1 Laminar microcircuitry of visual cortex producing attention-associated electric fields

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20 Abstract: Cognitive operations are widely studied by measuring electric fields through EEG and ECoG. However, 21 despite their widespread use, the component neural circuitry giving rise to these signals remains unknown. Specifically, 22 the functional architecture of cortical columns which results in attention-associated electric fields has not been explored. 23 Here we detail the laminar cortical circuitry underlying an attention-associated electric field often measured over posterior 24 regions of the brain in humans and monkeys. First, we identified visual cortical area V4 as one plausible contributor to 25 this attention-associated electric field through inverse modeling of cranial EEG in macaque monkeys performing a visual 26 attention task. Next, we performed laminar neurophysiological recordings on the prelunate gyrus and identified the 27 electric-field-producing dipoles as synaptic activity in distinct cortical layers of area V4. Specifically, activation in the 28 extragranular layers of cortex resulted in the generation of the attention-associated dipole. Feature selectivity of a given 29 cortical column determined the overall contribution to this electric field. Columns selective for the attended feature 30 contributed more to the electric field than columns selective for a different feature. Lastly, the laminar profile of synaptic 31 activity generated by V4 was sufficient to produce an attention-associated signal measurable outside of the column. 32 These findings suggest that the top-down recipient cortical layers produce an attention-associated electric field capable 33 of being measured extracranially and the relative contribution of each column depends upon the underlying functional 34 architecture.

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36 Key words: CSD, ECoG, EEG, LFP, N2pc, V4

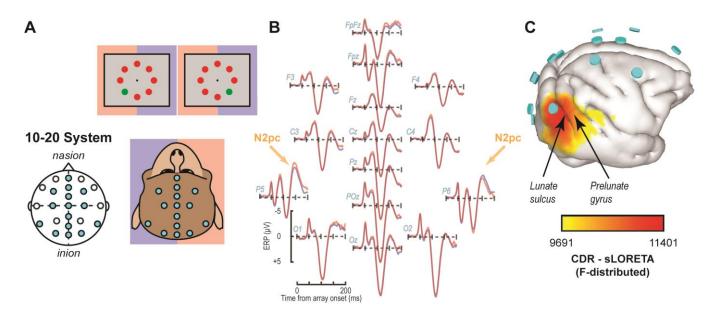
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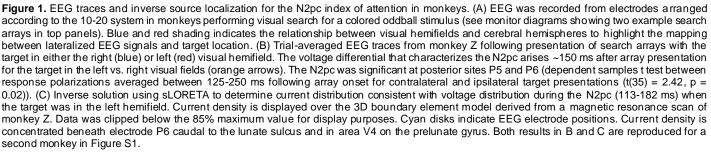
37 Introduction

Research into extracranial electric fields provides fundamental insights into the mechanisms of human perception,
cognition, and intention. For instance, event-related potential (ERP) components like the N2pc (Luck and Hillyard,
1994; Eimer, 1996; Woodman and Luck, 1999) and Pd (Hickey et al., 2009) reliably index selective attention in
humans and monkeys, alike. However, the interpretation of these extracranial measures of attention is severely limited
by uncertainty about the exact neural processes that generate these signals (Nunez and Srinivasan, 2006).
Understanding what brain processes an electric field indicates requires knowing how it is generated (e.g., Cohen,
2017).

45 One avenue to localize neural generators of electric fields is through inverse source localization (Michel et al., 46 2004; Grech et al., 2008). However, the results are indefinite and cannot offer conclusive answers. Moreover, these methods do not allow for the probing of the underlying neural circuitry. For example, most EEG signals are 47 48 hypothesized to be generated by interlaminar interactions in cortical columns (Nunez and Srinivasan, 2006). Columnar 49 microcircuits are ubiquitous across the brain (Douglas et al., 1989; Douglas et al., 1991 but see Godlove et al., 2014), 50 having a well-defined anatomical structure (Mountcastle, 1997; Kaas, 2012) and consistent physiological activation pattern (Bastos et al., 2012). This canonical cortical microcircuitry allows for a framework in which to interpret columnar 51 52 dynamics in sensory or cognitive tasks, yet the relationship between this functional architecture and electric fields 53 related to cognition commonly measured in humans has yet to be explored.

Electric fields measured at the surface of the brain (ECoG) and scalp (EEG) are theorized to stem from dipoles 54 55 in cortex. However, measuring current dipoles requires sampling electrical potentials across all the layers of the 56 cerebral cortex. Such laminar neurophysiological measurements are rare and unsystematic in humans. Work in 57 rodents has uncovered intriguing insights into cortical laminar microcircuits underlying evoked EEG signals, but all of 58 these were limited to sensory responses (Jellema et al., 2004; Bruyns-Haylett et al., 2017; Næss et al., 2021). 59 Fortunately, macaque monkeys produce homologues of the attention-associated EEG signals (N2pc: Woodman et al. 60 2007; Cohen et al., 2009; Purcell et al., 2013; Pd: Cosman et al., 2018). Laminar neurophysiological measurements (Schroeder et al., 1998; Maier et al., 2010; Buffalo et al., 2011; Hansen et al., 2011; Self et al., 2013; Godlove et al., 61 62 2014; Engel et al., 2016; Klein et al., 2016; Hembrook-Short et al., 2017; Nandy et al., 2017; Trautmann et al., 2019; Westerberg et al., 2019; Tovar et al., 2020; Ferro et al., 2021) and EEG (Schmid et al., 2006; Woodman et al., 2007; 63 Sandhaeger et al., 2019) are well established in macaques. However, despite many studies linking intra- and 64 65 extracranial signals (Schroeder and Givre, 1992; Whittingstall and Logothetis, 2009; Musall et al., 2014; Snyder and 66 Smith, 2015), to date, little is known about the laminar origins of ERPs in primates.





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Figure 2. Extracranial attention-associated signal and simultaneously recorded V4 synaptic currents during representative session. (A) Extracranial ERPs as voltages aligned to array onset, averaged over all trials when the target was presented contra- (solid) or ipsilateral (dashed) to the electrode. Inset magnifies the N2pc window, highlighted in orange, defined as the difference in potentials 150-190 ms following array onset. (B) Cortical (laminar) current source density (CSD), aligned on array presentation when the target appeared in the population receptive field of the column. Dashed lines delineate estimated boundaries between supragranular (L2/3), granular (L4), and infragranular (L5/6) layers. CSD values were interpolated and smoothed along depth for display only. Current sinks are indicated by hotter hues and current sources by cooler hues, respectively. The earliest sink arises in putative L4, likely from rapid feedforward transmission. (C) CSD evoked by target outside the receptive field. (D) Subtraction of CSD responses shown in B and C. The only statistically significant differences (determined through a t test across time with p < 0.05, outlined by magenta line) were due to a current sink in L2/3 that arose gradually ~100 ms after array presentation. This relative sink was associated with a weak relative source in L5/6. (E) Mutual information between CSD and the extracranial signal for L2/3 (blue), L4 (purple), and L5/6 (green), aligned on array onset. Timepoints with significant mutual information were computed through Monte Carlo shuffle simulations (MCS). Epochs with significant mutual information persisting for at least 10 ms are indicated by horizontal bars. No such epochs were observed in L4. Highlighted region indicates period of N2pc. (F) Information transmission about target position from V4 CSD to the extracranial signal. Conventions as in E.

67 Here we show that visual cortex generates dipoles 68 through layer-specific transsynaptic currents that give rise to 69 electric fields that track the deployment of selective attention. 70 These dipoles were generated by the extragranular 71 compartments of cortex - indicating these cognitive 72 operations likely arise from top-down interactions. Moreover, 73 functional architecture - in the form of feature columns - were 74 associated with the relative contribution of individual, local 75 cortical columns to the global electric field. These results are 76 the first to our knowledge to describe laminar specificity in 77 synaptic activations contributing to the generation of electric 78 fields associated with cognitive processing.

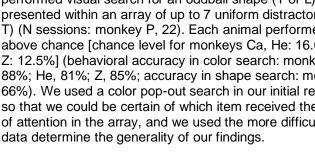
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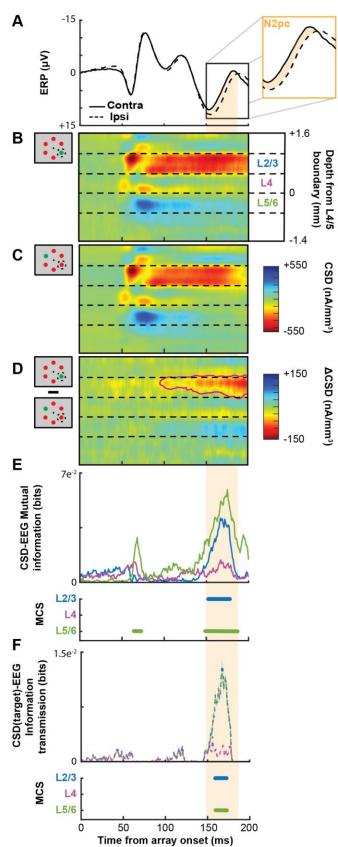
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81 Attention task

82 To investigate extracranial manifestations of attention-83 associated electric fields, we first trained macaque monkeys 84 to perform a visual search task (Figure 1A). Three macaque 85 monkeys (designated Ca, He, and Z) performed visual search 86 for an oddball color target (red or green), presented within an 87 array of 5 or 7 uniform distractors (green or red) (N sessions: 88 monkeys Ca, 21; He, 9; Z, 18). A fourth monkey (P) 89 performed visual search for an oddball shape (T or L) presented within an array of up to 7 uniform distractors (L or 90 91 T) (N sessions: monkey P, 22). Each animal performed well 92 above chance [chance level for monkeys Ca, He: 16.6%; P, 93 Z: 12.5%] (behavioral accuracy in color search: monkeys Ca, 94 88%; He, 81%; Z, 85%; accuracy in shape search: monkey P, 95 66%). We used a color pop-out search in our initial recordings 96 so that we could be certain of which item received the benefit 97 of attention in the array, and we used the more difficult search 98





100 Inverse modeling of attention-associated extracranial electric fields points to visual cortex

- 101 Once animals could successfully perform visual search, we implanted an array of electrodes approximating the human
- 10-20 system in monkeys P and Z (Figure 1A). Using these electrodes, we observed extracranial electric dynamics in 102

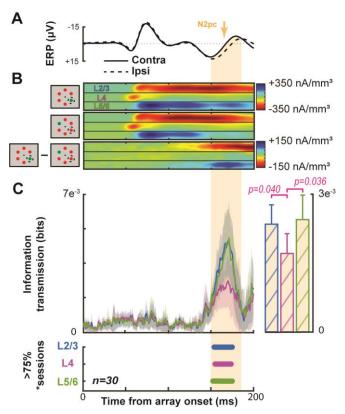
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103 both monkeys. An index of attention known as the N2pc 104 manifests during visual search. The N2pc served as our 105 representative attention-associated electric field indicating 106 attention in this task. The magnitude of the N2pc was largest over occipital sites (Figure 1B, S1), consistent with previous 107 108 reports in humans and macaques (Luck and Hillyard, 1994; 109 Eimer, 1996; Woodman and Luck, 1999; Hopf et al., 2000; Woodman et al., 2007; Cohen et al., 2009; Purcell et al., 110 111 2013). Next, we used sLORETA inverse modeling for source 112 localization. Previous source estimates for the N2pc identified 113 the human homologue of V4 (Luck and Hillyard, 1990, 1994; 114 Hopf et al., 2000). These findings are consistent with 115 numerous reports that areas in mid-level visual cortex in monkeys produce robust attention signals (Moran and 116 117 Desimone, 1985; Luck et al., 1997; McAdams and Maunsell, 118 1999; Reynolds et al., 1999; Fries et al., 2001; see Roe et al., 119 2012 for review) across cortical layers (Engel et al., 2016; 120 Nandy et al., 2017). In line with these earlier studies, our 121 inverse models showed that current sources include V4 on the 122 prelunate gyrus (Figure 1C, S1). However, the modeled 123 current sources also included other cortical regions, as is 124 common for inverse solutions. Notably, the inverse solution 125 identifies V1 to be about as strong as V4 in contributing to the 126 N2pc, which is unlikely given current knowledge on attentional 127 modulation for each area (Motter, 1993; Luck et al., 1997; 128 Kastner et al., 1999; Buffalo et al., 2010). Given the primary 129 feature used in the search task was color, we decided to 130 investigate the laminar profile of attention-associated electric 131 field generation in V4 where color is better represented (Roe 132 et al., 2012).

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134 <u>V4's laminar microcircuit produces dipoles that predict the</u> 135 <u>attention-associated electric field</u>

136 Guided by magnetic resonance imaging, linear electrode 137 arrays (LMAs) were inserted into area V4 of two monkeys, Ca and He. LMAs were placed perpendicular to the cortical 138 139 surface, spanning supragranular (L2/3), granular (L4), and 140 infragranular (L5/6) cortical layers. Simultaneously, an 141 extracranial electric signal was recorded immediately above 142 V4 – critically the recording took place outside of the cortical 143 column itself. Current source density (CSD) was derived



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Figure 3. Grand average demonstrating the link between V4 CSD and the extracranial attention-associated electric field. Conventions as in Figure 2. (A) Average ERP across all sessions and animals with the target contra- (solid) or ipsilateral (dashed). The N2pc interval is indicated by orange shading. (B) Average V4 CSD with the target in (top) or out of the RF (center) with the difference between the two at the bottom. (C) Grand average information transmission about target position from V4 layers to the extracranial signal as a function of time (left). Average +2 SEM of information transmission during the N2pc window (right). Panel below shows that Information transmission from L2/3 and in L5/6 was significantly greater than that from L4 (t test p < 0.05). Timepoints with significant information transmission were assessed through Monte Carlo simulations during >75% of sessions. Epochs with significance persisting for at least 10 ms are indicated by horizontal bars, color coded for each laminar compartment (bottom).

144 from the local field potentials (LFPs) sampled across V4 layers. To relate the extracranial signal (Figure 2A) to synaptic 145 currents estimated as CSD (Figure 2B-D), we employed information theory to capture multivariate factors and 146 nonlinearities between signals (Shannon, 1948; Cover and Thomas, 2006). Importantly, information theory analyses 147 are model independent (Timme and Lapish, 2018). Information theory thus is superior to standard linear models since 148 these models cannot capture all potential relationships between signals. The relationship between the extracranial 149 signal and CSD were assessed in four discrete steps, as illustrated by a representative session (Figure 2E-F, S2). 150 Again, we use the time period of the purported N2pc as our primary focus for determining whether V4's laminar circuitry is involved in the production of attention-associated electric fields. 151

First, we employed Monte Carlo simulations of the mutual information analysis to verify that the extracranial 152 153 signal exhibits significantly enhanced information about target position during the time window of the N2pc (Figure S2). Second, we measured target information across the layers of V4 during the N2pc temporal window. This analysis 154 155 revealed enhanced information in L2/3 and L5/6 but not in L4 (Figure S2). Third, we computed the mutual information 156 between the extracranial signal and CSD during the N2pc window, irrespective of target position. This analysis showed 157 a significant relationship between extracranial signal and the CSD in L2/3 and L5/6 but not in L4 (Figure 2E, S2). 158 Fourth, we measured the transmitted information about target location from CSD to extracranial signal during the N2pc 159 window (Timme and Lapish, 2018). This analysis demonstrated significant information transmission to the extracranial 160 signal from L2/3 and L5/6, but not L4 (Figure 2F, S2).

Averaged across sessions, we observed that the attention-associated electric field during the N2pc window (Figure 3A) was associated with a consistent CSD pattern (Figure 3B). This relationship was observable in each

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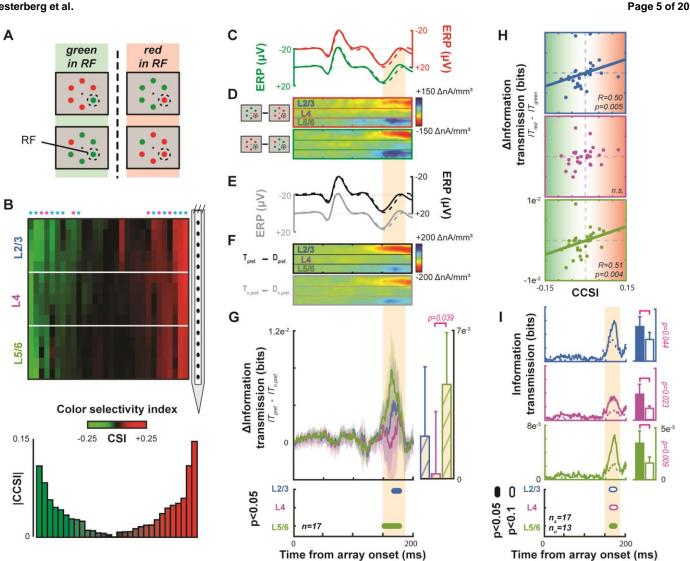


Figure 4. Contribution of columnar feature selectivity to the attention-associated electric field. Conventions as in Figure 2. (A) Visual search array configurations used for color selectivity analyses. (B) Laminar profiles of red/green color selectivity across all sessions session. The hue of each point across cortical depth signifies the value of a color selectivity index (CSI), derived from local gamma power. CSI values <0 indicate preference for green, and values >0, preference for red. CSI is smoothed across 2 adjacent channels for display only. Sessions are sorted from left to right based on a second index that estimates each column's combined selectivity, termed column color selectivity index (CCSI). A bar plot representing each session's CCSI is plotted below. Asterisks indicate columns that were significantly color-selective (Wilcoxon sign rank, p < 0.05). Asterisk color indicates which monkey the column was recorded from (monkey Ca: cyan; He: magenta). (C) Average ERPs for trials when a red (top) or green (bottom) target or distractor appeared in the RF based on the 17 significantly color selective columns. Conventions as in Figure 2. (D) Difference in CSD when the target appeared within the columnar population receptive field (RF), compared to out-of-RF trials when a red (top) or green (bottom) target or distractor appeared in the RF (n = 17). (E) Average ERP for trials when the preferred color (top) or non-preferred color (bottom) target or distractor appeared in the RF for the 17 color selective columns. Conventions as in Figure 2. (F) Difference in CSD when the target was within vs. out of the RF, for trials when the preferred color (top) or non-preferred color (bottom) target or distractor appeared in the RF. (G) Average across colorselective columns for subtraction of information transmission from laminar CSD to the extracranial signal about non-preferred color target position from information transmission about preferred color target position. Conventions as before. L2/3 and L5/6 but not L4 contribute significantly to the extracranial signal. (H) Correlation plots between the CCSI for each session and the difference in information transmission between the red and green stimulus conditions for L2/3 (blue, top), L4 (purple, center), and L5/6 (green, bottom). Spearman correlation reported in lower righthand corner of each plot. Data from all 30 sessions included. (I) Comparison of feature selective (solid line, ns = 17) and non-feature-selective (dashed line, nn = 13) columns for each laminar compartment (L2/3: blue, top; L4: purple, upper middle; L5/6: green, lower middle). Differences in time are shown at the bottom for each compartment at two alpha levels (filled: 0.05: unfilled: 0.1) as computed by a two-sample t test. Average information transmission during the time of N2pc indicated with bars at right with upper limit of 95% confidence intervals (left bars, selective columns; right bars, non-selective columns). Significance is indicated with a magenta bracket and p value from a two-sample t test shown to the right of ordinate.

163 monkey (Figure S3A-B). Presentation of the search array in any configuration elicited an early current sink in L4, 164 followed by a prolonged sink in L2/3 that was associated with a briefer source in L5/6.

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We next computed information transmission about target location from the CSD to extracranial signal for each 166 session (Figure 3C). All cortical layers provided significant information transmission in >75% of sessions during the 167 N2pc window (150-190 ms following array onset). However, the magnitude of transmitted target information was

168 significantly greater in L2/3 and L5/6 relative to L4 (L2/3-L4: t(29) = 2.15, p = 0.040; L5/6-L4: t(29) = 2.20, p = 0.036).

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The magnitude of information transmission was not significantly different between L2/3 and L5/6 (t(29) = 0.21, p = 0.84).

Across sessions, the three other information theoretic analyses were consistent with the example session (Figure S2). Significant information transmission during the time of the N2pc was observed in each monkey (Figure S3C). Thus, the current dipole in V4 generated by the L2/3 CSD sink and the L5/6 CSD source contributes to the N2pc measured in the overlying extracranial electric field.

176 Feature selectivity determines columns' relative roles for attention-associated electric field generation

Given the selectivity of V4 neurons for color (Figure 4A) (Roe et al. 2012) and the homogenous columnar representation of V4 color selectivity (Zeki, 1973, 1980; Tootell et al., 2004; Conway and Tsao, 2009; Kotake et al., 2009), we next investigated the role of columnar color tuning for the contribution of that column to the attentionassociated electric field. To quantify color selectivity through depth, we computed the response ratio between red and green stimuli (Figure 4B). Responses were measured as power in the gamma range (30-150 Hz) because this activity reflects local circuit interactions (Ray and Maunsell, 2011) as well as feature selectivity in visual cortex (Berens et al., 2008) and is more reliably measured across all LMA contacts than spiking activity.

To identify columns with significant selectivity for either red or green, we performed Wilcoxon sign rank tests between the distribution of ratios in each column against bootstrapped null distributions. Each bootstrapped null distribution contained 15 randomly selected ratios from the full dataset (450 experimental values). 1000 such distributions were generated to be tested against. The bootstrapped distributions represent the range of possible values observed across V4, but do not capture any difference in the homogeneity of feature selectivity within a column.

We found that more than half of V4 columns (monkey Ca: 12/21, 57.1%; He: 5/9, 55.6%) show color selectivity defined in this way. We computed the information transmission of target position for each of these color tuned columns. We first computed this value for trials where the preferred color was in the column's population receptive field. Then, we recomputed this value for trials with the non-preferred color. Note that the amplitude of the extracranial signal during the N2pc window did not differ across sessions with different target and distractor colors (paired sample

194 t(16) = 0.40, p = 0.69) (Figure 4C), nor did the laminar CSD 195 during the same window (L2/3: t(16) = -0.85, p = 0.41; L4: 196 t(16) = 0.75, p = 0.46; L5/6: t(16) = 0.36, p = 0.72) (Figure 197 4D). However, we found that the information transmitted 198 during the N2pc window was greater when a preferred 199 rather than a nonpreferred color was present (Figure 4G). 200 This difference was significant in L2/3 and L5/6 but not in 201 L4 (t test across time with at least 10 ms having p < 0.05), 202 and can clearly be seen at the single session level (Figure 203 S4).

204 We investigated whether the degree of color 205 preference was related to information transmission. We 206 plotted the columnar color preference as an index (CCSI: 207 positive, red-preferring; negative, green-preferring) against 208 the difference in information transmission between 209 conditions (red – green) around the time of peak 210 information transmission (160-180 ms) for each session 211 (Figure 4H). Computing Spearman's r for each laminar 212 compartment, we found a significant relationship between 213 the magnitude of feature selectivity and the difference in 214 information transmission for L2/3 (R = 0.50, p = 0.005) and 215 L5/6 (R = 0.51, p = 0.004).

216 In a similar vein, we tested whether feature selective columns, on average, transmitted more 217 218 information than their non-feature-selective counterparts. 219 We found that feature selective columns, along all laminar 220 compartments, transmitted significantly more information 221 (Figure 4I) (two-sample t test: L2/3, p = 0.044; L4, p = 222 0.023; L5/6, p = 0.009). Together these findings suggest 223 that visual cortical columns contribute more to the overlying 224 attention-associated electric field when the item in their 225 receptive field matches their tuning preference. 226

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227 <u>Translaminar currents in V4 recapitulate the N2pc</u>
 228 CSD is computed by differentiating between local field

228 CSD is computed by differentiating between local field 229 potentials to eliminate volume conducted signals that do

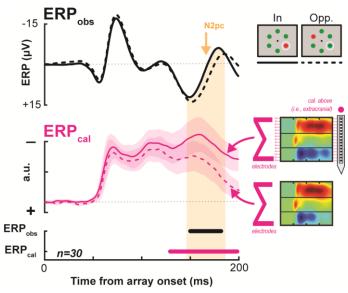


Figure 5. Comparing an estimated field potential generated from the CSD across the cortical columns to the actually observed extracranial event-related potential. Black lines indicate the empirically measured event-related potential (ERPobs, top), averaged across sessions. The pink line indicates the estimated event-related potential calculated from the synaptic currents across V4 columns, averaged across sessions (ERPcal, center). Synaptic currents at each electrode are measured and divided by the Euclidean distance of the electrode from the extracranial surface (see Methods; Nicholson and Llinas 1971; Kajikawa and Schroeder 2011). ERP for target present in the RF vs. target opposite the RF is shown as solid and dashed lines, respectively (example array for each condition shown at top right). Clouds around ERPal lines indicate 95% confidence intervals across sessions for each condition. Note that despite differences in overall waveshape (which are likely due to the fact that V4 is not the only contributor to the attention-independent, visually evoked ERP). the timing of differences within signal types can be compared. The congruence in polarization of the difference in potentials is of similar note.

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230 not arise from local circuit activity. Using the inverse procedure (i.e., summing the CSD), it is possible to estimate the 231 local field potential without contamination by volume conducted activity (Nicholson and Llinas, 1971; Kajikawa and 232 Schroeder, 2011). We used this logic to compute an estimated extracranial event-related potential (ERP). Specifically, 233 we computed the sum of currents produced by a cortical column to estimate the extracranial signal at a position 234 directly above. The resultant potential (ERP_{cal}) showed a significant difference that persisted throughout the time period of the N2pc (Figure 5). In other words, the summed potential generated by currents along V4 columns 235 236 differentiates between attention conditions simultaneous with the extracranially measured attention-associated signal. 237 Note that the shape of the of the empirically observed extracranial ERP (ERPobs) differs from the estimated extracranial ERP_{cal}. This is expected in part because the ERP_{obs} reflects several more variables such as volume conducted 238 239 contributions of nearby columns as well as the filtering and attenuating effects of the tissue and cranium above the 240 gray matter (Nunez and Srinivasan, 2006). Given these expected differences, it is remarkable how well the difference 241 in ERP_{cal} predicts the timing of the attention-associated electric field. 242

243 Discussion

Bioelectric potentials have practical and clinical applications when their generators are known. For example, the electrocardiogram is useful in medicine because the physiological process associated with each phase of polarization is understood. Likewise, the electroretinogram is useful because the cell layers associated with each polarization are understood. In contrast, human ERP components indexing cognitive operations will have limited utility until their neural generators are known.

249 The ERP indices of attention such as the N2pc or Pd are commonly used to assess the deployment of 250 attention in human participants, but can also be observed in macaque monkeys, enabling systematic concurrent EEG 251 and intracranial neurophysiological recordings. Our objective was to identify the neural generator of the attention-252 associated electric fields that comprise ERPs like the N2pc. Using inverse modeling of cranial surface EEG and 253 laminar resolved CSD in a cortical area, we demonstrate that translaminar synaptic currents in visual cortical area V4 254 contribute to the generation of attention-associated electric fields during visual search. The dipole resulting in this 255 electric field stemmed from laver-specific interactions in extragranular (top-down recipient) cortical lavers. 256 Unexpectedly, we discovered that the contribution of a cortical column to the overlying electric field depended on 257 whether the visual feature in the RF matched the selectivity of the column - an important consideration in the 258 mechanism producing EEG potentials that may not be observable through the macroscopic EEG signal alone. 259

260 Columnar mosaic underlying EEG

Our discovery that dipoles established by synaptic currents in visual cortical columns underlie the generation of the attention-associated electric fields is consistent with the observation that ERP components such as the N2pc are largest over the occipital lobe in humans (Luck and Hillyard, 1990, 1994) and macaques (Woodman et al., 2007) and with human MEG studies (Hopf et al., 2000). While the objective of this investigation was to identify interlaminar interactions producing these attention-associated electric fields, the approach also affords the opportunity to better

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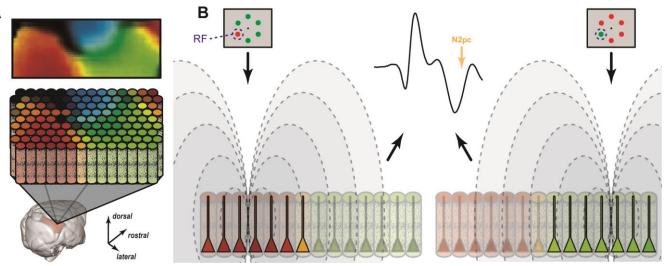


Figure 6. Feature Mosaic Hypothesis. (A) Top, a map of preferred color in area V4 derived from optical imaging (Tanigawa et al. 2010). Middle, a surface view map of columns extending through the layers of area V4. Bottom, this columnar structure was observed when neural signals were sampled across all layers of area V4 on the surface of the prelunate gyrus highlighted red on structural brain scan obtained from monkey Z. (B) Relative contributions of cortical columns in area V4 to the attention-associated electric field when a red (left) or green (right) target appears in the RF. Intensity of pyramidal neuron activity is indicated by saturation in the diagram. The mesoscopic columns produce electric fields (dashed lines) that sum to produce the equivalent ERP.

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266 understand the neural circuitry that produces it. For example, we sought to understand how visual processing 267 characteristics, in the form of feature selectivity, of cortical columns contributes to the generation of the electric field. 268 Visual features, like color used in this study, can be decoded from extracranial signals like EEG (Sandhaeger et al., 269 2019; Sutterer et al., 2021). Visual cortical area V4 contains a functional map of hue along its surface (Tanigawa et al., 270 2011) with individual columns comprising the map preferring the same color (Zeki, 1973, 1980; Tootell et al., 2004; 271 Conway and Tsao, 2009; Kotake et al., 2009). We demonstrate that color-feature selectivity was consistent along 272 cortical depth and discovered that the contribution of a column to the global electric field was greater when the feature 273 in the RF was the preferred feature of the column. Specifically, columns that preferred green (or red) contributed more 274 to the electric field when the item in the RF was green (or red) rather than red (or green).

275 The implications of this unexpected finding are illustrated in Figure 8A-B, which portrays how an attentionassociated electric field like the N2pc can arise from different populations of cortical columns. Columns with receptive 276 277 fields enclosing the target and also being selective for the particular features of the target establish stronger dipoles 278 than do columns either representing different parts of visual space or other visual features. If target position or target 279 feature change, then the columns contributing the strongest dipoles change accordingly. It is important to note that 280 columns contribute regardless of the feature (provided the attended target is in the RF), there is simply a greater 281 relative contribution when the attended item is a visual feature preferred by the columnar microcircuit. It is an open 282 question whether these shifts in the voltage distribution are measurable on the human scalp due to smearing of the 283 signals as they propagate through the skull and scalp (Nunez and Srinivasan, 2006). Additionally, we do not know if 284 this observation generalizes to other cortical areas or other ERP components. However, the discovery has this general 285 implication: A given ERP can arise from qualitatively different neural circuit configurations. This implication entails 286 specific limits on the nature of mechanistic inferences available from ERP measures.

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Plausible N2pc localization 288

The attention-associated electric field measured in our task is most likely representative of the commonly measured 289 290 N2pc component of the EEG ERP. Given our findings regarding the functional architecture comprising attention-291 associated electric fields, it is conceivable that the N2pc arises from multiple, anatomically distinct cortical areas. That 292 is, given the ubiquity of columnar architecture in sensory cortex and the specificity of visual feature representations to 293 different cortical areas, electric dipoles formed across visual cortical layers could come about across multiple visual 294 cortical areas with the relative contribution of each depending on the feature being attended to. This realization could 295 help reconcile conflicting interpretations of the cognitive states and operations that are supposed to be indexed by the 296 N2pc (Eimer, 1996; Kiss et al., 2008; Pagano and Mazza, 2012; Foster et al., 2020). Moreover, contributions from 297 areas other than V4 are plausible because previous neurophysiological studies in macagues demonstrate attentional 298 selection signals during visual search in the temporal (e.g., Sato, 1988), parietal (e.g., Bisley and Mirpour, 2019), and 299 frontal (e.g., Thompson et al. 2005; Zhou and Desimone 2011) lobes. Of particular note, neuroimaging studies in 300 humans indicate a contribution to the N2pc from posterior parietal cortex (Hopf et al., 2000). In the same vein, FEF neurons locate the target among distractors as early as, or even before, the N2pc arises (Cohen et al., 2009; Purcell et 301 302 al., 2013). Given the interconnectivity of FEF and V4 (Schall et al., 1995; Ungerleider et al., 2008; Gregorio et al. 2012; Ninomiya et al. 2012), the frontal lobe thus could be the functional origin of an attentional selection signal 303 304 communicated to V4 and other posterior areas (Armstrong and Moore, 2007; Ekstrom et al., 2009; Gregoriou et al., 305 2009, 2012: Marshall et al., 2015: Popov et al., 2017), which in turn generate the N2pc (Westerberg and Schall, 2021) 306 which would be observable as the attention-associated electric field demonstrated in our data.

307 308 Methods

Animal Care 309

310 Procedures were in accordance with National Institutes of Health Guidelines, Association for Assessment and 311 Accreditation of Laboratory Animal Care Guide for the Care and Use of Laboratory Animals, and approved by the 312 Vanderbilt Institutional Animal Care and Use Committee following United States Department of Agriculture and Public 313 Health Services policies. Animals were socially housed. Animals were on a 12-hour light-dark cycle and all 314 experimental procedures were conducted in the daytime. Each monkey received nutrient-rich, primate-specific food 315 pellets twice a day. Fresh produce and other forms of environmental enrichment were given at least five times a week.

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317 Surgical Procedures

Two male macaque monkeys (Macaca mulatta monkey Z, 12.5 kg; Macaca radiata monkey P, 9 kg) were implanted 318

- with head posts and skull-embedded EEG arrays using previously described techniques (Woodman et al., 2007). One 319 320 monkey (monkey P) was implanted with a subconjunctive eve coil. Two male macaque monkeys (Macaca radiata:
- 321 monkey Ca, 7.5 kg; He, 7.3 kg) were implanted with head posts and MR compatible recording chambers with
- 322 craniotomy over V4. Anesthetic induction was performed with ketamine (10 mg/kg). Monkeys were then catheterized
- 323 and intubated. Surgeries were conducted aseptically with animals under O₂, isoflurane (1-5%) anesthesia. EKG,
- 324 temperature, and respiration were monitored. Postoperative antibiotics and analgesics were administered. Further
- detail is documented elsewhere (Woodman et al., 2007; Westerberg et al., 2020a, 2020b). 325

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326327 Magnetic Resonance Imaging

Anesthetized animals were placed in a 3 Tesla Magnetic Resonance Imaging (MRI) scanner. T1-weighted 3D MPRAGE scans were acquired with a 32-channel head coil equipped for SENSE imaging. Images were acquired using 0.5 mm isotropic voxel resolution with parameters: repetition 5 s, echo 2.5 ms, flip angle 7°.

332 Visual Search Tasks

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Monkeys performed a color pop-out (monkeys Ca, He, and Z) or T/L (monkey P) search. Search arrays were 333 334 presented on a CRT monitor at 60 Hz, at 57 cm distance. Stimulus generation and timing were done with TEMPO 335 (Reflective Computing). Event times were assessed with a photodiode on the CRT. We used isoluminant red and green disks on a gray background (pop-out) or uniform gray T's and L's on a black background (T/L). Target feature 336 337 varied within session for monkeys Ca, He, and Z. Monkey P identified the same target on any given session (T or L) 338 but changed specific targets session to session. Trials were initiated by fixating within 1 (monkeys Ca and He) or 2 339 (monkeys P and Z) degrees of visual angle (dva) of a fixation dot. Time between fixation and array onset was at least 500 ms (monkey P: 500-1000 ms; Z: 500 ms; Ca and He: 750-1250 ms). For monkeys experiencing a range of 340 341 fixation periods (monkeys Ca, He, Z), a nonaging foreperiod function was used to determine the fixation period on a trial-by-trial basis. Arrays comprised of 6 (monkeys Ca and He) or 8 (monkeys P and Z) items. Monkeys P and Z 342 343 experienced invariable array eccentricity (10 dva) and item size (monkey P: 1.3x1.3 dva; Z: 1x1 dva). 2 items were 344 positioned on the vertical meridian, 2 on the horizontal, and the 4 remaining items equally spaced between. Monkeys 345 Ca and He viewed items where size scaled with eccentricity at 0.3 dva per 1 dva eccentricity so that they were smaller 346 than the average V4 receptive field (RF) (Freeman and Simoncelli, 2011). The angular position of items relative to 347 fixation varied session to session so that 1 item was positioned at the center of the RF. Items were equally spaced relative to each other and located at the same eccentricity. Each trial, 1 array item was different from the others. 348 Monkeys saccaded to the oddball within 1 (monkeys Ca and He) or 2 s (monkeys P and Z) and maintained fixation 349 within 2-5 dva of the target for more than 400 ms (monkeys Ca, He, and Z: 500 ms; monkey P: 400-800 ms). Juice 350 351 reward was administered following successfully completion of the trial. The target item had an equal probability of 352 being located at any of the 6 or 8 locations. Eye movements were monitored at 1 kHz or 250 Hz using a corneal 353 reflection system (monkeys Ca, He, and Z) or a scleral search coil (monkey P), respectively. If the monkey failed to 354 saccade to the target, they experienced a timeout (1-5 s). 355

356 <u>10-20 EEG Recordings</u>

357 Two monkeys were implanted with an array of electrodes approximating the human 10-20 system locations (monkey 358 P: FpFz, C3, C4, P3, P4, OL, OR, Oz; monkey Z: FpFz, Fpz, F3, F4, FCz, Cz, C3, C4, Pz, P5, P6, POz, O1, O2, Oz) (Jasper 1958). Referencing was done using either the FpFz electrode (monkey P) or through linked ears (Z). The 359 360 impedance of the individual electrodes was confirmed to be between 2-5 kOhm at 30 Hz, resembling electrodes used for human EEG. EEG was recorded using a Multichannel Acquisition Processor (Plexon) at 1 kHz and filtered between 361 0.7-170 Hz. Data was aligned to array onset and baseline corrected by subtracting the average activity during the 50 362 ms preceding the array onset from all timepoints. Data was clipped 20 ms prior to saccade to eliminate eve movement 363 364 artifacts.

366 Simultaneous V4 CSD and Extracranial Recordings

367 The extracranial electric fields and laminar V4 neurophysiology were acquired at 24 kHz using a PZ5 and RZ2 368 (Tucker-Davis), Signals were filtered between 0.1-12 kHz, V4 data was collected from 2 monkeys (monkey Ca: left 369 hemisphere; He: right) across 30 sessions (monkey Ca: 21; monkey He: 9) using 32-channel linear electrode arrays 370 with 0.1 mm interelectrode spacing (Plexon) introduced through the intact dura mater each session. Arrays spanned 371 layers of V4 with a subset of electrode contacts deliberately left outside of cortex. The extracranial electric field was 372 derived from the most superficial electrode outside the brain filtered between 1-100 Hz. CSD was computed from the 373 raw signal by taking the second spatial derivative along electrodes (Nicholson and Freeman, 1975; Schroeder et al., 374 1998; Mehta et al., 2000; Westerberg et al., 2019) and converting voltage to current (Logothetis et al., 2007). We computed the CSD by taking the second spatial derivative of the LFP: 375

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$$CSD(t,d) = -\sigma \left(\frac{x(t,d-z) + x(t,d+z) - 2x(t,d)}{z^2} \right)$$

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where *x* is the extracellular voltage at time *t* measured at an electrode contact at depth *d* and *z* is the inter-electrode distance and σ is conductivity. Both EEG and CSD were baseline corrected at the trial level by subtracting the average activation during the 300 ms preceding array onset from the response at all timepoints. Extracranial electric field potentials and CSD profiles were clipped 10 ms prior to saccade at the trial level to eliminate the influence of eye movements.

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385 Laminar Alignment

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Orthogonal array penetrations were confirmed online through a reverse-correlation RF mapping procedure (Nandy et 386 al., 2017; Westerberg et al., 2019; Cox et al., 2019a, 2019b; Dougherty et al., 2019) (Figure S6A). RFs were found to 387 388 represent portions of visual space consistent with previous reports of V4 (Gattass et al., 1988) (Figure S6D). Positions 389 of recording sites relative to V4 layers were determined using CSD (Schroeder et al., 1998; Nandy et al., 2017) (Figure S6B). Current sinks following visual stimulation first appear in the granular input layers of cortex, then ascend and 390 391 descend to extragranular compartments. We computed CSD and identified the granular input sink session-wise. 392 Sessions were aligned by this input sink (Figure S6C). 'L4' refers to granular input layer, 'L2/3' - supragranular layers, 393 and 'L5/6' - infragranular layers. Each laminar compartment was assigned the same number of recording sites to 394 alleviate biases during analysis. 395

396 Inverse Modeling

397 Inverse modeling of 10-20 EEG recordings was performed in CURRY 8 (Compumedics Neuroscan). 3D head reconstruction was created for each monkey (P and Z) using the boundary element method (Hämäläinen and Sarvas, 398 1989). This method takes into account individual monkey's surface morphologies to create models of cortex surface. 399 400 inner and outer skull, and skin boundaries. This model was used in conjunction with EEG to compute a voltage 401 distribution over the cortical surface. We calculated the current density with sLORETA, which calculates a minimum 402 norm least squares that divides current by the size of its associated error bar, yielding F scores of activation. 403 sLORETA produces blurred but accurate localizations of point sources (Pascaul-Marqui, 2002). Other algorithms such 404 as Minimum Norm and SWARM were modeled as well, with agreement between models sufficient not to change any 405 conclusions. 406

407 Information Theory Analyses

Information theory (Shannon, 1948) analyses were chosen for several reasons. First, information theory analyses yield 408 409 results in terms of 'bits' which can be used to directly compare effect sizes across measurement methods (e.g., CSD, 410 Extracranial signal, and array composition [directed spatial attention]). Next, these analyses are inherently multivariate 411 and able to capture linear and nonlinear relationships. Furthermore, information theory is model independent and does 412 not necessitate a specific hypothetical structure in order to detect relationships between signals. This combination 413 allows us to detect relationships between the extracranial signal and CSD signal that might not be linear and therefore 414 would not be captured by linear models or correlation analyses. We chose to measure pairwise mutual information and 415 information transmission to gauge the relationships between our three 'signals' (e.g., extracranial, CSD, and array 416 composition [directed spatial attention]). Mutual information is the reduction in uncertainty in one variable afforded by 417 another known variable. That is, mutual information is greater when you know the state of one variable covaries with 418 the state of the other variable. If the two variables do not correspond well, mutual information is low. Therefore, the 419 reduction in uncertainty is formalized as 'information' which is relayed in bits. Mathematically, mutual information is 420 captured by the following equation (Cover and Thomas, 2006; Beer and Williams, 2015): 421

$$I(X;Y) = H(X) - H(X|Y)$$

424 where H(X) and H(X|Y) are the entropy X and X given Y, respectively. Entropy for a signal (S) is computed by:

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426
$$H(S) = \sum p(s_i) \log \frac{1}{p(s_i)}$$

428 where p(s) is the probability distribution for signal *S* and *i* is the signal state. Therefore, mutual information can be 429 computed probabilistically by:

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$$I(X;Y) = \sum_{i} \sum_{j} p(x_i y_j) \log \frac{p(x_i y_j)}{p(x_i) p(y_j)}$$

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433 where p(x), p(y) are the probability distributions for *X* and *Y*, and p(x, y) is the joint probability distribution of *X* and *Y* 434 across signal states *i* and *j* for *X* and *Y*, respectively.

While mutual information describes the relationship between the two signals (for our purpose: CSD and the extracranial signal, CSD and directed spatial attention, or the extracranial signal and directed spatial attention), it does not allow for the evaluation of two signals regarding a third (e.g., CSD and the extracranial signal regarding directed spatial attention). For analyses where we want to understand information regarding the allocation of directed attention from the synaptic currents in V4 to the extracranial signal we use a modified equation rooted in the same entropy/mutual information principles. In computing information transmission, we are interested in the information about *X* (directed spatial attention), transferred from *Y* (CSD in V4) to *Z* (extracranial signal) formalized as:

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$$I_T(X; Y_{past} \to Z_{future}) = I_{min}(X; Z_{future}, \{Z_{past}, Y_{past}\}) - I_{min}(X; Z_{future}, Z_{past})$$

where *past* and *future* describe the timepoints when the data is taken from. The information transmission (I_T) is taken as the difference between two minimum information calculations. The minimum information (I_{min}) is computed regarding the combination of the individual signals $(S_1 \text{ and } S_2)$ at the specified time periods as:

$$I_{min}(X; S_1, S_2) = \sum_{x} p(x) \min \{I(X = x; S_1), I(X = x; S_2)\}$$

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451 where p(x) is the probability distribution for signal *X* and *x* are the possible states of *X*. By taking into account different 452 timepoints for the signals we can interpret this computation as the information about *X* (directed spatial attention) 453 shared by Y_{past} (e.g., earlier CSD in V4) and Z_{future} (e.g., later extracranial signal) that was not already in Z_{past} (e.g., 454 earlier extracranial signal).

455 Above information theory analyses were performed using the Neuroscience Information Theory Toolbox 456 (Timme and Lapish, 2018). Pairwise mutual information and information transmission were computed at each 457 timepoint across trials for each session using default parameters. Five uniform count bins were used for data binning. 458 10 ms was used for time lag for information transmission. Only correct trials were included. CSD for each laminar 459 compartment was computed by taking the average activity of 5 sites at the trial level included in each laminar 460 compartment. For mutual information between target position and the extracranial signal, target position was binary 461 where target was either contra- or ipsilaterally presented. For computations within V4, target position was binary where 462 target was either in the RF or positioned opposite the RF. 5000 Monte Carlo simulations were used to generate a 463 distribution of null model values which experimental values were compared to ($\alpha = 0.05$). 464

465 Feature Selectivity

466 For each recording site within a column, gamma power (30-90 Hz) (Maier et al., 2010) responses were computed 467 when either a red distractor was presented to the RF of the column or when a green distractor was present to the RF. Responses were taken as the average activation 75-200 ms following array onset. An index was computed from these 468 469 responses by subtracting the two and dividing by their sum (CSI). Values were therefore bounded between -1 and 1 470 where larger magnitude indicates greater selectivity for green (towards -1) or red (towards 1). Columnar color 471 selectivity index (CCSI) was computed as the average of CSIs along the entire column. We performed Wilcoxon 472 signed rank tests on the distribution of CSIs across the recording sites of a given cortical column to determine whether 473 a column was significantly color selective ($\alpha = 0.05$). The selective columns were included in feature selectivity 474 analyses where the preferred color and non-preferred color were defined as the color that elicited greater and lesser 475 responses, respectively.

477 Estimating Field Potential from CSD

We calculated the event-related potential at arbitrary positions from the measured laminar CSD (ERP_{cal}) using a previously described model (Nicholson and Llinas, 1971; Kajikawa and Schroeder, 2011)

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 $\text{ERP}_{\text{cal}}(d_i, t) = A \sum_j \frac{CSD(d_j, t)}{\sqrt{h^2 + |d_j - d_i|^2}}$

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where ERP_{cal} at depth $i(d_i)$ for each timepoint (t) is taken as the sum of CSD at depths $j(d_i)$ for each timepoint divided 483 484 by the Euclidean distance to account for the diminishing impact of local currents on more distant field potentials. The 485 factor A acts only as a scaling factor and we cannot accurately estimate the magnitude of the one-dimensional CSD-486 derived waveform, so we eliminate this parameter from the calculation. This omission is consistent with previous 487 reports (Kajikawa and Schroeder, 2011) and limits our comparisons of observed ERP and ERPcal to only shape. 488 However, magnitude differences can be observed between conditions for ERPobs and ERPcal, independently. Also, for 489 our purposes, we set h to 0 as we assume that our observed CSD and the calculated ERP are in the same vertical 490 plane. 491

492 Data Availability

493 Data supporting the findings documented in this study are freely available online through Dryad at

494 <u>https://doi.org/10.5061/dryad.djh9w0w15</u>. 495

496 Supplemental Information

497 Five additional supplementary figures are included to complement and expand primary findings.

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507 Author Contributions

J. A. W., A. M., G. F. W., and J. D. S designed the research. J. A. W., M. S. S., and J. D. S. analyzed the data. J. A.
W., M. S. S., and G. F. W. performed research. J. A. W. prepared visualizations. J. A. W., M. S. S., A. M., G. F. W.,
and J. D. S. wrote the manuscript.

512 Declaration of Interests

513 The authors declare no competing interests. 514

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689 Supplementary Figures and Supplementary Figure Legends

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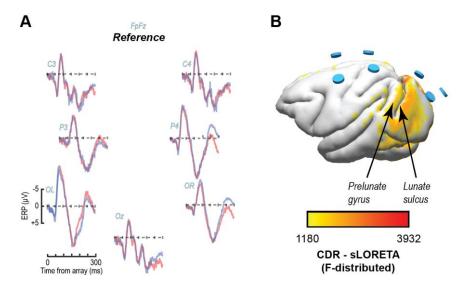


Figure S1. N2pc Distribution of monkey P (10-20 EEG recordings). (A) EEG traces for right (blue) and left (red) visual hemifield target presentations.
 Organization of traces reflects electrode positions. Scale is consistent across traces and is indicated by OL. N2pc was found to be significant through an ANOVA measured as the interaction between posterior electrode sites, the target position in the array, and the set size sites (sites OR and OL, F(2,42) = 8.39, p < 0.001). (B) Inverse solution using sLORETA for N2pc (mean 190-300 ms following array onset) during a right visual hemifield target presentation displayed over the 3D render of MR scan for monkey P. Data clipped below 30% maximum value. Cyan cylinders indicate EEG electrode positions.

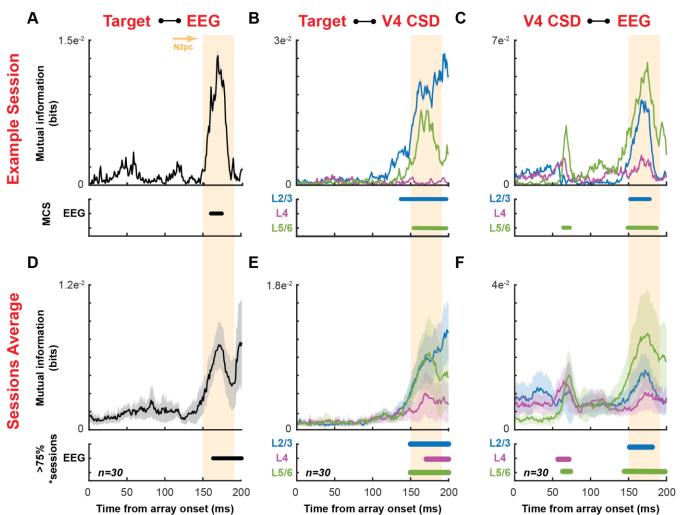


Figure S2. Mutual information measures for the extracranial signal, V4 CSD, and target position. (A) Mutual information between target position (binarily coded contra- or ipsi-presentation) and the extracranial signal along time (top) aligned on array onset with 95% CI cloud estimated from subsampling 75% of the data 100 times and recomputing. Significance established through Monte Carlo simulations is indicated below. Only epochs where significance >10 ms were included. Orange region indicates N2pc. (B) Mutual information between target position (binarily coded inside or opposite column RF) and each laminar compartment (L2/3 (blue), L4 (purple), and L5/6 (green)). Panel organization identical to (A). (C) Mutual information between the extracranial signal and each laminar compartment. Panel organization identical to (A). (D-F) Population averages (n=30) mutual information measures. Same organization as representative session, (A-C) respectively. Clouds around averages denote 95% confidence interval (CI) across sessions. Statistical measures for population averages reflect epoch's where 75% sessions were found to be significant through Monte Carlo simulations for >10 ms.

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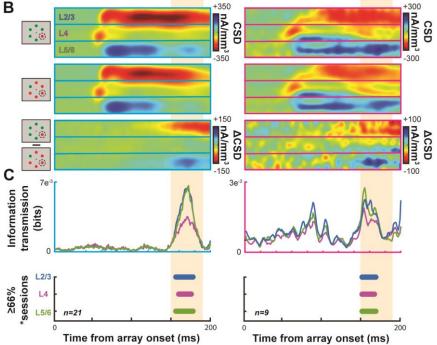
Α

-20

+20

ERP (µV)

Monkey Ca (n=21) Monkey He (n=9) Contra --- Ipsi +350 +300 nA/mm³ nA/mm³ CSD CSD -350 300 +150 +100



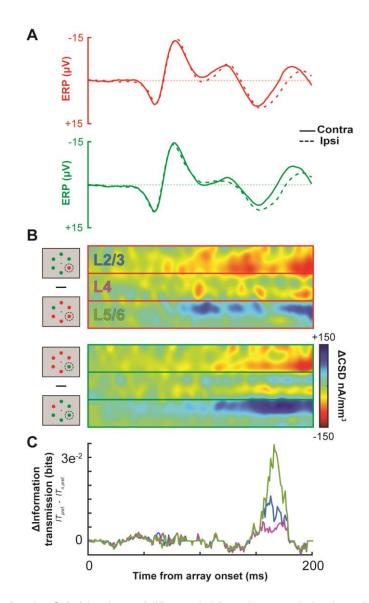
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Figure S3. Individual monkey physiology and information transmission. Results for monkey Ca (n = 21) in left column and He (n = 9) in right column. (A) extracranial signal traces for target contralateral (solid line) and ipsilateral (dashed line) to recording site. Orange highlight represents the average time of N2pc used throughout the rest of the manuscript (150 - 190 ms). (B) Current source density profile for target in RF (top), outside RF (center), and the difference between the two (bottom). Horizontal boundaries indicate laminar compartments. (C) Information transmission regarding target position from laminar CSD to the extracranial signal (top). Blue represents L2/3, purple represents L4, and green represents L5/6. Timepoints where 66% of recorded sessions showed significant information transmission for more than 10 consecutive milliseconds through Monte Carlo simulations for each laminar compartment are shown at the bottom.

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716 717 718 719 720 721 722 Figure S4. Single session example (monkey Ca) of the observed difference in information transmission depending on columnar color preference. (A) Extracranial event-related potential averaged across correctly performed trials (n=2992) for a single session with the target stimulus presented contralateral to the recording electrode (solid line; $n_{red} = 742$, $n_{green} = 766$) or ipsilateral to the recording electrode (dashed line; $n_{red} = 752$, $n_{green} = 732$) for trials where the target is red (top) of green (bottom). (B) Average difference in CSD profile for correctly performed trials between target present in RF and distractor present in RF for red item in RF trials (top) and green item in RF trials (bottom). (C) Difference in information transmission between the preferred color and the non-preferred color for the same single session as in panels A and B.

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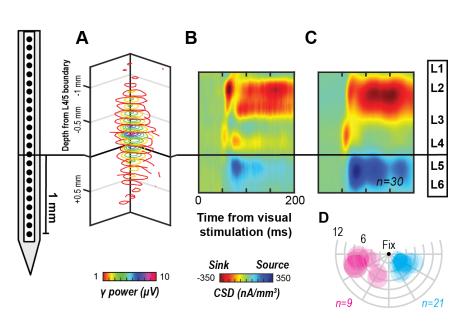


Figure S5. (A) Representative RFs across recording sites of a single array penetration. RFs across recording sites (z axis) are well aligned, indicating perpendicular penetration. Electrode positioned at left for reference. (B) CSD profile for the same session as (C). The initial sink following visual stimulation was used as a functional marker to determine the layer 4/5 boundary. Current sinks are indicated in red and sources in blue. The black horizontal line indicates the bottom of the granular input sink. Data are smoothed along depth and across time for visualization purposes. (C) Mean CSD profile following alignment of the 30 sessions (21, monkey C; 9, monkey H). Formatting identical to (B). (D) Columns' RF locations across sessions and monkeys (cyan, monkey C; magenta, monkey H). RF centers determined online, and diameters estimated from previous reports (see V4 receptive field mapping and electrode orthogonality for details). Concentric circles indicate eccentricities in dva. Radial lines indicate angular 731 positions relative to central fixation (black dot at top center).