Interpretable deep learning for chromatin-informed inference of transcriptional programs driven by somatic alterations across cancers

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Abstract

Cancer is a disease of gene dysregulation, where cells acquire somatic and epigenetic alterations that drive aberrant cellular signaling. These alterations adversely impact transcriptional programs and cause profound changes in gene expression. Ultimately, interpreting patient somatic alterations within context-specific regulatory programs will facilitate personalized therapeutic decisions for each individual. Towards this goal, we develop a partially interpretable neural network model with encoder-decoder architecture, called **C**hromatin-informed **I**nference of **T**ranscriptional **R**egulators **U**sing **S**elf-attention mechanism (CITRUS), to model the impact of somatic alterations on cellular states and further onto downstream gene expression programs. The encoder module employs a self-attention mechanism to model the contextual impact of somatic alterations in a tumor-specific manner. Furthermore, the model uses a layer of hidden nodes to explicitly represent the state of transcription factors (TFs), and the decoder learns the relationships between TFs and their target genes guided by the sparse prior based on TF binding motifs in the open chromatin regions of tumor samples. We apply CITRUS to genomic, mRNA sequencing, and ATAC-seq data from tumors of 17 cancer types profiled by The Cancer Genome Atlas. Our computational framework enables us to share information across tumors to learn patient-specific TF activities, revealing regulatory program similarities and differences between and within tumor types. We show that CITRUS not only outperforms the competing models in predicting RNA expression but also yields biological insights in delineating TFs associated with somatic alterations in individual tumors. We also validate the differential activity of TFs associated with mutant *PIK3CA* in breast cancer cell line and xenograft models using a panel of PI3K pathway inhibitors.

Introduction

Interplay between complex signaling inputs and genomic transcriptional responses dictates important cellular functions. Dysregulation of this interplay leads to development and progression of disease, most clearly delineated in the context of certain cancers. Cancer cells acquire somatic alterations that drive aberrant signaling which adversely impacts transcriptional programs and cause profound changes in gene expression. We still lack a complete understanding of the transcriptional programs and how disruptions in this code affect cellular function in cancer. Interpreting patient somatic alterations within context-specific transcriptional programs can facilitate therapeutic decisions for each individual.

In the last decade, a monumental effort to molecularly profile tumors was undertaken by consortia such as The Cancer Genome Atlas (TCGA) and the International Cancer Genome Consortium (ICGC)[1,2]. These multimodal datasets including gene expression and somatic alterations such as recurrent mutations and copy number variations (CNVs) have enabled the integration of transcriptional states with upstream signaling pathways. Several methods have been developed to connect somatic alterations to a prior network or to gene expression[3-9]. More recently, the Genomic Data Analysis Network generated assay for transposase-accessible chromatin with high-throughput sequencing (ATAC-seq) data for the subset of TCGA samples (~500 patients)[10]. However, so far methods for linking somatic alterations to transcriptional programs across cancers have not incorporated tumor chromatin profiling to encode context-dependent and/or non-linear impacts of transcription factors (TFs) on gene expression. Incorporating DNA sequence information at promoter, intronic and intergenic enhancers using TF motif analysis from tumor ATAC-seq profiles will improve the modeling of transcriptional regulation and delineate the impact of somatic alterations on transcriptional programs.

Deep learning (DL) is a powerful tool for capturing non-linearity. Attention mechanism is a deep learning module that has been widely used in computer vision and natural language processing. In contrast to normal deep learning units, the self-attention mechanism considers the contextual effort of all the input features to each other and assigns different weights of attention to these inputs[11]. In general, the attention mechanism can improve the performance of the DL models or increase the interpretability of the models. More recently, attention mechanisms have also been applied to cancer genomics, including cancer driver detection[12], drug response prediction[13] and predicting base editing outcomes[14]. The genomic impact transformer (GIT) model utilizes the self-attention mechanism to encode the effects of somatic alterations in cancer and uses multi-layer perceptrons to predict differentially expressed genes as the output of the model[12]. The attention mechanism enables it to select the likely driver mutations that lead to downstream phenotypes, such as transcriptome expression levels. However, the GIT model lacks interpretability in the sense it does not model the intermediate TFs during the signaling from somatic alterations to gene expression programs.

In this work, we present **C**hromatin-informed **I**nference of **T**ranscriptional **R**egulators **U**sing **S**elf-attention mechanism (CITRUS), a partially interpretable neural network (NN) model with encoder-decoder architecture, to link somatic alterations to transcriptional programs through modeling the statistical relationships between mutations, CNVs, gene expression and TF-target gene prior information (based on TF binding motif analysis in the open chromatin regions based on tumor ATAC-seq profiling). CITRUS explicitly includes the transcriptional programs in the model, with external knowledge of TF:target-gene priors based on ATAC-seq data. We showed that CITRUS not only outperforms competing models in predicting mRNA expression, but also yields important biological insights in finding dysregulated TFs in individual tumors. We next performed a systematic knock-out *in silico* approach to associate frequent somatic alterations with changes in inferred TF activities in each cancer type. This analysis identified key regulators associated with

the major somatic alterations. In particular, we associated *PIK3CA* activating mutations with
altered activities of distinct sets of TFs in different cancers. Notably, in cell line and xenograft
models of breast cancer, we validated the altered activity of several TFs in the presence of mutant
PIK3CA with PI3K pathway inhibitors by measuring promoter occupancy and expression of target
genes, confirming the context-specific predictions of our model. These proof-of-principle results
suggest a computational strategy for personalized deployment of targeted therapeutics in a pan-
cancer setting.
108
Results
Pan-cancer modeling of regulatory programs
To systematically interpret somatic alterations (SAs) within context-specific transcriptional
programs and identify disrupted TFs that drive tumor-specific gene expression patterns across
multiple cancer types, we developed CITRUS computational framework (**Fig. 1**). The CITRUS
model mimics the biological processing of signaling pathways from SAs to the signaling pathways,
to TFs, and finally to the target gene expressions (mRNA levels). Therefore, the model follows an
overall encoder-decoder architecture (**Fig. 1**). The encoder module compresses the input SAs
into a latent vector variable called a tumor embedding. Then the decoder part first predicts the TF
activities from the tumor embedding, and further predicts the TF target-gene expression. We used
sparse TF-target gene priors based on tumor ATAC-seq data. Briefly, we started with an atlas of
chromatin accessible events derived from the tumor types to be analyzed, using ATAC-seq
profiling data ("Methods" section). We represented every gene by its feature vector of TF-binding
scores, where motif information was summarized across all promoter, intronic, and intergenic
chromatin accessible sites assigned to the gene (see the "Methods" section).
124
The application of our approach to 17 tumors from TCGA identified key TFs associated with SAs.
Our dataset included samples from seventeen different tumor types for which mRNA, somatic
mutation, copy number variation and ATAC-seq data were available: bladder urothelial carcinoma
(BLCA, n=371), breast cancer (BRCA, n=719), cervical squamous cell carcinoma and
endocervical adenocarcinoma (CESC, n=267), colorectal adenocarcinoma (COAD, n=271),
esophageal carcinoma (ESCA, n=170), glioblastoma multiforme (GBM, n=143), head and neck
squamous carcinoma (HNSC, n=475), kidney renal cell-clear carcinoma (KIRC, n=357), kidney
renal papillary cell carcinoma (KIRP, n=272), liver hepatocellular carcinoma (LIHC, n=336), lung
adenocarcinoma (LUAD, n=459), lung squamous cell carcinoma (LUSC, n=430),
pheochromocytoma and paraganglioma (PCPG, n=109), prostate cancer (PRAD, n=449),
stomach adenocarcinoma (STAD, n=373), thyroid carcinoma (THCA, n=216), and uterine corpus
endometrial carcinoma (UCEC, n=361).
137
For statistical evaluation, we computed the mean Spearman correlation between predicted and
measured gene expression profiles on held-out samples (see Methods). We obtained significantly
better performance than a regularized bilinear regression algorithm called affinity regression
(AR)[15-17] that was trained independently for each cancer type and explains gene expression
across tumors in terms of SA status and presence of TF binding sites based on pan-cancer ATAC-
seq atlas (**Fig. 2A**).
144
To identify the SAs that have an impact on gene expression programs, we compared the
relationship of overall attention weights (inferred by CITRUS) and the frequencies of SAs (used
as the control group) across all cancer types and within a cancer type (**Fig 2B and
Supplementary Fig. 1**). In general, the attention weights are correlated with the alteration
frequencies of genes. For example, the top altered genes *TP53* and *PIK3CA* had high attention
weights. However, our self-attention mechanism assigned low attention weights to many highly

frequently altered genes, indicating these genes can be cancer passengers. Indeed, we found
genes with high attention weights were enriched for known cancer drivers from the IntOGen[9]
database. We first grouped all the genes into two parts with the threshold of 2 (log(attention+1)
>= 2 as the more attended group, and log(attention+1) < 2 as the less attended group). Using the
Fisher exact test, we found known cancer drivers were enriched in the highly attended group (P
= 4.48 x 10^{-41}) for pan-cancer analysis. We also observed for some less frequently altered genes
got high attention weights. For example, the H3K4 methyltransferase KMT2C had a high
attention weight in BRCA but a lower frequency. Indeed, *KMT2C* has been shown to be a key
regulator of ERα activity and anti- estrogen response[18,19].

Next, we used CITRUS across tumor types to learn patient-specific TF activities. Clustering of
tumors by inferred TF activities as derived from the model largely recovered the distinction
between the major tumor types (**Fig. 2C**). In particular, samples with squamous morphology
components (BLCA, CESC, ESCA, HNSC, and LUSC) were grouped together. Similarly, tumors
with tissue or organ similarities or proximity were also grouped together. These included
neuroendocrine and glioma tumors (GBM and PCPG), clear cell and papillary renal carcinomas
(KIRC and KIRP), a gastrointestinal group (COAD, and STAD), breast and endometrial cancer
(BRCA and UCEC). We also observed similar clustering with tumor embeddings (**Supplementary
Fig 2).**

Next, we assessed TF-tumor type associations by t-test and compare inferred TF activities
between samples in a given tumor type vs. those in all other tumor types. We corrected for FDR
across TFs and identified significant shared and cancer-specific TFs and the results are shown
in **Supplementary Data 1**. **Fig. 3** shows the average TF activity and significance of cancer-
specific TFs across cancer types. For clarity, only the union of the 4 top significant TFs per cancer
are shown. FUBP1, which regulates *c-Myc* gene transcription, had significantly higher inferred
activity in many cancer types including LIHC, HNSC, BLCA, ESCA, CESC, LUSC, PRAD, BRCA,
and UCEC. Moreover, in agreement with previous reports, IRF3 activity was significantly higher
in GBM[20]; KLF8 had decreased activity in GBM, LIHC and KIRC, consistent with its role in
suppressing cell apoptosis during tumor progression[21]; YY1, which regulates various processes
of development[22] and had increased activity in CESC and COAD.

CITRUS-inferred TF-activity based cancer subtypes and somatic alteration landscape
Next, we asked whether our method could identify TF activity based subtypes associated with
SAs**.** We conducted *k*-means clustering on inferred TF activities for each cancer type to get
subtypes, and then conducted hierarchical clustering for both the cancer subtypes and TF
activities. **Fig. 4** shows the clustering of subtypes by CITRUS-inferred mean TF activities and
corresponding SA associations (see Methods). We observed major variations in mean TF
activities across different cancer types, and less but significant variations within each cancer type.
These variations within a cancer type may be explained by the distinct mutation or copy number
alteration profiles of different subgroups. For example, clustering by TF activities revealed
subclasses of endocervical adenocarcinoma (CESC) enriched with *KRAS*; kidney renal cell-clear
carcinoma (KIRC) enriched with *VHL*, *BAP1*, *PBRM1* and *TP53*; liver hepatocellular carcinoma
(LIHC) enriched with *CTNNB1*, *BAP1* and *TP53*; thyroid carcinoma (THCA) enriched with *NRAS*,
HRAS and *BRAF* status; pheochromocytoma and paraganglioma (PCPG) enriched with *HRAS*
status.

We next developed a systematic statistical approach for modeling the impact of SAs on TF
activity, with the eventual goal of deciphering cancer-specific downstream effects of targeted
therapies and potentially discovering secondary targets for combination drug strategies. We
implemented a knock-out *in silico* approach that removes a specific somatic mutation (or copy

number variation) *g* from all the tumor samples that carry it to identify a set of TFs predicted to be
significantly dysregulated by each SA in each TCGA cancer study (see Methods section). **Fig. 5A**
shows the TF activities associated with SAs in UCEC. Our model identified mutations in *PIK3CA*,
PTEN, *KRAS*, *TP53*, and *CTNNB1* as significantly associated with various TF activities across
UCEC tumors (~66% of tumors have *PTEN* inactivating mutations, ~50% have *PIK3CA* activating
mutations, ~38% have *TP53* mutations, ~26% have *CTNNB1*, and ~20% have *KRAS*). UCEC
samples with *PTEN* mutations are mutually exclusive with *TP53*, *CTNNB1* and *KRAS* showed
distinct patterns of TF activities. Mutations in *PTEN*, which inactivate its phosphatase activity,
increase PI3K signaling. TFs associated with *PTEN* mutations involved in cell cycle and
differentiation including E2F5, TP63, ELF3, DBP, ZKSCAN3, LHX2, HOXB6, SOX9, DBP,
MYLB1, and GLIS1. Whereas, TFs associated with *CTNNB1* mutant status were involved in WNT
and TGF-beta signaling including TCF7, TCF7L2, TCF7L1, FOXH1, EMX1, and MYBL1.
214
Similarly, **Fig. 5B** shows the TF activities associated with SAs in BRCA. Our model identified
mutations in *PIK3CA*, *PTEN*, *MAP2K4*, *GATA3*, *TP53*, and *CDH1* as significantly associated with
various TF activities across tumors. In BRCA, ~36% of tumors have *PIK3CA* activating mutations,
~35% have *TP53* , ~15% have *GATA3*, ~15% have *CDH1*, ~10% have *PTEN*, and ~7% have
MAP2K4 mutations. Activating mutations in *PIK3CA* often occur in one of three hotspot locations
(E545K, E542K and H1047R) and promote constitutive signaling through the pathway. TFs
associated with *PIK3CA* mutations involved in WNT signaling, epithelial–mesenchymal transition
and cancer stem cell transition including ELF3, TFEC, STAT4, STAT5B, NFATC1, GLIS1, CDC5L
and AR. BRCA samples with *PIK3CA* and *TP53* mutations are mutually exclusive. Our knock-
out *in silico* analysis associated different TFs with these mutations. *TP53* mutant tumors are
associated with increased activity of TFs that have roles in pro-growth such as ETS2 and FOSB,
growth modulatory such as THAP1, CREB3L1, and CEBPZ and development MEF2C/D, MEOX1,
MSX1. We also performed similar analyses for other cancer types (**Supplementary Fig. 3**).
228
We found *TP53* mutation associated with similar TFs across different cancer types
(**Supplementary Fig. 4**). *TP53* is one of the most frequently inactivated tumor suppressor genes
that suffers from missense mutations in human cancer. These missense mutations express a
mutant form of p53 protein. Therefore, the cells retain and express a mutant form of the p53
protein that can either disable other tumor suppressors (e.g., p63 and p73) or enable oncogenes
such as ETS2, an ETS family member[23]. Indeed, inferred TF activity of ETS2 was increased in
mutant versus WT *TP53* tumors across cancers (**Fig. 5C**); these differences are not as significant
at the gene expression level (**Supplementary Fig. 5**).
237
Experimental validation of oncogenic mutant PI3K-driven TF activity in breast cancer
The PI3K pathway controls proliferation, metabolism, survival and motility and is frequently
activated in many cancers, often via mutations in the gene coding for the alpha subunit of the
PI3K*, PIK3CA*[24]. The PI3K inhibitor alpelisib was recently approved in metastatic estrogen
receptor positive/PIK3CA mutant breast cancer[25]. Our analysis associated mutant *PIK3CA* with
STAT4, and NFATC1 transcriptional activity in breast cancer tumors. To validate the effect of the
oncogenic PI3K in the activity of these TFs, we utilized quantitative PCR (qPCR) to measure the
expression of canonical target genes in parental and *PIK3CA*[H1047R] knock-in MCF10a cells and in
MCF7 (*PIK3CA*[E545K]) breast cancer cells treated with a panel of PI3K/AKT inhibitor (the PI3Kα
specific inhibitors alpelisib and GDC0077, the PI3Kα/γ/δ inhibitor GDC0032/Taselisib, the pan-
AKT inhibitor GDC0068) and the mTOR inhibitor RAD001/Everolimus. First, we examined the
efficacy of the inhibitor treatment by measuring the levels of p-AKT and p-S6, well-characterized
outputs of the PI3K pathway, by western blotting. The results revealed that the induction of p-AKT
and p-S6 levels in the *PIK3CA* mutant cells was reversed by all tested inhibitors, with the

exemption of GDC0068 (AKTi) which locks AKT in a phosphorylated state. (**Supplementary Fig.**
6A, 6B). Gene expression analysis revealed altered expression of canonical STAT4 and NFATC1
target genes in mutant *PIK3CA* cells (**Fig. 6A, 6B**). Notably, the expression changes were
reversed upon treatment with PI3K/AKT inhibitors (**Fig. 6A, 6B**), but not mTOR, in both cell lines,
suggesting the differential regulation of the transcriptional program of STAT4 and NFATC1 by the
PI3K/AKT/mTOR pathway. Furthermore, chromatin immunoprecipitation (ChIP)-qPCR
experiments confirmed STAT4 and NFATC1 binding to all target gene promoters in *PIK3CA*
mutant MCF10a cells, which was significantly reversed upon PIK3α inhibition (**Fig. 6C, 6D**). We
also validated these findings by performing tissue ChIP-qPCR in MCF7-derived xenograft tumors
treated with vehicle or alpelisib (see Methods section), with consistent results for the expression
of the canonical STAT4 and NFATC1 targets. (**Fig. 6E**). Similarly, western blot analysis of tumor
lysates confirmed the reduction of p-AKT and p-S6 levels upon alpelisib treatment relative to
vehicle-treated tumors (**Supplementary Fig. 6**) Altogether, these data suggest that the oncogenic
PI3K regulates the transcriptional activity of STAT4 and NFATC1 and their downstream
transcriptional program in cells and breast cancer tumors.
267
Discussion
Tumor data sets are a challenging case for regulatory network analysis due to the complexity of
cancer genomes (e.g. alterations such as aneuploidy, CNVs, structural variation, and mutations)
confounding epigenomic and regulatory sequence analysis. Our method provides a systematic
framework for integrating resources on regulatory genomics with tumor expression and mutation
and CNV data to better understand expression programs driven by SAs in cancers and infer
patient-specific TF activities. Our method uses a deep learning framework called a self-attention
mechanism to capture the complex contextual interactions between somatic alterations. For a
more accurate representation of TF:target-genes relationship, we leveraged ATAC-seq tumor
data from patients. Our model is designed to capture the flow of information from altered genes
(e.g. signaling proteins) to TFs to target genes; the knock out *in silico* analysis is likely to identify
causal impacts of SAs. Joint modeling across different tumor types also reveals patient subgroups
associated with SAs. We validated CITRUS-predicted TF activity associated with activating
PIK3CA mutation in BRCA, using vitro and *vivo* models giving a proof-of-principle for the potential
therapeutic application of our approach. We showed that for TFs associated with *PIK3CA*
mutation, TF target gene expression changed after PI3K inhibitor treatment. In cases where a SA
is associated with the activity of a targetable TF or their upstream/downstream component, our
analysis may suggest combination therapies.
286
One limitation of the TF binding motif search approach is that TFs of the same family often share
a similar motif and thus are difficult to disambiguate. Therefore, TF motifs encompass the
individual activities of multiple TFs. Moreover, co-binding TF binding patterns (e.g., AP-1−IRF
complexes) can be biologically more important for fine tuning of gene expression. We will also
investigate representing these composite elements as features in our models. Furthermore, we
do not represent directionality in the TF:target-gene priors (i.e., whether a gene is activated or
repressed by a TF). Hence, negative values of inferred TF activities can be meaningfully
interpreted by prior knowledge of whether the TF is acting as an activator or as a repressor. These
limitations may confound the interpretation of activities of TFs with context-specific activator and
repressor roles. Further, tumor data sets are also a challenging case for regulatory network
analysis due to the presence of stromal/immune cells within the tumor and the heterogeneity of
cancer cells themselves. However, our framework can be extended to modeling of single-cell
RNA-seq or deconvoluted RNA-seq by computational methods as we will report elsewhere.
300
Despite these limitations, modeling the impact of SAs on transcriptional programs may ultimately
enable the development of individualized therapies, aid in understanding mechanisms of drug

resistance, and allow the identification of biomarkers of response. We anticipate that
computational modeling of transcriptional regulation across different tumor types will emerge as
an important tool in precision oncology, aiding in the eventual goal of choosing the best
therapeutic option for each individual patient.
307
Methods

Data preprocessing

310 We downloaded the RNA-seq data for each of the 17 tumor types from the Genomic Data
311 Commons (GDC) portal (https://gdc.cancer.gov/about-data/publications/pancanatlas). The RNA-
312 seq expression data have been log2-transformed into RSEM values. We first identified the set of
313 2,500 genes that varied most across samples within a cancer type. We then took union across
314 cancer types. The final geneset included 5541 genes.
315
316 We obtained processed gene-level somatic alterations of each cancer patient from Cai et al.[4]
317 Briefly, the value in the tumor for that gene was set to 1 if it hosts a non-synonymous mutation,
318 small insert/deletion, or somatic copy number alteration (deletion or amplification), and otherwise,
319 the value was set to 0. We removed genes that were not present in at least 4% of samples for
320 each cancer type.
321
We downloaded the ATAC-seq pancancer peak set from the GDC portal
(https://gdc.cancer.gov/about-data/publications/ATACseq-AWG)[10]. Using the MEME[24] curated
Cis-BP[25] TF-binding motif reference, we scanned pancancer ATAC-seq peak atlas with FIMO[26]
to find peaks likely to contain each motif ($P < 10^{-5}$). The final set contained 320 motifs. We
associated each peak to its nearest gene in the human genome using the ChIPpeakAnno
package[27]. ATAC-seq peaks located in the body of the transcription unit, together with the 100 kb
regions upstream of the transcription start site (TSS) and downstream of the 3' end, were
assigned to the gene. TF-binding site identification was used to turn each gene's set of assigned
ATAC peaks into a feature vector of binding signals by assigning the maximum score of each
motif across all peaks to a gene. Then, we created a matrix C $\in \{0,1\}^{k \times l}$ that defines a candidate
set of associations between TFs and target genes. $C_{i,j}$ = 1 when there is a connection from TF j
to the gene/RNA i (red lines connecting the TF layer and Exp layer in **Fig. 1**).
334
CITRUS model

336 Formally, given a specific tumor t, with the cancer type s, we have a set of SAs in the tumor
337 $\{g_u\}_{u=1}^m$ where m is number of mutant genes. The encoder module first maps each gene g (it is
338 g_u here, but we omit the subscript for the simplicity of notation) into its corresponding gene vector
339 e_g. Then the encoder utilizes the multi-head self-attention mechanism to calculate the weighted
340 sum of the both gene embeddings and cancer type embedding:
341
$$e_t = e_s + \alpha_1 e_1 + \alpha_2 e_2 + \alpha_3 e_3 + \cdots + \alpha_m e_m$$
343
344 The self-attention mechanism takes input of gene embeddings of all the mutated/altered genes,
345 and output the attention weights $\{\alpha_u\}_{u=1}^m$ through a sub-neural network. Such attention
346 mechanism captures the contextual impact of co-existing SAs and their complex interactions
347 instead of simpler models. Interested readers can find the mathematical details in the reference[12].
348
349 The decoder part first infers the TF activities from the encoded tumor embedding e_t:
350
$$e_f = tanh(W_f e_t + b_f).$$
351

352 We used the tanh activation instead of ReLU operation, which is more widely used in deep
353 learning because it has similar performance to that of ReLU in our model and generates more
354 biologically meaningful results, e.g., distribution of TFs e_f. Finally CITRUS predicts the cancer
355 type specific mRNA expressions from the TF activities:
356
357 $$\hat{y} = We_f + b_r$$
358 where W corresponds to the sparse TF:target-gene matrix constrained by the prior $C \in \{0,1\}^{k \times l}$.
359 More specifically, in order to integrate priors into our model, W share the same shape with prior
360 C, and $W_{i,j}$ is allowed to be nonzero only when $C_{i,j} = 1$, and $W_{i,j}$ is constrained to be non-negative
361 value. The loss function to be optimized is thus: $MSE(y, \hat{y})$.
362
363 One might use other common approaches to integrate the priors of C into the W, i.e., by applying
364 a Gaussian prior to the W, which is equivalent to adding an additional penalty to the loss function
365 $\sum_{i,j:C_{i,j}=0}(W)^2_{i,j}$. However, this "soft" constraint tends to generate less stable TF layers across
366 different runs of training compared to the "hard" constraints shown in our present work.
367
368 We introduced additional dropout operations with dropout rate of 0.2 after the input layer,
369 activated tumor embedding layer, and activated TF layer to increase the model robustness to
370 noise and prevent overfitting.
371
372 **Training and evaluation**
373 We implemented the CITRUS through the PyTorch package (https://pytorch.org/) and trained
374 through Adam optimizer with default parameters except the learning rate[15] and weight decay. We
375 set learning rate to be 1×10^{-3}, and weight decay to be 1×10^{-5}. For each fold of training, we
376 used early stopping with patience of 30 steps to stop training.
377
For statistical evaluation, we computed the mean Spearman correlation (ρ) between predicted
and measured gene expression profiles on held-out patients for each tumor type. We splitted the
dateset into training (40%), validation (20%) and test sets (20%). For CITRUS model, we utilized
the training and validation sets to tune hyperparameters such as learning rate and training steps,
and then evaluated on the held-out test sets. For affinity regression (see below), we seperated
datasets by cancer type, and conducted 5-fold cross-validation to tune hyperparameters for each
type on training and validation sets, and then applied the trained model with selected
hyperparameters to the test set for performance evaluation. In order to increase the stability for
the analysis of inferred TF activities, we ensembled multiple CITRUS models with different
random seeds, by bootstrapping the model for 10 times, and integrate the TF layer by taking the
average of 10 trials to increase the stability of inference.
389
Process of parameter selection: There are more than 10 hyperparameters (check the following
paragraphs for their effects on the model) in CITRUS that were tuned to generate optimal
performance on the validation set. The ideal way of performing hyperparameter optimization is
the grid search on all parameters. However, this is not practical due to the tremendous
computational cost. For example, 3 options for each parameter will lead to 3^10 possible
combinations for 10 parameters in total. In addition, we usually need to guide the performance
metric by k-fold cross-validation, and the total experiments will reach up to 5*3^10 (k=5) runs.
Therefore, our hyperparameter turning strategy combines automatic as well as manual tuning.
First, we set up the empirical setting for each parameter and began with a random search that
selects from 100 random combinations. We utilized the best-performed setting as the guidance
to narrow down the preliminary setting space and correlation among parameters. Then we tuned

parameters independently or in a sub-group and fine-tuned them with manual setting or grid search.

Model robustness against a variety of parameters: As we know learning rate is perhaps the most important hyperparameter in neural network training. We first tested the learing_rate in a range setting [1e-5, 1e-4, 1e-3, 1e-2 ...], started from the lowest setting, and increased to a large value until the loss of the validation started to diverge. We found that if the learning rate was too small, overfitting occurred and picked up the noise of the input. Fewer driver genes were covered in the attention_weight analysis when the model overfit. If the learning rate was too big, however, the model could not converge to the optima which yielded higher test loss. After we determined the learning rate as 1e-3 or 1e-4, we added on the weight_decay to see how the penalty weight regulates with the learning rate to get optimal results. We set the range of weight_decay from 1e-6 to 1e-4 to tune together with the predetermined learning rate using grid search. We finalized the optimal setting with learning_rate=1e-3, weight_decay=1e-5. Choosing a large batch size may potentially enable us to increase the learning rate, which speeds up the training process. But from our experiments, the learning rate of 1e-3 is the largest value we can go without significantly losing the validation accuracy when tested on the batch size setting as [16, 64, 100, 300] (300 is the maximum value we could run in GPU). We found larger batch size tends to have a slightly higher gene-wise correlation at the cost of longer training time. To balance the execution time, we choose 100 as our optimal value for batch size. The early stopping patience setting was much related to the learning rate and batch size, where a higher learning rate and larger batch size need smaller patience to stop training, which otherwise may cause overfitting. Under our learing_rate and batch_size settings, the patience of 30 is generally good to keep the training process going forward without stopping too early (underfitting) due to the fluctuation, and also not stopping too far away from the optima (overfitting). We validated the setting by comparing it with the overtraining case. We picked the lowest loss point in the overfitted training, and checked how far it was from our stopped epoch with early stopping. During the early stage of training, the model tends to have some inconsistency: the validation performance went down before it turned up. So we skipped early stopping at the first 180 test steps.

For testing the attention mechanism, we created the mesh grid for atteintion_size = [256, 128], attention_head=[32, 16, 8, 4], and performed an exhaustive search on these hyperparameter spaces. Based on the prediction performance incorporating attention, we chose the optimal values as attentioin_size=256 and attention_head=8.

We finally fine-tuned our model with different dropout_rates.Since we have used the weight decay as regularization, the dropout is considered the secondary regularization for our model. Besides hidden layer dropout, we applied dropout to our input as well to reduce the input noise and network redundancy to generate a more stabilized hidden TF layer. We found that having the same input_dropout_rate with hidden layer dropout_rate got the best results. We tested the dropout_rate in the sequence of [0.1, 0.2, 0.3, 0.4, 0.5]. The performances on the test set are all above 0.9 of average sample correlation. We determined the optimal value (0.2) mainly based on the driver coverage in self-attention analysis.

Since we used the early stopping mechanism, We just set max_iteration parameter to a very big value as 1000 (training process either stopped once patience setting was satisfied or reached max_iteration). This parameter was being used frequently as a setting to 1 in code testing for a quick run.

451 We tried the activation function of 'ReLU' and 'tanh'. They performed quite similarly, but 'tanh'
452 tends to generate more biologically meaningful results. We tested l2, minimax, and standard
453 normalization(scale) to the gene expression, the scale normalization generates the best prediction
454 accuracy for our model setting.
455
Training the affinity regression (AR) models
AR is an algorithm for efficiently solving a regularized bilinear regression problem[15,28] , defined
here as follows. For a data set of M tumor samples profiled using RNA-seq with N genes, we let
$\mathbf{Y} \in R^{N \times M}$ be the log 10 gene expression profiles of tumor samples. Each column of $\mathbf{Y}$ corresponds
to an RNA-seq experiment for a cancer type. We define each gene's TF attributes in a matrix $\mathbf{D}$
$\in R^{N \times Q}$, where each row represents a gene and each column represent the hit vector for a TF, that
is, the bit vector indicating whether there is binding site for the TF of each gene based on ATAC-
seq data. We define the SA attributes of tumor samples as a matrix $\mathbf{P} \in R^{M \times S}$ where each row
represents a tumor sample and each column represents the somatic alteration status for the tumor
sample. We set up a bilinear regression problem to learn the weight matrix $\mathbf{W} \in R^{Q \times S}$ on paired of
TF and SA features:
467
$$\mathbf{DWP}^{\mathrm{T}} \sim \mathbf{Y}$$
We can transform the system to an equivalent system of equations by reformulating the matrix
products as Kronecker products
$$\mathbf{DWP}^{\mathrm{T}} \approx \mathbf{Y} \iff (\mathbf{P} \otimes \mathbf{D})\, \mathrm{vec}(\mathbf{W}) \approx \mathrm{vec}(\mathbf{Y})$$
where $\otimes$ is a Kronecker product and vec(.) is a vectorizing operator that stacks a matrix and
produces a vector, yielding a standard (if large-scale) regression problem. Full details and a
derivation of the reduced optimization problem are provided elsewhere[15].

Contextual impact of somatic alterations with knock out *in silico* analysis
We implemented a knock out *in silico* approach that removes a specific somatic mutation (or copy
number variation) g from all the tumor samples that carry it. The new knocked-out SA profiles and
CITRUS-inferred TF activities generate the "wild type" corpus that does not contain this
alteration g. In contrast, all the original samples containing the alteration g serve as the
"mutant/altered" group. We finally conducted the t-test between the mutant group and wild type
group to evaluate the contextual impact of mutation g. The knockout *in silico* is different from the
normal t-test, since it captures contextual effects of mutations through the non-linear attention
module of CITRUS, and provides a perfect experiment/control setting where all mutations are the
same but mutation g. For a complex genotype, the model explains TF regulator activity across
tumors. We then corrected for multiple hypotheses across regulator models, treating inferred TF
activities as separate groups of tests.
487
488 **Selection of TF targets for validation experiments**
489 The selection of the canonical target genes for each TF, was performed using the Cistrome
490 Cancer Transcription Factor targets tool for BRCA, in the Cistrome project browser[29].
491
Cell lines and PI3K/AKT/mTOR inhibitors
MCF10A Isogenic parental and *PIK3CA*[H1047R] heterozygous mutants were purchased from
Horizon. MCF-10A cells were maintained in DF-12 media supplemented with 5% filtered
horse serum (Invitrogen), EGF (20 ng/µL) (Sigma), hydrocortisone (0.5 mg/mL) (Sigma),

cholera toxin (100 mg/mL) (Sigma), insulin (10 µg/mL) (Sigma), and 1% penicillin/streptomycin.
Cells were used at low passages and were incubated at 37°C in 5% CO2. MCF10A parental and
mutant cells were seeded in 6-multiwell plates in regular culture conditions to allow correct
attachment and ensure ~75% confluency at harvesting day. 24 hours after seeding, cells were
washed twice with PBS before adding the starvation media (without serum, EGF and insulin).
Where indicated, cells were treated with DMSO as control or alpelisib (1µM), taselisib (100nM),
GDC0077 (100nM), GDC0068/ipatasertib (1µM) or RAD001/everolimus (100nM) for 4h.
503
504 MCF7 were purchased from ATCC (ATCC HTB-22) and grown in DMEM/F12 supplemented with
505 10% FBS, penicillin/ streptomycin 1% under standard conditions. The PI3Ka-specific inhibitors
506 alpelisib and GDC0077, the PI3Kα/γ/δ taselisib, the pan-AKT inhibitor GDC0068/ipatasertib, the
507 mTORC1 inhibitor RAD001/everolimus were purchased (Selleckchem). All the cells were tested
508 regularly for mycoplasma, to ensure experiments in mycoplasma-free cultures.
509

510 **In vivo studies**
511 For the MCF7 xenograft study, 0.18 mg/90d-release oestrogen pellets were implanted into 6-
512 week-old female NOD *scid* gamma mice 3 days prior to the tumor cell transplantation. Ten million
513 MCF7 cells per mouse were subcutaneously transplanted.
514

RNA extraction and RT–qPCR
RNA was isolated using the QIAGEN RNeasy Kit and retrotranscription was performed using the
iScript cDNA synthesis kit from Bio-Rad, following manufacturer's instructions. cDNA was
amplified by real time quantitative PCR in a Applied Biosystems Real-Time PCR system, using
SYBR Select Master Mix from Applied Biosystems. Each sample was run in technical triplicates
and each experiment was performed in triplicate.
521

Statistical analysis
Statistical tests were performed with the R statistical environment and *Python*. For population
comparisons of inferred TF activities, we performed Student's t-test and determined the direction
of shifts by comparing the mean of two populations. We corrected raw P-values for multiple
hypothesis testing based on two methods: Bonferroni and false discovery rate (BH method).

Association score between TF activity subtypes and frequent SAs. For each somatic mutation or
copy number variation, we calculated the *p*-value of its frequency in a cancer subtype is different
from that in other subtypes using Fisher's exact test. The *p*-value was further adjusted through
FDR across subtypes. To identify the relative frequency of a SA in a subtype, we defined the
association score, which is the product of relative frequency direction and $-\log_{10}FDR$.
532

Data Availability
ATAC-seq data is available in a public repository from Genomic Data Commons
(https://gdc.cancer.gov/about-data/publications/ATACseq-AWG). RNA-seq gene expression
data, somatic mutation, copy number variation data and clinical data are available in a public
repository from TCGA's Firehose data run
(https://confluence.broadinstitute.org/display/GDAC/Dashboard-Stddata). Only the samples
'whitelisted' by TCGA for the Pan-Cancer Analysis Working Group were used in the study. For
our analysis, we restricted to samples with parallel RNA-seq, somatic mutation and GISTIC copy
number data. Processed infut data files and output files have been made available the
supplementary website for the paper: http://www.pitt.edu/~xim33/CITRUS.
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Code Availability

The software for CITRUS is available from https://github.com/osmanbeyoglulab/CITRUS

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Ethics declarations

Competing interests
The authors declare no competing financial interests.

Author contributions

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H.U.O. conceived general ideas, supervised implementation, planned validation, and interpreted
results. X.M. developed novel machine learning models and implemented validation experiments.
Y.T. developed novel machine learning models and planned validation experiments. D.P.
collected and preprocessed ATAC-seq data of the study. X.L. and R.S. helped to conceive
general ideas, and interprete results. G.L. and A.G.Z performed the experimental validation and
wrote the experimental validation section. E.T. supervised the experimental validation. H.U.O.
and Y.T. wrote the manuscript. X.M., X.L. and R.S. contributed to reviewing, and editing the
manuscript.
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Figures

656
Fig. 1: Overview of CITRUS algorithm: the attention-based model with TF:target-gene
priors. The input to our framework includes somatic alteration and copy number variation, assay
for transposase-accessible chromatin with high-throughput sequencing (ATAC-seq), tumor
expression datasets and TF recognition motifs. CITRUS takes somatic alteration and copy
number variation data as input and encodes them as a tumor embedding using a self-attention
mechanism. Additional cancer type information is used for stratifying the confounding factor of
tissue type. The middle layer further transforms the tumor embeddings into TF layer, which
represents the inferred activities of 320 TFs. Finally, the gene expression levels are predicted
from the TF activities through a TF:target-gene priors constrained sparse layer based on ATAC-
seq.

668
Fig. 2: CITRUS models impact of somatic alterations on gene expression programs. (A)
Performance of the CITRUS models for each cancer type compared to regularized bilinear
regression method, affinity regression (Affreg). Boxplots showing mean Spearman correlations
between predicted and actual gene expression using the CITRUS model (orange) and Affreg
(light blue) for TCGA data each cancer-type. Both CITRUS and Affreg are tuned on the training
and validation sets, and evaluated on the same held-out test set. **(B)** Somatic alteration
frequencies and CITRUS-inferred attention weights of genes. We show cumulated results in Pan-
cancer and individual BRCA, and HNSC. See **Supplementary Fig. 1** for full compilation of each
cancer type. **(C)** Principal components analysis (PCA) of TF activity colored by cancer type.

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Fig. 3: CITRUS identifies regulatory features of tumor types. Dotplot shows the mean inferred
TF activity differences between samples in a given tumor type vs. those in all other tumor types
by t-test. We corrected for FDR across TFs for each such pairwise comparison and identified
significant TF regulators and the results are shown in **Supplementary Data 1**.The dot size
indicates -log10(FDR). For clarity, the union of the top 4 significant TFs in each cancer type is
shown.

685
686 **Fig. 4: Landsc**­ ­ ­ ­ ­**vities. (A)** Top heatmap shows
687 tumor subtype­ ­ ­ ­ ­ ­ ­ ­lor scale is proportional to TF
688 activity. The heat map shows -log₁₀ FDR values multiplied by the direction derived by Fisher exact
689 test for **(B)** mutations and **(C)** copy number variations.

690
Fig. 5: Somatic alterations are associated with dysregulated TF activity. Impact of SAs on
individual TFs based on knock out *in silico* experiments in TCGA **(A)** UCEC and **(B)** BRCA. The
dotplot shows mean TF activity and dot size indicates -log10(FDR). See **Supplementary Fig. 3**
for full list of cancer types. **(C)** Inferred ETS2 activity in TCGA studies and impact of *TP53*
mutations. Tumors with mutant *TP53* have significantly higher activity of ETS2 than WT tumors
(*P* < 0.01, t-test). This association is not significant using mRNA levels of ETS2 (**Supplementary**
Fig. 5). Box edges represent the upper and lower quantile with median value shown as bold line
in the middle of the box. Whiskers represent 1.5 times the quantile.

699
Fig. 6: Experimental validation of the PIK3CA-driven TF in breast cancer. (A) Validation of
canonical target genes of STAT4, and NFATC1 in MCF10A parental and *PIK3CA*^H1047R cells

treated with DMSO or a panel of PI3K/AKT inhibitors (alpelisib 1μM, GDC0077 100nM, GDC0032
100nM, GDC0068 1μM, Everolimus 100nM) in starvation media for 4 hours, using qPCR.
Expression levels were normalized to ACTIN. Circles represent independent experiments. The
efficiency of inhibitors' treatement in shown in Supplementary Figure 6. **(B)** Similar analysis of
expression of target genes in MCF7 (*PIK3CA*^{E545K}) was performed as in A. **(C)** ChIP-qPCR assays
for the occupancy of STAT4 (left) and NFATC1 (right) in the indicated gene promoters, using the
same cells as in A, treated with alpelisib 1μM for 4h. Error bars show SD (n=3). *p<0.05, **p<0.01,
***p<0.001, ****p<0.0001. (one-side unpaired t-test). **(D)** ChIP-qPCR assays for the occupancy
of STAT4 and NFATC1 in MCF7 cells as in C. **(E)** Validation of the same target genes as in **A**, in
MCF7-derived xenograft tumors treated with Vehicle or Alpelisib (for details see Methods).
Expression levels were normalized on ACTIN. Circles represent independent experiments. Error
bars show SED (n=2). *p<0.05, **p<0.01, ***p<0.001, ****p<0.0001. (one-side unpaired t-test).
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