a sphere with a 3 mm radius that is centered around the ear

(Supplementary Fig. S10). Two different geometries of the ears were taken as part of the

mathematical model domain: pinnae intact and pinnae removed (Supplementary Fig. S11).

The models were considered both in the frequency and the time domains, and were solved

using the acoustic-shell interaction module of the software Comsol Multiphysics, v5.6[84]. For

the frequency domain models, the incident wave was taken to be a chirp with an amplitude of

1 Pa and frequency 2-150 kHz, directed at "point zero" as defined in the in the section

vibrational measurements. For the time domain models, three different incident waves were

used, with amplitudes 1 Pa and frequencies 23, 40, 60 kHz. The direction of the waves was

taken as -10°, -5°, 0°, 5° and 10° on a fixed plane perpendicular to the ear, with 0°

corresponding to "point zero". The details of the solved system of equations can be found in

Supplementary Materials Section 1.

The numerical solution to the problem was obtained using the finite element method for the

spatial variables in both the time- and frequency-domain simulations. For forming the finite-

element mesh, the maximum diameter used for the tetrahedral elements in the sphere was

$h_{max} = \frac{c}{6 \times f_0}$, where $c = 343 \, \text{m/s}$ and $f_0 = 150 \, \text{kHz}$ (Supplementary Fig. S10 and S11).

Hence, even at the largest frequency considered, there were six tetrahedral elements per

wavelength. Quadratic Lagrange elements were applied for the solution.

For the time domain solution, the time variable was solved for using the Generalized alpha

method, with a constant time step of $\Delta t = \frac{1}{60 \times 150} \, \text{s}$, so that the Courant-Friedrichs-Lewy

(CFL) condition[85], defined as $CFL = \frac{c \times h_{max}}{\Delta t}$ was 0.1, which gives a reliable approximation

of the solution.

Behavioral audiograms

Behavioral audiograms were performed on nine tethered female ($n = 9$) *C. gorgonensis* to test

behavioral response thresholds to controlled auditory stimuli (20 – 120 kHz). Methods are

provided in Supplementary Materials Section 1.

Statistical analyses

Using empirical data we tested the effect of cuticular pinnae on tympanal responses (in

displacement amplitude (natural log transformed) and arrival time) to incident sound, we

fitted linear mixed models (LMM) with angle (-10° to 10°, polynomial continuous variable)

as a covariate and presence of pinnae (y/n), frequency (23, 40 and 60 kHz, categorical

variable), tympanum (ATM or PTM) as fixed factors. We include the interactions between

angle and pinnae and between pinnae and frequency. To model the curvature in the response

surface of the pinnal enclosed tympanum, angle was fitted as a quadratic polynomial with 0°

(at point zero). The interaction of angle and pinnae was fitted as such to show the restriction

of pinnal structures in both time and displacement to the response surface. We carried out

post hoc tests between pinnae (y/n) at each frequency using estimated marginal means from

the package emmean[86].

To determine differences in both the anatomy and estimated resonance of the anterior and

posterior pinnae, we carried out two-sample t-test and Wilcoxon rank sum (or Mann-Whitney

U test) depending on data distribution. These anatomical differences included the area of the

entrance to the cavity, cavity volume, and protrusion.

Using the same initial LMM model, we tested how sound pressure estimated from numerical

models was related to angle (-10° to 10°, polynomial continuous variable), presence of pinnae

(y/n), frequency (23, 40 and 60 kHz, categorical variable), tympanum (ATM or PTM) as

fixed factors. Again, we include the interactions between angle and pinnae and between

pinnae and frequency. We finally tested sound pressure based on 3D models. the presence of

pinnae (y/n), frequency (23, 40 and 60 kHz, categorical variable), tympanum (ATM or PTM)

as fixed factors, with the inclusion of the interaction between pinnae and frequency. For both

numerical and 3D models, we carried out post hoc tests between pinnae (y/n) at each

frequency using estimated marginal means from the package emmean.

All LMMs were run using the package lmerTest[87] in R 4.0.0[88]. Statistical tests and graphs

were performed on R 4.0.0[88].

Data availability

Experimental data (LDV recordings), numerical simulations, Comsol model files, and µ-CT

stereolithography files (in .stl format) are available in Dryad (DOI:).

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Author contributions

All authors were involved in writing and revision of the manuscript. CP and FMZ performed

acoustic experiments on live specimens. CP and CW designed and performed all 3D print

model experiments. CP constructed the speaker mount, automated the controls in the arena,

collected and analysed data. CS designed the statistical model and completed statistical

analyses. EC developed and performed all numerical models and simulations. CW illustrated

figures, conducted µ-CT scans, performed all post-image segmentation for numerical

analyses, and conducted 3D printing. IG and FMZ recorded insect and bat acoustic signals.

FMZ and CP conceived the idea for the project. FMZ led the lab, assisted with idea

development, and provided equipment training.

Competing interests

No competing interests declared

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Figure legends

Figure 1. A. Location of the ear in the foreleg, external auditory input of *Copiphora*

gorgonensis. **B.** 3D anatomy of the ear, with pinnae present; **C**. 3D anatomy of the following

pinnae ablation, with the volume of the subslit cavities exhibited (light blue); **D**. 3D model of

only the subslit cavities.

Figure 2. A. Time plots from five incidence angles for the 60 kHz test sound illustrating

changes in oscillation phase between the anterior (ATM) and posterior (PTM) tympana of the

same ear. An anatomical cross section of the ear is shown with each tympanum (ATM and

PTM), auditory vesicle (AV), posterior and anterior bifurcated tracheal branches (PT and

AT), haemolymph channel (HC) and posterior and anterior pinnal structures (PP and AP). **B**.

Mean displacement amplitudes (nm) of the tympanic membranes for each tested frequency

(23, 40 and 60 kHz) with and without the presence of cuticular pinnae (n = 9 ears; df = 1711).

C. Mean arrival times (ms) of presented stimuli of 23, 40 and 60 kHz with and without the

pinnae from time domain recordings of live experimental specimens (n = 9 ears; df = 1711).

D. Cavity induced pressure gains (with pinnae) compared to sound pressure (dB) predictions

with the pinnae ablated from mathematical numerical models (17 ears; 10 females, 7 males).

E. Simulated arrival times (ms) of selected frequencies (23, 40 and 60 kHz) using Comsol

Multiphysics, v.5.6 (17 ears; 10 females, 7 males). For means comparison plots (**B** – **E**),

significance symbols: '***' 0.001 '**' 0.01 '*' 0.05 'ns' 0.1 ' ' 1. Red bars with cuticular

pinnae and blue bars without cuticular pinnae.

Figure 3. Panels **A**, **C**, and **E** depict cavity induced sound pressure distribution and gains

with pinnae, panels **B**, **D**, and **F** represent sound pressure gains without the pinnae. **A** and **B**.

Cross – section of the ear of *Copiphora gorgonensis* with the pinnal structures intact (**A**) and

ablated (**B**). Sound pressure intensities depicted with colours for simulations of 23, 40, 60

and 110 kHz. Low sound pressure dB (blue) to high sound pressure dB (red) distributions

inside and outside the cavities. **C** and **D** are plots of simulated sound pressure gains (dB SPL)

in the frequency ranges of 20 – 150 kHz for each tympanum. **E** and **F** are plots of relative dB

gain of the tympanal cavities in the 3D printed ears. ATM in red bars and PTM in blue bars.

Figure 4. A. Sound pressure gains (dB SPL) of 3D printed ears calculated from scaled time

domain recordings for 23, 40, 60 and 110 kHz. Photos of 3D printed ear models with pinnae

present (**B**) and ablated (**C**) and probe microphone placement.

Figure 5. Ecological relevance of pinnae in *Copiphora gorgonensis*. Sound pressure level

gains (left) induced by the pinnae are present only at frequencies above c.a. 60 kHz, covering

the range of echolocation frequencies of five native insectivorous gleaning bat species. The

conspecific call of *C. gorgonensis* on the other hand (dB$_{peak}$ at 23 kHz), is not enhanced by

the presence of the pinnae (dB loss). Dotted line indicates the frequency at which gain = 0

dB. Spectrogram parameters: FFT size 512, Hamming window, 50% overlap; frequency

resolution: 512 Hz, temporal resolution: 0.078 ms.

Figures

Figure 1

Figure 2

Figure 3

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980 **Figure 5**