Running Head: American chestnut at its southwest range limit

Environmental niche and demographic modeling of American chestnut near its southwestern

range limit

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ABSTRACT—The inadvertent introduction and rapid spread of chestnut blight (caused by

Cryphonectria parasitica (Murr.) Barr) in the early 20th century resulted in the demise of

American chestnut (*Castanea dentata* (Marsh.) Borkh.; Fagaceae) as a major component of

forest canopies and had negative impacts on eastern forest communities. Research efforts over

the last century have documented the persistence of occasional trees and root crown/stump

sprouts throughout much of the species' historic range providing the basis for ongoing breeding

of blight-resistant stock and restoration efforts. Unfortunately, it remains unclear how much of

the historic range remains climatically suitable for remnant trees that may harbor unique genetic

variation for successful reintroduction efforts. Here we investigate whether the southwestern

portion of the historical range remains environmentally suitable for undiscovered remnant

populations of *C. dentata* using environmental niche modeling. We also use stage-structured

matrix projection models to investigate the potential demographic future of *C. dentata* in W

Tennessee, N Mississippi, SW Kentucky, and NW Alabama based upon observations of

American chestnut in these areas over the last several decades. We found that suitable habitat

associated with higher elevations and areas of high forest canopy cover occurs throughout much

of the southwestern portion of the historical range and that populations of American chestnut in

these areas are predicted to drastically decline over the next ~100-200 years without conservation

interventions to mitigate the negative consequences of chestnut blight.

KEYWORDS—*Castanea dentata*, population matrix model, population size structure,

restoration, species distribution modeling

                                                            INTRODUCTION

The unintentional introduction and rapid spread of chestnut blight (*Cryphonectria*

parasitica (Murr.) Barr) in the early 20th century decimated American chestnut (*Castanea*

dentata (Marsh.) Borkh.; Fagaceae) populations (Ziegler, 1920; Smith, 2000; Jacobs *et al.*, 2013;

Dalgleish *et al.*, 2016). The loss of this species as a dominant canopy tree has profoundly

impacted forest communities and the ecological dynamics of eastern hardwood forests. For

example, the decline of annual large, edible seed production has impacted plant-animal

interactions and wildlife population dynamics (Diamond *et al.*, 2000; Dalgleish and Swihart,

2012), and the loss of a major canopy tree has altered forest community dynamics, nutrient

cycling, and fire regimes (Brooks, 1937, McCormick and Platt, 1980, Ellison *et al.*, 2005; Elliot

and Swank, 2008, Jacobs *et al.*, 2013; De Bruijn *et al.*, 2014; Gustafson *et al.*, 2017). Given its

previously widespread distribution, rapid demise, and ecological significance to the forests of

eastern North America, policy and research efforts have been focused on the ultimate goal of

breeding blight resistant trees and restoring American chestnut to its former range.

Research efforts since the middle of the 20th century aimed at conserving *C. dentata* have

documented the persistence of root crown/stump sprouts, saplings, and occasional fruiting trees

throughout much of the American chestnut's former distribution. For example, remnant

populations of trees have been documented in parts of Connecticut (Stephens and Waggoner,

1980; Paillet, 1982, 2002), Massachusetts (Paillet, 1988, 2002), Virginia (Stephenson *et al.*,

1991), Ohio (Schwadron, 1995), southern Ontario (Tindall *et al.*, 2004, Van Drunen *et al.*, 2017),

western New York (Laport, 2020), and southwest Tennessee (Laport *et al.*, 2020). Remnant trees

are also continually being documented on crowd-sourcing mobile applications such as iNaturalist

(www.inaturalist.org) and TreeSnap (www.treesnap.org), with many of these observations being

archived by the Global Biodiversity Information Facility (GBIF; www.gbif.org). These natural

history observations suggest the highest densities of remnant trees occur throughout the

Cumberland Plateau, Appalachian Mountains, and the northeastern US. Fewer contemporary *C.*

dentata occurrences are known from the southwestern range margin compared to core

distribution populations, but it is not clear to what degree this disparity reflects actual differences

in available habitat, population occurrence density, or whether this is because some parts of the

range have been under-surveyed (Jacobs *et al.*, 2013; Dalgleish *et al.*, 2016).

Ecological/Environmental Niche Modeling (ENM) or Species Distribution Modeling

(SDM) approaches provide one approach to identify areas that are environmentally suitable for

remnant American chestnut, and to aid conservation and reintroduction efforts. Recent studies

have implemented ENM/SDM approaches to investigate the current and future abiotic niche of

remnant American chestnut with the goal of identifying areas of ecological suitability throughout

the historical range to inform future restoration efforts. For example, models constructed using

known occurrences of American chestnut in Shenandoah National Park and Mammoth Cave

National Park projected suitable habitat in areas of relatively undisturbed upland forest, often on

slopes or at relatively high elevations. These studies also found that young forests on previously

cultivated lands were almost universally predicted as unsuitable for chestnut occurrence (Fei *et*

al., 2007; Santoro, 2013). Another recent study using ENM/SDM approaches examined the

projected abiotic habitat suitability for American chestnut under multiple scenarios of climate

change over the next several decades. At a continental scale, this study found that suitable habitat

would shift northward into the northeastern US and southern Canada (Barnes and Delborne,

2019). These studies provide critical information for guiding the discovery, protection, and

reintroduction of American chestnut in mountainous core parts of its historical range where land

use history resulted in the preservation of relatively large areas of suitable habitat, or at the

northern range margin where the species may be expanding into new habitat in response to

climate change. Unfortunately, these previous models offer only a limited window into the

ecology of the species near the southern and western historical range limits by relying principally

upon occurrences from core parts of the range or by investigating continental scale patterns that

paint in broad strokes.

Populations occurring near species' range limits may inhabit marginal ecological

conditions not found within core parts of the distribution, which could promote the evolution and

maintenance of novel genetic variation and local adaptation (Halbritter *et al.*, 2015; Angert *et al.*,

2020). In addition to representing populations that may be locally adapted to the unique

environments of the Gulf Coastal Plain physiographic region, populations of *C. dentata* near the

southern and western historical range limits are also hypothesized to potentially harbor genetic

diversity more closely reflecting the ancestral populations that expanded from refugia following

the end of the Wisconsin glacial maximum (Russell, 1987; Huang, 1998; Kubisiak and Roberds,

2006, Dane, 2009). This genetic diversity could greatly aid breeding and restoration efforts under

a rapidly changing climate that is expected to push suitable habitat farther northward (Huang *et*

al., 1998; Shaw *et al.*, 2012; Barnes and Delborne, 2019), but could also shed light on the

evolution and ecology of a species that was nearly extirpated prior to extensive study with

modern ecological methods.

In addition to experiencing atypical or marginal environments, populations near species

range limits also experience unique demographic processes arising, in part, from the challenges

of small population sizes (*e.g.*, mate limitation and inbreeding depression, local adaptation and

outbreeding depression, mutational load; Ellstrand and Elam, 1993; Angert *et al.*, 2020; Perrier

et al., 2020). Range-edge populations can become locally adapted via the emergence of novel

genetic variation, but can also be demographically unstable, or transient, limiting their

demographic footprint (*e.g.*, Savolainen *et al.*, 2007; Angert *et al.*, 2008, 2020). Remnant

populations of *C. dentata* occurring in the southwestern portion of the historical range have

previously been noted to have higher levels of genetic variation than populations in other parts of

the distribution, potentially reflecting local adaptation or that these populations may more closely

reflect the genetic variation from populations that expanded from glacial refugia following the

last glacial maximum (Russell, 1987; Huang *et al.*, 1998, Shaw *et al.*, 2012). Prior evidence also

suggests that populations in the southwestern part of the historical distribution are relatively

small in comparison to the rest of the distribution and may have been afflicted by *Phytophthora*

cinnamomi (Rands) prior to the advent of chestnut blight (Anagnostakis, 2001; Dalgleish *et al.*,

2016). Yet, relatively little is known about the population dynamics of the species from this

region and few studies have investigated American chestnut population dynamics near its

historical range margins. The demography of American chestnut has been studied near its

northern distributional limit in southern Ontario (Van Drunen, 2018) and central Maine (Elwood,

2014), as well as beyond the historical range in naturalized populations in Michigan and

Wisconsin (Davelos and Jarosz, 2004; Stevens *et al.*, 2014). These studies reveal that in the

absence of chestnut blight, or when blight affliction is modest, populations of chestnut may

remain stable or expand into new habitat. It is unclear how the population dynamics of *C.*

dentata near its southwestern range margin compare to the demography of other remnant

populations near or beyond the native range, and whether such populations (and their novel

genetic variation) are at increased risk of local extinction. Recently, small populations near and

beyond the historical southwestern range limit in SW Tennessee and adjacent N Mississippi have

been documented (Laport *et al.*, 2020) suggesting the demography of the species in this region

remains poorly understood, particularly with respect to the prevalence of blight and population

persistence.

The paucity of ecological and demographic information for American chestnut

populations throughout W Tennessee, N Mississippi, SW Kentucky, and NW Alabama makes it

difficult to extend current research, breeding, and reintroduction efforts to southwestern parts of

the historical range. Yet, such populations are historically important components of the native

forest communities, and may also be extremely valuable sources of genetic variation for ongoing

restoration efforts that could increase the potential for successful regional reintroductions or for

mitigating the effects of climate change on reintroduced populations. Here we make an initial

attempt to (1) identify whether environmentally suitable habitat currently exists near the

historical southwestern range margin that could support undiscovered remnant populations of *C.*

dentata using ecological/environmental niche modeling approaches, and (2) to model the

demography of *C. dentata* in W Tennessee, N Mississippi, SW Kentucky, and NW Alabama

using simple projection matrix models to better understand the current status and future outlook

for persistence of American chestnut throughout the southwestern portion of its range. We found

that suitable habitat occurs throughout much of the southwestern range extent, but is most

strongly predicted to coincide with higher elevations and areas of high forest canopy cover.

Additionally, remnant populations of American chestnut in W Tennessee, N Mississippi, SW

Kentucky, and NW Alabama are predicted to drastically decline in number over the next ~100-

200 years, representing a significant loss to the local forest communities, as well as a loss of

potentially locally adapted genomes that may be crucial for breeding and restoration efforts

without conservation interventions.

METHODS

ENVIRONMENTAL NICHE MODELS

Population occurrence data—We obtained occurrence information for American

chestnut throughout W Tennessee, N Mississippi, SW Kentucky, and NW Alabama from citizen

science reports (iNaturalist), GBIF records (https://doi.org/10.15468/dl.gqv45a), and author-

identified occurrences. Additional Mississippi records were obtained from herbarium records at

the University of Mississippi (MISS), University of Southern Mississippi (USMS), Mississippi

State University (MISSA), Northern Kentucky University (KNK), University of North Carolina

at Chapel Hill (NCU), Louisiana State University (LSU), and the Mississippi Museum of Natural

Science (MMNS). We additionally obtained occurrence records throughout the study region

from a database comprising >3,000 individual occurrences documented since the late 1990s and

early 2000s by J. Schibig and collaborators (Schibig *et al.*, 2005, 2006). After filtering to an area

encompassing W Tennessee, N Mississippi, SW Kentucky, NW Alabama, and NE Arkansas

(NW corner: 37.3583°, -91.1444°, SE corner: 32.8416°, -85.6044°) we obtained 583

occurrences. We thinned the dataset to 140 occurrences by retaining only a single point in any

given ~1km^2 raster pixel (*i.e.*, removing all but a single point from within the same ~1 km^2 raster

pixel; see below) to reduce autocorrelation among clustered occurrences within populations

(Table 1).

Environmental layers—We downloaded bioclim environmental variables (1970-2000

averages) plus elevation at 30 arcsecond resolution (~1km^2 at the equator) from Worldclim (ver.

2.1; www.worldclim.org; Hijmans *et al.*, 2005; Fick and Hijmans, 2017). We additionally

downloaded the 2016 CONUS land cover and tree canopy cover datasets for the United States

from the Multi-Resolution Land Characteristics Consortium (https://www.mrlc.gov; Yang *et al.*,

2018). All environmental variable layers were scaled to the same pixel resolution (~1km^2;

0.00833° × 0.00833°) and cropped in QGIS (ver. 3.10.9; www.qgis.org) to span an area

encompassing our occurrence records, which were used as "projection" layers for our models

(above; Table 1; Fig. 1). We created additional independent sets of "calibration" environmental

layers by creating mask layers comprising 5km, 10km, and 30km radius buffers surrounding

each retained chestnut occurrence record in QGIS before trimming the original environmental

layers with these buffer masks. The three sets of "calibration" layers were used to evaluate the

set of environmental parameters that best predicted the observed occurrences (see Model

Calibration below). We evaluated sets of environmental layers trimmed to 5km, 10km, and 30km

buffers around each occurrence record in an effort to generate environmental niche models that

appropriately accounted for the current "patchy" occurrences, very low reproductive output, and

limited dispersal ability of remnant *C. dentata* when models were projected to the "projection"

layers (Paillet, 2002; Dalgleish *et al.*, 2016). Moreover, the current deciduous forest habitat in

the lower Mississippi River Valley and adjacent areas is fragmented, with high-quality upland

forest patches that could harbor *C. dentata* often being widely dispersed and adjacent to

unsuitable agricultural fields, silviculture, road corridors, and/or suburban development (Heilman

et al., 2002; Riitters *et al.*, 2012; Yang *et al.*, 2018).

We performed correlation analyses on the calibration environmental layers using

ENMTools (ver. 1.3; Warren *et al.*, 2010) to reduce overfitting of the distribution model to the

occurrence points. Using an iterative approach, we removed environmental variables that

exhibited Pearson correlation coefficients ≥ 0.7 with another variable before running the

correlation analysis again to further evaluate pairwise correlations. When removing correlated

variables, we retained one variable of each correlated pair/set during each iteration of the

correlation analysis that is thought to be important for the life history of American chestnut

based upon prior research (*e.g.*, Griscom and Griscom, 2012; Fei *et al.*, 2012; Santoro, 2013;

Barnes and Delborne, 2019). Additionally, we excluded mean temperature of the wettest quarter

(Bio 8), mean temperature of the driest quarter (Bio 9), precipitation of the warmest quarter (Bio

18), and precipitation of the coldest quarter (Bio 19) as prior analyses indicate these

environmental layers may contain some apparent artifacts that can bias model construction (*e.g.*,

Escobar *et al.*, 2014; Campbell, 2015). We retained a combination of environmental variables

that exhibited low correlation coefficients (Pearson correlation coefficients ≤ 0.7) and/or are

important life history determinants for American chestnut for model calibration: annual mean

temperature (Bio 1), mean diurnal range (Bio 2), temperature seasonality (Bio 4), temperature

annual range (Bio 7), annual precipitation (Bio 12), precipitation of wettest quarter (Bio 16),

elevation, land cover, and canopy cover (Griscom and Griscom, 2012; Fei *et al.*, 2012; Santoro,

2013; Barnes and Delborne, 2019).

Model calibration—We conducted model calibration using the maximum entropy

ecological niche modeling application Maxent (ver. 3.4.1; Philips *et al.*, 2006; Philips *et al.*,

2020), following the procedure of Simões *et al.* (2020) to identify the combination of model

parameters and environmental variables that best fit our occurrence data. Maxent relies only on

georeferenced presence data to create complex models using machine learning to relate

environmental variables to occurrence points (Philips *et al.*, 2006; Merow *et al.*, 2013). We

created 34,782 candidate models (11,594 candidate models each for the 5km, 10km, and 30km

buffer sets) reflecting unique combinations of the retained environmental variables (see above),

regularization multipliers (0.1, 0.3, 0.5, 0.7, 0.9, 1, 2, 3, 4, 5, 6), and feature classes (l, q, p, t, h,

lq, lp, lt, lh, qp, qt, qh, pt, ph, th, lqp, lqt, lqh, lpt, lph, lth, qpt, qph, qth, pth, lqpt, lqph, lqth, lpth,

qpth, lqpth, where l = linear, q = quadratic, p = product, h = hinge). The candidate models were

implemented in Maxent using the R (ver. 4.0.2, R Core Team 2020) package kuenm (Cobos *et*

al., 2019) using an R script modified from the example file in Simões *et al.* (2020; Supplemental

File 1). We randomly chose 80% of the 140 chestnut occurrence points for training the candidate

models and 20% of the occurrence points for testing the candidate models. Candidate model

performance was evaluated by partial ROC to evaluate the predictive fit of the model to the data

via the receiver operating characteristic curve (ROC; Peterson *et al.*, 2008), omission rates

(Anderson *et al.*, 2003), and model complexity (Akaike Information Criterion corrected for small

sample size; AICc; Warren and Seifert, 2011).

Thirty candidate models met the statistical retention criteria of partial ROC, omission

rate, and AICc (Simões *et al.*, 2020; Table 2). We implemented all of the models passing

statistical criteria in Maxent (5km buffer = 22 models, 10km buffer = 7 models, 30km buffer =1

model) and projected them to the "projection" layers with clamping and Multivariate

Environmental Similarity Surface (MESS) metrics. For all models, we evaluated variability in

model predictions using 10 random bootstrap resampling replicates of 75% of the occurrence

points (reserving 25% of occurrence points for testing each model replicate) in Maxent. To

estimate land area with predicted environmental suitability for *C. dentata* we thresholded each of

the median model outputs (cloglog format, WGS84 (EPSG:4326) projection) from Maxent to

consider areas with an environmental suitability ≥0.5 as potential areas of occurrence (=1).

Model areas with suitability <0.5 were considered environmentally unsuitable for occurrence (=

0).

Tree occurrence data—To model the population demography of chestnut in W

Tennessee, N Mississippi, SW Kentucky, and NW Alabama we filtered the dataset within the

area of interest (NW corner: 37.3583°, -91.1444°, SE corner: 32.8416°, -85.6044°) to include

any observations that included information on tree size, demography, and/or blight infection

status. For example, when explicit height or stem diameter measurements (*e.g.*, Diameter at

Breast Height, DBH) were not available in the primary source data, we retained occurrences that

included notations such as "resprout," "sapling," or "small tree." In total our thinned dataset

comprised 558 occurrences that include information on tree size (Supplemental File 2), and we

assigned each occurrence to one of five size classes representing life history transitions:

resprouts (0-1.0 cm DBH), sprouts (1.1-2.5 cm DBH), saplings (2.5-10.0 cm DBH), trees (10.1-

25.0 cm DBH), and mature trees (>25.0 cm DBH). Because of their prevalence in the dataset,

trees noted as having a stem diameter "<1 cm" were assigned a DBH of 0.5 cm and retained as

resprouts. This resulted in a "current" population structure of 23.7% resprouts, 47.5% sprouts,

19.7% saplings, 6.8% trees, and 2.3% mature trees. For modeling, we assumed that all of these

trees were genetically independent, rather than treating spatially clustered occurrences as arising

from a single root crown, and assumed that historical records were part of a "current" population

throughout the area of interest. Blight affliction status among tree size classes was treated as a

binary state (no blight = 0, blight = 1) based upon first-hand observation or collector notations.

From these observations/notations we computed the proportion of trees in each size class that

were blight-free and applied these proportions to the entire dataset. Blight-affliction was

assumed to be lethal and result in tree mortality without size class regressions.

We modeled population growth rate, stable size class distributions, and the importance of

different life history stages on American chestnut population growth rates in the area of interest

using a stage structured Lefkovitch (1965) projection matrix model (Caswell, 2001; Morris and

Doak, 2002). Our approach multiplies a transition matrix containing estimated probabilities of

stasis/transition between demographic stages with a vector describing the observed distribution

of individuals in the five demographic stages (Table 3a). To model the effect of blight on the

chestnut population, we multiplied the transition rates in our transition matrix by the proportion

of blight-free trees in each size class, thereby proportionally reducing the transition rates in the

transition matrix to produce a "blight" matrix (Table 3b). We modeled the demography of the

population (Fig. 1) under two different scenarios: 1) without blight, providing an expectation for

the demographic future of the species in the absence of blight in the area of interest, and 2) with

the inclusion of blight to examine the demographic future of the species in the area of interest

under current conditions. To parameterize our transition matrix (Table 3), we modified

individual transition rates between size classes from two prior studies of American chestnut

demography with trees in similar size classes to our study: one near the historical northeastern

range limit in Maine where chestnut was not strongly affected by blight (Elwood, 2014), and one

focused on naturalized populations in Michigan exhibiting a range of blight affliction (Davelos

and Jarosz, 2004). Where size regression was parameterized in prior studies, we assumed stage

class stasis because we lacked life history information from our observations to confidently

include these rates. Both models were implemented in R (ver. 4.0.0) using the package 'popbio'

(Stubben and Milligan, 2007) for 100 time steps. Prior demographic studies of American

chestnut have estimated each time step to represent multiple years (*e.g.*, ~13 years; Elwood,

2014; Van Drunen *et al.*, 2017). To summarize the output of our models we obtained the

dominant eigenvalues representing λ (where $\lambda = 1$ represents a stable population size, $\lambda < 1$

represents population contraction, and $\lambda > 1$ represents population growth) and the projected

stable size class distribution. We also estimated sensitivity and elasticity values from each model

to infer the importance of different life history stages and transition rates on population growth

rate.

305   RESULTS

306   ENVIRONMENTAL NICHE MODELS

The 5km buffer "calibration" layers (*i.e.*, 5km buffer around each occurrence point)

produced ENMs having AUCs (area under the curve of the receiver operating characteristic)

ranging from 0.629 (SD +/- 0.011) to 0.659 (SD +/- 0.025). Model response curves for Bio 2,

Bio 4, canopy cover, and elevation showed positive relationships with the predicted probability

of presence in models including variables in addition to elevation, canopy cover, and landcover.

In models including only elevation, canopy cover, and land cover as explanatory variables,

canopy cover and elevation showed positive relationships with the predicted probability of

presence. Canopy cover (49.0%-79.5%), elevation (9.9%-31.9%), and land cover (11.4%-31.8%)

made the largest contributions to most of the models with Bio 1 (10.1%-12.1%) contributing to

some models. In Maxent jackknife analyses, canopy cover, land cover, and elevation were the

most important for training gain, test gain, and AUC with Bio 1, Bio 2, Bio 4, and Bio 12 being

important for test gain and AUC in a few models (Table 2).

With the 10km buffer "calibration" layers (*i.e.*, 10km buffer around each occurrence

point) the resulting ENMs had AUCs ranging from 0.694 (SD +/- 0.009) to 0.712 (SD +/- 0.021).

Model response curves for Bio 7, canopy cover, and elevation showed positive relationships with

the predicted probability of presence in most models, with Bio 1 and Bio 16 having positive

relationships in some models. Canopy cover (46.5-59.5%), Bio 4 (15.4-25.9%), and land cover

(11.7-15.0%) made the largest contributions to the models. In Maxent jackknife analyses, canopy

cover, land cover, and Bio 4 were the most important for training gain, test gain, and AUC, with

elevation being important for test gain in one model (Table 2).

The 30km buffer "calibration" layer (*i.e.*, 30km buffer around each occurrence point)

produced an ENM with an AUC of 0.753 (SD +/- 0.021). Model response curves for Bio 1, Bio

7, Bio 12, canopy cover, and elevation all showed positive relationships with the predicted

probability of presence. Bio 2 (28.3%), canopy cover (20.6%), and land cover (17.3%) made the

largest contributions to the model, and in Maxent jackknife analyses, canopy cover, land cover,

and Bio 2 were most important for training gain, test gain, and AUC (Table 2).

Projecting the ENMs to the "projection" layers indicated relatively high environmental

suitability for American chestnut throughout much of W Tennessee, N Mississippi, SW

Kentucky, and NW Alabama (Fig. 2). The 30 model predictions were roughly visually similar,

though many models differed appreciably in areas of predicted environmental suitability

(Supplemental File 3). The areas of highest environmental suitability were most consistently

predicted to occur throughout eastern portions of the area of interest (*e.g.*, Hardeman, McNairy,

Chester, Henderson, Hardin, Decatur, and Benton Counties in Tennessee; Yalobusha, Lafayette,

Marshall, Benton, Tippah, Union, Alcorn, Prentiss, Tishomingo, Itawamba, and Monroe

Counties in Mississippi; Lauderdale, Colbert, Franklin, Marion, and Lamar Counties in

Alabama) that comprise higher elevations and areas of high canopy cover (as inferred from the

elevation and canopy cover layers downloaded from WorldClim and MRLCC, respectively).

However, areas of relatively high predicted environmental suitability also occurred along river

drainages and uplands otherwise surrounded by low elevation areas of agriculture in the western

portion of the area of interest between the Tennessee R. and the Mississippi R. (Fig. 2).

Visual inspection of the top model outputs constructed from each of the environmental

layer buffer sets suggested several of the models resulting from the 5km and 10km buffers

produced the most realistic predictions for the potential distribution of *C. dentata* in the area of

interest, as they apparently better reflected areas of high canopy cover (Fig. 1; Supplemental File

3). Models resulting from the 30km buffer (and several resulting from the 5km and 10km

buffers) made either apparent under- or over-predictions of habitat suitability given the

patchiness of available forest habitat throughout W Tennessee, N Mississippi, SW Kentucky, and

NW Alabama (Supplemental File 3). Models that included other environmental variables in

addition to elevation, canopy cover, and land cover tended to have broader predictions of

environmental suitability than those relying only on elevation, canopy cover, and land cover.

Models resulting from the 10km buffer tended to underpredict suitability in N Mississippi

relative to the models resulting from the 5km buffer. All of the models also predicted areas of

high environmental suitability in parts of E Arkansas comprising uplands and areas of high

canopy cover (*e.g.*, Crowley's Ridge, foothills of E Ozark Mountains) beyond the western

extreme of the accepted distribution. After thresholding the models resulting from the 5km

buffers, approximately 14,589,787ha (range: 5,529,005-28,464,967ha) of land area, representing

~47% of the total projection area, was predicted to contain environmentally suitable habitat

(environmental suitability ≥0.5) for *C. dentata*. From the 10km buffers approximately

3,532,389ha (range: 21,187-9,700,077ha) of land area, representing ~11% of the total projection

area, was predicted to represent environmentally suitable habitat (environmental suitability

≥0.5). Approximately 9,856,276ha of land area, representing ~32% of the total projection area,

was predicted as environmentally suitable for American chestnut by the model resulting from the

30km buffer.

<center>DEMOGRAPHIC MODEL</center>

Without blight, the model for the American chestnut population in W Tennessee, N

Mississippi, SW Kentucky, NW Alabama produced a dominant eigenvalue (λ) of 1.09

representing a growing population. Projecting the population over 100 time steps produced

exponentially growing trajectories for all size classes (Fig. 3). The projected stable size class

distribution indicated a population comprising 34.2% resprouts, 52.5% sprouts, 5.4% saplings,

5.6% trees, 2.4% mature trees. Sensitivities were largest for the transition between sprouts and

saplings, and for the transition between trees and mature trees indicating life-history transitions

from small stages to mid-size stages, and to reproductive maturity, are important for population

growth in the absence of blight. Summed elasticities were higher for matrix elements

representing stasis (S = 0.863) than for elements representing growth (G = 0.107) or fecundity (F

= 0.030; Fig. 2) indicating stage class stasis is also important for population growth in the

absence of blight.

After proportionally reducing the transition rates between stage classes by accounting for

only blight-free trees, the model produced a dominant eigenvalue (λ) of 0.92 indicating a

shrinking population. Projecting the population over 100 time steps produced rapidly declining

trajectories for all size classes (Fig. 3). The projected stable size class distribution indicated a

population comprising 7.7% resprouts, 82.4% sprouts, 8.3% saplings, 1.6% trees, and 0.04%

mature trees. Sensitivities were largest for the stasis of sprouts, and for the transition between

sprouts and saplings (Fig. 2) indicating stasis and transition from small stages to mid-size stages

is important for population growth in the presence of blight. Summed elasticities were higher for

matrix elements representing stasis (S = 0.945) than for elements representing growth (G =

0.041) or fecundity (F = 0.013) also indicating that in the presence of blight persistence is

important for population growth.

396   DISCUSSION

The functional extinction of *C. dentata* after the introduction of *C. parasitica* in the early

1900s is thought to have drastically altered the ecology and community dynamics of eastern

North American forests (Jacobs *et al.*, 2013, Dalgleish *et al.*, 2016). Unfortunately, the rapid

near-extirpation of an integral component of eastern deciduous forest communities before many

formal ecological theories and methods were established, makes current efforts to reconstruct

pre-blight *C. dentata* ecology, and the consequences of its loss from eastern forests, a difficult

task. For example, historical records give some indication of pre-blight forest composition (Zon,

1904; Mattoon, 1909; Ashe, 1912; Brooks, 1937; Russell, 1987; Paillet, 2002) and how these

forests may have responded to the loss of *C. dentata* (Ziegler, 1920; Keever, 1953; Stephenson *et*

al., 1991; Jacobs *et al.*, 2013), but it remains unclear whether such descriptions can be

extrapolated throughout the large and ecologically variable historical range of *C. dentata*. Studies

after blight spread throughout the historical range of American chestnut have inferred significant

community-level consequences, including replacement of chestnut by other tree species (*e.g.*,

Quercus spp., *Carya* spp. and *Acer rubrum*; Keever, 1953; Stephenson *et al.*, 1991; Davelos and

Jarosz, 2004; Griscom and Griscom, 2012), and the probable extinction of interacting species

(*e.g.*, greater chestnut weevil; *Curculio caryatrypes* Boheman 1843; Anderson, 2017). Yet, *C.*

dentata persists throughout much of its historical range as a minor component of eastern forests

comprising small individuals that continue to re-sprout from the root systems of blight-killed

trees, which typically succumb to blight prior to reaching reproductive size, though occasional

reproductive trees have also been noted (*e.g.*, Paillet, 1984; Boucher, 2000; Dalgleish *et al.*,

2016). Recent efforts to characterize the extent of remaining suitable habitat likely to harbor

extant *C. dentata* have made it clear that additional effort is needed to locate remnant

individuals/populations and to more fully characterize the current ecological and demographic

status of the species throughout its formerly native range to aid conservation and restoration

efforts (Fei *et al.*, 2007; Santoro, 2013; Jacobs *et al.*, 2013; Dalgleish *et al.*, 2016). In this study,

we focused on an area of the historical American chestnut range that has been relatively

understudied to identify potential new areas in which remnant populations of *C. dentata* may

occur but remain undiscovered, and to gain insight into the current and future population

dynamics of remnant trees in an area with high genetic diversity that could bolster breeding and

restoration efforts by increasing the heterozygosity of breeding stock (Stilwell *et al.*, 2003).

428   ENVIRONMENTAL NICHE MODELS

429   Prior applications of environmental niche modeling to *C. dentata* have sought to

investigate the ecology of American chestnut and identify suitable habitat for discovering

remnant populations, as well as to explore areas suitable for reintroduction. Some of these

studies have focused on restricted portions of the historical range, carefully investigating the

environmental parameters associated with the presence of *C. dentata* in areas of relatively intact

forest (Fei *et al.*, 2007; Santoro, 2013; Elwood, 2014). Predictions from these studies indicate

that suitable habitat for *C. dentata* in Shenandoah National Park occurs in primarily well-drained

upland forests at relatively high elevations in the Blue Ridge Mountains of Virginia (Santoro,

2013) and in areas of high canopy cover on steep upper- and mid-slope areas overlying

sandstone in topographically diverse parts of Mammoth Cave National Park in Kentucky with

young forests on previously cultivated lands being unsuitable for chestnut occurrence (Fei *et al.*,

2007). At a continental scale that sacrifices microscale variation and nuance, environmental

suitability is predicted to shift northward and beyond the current northern range limit in response

to climate change (Fei *et al.*, 2012; Barnes and Delborne, 2019). However, none of these studies

have included many occurrences from the southwestern distribution of American chestnut and

offer only limited insight into environmental suitability near the historical southwestern range

limit.

Though our study is focused on a relatively restricted portion of American chestnut's

historical range we found that much of W Tennessee, N Mississippi, SW Kentucky, and NW

Alabama remain environmentally suitable and that many potentially undiscovered *C. dentata*

may persist in remaining forests of the region. The 30 environmental niche models satisfying

statistical test criteria produced modest (AUC <0.9), roughly similar, but widely variable habitat

suitability predictions throughout the area of interest (Fig. 1; Supplemental File 2). Over-

predictions seemed apparent when models included bioclimatic variables compared to those that

only included elevation, canopy cover, and land cover, while under-predictions seemed apparent

with some sets of environmental layer buffers (Supplemental File 2). The variability among

model predictions likely reflects, in part, the difficulty in producing a multivariate model that

captures the environmental niche represented by the disparate environments where chestnut

observations occur (Vale *et al.*, 2014; Barnes and Delborne, 2019). Prior to the introduction of

chestnut blight, *C. dentata* was one of the most broadly distributed tree species in eastern North

America, ranging from southern Maine to southern Mississippi (Peattie, 1950; Little, 1977;

Paillet, 2002; Jacobs *et al.*, 2013; Dalgleish *et al.*, 2016). Given this historical distribution, the

species likely also occupied a much broader set of ecological conditions than currently realized

by extant populations (Fei *et al.*, 2007; Griscom and Griscom, 2012). Indeed, historical

documents note the occurrence of the species at or beyond the currently accepted distributional

limits in SW Tennessee (Fraser, 1864; Williams, 1873), though likely as relatively minor forest

components (Ashe 1912). Although our environmental niche models incorporate historical

observations of *C. dentata* from the time *C. parasitica* had arrived in W Tennessee and N

Mississippi, well within the lifespan of healthy *C. dentata* (Zon, 1904; Ashe, 1912), they likely

underestimate the full range of environmental conditions that could be occupied by the species.

This may reflect non-representative historical reporting or collection of specimens due to

sampling bias against a common species (prior to blight), but remnant populations may also

occur in habitats where blight was slower to arrive. Moreover, populations at distributional limits

are rare and may occupy environmental conditions not typically experienced by core distribution

populations or be found in small patches of suitable environmental conditions embedded within

unsuitable environments (Vale *et al.*, 2014; Angert, 2020). These possibilities would make it

difficult to accurately identify the set of environmental parameters that reliably predict species

occurrences (Galante *et al.*, 2018). Thus, most of our model predictions, especially those

produced from the 5km buffers, likely represent conservative projections of suitable habitat (Fig.

1). Search efforts for remnant populations of *C. dentata* in under-explored parts of the historical

distribution may prove fruitful, and intact forest with high predicted suitability beyond the

currently accepted range should be considered as possibly viable habitat. In Mississippi, for

example, apparently relatively little contemporary search effort has occurred, even though this

area may harbor crucial genetic diversity for breeding and restoration efforts by representing

potential genetic relicts that more closely reflect populations that expanded from refugia

following the last glacial maximum (Russell, 1987; Kubisiak and Roberds, 2006; Dane, 2009).

Interestingly, most of our models also predict suitable American chestnut habitat in upland areas

of NE Arkansas. While observations of *C. dentata* are not known from these areas, some of these

areas are habitat for the closely-related congeners *Castanea ozarkensis* Ashe and *Castanea*

pumila Mill, but could potentially harbor rare *C. dentata*.

As with all environmental niche models, caution is warranted in interpreting these

projections. Our model calibration approach produced several "equally-good" models that when

implemented and projected to the area of interest produced widely differing predictions of

environmental suitability. One challenge to producing accurate models of habitat suitability

appears to be determining the scale at which environmental heterogeneity influences the

presence of *C. dentata* (Fei *et al.*, 2007; Santoro, 2013). Because of adult mortality caused by

chestnut blight, the population dynamics of American chestnut are likely not currently strongly

influenced by pollen and seed dispersal, or seedling recruitment, and the probability of

occurrence in many areas (including the southwestern historical range limit) is probably highest

within or adjacent to intact forests that have persisted since the arrival of blight (*e.g.,* >50 years)

with relatively low disturbance (Paillet, 1988; 2002; Fei *et al.*, 2007; Griscom and Griscom,

2012; Gustafson *et al.*, 2017). The frequency and intensity of *C. parasitica* affliction also plays a

role in the occurrence and persistence of chestnut, and environmental variation over relatively

small scales might strongly influence blight affliction (Van Drunen *et al.*, 2018). Thus, model

construction using relatively small environmental layer "buffers" around each occurrence point

may more accurately capture the environmental covariates of *C. dentata* occurrence and

persistence over the last several decades.

Variability in niche model prediction might also be expected near a species range limit if

the observed distribution represents the realized rather than the fundamental ecological niche

(Warren, 2012; Broennimann *et al.*, 2021). The naturalization of *C. dentata* beyond the accepted

historical range limit in Michigan, Wisconsin, Iowa, and Nova Scotia (Paillet and Rutter, 1989;

Barnes and Delborne, 2019), suggests that *C. dentata* may not have been at ecological

equilibrium when standardized range maps were established (Little, 1977) and may still have

been expanding from glacial refugia before the arrival of chestnut blight (Russell, 1987; Huang

et al., 1998). Some of this expansion would likely have been contingent upon ongoing

evolutionary responses (*i.e.*, mutation, fitness differences, selection, local adaptation, etc.) to

changing and novel climatic conditions encountered during expansion, and may not be

adequately accounted for in environmental niche modeling approaches that make predictions

assuming niche conservatism (Weins and Graham, 2005). The accuracy of our environmental

niche models may also have been affected by lowered habitat residency of *C. dentata* as a

consequence of a root rot pathogen (*Phytophthora cinnamomi*) that is thought to have diminished

the range extent and population densities of *C. dentata* in the southern US by the late 1800s

(Russell, 1987; Anagnostakis, 2001; Dalgleish *et al.*, 2016). Environmental niche models relying

upon post-*P. cinnamomi* and post-*C. parasitica* occurrences of American chestnut are likely less

representative of the habitat once occupied by *C. dentata*, though further investigation is

warranted to better understand how models might be impacted by pathogenic mortality.

526   DEMOGRAPHIC MODEL

Demographic modeling of remnant chestnut populations can reveal important population

dynamics that may guide conservation priorities and highlight the challenges of restoration

efforts (Boucher, 2000; Davelos and Jarosz, 2004; Jacobs, 2007, 2013; Elwood, 2014; Baines *et*

al., 2014). With our simple models, we aimed to investigate the general population trajectories

for remnant trees in W Tennessee, N Mississippi, SW Kentucky, and NW Alabama, a relatively

understudied portion of the historical American chestnut range. After making several simplifying

assumptions about a relatively modest sampling of individuals spread throughout the region

across several decades we found that the small starting population was projected to either expand

exponentially ($\lambda > 1$) in the absence of blight or decline rapidly ($\lambda < 1$) when blight is accounted

for over the next several decades (Fig. 3). In the absence of blight we projected exponential

growth of ~9% per time step across all size classes, while accounting for blight resulted in a

projection of a ~8% decrease per time step across all size classes (Fig. 3).

In the modeled blight and non-blight scenarios, the high stasis element elasticities (Fig. 2)

indicate individual tree persistence over many years as having the greatest proportional influence

on population growth rates and that this trait has likely structured the current stage class

distribution of remnant American chestnut in W Tennessee, N Mississippi, SW Kentucky, and

NW Alabama. Approximately 70% of individuals in our observed data are represented by the

smallest size classes (resprouts or sprouts). In the presence of recurrent *C. parasitica* infection

these stage classes indicate regrowth from previously blight-afflicted individuals and are crucial

for longer-term persistence of the species in relatively undisturbed habitat where blight-afflicted

root crowns remain viable (Zon, 1904; Paillet, 1988). Large sensitivities for transitions to larger

and mature trees in the demographic model also suggest that even rare trees transitioning to

reproductive maturity can play an outsized role in population growth. In the model accounting

for blight, the chestnut population in W Tennessee, N Mississippi, SW Kentucky, and NW

Alabama is projected to decline rapidly toward extinction within ~20 time steps (~100-200

years) at minimum. However, the population could persist much longer if time steps are assumed

to span a decade or longer (Van Drunen *et al.*, 2017). Yet, these individuals cannot persist

indefinitely and will eventually experience mortality from blight, senescence, or stochastic

environmental influences (*e.g.*, storms, tree fall, land development, etc.) without interventions to

preserve them.

The actual population of extant trees in the area of interest is likely much larger than the

observed set of trees in our dataset, and the actual stage transition rates for individuals in W

Tennessee, N Mississippi, SW Kentucky, and NW Alabama likely differ from those in southern

Maine and Michigan. Nevertheless, the model projections presented here are qualitatively similar

to the demographic projections from other studies of *C. dentata* populations suggesting that

currently the major determinant of chestnut population dynamics is *C. parasitica* and the

presence of relatively undisturbed forest (Paillet, 1988; Elwood, 2014; Van Drunen *et al.*, 2017;

2018). Pre-blight estimates suggest *C. dentata* comprised up to 5-10% of forest trees west of the

Tennessee R. (Ashe, 1912). Though current densities are certainly much lower than historical

occurrences, the species was an important component of forest communities between the

Mississippi and Tennessee Rivers, and continues to contribute to the ecological dynamics of

forests in this area. However, we emphasize that our model projections should be considered

with caution and serve as a starting point for more robust investigations of a species that has long

attracted attention from land managers, biologists, and the general public (Kummer, 2003;

Steiner and Carlson, 2006; Rosen, 2013; Popkin, 2020). Continued surveys to discover remnant

trees and more consistent documentation of blight affliction, especially in under-explored areas,

would help refine model projections while serving the dual purpose of aiding conservation

efforts.

Conclusions—Despite being nearly extirpated in the mid-20th century by introduced

chestnut blight, *C. dentata* continues to persist throughout its range primarily as small

resprouting individuals. Recent research emphasis has been centered on core parts of the

historical distribution where remnant trees appear to be plentiful. Much less effort has focused on

the southwestern part of the range—where the species exemplifies its classification as a species

of "Special Concern" (Tennessee Flora Committee, 2015; Tennessee Natural Heritage Program,

2016)—and the degree to which suitable habitat and undiscovered populations persist in under-

surveyed parts of the historical distribution is not well known. We found that suitable habitat for

C. dentata occurs throughout much of the historical southwestern range extent in W Tennessee,

N Mississippi, SW Kentucky, and NW Alabama using environmental niche modeling

parameterized from locations where American chestnut has been observed over the last several

decades. However, variability among modest model predictions also suggests that the extreme

western portions of the historical native range represent marginal habitat for the species and

areas of highest environmental suitability are predicted to be restricted to higher elevations and

current areas of high forest canopy cover (Fig. 1). Although W Tennessee, N Mississippi, SW

Kentucky, and NW Alabama is a region that has been impacted over the last ~150 years by

timber harvesting, forest fragmentation (Heilman *et al*., 2002; Riitters *et al*., 2012), and other

introduced plant pathogens (*i.e.*, *Phytophthora cinnamomi*; Anagnostakis, 2001) our ENMs

suggest the area has not supported very large populations of *C. dentata* in recent times.

Demographic modeling using historical and contemporary observations of *C. dentata* across the

region also supports this inference and suggests a dire near-term future for the persistence of the

species near its southwestern range margin. The density of recently-documented American

chestnut was generally low throughout the area of interest (but higher in some large areas of

relatively intact forest), and demographic modeling accounting for the observed effects of blight

suggests drastic declines across all size classes over the next ~100-200 years. Continued

population decline appears to be the rule in the presence of *C. parasitica* given the devastating

effects the fungus has had on *C. dentata* populations over the past ~120 years (Ziegler, 1920;

Paillet, 2002; Davelos and Jarosz, 2004; Jacobs, 2007, 2013; Dalgleish *et al.*, 2016), though local

climatic and habitat variability might moderate the effects of blight in some areas leaving

relatively healthy populations (Van Drunen *et al.*, 2018). Taken together, our ENM and stage-

structured demographic projections highlight the continued presence of suitable *C. dentata*

habitat throughout the southwestern extent of its historical distribution, and emphasize the need

for efforts to locate, conserve, and introduce genetic material from individuals with potentially

novel and locally adapted genotypes from these areas into currently active restoration programs.

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Table 1. American chestnut observations and locality information throughout W Tennessee, N

Mississippi, SW Kentucky, and NW Alabama used for environmental niche modeling.

Observations included historical collections from herbaria, personal observations by the authors

and colleagues, and engaged citizen naturalist observations from GBIF recorded and verified

with the iNaturalist application

Date	Latitude	Longitude	County	State	Collectors	Collector Code/Voucher Accession
16-Jul-1969	34.759383	-88.432311	Alcorn	Mississippi	T. M. Pullen	MISS0018279
12-May-2019	35.032883	-88.7292	McNairy	Tennessee	R.G. Laport, D. Smith & J. Ng	SWMT05622
12-May-2019	35.025583	-88.7386	McNairy	Tennessee	R.G. Laport, D. Smith & J. Ng	SWMT05625
31-Oct-1981	33.912	-89.259	Calhoun	Mississippi	R. A. Stewart	MISS0018280
12-May-1967	34.111	-89.498	Calhoun	Mississippi	T. M. Pullen	MISS0018282
22-Jun-1980	33.29361	-89.10165	Choctaw	Mississippi	S. McDaniel	MMNS021400
15-Jun-1980	33.36002	-89.14388	Choctaw	Mississippi	S. McDaniel	MMNS021294
14-Jun-2013	33.41557	-89.26288	Choctaw	Mississippi	K. Philley	MMNS034856
21-Apr-1967	33.766615	-88.889247	Clay	Mississippi	T. R. Simmons, Jr	MISS0018284
22-Aug-1979	33.78888889	-88.90638889	Clay	Mississippi	K. E. Rogers	MMNS021663
14-Sep-2019	35.390517	-88.7654	Chester	Tennessee	R.G. Laport, D. Smith & J. Ng	SWMT05640
10-Nov-2019	35.38385	-88.766733	Chester	Tennessee	R.G. Laport, D. Smith & J. Ng	CSP19
1-May-1884	34.16667	-86.83334	Cullman	Alabama	C. Mohr	UNA00032071
6-Jun-2002	36.05721	-86.79004	Davidson	Tennessee	S. J. Baskauf	http://bioimages.vanderbilt.edu/ind-baskauf/11845#2002-06-06
15-Sep-1994	33.684636	-89.672206	Grenada	Mississippi	J. R. MacDonald	MISS0018287
20-Jun-1986	33.6985	-89.5265	Grenada	Mississippi	M. W. Morris	MISS_Morris2115
24-Apr-1994	33.70948	-89.68813	Grenada	Mississippi	J. R. MacDonald	MMNS013157
12-Jul-1980	33.7335	-89.7609	Grenada	Mississippi	R. A. Stewart II	MMNS004275
17-Jun-1986	33.74874	-89.57651	Grenada	Mississippi	M. W. Morris	MISS_Morris2107
27-Apr-1980	33.757	-89.82817	Grenada	Mississippi	R. A. Stewart II	MMNS004504
unknown	36.311685	-88.260326	Henry	Tennessee	N/A	USDA_NPGS_3395807
12-Jun-2020	34.940425	-89.034583	Benton	Mississippi	R.G. Laport, D. Smith & J. Ng	SWMT05659
29-Aug-2004	36.75124	-86.22756	Allen	Kentucky	J. Schibig & M. Vance	KALL1
23-May-2004	36.6615	-87.3512	Christian	Kentucky	Caudell & J. Schibig	KCHR1
15-Jun-2004	36.76314	-88.42751	Marshall	Kentucky	J. Schibig & M. Vance	KMAR1
28-Jun-2003	36.72451	-85.87215	Monroe	Kentucky	J. Schibig & J. H. Craddock	KMON4
15-May-2003	36.72085	-88.05285	Trigg	Kentucky	J. H. Craddock	KTRI123
13-Aug-2003	36.70988	-88.06972	Trigg	Kentucky	J. Schibig & J. H. Craddock	KTRI148
26-May-2002	36.77025	-88.1086	Trigg	Kentucky	J. Schibig, Barber, J. H. Craddock & Torkelson	KTRI16
14-Jul-2002	36.7198	-88.07587	Trigg	Kentucky	J. Schibig	KTRI60
29-Sep-2002	36.77842	-88.11245	Trigg	Kentucky	J. Schibig, Barber, J. H. Craddock & Torkelson	KTRI86

3-May-2003	36.76166	-88.10637	Trigg	Kentucky	J. Schibig & M. Vance	KTRI98
11-May-2004	36.96519	-86.56253	Warren	Kentucky	J. Schibig & M. Vance	KWAR1
11-May-2004	36.95848	-86.56072	Warren	Kentucky	J. Schibig & M. Vance	KWAR6
20-May-1964	34.22361	-89.361477	Lafayette	Mississippi	B. W. Haglan	MISS0018293
20-Oct-2000	34.373019	-89.29252	Lafayette	Mississippi	B. A. Connolly & M. Duffy	MISS0018290
18-Sep-1980	34.4285	-89.3937	Lafayette	Mississippi	K. L. Gordon	MMNS006950
3-Nov-1965	34.542826	-89.49189	Lafayette	Mississippi	L. Dowdy	MISS0018292
29-Jul-1955	34.23528	-88.62333	Lee	Mississippi	J. D. Ray, Jr.	MISSA014060
13-Sep-2005	33.4430556	-88.3211111	Lowndes	Mississippi	M. Whitson	KNK31973000000000
11-Jul-1969	34.169565	-87.99697	Marion	Alabama	R. Kral	KANU212195
29-Sep-1967	34.656766	-89.466468	Marshall	Mississippi	S. Lassetter	MISS0018297
26-Jun-2005	34.3117	-89.473	Lafayette	Mississippi	M. Alexander	MLAF1
28-Jun-1955	33.886925	-88.247718	Monroe	Mississippi	J. D. Ray, Jr.	MISSA014059
13-May-1993	34	-88.56666667	Monroe	Mississippi	R. G. Wieland	MMNS026542
10-May-1952	34.045703	-88.562295	Monroe	Mississippi	R. M. Schuster	MISSA014058
26-Jun-1980	33.341	-89.627	Montgomery	Mississippi	K. L. Gordon	MMNS006740
1-Sep-1968	36.437551	-87.485567	Montgomery	Tennessee	J. Schibig	NCU00153931
6-Dec-2004	34.59363	-88.18576	Tishomingo	Mississippi	Maddox, C. Neel	MTIS1
4-Aug-1996	34.239	-89.22	Pontotoc	Mississippi	C. T. Bryson	MMNS012849
4-Aug-1996	34.241368	-89.184597	Pontotoc	Mississippi	C. T. Bryson	MISS0018298
24-Jun-1951	33.56833	-86.44583	Saint Clair	Alabama	C. G. Hollis	UNA00032086
20-Aug-1962	33.941765	-86.254978	Saint Clair	Alabama	P. E. Bostick	NCU00153908
13-Oct-2018	35.183467	-89.288033	Fayette	Tennessee	R.G. Laport, D. Smith & J. Ng	SWMT05626
13-Oct-2018	35.154817	-89.291	Fayette	Tennessee	R.G. Laport, D. Smith & J. Ng	SWMT05627
18-Jul-1966	36.573919	-87.971161	Stewart	Tennessee	B. Evans	NCU00153932
9-May-1980	34.121	-90.071	Tallahatchie	Mississippi	R. A. Stewart II	MMNS004476
20-Jul-2002	35.44597222	-86.27405556	Bedford	Tennessee	C. Neel	TBED1
8-Oct-2005	35.4307	-86.25259	Bedford	Tennessee	C. Neel	TBED14
1-Jan-2004	35.35797	-86.525383	Bedford	Tennessee	C. Neel	TBED4
1-Dec-2003	35.865417	-85.976633	Cannon	Tennessee	C. Neel	TCAN1
5-Aug-2005	35.7972	-85.99243	Cannon	Tennessee	M. Vance	TCAN36
9-Jan-2005	35.88999	-88.4614	Carroll	Tennessee	J. Schibig & M. Vance	TCAR1
8-Oct-2005	35.49307	-86.14145	Coffee	Tennessee	C. Neel	TCOF3
18-Aug-2002	36.07427	-86.82018	Davidson	Tennessee	J. Schibig	TDAV4
14-Sep-2002	36.27333	-86.89612	Davidson	Tennessee	J. Schibig	TDAV7
15-Jul-2003	36.08633	-85.81265	Dekalb	Tennessee	J. Schibig & J. H. Craddock	TDEK15
4-Aug-2002	36.06187	-85.85737	Dekalb	Tennessee	J. Schibig, Barber, J. H. Craddock & Torkelson	TDEK4
June-02	35.0379	-89.2304	Fayette	Tennessee	B. Turner	TFAY25
1-May-2002	35.0348	-89.236	Fayette	Tennessee	B. Turner	TFAY6
20-Jul-2002	35.27755556	-86.13725	Franklin	Tennessee	C. Neel	TFRA1
25-Sep-2005	35.07425	-86.04501667	Franklin	Tennessee	P. Tarpley	TFRA3
25-Sep-2005	35.08268333	-86.02793333	Franklin	Tennessee	P. Tarpley	TFRA4
25-Sep-2005	35.07116667	-86.01613333	Franklin	Tennessee	P. Tarpley	TFRA5

Date	Latitude	Longitude	County	State	Collector	ID
25-Sep-2005	35.07416667	-86.0342	Franklin	Tennessee	P. Tarpley	TFRA6
6-Jun-2000	35.37467	-87.19217	Giles	Tennessee	J. Schibig	TGIL1
2-Jun-1998	35.08	-87.22	Giles	Tennessee	C. Neel	TGIL3
1-Jun-1998	35.09	-87.22	Giles	Tennessee	C. Neel	TGIL4
24-Feb-2002	35.79795	-87.57672	Hickman	Tennessee	J. Schibig, Barber & J. H. Craddock	THIC1
2-Aug-2005	36.36324	-87.61739	Houston	Tennessee	J. Schibig & M. Vance	THOU1
1-Feb-2003	35.95866	-87.90608	Humphreys	Tennessee	J. Schibig & M. Vance	THUM1
28-Oct-2006	36.17932	-87.79019	Humphreys	Tennessee	J. Schibig, Leach, Greenwell & Dreaden	THUM4
15-Sep-1982	34.841	-88.973	Tippah	Mississippi	D. Meeks	MMNS013370
10-Sep-1978	34.5263	-88.16022	Tishomingo	Mississippi	K. E. Rogers	MMNS000789
15-Nov-2003	34.60556	-88.1736	Tishomingo	Mississippi	H. M. Sullivan	MMNS022035
3-Jun-2006	34.6093	-88.2789	Tishomingo	Mississippi	L. Majure & G. N. Ervin	MISSA014053
unknown	34.740434	-88.239323	Tishomingo	Mississippi	A. Allison	MISS0018300
5-May-2015	34.811756	-88.190042	Tishomingo	Mississippi	T. G. Harbison, M. T. Perkins, J. H. Craddock	NCU00085298
4-Oct-1978	34.89126	-88.11391	Tishomingo	Mississippi	K. E. Rogers	MMNS007370
5-Oct-1978	34.91463	-88.17335	Tishomingo	Mississippi	K. E. Rogers	MMNS002655
21-Jul-2002	35.30805556	-86.54241667	Lincoln	Tennessee	C. Neel	TLIN1
21-Jul-2002	35.03519444	-86.56169444	Lincoln	Tennessee	C. Neel	TLIN2
20-Jul-2002	35.03169444	-86.46933333	Lincoln	Tennessee	C. Neel	TLIN3
19-Jun-2004	36.58567	-85.85591	Macon	Tennessee	J. Schibig & M. Vance	TMAC1
8-Oct-2005	36.4916	-85.9362	Macon	Tennessee	J. Schibig, M. Vance & Torkelson	TMAC2
20-Jul-2002	34.67	-86.52	Madison	Tennessee	C. Neel	TMAD1
2-Oct-1999	34.66	-86.51	Madison	Tennessee	C. Neel	TMAD3
5-Jan-2002	35.33768	-86.7807	Marshall	Tennessee	J. Schibig, C. Neel & Torkelson	TMAR1
1-Oct-1998	35.45517	-87.12367	Maury	Tennessee	Estes	TMAU1
20-Jul-2002	35.39480556	-86.29675	Moore	Tennessee	C. Neel	TMOO1
15-Oct-2004	35.53708	-87.97659	Perry	Tennessee	C. Neel	TPER1
7-Jan-2006	35.63248	-87.90778	Perry	Tennessee	C. Neel	TPER2
7-Jan-2006	35.68322	-87.88239	Perry	Tennessee	C. Neel	TPER3
8-Jul-2004	36.04358	-86.60045	Davidson	Tennessee	M. Vance	TPUT2
9-Jun-2002	36.44113	-86.73633	Robertson	Tennessee	Torkelson	TROB3
5-Jan-2003	36.44247	-86.75183	Robertson	Tennessee	Torkelson	TROB4
14-Mar-2003	35.7057	-86.2225	Rutherford	Tennessee	C. Neel	TRUT1
23-Jan-2003	36.40386	-85.88255	Smith	Tennessee	J. Schibig, Draper & Kemp	TSMI1
26-Jun-2003	36.2058	-85.86569	Smith	Tennessee	M. Vance, J. Schibig & J. H. Craddock	TSMI2
26-Jun-2002	36.619	-88.0296	Stewart	Tennessee	J. Schibig	TSTE1
5-Sep-2002	36.5645	-88.0121	Stewart	Tennessee	J. Schibig	TSTE13
5-Sep-2002	36.5666	-88.0216	Stewart	Tennessee	J. Schibig	TSTE17
5-Aug-2002	36.6133	-88.0428	Stewart	Tennessee	J. Schibig	TSTE2
12-Sep-2002	36.6063	-88.0386	Stewart	Tennessee	J. Schibig	TSTE37
14-Jul-2003	36.66335	-88.0537	Stewart	Tennessee	J. H. Craddock	TSTE47
24-Jul-2003	36.67181	-88.0519	Stewart	Tennessee	J. H. Craddock	TSTE72
12-Sep-2003	36.60293	-88.00749	Stewart	Tennessee	J. H. Craddock	TSTE73

23-Apr-2002	36.50823	-86.4521	Sumner	Tennessee	J. Schibig	TSUM10
28-Apr-2002	36.50825	-86.39282	Sumner	Tennessee	J. Schibig	TSUM17
28-Apr-2002	36.43293	-86.67517	Sumner	Tennessee	J. Schibig	TSUM18
23-Oct-2003	36.37939	-86.66336	Sumner	Tennessee	J. Schibig	TSUM21
22-Jul-2004	36.62302	-86.2074	Sumner	Tennessee	J. Schibig & M. Vance	TSUM23
20-Nov-2003	36.29807	-88.65473	Weakley	Tennessee	J. Schibig & B. Turner	TWEA1
2-Feb-2003	35.8317	-87.0005	Williamson	Tennessee	J. Schibig	TWIL1
13-Jul-2004	35.8191	-87.0612	Williamson	Tennessee	J. Schibig & M. Vance	TWIL13
31-Aug-1996	33.2041	-89.0352	Winston	Mississippi	J. R. MacDonald	MMNS024435
31-Aug-1996	33.211261	-89.059013	Winston	Mississippi	J. R. MacDonald	MISSA014056
11-Oct-1978	33.21164	-88.96634	Winston	Mississippi	K. L. Gordon	MMNS005245
10-May-1967	34.015939	-87.358346	Winston	Alabama	R. C. Clark	NCU00153909
14-May-1999	33.871	-89.572	Yalobusha	Mississippi	R. A. Stewart II	MMNS034421
12-Jun-1996	33.976	-89.823	Yalobusha	Mississippi	J. R. MacDonald	MMNS012824
9-Apr-2020	34.395881	-86.202719	Marshall	Alabama	michaelezell	iNaturalist
20-Apr-2020	34.737815	-86.706438	Madison	Alabama	N. Cash	iNaturalist
29-May-2019	35.057796	-85.84078	Marion	Tennessee	N. Parrish	iNaturalist
3-Aug-2019	35.064436	-88.726792	McNairy	Tennessee	A. J. Trently	iNaturalist
9-Jul-2019	35.204537	-85.85894	Marion	Tennessee	dmp83178	iNaturalist
1-Jul-2020	35.563717	-87.452653	Lewis	Tennessee	M. Mayfield	iNaturalist
17-May-2020	36.069517	-86.896337	Davidson	Tennessee	N. Parrish	iNaturalist
16-Sep-2019	36.892517	-86.950796	Logan	Kentucky	rustyduck	iNaturalist
14-Apr-2020	36.973543	-86.817327	Logan	Kentucky	rustyduck	iNaturalist

Table 2. Summary statistics of the 30 environmental niche models passing model calibration

criteria after implementation in Maxent. Model names take the form: buffer layer size (5km,

10km, or 30 km), regularization multiplier (ranging from 0.1-6.0), feature classes (l = linear, q =

quadratic), and environmental variables (E = elevation, L = land cover, C = canopy cover, 1 =

Bio 1, 2 = Bio 2, 4 = Bio 4, 7 = Bio 7, 12 = Bio 12, 16 = Bio 16). Environmental variable

abbreviations are the same for all columns. Variables with negative model effects are indicated

with a "-" in parentheses

Model	AUC	SD	Variables with Positive Response Curves	Environmental Variable with ≥10% Contributions to the Model (variable: % contribution)				Top 3 Contributing Variables in Jackknife Analyses		
								Training Gain	Test Gain	AUC
5km0.1qE,1,2,4,12,L,C	0.648	0.023	2, 4, C, E	C: 51.8	E: 16.2	Bio1: 10.1		C, E, L	C, L, 1(-)	C, L, 12
5km0.1qE,L,C	0.639	0.026	C, E	C: 55.3	E: 31.9	L: 12.8		C, E, L	C, L, E(-)	C, L, E
5km0.3qE,1,2,4,12,L,C	0.646	0.017	2, 4, C, E	C: 49.8	L: 25.7			C, L, E	C, L, E	C, L, 1
5km0.3qE,L,C	0.641	0.021	C, E	C: 56.4	L: 28.2	E: 15.4		C, E, L	C, L, E	C, L, E
5km0.5qE,1,2,4,12,L,C	0.659	0.025	4, C, E	C: 52.3	E: 12.4			C, L, E	C, L, E	C, L, 2
5km0.5qE,L,C	0.642	0.035	C, E	C: 67.7	E: 16.7	L: 15.5		C, L, E	C, L, E	C, L, E
5km0.7qE,1,2,4,12,L,C	0.655	0.031	2, 4, C, E	C: 49.0	L: 18.0	E: 12.3	Bio1: 11.0	C, L, E	C, L, 4	C, L, E
5km0.7qE,L,C	0.635	0.021	C, E	C: 62.5	L: 22.7	E: 14.7		C, L, E	C, L, E	C, L, E
5km0.9qE,1,2,4,12,L,C	0.658	0.019	2, 4, C, E	C: 51.7	L: 18.4	E: 11.8	Bio1: 10.6	C, L, E	C, L, E	C, L, 4
5km0.9qE,L,C	0.629	0.011	C, E	C: 55.4	E: 24.6	L: 20.0		C, L, E	C, L, E(-)	C, L, E
5km1.0qE,1,2,4,12,L,C	0.642	0.018	2, 4, C, E	C: 59.9	L: 12.8	E: 9.9		C, L, E	C, L, 12(-)	C, L, 1
5km1.0qE,L,C	0.635	0.028	C, E	C: 59.6	L: 21.9	E: 18.4		C, L, E	C, L, E(-)	C, L, E
5km2.0qE,L,C	0.641	0.024	C, E	C: 66.9	E: 17.7	L: 15.4		C, L, E	C, L, E	C, L, E
5km3.0qE,L,C	0.635	0.028	C, E	C: 79.5	E: 10.8			C, L, E	C, L, E(-)	C, L, E

5km4.0qE,1,2,4,12,L,C	0.655	0.020	2, 4, C, E	C: 69.8	L: 11.4			C, L, E	C, L, E	C, L, 2
5km4.0qE,L,C	0.631	0.024	C, E	C: 53.3	L: 35.2	E: 11.6		C, L, E	C, L, E(-)	C, L, E
5km5.0qE,1,2,4,12,L,C	0.656	0.030	2, 4, C, E	C: 56.2	L: 19.7	Bio1: 12.1		C, L, E	C, L, E	C, L, 1
5km5.0qE,L,C	0.630	0.018	C, E	C: 62.7	L: 20.2	E: 17.1		C, L, E	C, L, E(-)	C, L, E
5km5.0qtE,1,2,4,12,L,C	0.656	0.014	2, 4, C, E	C: 65.1	L: 14.4			C, L, E	C, L, 12(-)	C, L, 1
5km5.0qtE,L,C	0.650	0.025	C, E	C: 67.8	L: 22.3	E: 9.9		C, L, E	C, L, E	C, L, E
5km6.0qE,L,C	0.634	0.031	C, E	C: 52.9	L: 31.8	E: 15.3		C, L, E	C, L, E	C, L, E
5km6.0qtE,L,C	0.632	0.028	C, E	C: 59.7	L: 24.5	E: 15.7		C, L, E	C, E(-), L	C, L, E
10km0.1lE,1,4,7,12,L,C	0.705	0.017	1, 7, C, E	C: 48.8	Bio4: 24.6	L: 13.5		C, 4, L	C, 4, E(-)	C, 4, L
10km0.1lE,1,2,4,7,12,16,L,C	0.709	0.011	1, 7, C, E	C: 53.1	Bio4: 19.8	L: 15.0		C, L, 4	C, L, 4	C, L, 4
10km0.3lE,1,4,7,12,L,C	0.704	0.032	1, 7, C, E	C: 53.2	Bio4: 23.0	L: 12.5		C, 4, L	C, L, 4	C, L, 4
10km0.3lE,1,2,4,7,12,16,L,C	0.702	0.018	16, 7, C, E	C: 50.4	Bio4: 15.4	L: 13.7		C, L, 4	C, 4, L	C, 4, L
10km0.5lE,1,4,7,12,L,C	0.712	0.021	7, C, E	C: 55.2	Bio4: 25.9	L: 11.7		C, 4, L	C, L, 4	C, L, 4
10km0.7lE,1,4,7,12,L,C	0.694	0.009	7, C, E	C: 46.5	Bio4: 25.8	L: 17.0		C, 4, L	C, 4, L	C, 4, L
10km1.0lE,1,4,7,12,L,C	0.708	0.021	7, C, E	C: 59.5	Bio4: 17.2			C, 4, L	C, L, 4	C, L, 4
30km0.5lqE,1,2,4,7,12,16,L,C	0.753	0.021	1, 12, 7, C, E	Bio2: 28.3	C: 20.6	L: 17.3	Bio7: 10.6	C, L, 2	C, 2, L	C, 2, L

Table 3. Transition matrices showing estimated stasis (on diagonal), growth (below diagonal),

and reproductive (upper right) elements A) without blight and B) with blight for a population of

American chestnut in W Tennessee, N Mississippi, SW Kentucky, and NW Alabama. Matrix

elements were derived from rates estimated for populations of American chestnut in S Maine

(Elwood, 2014) and Michigan (Davelos and Jarosz, 2004). Size class regressions in prior studies

were included in rates for size class stasis

A. w/o Blight	1. Resprout	2. Sprout	3. Sapling	4. Tree	5. Mature Tree
1. Resprout	0.826	0	0	0.693	2.167
2. Sprout	0.171	0.977	0	0	0
3. Sapling	0	0.023	0.866	0	0
4. Tree	0	0	0.134	0.957	0
5. Mature Tree	0	0	0	0.043	0.987
B. w/ Blight	1. Resprout	2. Sprout	3. Sapling	4. Tree	5. Mature Tree
1. Resprout	0.765	0	0	0.693	2.167
2. Sprout	0.159	0.905	0	0	0
3. Sapling	0	0.021	0.709	0	0
4. Tree	0	0	0.110	0.338	0
5. Mature Tree	0	0	0	0.015	0.380

Figure 1. Life cycle diagram for a stage-classed *Castanea dentata* population in W Tennessee, N

Mississippi, SW Kentucky, and NW Alabama. All trees were assigned to stage classes based on

diameter at breast height (DBH) measurements. Stage classes are represented by squares

(Resprout (stage 1) ≤ 1cm DBH, Sprout (stage 2) = 1.1-2.5cm DBH, Sapling (stage 3) = 2.6-

10cm DBH, Tree (stage 4) = 10.1-20cm DBH, Mature Tree (stage 5) ≥ 20cm DBH). Arrows

represent growth (G) transitions between stages, stasis (S) within a stage class, and fecundity (F)

of reproductive stage classes. Values associated with transitions are elasticities for a model

without blight (A) and a model with blight (B), representing the proportional importance of

changes to a transition/stasis/fecundity rate on population growth

Figure 2. A) The estimated historical range of *Castanea dentata* (gray shading; Little, 1977) in

W Tennessee (Tenn.), N Mississippi (Miss.), SW Kentucky (Ken.), and NW Alabama (Ala.).

The black square in the inset map indicates the study location. Representative ENM projections

using B) 5km, C) 10km, and D) 30km environmental layer buffers around each point for model

construction. In B, C, and D, warmer (*i.e.*, more orange) colors indicate higher predicted

environmental suitability. The model projections resulting from the 5km buffers were generally

more apparently "realistic" compared to those arising from the 10km and 30km buffers

(Supplemental File 2). Black dots in all panels show the location of occurrence records within

the area of interest that were used for ENM construction. County boundaries are indicated by the

lighter borders within each state

Figure 3. Demographic model projections for a *Castanea dentata* population in W Tennessee, N

Mississippi, SW Kentucky, and NW Alabama without blight (dashed lines) and with blight (solid

lines). Each line represents the demographic trajectory for a different size class as indicated in

the inset key. Without blight, the population was predicted to have a $\lambda = 1.09$ indicating an

increase ~9% per time step, while with blight the population is predicted to have a $\lambda = 0.92$

indicating a decrease of ~8% per time step. The duration of each time step is not known for the

study population, but is estimated to extend over multiple years for a similar population in

southern Ontario, Canada (~13 years; Van Drunen *et al.*, 2018), suggesting extinction of

American chestnut throughout the study region could occur in ~100-200 years

Supplemental File 1. R scripts used for environmental niche model calibration (adapted from

Simões *et al.*, 2020) and demographic matrix modeling in 'popbio' (Stubben and Milligan,

2007).

Supplemental File 2. Locality and size information for all W Tennessee, N Mississippi, SW

Kentucky, and NW Alabama American chestnut observations comprising the demographically

modeled population. Observations included historical collections from herbaria, personal

observations by the authors and colleagues, and citizen naturalist observations from GBIF

recorded and verified with the iNaturalist application.

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Supplemental File 3. Environmental niche model projections from Maxent across W Tennessee,

N Mississippi, SW Kentucky, and NW Alabama for all 30 models passing model calibration

criteria. The models arising from the 5km buffer calibration layers (the first 12 models) generally

produced more apparently realistic projections for suitable habitat compared to the models

arising from the 10km buffer calibration layers (next 7 models) or the 30km buffer calibration

layers (last model). Many of the 10km buffer models underestimated parts of the N Mississippi

range, while the 30km buffer model predicts suitable habitat in many areas where chestnut is not

observed. Some of the 5km buffer models that incorporate Bioclim variables with elevation, land

cover, and canopy cover also make apparent overpredictions of environmental suitability.