- 1 Multiplex spatial systems analysis of local nanodose drug responses predicts effective
- 2 treatment combinations of immunotherapies and targeted agents in mammary carcinoma
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- 4 Zuzana Tatarova,<sup>1,2</sup> Dylan C. Blumberg,<sup>1</sup> James E. Korkola,<sup>1,2</sup> Laura M. Heiser,<sup>1,2</sup> John L.
- 5 Muschler,<sup>1,2</sup> Pepper J. Schedin,<sup>2,3</sup> Sebastian W. Ahn,<sup>4</sup> Gordon B. Mills,<sup>5</sup> Lisa M. Coussens,<sup>2,3</sup>
- 6 Oliver Jonas,<sup>4,\*</sup> Joe W. Gray<sup>1,2,6,\*</sup>
- 7 <sup>1</sup>Department of Biomedical Engineering, OHSU Center for Spatial Systems Biomedicine
- 8 <sup>2</sup>Knight Cancer Institute
- 9 <sup>3</sup>Department of Cell and Developmental Biology
- 10 Oregon Health & Science University, Portland, OR 97239, USA
- <sup>4</sup>Department of Radiology, Brigham & Women's Hospital
- 12 Harvard Medical School, Boston, MA 02115, USA
- 13 <sup>5</sup>Division of Oncologic Sciences
- 14 Oregon Health & Science University, Portland, OR 97239, USA
- 15 <sup>6</sup>Lead Author
- 16 \*Correspondence: <u>ojonas@bwh.harvard.edu</u> (O.J.), <u>grayjo@ohsu.edu</u> (J.W.G.)
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# 18 SUMMARY

- 19 Better methods are needed to identify effective combinations of immunotherapies with
- 20 chemotherapies and targeted anti-cancer agents. Here we present a Multiplex Implantable
- 21 Microdevice Assay (MIMA) system for rapid in vivo assessment of the effects of multiple,
- 22 spatially separate anticancer drugs directly in the complex tumor microenvironment. In
- 23 prototypic experiments, olaparib, lenvatinib, palbociclib, venetoclax, panobinostat, doxorubicin,
- 24 and paclitaxel and combinations thereof were administered simultaneously to murine mammary
- 25 tumor models. Quantitative multiplex immunohistochemistry and spatial systems analyses of
- 26 each local drug response defined cellular relations of fibroblasts, endothelial cells, immune
- 27 lineages, immunogenic cell death, tumor proliferation and/or cancer stem cells that were used to
- 28 predict effective drug combinations. A predicted combination of panobinostat, venetoclax and
- 29 anti-CD40 showed long-term anti-tumor efficacy in multiple mouse models with no observable

- 30 toxicity when administered systemically. Future MIMA use promises to design effective drug
- 31 combinations for tumor cell control and immune activation on a personalized basis.
- 32

#### 33 KEYWORDS

personalized combination treatments, tumor microenvironment, implantable microdevice, spatial
 systems analyses

36

# 37 INTRODUCTION

38 Modern cancer therapies increasingly seek to effect tumor control by simultaneously attacking 39 tumor intrinsic vulnerabilities, enhancing anti-tumor immune activity and/or mitigating stromal 40 mediators of resistance. Targeted drugs typically are designed to attack genetic or transcriptional 41 vulnerabilities on which tumor cells depend for survival but non-malignant cells do not. 42 Genomic screening approaches have supported such tumor-intrinsic aspects of precision 43 medicine, leading to matching of genomic aberrations with specific targeted agents (Li et al., 44 2021). In breast cancer, treatments targeting tumors that depend on estrogen receptor (ER) 45 signaling, aberrant signaling resulting from human epidermal growth factor receptor 2 (HER2) 46 amplification and/or over expression, CDK4/6 signaling and defects in DNA repair in triple 47 negative breast cancer (TNBC) have been particularly effective (Bettaieb et al., 2017; Hanker et 48 al., 2020; Harbeck et al., 2021; Lord and Ashworth, 2017). Unfortunately, these treatments are 49 not uniformly effective even in primary tumors carrying the target and are usually only 50 transiently effective in metastatic disease (Brady et al., 2017; Janiszewska et al., 2021; Jeselsohn 51 et al., 2017). This may be due in part to drug modulation of aspects of the tumor 52 microenvironment (TME) including immune function that negatively influence cancer control. 53 This suggests that treatment efficacy can be increased by combing these drugs with agents that 54 increase immunogenicity and/or counter microenvironment-mediated resistance, a hypothesis 55 that we address in this paper. 56 The concept of enhancing cancer treatment efficacy by combining chemotherapies and targeted

57 drugs with agents that enhance immune-mediated cancer cell killing is increasingly well

- 58 established. The clearest example is the use of immunotherapies, including immune checkpoint
- 59 blocking (ICB) antibodies as complements to tumor targeted therapies in various liquid and solid

60 malignancies (Dummer et al., 2020; Robert, 2020). However, many cancers do not benefit from 61 ICB including in breast cancer where efficacy has been limited to a subset of TNBC patients 62 (Adams et al., 2019; Force et al., 2019). This lack of efficacy is attributed in part to two 63 mechanisms: i) Low antigenicity through decreased expression of major histocompatibility complex class I (MHC-I)-observed mainly in luminal ER+ BC (Brady et al., 2017; Lee et al., 64 65 2016) and HER2+ BCs (Inoue et al., 2012; Janiszewska et al., 2021); and ii) a naturally 66 immunosuppressive TME associated mainly with TNBC and HER2+ BC (Denardo et al., 2011; 67 Gil Del Alcazar et al., 2017; Guerrouahen et al., 2020). Both of these mechanisms may limit the 68 CD8+T cell-mediated anti-tumor response, which then cannot be leveraged to improve efficacy 69 of ICB therapies (Palucka and Coussens, 2016). Combinations of conventional chemotherapies 70 and/or targeted anticancer drugs that increase immunogenic cell kill promise significant 71 improvements in overall outcome (Galluzzi et al., 2018). However, further understanding of 72 drug-immune system interactions is required to design effective and safe immune modulating

73 combinatorial regimens.

74 A variety of experimental approaches have been deployed to elucidate the effects of drug

75 combinations on the tumor and stromal components and to identify biomarkers that inform on 76 the efficacy of treatment combination decisions (Letai, 2017). Biomarkers typically are identified 77 by establishing associations between tumor features and outcomes in population-based clinical 78 studies (Hellmann et al., 2018; Hugo et al., 2016) such as those supported by The Cancer 79 Genome Atlas (Hutter and Zenklusen, 2018) and Human Tumor Atlas Network (Rozenblatt-80 Rosen et al., 2020) programs. However, these association-based approaches need to be tested for 81 causality in systems that faithfully recreate the interactions of the various components of the 82 TME. Common model systems include tumors that arise in immune competent mice and short-83 or long-term ex vivo cultures comprised of tumor and stromal components using miniscule 84 scaffolds and active fluidics to closely model specific aspects of the TME (Deng et al., 2017; 85 Jenkins et al., 2017; Tatárová et al., 2016). However, these studies in mice typically are slow, 86 expensive and labor-intensive, and comprehensive modeling of tumor-microenvironment

87 interactions in ex vivo systems remains a major challenge (Yuki et al., 2020).

88 We report now on a high-throughput in vivo approach to rapidly, safely and efficiently assess the

89 effects of multiple drug combinations on TME composition and architecture in living mouse

90 models that is also applicable to humans (Dominas et al., 2021). Our study focuses on mouse

91 mammary cancers and our approach integrates two recently introduced high-throughput and 92 high-content techniques: a minimally invasive implantable microdevice (IMD) (Jonas et al., 93 2016, 2015; Watson et al., 2018) for intratumor delivery of nanoliter doses (nanodoses) of 94 multiple drugs or drug combinations into spatially separate regions, and multiplexed 95 immunohistochemistry (mIHC) (Lin et al., 2015; Tsujikawa et al., 2017) to assess the in-situ 96 responses of the tumor-microenvironment milieu near each drug delivery site. Computational 97 analyses of serial mIHC staining and imaging of 30+ proteins allow comprehensive 98 categorization of standard immune and non-immune stromal cell types and states as well as 99 complementary characterization of tumor proliferation, apoptosis, differentiation and/or 100 immunogenicity. Assessment of the composition and spatial distribution of the functionally 101 different cell types in each drug delivery area facilitates identification of drug-mediated 102 mechanisms of response and resistance that rapidly reveal new therapeutic intervention 103 strategies. We refer to the overall approach as the Multiplex Implantable Microdevice Assay 104 (MIMA).

105 We used the MIMA to evaluate the effects of FDA approved drugs olaparib, palbociclib,

106 doxorubicin, venetoclax, panobinostat, lenvatinib and paclitaxel and combinations thereof on the

107 tumor and TME, in the immunocompetent MMTV-PyMT (mouse mammary tumor virus-

108 polyoma middle tumor-antigen) mouse model. This commonly used genetically engineered

109 mouse model for breast cancer research mirrors many aspects of human breast cancer

110 progression and heterogeneity (Attalla et al., 2021; Guy et al., 1992; Lin et al., 2003). Out of

111 eight treatments tested, five showed unique, significantly enriched histopathological signature

112 that we used to predict synergistic TME modulating combination treatments that subsequently

113 were validated in traditional systemic dosing studies. Among these, the combination of

114 panobinostat, venetoclax and agonist anti-CD40 monoclonal antibodies (mAB) provided the

115 strongest response for long-term disease control in multiple models of mammary carcinoma and

116 was well-tolerated.

117

# 118 **RESULTS**

119 MIMA components and design to identify effective TME modulating combination

120 treatments

121 The IMD used for drug delivery in the MIMA system was a 5.5 mm long, 0.75 mm diameter

122 biocompatible resin cylinder capable of delivering multiple drugs or drug combinations at up to

123 18 spatially separate regions inside a living tissue per device (Figure 1A). IMDs were loaded

124 with drugs in pegylated semi-solid form within the wells of the cylinder so that drugs were

125 released upon implantation via passive diffusion (Jonas et al., 2015). Local concentrations of

126 drugs in the IMD were tuned to produce drug levels at each site in the tissue to match those

127 achieved during systemic treatment in clinical practice (Figure S1A and Table S1) (Jonas et al.,

128 2016). Importantly, the miniscule nanodoses of drugs delivered via IMDs do not generate the

129 toxicities typically associated with systemic treatments (Jonas et al., 2015).

130 After treatment for 1 to 8 days, tumors were harvested with the IMD in place, prepared as

131 formalin-fixed, paraffin-embedded (FFPE) samples and serial tissue sections were cut orthogonal

to the axis of the IMD (Figure 1A). Sections through each drug delivery well in the IMD were

133 analyzed implementing mIHC (Figure S1B) according to published procedures (Chang et al.,

134 2017; Tsujikawa et al., 2017) to assess drug effects using a range of markers with specificity

being cross-validated using cyclic immunofluorescence (cycIF) (Lin et al., 2015) (Figure S1C-

136 F). mIHC generated multiprotein images of each tissue section through a process of serial

137 immunostaining, imaging and stripping of each FFPE section (Figure 1B, Figure S1B) using

138 antibodies to proteins that define cell types and/or functions *in situ* (Figure 1C, D and S2A). In

139 our studies, 30+ proteins were interrogated for each section. Each mIHC image was analyzed by

140 segmenting individual cells and calculating cell positivity for each segmented cell (Figure 1C,

141 S2B-D). The mIHC antibody panel (Figure 1D, Table S2 and S3) was specifically designed to

142 capture a broad range of TME states and to identify actionable phenotypes with preferential

143 detection of early and local responses (Table S4).

144 We implemented a binary gating strategy (Figure 1E, S2E) using measurements of 13 proteins

145 (Epcam, CD45, CD31, αSMA, CD3, CD4, CD8, CD11b, F4/80, CSF1R, CD11c, Ly6G, MHC-

146 II; Figure 1D, S2A; baseline discovery panel) to define 17 major tumor cell types or states with

147 focus mostly on immune and non-immune stromal cells. We refer to these cells as "standard cell

148 types" hereafter. They included, for example, T cells (Epcam-CD45+CD3+), antigen presenting

149 macrophages (Epcam-CD45+F4/80+CD11c-MHC-II+), immature myeloid cells (Epcam-

150 CD45+F4/80-CD11c-Ly6G-CD11b+MHC-II-) and endothelial cells (Epcam-CD45-αSMA-

151 CD31+). For the full list see Figure 1F. We measured the abundances and spatial organizations

- 152 of these standard cell types for all test conditions to narrow down the target stromal phenotypes
- 153 in a controlled and unbiased manner. Then, we interrogated additional proteins to refine the
- 154 standard cell types and/or to report on other aspects of tumor and stromal cell biology including
- 155 on basic drug sensitivity (CC3, Ki67), immunogenic cell death and/or processes typically
- 156 associated with resistance or breast cancer progression such as cancer stem cells (Epcam+CD45-
- 157 PyMT+Ki67-Sox9+), invasion (Keratin-14, K14); or immune suppression (arginase-1, arg-1)
- 158 (Figure 1D; extended readout).
- 159

# 160 Quantification of single cell events at local delivery sites reveal unique drug specific

# 161 histopathological signatures of TME response

162 We used the MIMA system to perform a small-scale screening study in which we quantitatively 163 assessed the responses to five FDA approved targeted therapies and two chemotherapeutic agents 164 with distinct modes of action. The targeted drug were the poly (adenosine diphosphate [ADP]) 165 ribose polymerase (PARP) inhibitor, olaparib (Lord and Ashworth, 2017); the multi-kinase 166 vascular endothelial growth factor receptor (VEGFR)-1/2/3 inhibitor, lenvatinib (Kato et al., 167 2019); the cyclin dependent kinase (CDK)-4/6 inhibitor, palbociclib (Harbeck et al., 2021); the 168 B-cell lymphoma (BCL)-2 inhibitor, venetoclax (Montero and Letai, 2018); and the pan-histone-169 deacetylase (HDAC) inhibitor, panobinostat (Atadja, 2009). The chemotherapeutic drugs were 170 the DNA-intercalating agent, doxorubicin and the microtubule poison, paclitaxel, which are often 171 used in first line therapy for breast cancer (Cardoso et al., 2018; Kumar et al., 2018). We 172 assessed responses in late stage MMTV-PyMT mice with spontaneously growing tumors that 173 mirror the morphology and aspects of progression of human breast cancers (Guy et al., 1992). 174 These tumors initially express ER strongly but expression decreases as they progress to late-175 stage carcinoma (Lin et al., 2003). Gene expression profiling reveal that tumors cluster with the 176 luminal B subtype at the stage used herein (Herschkowitz et al., 2007; Lin et al., 2003). We 177 chose a spontaneous rather than transplanted tumor model to better account for all stages of 178 immune-biology associated with de novo tumor progression (Hanahan and Coussens, 2012), 179 including editing (Dunn et al., 2004).

180 IMDs loaded with individual agents were implanted in tumors for three days since our previous 181 work indicated that TME responses were apparent by this time (Watson et al., 2018). Our 182 analyses of harvested tumors focused on the cell and molecular compositions and organizations 183 that were significantly enriched in regions close to the drug deliver sites compared to remote 184 intratumoral controls (Figure 1G). Quantification of the 17 standard cell types following seven 185 candidate drug exposures revealed unique drug-specific histopathological signatures of TME 186 response that are summarized in Figure 1H with Figures 1I-L showing computed images of the 187 most prominent response cell types after treatment. Lenvatinib and paclitaxel produced no 188 detectable local TME changes; olaparib was associated with a modest increase in macrophage, 189 neutrophil and fibroblast density, whereas doxorubicin induced a significant increase in 190 vasculature (Figure 1H). Palbociclib, venetoclax, and panobinostat produced significant 191 ecosystem changes in both the immune and non-immune stromal states and thus we studied and 192 described these targeted anti-cancer agents in more detail in the following sections. Predicted 193 effective combinations derived from these studies (Table S4) were then validated in whole 194 animals implementing commonly used murine mammary cancer cell lines (E0771 and/or EMT6

195 models (Herschkowitz et al., 2007)) allografted into syngeneic immunocompetent hosts.

196

# 197 Palbociclib induces enrichment of CSF1R+ macrophages associated with pericyte

#### 198 branching and de novo tumor proliferation

199 Intratumoral treatment with the CDK4/6 inhibitor, palbociclib, induced a significant 200 accumulation of several stromal cell types into the assay area including leukocytes, endothelial 201 cells, pericytes and mesenchymal cells (Figure 1H, J, Figure 2A, Figure S3A, B). Among 202 leukocytes, colony-stimulating factor 1 receptor (CSF1R)-positive macrophages were the most 203 enriched subset (Figure 1H, 2A) but only 9% were positive for class II major histocompatibility 204 complex (MHC-II) (Figure S3C) indicating these macrophages are not professional antigen 205 presenting cells (APCs) and may be protumorigenic (Kowal et al., 2019; Reis E Sousa, 2006). 206 CSF1R+ cells, in general, were uniquely and significantly enriched by palbociclib (Figure 2A, B 207 and S3A, D), however, the marker was not solely expressed on macrophages as defined by the 208 standard cell type classification (Figure 1F). Extended mIHC analyses revealed that CSF1R also 209 was expressed on epithelial cells, fibroblasts and endothelial cells (Figure 2C). The majority 210 (46%) of CSF1R+ cells were positive for the F4/80 macrophage and CD11b pan-myeloid

211 markers, however, the CD45 leukocyte marker was under the detection limit of IHC (Epcam-212 CD45-CD31-aSMA-F4/80+CD11b+CSF1R+; Figure 2C, S3B, D) indicating they might be less 213 differentiated protumorigenic macrophages (Deszo et al., 2001; Norazmi et al., 1989; Sophie 214 Mokas et al., 2009). Spatial analyses showed that while the CD45+ macrophages were localized 215 to regions immediately proximal to the drug delivery well, CD45- less-differentiated 216 macrophages were localized both proximally and more distally and in some regions were 217 associated with the contractile pericytes (Epcam-CD45- $\alpha$ SMA-CD31+) (Bergers and Song, 218 2005) (Figure 2B-D). This spatial distribution is apparent in a profile plot (Figures 2D, S3B) 219 which shows the relative abundance of cells at increasing distances from the drug delivery well. 220 We also assessed the propensity of specific cell types to cluster together by mapping the 221 locations where 10 or more cells of a defined phenotype occurred together in regions 30, 50 or 222 75 µm in diameter (Figure 2E and S3E). The selection of cluster sizes was based on the highest 223 variance between palbociclib treated and PEG control regions excluding strategies that showed 224 treatment unspecific clusters in untreated regions (e.g. clusters of as few as 5 cells or distances of 225  $\geq$ 100 µm; Figure S3F). These analyses showed that the CSF1R+ macrophages and CD31+ 226 endothelial cell/pericyte structures were organized together in response to palbociclib drug 227 stimulus and did not appear in PEG control tissues. The patterns for the CD31+ cell aggregates 228 were branch-like with pericytes integrated within endothelial structures suggestive of 229 neovascularization and blood pressure/flow control (Bergers et al., 2003) (Figure 2E, S3E). The 230 profile plot and distance-based cluster analyses also showed clusters of Ki67-positive neoplastic 231 cells (Epcam-CD45+PyMT+Ki67+) distal to the drug delivery site and proximal to the 232 macrophage-pericyte networks (Figure 2D, E and S3B, D and E) indicating that the macrophage-233 pericyte structures may be linked to the increased tumor cell proliferation in local microculture 234 as summarized schematically in Figure 2F. The high density of CSF1R on multiple cell types and 235 the associated increase in Ki67+ tumor cells suggested to us that compounds targeting the 236 CSF1/CSF1R axis might enhance palbociclib efficacy by countering these CSF1R-mediated 237 processes (Table S4).

238 We tested this concept in a systemic study of the EMT6 breast cancer model, by treating mice

bearing orthotopically implanted tumors into the mammary fat pads of immunocompetent

240 syngeneic mice with intraperitoneal injections of palbociclib, an anti-CSF1R antibody and a

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241 combination of the two. Whereas the individual drugs did not impact the rate of tumor growth,

the combination treatment significantly reduced tumor growth rate (Figure 2G). Thus, the

243 efficacy of palbociclib/anti-CSF1R revealed by analyses of responses to intratumoral treatments

- 244 was affirmed in whole animal experiments.
- 245

# Venetoclax recruits phenotypically distinct clusters of dendritic cells, immature myeloid cells and endothelial cells

248 Intratumor treatment with the BCL-2 inhibitor venetoclax, did not produce significant cell death

as indicated by IHC staining for cleaved caspase 3 (CC3) (see Figure 4C). However, it resulted

250 in significant recruitment of leukocytes including dendritic cells (DCs), immature myeloid cells;

and endothelial cells to the region proximal to the drug release well (Figures 1H, K and 3A and

252 S4). Unlike palbociclib, the endothelial cells were not associated with  $\alpha$ SMA (Figure 3B)

suggesting they form small blood vessels (Bergers et al., 2003) that are not supported by

254 pericytes. The recruitment of dendritic cells is noteworthy since they play important roles in

regulating the balance between immune tolerance and activity (Domogalla et al., 2017).

256 Interestingly, CD11c+ cells (primarily a dendritic cell marker) aggregated into clusters in regions

257 near venetoclax delivery, but not in random intratumoral regions far from the drug releasing

reservoir (Figure 3C). The clusters were phenotypically distinct as defined by their morphology

and positivity for Epcam, CD45, MHC-II and CD11b (Figure 3D, E). The dendritic cell clusters

260 located nearest to the drug delivery well were intermixed with neoplastic cells, exhibited brighter

and smaller nuclei, (Figure 3D; 1a and 1b) and greater than 60% of this population

262 Epcam+CD45- (Figure 3E, S4C) suggesting these cells might be phagocytic DCs (Goodridge et

al., 2011). Dendritic cells further from the reservoir were aligned and intermixed with endothelial

cells (Figure 3D; 3), possibly resulting from migration from/to the TME and they were the only

265 population expressing MHC-II (Figure 3B and E) (Kedl et al., 2017). Thus, only a small subset

266 of the DCs recruited to the venetoclax well were antigen presenting cells. DCs distal from the

- 267 reservoir were mostly present in tumor cleared areas (Figure 1K and 3D; top right). These cells
- were CD11b and CD45 positive (Figure 3E) and all three stains CD45, CD11b and CD11c
- showed a "bulls-eye" pattern around an unstained cytoplasmic area centered on the nucleus

270 (Figure 3D; 4). The surface CD45 expression and the "bulls-eye morphology" suggest these cells
271 were unstimulated DCs (Goodridge et al., 2011).

272 It is thus far unknown whether the spatially separated and phenotypically distinct DC clusters are 273 functionally related, or whether they were induced by venetoclax as separate entities (Figure 3F). 274 However, the literature suggests that MHC-II negative DCs have limited antigen presenting 275 potential and limited capacity to prime T cells (Reis E Sousa, 2006). Additionally, unstimulated 276 DCs as well as immature myeloid cells, which also were significantly enriched near the 277 venetoclax well (Figure 1H, K, 3A, and S4A, C), have been reported to induce immunological 278 tolerance (Domogalla et al., 2017; Griffiths et al., 2016; Sotomayor et al., 1999) raising the 279 possible therapeutic utility of agents that could mitigate the tolerogenic potential of these cells 280 (Table S4). Agonist monoclonal anti-CD40 antibodies act on DCs and immature myeloid cells 281 by increasing their antigen presenting capacity, maturation and activation potential (called 282 licensing) (Sotomayor et al., 1999). Licensed DCs have the capacity to shift the balance from 283 tolerance to anti-tumor immunity (Griffiths et al., 2016; Hoves et al., 2018; Kowal et al., 2019). 284 We reasoned that anti-CD40 immunotherapy could be used to enhance the anti-tumor immune 285 capacity of DCs and immature myeloid cells that were recruited by venetoclax treatment (Figure

286 **3**F).

287 We tested this concept in E0771 breast cancer cells grown orthotopically in the mammary fat 288 pads of immunocompetent C57BL/6 mice by treating systemically with intraperitoneal injections 289 of venetoclax, an anti-CD40 agonist antibody and a combination of the two. Neither agent was 290 effective as a single drug but the combination of the two significantly reduced the tumor growth 291 rate and increased overall survival with 60% of mice surviving for >180 days (Figure 3G). For 292 comparison, the combination of venetoclax with a programmed death ligand-1 (PD-1) inhibitory 293 antibody did not significantly affect tumor growth rate or survival (Figure 3G). Again, a 294 therapeutic strategy suggested by the MIMA proved to be effective in whole animal experiments.

295

# 296 Panobinostat induces immunogenic cell death associated with recruitment of antigen 297 presenting neutrophils and macrophages

Intratumor delivery of the pan-HDAC inhibitor, panobinostat, led to significant recruitment of
 several immune cell populations including dendritic cells, antigen presenting macrophages and

300 (antigen presenting) neutrophils (Figure 1H, L, 4A and S5A, B). The cells located *immediately* at

301 the drug releasing well were MHC-II positive antigen presenting macrophages (Figure 1L, 4B,

302 5A and S5B-C). The presence of such macrophages inside tumor nests has been associated with

303 better clinical responses, improved survival and improved efficacy of PD-1/programmed death

304 ligand-1 receptor (PD-L1) therapies (Guerriero et al., 2017; Kawai et al., 2008). Depletion of

305 these macrophages using a CSF1R inhibitor significantly decreased panobinostat-induced cell

306 death (Figure 4C) implying this immune subset has a functional, anti-tumor role. This result is in

307 line with previous studies showing that concurrent depletion of macrophages abrogates the anti-

308 tumor efficacy of HDAC inhibitors (Guerriero et al., 2017).

309 Neutrophils were the most abundant immune population recruited to the panobinostat well

310 (Figure 1H, 4A) and these were organized in a band slightly farther from the well than

311 macrophages (Figure 1L, 4B, E and S5B, C). Neutrophils are considered to be rapid responders

312 in the first line of defense against pathogens and classically are not categorized as professional

antigen presenting cells as compared to DCs, B-cells, monocytes and macrophages which have

superior ability to prime naïve T cells in some settings (Lin and Loré, 2017; Reis E Sousa, 2006).

315 However, 13% of neutrophils were MHC-II-positive (Figure 4F) suggesting they have undergone

316 strong phenotypic maturation (Garg et al., 2017). MHC-II-positive neutrophils have recently

317 been linked to immunogenic cell death (ICD) during which they phagocytose dying tumor cells

318 and mediate respiratory-burst-dependent cytotoxicity against residual cells (Garg et al., 2017).

319 Interestingly, panobinostat was the only one of the seven drugs tested that induced substantial

320 cell kill near the drug delivery site as indicated by significantly increased CC3 expression

321 (Figure 4C, D). Based on our observation of a significant enrichment of MHC-II+ antigen

322 presenting neutrophils at the panobinostat well, we hypothesized that panobinostat-mediated cell

323 death would be immunogenic and the efficacy of this targeted therapy would be enhanced by

324 PD-1 blockade.

We confirmed that panobinostat-induced tumor killing was immunogenic by performing a whole animal vaccination study (Ma et al., 2013; Md Sakib Hossain et al., 2018). Specifically, aliquots

327 of E0771 and EMT6 tumor cells treated with panobinostat *in vitro* or killed by freeze thawing

328 (negative control for non-immunogenic cell death) were injected subcutaneously into syngeneic

immunocompetent mice, and then mice were then re-challenged with live tumor cells of the

330 same type after seven days. We observed significantly increased tumor-free survival in mice

immunized with panobinostat-treated tumor cells as compared to mice inoculated with untreated

freeze-thawed dead cells (P value <.0001 and 0.0027 for E0771 and EMT6, respectively; Figure

4G). Consistent with this, systemic treatment of mice with panobinostat significantly increased

the proportion of intratumoral CD8+ T cells as compared to stromal parenchyma (Figure 4H and

335 Figure S5D).

Next, we tested the utility of combining panobinostat with an anti-PD-1 antibody by systemically treating mice carrying syngeneic mammary tumors with these drugs administered singly and in combination. Panobinostat alone significantly decreased the rate of tumor growth in early-stage tumors (Figure S5E) but later stage tumors did not respond to the single agent (Figure 4I, S5F). However, combining panobinostat with anti-PD-1 immunotherapy, as suggested by our MIMA studies, significantly decreased tumor growth rate and increased survival in both EMT6 and E0771 models (Figure 4I, 6H) thus indicating effective induction of antitumor immunity.

343

# Biomarkers of response and mechanisms of resistance help to identify early intratumor signatures of panobinostat-induced anti-tumor immunity in mammary carcinoma

346 Because our local and systemic studies indicated panobinostat to be increasing immunogenicity 347 of mammary carcinoma (Figure 4, S5), we further evaluated potential mechanisms of response 348 and resistance associated with this targeted therapy. We extended the mIHC readout to enable 349 assessment of functional biomarkers related to induced anti-tumor immunity by adding 350 antibodies to probe expression of calreticulin which facilitates folding of the MHC-I complex in 351 the endoplasmic reticulum (Raghavan et al., 2013) and dictates immunogenicity of cell death 352 (Obeid et al., 2007), intercellular adhesion molecule 1 (ICAM1) and the  $\beta$ -galactoside-binding 353 lectin, galectin-3; which play roles in recruitment of neutrophils to tissues (Gittens et al., 2018; 354 Yang et al., 2005) and licensing of myeloid cells (Radsak et al., 2000; Vonderheide, 2018), 355 myeloperoxidase (MPO) to identify cytotoxic neutrophils (Patnaik et al., 2017), and MHC-I and 356 neuropilin-1 to report on proficient antigen presentation capacity (Chawla et al., 2016; Kerros et 357 al., 2017; Luo et al., 2018). These early in situ markers as well as PD-L1 have been directly or 358 indirectly associated with immunogenic cell death, increased tumor CD8+ T cell infiltrate and/or 359 immune checkpoint blockade efficacy (Aguilera et al., 2016; Guerriero et al., 2017; Hu and 360 McArthur, 2018; Luo et al., 2018; Obeid et al., 2007; Patnaik et al., 2017). We measured the

361 expression of these proteins at the panobinostat reservoir and refer to them in ensemble as

362 "biomarkers of immunogenic cell death" (Figure 1D, in yellow). We also added antibodies to

363 probe resistance mechanisms associated with breast cancer progression; specifically, the cancer

364 stem cell (CSC) marker, Sox9 (Guo et al., 2012); the immune suppression marker arginase-1

365 (Geiger et al., 2016; Rodriguez et al., 2017); Keratin-14 as a marker of the tumor invasive front

366 (Cheung et al., 2016, 2013); and matrix metalloproteinases (MMPs) and collagens as markers of

367 extracellular matrix (ECM) processing and deposition (Sahai et al., 2020) (Figure 1D, in blue).

368 We assessed the locations of the 17 standard cell types and the expression of the various

369 biomarkers of response and resistance thereon and found that they were organized in distinct

370 layers (zones) at increasing distances from the panobinostat delivery well. These zones were

371 designated as *immediate*, *proximal*, *border*, *distal* and *remote* as illustrated in Figure 5B.

372 Antigen presenting macrophages were located in the *immediate* region at the panobinostat well

373 such as described above (Figure 5A, B). The *proximal* region was populated predominantly by

374 neutrophils. These and other cells expressed MPO, ICAM1 and neuropilin-1 (Figure 5A-C,

375 Figure S5C, SA, B). More than half (65%) of Ly6G+ neutrophils were positive for MPO (Figure

376 S6C) suggesting they have cytotoxic capacity. Co-treatment with panobinostat and an anti-Ly6G

antibody decreased panobinostat mediated cell death implying that these neutrophils have anti-

tumor function as a result of the drug's mechanism of action (Figure S6D). These results indicate

379 that recruitment/induction of cytotoxic neutrophils is an important mechanism by which

380 panobinostat causes cell death. We expected ICAM-1 to be expressed in the perivascular space

381 (Patnaik et al., 2017). However, it was mostly expressed on neutrophils (44%), tumor cells

382 (37%) and DCs (10%, Figure 5E). The expression of ICAM-1 by myeloid cells including

383 neutrophils suggests that they might be activated and capable of priming T cells to induce anti-

tumor immunity (Banchereau and Steinman, 1998; Radsak et al., 2000). Neuropilin-1 is a

385 molecule with pleiotropic function and is mostly pro-tumorigenic in other cancers (Graziani and

Lacal, 2015; Matkar et al., 2016; Overacre-Delgoffe et al., 2017); however, in breast cancer, it

387 was recently reported to improve class I antigen presentation machinery and cross-presentation

388 (Chawla et al., 2016; Kerros et al., 2017). The majority (up to 88%) of neuropilin-1 positive cells

389 proximal to the panobinostat well were cytotoxic neutrophils (Figure 5A, C and S5C, S6E, F).

390 The high phagocytic and tumor-killing potential, high expression of ICAM-1 and mutually

391 exclusive expression of the immune suppressive molecule arginase-1 on the panobinostat

- induced neutrophil population (Figure S5C, S6A) indicate these are likely anti-tumor (reported
- also as N1) rather than protumor (N2) neutrophils (Fridlender et al., 2009; Shaul et al., 2016).
- 394 These results raise the possibility that neutrophils induce increased MHC-I expression on
- 395 neoplastic cells and suggest that neuropilin-1 may be a novel biomarker of anti-tumor
- 396 neutrophils in BC hypotheses that remain to be functionally tested.
- 397 The distal region was populated predominantly by tumor cells expressing high levels of galectin-
- 398 3, MHC-I, calreticulin and PD-L1 (Figure 5A-E; S5C and S6); the latter being expressed
- 399 >500um from the well at the outer border of a galectin-3 rich region (Figure 5D, S6A). Relative
- 400 increase of MHC-I and calreticulin expression on the surface of cells was present in a gradient
- 401 pattern that was highest in proximal cell death/neutrophil regions and decreased with distance
- 402 from the panobinostat well (Figure 5A and S6A). We observed a similar spatial cell organization
- 403 in a different genetically engineered BC mouse model  $ErbB2\Delta Ex16$  (Turpin et al., 2016)
- 404 (Figure S7A, B) highlighting the generality of this phenomenon.
- 405 Two apparent cellular barrier transition zones co-evolved with the biomarkers of immunogenic
- 406 cell death: (i) first at the outer *border* of the proximal neutrophil rich region composed of cancer
- 407 stem cells associated with DCs limiting propagation of cell death; (ii) second at the outer border
- 408 of galectin-3, MHC-I and calreticulin-rich region *remotely* from the well (Figure 5A-C).
- 409 The *border* region was populated by CSCs defined as Epcam+CD45-PyMT+ cells with nuclear
- 410 expression of Sox9 (Guo et al., 2012) which were intermixed with CC3+ dying cells and cell
- 411 debris (Figure 1L, S5B, C). CSCs have been reported to have self-renewal and tumor-initiating
- 412 capacity and often exhibit resistance to therapy (Jeselsohn et al., 2017; Xue et al., 2019).
- 413 Importantly, cellular expression of CC3 and Sox9 staining was mutually exclusive (Figure 5F
- 414 and Figure S5C) providing direct *in vivo* evidence that the CSCs were resistant to the most potent
- 415 tumor killing therapy in our screen. Inversely, galectin-3 and Sox9 were co-expressed in many
- 416 areas in the border region (Figure 5F) and we found that 22% of galectin-3+ cells were CSCs
- 417 (Figure 5E). This indicates galectin-3 might be another biomarker enriching CSCs in breast
- 418 cancer. Finally, macroscopic profile plots of relative cell abundance (Figure 5A), distance-based
- 419 cluster analyses (Figure 5D) and pairwise proximity measurements in Sox9 microcultures
- 420 (Figure 5G, H and S6G, H) showed that, among immune cells, CD11c+ dendritic cells were

421 preferentially located in close proximity to the CSCs suggesting functional interactions between422 the two cell types.

423 Spindle-shaped  $\alpha$ SMA+ cells – likely activated fibroblasts – consistently appeared in the *remote* 424 region (Figure 1L, 5C, S6F). We speculate that these cells may act as a barrier to physically 425 restrict cellular and molecular movements since they form a sharp boundary that appears to limit 426 the galectin-3 signal propagation (Figure 5C, top right). This  $\alpha$ SMA barrier became increasingly 427 prominent at day 8 and more phenotypes emerged at that time that have been associated with 428 mechanisms of resistance; including K14+ cells comprising a tumor invasive front, immune 429 suppressive expression via arginase-1, strong deposition of Collagen VI, Ki67+Lv6G+ (likely 430 neutrophil extracellular trap (NET) formation (Albrengues et al., 2018)), and accumulation of 431 Sox9 cancer stem cells in close proximity to both dendritic cells and fibroblasts (Figure S7C). 432 The transition of galectin-3 from diffuse spreading across the distal zone (Figure 5C, D, S5C and 433 S6A, F, G), into a sharp barrier (Figure S7C) suggests it may be a resistance marker and critical 434 component spatially connecting fibroblasts to the CSCs/DCs niche.

435 Pro-tumorigenic macrophages with low expression of CSF1R (Figure S7C) and sparse single 436 cytotoxic B cells expressing CD45R, granzyme B, galectin-3 and calreticulin (Figure S7D) appeared at the outer edge of the resistant zone remotely from the well at day 8 of panobinostat 437 438 exposure. Expression and spatial association of galectin-3 with functionally distinct – both 439 response and resistance – phenotypes (calreticulin, antigen presentation, cytotoxicity, PD-L1, 440 stem cells, immune suppression) suggest a broad and possibly time-dependent function of this 441 protein indicating that targeting galectin-3 during immunogenic cell death should be carefully 442 considered (Figure S6D). While quantitative evaluation of the spatial cell composition of 443 immunogenic cell death across multiple time points is outside the scope of this study, the 444 appearance of spatially segregated phenotypes after three and eight days shows that spatial 445 assessment of cellular responses with increasing distance from the reservoir provides insight into 446 the sequence of emerging cellular events that follow treatment (Figure S7A) and ultimately may 447 be reverse engineered to devise effective treatment schedules (Figure 5I, Figure S8). The cause-448 consequence spatial cell associations describing the early in situ events of induced anti-tumor 449 immunity in BC are summarized in Figure 5I.

450

# 451 Combination of panobinostat, venetoclax and anti-CD40 immunotherapy maximizes tumor

# 452 killing and immune surveillance in mammary carcinoma

453 We used information from additional MIMA analyses to identify drugs that would enhance 454 panobinostat mediated tumor killing and immune-modulation. In one analysis, we assessed 455 effects by combining panobinostat with other drugs in individual MIMA reservoirs. We 456 decreased the baseline concentration of panobinostat to approximately 25% of the original 457 concentration in order to facilitate identification of highly synergistic combinations. We then 458 measured the relative increase of cell death (CC3) and leukocyte density (CD45) compared to 459 control and panobinostat treatments after 3 days. Combinations of panobinostat with venetoclax, 460 doxorubicin and palbociclib were highly effective at increasing cell death and leukocyte density. 461 Combining panobinostat with venetoclax significantly potentiated immune modulation, while its 462 combination with doxorubicin significantly increased tumor kill (Figure 6A). In a second 463 analysis, we delivered single drugs in adjacent wells and assessed the effects in regions of drug 464 overlap between the wells (Figure 6B) over the course of eight days. These studies were carried 465 out in orthotopic MMTV-PyMT derived mammary tumors. Orthotopic models exhibit a lower 466 level of non-immune stroma at baseline (Dunn et al., 2004; Yang et al., 2017) and the eight day 467 exposure was chosen to provide sufficient time for the drugs to diffuse to create a zone of drug 468 overlap. We focused on the combinations of panobinostat with venetoclax or palbociclib in 469 subsequent experiments as panobinostat/doxorubicin combination treatment efficacy had been 470 evaluated previously (Budman et al., 2012).

471 Remarkably, the combination of panobinostat and venetoclax resulted in complete clearance of

tumor cells at the intersection of the two drugs (Figure 6B, Figure S9A, B). The observed TME

473 responses included significant enrichment of macrophages, dendritic cells, immature myeloid

474 cells and CD4+ T cells (Figure 1H, 6C, D and Figure S9C). A cluster of MHC-II positive DCs

475 co-expressing Ki67+ CD4 and CD8 appeared near stroma and assay region (Figure 6E and S9A)

476 suggesting the drug combination induced intra-tumoral T cell infiltration and stimulation (Broz

477 et al., 2014). However, this event was rare, and the vast majority of the panobinostat/venetoclax

- 478 assay area was dominated by myeloid cells (Figure 1H, 6C and S9C) implying that a myeloid
- 479 targeting agent rather than ICB could optimally exploit the panobinostat/venetoclax induced
- 480 tumor microenvironmental state.

481 Since CSF1R positive protumorigenic macrophages were not significantly enriched at the 482 intersection of wells (Figure S9A-C) and the anti-CD40 agonist antibody can positively modulate 483 DCs, immature myeloid cells (Hegde et al., 2020; Sotomayor et al., 1999) as well as resting and 484 proinflammatory macrophages (Verreck et al., 2006), we tested the possibility that anti-CD40 485 immunotherapy would further increase the efficacy of the panobinostat/venetoclax combination 486 by licensing the accumulated myeloid cell subsets as described above. We tested this by 487 systemically treating mice bearing EMT6 and E0771 orthotopic tumors and compared the 488 responses to those obtained using a panobinostat/venetoclax/anti-PD-1 combination. Treatment 489 with panobinostat/venetoclax/anti-PD-1 significantly reduced the tumor burden as compared to 490 dual panobinostat/venetoclax and panobinostat/anti-PD-1 (Figure 6G, H) treatments with 491 survival rates of 40% in mice bearing EMT6 tumors (Figure 6H). The triple combination of 492 panobinostat/venetoclax/anti-CD40, however, was superior and eliminated visible tumors in 493 100% of EMT6 tumors and 85% of E0771 tumors, respectively (Figure 6G, H). The antitumor 494 effect of panobinostat/venetoclax/anti-CD40 against spontaneous tumors arising in the MMTV-495 PyMT model, inhibited tumor progression and doubled the overall survival (Figure 6I).

496 We note that none of the combination treatments in whole animal studies were associated with 497 adverse events, likely because we used lower concentrations of drugs than published previously 498 (Table S5). We measured underconditioned body score (level 2; as established by (Morton and 499 Griffiths, 1985)) associated with palbociclib monotherapy treatment; and two out of eight mice 500 died in the anti-CD40 monotherapy group. Lethal toxicity of anti-CD40 used as a single agent 501 was previously reported due to a shock-like syndrome (Van Mierlo et al., 2002) and our data also 502 suggest this immunotherapy is tolerable only with prior administration of anti-cancer agent(s). 503 Overall, these results suggest the triple combination of panobinostat, venetoclax and anti-CD40 504 immunotherapy as a highly synergistic therapeutic strategy for long term breast cancer control.

505

#### 506 **DISCUSSION**

507 Much research is now underway to develop synergistic multi-drug cancer treatment strategies

508 that directly target neoplastic cells, enhance anti-tumor immune activation, normalize tumor

509 vasculature and/or favorably alter aspects of the tumor microenvironment to improve tumor

510 control. Successful strategies increasingly use combinations of drugs, each of which impacts one

511 or more components of the TME. We developed the MIMA system to efficiently develop 512 effective combination regimens by assessing tumor cell control and drug-induced changes in 513 immune and stromal composition, architecture and function that correlate with overall antitumor 514 efficacy. MIMA attributes include an IMD for delivery of nanoliter doses of multiple drugs, each 515 delivered into a spatially separate region of a single living tumor growing in an immunologically 516 intact host; a 30+ multiplex IHC analysis platform that provides a comprehensive description of 517 tumor and stromal responses to each localized drug treatment and the functional status of 518 selected immune and other tumor and stromal cells. The approach provides a highly precise, 519 multiplexed platform to systematically identify candidate biomarkers of response and quantify

520 cell interactions and to inform on treatment sequencing (Figure S8).

521 While majority of our studies were performed using a three day long microdevice implantation, 522 the in-dwelling time of the IMD can be varied from a few hours to many days in order to capture 523 a range of tissue responses and TME reorganization. Importantly, the approach is minimally 524 toxic even when testing multiple therapies in a single tumor. The local drug doses match 525 concentrations that are achieved during systemic treatments but are systemically insignificant 526 such that drug toxicity is negligible. The focal drug delivery begins at the time of implantation, 527 and follows a characteristic diffusion gradient controlled by the PEG polymer formulation in a 528 more-or-less radial direction away from each drug delivery well (Jonas et al., 2015). The focal 529 delivery can then be treated as a spatial and temporal perturbation. Analyses of the responses 530 produced by devices left in place across a range of time points provide data about drug induced 531 changes in cellular densities, molecular phenotypes, cell motility and functional interactions. In 532 addition, analyses of the regions between drug delivery wells where drugs are allowed to overlap 533 via diffusion serves as a measurement of the effect of the combination of those drugs. Since 534 distances from the drug delivery wells reflect recruitment events, computational modeling of 535 these patterns can provide actionable information to guide the development of effective drug 536 doses and schedules.

Although not pursued explicitly in this study, the timing of combination immunotherapies in whole animals was estimated based on immune component responses at increasing distances from the drug delivery wells (Figure S8). Many of these effects are difficult or impossible to study in animal models treated systemically, due to heterogeneous and indeterminate drug

541 distribution that can vary greatly over different regions of a tumor. Importantly, we validate the 542 significance of the locally observed response phenotypes from MIMA studies by reverse-543 engineering combination treatments involving targeted and immuno-therapies (Table S4) that 544 demonstrate synergistic anti-tumor efficacy in systemic studies. This is particularly important 545 since it enables systems level studies of the effects of multiple drugs in a single organism, and 546 leads to accurate predictions of responses to systemic treatments in animal models with intact 547 immune systems. Furthermore, recent work by Jonas et al has demonstrated that IMD 548 applications are safe and feasible in patients across multiple cancer indications including breast 549 cancer, prostate cancer, T cell lymphoma and glioblastoma (Dominas et al., 2021). It may 550 become feasible then to use the MIMA assay in patients to measure a range of combination 551 regimens in each patient to guide rational treatment design on a personalized basis.

552 Although intended as proof-of-concept that local nanodose drug phenotypes can effectively 553 guide systemic treatment strategies, we have already identified specific therapeutic strategies that 554 warrant clinical consideration. One finding is that the CDK4/6 inhibitor, palbociclib, recruits a 555 significant number of CSF1R+, MHC II- protumorigenic macrophages that appear to induce 556 formation of CD31+ endothelial/pericyte networks that contribute to neovascularization and 557 provide nutrients to support tumor cell proliferation. These results provide direct evidence of 558 how specific changes in tumor microenvironmental states induced by monotherapy can mediate 559 acquired resistance. We hypothesized that this protumorigenic resistance phenomenon may be 560 overcome by combining palbociclib with anti-CSF1R antibody. Our test of this concept in the 561 EMT6 model demonstrated that systemic treatment with this drug combination significantly 562 reduced tumor growth.

563 Our studies of venetoclax demonstrated that this BCL-2 inhibitor induced formation of 564 phenotypically distinct clusters of CD11c+ dendritic cells associated with immature myeloid cell 565 and endothelial cell enrichment. Many of the dendritic cells were Epcam+, CD45- suggesting 566 that they were phagocytic, while others shared morphological features of unstimulated myeloid 567 cells. However, only a small fraction of these cells were MHC-II positive and thus were likely 568 limited in their ability to respond to available tumor antigens. This finding led us to add an anti-569 CD40 immunotherapy to increase antigen presentation, maturation and activation (aka licensing) 570 in a population that was already poised to have antitumor activity. Our test of this hypothesis in

the E0771 model showed that systemic treatment with a combination of venetoclax and an anti CD40 agonist indeed reduced tumor growth rate and increased overall survival as predicted

573 (Figure 3G).

574 Our demonstration that the pan HDAC inhibitor, panobinostat, increased immunogenicity (by 575 induction of immunogenic cell death and antigenicity; (Yatim et al., 2017)) of mammary 576 carcinomas when administered locally or systemically in four different animal models of breast 577 cancer corroborates previous studies showing the importance of HDAC inhibitors as pleiotropic 578 effectors of many immune surveillance processes (Conte et al., 2018). This immune component 579 is important in breast cancer since many patients do not benefit from treatments including 580 immune checkpoint blockade (Force et al., 2019). However, the exact mechanisms by which 581 HDAC inhibitors influence immune surveillance are still being elucidated (Conte et al., 2018) 582 and likely vary between inhibitors. Treatment of EMT6 and E0771 model tumors with 583 panobinostat plus anti-PD-1 increased survival duration and reduced tumor growth rate relative 584 to treatment controls or to treatment with panobinostat alone (Figure 4H, 6H). However, these 585 treatments did not achieve long term tumor control and in vaccination studies – not all mice in 586 either EMT6 and E0771 model rejected the tumor post re-challenge (Figure 4G). These studies 587 suggest that resistance mechanisms exist that might counter the full potential panobinostat 588 mediated antitumor immunity and thus we explored this treatment condition in more detail. 589 Our studies indicate that panobinostat enhances anti-tumor immunity by recruitment of antigen 590 presenting macrophages, cytotoxic and antigen presenting antitumor neutrophils and ICAM-1 591 positive myeloid cells leading to upregulation of MHC-I and calreticulin expression on tumor

592 cells. However, this is counterbalanced by the emergence of multiple potential resistance

593 mechanisms including preferential clustering of dendritic cells with cancer stem cells, the

594 formation of fibroblast/ECM barriers to treatment and antigen presentation, recruitment of

immune suppressive cells (arg-1), development of highly metastatic tumor cells expressing K14,

596 NETs and CSF1R+ cells. Recruitment of CSF1R+ cells at a late time point indicatings sequential

- 597 or alternating administration of HDAC inhibitors with CSF1/CSF1R targeting agents may be
- 598 efficacious while simultaneous dosing is not (Guerriero et al., 2017)) (Figure 4C and S8). Our
- 599 studies also identified neuropilin-1 and galectin-3 as candidate biomarkers that may inform on
- 600 panobinostat mechanism of action. Neuropilin-1 appears to mark anti-tumor (N1) neutrophils

that may potentiate antigen presentation in mammary carcinoma (Chawla et al., 2016; Kerros et al., 2017) while galectin-3 expression is associated with several anti-tumor endpoints including increased tumor MHC-I, calreticulin; cytotoxic granzyme B positive B cells as well as pro-tumor phenotypes (cancer stem cells, immune suppression). The validated biomarker of early induced antitumor immunity may allow early stratification of breast cancer patients to immune checkpoint blockade. This concept may be further investigated in window of opportunity treatment clinical trials.

608 Analyses of the tumor and its microenvironments in regions of panobinostat and venetoclax 609 intersection revealed almost complete tumor clearance. These two targeted anticancer agents 610 worked together to increase total intratumoral immune cell counts – panobinostat by inducing 611 neutrophils and macrophages and venetoclax by inducing recruitment of DCs and immature 612 myeloid cells. Importantly, all these myeloid cells can be positively modulated by anti-CD40 613 immunotherapy. DCs are generally thought to be the main antigen presenting cells which can 614 activate naïve T cells to become effectors (Mempel et al., 2013). However, CD40 ligation also 615 upregulates antigen presenting molecules and adhesion molecules such as ICAM-1 and increases 616 the type-1 proinflammatory state to support immunogenic processes in neutrophils, resting and 617 proinflammatory macrophages and immature myeloid cells (Oehler et al., 1998; Radsak et al., 618 2000; Sotomayor et al., 1999; Verreck et al., 2006) (Figure 6J). Additionally, in pancreatic 619 cancer, this immunotherapy modulates the TME to degrade fibrosis (Long et al., 2016). It 620 remains to be determined if such matrix remodeling of the formed fibrotic barriers could also 621 occur in breast cancer (Figure 6J). Also, the spatial association of DCs and CSCs might be of 622 particular importance in the panobinostat/venetoclax/anti-CD40 mechanism of action. We 623 hypothesize that panobinostat induces anti-tumor immunity to bulk tumor while cancer stem 624 cells remain resistant in the TME. Venetoclax induces recruitment of DCs which we have 625 revealed to localize specifically to the CSC niche. We propose that if CD40 ligation induces 626 licensing of DCs which already captured and processed CSC antigen, this might result in 627 activation of CSC-specific anti-tumor immunity and eventually to complete tumor clearance 628 (Figure 6J). Thus, we suggest that panobinostat induces antitumor immunity on the level of bulk 629 tumor, while venetoclax/anti-CD40 induces anti-tumor immunity on the level of resistant, tumor 630 initiating cancer stem cells. This model of response is so far hypothetical as antigen specific T 631 cell responses remain to be critically evaluated. Nevertheless, our observations suggest that the

632 combination of lower dose panobinostat/venetoclax/anti-CD40, and the anti-CD40

633 immunotherapy in general, should be considered clinically for treatment of breast cancer.

634 In sum, the MIMA platform described here provides a strategy to design effective combination

regimens based on intratumor nanodose exposure to a range of agents, coupled with highly

636 multiplexed phenotyping and integrated spatial analysis of tumor response to each therapy. By

637 testing multiple therapeutic strategies in the same tumor, we can for the first time perform

638 systems level analysis using multiple parallel pharmacological perturbations in the same

639 organism. The low drug toxicity of intratumor nanodosing further supports clinical use of

640 MIMA, which is currently being investigated in multiple human studies. Thus, MIMA represents

a new approach to identification of effective combination regimens for individual patients on a

642 personalized basis. Extended use of MIMA will also open new opportunities in *in silico* 

643 modeling to model dynamic drug-tumor-stromal interactions.

644

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654

# 655 AUTHOR CONTRIBUTIONS

- 656 Conceptualization, ZT, OJ, JWG; Methodology, ZT, OJ, JWG; Software, ZT, DCB;
- 657 Investigation, ZT, DCB; Data Analysis and Interpretation, ZT, OJ, JWG; Writing original and
- 658 final draft, ZT, OJ, JWG; Writing review & editing, JEK, LMH, JLM, PJS, SWA, GBM,
- 659 LMC; Resources, JLM, LMC, OJ, JWG; Funding, OJ, JWG; Supervision, OJ, JWG.

#### 660

### 661 **DECLARATION OF INTERESTS**

662 J.E.K. is a cofounder and stock holder of Convergent Genomics.

663 GBM has licensed technologies to Myriad Genetics and Nanostring; is on the SAB or is a

664 consultant to Amphista, AstraZeneca, Chrysallis Biotechnology, GSK, ImmunoMET, Ionis,

665 Lilly, PDX Pharmaceuticals, Signalchem Lifesciences, Symphogen, Tarveda, Turbine, and

666 Zentalis Pharmaceuticals; and has stock/options/financial interests in Catena Pharmaceuticals,

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668 LMC is a paid consultant for Cell Signaling Technologies, Shasqi Inc., and AbbVie Inc.;

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670 Labs, Eisai, Inc., ImClone, Aduro Biotech, Inc., Becton Dickinson, Plexxikon Inc.,

671 Pharmacyclics, Inc., Acerta Pharma, LLC, Deciphera Pharmaceuticals, LLC, Genentech, Inc.,

672 Roche Glycart AG, Syndax Pharmaceuticals Inc., Innate Pharma, and NanoString Technologies,

and Cell Signaling Technologies; and is a member of the Scientific Advisory Boards of Syndax

674 Pharmaceuticals, Carisma Therapeutics, Zymeworks, Inc, Verseau Therapeutics, Cytomix

675 Therapeutics, Inc., and Kineta Inc., Hibercell, Inc., Cell Signaling Technologies, Alkermes, Inc.

676 O.J. is a consultant to Kibur Medical. Dr. Jonas's interests were reviewed and are managed by

677 BWH and Mass General Brigham in accordance with their conflict of interest policies.

578 JWG has licensed technologies to Abbott Diagnostics, PDX Pharmaceuticals and Zorro Bio; has

679 ownership positions in Convergent Genomics, Health Technology Innovations, Zorro Bio and

680 PDX Pharmaceuticals; serves as a paid consultant to New Leaf Ventures; has received research

681 support from Thermo Fisher Scientific (formerly FEI), Zeiss, Miltenyi Biotech, Quantitative

682 Imaging, Health Technology Innovations and Micron Technologies; and owns stock in Abbott

683 Diagnostics, AbbVie, Alphabet, Amazon, AMD, Amgen, Apple, Berkshire, Cisco systems,

684 Clorox, Colgate Palmolive, Crown Castle Int., Humana, Keysight, Linde, Proctor and Gamble,

685 Qualcomm, Unilever, Gilead, Intel, Johnson & Johnson, Microsoft, Nvidia, Taiwan

686 Semiconductor, and Zimmer Biomet.

687 The other authors declare no competing interests.

### 689 FIGURE LEGENDS

- 690 Figure 1. MIMA components and testing of locally induced drug effects on TME
- (A) Schematic of IMDs implanted into a multifocal mouse model of mammary carcinoma (i).
- 692 Treatments are released from device reservoirs into spatially separated regions of tumors through
- 693 passive diffusion (ii). Following incubation, the tumor is extracted with the device in place and is
- 694 formalin fixed paraffin embedded (FFPE). Each condition is assayed individually (iii) using 30+
- 695 color staining (B, D).
- 696 (B) Schematic of the mIHC technique composed of iterative histological staining on a single
- 697 FFPE slide which is alternated with digital scanning microscopy to detect the target marker.
- 698 (C) Acquired images are co-registered with nuclear staining and the mean intensity of antibody
- staining within a mask is calculated for each cell to count marker positive cells in an intact tissue.
- 700 (D) Antibody list used to interrogate a broad range of tumor intrinsic and tumor-
- microenvironmental states as categorized and color-coded in the table. DST; drug sensitivitytesting.
- 703 (E) Multidimensionality reduction in hierarchical gating to classify standard cell types.
- (F) List of probe combinations from the baseline discovery panel (D, top half) identifying
- standard cell types.
- 706 (G) Macroscopic view of the hematoxylin-stained tumor tissue implanted with IMD.
- 707 Experimental condition (red box, assay area) was compared to control, untreated intra-tumor,
- regions distant from the drug-releasing site (blue boxes). To obtain greater control over
- 709 cofounding variables, paired sample one tailed t-tests were used to determine enrichment of
- 710 induced TME states.
- 711 (H) Heatmap of mean percentage of positive cells (left) and level of significance (right) at
- 712 depicted targeted agents and chemotherapies (y-axis). Polyethylene glycol (PEG) served as
- negative control. Total cell counts to define percentage of positivity were between 3000 to 5000
- cells per assay area and were matched  $\pm$  300 total cells for paired samples (experimental vs
- control region). Minimum population proportion within 5% margin of error and 95% confidence
- 716 level was set to 0.75% (represents 12 cells) to discriminate noise from specific signal. n=3 wells
- from 3 tumors from 2-3 mice per treatment. MMTV-PyMT mice with late stage spontaneously

growing tumors were implanted for three days. \*For panobinostat/venetoclax (pano/veneto)

719 condition details see Figure 6C.

720 (I-L) Presentation of selected standard cell types in XY space. [0,0] coordinate is the drug

releasing site; and direction of release is upward.

722

Figure 2. Local TME changes induced by targeted therapy palbociclib and whole animal studies
 testing the combination efficacy with predicted anti-CSF1R immunotherapy.

725 (A) Quantification of single cell events induced by palbociclib using individual markers and

standard cell type classification. Bars are mean  $\pm$  s.e.; n=3 palbociclib reservoirs in three tumors

from three different mice. Significance was calculated by paired sample one tailed t-test. Only

significantly enriched cells are presented. For quantification of all TME lineages, see Figure

729 **S**3A.

(B) Sample composite image of key response markers. Arrow indicates the source and direction

731 of palbociclib drug release. Dashed lines define the magnified area. Scale bar is 100μm (left);

732 and 25µm (right).

733 (C) Percentage of top five cell types expressing CSF1R stratified by zones in the palbociclib

assay area. Immediate pool zone analyzed is visualized by the dashed line in Figure S3D. The

number of cells (n) quantified is presented at the top of the figure.

(D) Line profile of relative cell abundance as a function of distance from well (left to right).

Assay zones are color-coded in the legend.

(E) Distance-based clustering of depicted cell types as a set of XY coordinates. Coordinate [0,0]

739 identifies the drug source. The direction of the drug release is upward. Clusters were identified

740 by a minimum 10 cells within maximum distances of 50μm, 75μm and 30μm for CSF1R+

741 protumorigenic macrophages, endothelial/pericyte network and proliferating tumor cells,

respectively. Each cluster is depicted with a randomized color; clusters were merged and share

one color if present within the maximum distance range. Individual (non-clustering) cells are

shown as smaller light gray points.

(F) Palbociclib model of response presented as line diagram and site of intervention using

immunotherapy depicted in red.

747 (G) Tumor burden measurement of mice bearing EMT6 tumors after systemic treatment using

drugs as color-coded in the graph. Shown is mean  $\pm$  s.e.; n=8 to 10 tumors per group.

749 Significance was calculated using an independent two-sample two-tailed t-test with equal

variance.

751

Figure 3. Local TME changes induced by Venetoclax and whole animal studies testing the

combination treatment efficacy with the predicted anti-CD40 immunotherapy.

(A) Quantification of single cell events using individual markers and standard cell types. Bars

are mean  $\pm$  s.e.; n=3 venetoclax reservoirs in two tumors from two different mice. Significance

vas calculated by paired sample one tailed t-test. Only significantly enriched cells are presented.

757 For quantification of all cells, see Figure S4A.

758 (B) Marker co-expression in XY coordinates in the palbociclib (left graph) and venetoclax (mid

and right graph) assay area. Each color-coded dot represents a marker positive cell. Coordinate

760 [0,0] identifies the drug source. The direction of the drug release is upward.

761 (C) Distance-based cluster analysis of CD11c positive cells as a set of XY coordinates in random

762 intratumoral (left) and venetoclax assay (right) regions. Clusters are displayed in randomized

r63 colors if at least 10 cells are present within maximum distance range 50µm; individual (non-

764 clustering) cells are shown as light gray points.

765 (D) Sample composite image of key response markers as color-coded on the left. The arrow

766 indicates the source and direction of the venetoclax drug release. DHashed boxes define the

767 magnified area on the right. Here individual markers are overlayed on DNA signal (in white).

- 768 Scale bar  $100\mu m$ ; and  $30\mu m$  for the magnified image.
- 769 (E) Percentages of Epcam and CD45 (top) and CD11b and MHC-II (bottom) positive cells

770 within morphologically different CD11c + cells presented as a stack bar graph. The number of

cells (n) in each analysis is presented above the bar. Two to three ROIs from two venetoclax

samples were analyzed and summed per each zone.

(F) A model of venetoclax response presented as an influence diagram with sites of intervention

using immunotherapy depicted in red. The relation of morphologically distinct and spatially

separate CD11c DC clusters remains unclear (gray dashed arrows).

(G) Survival rates (left) and tumor burden measurements (right) of mice bearing E0771 tumors

after systemic treatment using drugs as color-coded in the line graphs. Shown is mean  $\pm$  s.e.;

n=7-8 mice per group. Significance was calculated by log-rank (Mantel-Cox) and by an unpaired

two-tailed t-test with equal variance for survival and tumor burden rate, respectively. The

treatment dose and schedule are presented schematically. For single treatment effects of anti-PD-

781 1 and anti-CD40 see Figure 6H.

782

Figure 4. Local effects of panobinostat and whole animal studies testing induction of anti-tumorimmunity in mouse mammary carcinoma

(A) Quantification of single cell events using individual markers and standard cell types. Bars

are mean  $\pm$  s.e.; n=3 panobinostat reservoirs in two tumors from two different mice. Significance

787 was calculated by paired sample one tailed t-test. Only significantly enriched cells are presented

here; for quantification of all cell, see Figure S5A.

(B) Composite image of the most prominent markers appearing at the panobinostat reservoir as

color-coded on the left. An arrow indicates the source and direction of the drug release. A dashed

box defines the magnified area (right), which shows F4/80 staining in red and DNA signal and

792 DNA-derived mask (white). Ly6G, MHC-II, aSMA or F4/80 expression was not enriched in

random, drug-remote, intratumoral regions (far right). Scale bar, 100µm.

794 (C) Quantification of PEG normalized average mean CC3 intensity (px value) in the assay

region. The graph shows mean  $\pm$  s.e signal intensity; n=3 wells from 3 tumors from 2-3 mice per

treatment; significance was calculated using an independent two-sample t-test with equal

variance. CSF1R inhibitor (PLX3397 in mouse chow at average 40mg/kg dose) was

administered for seven days before the three-day-long IMD application.

(D) CC3 IHC image of a sectioned tissue surrounding the IMD at depicted targeted agents and

800 chemotherapies. Three replicates are presented for the most potent death-inducing drug,

801 panobinostat. A computationally processed CC3 signal for the 20% panobinostat image is shown

802 in the lower right as a binary image.

803 (E) Marker co-expression in XY coordinates. Each dot represents a marker positive cell as color

coded on the bottom. Coordinate [0,0] identifies the drug source. The direction of the drug

805 release is upwards.

806 (F) Percentage of MHC-II+ neutrophils. Shown is mean  $\pm$  s.e.; n=3 panobinostat reservoirs.

- 807 (G) Induction of anti-tumor immunity measured in a vaccination study using panobinostat treated
- 808 cells and negative control (cells killed by three freeze/thaw cycles). Line graphs show
- 809 percentages of mice free from palpable tumors. The P-value was calculated by log-rank (Mantel-
- 810 Cox) test. n=7 per each group for E0771 model; and n=4 (control) and n=5 (experimental) for
- 811 EMT6 model, respectively.
- 812 (H) Quantification of intratumoral CD8+ T cell infiltration into  $ErbB2\Delta Ex16$  spontaneously
- 813 growing tumors 7 days after systemic panobinostat treatment. The central mark indicates median
- 814 and the bottom and top edges of the box indicate 25th and 75th percentiles, respectively. Green
- 815 diamonds show the means. n=8 randomly selected ROIs using four tumors from two to three
- 816 mice per group. A two-color composite image of Epcam and CD8 staining (top right) and a
- 817 processed image showing intraepithelial CD8+ cells depicted in white (bottom right). Scale bar,
- 818 100µm.
- 819 (I) Survival rates (left; 100% to 0%) and tumor burden measurements (right) of E0771 tumor
- 820 bearing mice treated with depicted treatments as color-coded in the line graphs. Mean  $\pm$  s.e. per
- 821 timepoint are presented; n=8 to 12 mice per group. Significance was calculated by log-rank
- 822 (Mantel-Cox) and by unpaired two-tailed t-test with equal variance for survival and tumor
- 823 burden rate, respectively. The treatment dose and schedules are presented.
- 824
- 825 Figure 5. Spatial cell analyses of immunogenic cell death biomarkers and associated resistance 826 mechanisms
- 827 (A) Profile plot of the relative abundance of standard cell types and individual biomarkers with
- 828 distance from the well and overlay with the assay zones (colored vertical lines).
- 829 (B) A schematic presentation of cell phenotype separation into zones with distance from the 830
- panobinostat reservoir.
- 831 (C) 3D composite image showing biomarkers associated with immunogenic cell death and
- 832  $\alpha$ SMA barrier limiting the propagation of these biomarkers presented in green. The white arrow
- 833 indicates the source and direction of the panobinostat release. A profile plot of relative cell
- 834 abundance at the depicted area (dashed) is presented top right.

- 835 (D) Distance-based clustering of Sox9, CD45, CD11c and galectin-3 positive cells (top) and PD-
- 836 L1 and galectin-3 positive cells (bottom) in XY coordinates with overlay (black line) on Sox9
- and PD-L1 cluster border, respectively. Individual clusters were identified by a minimum 10
- 838 cells within a maximum 50µm distance for all but PD-L1 marker which clustered with a
- 839 maximum distance set to 150µm.
- 840 (E) Percentages of cells expressing biomarkers of ICD on standard cell types presented in form
- 841 of a stack bar graph. The number of cells quantified is presented above the bar.
- 842 (F) A composite image showing mutually exclusive staining of Sox9 and CC3; and co-
- 843 expression of Sox9 with galectin-3 (bottom left image). Scale bar; 100μm.
- 844 (G, H) Number of Sox9+ pairwise distances with other marker positive cells presented in form of
- 845 a histogram (G) and bar graph showing average proportion of Sox9 pairwise distances which
- 846 were less than  $50\mu$ m (H). n=4 ROIs of  $175\mu$ m diameter in the border assay zone. Significance
- 847 was determined by paired two tailed t test.
- 848 (I) Line diagram of a proposed panobinostat mechanism of action determined by MIMA and
- sites of intervention (depicted in red). Phenotypes that remain to be tested/validated are presented
- 850 in gray color. All experiments were performed using the MMTV-PyMT mice with late stage
- spontaneously growing tumors and a three-day device implant.
- 852
- Figure 6. Efficacy of the triple combination of panobinostat, venetoclax and anti-CD40
- immunotherapy in mammary carcinoma and rationale for the combination.
- (A) Effects of drug combinations on CC3 and CD45 expression as measured by IHC at three
- days of exposure. Graph shows mean  $\pm$  s.e normalized signal intensity. n=3-10 wells from at
- 857 least 3 tumors from 2-3 MMTV-PyMT mice with spontaneous tumors per treatment; significance
- 858 was calculated by independent two-sample t-test with equal variance.
- 859 (B) Macroscopic view of a hematoxylin-stained tumor tissue section showing the intersection
- 860 between two drugs. Drug release sites are shown by black arrows. The device was implanted for
- 861 eight days in MMTV-PyMT tumor induced by orthotopic implant into mammary fat pad of
- 862 syngeneic mice. Note the tumor cleared region lacking nucleated cells at the intersection of
- 863 panobinostat and venetoclax.

864 (C) Quantification of single cell events using individual markers and marker combinations for

- standard cell types. Bars are mean  $\pm$  s.e.; n=2 reservoirs at the intersection of panobinsotat and
- 866 venetoclax. Significance was calculated by paired sample one tailed t-test. Only significantly
- 867 enriched cells are presented. For quantification of all cells, see Figure S9C.
- 868 (D) A five-color 3D composite image showing key response markers induced at the intersection
- 869 of panobinostat and venetoclax. White arrows indicate the source and direction of the drug
- 870 release. CD11c dendritic cell marker is presented in high view. Scale bar; shown.
- 871 (E, F) Limited infiltration of CD4+, CD8+ T cells and CD45R+ B cells localized to MHC-II
- 872 antigen presenting CD11c+ dendritic cells lacking CD11b pan-myeloid marker expression (E)
- 873 and quantification of their Ki67 proliferative and Foxp3 regulatory potential (F).
- 874 (G, H, I) Survival rate (left and bottom; 100% to 0%) and tumor burden measurements (right and
- top) over time in E0771 (G), EMT6 (H) orthotopically induced tumor bearing mice and MMTV-
- 876 PyMT mice with spontaneously growing tumors (I). C, control; P, panobinostat, PV,
- 877 panobinostat-venetoclax combination. Treatment schedules and doses match those in Figure 3F
- and 4H except the doses for panobinostat and venetoclax were decreased to 11.5mg/kg and
- 879 18mg/kg, respectively, when drugs were combined. For survival rate, P-value was calculated by
- log-rank (Mantel-Cox). For tumor burden, line graphs are mean  $\pm$  s.e. per timepoint; n= 8-12
- 881 mice, and 6-12 tumors and 6-8 mice per group in (G), (H) and (I), respectively. Significance was
- 882 calculated by unpaired two-tailed t-test with equal variance.
- 883 (J) Hypothetical model of response for panobinostat/venetoclax/anti-CD40 triple combination
- treatment efficacy in breast cancers. Briefly, the tumor is composed of bulk tumor and cancer
- stem cells (i). Panobinostat induces immunogenic cell death of the bulk tumor while cancer stem
- cells remain resistant in the tumor microenvironment (ii). Venetoclax induces recruitment of
- dendritic cells in close proximity to cancer stem cells (iii). We hypothesize that if CD40 ligation
- induces licensing of dendritic cells which captured and processed antigen from neighboring
- 889 CSCs, the triple combination potentiates CSC-specific anti-tumor immunity leading to complete
- tumor rejection (iv).
- 891

# 892 STAR METHODS

# 893 CONTACT FOR REAGENT AND RESOURCE SHARING

894 Further information and requests for resources and reagents should be directed to and will be

fulfilled by the lead contact Joe W. Gray (grayjo@ohsu.edu).

896

#### 897 EXPERIMENTAL MODELS AND SUBJECT DETAILS

#### 898 Murine Models

899 Mice were purchased from The Jackson Laboratory. All animal studies were conducted in

900 accordance with protocols approved by Institutional Animal Care and Use Committee (IACUC)

at OHSU (protocol number: IP00000956). All mice were bred and housed under specific

902 pathogen free conditions under a standard 12h light / 12h dark cycle. C57LB/6, BALB/c, and

903 FVB/N mice were purchased from the Jackson Laboratory. MMTV-PyMT were from Dr. Lisa

904 Coussens and purchased from the Jackson Laboratory. Virgin female mice of 8-24 weeks of age

905 were used for all experiments.

906

#### 907 Cell lines

808 EMT6 (mouse breast cancer) cells were purchased from American Type Culture Collection and

909 were maintained in Waymouth's medium with 10% FBS, and 2mM L-glutamine. E0771 (mouse

910 breast cancer) cells were purchased from CH3 BioSystems® and were cultured in RPMI-1640

- 911 with 10% FBS and 10mM HEPES. Both cell lines were pathogen tested and were grown at 5%
- 912 CO<sub>2</sub> and 37C.

913

#### 914 **METHOD DETAILS**

#### 915 Experimental design

The objective of the studies in figures is to show how intact tumor microenvironment responds to local stimulus of drug release and to test whether this response was significantly different from the baseline tumor microenvironmental state in tumor region distant from the drug site. The number of independent biological replicates of each experiment (n) performed are given in the figure legends. Spatial systems analyses were designed to quantitatively define directional spatial

921 cell dependencies and cause consequence association with distance from the reservoir translating

922 to models of drug response. Within these models we aimed to identify therapeutic vulnerabilities

923 to predict rational immune or TME modulating treatment combinations and their optimal

924 schedule/sequencing.

#### 925

#### 926 Microdevice implantation studies and sample collection

927 Nanodose drug delivery devices were manufactured and implanted as described previously in 928 (Jonas et al., 2015). Briefly, cylindrical microdevices 5.5mm in length and 750µm in diameter 929 were manufactured from medical-grade Delrin acetyl resin blocks (DuPont) by micromachining 930 (CNC Micromachining Center) with 18 reservoirs 200µm (diameter) x 250µm (depth) on the 931 outer surface. Reservoirs were packed with drugs mixed with Polyethylene glycol (PEG, MW 932 1450, Polysciences) polymer at the concentrations indicated in Table S1. Recommended 933 systemic dose in cancer patients was derived from the https://rxlist.com web page to June 2017. 934 Systemic doses ranging between 0-1mg/kg, 1-2mg/kg, 2-4mg/kg, >4mg/kg translate to 20%, 935 25%, 30% and 40% of drug concentration in PEG, respectively, when released from the 936 nanowell. The calibration was determined previously using mass spectrometry measurements 937 (Jonas et al., 2015). Pure PEG was used in control conditions. Implanting multiple devices per 938 tumor and/or multifocal animal model can increase the throughput up to 50-70 times as 939 compared to conventional systemic treatment studies. When two drugs were loaded into one 940 reservoir, they were at approximately 1:1 ratio. The combination partner was loaded on the 941 bottom of the well; panobinostat was released first. Microdevices were implanted for three days 942 in MMTV-PyMT and  $ErbB2\Delta Ex16$  mice with late stage spontaneously growing tumors in all 943 experiments but those presented in Figure 6 and S9. Tumor size was between 1.2 - 1.5cm in the 944 longest dimension at the time of implant. Tumors were excised at three days after device 945 implantation unless otherwise stated, fixed for 48h in 10% formalin or 4% paraformaldehyde, 946 then perfused with paraffin. Specimen were sectioned using a standard microtome and 5µm 947 tissue sections were collected from each reservoir. Dry FFPE tissues were baked in a 65°C oven 948 for 30mins. Following deparaffinization with xylene and rehydration in serially graded alcohol to 949 distilled water, slides were subjected to endogenous peroxidase blocking in fresh 3% H<sub>2</sub>O<sub>2</sub> for 10 950 minutes at RT. Sections were then stained by multiplex immunohistochemistry and/or cyclic 951 immunofluorescence (see also Figure S1B, C).

952

#### 953 Cyclic Immunofluorescence

Before iterative cycles of (i) staining, (ii) whole slide scanning and (iii) fluorophore bleaching,

955 the slides were subjected to heat-mediated antigen retrieval immersed in citrate buffer (pH 5.5,

956 HK0809K, BioGenex Laboratories Citra Plus Antigen Retrieval), then in Tris/EDTA buffer (pH 957 9.0, S2368, Dako Target Retrieval Solution) using Cuisinart Electric Pressure Cooker (CPC-958 600N1) for total of 35 to 40 minutes. Protein blocking was performed for 30 minutes RT with 959 10% normal goat serum (S-1000, Vector Lab) and 1% bovine serum albumin (BP1600-100) in 960 1xPBS. (i) Slides were incubated with primary antibody (concentrations defined in Table S2) for 961 2 hours at RT while being protected from light in a dark humid chamber. All washing steps were 962 performed for 3 x 2-5 minutes in 1xPBS while agitating. Slides were mounted with SlowFade 963 Gold antifade mountant with DAPI (\$36938) using a Corning Cover Glass (2980-245). (ii) 964 Images were acquired using Zeiss Axio Scan.Z1 Digital Slide Scanner (Carl Zeiss Microscopy) 965 at 20x magnification after which the coverslips were gently removed in 1xPBS while agitating. 966 (iii) Fluorophores were chemically inactivated using a 3% H<sub>2</sub>O<sub>2</sub> and 20mM NaOH in 1xPBS for 967 30 minutes at RT while being continuously illuminated. The fluorophore inactivation was 968 repeated twice with a short, 10-minute, 1xPBS wash in between. Efficacy of bleaching was 969 imaged before antibody incubation (baseline autofluorescence) and every third to fourth cycle in 970 average. After protein blocking, samples were subjected to the next round of staining. Single cell 971 feature extraction was not applied to evaluate sections stained by cyclic immunofluorescence.

972

### 973 Multiplex Immunohistochemistry

974 Before iterative cycles of (i) staining, (ii) whole slide scanning and (iii) and heat and chemical 975 stripping of antibodies and chromogen, the slides were subjected to staining with F4/80 and 976 CSF1R antibodies (cycle zero, no antigen retrieval, Table S3) and hematoxylin staining (S3301, 977 Dako) for 1-5mins followed by whole slide scanning. Slides were then subjected to the first heat-978 mediated antigen retrieval in 1x pH 5.5-6 citrate buffer (Biogenex Laboratories, HK0809K) for 979 90 seconds in a low power microwave and 16 minutes in a steamer followed by protein blocking 980 with 10% normal goat serum (S-1000, Vector Lab) and 1% bovine serum albumin (BP1600-100) 981 in 1xPBS for 30 minutes RT. (i) Slides were incubated with primary antibodies (concentrations 982 defined in Table S3) for 1 hour at RT or 16-17 hours at 4 degrees Celsius while being protected 983 from light in a dark humid chamber. Signal was visualized with either anti-rabbit or anti-rat 984 Histofine Simple Stain MAX PO horseradish peroxidase (HRP) conjugated polymer (Nichirei 985 Biosciences) followed by peroxidase detection with 3-amino-9-ethylcarbazole (AEC). Two or 986 three drops of HRP polymer were used for up to nickel-size or whole slide tissue sample,

987 respectively. Timing of AEC development was determined by visual inspection of positive

- 988 control tissue (Figure S1 D-F) for each antibody. All washing steps were performed for 3 x 5-10
- 989 minutes in 1xPBS while agitating. Slides were mounted with a filtered 1xPBS with 0.075%
- 990 Tween20 (BP337100) using a Signature Series Cover Glass cover glass (Thermo Scientific,
- 12460S). (ii) Images were acquired using the Aperio ImageScope AT (Leica Biosystems) at 20x
- 992 magnification after which the coverslips were gently removed in 1xPBS while agitating. (iii)
- 993 Within one cycle, removal of AEC and HRP inactivation was accomplished by incubating the
- slides in 0.6% fresh H<sub>2</sub>O<sub>2</sub> in methanol for 15 minutes; AEC removal and stripping of antibodies
- 995 was accomplished by Ethanol gradient incubation and heat-mediated antigen retrieval such as
- described above between cycles (see also Figure S1B) (Banik et al., 2020; Tsujikawa et al.,
- 2017). After washing and protein blocking, samples were subjected to the next round of staining.998

# 999 Image processing and feature extraction of mIHC images

1000 The iteratively digitized images were co-registered using Matlab (The MathWorks, Inc., Natic, 1001 MA, version 2019b) utilizing the detectSURFFeatures algorithm from the Computer Vision 1002 Toolbox. The imperfectly registered images were additionally processed using the Linear Stack 1003 Alignment with SIFT plugin (Fiji) so that cell features overlap down to a single pixel level. 1004 Hematoxylin-stained images were color deconvoluted for single cell nuclear segmentation to 1005 generate a binary mask using watershed function and standard image processing steps (noise 1006 removal, erosion, dilation; Fiji) (Schneider et al., 2012). AEC chromogenic signal was extracted 1007 using the NIH plugin RGB to CMYK to separate AEC signal into the yellow channel for 1008 improved sensitivity of IHC evaluation (Banik et al., 2020; Pham et al., 2007). Gray scale images 1009 of all proteins and the binary mask were imported to CellProfiler (version 3.1.8, Broad Institute) 1010 (Carpenter et al., 2006) to quantify single cell signal mean intensity as defined by mask which 1011 was scaled to a range 0-1. IdentifyPrimaryObjects module was used to identify nuclei from 1012 mask; MeasureObjectIntensity module measured mean intensity for each object for each protein. 1013 The mean signal intensity per cell output was imported to FCS Express 6 and 7 Image Cytometry

- 1014 Software (DeNovo Software) to perform multidimensionality reduction to classify "cell
- 1015 standards". Gating strategies and hierarchical cell classification is presented in Figure 1E and
- 1016 Figures S2E and F. Polygonal gates moving around central vertex without changing the polygon
- 1017 shapes was used to obtain quantitatively reproducible multiplex data, batch to batch, independent

1018 of the condition measured. Positive control tissues were used to help to define single parameter 1019 threshold for positivity by manual gating. Total of 3000-5000 cells were analyzed for feature 1020 extraction in the assay area located above the drug releasing site with  $\pm$  300 total cells for paired, 1021 experimental vs control, region. Minimum population proportion within 5% margin of error and 95% confidence level was set to 0.75% (represents 12 cells) to discriminate noise from specific 1022 1023 cell enrichment induced by e.g. increased protein expression or cell recruitment into the assay region. Experimental condition of the assay area was compared to random control intratumoral 1024 1025 region located perpendicular and/or far from the drug-releasing reservoir. To obtain greater 1026 control over cofounding variables, paired sample one tailed t-tests were used to determine 1027 enrichment of induced TME states. Percentage of positivity and significance was presented in 1028 form of a heatmap or bar graphs. Quality of the single cell data was ensured by excluding 1029 deformed (folded), lost or unevenly stained tissue (border effects). The assay area was 1030 determined by the first 3000-5000 cells above the well excluding these deformed regions. Single 1031 cell data from FCS Express was extracted in data grid to Matlab for downstream spatial systems 1032 analyses. In computed images, neutrophils are presented independent of the Epcam± status. 1033

#### 1034 Spatial Systems Analyses

1035 Distance based cluster function finds clusters in a set of spatial points expressed in XY space 1036 (adapted and modified from Yann Marcon; Matlab October 2019). The clustering is based on 1037 Euclidean distance between the points (cells). The function does not require the number of 1038 clusters to be known beforehand. Each cell clusters with the closest neighboring cell if distance 1039 between the two cells is shorter than the defined threshold. Minimal number of cells per cluster 1040 are defined by user. The function outputs non-clustering cells in gray color while each cluster 1041 meeting the defined parameters (minimal number of cells within maximum distance range) are 1042 presented in randomized colors. Clusters within the maximum defined distance merge and share 1043 one color. Number of clusters and total coverage in the assay area was calculated using distinct 1044 cluster sizes (defined by minimal number of cells within maximum distance range, Figure S3F) 1045 for control PEG and palbociclib which identified that cells cluster in response to treatment if 1046 minimum 10 cells are present within maximum distance rage 30-75µm. Cluster parametrization 1047 using as few as 5 cells and distances as large as 100µm resulted in treatment non-specific cluster 1048 formation in PEG negative control. Treatment specific cluster formation with cluster definition

1049 of minimum 10 cells within 50µm distance was generalizable to all marker and standard cell

- 1050 types which was confirmed in panobinostat condition by comparing assay area and distal region
- 1051 side by side in one field of view (Figure S6G). This treatment specific cluster parametrization
- 1052 was applied in downstream analytics to identify hotspots/zones of interest (e.g. proximal, border,
- 1053 *distal*, network adjacent, CD11c+ DC clusters) in an objective, biology driven, manner.
- 1054 For the relative abundance profile plot, marker positive cells and the standard cell types were
- 1055 extracted to XY coordinate space, signal was blurred using Gaussian Blur filter and relative
- abundance of positive cells was displayed with distance from the well in a profile plot as outlines
- 1057 in Figures S3B and S6A. A moving average filter with 50µm; and 100µm window size
- 1058 (movmean function; Matlab) was additionally applied to smoothen the feature signal for
- 1059 palbociclib and panobinostat condition, respectively. Signal in the profile plots was not scaled.
- 1060 Inside the hotspot, spatial (geographical) interactions between marker positive cells were
- 1061 determined by proximity measurements in local microculture by using the pdist2 function in
- 1062 Matlab (MathWorks, Inc., Natic, MA, version 2019b) which returns the distance of each pair of
- 1063 observations (positive cells) in X and Y using metric specified by Euclidean distance. Random
- 1064 circular regions of  $175\mu$ m diameter (defined by Figure S6H) were selected in the border, cancer
- 1065 stem cell, zone of the panobinostat assay area and Euclidean distance was measured between
- 1066 Sox9+ and other marker positive cells. The number of distances was presented in form of a
- 1067 histogram. To quantify spatially interrelated phenomenon, proportions of distances lower than
- 1068 50μm (as defined by distance-based cluster analyses) was compared between different cell pairs
- 1069 (e.g. Sox9+/Ly6G+ vs Sox9+/CD11c+).
- 1070 Extended hierarchical cell classification was applied to characterize the significantly enriched
- 1071 cell phenotypes forming zones of interest which were outside the standard cell type classification
- 1072 (e.g less differentiated macrophages or phagocytic DCs). Probe combination, number of cells
- 1073 analyzed within number of clusters are defined in the figures and figure legends.
- 1074 2D composite and 3D composite images were presented by using Fiji (Schneider et al., 2012)
- 1075 and QiTissue Quantitative Imaging System (<u>http://www.qi-tissue.com</u>).
- 1076 The spatial systems analyses were used to identify drug models of response (presented as line
- 1077 diagrams) and the identified therapeutic vulnerabilities were tested in whole animal studies.

1078

### 1079 Whole animal treatment studies

1080 MMTV-PyMT transgenic mice that were 80 days old were randomized and included in the study 1081 when their total tumor burden was between 150-550mm<sup>3</sup> (treatment initiation). For the 1082 orthotopically induced tumor models of mammary carcinoma, EMT6 (0.5 x 10<sup>6</sup> in 1xPBS per site), E0771 (0.5 x 10<sup>6</sup> in Corning matrigel per site) and primary tumor derived LPA3 (0.8 x 10<sup>5</sup> 1083 1084 in Corning matrigel per site) cells were injected into the #4 mammary fat pad of female virgin 1085 C57LB/6, BALB/c, and FVB/N mice, respectively. One tumor was induced in the E0771, LPA3 1086 models and two tumors were induced in the EMT6 model. Caliper measurements were used to 1087 calculate the tumor volumes using formula length x width<sup>2</sup> / 2. Treatments were initiated when 1088 total tumor burden was between 60-150mm<sup>3</sup> or as defined in the figure legend (Figure S5E, F). For all models, the endpoint was determined by tumor volume above 2000mm<sup>3</sup> in two 1089 1090 consecutive measurements or one measurement above 2200mm<sup>3</sup>. Treatments were administered 1091 by intraperitoneal injection. Dose, schedule and duration are indicated in the respective figures 1092 and figure legends. Treatment schedule was estimated depending on the location of the targetable 1093 cell phenotype in proximity to the well or more distal from the drug source. E.g. cells in the 1094 *immediate proximity* to the drug well at 3 days of exposure were likely recruited first to the drug 1095 assay area thus early targeting (pre-treatment) of these cells is preferred. Inversely, cells located 1096 in *distal* regions at late timepoints (e.g. day 8) should be targeted by posttreatment approach. See 1097 also Figure S8. Diluent and IgG2a isotype control (BioXCell) concentrations were equivalent to 1098 the highest dose of the respective drug used in each experiment.

1099 The mice were monitored daily to determine any possible effects on the general condition of the

animals using parameters as established by (Morton and Griffiths, 1985). The guidelines for

1101 pain, discomfort and distress recognition were used to evaluate weight loss, appearance,

1102 spontaneous behavior, behavior in response to manipulation and vital signs. Specifically, general

1103 appearance (dehydration, missing anatomy, abnormal posture, swelling, tissue masses, prolapse)

1104 skin and fur appearance (discoloration, urine stain, pallor, redness, cyanosis, icterus, wound,

1105 sore, abscess, ulcer, alopecia, ruffled fur), eyes (exophthalmos, microphthalmia, ptosis, reddened

1106 eye, lacrimation, discharge, opacity), feces (discoloration, blood in the feces, softness/diarrhea),

1107 locomotor (hyperactivity, coma, ataxia, circling) were monitored to determine loss of body

1108 condition (BC) score, namely: BC 1 (emaciated) score applied when skeletal structure was

1109 extremely prominent with little or no flesh/muscle mass and vertebrae was distinctly segmented; 1110 BC 2 (under-conditioned) score applied when segmentation of vertebrate column was evident, 1111 dorsal pelvic bones were readily palpable and muscle mass was reduced; BC 3 (well-1112 conditioned) applies when vertebrae and dorsal pelvis were not prominent/visible, and were 1113 palpable with slight pressure. Loss of BC was also considered when anorexia (lack or loss of 1114 appetite) or failure to drink; debilitating diarrhea, dehydration/reduced skin turgor; edema, 1115 sizable abdominal enlargement or ascites, progressive dermatitis, rough hair coat/unkempt 1116 appearance, hunched posture, lethargy, loss os righting reflex, neurological signs or bleeding 1117 from any orifice appeared in treated mice. Majority of treated groups were well-conditioned (BC 1118 score 3); less than 20% of mice in each group experienced mild diarrhea for up to 2 days once 1119 during the course of treatment (typically post first or second therapy administration). Mice 1120 receiving palbociclib monotherapy were under-conditioned (BC score 2) starting from day 3 till 1121 the end of the treatment. Two out of eight mice in the MMTV-PyMT model died within 1-3 days 1122 after first injection of  $\alpha$ CD40 immunotherapy when administered as single agent. Surviving mice receiving Venetoclax/ $\alpha$ CD40 combination experienced fur graving to different degree starting 1123 1124 approximately four weeks post treatment. No signs of pain, discomfort or distress were observed 1125 in the surviving mice. Emaciated (BC score 1), over-conditioned (BC score 4) nor obese (BC score 5) were observed in our studies. LPA-3 mice become obese with tumor development but 1126 1127 this sign was independent of administered treatment (treatment naïve).

- 1128 To measure CD8+ T cell infiltration inside the tumor bed, ErbB2∆Ex16 mice with spontaneously
- growing tumors were intraperitoneally injected with panobinostat (15mg/mg) on day 0, 2 and 4.
- 1130 Tumors were extracted at day 7, were FFPE processed and were stained for Epcam and CD8 to
- 1131 compare rate of intratumoral (Epcam+) vs stromal (Epcam-) CD8+ T cells in panobinostat
- 1132 treated vs control (diluent) treated tumors.
- 1133

## 1134 Vaccination study

- 1135 EMT6 and E0771 cells in tissue culture were treated with a soluble drug panobinostat at 5µM
- 1136 concentration when they would reach 60-70% confluency. After two days the cells (80-90%
- 1137 death rate) were harvested and were injected subcutaneously (total 2-3 x 10<sup>6</sup> cells) into lower left
- 1138 flank of BALB/c and C57Bl6 mice, respectively. Cells freeze-thawed three times served as

- negative control for non-immunogenic form of cell death. After 7-8 days, the mice were re-
- 1140 inoculated by injecting living cells orthotopically into one #4 mammary fat pad (total  $0.5 \times 10^6$
- 1141 cells) and tumor appearance was monitored by minimal tumor size approximately 5mm and
- 1142 3.5mm in the longest dimension for E0771 and EMT6 model, respectively (palpable tumors). We
- note the E0771 tumors after re-challenge appeared at the primary subcutaneous site and no
- 1144 tumors were developed in the orthotopic site.
- 1145

# 1146 Statistical analysis

- 1147 All data are combined from two to three independent experiments, unless specifically noted. To
- 1148 accomplish randomization for systemic mouse experiments, animals were sorted by a blinded
- 1149 investigator and then groups were assigned. Each group was checked post-hoc to verify no
- 1150 statistical significance in average starting tumor size. There was no sample-size estimation of in
- 1151 standard drug treatment experiments. Data are shown as mean  $\pm$  SEM, unless otherwise noted.
- 1152 For tumor growth rate, significance was calculated by unpaired two-tailed t-test with equal
- 1153 variance. For survival and tumor free analyses, Kaplan-Meier curves were generated to
- 1154 demonstrate time to event and log-rank (Mantel-Cox) test was used to evaluate statistical
- 1155 significance.
- 1156

# 1157 SUPPLEMENTAL INFORMATION

# 1158 SUPPLEMENTARY TABLE LEGENDS

Table S1. Drug list and drug concentration calibration used in the MIMA system; Related toFigure 1.

- Table S2. Antibody order, catalog and concentration used in mouse multiplex IHC; Related toFigure 1.
- 1163 Table S3. Antibody order, catalog and concentration used in mouse cycIF; Related to Figure 1.
- 1164 Table S4. Rationale to select effective immunotherapy or non-immune stroma modulating
- 1165 combination partner based on the TME signature induced by primary treatment.
- 1166 Table S5. Comparison of systemic drug dosing in our and the previously reported studies.
- 1167

### 1168 SUPPLEMENTARY FIGURE LEGENDS

Figure S1. Components of the MIMA system and mIHC/cycIF anti-mouse antibody validation;Related to Figure 1.

1171 (A) Dosing for individual drugs was calibrated using mass spectrometry measurements 1172 comparing concentration of the same drug in situ after systemic treatment versus after local 1173 delivery (adapted and modified from (Jonas et al., 2015)). Sample images of intratumoral 1174 doxorubicin distribution at 6 hours after systemic treatment (top left image) and PEG-formulated 1175 doxorubicin transport from device at 20 hours after release (bottom left image). Signal mean 1176 intensity was extracted (n=3 each) and plotted using a moving average window filter to 1177 smoothen the signal (right). For detailed information on the pharmacokinetics of intratumoral 1178 drug release from the IMD see (Jonas et al., 2015). 1179 (B,C) Schematic overview of multiplex immunohistochemistry (mIHC; B) and cyclic 1180 immunofluorescence (cycIF, C). (C) mIHC utilizes indirect staining, iterative deposition of 1181 chromogen/enzyme pairs and brightfield microscopy to image the target signal. The chromogen 1182 used in this study is called 3-amino-9-ethylcarbazol (AEC) and it produces a red precipitate 1183 when visualized with polymer-based peroxidase conjugated to a secondary antibody (anti-rabbit 1184 or anti-rat; Histofine® Simple Stain). AEC is susceptible to organic solvents which is used to 1185 remove the red signal and detect two target proteins in one cycle. Primary antibody mixture is 1186 stripped in heated low pH citrate buffer is every cycle after scanning in order to further multiplex 1187 the staining on a single FFPE slide. Antibodies raised in rabbit and rat hosts alternate to prevent 1188 crosstalk between cycles. Hematoxylin counterstains nuclei to allow cell count and downstream 1189 image analysis (Figure S2). (C) cycIF utilizes fluorophores as reporters via direct labeling. Four 1190 to five non-overlapping fluorescent signals can be detected in a single cycle against dark 1191 background. DAPI signal is used to visualize nuclei for cell count. Progressive staining is 1192 enabled by inactivating the fluorophore using base hydrogen peroxide mixture and heat. 1193 Antibody specificity is cross-validated by performing chromogenic mIHC on the adjacent tissue 1194 section. 1195 (D) List of biomarkers (left column) and positive control tissues used for antibody validation and 1196 signal thresholding.

1197 (E) Hematoxylin staining of an FFPE section containing all positive control organs from an adult

1198 wild type FVB/N female mouse: thymus (Th), heart (H), lungs (Lu), liver (Li), mammary gland

1199 (MG), lymph node (LN), spleen (Sp), pancreas (P), adrenal gland (A), kidney (K), fat (F), uterine 1200 horn (UH). Tumor (T) with implanted device and attached skin (Sk) was embedded into the same 1201 FFPE block.

1202 (F) Representative images of individual biomarkers using mIHC (red signal in bright field

1203 images) or cycIF (magenta signal in fluorescent images unless otherwise stated). Biomarker

name is located on the top left; while the name of the organ is located on the top right side of 1205 each image, respectively. Some positive signal can be detected in a macroscopic view (Ki67,

1206 CD31, CD4, CD45, NF-KB, desmin, arginase-1, ICAM-1). Section stained without primary

1207 antibody served as negative control in the mIHC procedure (last image). Green fluorescent

1208 channel served to detect autofluorescence and to separate background from specific staining in

1209 the cycIF procedure. Only antibodies with very strong specific staining such as aSMA (marked

1210 with a star \*), were used in conjugation with Alexa fluor-488. Scale bars; shown.

1211

1204

1212 Figure S2. Analytical design to quantify single cell events in MIMA; Related to Figure 1.

1213 (A) The baseline discovery readout panel for MIMA is composed of a total of 13 probes we

1214 identified to be the minimal and satisfactory requirement to capture the major TME states to

1215 predict drug-induced changes which are actionable (see also Table S4).

1216 (B) Tissue section of an early MMTV-PyMT mammary carcinoma and adjacent lymph node

1217 (brightfield image of PyMT, CD45,  $\alpha$ SMA, CD31 shown) was used to establish hierarchical

1218 gating strategies in image cytometry (in E) to define "standard cell types". This for two reasons:

1219 presence of a lymph node in the same section offers the possibility to utilize mutual exclusivity

1220 (top) for reproducible signal thresholding. Second, early tumors provide with the opportunity to

1221 evaluate relatively broader range of phenotypically distinct cell types as compared to late-stage

1222 tumors (quantification, bottom). Number of cells analyzed is shown; data is derived from one

1223 and two tumors for early and late tumor sample, respectively.

1224 (C) Image processing for image cytometry analysis is composed of the following steps, briefly:

1225 hematoxylin staining (1) is color-deconvoluted and the signal is segmented using ImageJ

1226 watershed function (Schneider et al., 2012) to generate mask (2). Red AEC signal (3) mean

1227 intensity in a selection as defined by mask (4) is calculated for each cell (5).

1228 (D) Pixel intensity measurements and shape size measurements are used to gate cells for positive

1229 marker expression (CD45 in this case). FCS Express 6 and 7 Image Cytometry Software (De 1230 Novo Software), was used to obtain accurate thresholding using the cell population shape and

- 1231 dimensions. Correct gating is also monitored through visual inspection (second column).
- 1232 (E) Density plot of dimensionality reduction in hierarchical clustering to define "standard cell
- 1233 types" (middle column). The shape of the gates was designed to obtain quantitatively
- 1234 reproducible multiplex data, batch to batch, independent of the condition measured: early tumor
- and lymph node (top row), mammary gland and lymph node (middle row) and panobinostat
- 1236 implanted tumor sample two days post exposure (bottom row) are shown for comparison. For
- 1237 probes other than "standard cell types" (pleiotropic/undefined biology), threshold for positivity
- 1238 was determined manually using FCS Express 6 and 7 Image Cytometry software and positive
- 1239 control tissue (Figure S1D-F) (right). Sample pictures for marker positive cells; left.
- 1240

Figure S3. Locally induced tumor-TME changes at the palbociclib delivery sites; Related toFigure 2

1243 (A) Quantification of single cell events using individual markers and marker combinations

1244 (including standard cell types). Bars are mean  $\pm$  s.e.; n=3 palbociclib reservoirs in three tumors

1245 from three different MMTV-PyMT mice with late stage (d93-d100) spontaneously growing

1246 tumors implanted with IMD for three days. Significance was calculated by paired sample one

1247 tailed *t*-test.

1248 (B) Presentation of key response cell types (biomarker combination displayed) in XY space.

1249 Black arrow shows the drug releasing site; direction of the release is from the bottom to the top.

- 1250 The black line depicts region analyzed to quantify relative abundance of cell types with distance
- 1251 from the well in Figure 2D.
- 1252 (C) Percentage of MHC-II+ protumorigenic (M2) macrophages as defined by standard cell types.

1253 Stack bars are mean  $\pm$  s.e.; n=3 palbociclib reservoirs in three tumors from three different mice.

1254 (D) Gray scale single channel images of depicted markers at the palbociclib reservoir (left) and

- 1255 merge composite images with or without overlay on the nuclei defined mask (top right and
- bottom right, respectively). Dashed line stratifies the "immediate pool" zone for Figure 2C. Scale
  bar, 100μm.
- 1258 (E) Distance based clustering of CSF1R, CD31 and Ki67 positive cells as a set of XY

1259 coordinates. Coordinate [0,0] identifies the drug source. Direction of the drug release is always

1260 from bottom to the top. Individual clusters were identified by minimum 10 cells within

maximum distance 50µm, 75µm and 30µm for CSF1R+, CD31+ and Ki67+, respectively.

1262 Clusters were merged together if present within the maximum distance range. Each cluster is

1263 depicted with a different randomized color; individual (non-clustering) cells are shown as light

1264 gray points. Function was adapted and modified from Yann Marcon (Matlab, Oct 2019). Note

1265 larger cluster formation when analyzing individual markers as compared to standard cell types

1266 (Figure 2E) suggesting other than standard cell types express the CSF1R and CD31 marker

1267 (potential cell trans-differentiation).

1268 (F) Systematic testing of endothelial cell, endothelial and pericyte (union) cell and proliferating

1269 tumor cell cluster formation at palbociclib and control PEG reservoir based on cluster size

1270 presented in form of a heatmap. Cluster size was defined by minimal number of cells (x axis)

1271 within maximum distance range (y axis). Total coverage, number of clusters in the assay area

1272 variance between palbociclib and PEG in these two parameters was evaluated. Yellow rectangle

1273 defines cluster sizes that form specifically at the palbociclib stimulus site and have maximal

1274 variance (PEG vs palbociclib). Treatment specific cluster formation appears when minimum 10-

20 cells are present with 50-75µm and 30-50µm for endothelial/pericyte cells and proliferating
tumor cells, respectively.

(G) Three-dimensional composite image of another palbociclib tumor tissue section. F4/80
macrophage marker is presented in high-view. Triangle arrow, which shows the localization and
direction of the drug release, is shifted slightly to the right so that both normal tissue and
Palbociclib affected region can be seen at once. Note slightly different extent of the TME
response as compared to replicate number 1 (Figure 2B), while the shape and the order of the cell
response with distance remains the same: CSF1R+, F4/80+ macrophages located in close

1283 proximity to the well; CD31 αSMA pericyte form network outside this region and Ki67

1284 proliferating cells appear de novo (in the local microculture) around network.

1285

Figure S4. Locally induced tumor-TME changes at the venetoclax delivery sites; Related toFigure 3.

1288 (A) Quantification of single cell events at the venetoclax delivery site in spontaneous MMTV-

1289 PyMT tumors at three days of exposure by using individual markers and marker combinations

1290 (including standard cell types). Total cell counts to define percentage of positivity were between

1291 3000 to 5000 cells per assay area and were matched  $\pm$  300 total cells for paired samples

1292 (experimental vs control region). Minimum population proportion within 5% margin of error and

1293 95% confidence level was set to 0.75% (represents 12 cells) to discriminate noise from specific

1294 cell enrichment. Bars are mean  $\pm$  s.e.; n=3 venetoclax reservoirs in two tumors from two

1295 different mice. Significance was calculated by paired sample one tailed t-test.

1296 (B) Gray scale single channel images of depicted markers at the Venetoclax reservoir.

- 1297 Macroscopic view is on the left; magnified view of stromal regions and venetoclax proximal
- 1298 region are in the middle and right, respectively. Composite of depicted markers is in the colored
- 1299 image. Scale bar, 500µm and 50µm for macroscopic and zoomed view, respectively.
- 1300 (C) Presentation of key response cell types/states (biomarker combination displayed) in XY
- 1301 space. [0,0] coordinate is the drug releasing site; direction of the release is from the bottom to the
- 1302 top.
- 1303

Figure S5. Local and systemic effects of the pan-HDAC inhibitor, panobinostat, in differentmouse models of breast cancer. Related to Figure 4.

1306 (A) Quantification of single cell events at the panobinostat delivery site in spontaneous MMTV-

1307 PyMT tumors at three days of exposure by using individual markers and marker combinations

1308 including standard cell types. Total cell counts to define percentage of positivity were between

1309 3000 to 5000 cells per assay area and were matched  $\pm$  300 total cells for paired samples

1310 (experimental vs control region). Minimum population proportion within 5% margin of error and

1311 95% confidence level was set to 0.75% (represents 12 cells) to discriminate noise from specific

1312 cell enrichment. Bars are mean  $\pm$  s.e.; n=3 panobinostat reservoirs in two tumors from two

1313 different mice. Significance was calculated by paired sample one tailed t-test.

1314 (B) Presentation of the most prominent response cell types (biomarker combination displayed) in

1315 XY space. Black arrow marks source and direction of drug release.

1316 (C) Gray-scale single channel images of depicted markers at the panobinostat reservoir (replicate

1317 1). Dashed line in the Sox9 image marks the border of the device. Scale bar, 100µm. Dashed

1318 yellow box in the Ly6G image marks magnified view of a region at the intersection of dying

1319 cells (by CC3) and surrounding TME. Scale bar, 50µm.

1320 (D) ErbB2 \Delta Ex16 mice with spontaneously growing tumors were treated with diluent (control)

1321 and panobinostat systemically for 4 days, after which the tumors were extracted at day 7 and

1322 formalin fixed paraffin processed (FFPE). Images show tumor tissue sections stained with anti-

- mouse CD8 antibody (red AEC signal) and hematoxylin (blue). Note the gradient of high CD8
- 1324 infiltration closer to stroma with decreasing tendency towards the tumor center (arrows)
- suggesting CD8 T cells are recruited from stroma regions. Scale bar, 100µm
- 1326 (E, F) Tumor growth rate in syngeneic LPA3 mice in which tumors were induced by orthotopic
- 1327 injection of primary tumor cells into mammary fat pad. The mice were treated systemically by
- depicted treatments as shown in the graph. Early (<20mm3; D) and more advanced (>100mm3,
- 1329 E) tumors were tested as for the treatment start (day 0). Mice were treated intraperitoneally with
- 1330 dose and schedule as defined in Figure 3G and 4H. Line graphs show mean ± s.e., n=5 tumors in
- 1331 five mice per group. Significance was calculated by two sample two-tailed t-test with equal
- 1332 variance.
- 1333
- 1334 Figure S6. Biomarkers of immunogenic cell death and associated mechanisms of resistance
- 1335 induced by local panobinostat drug stimulus; Related to Figure 5.
- 1336 (A) Large field of view three-color composite images showing biomarkers of immunogenic cell
- 1337 death induced by panobinostat (replicate 2) reservoir at three days of exposure. Calreticulin and
- 1338 PD-L1 IHC (red AEC signal) overlayed on hematoxylin nuclei (in blue) are presented in bright
- 1339 field zoomed image on the left.
- 1340 (B) Quantification of single cells positive cells for depicted biomarker with distance from the
- 1341 reservoir; total cell counts (left) and rate of positive cells (right) are presented in form of a 3D
- 1342 bar graph. 2-3 ROIs are presented per assay zone (proximal, distal, distal, remote, control).
- 1343 (C) Expression rate of CD45, MPO and arginase-1 on Ly6G+ cells in the panobinostat assay area
- 1344 to stratify phagocytic, cytotoxic and immune suppressive neutrophils, respectively. Number (n)
- 1345 of analyzed cells is presented.
- 1346 (D) Panobinostat reservoirs were co-loaded with anti-Ly6G (clone 1A8) and galectin-3 (clone
- 1347 M3/38) antibodies at 5:1 to 10:1 ratio and CC3 IHC signal was quantified at the drug releasing
- 1348 site. n=2 for experimental and 1 for control conditions, respectively. All results were obtained
- 1349 from a single IMD in one tumor which was implanted for two instead of typical three days to
- 1350 account for antibody half-live.
- 1351 (E) Image cytometry measuring neuropilin-1 expression on cytotoxic neutrophils. For
- 1352 comparison, population distribution of all cells is presented on the bottom left.

1353 (F) Bright field large field of view images of CD11b, neuropilin-1, galectin-3 and  $\alpha$ SMA IHC at 1354 the panobinostat reservoir (replicate 3) at 3 days of exposure. Zoomed images show color-coded 1355 extracted signal overlayed on the true signal of the depicted biomarkers (white).

1356 (G) Distance based cluster analysis testing different cluster size strategies to identify treatment

1357 specific cluster formation. The function implements a user defined cluster sizes set by minimal

1358 number of cells (first number in the top right legend) within maximum distance range (second

1359 number in the top right legend) to display cluster formation in randomized color while individual

1360 cells not meeting the clustering criteria are presented in gray. Drug source is shifted to the left to

1361 stratify cluster formation in assay area (proximity to the well) vs side/random regions. Clustering

1362 strategies using low cell number (e.g. 5 cells, first two columns) and high distances (e.g. 100μm,

right column) show clusters forming unspecifically outside the assay area; Clustering strategy

using minimal 10 cells within maximum distance range 50µm (10/50 column) shows cluster

1365 formation specifically above the drug site for all presented markers (F4/80, Galectin-3, Ly6G,

1366 Sox9). Magnified Sox9 cluster formation; bottom.

(H) Frequency of Sox9 cluster sizes. Cluster size around 175µm in diameter, which were the
most prominent, were used for downstream analysis of pairwise proximity measurements of
Sox9 with other markers (Figure 5G, H).

1370

Figure S7. Local panobinostat efficacy in other mouse model and at a later (day 8) timepoint;Related to Figure 5

1373 (A) A schematic presentation of cell phenotype separation into zones with distance from the 1374 panobinostat reservoir at day three and day eight timepoint. Shared phenotypes between the two 1375 timepoints suggest order of the cell transition with distance from the well defines the sequence of 1376 cellular events (earliest to latest) and is as follows: 1) MHC-II antigen presenting cells and F4/80 1377 macrophages are recruted first to the drug delivery well as they are located immediately at the 1378 drug well at early timepoint; 2) MPO cytotoxic, ICAM1 adhesive/activated, neuropilin-1 positive 1379 N1 neutrophils are recruited second (*proximal* zone) and this phenotype is halt by the *border* 1380 barrier composed of 3) CD11c dendritic cells, Sox9 cancer stem cells and galectin-3. Relative 1381 increase of MHC-I and calreticulin on the cell surface starts to form in this border zone and 1382 propagates to (4) distal region with decreasing gradient profile. 5) galectin-3 expression is 1383 associated with PD-L1 and is halt by aSMA fibroblast remotely from the well. Over time, the last

three zones (3-5) merge into a single border zone composed of CD11c dendritic cells, arg-1

- immune suppressive cells, Sox9 cancer stem cells, K14 cells of invasive front and ECM
- 1386 deposition/processing componenets (collagen VI and MMP2). The immediate macrophage zone
- 1387 is missing at the day eight timepoint; instead, two new cell types appear at the new border zone:

1388 (6) CSF1R+ cells (C) and (7) granzyme B cytotoxic CD45R B cells (D).

1389 (B) ErbB2AEx16 mice with spontaneously growing tumors were implanted with IMD loaded

- 1390 with panobinostat and the tumor with the device in place was extracted at three days and was
- 1391 formalin fixed and paraffin processed. Picture shows a five-color composite image of biomarkers

associated with immunogenic cell death. While the absolute extent of the phenotype is larger as

1393 compared to those observation in MMTV-PyMT model; the order of the phenotypes (spatial cell

1394 pattern with distance from the drug source) remains identical with Ly6G+ leukocytes and CC3

apoptosis present at the proximal, CD11c dendritic cells present at border and galectin-3 present

1396 at the distal regions. We note that the  $ErbB2\Delta Ex16$  model of breast cancer express both basal

1397 and luminal cytokeratin markers (Turpin et al., 2016). The different extent of the signal might be

associated with the tumor subtype difference or the compactness/"fluidity" of the tumor tissue.

- 1399 (C) Sectioned tissue surrounding the implantable microdevice containing panobinostat for eight
- 1400 days was stained with cycIF using panel of mouse specific antibodies (Table S3) to display cellular
  1401 phenotypes supporting the panobinostat model of response describe in (A).

(D) Adjacent section as described in (C) was stained by multiplex immunohistochemistry using
 mouse specific antibodies (Table S2) and displays presence of cytotoxic granzyme B+ CD45R+

1404 B cells expressing calreticulin and galectin-3. No other marker was expressed on these cells (or

1405 under the IHC sensitivity limit; not shown). Also, no other cells expressed the granzyme B

1406 cytotoxicity marker intratumorally; inside the tumor bed. Panobinostat drug release site is

- marked by triangle in all images. Direction of the drug release is always from the bottom to thetop. Scale bars; shown.
- 1409

Figure S8. Illustration of complex tumor tissue response to targeted therapies and rational forimmune modulatory combinations.

1412 Schematic presentation of the spatial cell associations induced by palbociclib, venetoclax and

1413 panobinostat at three days of local drug exposure; and panobinostat at eight days of drug

1414 exposure (bottom right). Palbociclib efficacy is associated with a "tree" or "delta-like" cell

1415 organization with targetable protumorigenic macrophages being localized in immediate 1416 proximity to the reservoir leading to endothelial/pericyte network formation and proliferation of 1417 tumor cells in proximity to this network. The optimal schedule of immunotherapy application 1418 can be estimated based on the location of the targetable phenotype from the drug well. Early 1419 (pre-treatment) modulation of protumorigenic macrophages using CSF1/CSF1R targeted 1420 immunotherapy was used to potentiate the efficacy of palbociclib. Venetoclax induces small 1421 vessel formation and recruitment of dendritic cells which appeared as "split clusters" at the drug 1422 well and it is yet unknown whether and how the subsets are functionally related. Anti-CD40 1423 mediated licensing of these DCs can shift the balance from immune tolerance to T cell priming 1424 and this immunotherapy was applied at three days of venetoclax treatment since DCs were 1425 present in all regions of the assay area. Panobinostat induces immunogenic cell death associated 1426 with infiltration of antigen presenting neutrophils and macrophages but the propagation of this positive response is limited by enrichment of cancer stem cells and recruitment of dendritic cells 1427 1428 and fibroblasts which merge into a resistant barrier over time and subsequently induce 1429 recruitment of protumorigenic macrophages and cytotoxic B cells. The cellular pattern of 1430 response has a "layering" or bay-like" structure implying more intense cell interaction might be 1431 involved at the cell layer interfaces. Anti-PD-1 immunotherapy was identified as the rational 1432 combination partner for panobinostat due to induction of immunogenic cell death and increased 1433 antigenicity. While early (pretreatment) administration of CSF1/CSF1R targeted immunotherapy 1434 can negatively affect antitumor function of macrophages (tested, Figure 4C); late administration 1435 of this immunotherapy might be beneficial to deplete/polarize the protumor macrophage which 1436 are recruited at the later timepoint (hypothetical).

1437

Figure S9. Local and systemic effects of the pan-HDAC inhibitor, panobinostat, in differentmouse models of breast cancer.

1440 (A) Quantification of single cell events at the intersection of venetocalx and panobinostat

1441 delivery sites in orthotopic MMTV-PyMT tumors at eight days of exposure by using individual

1442 markers and marker combinations. Total cell counts to define percentage of positivity were

between 3000 to 5000 cells per assay area and were matched  $\pm$  300 total cells for paired samples

1444 (experimental vs control region). Minimum population proportion within 5% margin of error and

1445 95% confidence level was set to 0.75% (represents 12 cells) to discriminate noise from specific

1446 cell enrichment. Bars are mean  $\pm$  s.e.; n=2 wells from one tumor in one mouse. Significance was 1447 calculated by paired sample one tailed t-test.

(B) Macroscopic view of marker positive cells at the panobinostat/venetoclax drug intersection.
Histograms show mean expression intensity of individual biomarkers. Red line defines threshold
for positivity. Marker positive cells as defined by threshold are presented as blue dots in XY
space. Black arrow is pointing on the tumor stroma region remote from the reservoir. Yellow
triangle arrows indicate the source and direction of the drug release. Yellow box shows the
approximation of the assay area.
(C) Five-color composite images showing most prominent biomarkers induced at the intersection

1455 of panobinostat and venetoclax. White arrows indicate the source and direction of the drug

1456 release. Scale bar; 200µm. Macroscopic view of tumor tissue sections stained with anti-mouse

1457 F4/80 (red AEC signal) and hematoxylin (blue). Tumor cleared tissue is shown by lack of

1458 hematoxylin staining in the center suggesting lack of nucleated cells (bottom left image).

1459 Magnified view of intratumoral T cell infiltration to professional antigen presenting DC region

1460 near panobinostat/venetoclax assay area. The device was implanted for eight days in MMTV-

1461 PyMT tumor induced by orthotopic implant into mammary fat pad of syngeneic mice.

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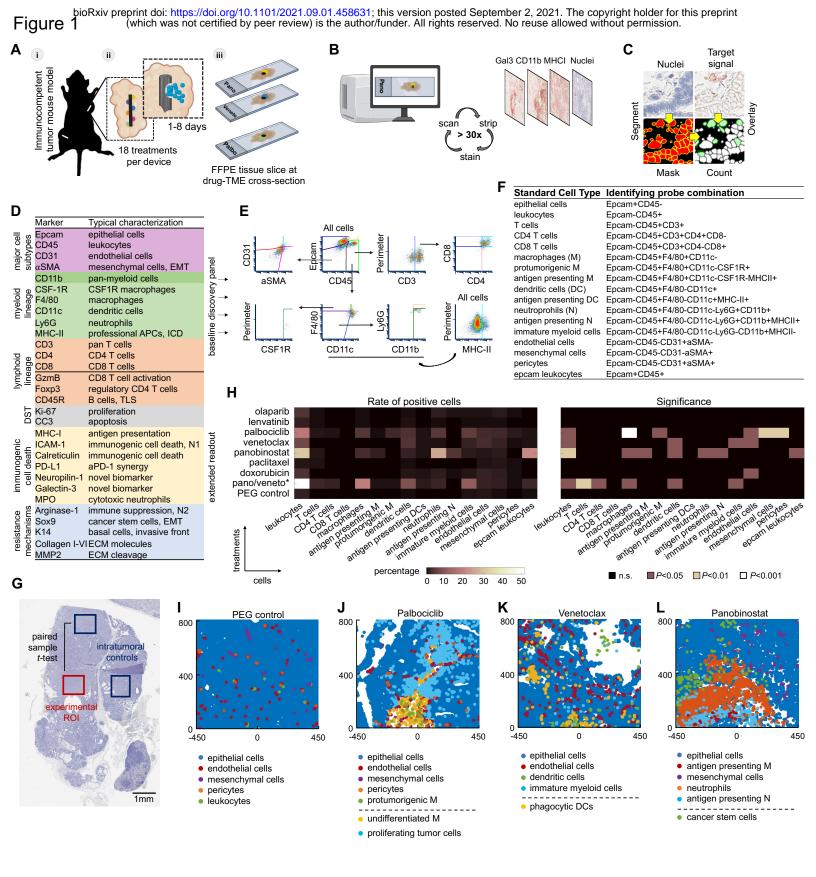
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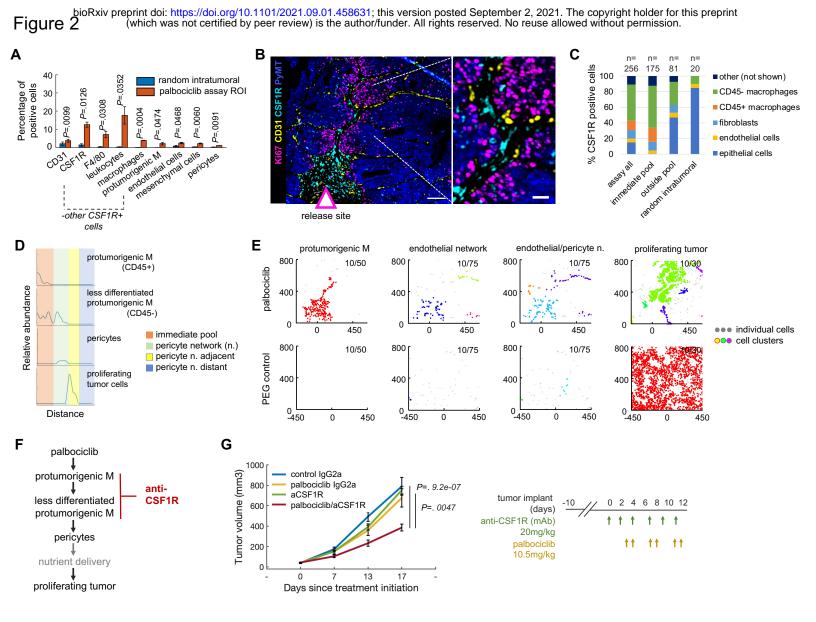
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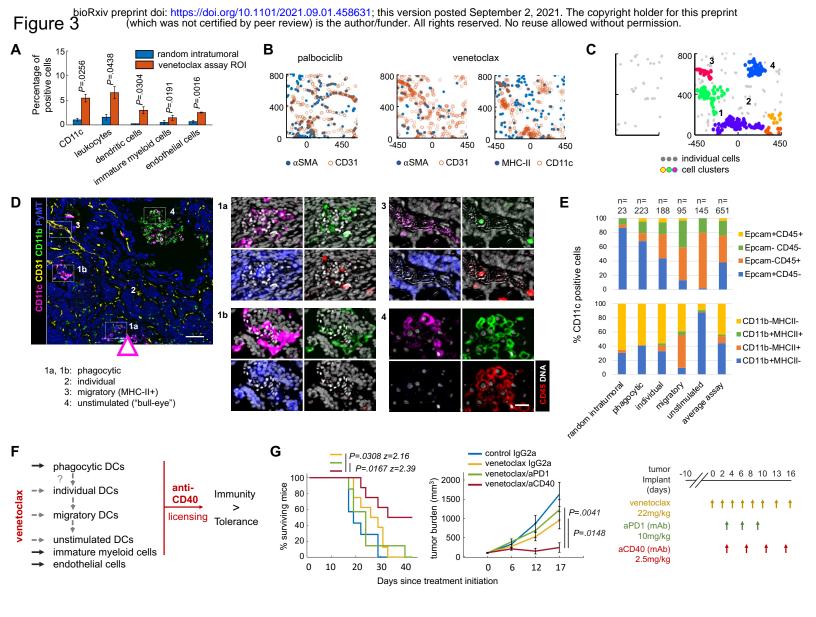
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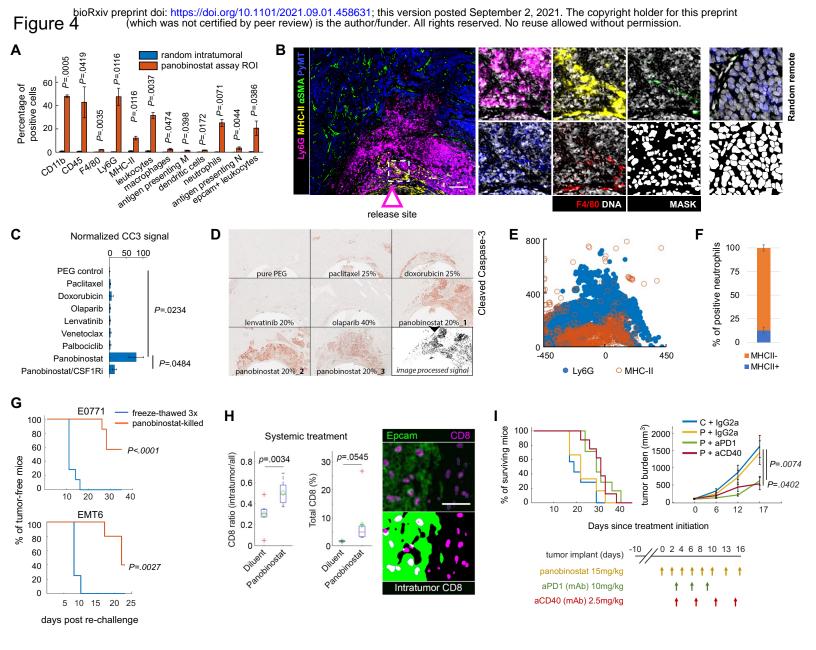
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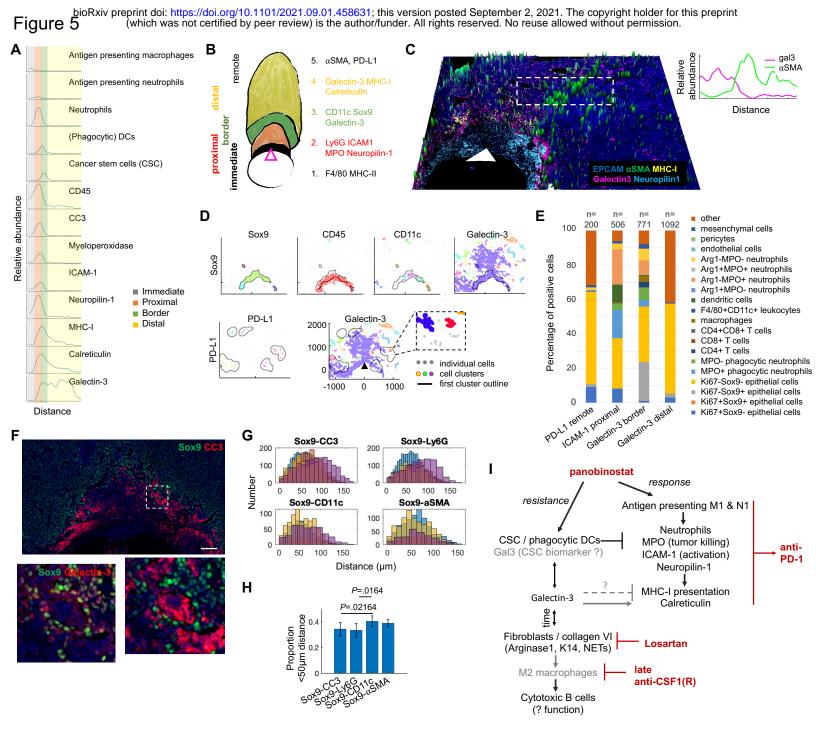
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2010	Martin, P., Green, J.E., Lee, M.P., Hunter, K.W., Wakefield, L.M., Yang, Y., Yang, H.H.,
2011	Hu, Y., Watson, P.H., Liu, H., Geiger, T.R., Anver, M.R., Haines, D.C., Martin, P., Green,
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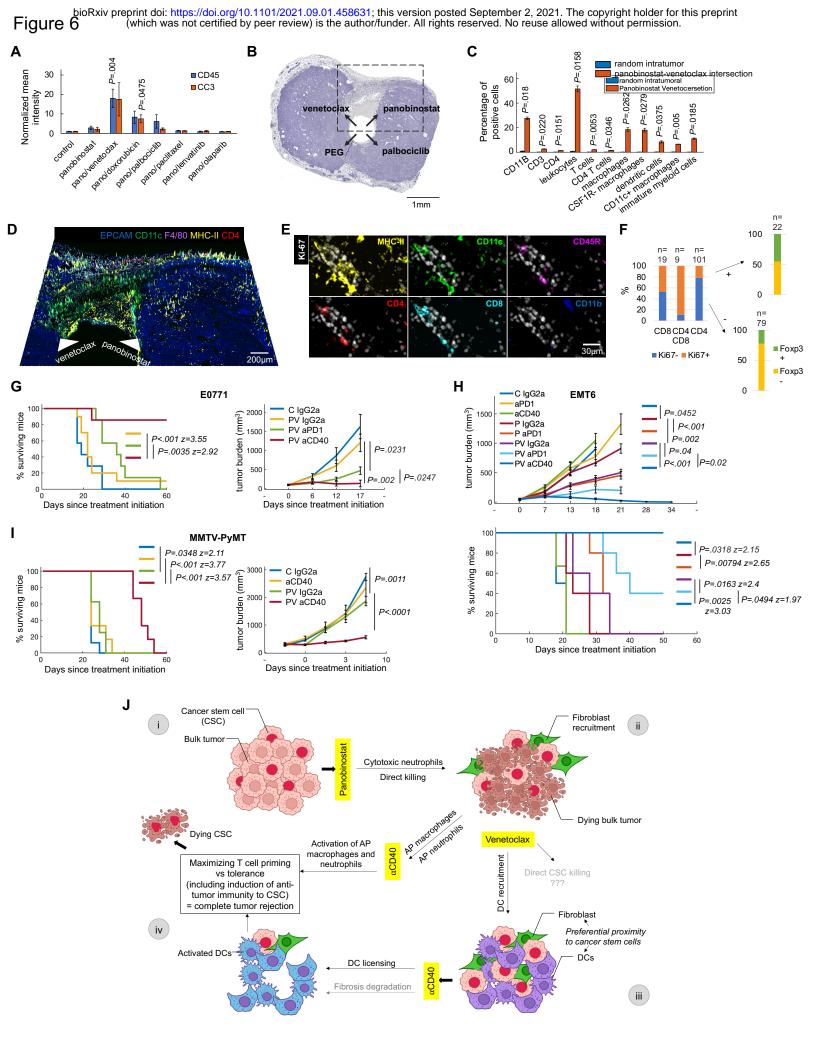


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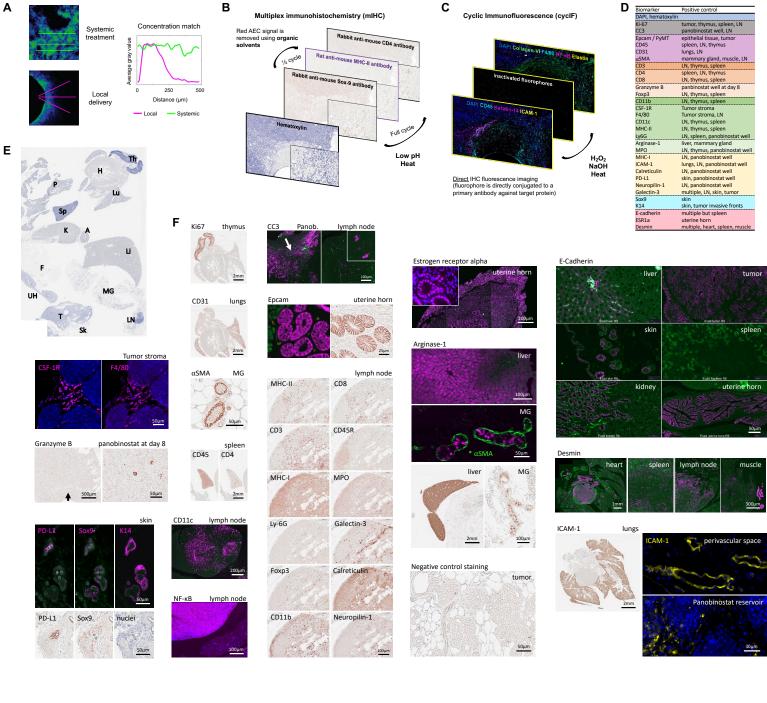
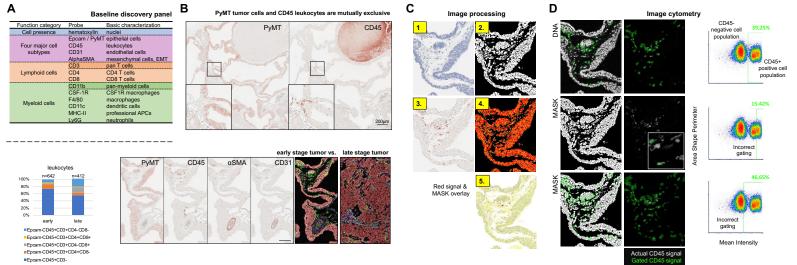
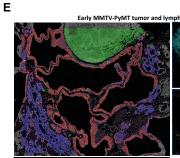


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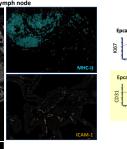




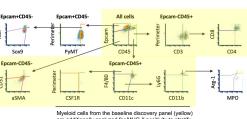


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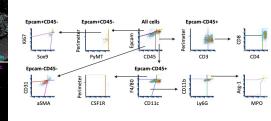
nd and lymph

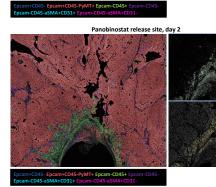


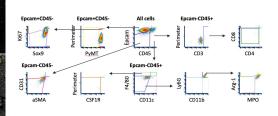
Hierarchical gating for Standard Cell Types – extended

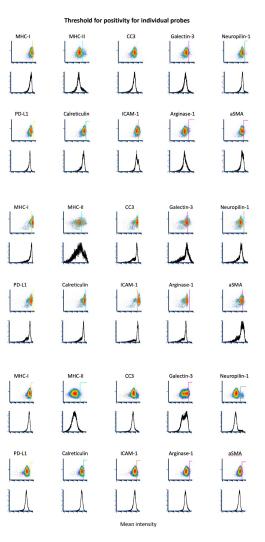


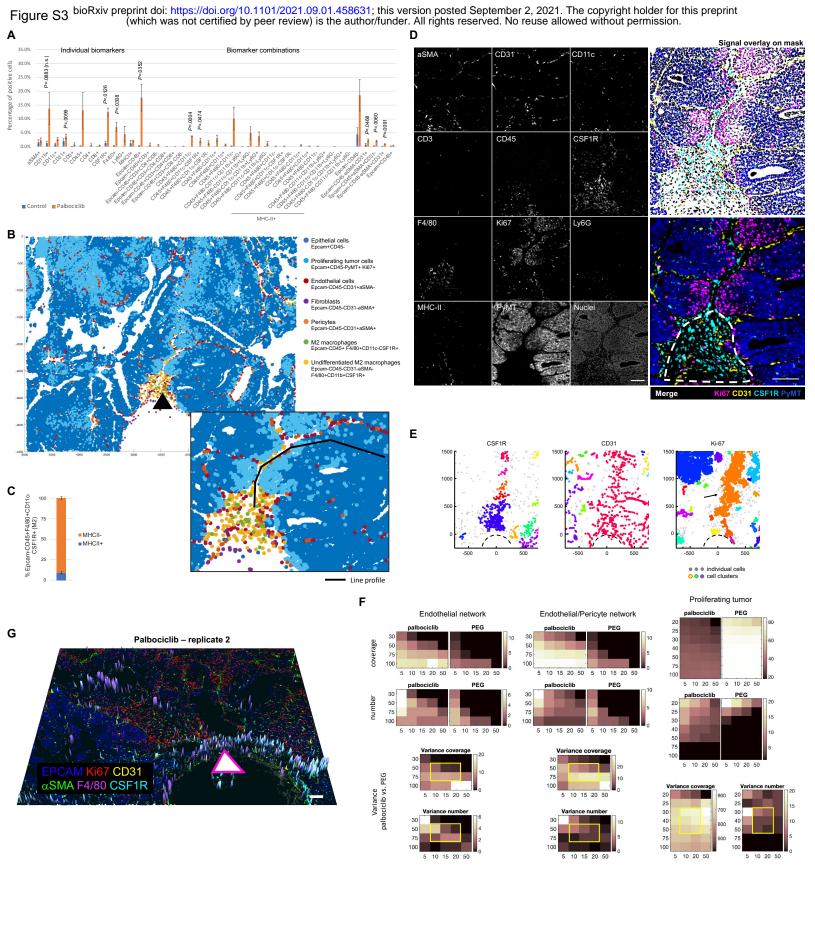
Myeloid cells from the baseline discovery panel (yellow) are additionally analyzed for MHC-II positivity to stratify their antigen presenting status



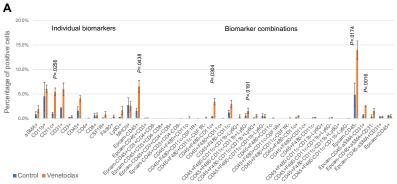






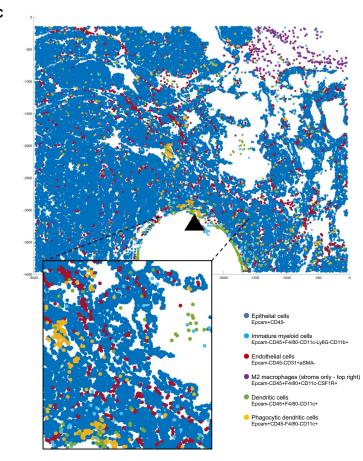






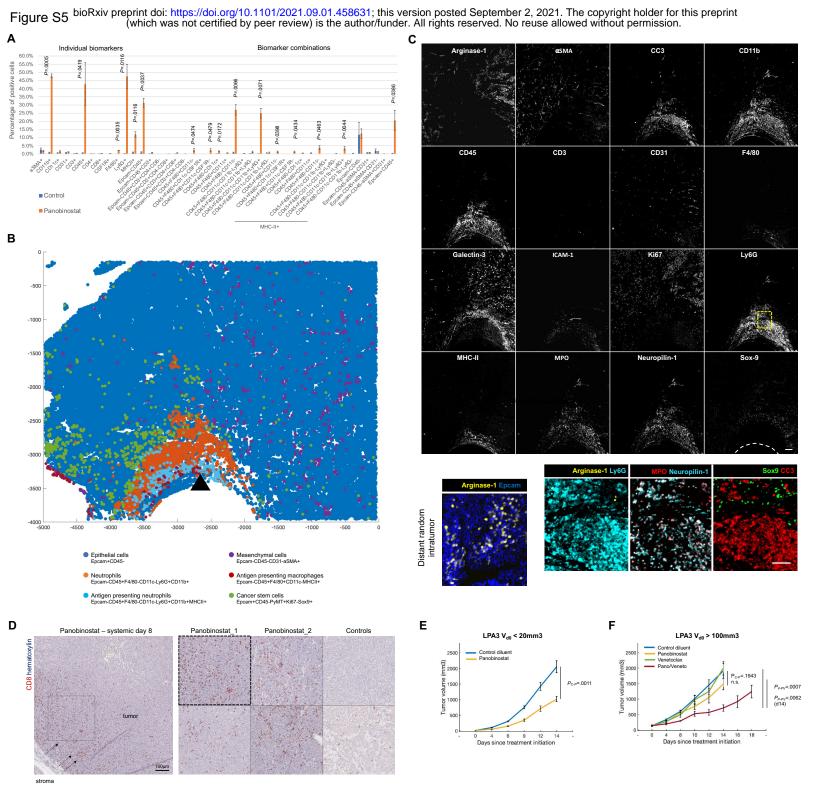
MHC-II+

С

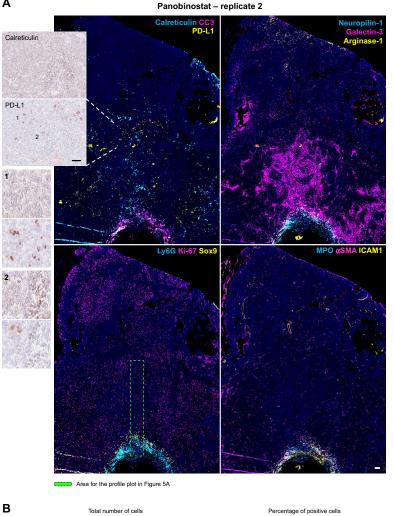


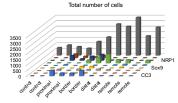
В	Macroscopio	c tumor view	Stroma	I region [1]	Venetoclax assay region [2]		
	aSMA	CD11b 1	aSMA 10	CD11b	aSMA	CD11b	
	CD11c	CD31	CD11c	CD31	CD11c	CD31	6 A
	CD3	CD45	CD3	CD45	CD3	CD45	
	CSF1R	F480	CSF1R	F480	CSF1R	F480	.*
	Ly6G	MHC-II	Ly6G	MHC-II	Ly6G	MHC-II	
	Epcam	РуМТ	Epeam	РуМТ	Epcan ·	РуМТ	
	nuclei 1		nucléi	merge	nuclei	merge	2.75 3.9 m
		PyMT CD31 CD11c	*PyMT – oncogene protein in MMTV-PyMT mouse model				

F4/80

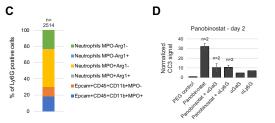


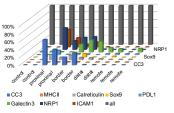


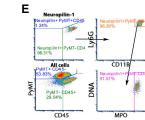


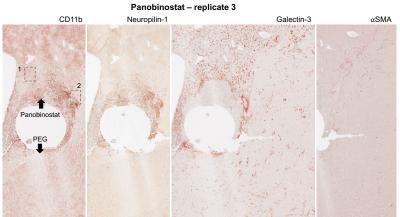


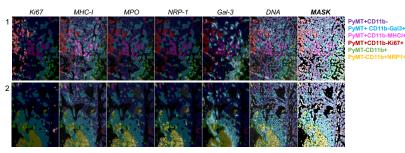
CC3 MHCII Calreticulin Sox9 PDL1
 Galectin3 NRP1 ICAM1 all

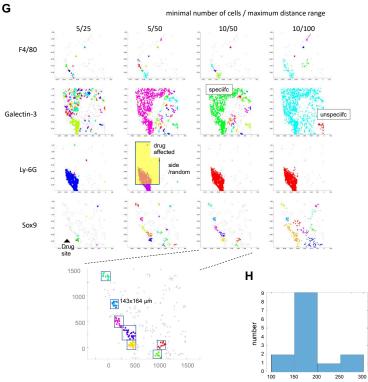






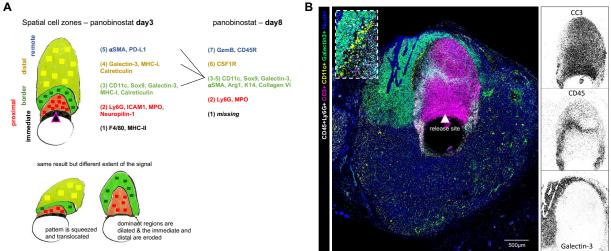


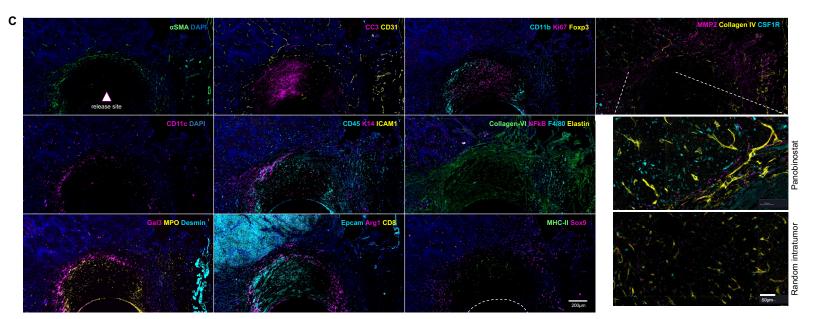


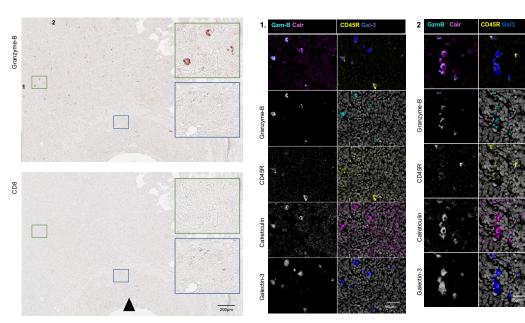


distance (µm)

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# palbociclib – CDK4/6i venetoclax – BCL2i

potentiation: early anti-CSF1(R)

### potentiation: anti-CD40

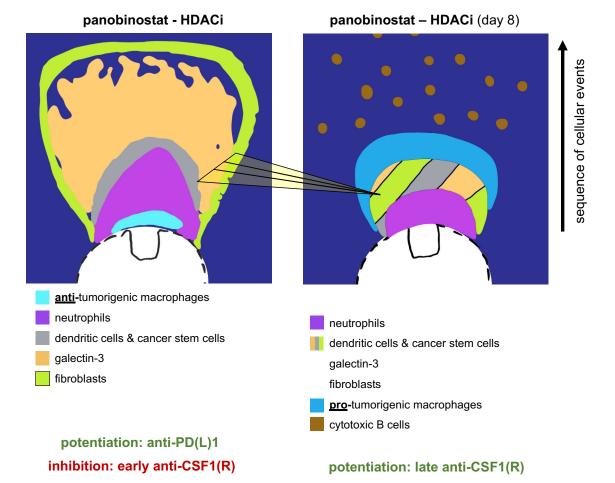
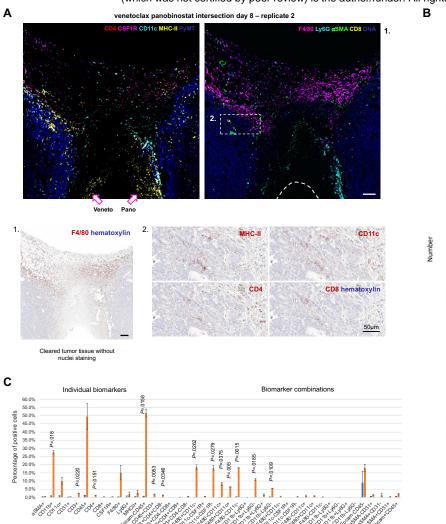


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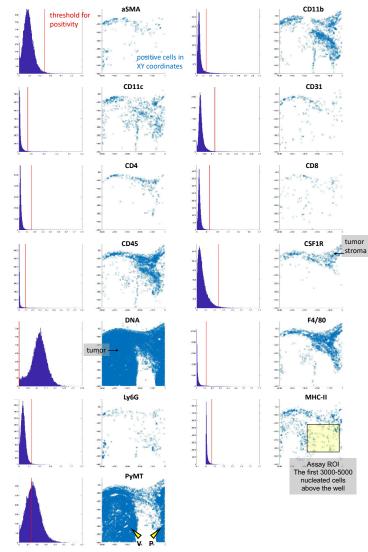
CONSTANS CONSTANT

MHC-II+

604<sup>53</sup>

Panobinostat/Venetoclax intersection

Control



Mean expression intensity (single parameter)