Diffusion MRI Anisotropy in the Cerebral Cortex is Determined by Unmyelinated Tissue Features

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Abstract

Diffusion magnetic resonance imaging (dMRI) is commonly used to assess the tissue and cellular substructure of the human brain. In the white matter, myelinated axons are the principal neural elements that shape dMRI through the restriction of water diffusion; however, in the gray matter the relative contributions of myelinated axons and other tissue features to dMRI are poorly understood. Here we investigate the determinants of diffusion in the cerebral cortex. Specifically, we ask whether myelinated axons significantly shape dMRI fractional anisotropy (dMRI-FA), a measure commonly used to characterize tissue properties in humans. We compared ultra-high resolution *ex vivo* dMRI data from the brain of a marmoset monkey with both myelin- and Nissl-stained histological sections obtained from the same brain after scanning. We found that cortical diffusion was only minimally related to the density and arrangement of myelinated fibers. Instead, the spatial pattern of dMRI-FA in the cortex was more closely related to anisotropy of tissue features indicated in the Nissl stained sections. Our results suggest that unmyelinated neurites such as large caliber apical dendrites are the primary features shaping dMRI measures in the cerebral cortex.

Introduction

Recent advances in high resolution diffusion MRI (dMRI) methods have revealed significant diffusion anisotropy in the cerebral cortex gray matter[1–8]. This finding provides an opportunity to extend the use of dMRI beyond its well-established application for characterizing white matter projections. Determining the cellular and histological features that give rise to this anisotropy is important, because it could offer a way for MRI methods to detect and measure the detailed cytoarchitectural properties of gray matter, both in healthy tissue and in disease states. Cytoarchitecturally grounded MRI contrasts could aid in the detection of neuropathology by linking foundational histological studies in the medical field[9] to *in vivo* studies in humans at the level of individual subjects. For example, linking dMRI variation to tissue structure could be applied to track the progression of cortical pathology during the early stages of Alzheimer's disease[10], or to track tissue changes in conditions like PTSD[11].

The histological basis of diffusion anisotropy in gray matter is not yet well explored[12]. Based on principles derived from the analysis of white matter, where the majority of previous histological comparisons have been carried out[13,14], one possibility is that cortical dMRI patterns most directly reflect the density and arrangement of myelinated fibers[2,8,15–21]. Large caliber axons, similar to those in white matter, can also be found in gray matter. For example some large incoming and outgoing axonal projections run vertically through the cortex into the underlying white matter[8,22,23]. However, these are relatively sparse, and are only one aspect of gray matter myeloarchitecture. Myelinated axons in the gray matter are often organized very differently than in the white matter, with less dense packing, smaller caliber fibers, and sometimes a matted, rather than bundled, mesoscopic structure[24].

The spatial distribution of cortical myelin density varies substantially by horizontal location (anatomical region) and by vertical (laminar) position. The myelin content of voxels may be assessed using a wide variety of MRI contrasts[13,14,25], such as the T1w/T2w or magnetization transfer ratio (MTR). In fact, myelin density is currently the principal means by which MRI is used to estimate the histological boundaries of cortical areas in the human brain in-vivo[26]. Quantitative assessment of MRI sensitivity to myelin, including the potential role of diffusion MRI (dMRI), is a topic of active research[13,14,25]. For dMRI specifically, some evidence suggests that the cortical variation of fractional anisotropy is determined more directly by the organizational structure of myelinated fibers, rather than by their density *per se*[3,27], although this prospect has not yet been demonstrated quantitatively[2]. Histological analysis of the cortex

has revealed significant variation in the organization of myelinated axons from region to region,[24,28] to

which diffusion anisotropy may be sensitive.

However, compared to white matter, myelinated axons are a comparatively small component of

gray matter tissue structure. The cortical neuropil is dominated by unmyelinated substructures that

may significantly shape the diffusion MRI signal, possibly outweighing or otherwise complementing the

contribution of myelinated axons[29,30,31]. Further, it is known from previous work that myelin does not

necessarily drive the compartmentalization of water molecules underlying dMRI anisotropy, even in

myelinated axons, with lipid cell membranes being at least as important[31]. In the white matter, these

elements covary because the overwhelming majority of neurites are myelinated axons, so the

distinction between myelinated and unmyelinated neurites has not been of great importance[32].

However, given the greater tissue complexity of gray matter, recent theoretical and empirical studies

have begun to explicitly consider the contribution of unmyelinated tissue components[2,3,33].

The most conspicuous anatomical feature of the cerebral cortex is its laminar structure. Previous

dMRI studies investigating the cortex have consistently found that the principal diffusion direction is

perpendicular (henceforth, "vertical") to the pial surface, with the exception of thin zones directly

adjacent to the pial and white matter boundaries[3,34–36]. The diffusion orientations in white matter reflect

the organization of axonal projections into directed bundles. The predominance of vertical diffusion in

gray matter may reflect the organization of cell bodies, axons, dendrites and other tissue components

into columnar structures[2,3]. Vertically oriented tissue features in the gray matter include some

myelinated axons[22,23], but they also include, for example, apical dendrite bundles projecting vertically

from deep to superficial layers[3,33,37–39] as well as the neurites of many other more regional and layer

specific cell types[23,37,40].

In this study, we compared the spatial variation of dMRI anisotropy in the primate cerebral cortex

to a range of histological features revealed through common tissue stains. We performed high

resolution *ex vivo* MRI scans of the brain of a common marmoset (Callithrix jacchus) and subsequently

sectioned, stained, and co-registered the tissue, allowing for a pointwise comparison of dMRI fractional

anisotropy (dMRI-FA) and tissue features across the gray matter. We found that the spatial variation of

dMRI-FA showed little correspondence to that of myelin content, but that myelin content was instead

well captured by a different MRI measure, namely the magnetization transfer ratio (MTR). However, the

spatial variation of dMRI-FA corresponded well to that of structure tensor[41] derived histological

anisotropy (HA) computed from the same sections, and particularly to that produced from Nissl staining.

These findings bring to bear the important role of unmyelinated tissue components, such as the

prominent apical dendrites of infragranular pyramidal neurons[37–39], in shaping diffusion MRI signals in

the cortical gray matter.

114 Results

To assess the histological features underlying dMRI-FA in the primate cerebral cortex, we compared

ex vivo high-resolution dMRI data from the brain of a common marmoset, to histological sections

obtained from the same brain following the scanning session. The brain was scanned for 58 hours on a

7T Bruker preclinical scanner with 450mT/m gradient coils. Six whole-brain volumes at 150μm isotropic

resolution were averaged for each of 60 diffusion encoded directions and two B0 volumes. This

extensive averaging resulted in a dMRI dataset with a signal-to-noise ratio of approximately 85 in gray

matter. In addition, magnetization transfer ratio (MTR) volumes were acquired in a separate session

from the same brain at an isotropic spatial resolution of 75μm. The MTR sequence is known to be

sensitive to the myelin content of brain tissue[42]. The dMRI volumes were resampled to the higher MTR

resolution for analysis using a spline interpolation. After MRI scanning, the brain's left and right

hemispheres were sectioned into coronal and sagittal sections, respectively, at 50μm on a freezing

microtome. Adjacent sections were stained for Nissl bodies using the Thionin stain, and for myelin using

the Gallyas silver stain. For quantitative analysis, nine strips of gray matter in the dorsal frontal and

parietal lobes were chosen for comparison based on their low curvature, since cortical curvature can

affect observed dMRI-FA values[43]. Nonlinear registration was subsequently applied, allowing for a direct

and precise pixel to pixel comparison of diffusion and histological parameters at 150μm spatial scale

(see **Methods**, **SI Figure 1**).

dMRI fractional anisotropy minimally reflects myelin content

We began our analysis with a simple pixelwise correlation of MRI and histological stain intensity

within the nine registered sections. Our first question was whether the patterns of dMRI-FA matched

the distribution of myelin intensity, as might be expected based on some previous studies in white

matter regions[13,14]. We found that the spatial variation of dMRI-FA had poor correspondence to myelin

intensity both across laminae (henceforth, *vertically*) and along the cortical sheet (henceforth,

horizontally), as illustrated in a sagittal example section in **Figure 1a**. Comparing the dMRI-FA to the

*Figure 1. Comparison of dMRI FA to corresponding myelin histology and MTR MRI data obtained from the same tissue in the right hemisphere of marmoset monkey case P. **a)** Sagittal sections of dMRI-FA (**top**), Myelin histology (**center**) and MTR MRI (**bottom**). The spatial variation of dMRI-FA in vertical (laminar) and horizontal direction showed minimal correspondence with the pattern of myelin intensity, but the variation of the MTR MRI closely mirrored the myelin variation. In some regions of high myelination, the dMRI-FA was high (red arrow) whereas in others it was low (blue arrow). **b)** Normalized average laminar profiles of FA, MTR and myelin intensity through the cortical thickness in the brain's left hemisphere, derived from cortical parcellation (see **Methods, Figure 3**). **c)** Histogram of correlation coefficients between MRI variables and histological myelin intensity over the nine sections of coronal histology used in this study. MTR MRI shows much higher correspondence with myelin intensity than dMRI-FA does.*

myelin stain intensity revealed areas of apparent matching (e.g. blue arrow) and others of clear

discrepancy (e.g. red arrow). In the vertical direction, myelin intensity declined monotonically from the

white matter to the pia[44]. This differed from the laminar pattern of dMRI-FA, which peaked in the

middle cortical layers (**Figure 1b**)[4,45]. In contrast to dMRI-FA, the MTR MRI contrast closely matched the

laminar pattern of myelin staining, as expected from the known sensitivity of the MTR MRI sequence to

large macromolecules[42]. The myelin intensity showed relatively poor correspondence to dMRI-FA

contrast and high correspondence to the MTR MRI contrast across all sections (**Figure 1c, SI Figure 2**).

We also found poor correspondence between dMRI-FA and Nissl stain intensity across all sections (**SI**

Figure 2).

dMRI-FA reflects anisotropy of cortical tissue features

Having established that myelin stain intensity was only modestly correlated to dMRI-FA, we next

turned our attention to histological features most directly related to anisotropy. We generated

parameter maps from the myelin and Nissl-stained sections based on the structure tensor (ST) model

(see **Methods**, **Figure 2, SI Figure 3**). Like the MRI-based diffusion tensor, the structure tensor derived

from histological images offers both vector and scalar information about the tissue, in the form of

principal orientations and ST coherence[46]. The ST calculation was weighted over a mesoscopic spatial

area that matched the dMRI acquisition resolution of 150μm (see **Methods**). Like the dMRI data, the

spatial variation of the ST parameters was resampled to 75μm for analysis. For both the myelin- and

Nissl-stained sections, the principal orientation of the ST was strikingly uniform and nearly always

perpendicular to the pial surface (i.e., vertical), corresponding well to the orientation map obtained

from the dMRI diffusion tensor (**Figure 2a**). While it was not the focus of the present study, we also

found that the 2D tractography computed from the histological ST and dMRI tensor data yielded

qualitatively nearly identical results (**SI Figure 4**). Only in thin laminar zones directly adjacent to the pia

and the white matter did this principal orientation deviate significantly from the vertical and differ

across measurements.

The histological ST coherence is similar to dMRI-FA in that it reflects the degree of alignment of

objects. However, unlike dMRI-FA[2,16], the magnitude of ST coherence does not incorporate the density

of oriented features within an area[46] (**SI Figure 3**). We therefore devised measures of histological

anisotropy (HA) that were more directly analogous to dMRI-FA by multiplying the histological ST

coherence values with a pixelwise approximation of neurite density. To derive HA from the myelin stain

(**myelin-HA**), we multiplied the myelin-based ST coherence with the normalized histological myelin

density. For Nissl data, we computed HA (**Nissl-HA**) as the product of Nissl ST coherence and the Nissl

derived "neurite fraction"[47] (see **Methods**). These measures served as summary statistics whose

*Figure 2. Properties of directional MRI and histology data. For comparison with MRI data, the weighted structure tensor of the myelin and Nissl histology images was computed over a 150μm area (see **Methods**). **a**) Orientation data derived from Nissl and myelin histology and from dMRI are similar, showing columnar, vertically oriented vectors. The overall pattern of orientations in the gray matter is remarkably similar for the data computed from histology and from dMRI. **b**) The right column shows dMRI-FA and nonlinearly registered histology anisotropy maps which show the degree of edge dispersion from the mean in 75μm tiles (see **Methods**).*

variation could be compared systematically with that of the dMRI-FA across the gray matter (**Figure 2b**).

While the inclusion of neurite density into the HA measure was deemed appropriate for this

comparison, qualitatively similar results for all figures were obtained using maps of the histological

coherence alone (**SI Figure 5**).

For each section, we quantitatively compared the spatial variation of dMRI-FA to the Nissl-HA,

myelin-HA, and myelin stain intensity scalar parameter maps (see **Methods**). For completeness, we also

compared the MTR MRI data to the same histological parameters. To accommodate anatomical

variability and potential inconsistencies in histological stain intensity, we employed a parcellation

approach in our analysis of the gray matter. In each of the nine low-curvature sections, we compared

the spatial variation in the MRI and histological parameter maps by computing the correlation over

multiple parcellated subdivisions spanning the thickness of the cortex (e.g. red trapezoids in **Fig. 3**, see

Methods, SI Figure 6). We focused analysis on a horizontal parcellation width of 300μm along the pial

boundary, though the results of the analysis were robust to parcel sizes ranging over a hundred-fold in

Figure 3. Example correlation of Histology and MRI variables sampled in columnar parcels. a) Comparison of dMRI fractional anisotropy to corresponding Nissl-HA and Myelin-HA from one parcel of corresponding tissue. b) Comparison of magnetic transfer ratio (MTR) MRI contrast with myelin intensity in same parcel as a). In both a) and b), the top row depicts nonlinearly co-registered histology parameter maps and MRI at 75μm resolution. The red trapezoids indicate a columnar parcel example. The bottom row shows representative pixelwise scatter plots of the co-registered data within the sample parcel of cortex, and Pearson correlation coefficients between histological variables and MRI variables.

area (see **SI Figure 7**). Within a given parcel, a pixel-by-pixel correlation of variation within each column

revealed different levels of correspondence for the different histological and MRI parameters. This

difference can be seen in the example parcel in **Figure 3**. For this parcel, the dMRI-FA showed the

strongest spatial correspondence to the histological anisotropy of the Nissl-stained sections and

somewhat less to that of the myelin-stained section (**Figure 3a**, bottom). It did not correlate well with

the profile of myelin intensity, which had a rather different laminar profile. However, the magnetization

transfer ratio (MTR) MRI, exhibited a strong correlation with the myelin distribution (**Figure 3b**, bottom),

consistent with previous findings[13,14].

*Figure 4 The distribution of correlation coefficients between histological parameters and dMRI-FA over all 393 parcels of cortex. **a)** correlations of histology variables to dMRI-FA **b)** Correlation of MTR to Myelin Intensity. Each point in the distribution is the Pearson correlation between the variables sampled in a vertical parcel (see **Methods**). Colors indicate the anatomical region a parcel falls within. Black square shows median value and vertical gray bars show lower and upper quartiles. ρ indicates Pearson correlation coefficient.*

We evaluated such correlations across all 393 parcels in the nine sections for the different MRI

and histological parameter maps, noting the anatomical region of each parcel with reference to the

Paxinos et al atlas[48,49]. The distributions of dMRI-FA correlations across all parcels to the three

histological parameter maps are shown in **Figure 4a**. The color of each point represents the cortical area

from the atlas. Across the cortex, the Nissl histological anisotropy (Nissl-HA) showed consistently higher

correlations with the dMRI-FA (ρ_{median} = 0.76, ρ_{mean} = 0.72) than did the myelin anisotropy (ρ_{median} = 0.63,

ρ_{mean} = 0.57) or myelin intensity (ρ_{median} = 0.47, ρ_{mean} = 0.39). The spatial maps of myelin intensity closely

matched those of the MTR MRI contrast (**Figure 4b)**, (ρ_{median} = 0.94, ρ_{mean} = 0.93). Nissl-HA and myelin-

HA were correlated moderately to one another (**SI Figure 7**). For all histological parameters, parcels with

relatively high and low tissue correlation coefficients were generally intermixed within each atlas area.

One exception to this intermixing was the correlation of dMRI-FA with agranular regions such as primary

motor cortex (4ab), which had notably high correlations. A similar pattern of results was obtained when

we substituted an in-plane, two-dimensional measure of dMRI anisotropy[41] for the three-dimensional

dMRI-FA measure (**SI Figure 8**). The closer correspondence of dMRI-FA to the Nissl- rather than the

myelin-stained tissue features indicates that, across the cerebral cortex, unmyelinated tissue structures

drive the spatial variation in high-resolution dMRI-FA maps.

Because fractional anisotropy can itself be broken down into diffusivity components, we further

investigated how each of these components tracked our measured tissue features. Fractional anisotropy

(dMRI-FA) is related to the ratio of axial diffusivity (AD) to radial diffusivity (RD), with the former

corresponding to diffusion along the principal diffusion axis, and the latter corresponding to diffusion

perpendicular to this axis. An increase in dMRI-FA can thus be effected either by a relative increase in

AD (vertical diffusivity) or a decrease in RD, and we found dMRI-FA was linked to both in the gray matter

(**SI Figure 9**). Previous work has established a strong association between lowered RD and myelin

content in coherently organized white matter[19], reflecting the tendency of myelin to restrict diffusion

perpendicular to axons. Consistent with these findings, we found that the spatial variation of RD was

strongly anticorrelated with that of both myelin stain intensity and myelin-HA in the cortical gray matter

(**SI Figure 9, SI Figure 10, SI Figure 11**). However, the spatial variation in axial diffusivity, quantifying

diffusion in the vertical direction, had no discernable relationship to myelin-HA, and was moderately

anticorrelated to myelin intensity. Nissl-HA was more modestly anticorrelated to RD, however unlike

myelin-HA it was also positively correlated to AD. These findings suggest that myelinated axons, though

tightly linked with the variation of RD, have only a secondary contribution to the overall spatial

distribution of dMRI anisotropy in the cerebral cortex.

Horizontal variation in dMRI-FA is tracked by Nissl-HA, not myelin-HA

Given that the laminar (vertical) and tangential (horizontal) dimensions of the cortex differ substantially

in their architectural variation, we performed additional analyses to consider these dimensions

231 separately. First, we addressed the vertical dimension. We analyzed the mean laminar variation of

232 dMRI-FA and compared it to the histological anisotropy (**Figure 5a**). Collapsing the data across all

233 horizontal cortical positions (see **Methods**) revealed a pronounced laminar profile for each of the

234 measured parameters. The dMRI-FA profile had its highest responses in the middle or lower-middle

235 cortical layers, with a decline in anisotropy in both upper layers, and lower layers near the white gray

236 boundary (WGB). This profile was consistent with results from human dMRI[4], although our higher

237 resolution data revealed significantly more underlying variation in the laminar profiles of individual

238 horizontal locations (**SI Figure 12, SI Figure 13**). The average laminar profile of dMRI-FA across all

239 horizontal regions in **Figure 5a** resembled the observed histological anisotropy, but with stronger

240 correspondence to the Nissl-HA measure. In particular, like dMRI-FA, Nissl-HA took a low value near the

241 white gray boundary and peaked in middle layers, but myelin-HA had a relatively high value in the

242 deepest layers. As described earlier, the profile of dMRI-FA differed markedly from the more monotonic

243 profiles of myelin intensity and MTR (**Figure 1c**). The average laminar Nissl-staining intensity exhibited

244 nearly the inverse profile to the myelin intensity, having its highest intensity in the superficial layers (**SI**

245 **Figure 14**).

Given these conspicuous average laminar profiles, we next asked whether the observed

correspondence between dMRI-FA and the two measures of histological anisotropy could be ascribed to

laminar variation alone, or also to variation in the horizontal direction, reflecting tissue differences

between anatomically defined cortical areas. To address this question, we shuffled the horizontal

locations of the columnar parcels in the histological parameter maps, relative to the locations of their

corresponding dMRI-FA parcels. If such shuffling were to have no effect on the observed parcel

correlations, then we could conclude that the results in **Figure 4** are fully explained by laminar variation

that follows a stereotypical pattern across the whole cortex.

We found that horizontal shuffling strongly affected the correlations of Nissl-HA with dMRI-FA, but

did not significantly change the myelin based histological/MRI correlations (**Figure 5b**). For Nissl-HA

only, horizontal shuffling caused the mean correlation to drop significantly, from 0.72 to 0.52 (t-test,

$p<10^{-10}$). These results indicate that the Nissl-HA measure has unique, region specific cytoarchitectural

correspondences to dMRI-FA that go beyond the laminar profile of the cortex in general. In contrast, the

relationships of myelin-HA and myelin intensity to dMRI-FA lack this regional specificity. In both those

*Figure 5 Average laminar profiles of FA, Nissl-HA and myelin-HA across all parcels, and the results of a spatial randomization condition to test the regional specificity of parcel-wise histology to MRI correlations. The results show Nissl-HA more closely matches the laminar profile of dMRI-FA, and is more sensitive to shuffling of horizontal MRI locations **a)** Normalized laminar profiles of dMRI-FA, Myelin-HA and Nissl-HA through the cortical thickness, computed from the average of all columnar parcels (see **Methods**). WGB indicates the white/gray matter boundary, PIA indicates the pial surface (see **Methods, Figure 3**) **b)** The change in mean correlation coefficient when the cortical parcels of the histology parameters and those of the dMRI-FA are shuffled between random horizontal locations. Bars indicate standard error. The star indicates significance under a paired t-test. ρ indicates mean Pearson correlation coefficient*

cases, and in the case of the relationship between myelin intensity and MTR, the average laminar profile

in the cortex is sufficient to characterize the relationship between dMRI-FA and histology.

Contribution of apical dendritic processes

The peak value of dMRI-FA is in middle layers, approximately from layer 5 to layer 3, which is

congruent with Nissl-HA but differs from both myelin-HA and myelin density (see **Fig 5a**, **SI Figure 13**).

Nissl-HA serves as an indirect marker for unmyelinated tissue features. However, some evidence

suggests that it reflects, more than any other tissue component, the apical dendrites of layer 5

pyramidal neurons. These dendrites are exceptionally large-caliber unmyelinated neurites that run

vertically towards the pia, coalescing into bundles as they course through layer 4[22,37–39,50,51]. This

universal feature of isocortex has been described in detail in the macaque[38,39], human[52], rat[37,39,50], and

other species[39]. **Figure 6** shows an example of this prominent structural feature of the cerebral cortex in

a second marmoset subject, which we stained for the protein MAP-2 using immunohistochemical

methods. MAP-2 (microtubule associated protein) is a structural protein that is abundant in the soma

and dendrites of those pyramidal cells with the largest dendrites[38]. **Figure 6a** shows that the position

*Figure 6 MAP-2 immunohostochemistry labelled tissue from a second marmoset qualitatively matches the profiles of dMRI-FA and Nissl-HA. **a)** The stain reveals the larger apical dendrites and associated pyramidal cell bodies. **b)** In layer 6 cell body orientations and dendrites are smaller and incoherently organized **c)** Layer 5 pyramidal cell bodies are larger, orientated vertically and project large vertical dendrites which bundle as they proceed into layer 4 **d)** Cell bodies in layers 3 and 2 are smaller and apical dendrites less visble*

and orientation of the pyramidal cell bodies reflect the orientation of their apical dendrites, and the

overall vertical anatomy of cortex, with its bundles of large, unmyelinated dendrites[23,37–40].

The features revealed in the MAP-2 stained tissue (**Figure 6**) were consistent with both the principal

diffusion orientation (**Figure 2**) and the peak diffusion anisotropy observed in the middle cortical layers

(**Figure 5a**). In layer 6, the deepest, multiform cortical layer adjacent to the white matter, Nissl-HA and

dMRI-FA take lower values than myelin-HA (**Figure 5a**). In this layer, pyramidal cell body orientations

are reported in the literature as "tilted"[37,38] with dendrites emerging at a variety of angles. In **Figure 6b**

we confirm this incoherence in the neurites of layer 6 in the marmoset (**Figure 6b**). It bears emphasis

that this deepest portion of the cortex has the highest density of gray matter myelinated axons (**Figure**

1a, Figure 1b), and also high ST anisotropy of those axons, (**Figure 5a, SI Figure 13**) yet these do not lead

to elevated dMRI-FA in this location. In layer 5 and into layer 4 the MAP-2 labelled pyramids are larger,

more plentiful and more vertically oriented. Their dendrites tend to bundle together[37–39] as they project

upward (**Figure 6c**). Dendrites of infragranular pyramidal cells have their tufts in layer 4 or deep layer

3[37–39], thus forming a lower tier[37–39] of apical dendrite bundles. An upper tier of dendrites takes its origin

in the smaller, less coherently oriented pyramidal cells in Layers 2 and 3[37–39], reflected by the weaker

MAP-2 labelling in the marmoset (**Figure 6d**). Thus, while many tissue features may contribute to the

restriction of water diffusion in the circuitry of the cerebral cortex, the apical dendrites of layer 5

pyramidal neurons are a ubiquitous cortical feature that appear to have a particularly important role.

Discussion

The results of this study demonstrate that diffusion MRI in cortical gray matter can capture

important, hitherto inaccessible cytoarchitectural tissue properties in vivo. We compared histological

data to fractional anisotropy of the diffusion tensor (dMRI-FA), a widely used and straightforward dMRI

parameter with minimal *a priori* assumptions about tissue properties[53]. Our point-by-point analysis

(**Figure 3**, **Figure 4**) of exceptionally high resolution, finely co-registered dMRI-FA and histological

parameter maps from the same brain indicated that, in contrast to many findings in the white

matter[13,14], myelin does not principally shape the mesoscale variation of diffusion anisotropy in the

cerebral cortex .

On deeper investigation, we found that myelin density and histological anisotropy tended to

take high values in the deepest cortical layers, while both dMRI-FA and Nissl histological anisotropy took

high values in the central cortical layers (**Figure 1b, Figure 5a**). Importantly, we found that the pattern of

dMRI-FA correlations with Nissl-HA was disrupted by shuffling the horizontal locations of the tissue

parcels, but the correlations with myelin-based parameters were not (**Figure 5b**). This suggests dMRI-FA

is predominantly shaped by the regional cytoarchitectonic differences reflected in Nissl-HA, rather than

by the myeloarchitecture. We found that these results are consistent with patterns found in apical

dendrites, notably the vertically projecting, bundled apical dendrites of layer 5 neurons [23,37–40,50–52,54].

This is a universal feature of the cerebral cortex, and these dendrites may be strong contributors to the

restriction of diffusion (**Figure 6**).

The cerebral cortex is characterized by distinct anatomical zones, or regions, and the properties

of these regions may be tracked by dMRI-FA. However, many distinct cortical maps have been proposed,

based on different cytoarchitectural, myeloarchitectural, connectional and functional properties[55,56]. Our

cortical parcellation strategy (**Methods**, **Figure 3**, **SI Figure 6**) was designed to accommodate this variety,

by systematically assessing the tissue over many differently sized subdivisions (**SI Figure 7**). Our results

were robust to a range of parcel sizes, whose horizontal extents spanned two orders of magnitude. This

tolerance to parcel size suggests the correlation results were minimally affected by changes in stain

properties within a section, or by parcels that spanned cytoarchitectural boundaries. Similarly, the

absence of an obvious correspondence between the observed parcel correlations, and any particular

cytoarchitectonic regions, indicates that the high point-by-point correlations between Nissl-HA and

dMRI-FA we observed are a feature that generalizes over the cortex.

An exception to this general pattern was found in layer 4 of granular cortex, where there was

sometimes a mismatch between low Nissl-HA, and high dMRI-FA (**SI Figure 15a**), leading to somewhat

diminished correlations. The relatively lower Nissl-HA values in layer 4 likely stemmed from the

predominance of round granular cell bodies. Given the vertical orientation in cortex, the high dMRI-FA

values[4,5] in layer 4 most likely reflect the prominence of apical dendrite bundles coursing through the

granular layer toward the pial surface[23,37–40,50–52,54], an organization that was strongly evident in our

MAP-2 staining. Other neurites, such as layer IV terminals of thalamic afferent axons[57] (**SI Figure 15b**),

and the unmyelinated, vertical axons of layer 4 cells themselves[23], likely contribute to the restricted

diffusion in this layer as well. In areas of relatively low granularity, these apical dendrites are adequately

indicated by orientation cues in the Nissl stain. However, in highly granular areas, the Nissl-HA indicates

diminished anisotropy in layer 4.

The specific association of Nissl-HA and dMRI-FA outside of layer 4 is likely related to the

arrangement of the cell bodies into cellular minicolumns for which apical dendrite bundles are thought

to provide a substructure[23,37–40,52,54]. Cellular minicolumns in Nissl stained data have been used to detect

and quantify the architecture of the cortex[23,58–60], and recent studies have begun to explore their

relationships to parameters derived from the diffusion tensor in ex-vivo human data[61,62]. Apical dendrite

bundles exhibit a hexagonal packing structure when viewed in tangentially sectioned tissue[37,52,54]. In the

vertical plane, soma of all cell types are packed vertically along the cells of this honeycomb-like

structure[37,52,54], and these packed cell bodies exhibit composite vertical edges[52], which are likely to be

detected by our structure tensor methods[23,37,38,40,63] (**SI Figure 2**). A similar structure tensor based

method has very recently been applied to detect white matter fiber bundle orientations via

arrangements of oligodendrocytes in Nissl data[64].

In addition to apical dendrites, dMRI-FA is likely also shaped by other unmyelinated tissue features,

such as the axons of double bouquet cells[23,39] or layer IV terminals of thalamic afferent axons[57].

Immunohistochemical stains for proteins such as MAP τ[65], SMI-312[66], SMI-311[66], GFAP[67] and others may

be useful to investigate the relative contributions of other non-myelinated tissue features, though

quantification of neurite structure is difficult using antibody staining. For example, MAP-2 is a protein

implicated in microtubule assembly, which is disproportionately expressed in the largest dendrites, thus

leaving thinner dendrites unstained, even if they are numerous[37]. The specific combination of tissue

features that shape cortical dMRI-FA cannot be fully known at this point and may well differ between

anatomical regions. Further research is required to understand the columnar anatomy of the cortex

itself[37,63], especially in a quantitative way. With that said, the strong spatial covariation (median 0.76) of

Nissl-HA to dMRI-FA, and the regional specificity of that relationship, holds promise that the structural

basis of diffusion MRI in the cortex can be well understood.

Our finding that Nissl-HA and myelin-HA correlated in distinct ways to the diffusivity components of

dMRI-FA may offer some further clues to its anatomical basis. We found that the cortical variation of

dMRI-FA, which is related to the ratio of axial to radial diffusivity, was as strongly driven by increases in

its axial component as by decreases in its radial one (**SI Figure 9**). In the samples of gray matter we

studied, AD is always diffusivity in the vertical direction, perpendicular to the pial surface. Of the

histological measures, only Nissl-HA positively correlated with axial diffusivity. Myelin-HA did not have a

consistent relationship to AD, however it did have a notably strong and negative relationship to radial

diffusivity (**SI Figure 9b, SI Figure 11**), indicating its known role in restricting diffusion perpendicular to

the orientation of axons[19,20]. However, the strong anti-correlation of RD and myelin-HA did not predict

the spatial variation of dMRI-FA per se, which was strongly driven by variation in axial diffusivity. We do

not yet know why Nissl-HA and dMRI-FA reliably covary with AD, while myelin parameters and MTR do

not. However, unlike myelinated axons, apical dendrites have a reliably vertical orientation[23,37–40,52,54].

Combined with their large numbers, and potentially also with differences in intrinsic diffusivity between

neurites[68], variations in apical dendrite density may be responsible for this effect.

The distinct diffusivity profiles of myelin-HA and Nissl-HA are a matter for further research, because

they might be used in biophysically motivated models of the diffusion signal[12,68,69] that can distinguish

between myelinated and non-myelinated tissue compartments. In dMRI models of white matter, it is

sometimes assumed that the intrinsic rate of diffusion in the axoplasm parallel to the membrane is

constant[68,70]. In the more heterogenous environment of gray matter, this assumption may not hold[12]

and instead, the cytoplasm of different cell compartments may have distinct intrinsic diffusivities[31].

Recent modelling efforts in white matter have sought to simultaneously measure variation in intra-

axonal diffusivity, and variation in axon orientation[68]. Related biophysical models may prove especially

important in gray matter. Models that include myelin levels, cortical curvature[61,62] and location in the

cortical depth may be able to gather very detailed information about the human cortex in-vivo.

Accurate gray matter diffusion models for applications related to human health must be

grounded in decisive empirical data. The variation of diffusion MRI parameters may reflect valuable

information such as cytoarchitectural differences between cortical regions, or degradation of tissue due

to disease[61,62]. Measurements taken in-vivo at low resolution will represent the influences not only of

cyto and myeloarchitecture, but also of cortical curvature[43,61,62]. High resolution data in ex-vivo animal

models is important for establishing the histological basis of signals that can be obtained in humans,

now or in the near future as imaging capacities continue to improve. In our exceptionally high resolution

ex-vivo dMRI data, we found the cortex was dominated by structures running perpendicular to the pial

surface, and the simple diffusion tensor model was sufficient to capture the variation in anisotropy.

However, when imaging the brain at lower resolution in-vivo, more complex models may be necessary

to represent the heterogeneous tissue properties contained within the much larger voxels. The

marmoset brain has a cortical thickness and cytoarchitectural complexity that is similar to the human,

and it can be used as a model of human neurodegenerative processes[71]. Moreover, its small brain

volume and lissencephalic cortex allows for the study histological dMRI correlates in detail and at high

resolution, without the effects of curvature. This information may form a basis for the interpretation of

signals from the highly gyrencephalic human brain scanned at lower resolution[61,62].

Diseases such as Alzheimer's are believed to involve subtle changes to tissue properties

especially in their early stages, and the ability to gather specific cytoarchitectural information separately

from myeloarchitectural in-vivo may be crucial[10], particularly since relatively little post-mortem

information is available regarding the early tissue changes. The early stages of Alzheimer's and other

diseases of the gray matter neuropil can manifest as cellular damage and inflammation rather than

demyelination[72]. Changes in mean diffusivity in gray matter have been associated with Alzheimer's

pathology[73]. The present findings may inform the development of dMRI models of the gray matter,

perhaps to specifically target neuroinflammation[10] or other aspects of Alzheimer's pathology in the

earliest stages.

Methods

We describe below the methods used in this study, sample preparation and MRI acquisition,

sectioning and staining, registration and finally statistical analysis.

Animals and materials

The brain of one adult marmoset (female, 5-year-old, "Case P") from a previous study[48] was used for MRI

to histology comparison. Tissue from a second brain, (female, 2-year-old, "Case F"), was obtained from an

unrelated study to examine the MAP2 immunohistochemistry.

Ex vivo high-resolution MRI Acquisition

Before MRI scanning, the formalin-fixed marmoset brain of case P was soaked with 0.15%

gadopentetate dimeglumine (Magnevist, Bayer Leverkusen, Germany) for 3 weeks to reduce the T1

relaxation time. In order to improve SNR, brain P was cut through the midline, and each hemisphere was

scanned separately with a 25-mm birdcage volume coil.

For the left hemisphere Diffusion MRI was collected with a 3D diffusion-weighted spin-echo EPI

sequence with a spatial resolution of 150µm isotropic voxel size. The 3D diffusion EPI sequence

parameters were: TR = 400 ms, TE = 28.4 ms, flip angle = 90°, FOV = 38.4 x 24 x 21 mm, matrix size = 256

x 160 x 140, resolution = 0.15 mm isotropic. Sixty two DWI volumes (2 at b=0, and 60 directions with

b=4800) were acquired using 3 averages. The same 62 volumes were collected a second time with

identical settings. The total DWI acquisition time was about 58 hours. The pulse width for the diffusion

weighting gradient is 6.4 ms and pulse separation is 14 ms.

The right hemisphere was scanned with 100µm resolution. The parameters for the 3D diffusion-

weighted spin-echo EPI sequence were: TR = 400 ms, TE = 35 ms, flip angle = 90°, FOV = 38.4 x 20 x 25

mm, matrix size = 348 x 200 x 250, resolution = 0.10 mm isotropic, a total of 132 DWI images (6 at b=0,

and 126 directions with b = 4800), and total acquisition time was about 55 hours.

The left and right hemispheres separately underwent scanning for MTR at 75μm and 150μm

respectively.

The MTR images were collected with a 3D FLASH sequence. For the resolution of 150μm, the

parameters were: TR = 21.5 ms, TE = 3.6 ms, flip angle = 20^0, FOV = 38.4 x 24 x 21 mm, matrix size = 256

x 160 x 140, number of averages = 8 and number of repetitions = 6, total acquisition time about 13 hour

For the resolution of 75μm acquisition, the parameters are: TR = 21.9 ms, TE = 3.8 ms, flip angle = 20^0,

FOV = 34.8 x 20.4 x 20.1 mm, matrix size = 464 x 272 x 268, number of averages=5 and number of

repetition = 14, total acquisition time about 60 hours. The magnetization transfer was achieved (in M_{sat}

scans) by a Gaussian shaped pulse at +2000 Hz offset frequency in one scan and at -2000 Hz in the other

scan of a paired acquisition; the duration of pulse is 12.5 ms and flip angle is 540^0 and the pulse is

present in every TR. This magnetization transfer pulse is turned off in M_{off} scans. The MTR value is

calculated as $100*(1-M_{sat}/M_{off})$.

MRI Processing

Diffusion Tensor Estimation dMRI data was preprocessed using TORTOISE v3[74] which includes code

for denoising[75]. After rotation to the histology slice plane (see *Registration of histology and MRI data*

below), the diffusion tensor was estimated using FSL 5.0.11 DTIFIT. AD, RD and the in-plane anisotropy[41]

were calculated using FSL 5.0.11 fslmaths.

For estimation of cytoarchitectural boundaries, we registered the Paxinos et al atlas[48] to the left

hemisphere of case P MTR using ANTS[76]

Registered dMRI tensor parameter maps and MT data were split into separate coronal 32 bit

quantitative tif images and grouped according to slice number for registration.

Histological Processing of MRI brain

Case P's left hemisphere was coronally sectioned at 50μm on a freezing microtome, while the right

hemisphere was sectioned sagittally. Alternate sections were stained for Nissl bodies and for myelin.

The Gallyas silver stain for myelin was selected to stain individual axons in high detail[77]. We used the

Thionin stain for Nissl bodies. This is a traditional staining method that identifies cell bodies and some

dendrites proximal to the soma, but very few axons[78]. Each histological section was scanned at 0.88μm

resolution (10x magnification) using a Zeiss Axioscan microscope slide scanner. Higher resolution

0.44μm microscopy produced similar ST results. Nine matched pairs of Thionin/Gallyas stained sections

were selected for non-linear registration based on criteria of even staining and minimal damage.

MAP-2 Immunohistochemistry

The brain of a second marmoset, case F, was used to gather MAP-2 immunohistochemistry. The brain's

right hemisphere was sectioned at 40 μm on a freezing microtome. A free-floating brain section was

submitted to the heat-induced epitope retrieval to enhance immunolabeling by incubating it for 30

minutes in 10 mM Tris-EDTA buffer (pH 9.5) at 80°C[79]. Then the section was incubated for 60 h at 4°C

with a 1:200 dilution of a rabbit anti MAP-2 primary antibody (188 003, Synaptic Systems, Germany) and

for 3 h with a 1:250 dilution of a goat anti-rabbit secondary antibody conjugated to DyLight 680

fluorophore (35569, Invitrogen, Waltham, Ma). Finally, the section was mounted on a glass slide, air-

dried, and cover-slipped with DEPEX mounting media (13515, Electron Microscopy Sciences, Hatfield,

PA). What is the scan resolution?

Registration of histology and MRI data

Rigid body rotation to histology slice plane. For histology to MRI registration, we first performed an

initial rigid body alignment of the 75μm M_{sat} data (**SI Figure 1**) to match the histology slice plane for each

of P's hemispheres. This transformation was estimated by-eye using the mango MRI editor/viewer[80] and

the manually transformed MT volume was used as the target for FSL 5.0.11 FLIRT[81] to transform the

remainder of the MRI data.

476 *Registration of MT and dMRI.* The 150μm dMRI was rigid body registered to the 75μm MT volume

477 using FSL FLIRT, and in doing so the dMRI was upsampled to 75μm using spline interpolation. The b-

478 vectors were rotated using the FAST transform and custom MATLAB code.

479 *MIND nonlinear registration of local histology ROI.* After performing ST computations on the

480 histology to produce ST anisotropy parameter maps (see *Structure Tensor Analysis of Histological*

481 *Orientations and Anisotropy* below), we performed careful nonlinear alignment of nine local frontal and

482 parietal ROI from the histology to the MRI (**SI figure 1**). 75μm resolution stain intensity maps of nine

483 coronal sections of each of Nissl and myelin histology were first binarized using a threshold adjustment

484 in imageJ[82] and then registered to their corresponding MRI slices to correct for histology shrinkage and

485 deformation, first using manual 2D alignment in imageJ[82] and then MIND MATLAB code[83] (α=0.1). To

486 avoid registration between MRI and histological features whose anatomical meanings and relationships

487 were unknown, the registration source and target images were featureless gray matter masks gray

488 matter derived from the MT data (**SI figure 1**). Custom MATLAB code was written to store and apply

489 these transforms to ST maps (see *Structure Tensor Analysis of Histological Orientations and Anisotropy*

490 below) and other parameter maps derived from the histology. We selected regions of frontal and

491 parietal cortex for nonlinear alignment whose minimal gyrification ensured that the diffusion MRI signal

492 was largely unaffected by cortical curvature[43]. This registration process allowed us to directly compare

493 the cortical variation of diffusion and histological parameters from the same brain.

494 *Structure Tensor Analysis of Histological Orientations and Anisotropy*

495 To estimate the anisotropy and orientation content of the histology we employed the structure

496 tensor method[41]. The 0.88μm per pixel resolution histology images were processed using the structure

497 tensor as implemented in the "OrientationJ" ImageJ package[46], using a local gaussian window of σ=80

498 pixels and image gradients computed with a cubic spline. This was applied to the color channel of the

499 histology that exhibited the strongest image intensity gradients. This was the red channel for Nissl data,

500 or blue channel for Gallyas myelin data. Orientation and anisotropy information were obtained from

501 these data according to

502
$$S_0[p] = \begin{bmatrix} I_x[p]I_x[p] & I_x[p]I_y[p] \\ I_x[p]I_y[p] & I_y[p]I_y[p] \end{bmatrix}$$

503 **Equation 1**. *Pixelwise structure tensor*

where S_0 is the structure tensor at pixel p and Ix, Iy are the partial derivatives of the 2D image

intensities at pixel p. Since the dMRI data contains diffusion information gathered over a 150µm cubic

area, the histology structure tensor at each pixel was a distance weighted function $S_w[p]$ of the

structure tensors S_0 within a radius of 75µm. This was achieved for pixel p by multiplying with gaussian

window with a sum of 1, with sigma 85 pixels (75µm), shown in **Equation 2.** The ST angle is shown in

Equation 3 and anisotropy in **Equation 4** and **SI Figure 15**

$$S_w[p] = \sum_r w[r]S_0[p-r]$$

511   ***Equation 2.*** *Weighted structure tensor*

$$\theta[p] = \frac{1}{2} arctan\left(2\frac{I_x[p]I_y[p]}{I_y[p]I_y[p] - I_x[p]I_x[p]}\right)$$

514   ***Equation 3.*** *ST orientation*

$$SCoherence = \frac{\lambda_1 - \lambda_2}{\lambda_1 + \lambda_2}$$

Equation 4. *Structure tensor coherence (λ indicate eigenvalues of the structure tensor)*

A pipeline was implemented in bash and ImageJ macro language to process the Zeiss axioscan

histology output files in CZI format into 32 bit tif format with resolution 0.88µm, and process these with

orientationJ. The histology stain intensity data and ST data at 0.88µm were then down sampled into

maps of 75µm tiles for comparison and registration to MRI data using MATLAB R2018a. The mean ST

angle within 75µm was the circular mean of the 0.88µm angles within that area. Because ST anisotropy

is sensitive to coherence of image edges, we verified that individual myelinated axons were visible on

our 50 · m sections in the deepest, most myelin heavy layers of the GM (**SI Figure 16**). We also verified

that ST coherence maps were equivalent at different focal depths in the tissue (**SI Figure 17**).

Histological Anisotropy Because diffusion anisotropy is sensitive to both the density and coherence

of tissue features, but ST coherence is sensitive only to the latter, we defined composite measures of

histological anisotropy. Myelin-HA was defined as the product of myelin stain intensity and the ST

coherence of the myelin data.

For the Nissl-HA we defined the neurite fraction (NF) as 1-Nissl intensity, where the highest gray

matter value was mapped to 1 and black was mapped to 0. Nissl-HA was defined as the product of NF

and the ST coherence of the Nissl data.

2D dMRI and Histology ST Tractography

The ST analysis of the myelin and Nissl histology yielded 2D vector fields at 75µm resolution

representing the mean 0.88µm ST angle within a tile. For comparison, we projected the 3D dMRI

principal eigenvector at the same spatial resolution onto the 2D plane (**Figure 2**).

The vector fields of the 75µm weighted structure tensor permitted tractography based on histological

image properties within areas equivalent to the MRI voxels. We ran identical tractography through the

Nissl and myelin ST vector fields. We used a MATLAB package "even streamlines" v 1.1.0.0 to ensure the

streamlines were evenly spaced in the horizontal direction[84], modified to use a fixed integration step of

0.1 . **SI Figure 4** shows tractography through the 2D projected components of the first eigenvector of the

diffusion tensor, overlaid on high resolution histology images. We note all three modalities yield very

similar results.

Cortical Parcellation and Statistical Analysis

To sample the gray matter for analysis, we took advantage of the fact that cortex consists of vertically

oriented tissue components[22,34,37,85] to develop a matrix representation whose columns were derived

from data sampled along the vertical dMRI tractography streamlines (**SI Figure 6a**) (see *2D dMRI and*

Histology ST Tractography above), and whose rows spanned the horizontal direction. We first masked

the registered gray matter ROI by thresholding the MT image (M_{sat}), and eroding this mask by 2 75µm

pixels to avoid the regions where the diffusion tensor orientations were known not to be vertical (see

Figure 2). We then ran tractography through the 2d projected principal **SI Figure 6** eigenvectors of the

diffusion tensor in the masked region. This produced a set of vertical, non-intersecting streamlines (**SI**

Figure 6a) which were numbered from in the horizontal direction.

For each histology and MRI parameter map, we sampled a vector of unique pixels whose coordinates

intersected one streamline's coordinates. We then resampled each resulting vector of pixels to a fixed

length using bilinear interpolation, to yield a simple matrix representation of the gray matter (**SI Figure**

6a). This operation was invertible such that data in matrix form could be displayed in image space (**SI**

Figure 6b).

Our statistical analysis proceeded by parcellating the matrix representation of the nonlinearly registered

histology and MRI data. We used parcellation in our analysis partly to separate out regions of potentially

differing stain intensity, and partly because different anatomical regions of cortex have different

constituent myelo- and cytoarchitectural elements[22,38] and may therefore exhibit different relationships

between histology and dMRI. For each parcel we took the Pearson correlation between sample

variables. Examples are shown in **Figure 3**. **SI Figure 6b** shows parcels of matrix columns of different

sizes, represented in image space and colored by the correlation coefficient of histology ST anisotropy to

dMRI-FA.

Figure 4 and **Figure 6** show the distribution of correlation coefficients using a parcel size of 5 streamlines

which is roughly 300µm in horizontal width. **SI Figure 6** shows that the correlation results are stable for

different parcel sizes. For **figure 5** we concatenated matrices from all sections and displayed the mean of

all rows. **SI figure 9** shows the mean of rows in each individual section.

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Author contributions

769 C.R. Devised and initiated the study; C.R., F.Q.Y, R.B.M and D.A.L. designed research; F.Q.Y. acquired
770 data; C.R. analysed data; D.A.L. and F.Q.Y. refined the analysis strategy; D.M. conducted the MAP-2
771 immunohistochemistry; C.R. wrote the initial manuscript draft; D.A.L., F.Q.Y., D.M., Y.C. and R.B.M.
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Competing Interests

774 The authors declare no competing interests.