Nascent ribosomal RNA acts as surfactant that suppresses growth of fibrillar centers in nucleolus

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ABSTRACT

Liquid-liquid phase separation (LLPS) has been thought to be the assembly mechanism of the multiphase structure of nucleolus, the site of ribosomal biogenesis. Condensates assembled by LLPS increase their size to minimize the surface energy as far as their components are available. However, multiple microphases, fibrillar centers (FCs), dispersed in a nucleolus are stable and their size does not grow unless the transcription of pre-ribosomal RNA (pre-rRNA) is inhibited. To understand the mechanism of the suppression of the growth of FCs, we here construct a minimal theoretical model by taking into account the nascent pre-rRNA transcripts tethered to the surfaces of FCs by RNA polymerase I. Our theory predicts that nascent pre-rRNA transcripts generate the lateral osmotic pressure that counteracts the surface tension of the microphases and this suppresses the growth of the microphases over the optimal size. The optimal size of the microphases decreases with increasing the transcription rate and decreasing the rate of RNA processing. This prediction is supported by our experiments showing that the size of FCs increased with increasing the dose of transcription inhibitor. This theory may provide insight in the general mechanism of the size control of nuclear bodies.

INTRODUCTION

Nucleus is not a uniform solution of chromatin, but there are a variety of nuclear bodies, such as nucleoli (1, 2, 3, 4), transcriptional condensates (5, 6, 7, 8), and paraspeckles (9), in the inter-chromatin spaces. Many of the nuclear bodies are scaffolded by complexes between RNA and RNA-binding proteins (RBPs). A class of RNA transcripts, which are essential to form nuclear bodies, are called architectural RNA (arcRNA) (9, 10, 11). Growing number of evidences suggest that some nuclear bodies are assembled via liquid-liquid phase separation (LLPS) due to the multi-valent interaction between RBPs bound to arcRNAs (12, 13). Condensates produced by LLPS are spherical and increase their size by coarsening and/or coalescence to minimize the total surface area due to the surface tension (macroscopic phase separation) (14).

Nucleolus is a nuclear body, in which pre-ribosomal RNAs (pre-rRNAs) are transcribed by RNA polymerase I (Pol I) and ribosomes are assembled (1, 2). A nucleolus is not a uniform disordered liquid, but multiple microphases, called fibrillar centers (FCs), are dispersed in the sea of a granular component (GC) (3), see Figure 1**a**. The multiphase structure of nucleolus has been thought to be assembled via simple LLPS (15). However, this picture may not be complete because the microphases do not show coalescence or coarsening to increase their sizes. Indeed, when the Pol I transcription of pre-rRNA is inhibited, FCs show coalescence, as in the case of LLPS, and are excluded to the surface of the nucleolus (16). This implies that transcription somehow suppresses the growth of the microphases. Ribosomal DNA (rDNA), from which pre-rRNAs are transcribed, is a repeat sequence of coding units (~ 10 kbps) intervened by the intergenic regions (~ 30 kbps) (2). The active rDNA units and Pol I are localized at the surfaces of FCs; the transcription of pre-rRNAs happens at the surfaces of FCs (3, 16, 17, 18). Nascent pre-rRNA transcripts are therefore localized at the surface of each FC and forms a layer, called dense fibrillar component (DFC), in which fibrillarin (FBL) proteins and other RNA processing factors are condensed (18). FBL proteins show phase separation with the physiological concentration and bind to nascent pre-rRNA transcripts (15, 18). These experimental results imply that nascent pre-rRNA transcripts may act as 'surfactants' that suppress the growth of FCs.

We here construct a simple theoretical model that predicts the contributions of nascent pre-rRNA transcripts to the stability of FCs in a nucleolus. Our theory predicts that the nascent pre-rRNA transcripts generate the lateral pressure that counteracts with the surface tension of FCs. The size of FCs is determined by the balance of the surface tension and the lateral pressure. The lateral pressure depends on the transcription and processing of nascent pre-rRNA transcripts as well as the binding of RBPs to the nascent pre-rRNA transcripts. Our

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Figure 1. Model of multi-phase structure of a nucleolus. **a.** A nucleolus is composed of multiple fibrillar center (FC) microphases in the sea of the granular component (GC). There is a layer of dense fibrillar center (DFC) between each FC and GC. **b.** RNA polymerase I (Pol I) molecules (white particles) are entrapped in FC microphases (light blue) and the active rDNA units (black line) are localized at the surfaces of microphases. Nascent pre-rRNA transcripts (green particles) are thus at the surfaces of the microphase and form a DFC layer with RNA-binding proteins (magenta particles). The interface between FC and DFC is located at a distance r_{in} from the center and the interface between DFC and GC is located at a distance r_{ex}.

theory predicts that the size of FCs decreases with increasing the transcription rate and the average time of RNA processing. The suppression of the growth of FCs by the complexes of nascent pre-rRNA and RBPs results from the fact that these complexes are end-grafted to the surfaces of FCs via Pol I. It is in contrast to many other biological condensates, where RNA transcripts diffuse freely. To test our prediction, we experimentally measured the size of FCs by changing the dose of BMH-21, which specifically inhibits the transcription of Pol I. The size of FCs increased continuously and monotonically with increasing the dose of BMH-21, in agreement with our theoretical prediction.

MATERIALS AND METHODS

Nascent RNA brush model

We here construct a minimal model of a nucleolus, in which multiple spherical FC microphases are dispersed, see Figure 1. Pol I molecules (shown by white particles in Figure 1**b**) are entrapped in the microphases (shown by a light blue droplet in Figure 1**b**). The active repeat units of rDNA (shown by the black line in Figure 1**b**) are localized at the surfaces of the microphases. The number of Pol I molecules N_{pl} and the number of active rDNA units N_{a} in the nucleolus are constant. Nascent RNA transcripts (shown by chains of green particles in Figure 1**b**) are localized at the surfaces of microphases and form a DFC layer with RBPs (shown magenta particles in Figure 1**b**). RBPs diffuse in the DFC layer when they are not bound to nascent RNA transcripts. The interface between FC and DFC is located at a distance r_{in} from the center and the interface between DFC and GC is located at a distance r_{ex} from the center. We assume that all of the microphases in the nucleolus have equal volume and the sum of the volumes of microphases is fixed to V_{m}. We analyze the volume of each microphase in the steady state.

Transcription and RNA processing

The number of nascent pre-rRNA transcripts at the surface of each microphase is determined by the transcription dynamics and the dynamics of RNA processing. We use an extension of the model used by Stasevich and coworkers (19) to treat the transcription dynamics, see Figure 2. Pol I in a microphase binds to the transcription start site (TSS) of an active rDNA unit. The Pol I bound to the TSS starts transcription (Figure 2**b**) or returns to the microphase without starting transcription (Figure 2**a**). For cases in which the rate k_{e} with which Pol I bound to the TSS starts transcription is smaller than the rate with which this Pol I returns to the microphase without starting transcription, the occupancy of the TSS by Pol I is represented by the form $\rho/(\rho+K_{\mathrm{pl}})$ by using the concentration ρ of Pol I in the microphase and the equilibrium constant K_{pl}. The Pol I that has started transcription synthesizes a pre-rRNA transcript while it moves uni-directionally towards the transcription termination site (TTS). The nascent pre-rRNA transcripts are subject to co-transcriptional processing and are released to GC at the average processing time τ_{pr}, see Figure 2**c**. This treatment emphasizes the RNA region that is localized at the DFC layer and is released by the RNA processing. Other RNA regions are treated only implicitly. Pol I reaches TTS at the average elongation time τ_{e} and is then released to the microphase ($\tau_{\mathrm{pr}} < \tau_{\mathrm{e}}$), see Figure 2**d**.

The concentration ρ of Pol I in a microphase is determined by the relationship

$$k_{\mathrm{e}}\frac{\rho}{\rho+K_{\mathrm{pl}}}\tau_{\mathrm{e}}N_{\mathrm{a}}+\rho V_{\mathrm{m}}=N_{\mathrm{pl}}. \qquad (1)$$

The first term in the left side of eq. (**1**) is the number of Pol I during transcription and the second term in the left side of eq. (**1**) is the number of Pol I diffusing in microphases. Eq. (**1**) predicts that the concentration ρ of Pol I in microphases does not depend on the size of each microphase, but only on their total volume V_{m}.

Figure 2. Transcription model. RNA polymerase I (Pol I) in a microphase binds to the transcription starting site (TSS) of an active rDNA repeat unit (**a**). The bound Pol I starts transcription with the rate k_e (**b**), otherwise, returns to the microphase without starting transcription (**a**). During the transcription, Pol I migrates uni-directionally from the TSS to the transcription terminating site (TTS) and the nascent pre-ribosomal RNA (pre-rRNA) transcripts produced in this process are subject to the co-transcriptional RNA processing (**c**). The nascent pre-rRNA transcripts are released to GC in the (average) processing time τ_{pr} (**c**). Pol I reaches TTS in the (average) elongation time τ_e and then is released to the microphase (**d**).

The surface density of nascent pre-rRNA transcripts (the number of nascent pre-rRNA transcripts per unit area) has the form

$$\sigma = \frac{1}{3} k_e \tau_{pr} \frac{\rho}{\rho + K_{pl}} \frac{N_a}{V_m} r_{in}. \tag{2}$$

Eq. (**2**) is derived by assuming that nascent RNA transcripts are distributed uniformly at the surfaces of microphases and by using the fact that the number of active rDNA units at the surface of each microphase is $4\pi N_a r_{in}^3/(3V_m)$. The surface density σ is therefore proportional to the radius r_{in} of microphases and we thus treat the surface density σ as a rescaled radius r_{in} in the Results section.

Free energy of the system

We here use the mean field theory to treat nascent RNA transcripts in a DFC layer. The advantage of using this treatment is that one can use the same free energy throughout the theory and greatly simplifies the discussion (the result by using the formal treatment in the polymer physics is discussed in the Discussion section). The free energy of a DFC layer has the form

$$F_d = \int_{r_{in}}^{r_{ex}} dr \frac{4\pi r^2}{b^3} [f_{ela} + f_{mix} + f_{int} + f_{bnd}$$
$$- \mu_p(\phi_p + \alpha_p \phi_r) + \Pi b^3], \tag{3}$$

where r is the distance from the center, see Figure 1**b**. This free energy is composed of 4 contributions: f_{ela} is the elastic free energy density of nascent RNA transcripts, f_{mix} is the free energy density due to the mixing entropy of RBPs and solvent molecules, f_{int} is the free energy density due to the interactions between RBPs, and f_{bnd} is the free energy density due to the binding of RBPs to nascent RNA transcripts. μ_p is

the chemical potential of RBPs and Π is the osmotic pressure. μ_p and Π play the role in the Lagrange multipliers that ensure that the number of RBPs in the system and the volume of the system are constant.

The exterior radius r_{ex} (see also Figure 1**b**) is determined by the relationship

$$\int_{r_{in}}^{r_{ex}} dr 4\pi r^2 \phi_r = 4\pi r_{in}^2 \sigma N_r, \tag{4}$$

where N_r is the average number of units in each nascent RNA transcripts. It is a mean field treatment that assumes that nascent RNA transcripts are composed of the same number of units and is justified within the Alexander-de Gennes approximation, with which the brush height is determined by the distance between neighboring grafting points and the average number of units per chain (if one neglects the fact that the lateral fluctuations of a chain composed of N_r units is limited to $\sim N_r b^2$) (20, 21). The free energy F_d is a functional of the volume fraction $\phi_p(r)$ of RBPs, the volume fraction $\phi_r(r)$ of nascent RNA units, and the occupancy $\alpha_p(r)$ of nascent RNA units by RBPs, which are functions of the distance r from the center ($r_{in} < r < r_{ex}$).

The (entropic) elastic free energy density has the form

$$\frac{f_{ela}}{k_B T} = \frac{3}{2} \frac{b^4}{\phi_r} \frac{\sigma^2 r_{in}^2}{r^4} \tag{5}$$

for nascent RNA transcripts on a spherical surface, see Figure 1**b**. b is the length of each RNA unit. k_B is the Boltzmann constant and T is the absolute temperature. Eq. (**5**) illustrates the fact that the volume fraction ϕ_r decreases, and thus elastic free energy f_{ela} increases, as nascent RNA transcripts are stretched. Eq. (**5**) is derived in the spirit of the Daoud-Cotton theory (22), see sec. S1 in the Supplementary File for the derivation.

The free energy due to the mixing entropy of RBPs and solvent molecules has the form

$$\frac{f_{mix}}{k_B T} = \phi_p \log \phi_p$$
$$+ (1 - \phi_p - (1+\alpha_p)\phi_r) \log(1 - \phi_p - (1+\alpha_p)\phi_r). \tag{6}$$

The first term of eq. (**6**) is the free energy due to the mixing entropy of RBPs and the second term of eq. (**6**) is the free energy due to the mixing entropy of solvent molecules.

The free energy due to the interactions between RBPs has the form

$$\frac{f_{int}}{k_B T} = -\chi(\phi_p + \alpha_p \phi_r)^2, \tag{7}$$

where χ is the interaction parameter that accounts for the attractive interactions. For simplicity, we assumed that RBPs that are bound to nascent RNA transcripts are equivalent to RBPs that are freely diffusing in the DFC layer and that solvent molecules are equivalent to nascent RNA units in terms of the interactions.

The free energy due to the binding of RBPs to nascent RNA transcripts has the form

$$\frac{f_{bnd}}{k_B T} = \phi_r [\alpha_p \log \alpha_p + (1-\alpha_p) \log(1-\alpha_p) - \epsilon \alpha_p], \tag{8}$$

where $\epsilon k_B T$ is the energy increase due to the binding of RBPs to nascent RNA transcripts. For simplicity, we assumed that each nascent RNA unit has one binding site of RBPs.

Eq. (**3**) returns to the free energy of binary mixture for $\sigma \to 0$ and to the free energy of a polymer brush on a spherical surface for $\phi_p \to 0$ (23).

The free energy F of the system has the form

$$\frac{F}{V_m} = \frac{3}{4\pi r_{in}^3}\left[F_d + 4\pi r_{in}^2 \gamma_{in} + 4\pi r_{ex}^2 \gamma_{ex}\right],\tag{9}$$

where we used the fact that the number of microphases in the system is $3V_m/(4\pi r_{in}^3)$ to derive this form. γ_{in} is the surface energy per unit area (surface tension) at the interface between FC and DFC and γ_{ex} is the surface energy per unit area (surface tension) at the interface between DFC and GC. The surface tensions, γ_{in} and γ_{ex}, depend on the local composition at the corresponding interfaces. The facts that the surface tension between GC and nucleosol is relative small (3) and that FC is excluded out from the nucleolus by the transcription inhibition (3, 16) imply that GC and FC are relatively hydrophilic. By neglecting the difference of the hydrophilicity between FC and GC, γ_{in} and γ_{ex} are represented by the forms

$$\gamma_{in} = \gamma_p(\phi_p(r_{in}) + \alpha_p(r_{in})\phi_r(r_{in}))\tag{10}$$
$$\gamma_{ex} = \gamma_p(\phi_p(r_{ex}) + \alpha_p(r_{in})\phi_r(r_{ex})),\tag{11}$$

where γ_p is the surface tension between a nucleosol and a liquid of the RBPs and is proportional to χ.

Steady state

Minimizing the free energy with respect to $\phi_p(r)$ and $\phi_r(r)$ with the condition of eq. (**4**) leads to the chemical potential μ_p of RBPs and the osmotic pressure Π in the forms

$$\frac{\mu_p}{k_B T} = \log\phi_p - \log(1-\phi_p-(1+\alpha_p)\phi_r)$$
$$-2\chi(\phi_p+\alpha_p\phi_r)\tag{12}$$
$$\frac{\Pi b^3}{k_B T} = -(1+\alpha_p)\phi_r - \log(1-\phi_p-(1+\alpha_p)\phi_r)$$
$$-\chi(\phi_p+\alpha_p\phi_r)^2 - \frac{3(\sigma b^2)^2}{\phi_r}\frac{r_{in}^4}{r^4},\tag{13}$$

see also sec. S2 in the Supplementary File. The chemical potential and the osmotic pressure is continuous across the interfaces at $r=r_{ex}$ and $r=r_{in}$ due to the local equilibrium. Minimizing the free energy with respect to the occupany α_p leads to the form

$$\log\alpha_p - \log(1-\alpha_p) - \epsilon - 1 - \log\phi_p = 0,\tag{14}$$

where we used eq. (**12**) to derive this relationship. The time evolution equations of the volume fractions of RBPs and nascent RNA units can be derived by using the Onsager theory (14).

With our model, the nucleolus is driven out from the thermodynamic equilibrium only by the transcription, which produces nascent RNA transcripts at the surfaces of microphases. For simplicity, we here treat the cases in which

the chemical potential μ_p of RBPs and the osmotic pressure Π are constant throughout the DFC layer and the occupancy α_p is derived by using eq. (**14**). These approximations are exact for cases in which the relaxation time of a nascent pre-rRNA transcript and the time scale of protein binding are both shorter than the time scale with which Pol I adds one RNA unit to the nascent RNA during transcription. The chemical potential μ_p and the osmotic pressure Π is determined by the composition in GC. The chemical potential has an approximate form $\mu_p = k_B T \log\phi_{p0}$ by using the volume fraction ϕ_{p0} of RBPs in the nucleosol.

Cell culture, drug treatment, immunofluorescence, and quantification of the size of FCs

HeLa cells were maintained in DMEM containing high glucose (Nacalai Tesque, Cat♯ 08458-16) supplemented with 10% FBS (Sigma) and Penicillin-Streptomycin (Nacalai Tesque). Cells were treated with BMH-21 (Selleckchem, Cat♯ S7718) for 3 hours. To visualize FCs and DFCs in immunofluorescence, the antibodies to UBF (Santacruz, F-9, sc-13125, mouse monoclonal antibody, 1:50 dilution) and FBL (Santacruz, H-140, sc-25397, rabbit polyclonal antibody, 1:50 dilution) were used, respectively. Cells were grown on coverslips (Matsunami; 18 mm round) and fixed with 4% paraformaldehyde/PBS at the room temperature for 10 min. Then, the cells were washed three times with 1 x PBS, permeabilized with 0.5% Triton-X100/PBS at room temperature for 5 min, and washed three times with 1 x PBS. The cells were incubated with 1 x blocking solution (Roche, Blocking reagent and TBST [1 x TBS containing 0.1% Tween 20]) at room temperature for 1 hour. Then, the coverslips were incubated with primary antibodies in 1 x blocking solution at room temperature for 1 hour, washed three times with TBST for 5 min, incubated with secondary antibodies at room temperature for 1 hour, and washed three times with TBST for 5 min. The coverslips were mounted with Vectashiled hard set mounting medium with DAPI (Vector, H-1500). Super-resolution images were acquired using ZEISS LSM900 with Airyscan 2. Quantification of FCs marked by UBF staining within the nucleoli was performed using Volocity 6.3 software (PerkinElmer) with an intensity threshold and a separate touching objects processing.

RESULTS

Lateral osmotic pressure in DFC layer is enhanced by condensation of RBPs

We first treat simple cases in which the curvature of the interface between FC and DFC is negligible, $(r_{ex}-r_{in})/r_{in} \ll 1$, see Figure 3. In such cases, the volume fractions of nascent RNA units ϕ_r and RBPs ϕ_p are uniform in the DFC layer. The volume fractions are derived by using eqs. (**12**) - (**14**), see Figure 4. Nascent pre-rRNA transcripts are occupied by RBPs for cases in which the binding energy ϵ is large. RBPs are attracted to the RBPs bound to the nascent pre-rRNA transcripts due to the attractive interaction between RBPs, where the magnitude of the interaction is proportional to the interaction parameter χ.

For cases in which the interaction parameter χ and the surface density of nascent RNA transcripts are small, the

Figure 3. Nascent pre-rRNA transcripts at a planer surface, $(r_{ex} - r_{in})/r_{in} \ll 1$. The volume fractions of nascent RNA units, freely diffusing RBPs, RBPs bound to nascent RNA transcripts, and solvent molecules are uniform in the DFC layer.

Figure 4. The volume fractions of nascent RNA units (green), freely diffusing RBPs (magenta), RBPs bound to RNA units (orange), and solvent molecules (cyan) in a DFC layer vs the interaction paramter χ for $\mu_p/(k_B T) = -4.0$ (**a**) and -4.5 (**b**). The solid lines are stable solutions and the dotted lines are unstable solutions. The black broken line indicates the interaction parameter at the critical point ($\chi_c = -4.3$). The broken lines are derived by using the approximate solutions, eqs. (**15**) and (**16**), for the small and large values of χ, respectively. We used $\epsilon = 8.0$, $\sigma_0 b^2 = 0.1$, and $\Pi b^3/(k_B T) = 0.0$ for the calculations. The orange line mostly overlaps with the green line because of the large binding energy used in the calculation.

major component of the DFC layer is solvent, see the cyan line in Figure 4**a**. For $\chi < 2$ (and $\Pi \approx 0$), the volume fraction ϕ_r of nascent RNA units has an asymptotic form

$$\phi_r = \left(\frac{3\sigma^2 b^4}{2-\chi}\right)^{1/3}, \tag{15}$$

which is derived by expanding eq. (**13**) in a power series of ϕ_r for $\phi_p \to 0$, assuming that the binding energy ϵ is large enough to ensure $\sigma_p = 1$ with this limit, see sec. S3.1 in the

Supplementary File. Eq. (**15**) is indeed the form of the polymer volume fraction in the Alexander brush (20, 21), see the green broken line in Figure 4**a** and sec. S1 in the Supplementary Files. This results from the fact that the interaction between RBPs bound to nascent RNA units is repulsive for $\chi < 2$ when the major component of the DFC layer is solvent. The repulsive interaction between RBPs bound to nascent rRNA units becomes weaker as the interaction parameter χ increases for $\chi < 2$ and the interaction eventually becomes attractive for $\chi > 2$. The volume fraction ϕ_r of nascent RNA increases with increasing the interaction parameter χ for $2 < \chi < -\mu_p/(k_B T)$. It is because nascent RNA transcripts collapse due to the attractive interactions between RBPs bound to nascent RNA transcripts.

The volume fraction ϕ_p of RBPs in the DFC layer increases steeply with increasing the interaction parameter χ at the proximity of the critical value of the interaction parameter χ_c and then asymptotically approaches to unity, see the magenta line in Figure 4**a**. For $\chi > -\mu_p/(k_B T)$, the volume fraction ϕ_r of nascent RNA transcripts has the form

$$\phi_r = \frac{3\sigma^2 b^4}{\chi + \mu_p/(k_B T)} \tag{16}$$

with $\Pi \approx 0$, see the green broken line in Figure 4**a**. We derived eq. (**16**) by using the fact that the volume fraction of solvent molecules, ϕ_s ($= 1 - \phi_p - (1+\sigma_p)\phi_r$), is small, see sec. S3.1 in the Supplementary File. For $\chi > -\mu_p/(k_B T)$, the volume fraction ϕ_r of nascent RNA units decreases with increasing the interaction parameter χ, implying that nascent RNA transcripts are stretched as the interaction parameter χ increases. The stretching of nascent RNA transcripts is expected because the solvent is replaced by RBPs diffusing freely in the DFC layer; RBPs bound to nascent RNA units can access to RBPs without reaching to other nascent RNA units and the mixing entropy of freely diffusing RBPs gives rise to the effective repulsive interaction between the complexes (24, 25). Indeed, the primary contribution to the repulsive interaction is the mixing entropy of solvent, see the second term of eq. (**13**).

The chemical potential μ_p is determined by the concentration of the RBPs in the nucleosol. For cases in which the chemical potential μ_p is larger than the critical value μ_{pc}, the volume fractions, ϕ_p and ϕ_r, of RBPs and nascent RNA units are continuous function of the interaction parameter χ, see Figure 4**a**. For cases in which the chemical potential is smaller than the critical value μ_{pc}, the volume fractions, ϕ_p and ϕ_r, jump at the threshold value χ_{tr} of the interaction parameter, see Figure 4**b**. In the limit of small volume fraction of nascent RNA transcripts, $\phi_r \to 0$ and $\sigma \to 0$, our model returns to the simple mean field theory of the binary mixture of RBPs and solvent molecules (23). The latter theory predicts that the phase separation of the binary mixture happens for $\chi = \chi_{tr}$, where the interaction parameter at the threshold is $\chi_{tr0} = -\mu_p/(k_B T)$, see the broken line in Figure 5. FBL molecules show phase separation *in vitro* with the physiological concentration, implying that $\mu_p > -\chi k_B T$ (15). Our theory predicts that the threshold value χ_{tr} of the interaction parameter in the DFC layer is somewhat larger than the threshold value of the binary

Figure 5. Phase diagram of a DFC layer with respect to the chemical potential μ_p and the interaction parameter χ. The solid lines are the threshold interaction parameter χ_tr for $\sigma b^2 = 0.05$ (light green), 0.1 (black), and 0.15 (purple). The DFC layer is rich in solvent molecules for $\chi < \chi_\mathrm{tr}$ and in RBPs for $\chi > \chi_\mathrm{tr}$. The threshold interaction parameter χ_tr for the binary mixture of RBPs and solvent molecules is shown by the black broken line. We used $\epsilon = 8.0$ and $\Pi b^3/(k_\mathrm{B}T) = 0.0$ for the calculations.

Figure 6. Lateral osmotic pressure $\Pi_\parallel$ vs the interaction parameter χ for $\sigma b^2 = 0.05$ (light green), 0.1 (black), and 0.15 (purple). The solid lines are stable solutions and the dotted lines are unstable solutions. The broken lines are derived by using the asymptotic forms, eqs. (**18**) and (**19**), for small and large values of the interaction parameter χ. We used $\epsilon = 8.0$ and $\Pi b^3/(k_\mathrm{B}T) = 0.0$ for the calculations.

mixture because nascent RNA transcripts in the layer act as impurity, see Figure 5.

Nascent RNA transcripts generate osmotic pressure $\Pi_\parallel$ in the lateral direction (parallel to the DFC layer). The lateral osmotic pressure counteracts the surface tension. The lateral osmotic pressure $\Pi_\parallel$ has the form

$$\frac{\phi_\mathrm{r}}{\sigma N_\mathrm{r}}\frac{\Pi_\parallel}{k_\mathrm{B}T} = -\log(1-\phi_\mathrm{p}-(1+\sigma_\mathrm{p})\phi_\mathrm{r})-(1+\sigma_\mathrm{p})\phi_\mathrm{r}$$
$$-\chi(\phi_\mathrm{p}+\sigma_\mathrm{p}\phi_\mathrm{r})^2 - \frac{\Pi b^3}{k_\mathrm{B}T} \qquad (\mathbf{17})$$

for cases in which the curvature of the surface of the microphase is small. Eq. (**17**) is derived by using the thermodynamic relationship $\Pi_\parallel b^3 = \sigma^2 \frac{\partial}{\partial \sigma}(\frac{f}{\sigma})$, where f is the integrand of eq. (**3**). The osmotic pressure in the DFC layer is anisotropic due to the (entropic) elasticity of nascent RNA transcripts (it is shown by substituting eq. (**13**) into eq. (**17**)) (23). The lateral osmotic pressure is positive even for $2 < \chi < \chi_\mathrm{tr}$, where nascent RNA units show attractive interactions, see Figure 6. It is because nascent RNA transcripts already collapse to the optimal volume fraction; the deviation from the optimal volume fraction always increases the free energy.

The lateral osmotic pressure decreases with increasing the interaction parameter χ for cases in which the parameter χ is relatively small, see Figure 6. For $\chi < 2$, the lateral osmotic pressure has an asymptotic form

$$\frac{\Pi_\parallel b^2}{N_\mathrm{r}k_\mathrm{B}T} = 3^{1/3}(\sigma b^2)^{7/3}(2-\chi)^{2/3}, \qquad (\mathbf{18})$$

see the broken lines in Figure 6. Eq. (**18**) is derived by using eq. (**15**), see eqs. (**13**) and (**17**). In contrast, the lateral osmotic pressure increases steeply with increasing the interaction parameter χ for cases in which the parameter χ is large, see Figure 6, reflecting the stretching of nascent RNA transcripts, see the green line in Figure 4. For $\chi > -\mu_\mathrm{p}/(k_\mathrm{B}T)$, the lateral

osmotic pressure has an asymptotic form

$$\frac{\Pi_\parallel b^2}{N_\mathrm{r}k_\mathrm{B}T} = \frac{1}{3\sigma b^2}\left(\chi+\frac{\mu_\mathrm{p}}{k_\mathrm{B}T}\right)^2, \qquad (\mathbf{19})$$

see the broken curves in Figure 6. Eq. (**19**) is derived by using eq. (**16**), see also eqs. (**13**) and (**17**). Our theory therefore predicts that the lateral osmotic pressure is enhanced by the condensation of RBPs in the DFC layer. In the following, we treat the regime of large interaction parameter, $\chi > -\mu_\mathrm{p}/(k_\mathrm{B}T)$, see Figure 5.

The volume fraction ϕ_r of nascent RNA units increases with increasing the surface density σ of nascent RNA transcripts and eventually becomes larger than the volume fraction ϕ_p of freely diffusing RBPs, see the green and magenta lines in Figure 7a. In the limit of large surface density σ, the volume fraction ϕ_r of nascent RNA units has an asymptotic form

$$\phi_\mathrm{r} = \frac{1}{2} - \frac{1}{2}(1+\mathrm{e}^{-\frac{\mu_\mathrm{p}}{k_\mathrm{B}T}+\chi})\mathrm{e}^{-1-\frac{\chi}{4}-6\sigma^2 b^4}, \qquad (\mathbf{20})$$

see the green broken line in Figure 7a. Eq. (**20**) is derived by expanding eqs. (**12**) and (**13**) with respect to the volume fractions ϕ_p and ϕ_s ($\equiv 1-\phi_\mathrm{p}-2\phi_\mathrm{r}$), see also sec. S3.2 in the Supplementary File. The volume fraction ϕ_r of nascent RNA units is determined by the balance of the attractive interactions between RBPs and the elasticity of nascent RNA transcripts. The lateral osmotic pressure decreases with increasing the surface density σ of nascent RNA transcripts for small values of the surface density σ, see eq. (**19**) and Figure 7b. In contrast, the lateral osmotic pressure increases with increasing the surface density σ for large values of the surface density σ, see Figure 7. In the limit of large surface density σ, the lateral osmotic pressure has the form

$$\frac{\Pi_\parallel b^2}{k_\mathrm{B}T N_\mathrm{r}} = 12\sigma^3 b^6, \qquad (\mathbf{21})$$

see the broken line in Figure 7b.

Figure 7. The composition (**a**) and the lateral osmotic pressure (**b**) in a DFC layer vs the surface density σ of nascent RNA transcripts. The volume fractions of nascent RNA units (green), freely diffusing RBPs (magenta), RBPs bound to RNA units (orange), and solvent molecules (cyan) in a DFC layer are shown. The broken lines in **a** are derived by using the asymptotic forms, eqs. (**16**) and (**20**). The broken lines in **b** are derived by using eqs. (**19**) and (**21**). We used $\epsilon = 8.0$, $\chi = 4.5$, $\mu_{\mathrm{p}}/(k_B T) = -4.0$ and $\Pi b^3/(k_B T) = 0.0$ for the calculations. The orange line in **a** mostly overlaps with the green line because of the large binding energy used in the calculation.

Figure 8. Profile of the volume fractions of nascent RNA units (green), freely diffusing RBPs (magenta), RBPs bound to nascent RNA (orange), and solvent molecules (cyan) for $\sigma b^2 = 0.1$ (**a**) and 0.5 (**b**). The broken lines in **a** is derived by using eq. (**22**). The broken line in **b** is derived by using eq. (**23**) for $r/r_{\mathrm{in}} \approx 1$ and by using eq. (**22**.) for $r/r_{\mathrm{in}} \gg 1$. We used $\epsilon = 8.0$, $\chi = 4.5$, $\mu_{\mathrm{p}}/(k_B T) = -4.0$ and $\Pi b^3/(k_B T) = 0.0$ for the calculations. The orange line mostly overlaps with the green line because of the large binding energy used in the calculation.

Nascent RNA transcripts suppress the growth of microphases

For cases in which the curvature of the surface of the microphase is not negligible, the volume fraction ϕ_r of nascent RNA units depends on the distance r from the center of the microphase, see Figure 8. The thickness of the DFC layer depends on the number N_r of units, see eq. (**4**), but the local volume fraction ϕ_r does not, see eqs. (**12**) and (**13**). The volume fraction ϕ_r of nascent RNA units decreases with increasing the distance r from the center of the microphase because of the (entropic) elasticity of nascent RNA transcripts, see Figure 8.

For small surface density σ of nascent RNA transcripts, the volume fraction ϕ_r has an asymptotic

$$\phi_r = \frac{3\sigma^2 b^4}{\chi + \mu_{\mathrm{p}}/(k_B T)} \frac{r_{\mathrm{in}}^4}{r^4}, \qquad (22)$$

see the green broken line in Figure 8a. Eq. (**22**) is derived in a similar manner to eq. (**16**), see also sec. S3.1 in the Supplementary File. For large surface density σ of nascent

RNA transcripts, the volume fraction ϕ_r has an approximate form

$$\phi_r = \frac{1}{2} - \frac{1}{2}\left(1 + e^{\frac{\mu_{\mathrm{p}}}{k_B T} + \chi}\right) e^{-1 - \frac{\chi}{4} - \frac{6\sigma^2 b^4 r_{\mathrm{in}}^4}{r^4}}. \qquad (23)$$

Eq. (**23**) is derived in a similar manner to eq. (**20**), see also sec. 3.2 in the Supplementary File. Eq. (**23**) is effective for $r/r_{\mathrm{in}} \approx 1$, where the volume fraction ϕ_r of nascent RNA units is relatively large. Eq. (**22**) is effective for $r/r_{\mathrm{in}} \gg 1$, where the volume fraction ϕ_{p} of freely diffusion RBPs is relatively large.

Now we analyze the free energy as a function of the radius r_{in} of the microphase by substituting the volume fractions, ϕ_r and ϕ_{p}, of nascent RNA units and RBPs into eq. (**9**). Because the surface density σ of nascent RNA transcripts is proportional to the radius r_{in} of the microphase, see eq. (**2**), we treat the surface density σ as the rescaled radius r_{in}. The surface free energy, the second and third terms of in the square bracket of eq. (**9**), decreases monotonically with increasing the radius r_{in} of the microphase, see the light green curve in Figure 9. This implies that when the transcription is suppressed, the size of the microphase increases as much as the components of FC are available to minimize the surface

Figure 9. Free energy F vs radius r_{in} of microphases (black). The radius r_{in} of microphases is proportional to the surface density σ of nascent RNA transcripts, see eq. (**2**). The surface free energy (light green) and the free energy in the DFC layer (orange) are shown separately. We used $\epsilon = 8.0$, $\chi = 4.5$, $\mu_{\mathrm{p}}/(k_{\mathrm{B}}T) = -4.0$, $N_{\mathrm{r}}\sigma b^3/r_{\mathrm{in}} = 0.1$, $\gamma_{\mathrm{p}}\sigma b^3/(k_{\mathrm{B}}Tr_{\mathrm{in}}) = 0.1$, and $\Pi b^3/(k_{\mathrm{B}}T) = 0.0$ for the calculations.

free energy. In contrast, the free energy of the DFC layer, the first term in the square bracket of eq. (**9**), increases with increasing the radius r_{in} of the microphase. The free energy thus has a minimum due to the balance of these free energy contributions, see the black line in Figure 9. Our theory predicts that the size of microphases increases to the radius at the minimum of the free energy and the growth is suppressed by the free energy of the DFC layer.

Radius of microphases decreases with increasing transcription rate and time scale of RNA processing

Because the growth of microphases is suppressed by the lateral osmotic pressure in the DFC layer, we expect that the radius r_{in} of microphases at the free energy minimum depends on the number N_{r} of units in nascent RNA transcripts, the processing time τ_{pr}, and the transcription rate k_{tx}, where

$$k_{\mathrm{tx}}\tau_{\mathrm{pr}} = \frac{\sigma b^3}{r_{\mathrm{in}}}. \tag{24}$$

The transcription rate k_{tx} is independent of the radius r_{in} because the surface density σ of nascent RNA transcripts is proportional to the radius r_{in}, see eq. (**2**).

Our theory predicts that the radius of microphases decreases with increasing the transcription rate k_{tx} and the processing time τ_{pr}, see Figure 10 and eq. (**25**). It is because the surface density σ of nascent RNA transcripts increases with increasing the transcription rate k_{tx} and the processing time τ_{pr} and this increases the lateral osmotic pressure that suppresses the growth of microphases. Our theory therefore predicts that the radius of microphases decreases when one upregulate the transcription of pre-rRNA and/or suppresses the processing of pre-rRNA.

The radius r_{in} decreases with increasing the number N_{r} of units in each nascent RNA transcript for small N_{r} and the radius r_{in} increases with increasing the number N_{r} of units for large N_{r}, see Figure 11. For small number N_{r} of units in nascent RNA transcripts, the surface density σ of nascent

Figure 10. Radius r_{in} of microphases vs the transcription rate $k_{\mathrm{tx}}\tau_{\mathrm{pr}}$ (defined by eq. (**24**)). The broken curves are derived by using eq. (**25**). We used $\epsilon = 8.0$, $\chi = 4.5$, $\mu_{\mathrm{p}}/(k_{\mathrm{B}}T) = -4.0$, $\gamma_{\mathrm{p}}b^2/(k_{\mathrm{B}}T) = 1.0$, and $\Pi b^3/(k_{\mathrm{B}}T) = 0.0$ for the calculations.

Figure 11. Radius r_{in} of microphases vs the number N_{r} of units in a nascent RNA transcript. The radius r_{in} of microphases is proportional to the surface density σ of microphases and thus k_{tx} defined by eq. (**24**) is independent of the radius r_{in}, see eq. (**2**). The broken line is derived by using eq. (**25**). We used $\epsilon = 8.0$, $\chi = 4.5$, $\mu_{\mathrm{p}}/(k_{\mathrm{B}}T) = -4.0$, $\gamma_{\mathrm{p}}\sigma b^5/(k_{\mathrm{B}}Tr_{\mathrm{in}}) = 0.1$, and $\Pi b^3/(k_{\mathrm{B}}T) = 0.0$ for the calculations.

RNA transcripts has an asymptotic form

$$\sigma b^2 = \left(\frac{\gamma_{\mathrm{p}}b^2}{12N_{\mathrm{r}}k_{\mathrm{B}}T}\right)^{1/3}\left(1 + \frac{6N_{\mathrm{r}}\sigma b^3}{r_{\mathrm{in}}}\right)^{1/3}, \tag{25}$$

see the broken line in Figure 11. The derivation of eq. (**25**) is shown in sec. S4.1 in the Supplementary File. The deviation between the asymptotic form, eq. (**25**), and the numerical calculation results from the fact that the volume fraction of RBPs freely diffusing in the DFC layer dominates the volume fraction of nascent RNA units at the proximity to the interface between DFC and GC, see Figure 8**b**; this increases the exterior radius r_{ex} and the surface tension at the interface between DFC and GC.

Mild inhibition of Pol I increases the size of FCs

Our theoretical model predicts that the size of FCs becomes larger as the number of nascent pre-rRNAs reduces. To experimentally test this effect, we used BMH-21, a specific

inhibitor of Pol I, which reduces nascent pre-rRNA levels in a dose-dependent manner (26). In untreated HeLa cells, small foci of UBF (a marker for FCs) and FBL (a marker for DFC) proteins were dispersed within the nucleolus (26) (Figure 12**a**). UBF and FBL proteins were relocalized to nucleolar caps in the presence of high-doses of BMH-21 (0.5 and 1.0 μM), as reported (26) (Figure 12**a**). Strikingly, medium dose treatment of BMH-21 (0.0625, 0.125, and 0.25 μM) caused formation of larger spherical FCs and DFCs compared to those in untreated cells (Figure 12**a**). We then quantified the size of the FCs under untreated and medium dose treated conditions (Figure 12**b-e**). The longest axis and area of the FCs increases with increasing BMH-21 dose. These data suggest that mild Pol I inhibition, which causes reduction of nascent pre-rRNAs, increases the size of FCs in consistent with our theoretical prediction.

DISCUSSION

Our theory predicts that nascent pre-rRNA transcripts at the surfaces of FC microphases in a nucleolus generate the lateral osmotic pressure that suppresses the growth of microphases. The radius of microphases decreases with increasing the transcription rate k_{tx} and/or with increasing the processing time τ_{pr}. The prediction that the radius of FCs decreases continuously with increasing the transcription rate is in agreement with our experimental results that the size of FCs increased with decreassing the transcription level of pre-rRNA by BMH-21. The inhibition of the processing factor, such as uL18 (RPL5) and uL5 (RPL11), changes the multiphase structure of nucleoli (3, 27), but the quantitative relationship between its effects on the residence time of pre-rRNA transcripts at the surfaces of FCs and the size of FCs remains to be experimentally determined. In many cases, the multi-valent interaction between complexes of RNA and RBPs drives the growth of condensates (12, 13), see Figure 13**a**. The size of such condensates increases with increasing the transcription rate. In contrast, for the case of FCs in nucleoli, the multi-valent interaction between the complexes of nascent RNA and RBPs rather suppresses the growth of FCs (16). The size of FCs decreases with increasing the transcription rate. Our theory predicts that the suppression of the growth of FCs by the multi-valent interactions between complexes of nascent pre-rRNA transcripts and RBPs results from the fact that these complexes are end-grafted to the surfaces of FCs via Pol I, see Figure 13**b**. This result is also supported by a very recent experiment on a bioengineered system (28).

We have used a couple of assumptions to simplify the theory:

1. We assumed that FCs are spherical. FCs of arbitrary shape can be studied for cases in which the thickness h of DFC is smaller than the radius r_{in} of FCs, by using the curvature elastic energy, which is derived by the expansion of the free energy F_d with respect to h/r_{in} (23, 29). However, because only spherical FCs have been observed experimentally, our present treatment is probably enough.

2. We determined the volume fractions, ϕ_r and ϕ_p, of nascent RNA transcripts in the steady state by minimizing the free energy. With this assumption, the chemical potential of RBPs and the osmotic pressure are uniform in DFC layers. The conformation of nascent RNA transcripts is determined by the balance of the relaxation dynamics of nascent RNA transcripts and the kinetics of adding NTPs to the transcripts. With this dynamics, the local concetration of nascent RNA units near the surfaces of FCs increases, analogous to the dynamics of DNA at the surface of transcriptional condensates (30). The dynamics of nascent RNA transcripts may be worth to study in the future research.

3. We used a Flory-type mean field theory to treat nascent RNA transcripts at the surfaces of FCs. The advantage of using the mean field theory is that one can use the same free energy throughout the theory and greatly simplifies the discussion. In a more precise treatment, the free energy of polymers is estimated as the thermal energy k_BT per blob (25). With this free energy, the surface density σb^2 at the minimum of the free energy is derived in the form

$$\sigma b^2 = c_0 \left(\frac{\gamma_p b^2}{3N_r k_B T} \right)^{2/5} \left(1 + \frac{5N_r \sigma b^3}{r_{in}} \right)^{2/5}, \qquad \textbf{(26)}$$

where c_0 is the numerical factor of order unity. The derivation of eq. (**26**) is shown in sec. S4.2 in the Supplementary File. The exponents and numerical factors in eq. (**26**) are somewhat different from the corresponding form, eq. (**25**), of the mean field theory. However, both approximations demonstrate the same physics.

4. The number of transcriptionally active rDNA repeats in a nucleolus does not depend on the number and size of FCs. Recent experiments have shown that the half of the coding regions of rDNA are not methylated and are probably transcriptionally active, whereas the other coding regions are methylated and are probably transcriptionally inactive (31). It is consistent with the previous experiment that showed that only half of coding rDNA units are transcriptionally active (18). These experimental results imply that the number of active rDNA repeats are already determined by the DNA methylation. More experimental inputs are necessary to treat the transition of rDNA coding units between transcriptionally active and inactive states.

5. We assumed that all FCs in a nucleolus have equal size. Indeed, the production of pre-rRNA transcripts at the surfaces of FCs creates the gradient of the osmotic pressure (32). The size of a FC thus depends on the position of the FC in the nucleolus. We envisage a future research to elucidate the relationship beween the size of FCs and the fluxes of ribosomal proteins and pre-ribosomal RNA.

6. RBPs diffuse in the DFC layer when they are not bound to nascent RNA transcrits. Recent experiments has shown that the transcription of pre-rRNA is suppressed when the DFC layer is solidified (33).

Figure 12. Mild RNA polymerase I inhibition increases the size of FCs. **a.** Immunofluorescence of UBF (FC) and FBL (DFC) in BMH-21 treated HeLa cells. Scale bar, 10 μ**m**. **b.** and **c.** Quantification of longest axis (**b**) and area (**c**) of the FCs in cells under indicated conditions. Each box plot shows the median (inside line), 25-75 percentiles (box bottom to top), and 0-100 percentiles (whisker bottom to top). Dots indicate all points of quantified data (BMH-21: 0 μM, n = 513; 0.0625 μM, n = 265; 0.125 μM, n = 247; 0.25 μM, n = 187). Mean longest axis and area of the FCs were shown below the graphs. **d.** and **e.** Graphs showing changes of mean longest axis (**d**) and area (**e**) of the FCs with SEM according to the BMH-21 treatments.

Figure 13. Complexes of RNA transcripts and RBPs enhance or suppress the growth of condensates depending on whether the complexes are localized at the interior or the surfaces of the condensates. **a.** The multi-valent interactions between the complexes of RNA transcripts and RNA-binding proteins (RBPs) enhance the growth of the condensates when these complexes diffuse freely in the condensates. **b.** The multi-valent interactions between the complexes of RNA transcripts and RBPs suppress the growth of the condensates when these complexes are end-grafted to the surfaces of these condensates.

7. Nascent RNA transcripts are distributed uniformly at the surface of a FC. Indeed, super-resolution microscopy experiments have shown that the DFC layer is indeed the mosic of domains rich in FBL and domains absent of FBL (18). The mechanism of the formation of the mosaic structure is not clear and will be studied in the future research.

Our present theory forms the basis to theoretically study these missing pieces and to understand the detailed mechanism of the assembly and function of the multi-phase structure of nucleolus.

Nascent pre-rRNAs form a hydrophobic DFC layer, which is sandwiched between two relatively hydrophilic GC and FC phases, by making complexes with RBPs, such as FBL. This structure may be somewhat analogous to lipid vesicles in an aquaous solution (23, 29). The growth of transcriptional condensates (8, 34) and nuclear speckles (35) is also suppressed by nascent RNA transcripts, which are probably localized at their surfaces. Hilbert and coworkers proposed that nascent RNA transcripts act as 'surfactants' at the surfaces of transcriptional condensate because these transcripts are connected with DNA via RNA polymerase II (8). However, this mechanism is effective only at the surfaces between DNA-rich phase and RNA-rich phase, but not to the surfaces between the FC and GC phases in nucleolus, where DNA is a minor component in both of the phases. We have recently shown that the growth of paraspeckles, which are composed of NEAT1_2 architectural RNA transcripts, is suppressed by the excluded volume interactions between the terminal regions of NEAT1_2 (36, 37). The size of paraspeckles increases with the upregulation of the transcription of NEAT1_2 (36, 39), which is indeed opposite to the response of FCs in nucleoli to the transcription upregulation. The multi-phase structure was also observed in nuclear stress bodies (nSBs) by electron microscopy (40). nSBs are assembled during the thermal stress condition and are composed of HSATIII architectrual RNA and specific RBPs (41). HSATIII is produced by the transcription of the pericentromeric regions, which are enriched with tandem repeats (42, 43). These features motivate us to think of a general mechanism involved in the assembly of the multiple microphases in nuclear bodies. As it has been outlined in this paragraph, transcription and RNA processing play an important role in the assembly of nuclear bodies. It results from the fact that nuclear bodies are scaffolded by architectural RNA.

DATA AVAILABILITY

The Mathematica file (nucleolusFCprojectVer14.nb) used to derive the data that support the findings of this study are available in figshare with the identifier (https://doi.org/10.6084/m9.figshare.16599446).

SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.

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CONFLICT OF INTEREST

None declared.

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Conflict of interest statement. None declared.

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