Title

Molecular diversity maintained by long-term balancing selection in mating loci defines

multiple mating types in fungi

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Abstract

Balancing selection, an evolutionary force that retains genetic diversity, has been detected in
multiple genes and organisms, such as the sexual mating loci in fungi. However, to quantify
the strength of balancing selection and define the mating-related genes require a large number
of specimens. In tetrapolar basidiomycete fungi, sexual type is determined by two unlinked
loci, *MATA* and *MATB*. Genes in both loci defines mating type identity, control successful
mating and completion of the life cycle. These loci are usually highly diverse. Previous studies
have speculated, based on culture crosses, that species of the non-model genus *Trichaptum*
(Hymenochaetales, Basidiomycota) possess a tetrapolar mating system, with multiple alleles.
Here, we sequenced a hundred and eighty specimens of three *Trichaptum* species. We
characterized the chromosomal location of *MATA* and *MATB*, the molecular structure of *MAT*
regions and their allelic richness. Our sequencing effort was sufficient to molecularly
characterize multiple *MAT* alleles segregating before the speciation event of *Trichaptum*
species. Our analyses suggested that long-term balancing selection has generated trans-
species polymorphisms. Mating sequences were classified in different allelic classes based on
an amino acid identity (AAI) threshold supported by phylogenetics. The inferred allelic
information mirrored the outcome of *in vitro* crosses, thus allowing us to support the degree of
allelic divergence needed for successful mating. Even with the high amount of divergence, key
amino acids in functional domains are conserved. The observed allelic classes could
potentially generate 14,560 different mating types. We conclude that the genetic diversity of
mating in *Trichaptum* loci is due to long-term balancing selection, with limited recombination
and duplication activity. Our large number of sequenced specimens highlighted the importance
of sequencing multiple individuals from different species to detect the mating-related genes,
the mechanisms generating diversity and the evolutionary forces maintaining them.

Keywords: Balancing selection, mating loci, comparative genomics, fungi, *Trichaptum*

Author summary

Fungi have complex mating systems, and basidiomycete fungi can encode thousands of
mating types. Individuals with the same mating type cannot mate. This sexual system has
evolved to facilitate sexual mating, increasing the chances to recombine into advantageous
allelic combination and prune deleterious alleles. We explored the genomes of hundred and
eighty specimens, combined with experimental mating studies of selected specimens, from a
non-model organism (*Trichaptum*). We characterized the genomic regions controlling sex. The
mating ability of the specimens confirmed the role of the mating alleles observed in the
genomic data. The detailed analyses of many specimens allowed us to observe gene
duplication and rearrangements within the mating loci, increasing the diversity within these
loci. We supported previous suggestions of balancing selection in this region, an evolutionary
force that maintains genomic diversity. These results supports that our fungal specimens are
prone to outcross, which might facilitate the adaptation to new conditions.

Introduction

Balancing selection is an evolutionary force that maintains genetic diversity [1]. Due to the importance of balancing selection to generate diversity, it has received long-term attention in evolutionary biology [2]. Heterozygote advantage [1], pleiotropy [3], negative frequency-dependent selection [4], rapid temporal fluctuations in climate [5], and segregation distortion balanced by negative selection [6,7] are modes of balancing selection. These different modes of balancing selection leave similar genomic signatures, such as an increased number of polymorphic sites around the region under balancing selection, and sometimes an enrichment of intermediate-frequency alleles around the selected genomic region [1]. When balancing selection has persisted for a long period, coalescent time of alleles may predate speciation events, and polymorphisms can become shared among distinct species, leading to trans-species polymorphisms [8]. Phylogenetic trees for balanced regions are characterized by the presence of long internal branches [9], and clades with a mixture of species caused by trans-species polymorphisms [10]. The development of methods to detect the genomic footprints of balancing selection [11–13] has unraveled, also with a low number of individuals due to sequencing costs, multiple loci under this type of selection. Well-known examples include: the major histocompatibility locus (MHC) in vertebrates [8]; the ABO histo-blood [14]; non-MHC genes, such as *TRIM5* and *ZC3HAV1* in humans [15,16]; self-incompatibility (SI) loci in plants [17,18] and self/nonself-recognition during vegetative growth in fungi [19]; multilocus metabolic gene networks, such as the *GAL* network in *Saccharomyces* [20,21]; and sexual mating loci in fungi [22].

In basidiomycete fungi, there are numerous examples of balancing selection acting on loci regulating the sexual cycle [22–26]. In this phylum, the sexual cycle involves fusion (plasmogamy) of two genetically distinct monokaryotic hyphae (n or one set of chromosomes), generating a dikaryotic (n+n) hyphae [27–29]. The dikaryon is considered a more stable and long-lived state than the monokaryotic phase, but there are controversies about this assumption due to limited studies [30,31]. Due to this dikaryotic state, plasmogamy is normally separated in time from karyogamy, the fusion of both parental nuclei [32]. In basidiomycetes, karyogamy and meiosis normally occur in specialized structures, the fruit bodies [32]. Mating between two monokaryotic hyphae is determined by one or two sets of multiple allelomorphic genes in the mating (*MAT*) loci. Two different mating systems have evolved among basidiomycetes, referred to as bipolar or tetrapolar mating systems [33]. Mating-type identity in some basidiomycetes, such as *Cryptococcus neoformans,* and members of the sister phylum Ascomycota i.e. *Saccharomyces cerevisiae*, is governed by a single *MAT* locus [34]. This case corresponds to the bipolar system, resembling the sexual system (male or female) in metazoans [35]. However, the ancestor of basidiomycetes developed an evolutionary innovation, the tetrapolar mating system, where two *MAT* loci regulate mating [36]. This new

system hinders inbreeding more effectively, since only 25% of the spores from the same

individual can mate, compared to 50% for the bipolar species [37]. At the same time, having

multiple mating alleles in each *MAT* locus enables extremely effective outcrossing, where most

monokaryotic spores or mycelia (derived from different individuals) can establish a dikaryotic

mycelium when a compatible partner is found [38].

In strict tetrapolar organisms, the *MATA* locus (syn. *b* or *HD*) contains a series of linked

pairs of homeodomain-type transcription factor genes (*HD1-HD2*, syn. *bW-bE*), whereas the

MATB locus (syn. *a* or *P/R*) is composed of tightly linked G-pheromone receptors (*STE3*, syn.

Rcb, pra) and pheromones (*Phe3,* syn. *Ph, mfa*) [23,39–46]. These genes define mating type

identity [34], which controls successful mating and completion of the life cycle [32]. Nucleotide

differences in mating-related genes, without sufficient amino acid changes in key functional

domains, belong to the same allelic class [22]. These allelic classes define the mating type in

MATA and *MATB*. When monokaryotic (haploid) hyphae of compatible allelic classes, different

MATA and *MATB* types, conjugate, a structure involved in transferring one of the nuclei during

cell division can be observed, called clamp connection, indicating a successful mating [47].

Proteins encoded by *MATA* genes initiate the pairing of the two parental nuclei within

dikaryons, they promote clamp development, synchronize nuclear division and septum

formation. Proteins encoded by *MATB* genes coordinate the completion of clamp fusion with

the subapical cell after synchronized nuclear division and the release of the nucleus, which

was initially trapped within the unfused clamp cell [48,49]. Once monokaryons have fused, the

MATB proteins facilitate septum dissolution and nuclear migration [39]. Experimental crossings

in various basidiomycetes, such as *Coprinopsis* and *Schizophyllum*, have been used to infer

the number of *MATA* and *MATB* alleles, and results suggest that 12,800-57,600 mating types

may exist in some species [50].

However, the molecular confirmation and the knowledge of the diversity of such genomic

regions are far behind, as multiple specimens must be sequenced. One of the reasons to this

delay, is the high nucleotide divergence among *MAT* alleles, which has complicated the study

of molecular evolution of the fungal mating systems, where e.g. primer design has been a

challenge. Moreover, until now, only a limited number of specimens from different species have

been analyzed, mainly due to sequencing costs, limiting the quantification of the strength of

balancing selection, the presence of trans-species polymorphisms and the detection of mating

and non-mating related genes. Due to limited availability of sequenced specimens, how each

genes within mating loci are involved in mating is unknown.

Speculations about the mating system in two non-model *Trichaptum* sister species,

Trichaptum abietinum and *Trichaptum fuscoviolaceum* (Hymenochaetales, Basidiomycota),

have been done in the past, likely because their fruit bodies readily produce monokaryotic

spores that germinates and grows *in vitro*, making it easy to conduct crossing experiments in

the lab [51]. *Trichaptum abietinum* and *T. fuscoviolaceum* are wood-decay fungi with

circumboreal distributions [52]. Although, we know their life cycle (Figure 1A), details about

how long these organisms spend in monokaryotic or dikaryotic states are still unknown.

Previous mating studies have suggested a tetrapolar mating system for *Trichaptum* with an

inferred number of 385 *MATA* and 140 *MATB* alleles in *T. abietinum* [53]. The mating studies

have also revealed that three intersterility groups (ISGs) occur in *T. abietinum* [50–54].

However, so far we have no information about the underlying genomic architecture and

molecular divergence of *Trichaptum* mating genes.

Here, we study the molecular evolution of the *MAT* genes in tetrapolar basidiomycetes,

using a non-model organism. By combining, full genome sequencing of a large set of new

established monokaryotic cultures from sporulating fruit bodies, collected at different

circumboreal locations, bioinformatics and *in vitro* crosses, we want to: i) unravel the genomic

location and the structure of the mating-related genes; ii) assess the allelic richness of *MAT*

genes; iii) the divergence needed among the alleles in order for the fungi to recognize different

mating types, then test whether the genotypic information mirrors phenotypic outcomes of *in*

vitro sexual mating; iv) and reveal molecular signals of balancing selection.

Results

Mating regions are highly dynamic in Trichaptum *species*

To locate the chromosomal position of *MATA* and *MATB* and the genes delimiting the

mating regions, we generated PacBio assembly genomes (Table 1) for one *T. abietinum* and

one *T. fuscoviolaceum* specimen. These two species genomes differed with an average 15.7%

in a converted ANI (average nucleotide identity) value to divergence value (Figure 1B).

Both species potentially contained twelve chromosomes. The genome size of *T. abietinum*

and *T. fuscoviolaceum* was 49 Mbp and 59 Mbp, respectively. Both genomes were highly

syntenic with a few small inversions (Supplementary Figure 2). The *MATA* and *MATB* loci were

located on chromosomes 2 and 9, respectively. *MATA* homeodomains genes were flanked by

bfg, GLGEN on one end and *MIP1* coding sequences on the other (Figure 2). The *MATA*

region, defined from *bfg* to *MIP1*, was 17.9 and 19.6 Kbp long in *T. abietinum* and

T. fuscoviolaceum, respectively. Both reference genomes contained two homeodomain

complexes: alpha- (*aHD*) and beta-complexes (*bHD*). In the reference *T. fuscoviolaceum*

MATA region, one homeodomain pair, the *bHD1*, was lost, *bHD2* was inverted, and between

the alpha and beta-complexes there was a gene encoding an *ARM*-repeat containing protein

(Figure 2). *MATB* pheromone receptors and pheromones were flanked by *PAK, RSM19,*

DML1, RIC1 and *SNF2* genes. All these genes together were defined as the *MATB* region,

which was 30.3 Kbp long in both species. Four putative pheromone receptors and two

pheromone genes were annotated. The *MATB* region was syntenic between both species,

except an inverted block containing *STE3.2* and *Phe3.2* genes in the *T. fuscoviolaceum*

reference (Figure 2)*.*

MAT *genes displayed multiple alleles*

The annotated mating genes in the reference genomes were used to search for those genes

in the 178 Illumina sequenced specimens, collected at circumboreal regions (Figure 3) and a

T. abietinum assembly downloaded from JGI (Supplementary Table 1). *Trichaptum abietinum*

was the most diverse species (average converted ANI 5.4%) (Figure 1B). *MATA* genes were

assembled in one contig for 75 *T. abietinum,* 25 *T. fuscoviolaceum* and 1 *T. biforme*. In the

case of *MATB* genes, genes in that region were found in one contig for 116 *T. abietinum,* 27

T. fuscoviolaceum and 1 *T. biforme*. For these specimens, the mating genes have potentially

the same chromosomal location than in our reference specimens. For the rest of the

sequenced specimens, the mating genes were found in multiple contigs due to assembly

limitations using short reads. Most of those fragmented mating regions might be organized as

in our reference specimens; however, we observed unexpected coding sequences for 6

specimens in the *MATA* region and 2 specimens in the *MATB* region, which could suggest that

these regions have split and were translocated to different chromosomes or positioned in a

new chromosomal location (Supplementary Table 2).

An initial analysis of nucleotide conservation of the mating regions indicated that flanking

genes were conserved, as well as *STE3.1* and *STE3.3.* However, the rest of putative mating

genes were highly diverse (Figure 4). Gene order comparison among specimens highlighted

that the most common *MATA* and *MATB* syntenic blocks were both present in *T. abietinum*

and *T. fuscoviolaceum*, and the frequent *MATB* syntenic block was present in the three species

(Figure 5). *Trichaptum biforme* and five other *Trichaptum* specimens, differentiated from the

most frequent *MATA* configuration by the presence of a hypothetical protein (Figure 5A). All

this suggest that the most frequent *MATA* and *MATB* gene configurations, represented in

Figure 2 for *T. abietinum,* were present in the ancestor of these three *Trichaptum* species. The

gene order of *HDs* in the alpha complex was conserved among all *Trichaptum* specimens.

However, frequent inversions of the *bHD2* gene and absence of one of the two *bHD* genes

were detected. An interesting observation was the presence of an additional *HD2* gene (*xHD2*)

upstream the alpha complex in six *T. abietinum* specimens (Figure 5A). The coding sequence

of *xHD2* looks truncated, indicating an ongoing process of pseudogenization. In the *MATB*

region, all specimens contained two pheromones, one located between *STE3.1* and *STE3.2*,

and a second between *STE3.3* and *STE3.4.* The orientation of *STE3.2, STE3.4* and

pheromone genes varied among specimens (Figure 5B).

We were able to infer several domains and motifs in mating genes. *HD1* and *HD2*
homeodomain genes contained three and four exons, respectively, whereas *STE3* genes,
characterized by the presence of seven transmembrane domains, included 4 to 6 exons.
Homeodomain genes were characterized by the presence of the typical homeobox domain
(Figure 2). In each homeodomain protein alignment, we found conserved amino acid
sequences in at least 75% of the protein sequences. aHD1 homeobox contained
$\underline{WL}X_3j\underline{XN}\underline{PYP}X_4\underline{K}X_2\underline{J}X_8KX_4\underline{WF}SX_2\underline{RRR}$ and bHD1 $\underline{WL}X_3jHX\underline{PYP}X_4\underline{K}X_2\underline{J}X_{13}\underline{WF}VX_2\underline{RRR}$,
showing highly conserved amino acids between both proteins (underlined amino acids).
Similar results were found for aHD2 homeobox domains
$\underline{W}X_7AX_{29}\underline{FN}X_2YX\underline{P}X\underline{LE}XFFXEEQF\underline{P}S\underline{RA}DKX_2\underline{LA}XKXG\underline{M}XYRQIHV\underline{WFQNRR}$ and bHD2
$\underline{W}X_{29}\underline{FN}X_4\underline{P}X\underline{LE}X_8\underline{P}X_2\underline{A}X_4\underline{LA}X_2SX\underline{M}X_3\underline{Q}X_3\underline{WFQN}X\underline{R}X\underline{R}$. In all four types of proteins, it was
common to find a tryptophan (W) at the start of the homeobox and two arginines (R) at the
end. Inside the homeobox domain, a conservation of a proline (P), a tryptophan and a
phenylalanine (F) is likely essential for the activation of the expression of target genes. The
nuclear localization signal was detected in HD1 proteins*,* with the presence of bipartite
sequences, $\underline{KR}X_2SX_8\underline{KR}$ in aHD1 and $\underline{KR}RJX_{12}\underline{KR}$ in bHD1. Regions enriched in prolines are
indicative of putative activation domains (AD), which were conserved in HD2 proteins. The
potential AD region contains $\underline{P}XKYP\underline{P}BFDX_3D\underline{P}$ amino acids in aHD2 and $\underline{P}X_4\underline{P}X_2YPPX_6F\underline{P}$
in bHD2. It is important to note an additional conserved region at the C-terminal of these highly
divergent HD1 proteins, where aHD1 contained $\underline{KL}X\underline{R}INX\underline{L}LX\underline{E}AAXLQXEVF$ amino acids and
bHD1 contained $\underline{KL}E\underline{R}LX_2\underline{L}XE\underline{E}X_3JXZZEX_2L$. Coiled coils related with heterodimerization
were likely located at the N-terminal (Figure 2).
Using the pheromone_seeker.pl script, we were able to detect most of the pheromones.
However, some pheromones were not detected due to unexpected amino acids in the CaaX
motif (Supplementary Figure 3). We found multiple examples in both pheromones (Phe3.2 and
Phe3.4), where the canonical CaaX motif contained a polar amino acid (threonine), displaying
an uncommon CpaX motif. Most of the pheromones contained an aspartic acid amino acid
following the starting methionine. The presence of both aspartic and glutamic amino acids in
the maturation site was highly conserved in *Trichaptum* pheromones.
These results highlight that despite the dynamic nature of both mating regions (Figure 5),
where rearrangements and gene losses were frequent, and a high nucleotide diversity (Figure
4), the conservation of functional domains was essential for the activity of mating proteins.
235
Phylogenetic analyses demonstrate long-term balancing selection in HDs *and two* STE3 *genes*
To infer the evolutionary history of mating genes and the flanking genes, and to test whether
they agree with our species tree (Figure 1B, Supplementary Figure 1), we reconstructed
Maximum Likelihood (ML) individual protein trees (Supplementary Figure 4). For most proteins

encoded in flanking genes and for both STE3.1 and STE3.3 proteins, phylogenetic trees
clustered specimens according to their species designation (Figure 6A,B, Supplementary
Figure 4A,B,H-L,N). However, protein trees for homeodomains (aHDs and bHDs), two
pheromone receptors (STE3.2 and STE3.4), MIP1 and SNF2 disagreed with the species tree
(Figure 6C, Supplementary Figure 4C-G,M,P,O). These trees were characterized by long
internal branches and a mixture of species-specific sequences in different clades. All these
results pointed to the presence of trans-species polymorphisms due to long-term balancing
selection.

To define mating types, we first quantified the number of clades in each phylogenetic tree
(see the Material and Methods section for details). The number of clades in the phylogenetic
trees varied from 5 to 28. Each clade was considered as a different allelic class for our mating
experiments (Supplementary Table 2). Sequences in the same allelic class encoded for
proteins with an AAI higher than 86% (Supplementary Figure 5). The highest number of allelic
classes was found among alpha complex homeodomain genes where we detected evidence
of recombination (Supplementary Table 3). Additionally, once we defined the mating types of
our samples, we calculated the AAI by pairwise comparisons of protein sequences of
specimens containing the same mating type. We detected high conservation within species for
all proteins (AAI = 100%), and higher conservation of pheromone receptors between species
(AAI > 95-98%) than for homeodomain genes (AAI > 78-83%), suggesting pheromone
receptors were more constrained to accumulate non-synonymous mutations compared to
homeodomains (Supplementary Figure 6).

Two alleles were found for the xHD2 protein. A ML phylogenetic tree of all HD2 sequences
clustered xHD2 proteins in two aHD2 allelic classes, aHD2.8 and aHD2.10. The limited
presence of *xHD2* genes in other specimens and the high similarity of the proteins with two
aHD2 proteins points to two recent *aHD2* gene duplications (Supplementary Figure 7).
Phylogenetic analyses with other fungal sequences indicated that beta complex HD proteins
were much older than Hymenochaetales (Supplementary Figure 8A), which was in accordance
with the lower identity values observed for pairwise comparisons within bHD than within aHD
(Supplementary Figure 5). Except alpha complex aHD1.12, the rest of aHD proteins were
Trichaptum-specific. A similar result can be observed for pheromone receptors, where most
Trichaptum pheromone receptor proteins were closely related, except two proteins, encoded
in *STE3.2* and *STE3.4* genes, which were related to pheromone receptor proteins from other
fungal species (Supplementary Figure 8B).

The geographic distribution of *MATA* and *MATB* alleles did not suggest a bias towards a
particular continent (Supplementary Figure 4, 9), supporting an evolutionary scenario of long-
term balancing selection for mating genes.

Long-term balancing selection left footprints in the mating regions

To further test whether long-term balancing selection is acting on the mating regions, we

quantified nucleotide statistics and performed a multilocus HKA test using the mating genes

and a collection of universal single-copy orthologs (BUSCO) genes. We first tested the

reciprocal monophyletic nature of our BUSCO collection. As expected from the species tree

(Supplementary Figure 1B), most of our annotated BUSCO genes (eighty-three percent)

showed reciprocal monophyly for both species, *T. abietinum* and *T. fuscoviolaceum*, and

98.64% of the rest of genes (174 genes of 1026 BUSCO genes) showed complete monophyly

for one of the two species. This BUSCO dataset suggests a clear diversification of both

Trichaptum species, and supports the utility of our dataset to set the neutral evolution values

of the next analyzed nucleotide statistics.

We observed an elevated number of the average number of synonymous substitutions per

synonymous sites (median dS > 1.71) and non-synonymous substitutions per non-

synonymous sites (median dN > 0.22) for the mating genes compared to the flanking and

BUSCO genes (Supplementary Figure 10, median dS < 0.55, median dN < 0.10). dS and dN

values in mating genes were more than 20x and 3x higher than values for BUSCO genes,

respectively (Supplementary Table 4). This was an additional support that balancing selection

acts on the mating regions. Moreover, similar levels of dS and dN (Supplementary Figure 10,

ratio comparison of 0.95-1.03) were observed within and between species in pairwise

comparisons of mating genes, indicating that these polymorphisms were not species-specific

and recent introgressions were not involved in the generation of trans-species polymorphisms.

This was coherent with a scenario where alleles segregated before the diversification of the

species. It is important to note that dS and dN values for two putative receptors, *STE3.1* and

STE3.3, differed from the other mating genes and that they displayed similar low values as

most flanking and BUSCO genes (Supplementary Figure 10). In addition, for these two putative

non-mating pheromone receptor genes, the dS and dN values were 1.41-3.17 times higher

between than within species pairwise comparisons, as we would expect if most of the

mutations accumulated after the speciation of *T. abietinum* and *T. fuscoviolaceum*. *MIP1* and

SNF2 dS values were slightly more elevated than BUSCO genes (Supplementary Table 4),

but values from between species comparisons were more elevated than within pairwise

comparisons (Supplementary Figure 9). This indicates that the elevated dS values are caused

by linkage disequilibrium, where the effects of balancing selection in the closest mating gene

are not completely broken by recombination.

To infer whether other nucleotide statistics supported balancing selection, we explored gene

values deviating from the rest of the genome (Figure 7). Homeodomain (*HD1s* and *HD2s*) and

pheromone receptor genes (*STE3.2* and *STE3.4*) deviated from the distribution of 99% of

values in at least four nucleotide statistics (elevated pi/dxy ratio, high dS values, low Fst and

high Tajima's D), all in agreement with a balancing selection scenario maintaining trans-
species polymorphisms for multiple alleles (Figure 7).

Five BUSCO genes were detected in at least two statistics, deviating from the rest of the
genome (Figure 7). Those five genes were also detected to show a phylogenetic topology
incongruent with a complete reciprocal monophyly, except 18163at155619 where only
T. fuscoviolaceum specimens were monophyletic (Supplementary Figure 11). The detected
genes encoded for an acetolactate synthase (27296at155619), a ribosomal protein L38e
(52145at155619), a non-specific serine/threonine protein kinase (6755at155619), a protein
kinase-domain-containing protein (18163at155619) and a NF-kappa-B inhibitor-like protein 1
(41864at155619).

Distinct mating allelic classes generate compatible mating crosses within species

Based on our allelic class classification (Supplementary Figure 4, Supplementary Table 2,
Material and Methods section) we defined the mating types. We tested the outcome of crosses
between selected monokaryons from the same species and between species (Supplementary
Table 6). We assumed a successful mating when clamp connections were formed
(Supplementary Figure 12). Our expectations, based on the molecular characterization, were
confirmed in all the performed within species crosses. Crosses using monokaryons with
identical *MATA* alleles did not generate clamps when *MATB* alleles were expected to be
compatible, and vice versa. These results demonstrate that identical (AAI > 86%) *MAT* alleles
generate the first mating barrier. We also included some monokaryons derived from the same
dikaryotic isolate (Supplementary Table 2), where most of them showed at least a pair of
compatible *MATA* alleles and/or *MATB*. These monokaryons helped us to unfold the original
allelic class composition of the parental dikaryon (Supplementary Table 2). Due to the unlinked
nature of *MATA* and *MATB* regions and limited number of studied monokaryons from the same
dikaryon, some monokaryons had identical mating types, thus did not reveal the original mating
type composition of the parental dikaryon.

No clamps were observed in crosses between species with compatible mating types
suggesting other mechanisms are involved in the generation of pre-zygotic barriers between
Trichaptum species.

Discussion

Mating genes diversity was maintained by balancing selection

Retaining multiple mating alleles appears to be beneficial as it promotes outcrossing [36].
The multiallelic character of mating types promotes a potential outcross event to occur in 98%
of crosses [36,55]. How this mating diversity originated is not clear, but we demonstrated that

some levels of recombination and duplications might play a role. Fifteen recombinant variants

in the alpha complex and two recent *aHD2* duplications were detected in *Trichaptum*. It was

previously thought that recombination was suppressed or limited in the mating regions [56],

and that duplication and diversification events were limited to Agaricales [42]. Recombination

is suppressed by the presence of inversions and/or gene losses, which might generate

hemizygous specimens, observed in mating loci and genomic regions under balancing

selection [57]. The rearrangements observed in our *Trichaptum* beta complex brings another

layer of complexity to *MATA* region, which is comparable to the complexity previously

described for *MATB* genes [36]. Rearrangements in both *MAT* loci might be an important factor

suppressing recombination in these genes. On the contrary, the gene order conservation of

the alpha complex does not completely suppress recombination, in accordance with evidence

of ongoing recombination between mating genes [58] and their flanking genes in other fungal

organisms [59]. Our observations highlight how studying a high number of specimens of the

same species, as we have done here for the first time in fungal literature, can unravel

previously underestimated mechanisms that generate diversity in mating genes.

We have demonstrated that balancing selection is likely the main force retaining genetic

diversity in the mating genes. Evidence of balancing selection has been proposed for

homeodomain genes in the pathogenic root decay fungus *Heterobasidion* (Russulales) [26],

as well as in pheromone receptors of *Mycrobotryum* species (Mycrobotryales) [24]. The action

of balancing selection in *Trichaptum* and in other fungi appears to have occurred before the

speciation event, generating multiple cases of trans-species polymorphisms [26]. The genetic

signatures of balancing selection highlighted that two pheromone receptors in *Trichaptum*

specimens are likely non-mating genes, this could only have been unraveled by including

multiple specimens as we have done here. In Agaricomycotina, it is frequent to detect multiple

pheromone receptors, some of them not involved in mating functions [40,42,60]. The role of

these non-mating pheromone receptors will deserves further investigation.

It has long been speculated about the action of balancing selection in the *MATA* flanking

gene, *MIP1* [25,59]. *MIP1* encodes a mitochondrial intermediate peptidase 1, which is a thiol-

dependent metallopeptidase involved in the last step of protein maturation targeted to the

mitochondria, where MIP1 cleaves off an octapeptide of immature proteins [61]. The genomic

footprints detected in *MIP1* are likely due to the action of linkage disequilibrium, as *MIP1* is

close to the beta complex *HD* genes. It has been speculated that *MIP1* signals of balancing

selection might be due to a role in mating, such as MIP1 involvement in mitochondrial

inheritance, functioning as a suppressor of selfish mtDNA [62]. However, this function is not

well-supported. Other genes encoding proteins involved in mitochondrial functions have been

found linked to mating genes [59]. In *T. abietinum and T. fuscoviolaceum*, we found *RSM19,* a

37S ribosomal protein S19, linked to *MATB*. However, we did not detect signals of balancing

selection in this gene. In addition, some signals of balancing selection were detected in *SNF2*,
a gene located in the *MATB* region, encoding a DNA-dependent ATPase protein. The
analogous signals of balancing selection between *SNF2* and *MIP1* might support that the
balancing selection signal in both genes is due to linkage disequilibrium, and the signal is just
a consequence of the action of balancing selection in the neighbor mating genes [59].

Mating genes and organization resembles other basidiomycetes suggesting similar origin

Sampling and studying the genomes of a wide collection of *Trichaptum* specimens have
unraveled the dynamic nature of mating gene architectures. With two homeodomain
complexes, *Trichaptum MATA* gene organization is similar to other Hymenochaetales, such
as *Phellinus lamaoensis, Phellinus sulphurascens* (both species from the *Phyrrhoderma*
genus) and *Schizopora paradoxa* [63]. In other Hymenochaetales species, such as
F. mediterranea and *Porodaedalea pini*, the location of *GLGEN* gene is more distant and
interrupted by multiple *ORFs* [63,64]. Notably, the *Phyrrhoderma* species and *F. mediterranea*
[63,65] are bipolar, in contrasts to the tetrapolar *Trichaptum* specimens. *Trichaptum* and other
Hymenochaetales species, such as *Hypodontia* and *S. paradoxa*, have conserved the
ancestral tetrapolar system of basidiomycetes [36]. According to mating studies, the formation
of clamp connections is facilitated by the presence of at least one different allele at one of the
multiple *MATA HD* complexes and one at the *MATB* P/R loci. Here, we demonstrated by
mating experiments and genomic analyses that protein identity must be lower than 86% to
function as different mating type, although important protein domains and motifs are
conserved.

We inferred that around 224 *MATA* types (28 alpha x 8 beta) and 65 *MATB* types (5 *STE3.2*
x 13 *STE3.4*) are present in *Trichaptum* species, which indicates around 14,560 mating types.
These numbers are close to the estimated number of alleles, 20,000 mating-types, in a
previous study of *T. abietinum* [50], suggesting that our sequencing efforts molecularly
characterized most of the *Trichaptum* mating alleles. In other tetrapolar basidiomycete species,
such as the model species *Coprinopsis cinerea* and *Schizophyllum commune*, the number of
mating types is also similar, around 12,800 (160 *MATA* x 81 *MATB*) and 23,328 (288 *MATA* x
81 *MATB*), respectively [51]. We inferred that beta complex HD alleles were segregating in
other Agaricomycetes, suggesting that these HD proteins are much older than alpha HD, a
result that is supported by the ongoing recombination events in the alpha HD. Moreover, we
cannot discard that alpha complex alleles may be exclusively specific of *Trichaptum*. Allele
aHD1.12 points to potential alpha complex alleles segregating in other Hymenochaetales, but
just thirteen Hymenochaetales species have been genome sequenced, and usually only one
representative of each species, except for the three sequenced *Pyrrhoderma noxium*
specimens. Thus, there are few available genomes to compare.

A new pheromone motif containing a polar amino acid in CaaX motifs was detected by our

sequencing efforts. We are not aware of CpaX motifs in other Basidiomycetes, although this

motif was observed in pheromones of some Ascomycetes species [66,67]. The whole genome

sequence of other Hymenochaetales and other fungal orders, and the increased number of

specimens from multiple species, will clarify the evolutionary history of the alpha complex and

protein patterns observed here.

Trichaptum - *a candidate model system for genomics*

Using whole genome sequencing, we confirmed the sister species relationship between

T. fuscoviolaceum and *T. abietinum*, as suggested in previous studies using few molecular

markers [68–70]. *Trichaptum biforme* is an early divergent species. Our dataset contributes

with a large number of genome assemblies from two non-model species.

The existence of at least two North American intersterility groups (ISGs) that are partially

compatible with a third European group in *T. abietinum* indicates three potential differentiated

lineages [52–54]. Even though we did not perform a population genomic analysis in this study,

multiple well-differentiated clades can be inferred in our ANI and BUSCO phylogenetic species

trees, supporting some population structure in our specimen collection. The presence of ISG

in *T. fuscoviolaceum* is not previously confirmed based on mating studies [52,54]. However,

we hypothesize that there are at least two potential lineages due to the presence of two well-

differentiated *T. fuscoviolaceum* clades, as suggested by Seierstad *et al.* [52]. ANI dissimilarity

values between these lineages were nearly as high as values detected in *T. abietinum*,

supporting the hypothesis about population structure in *T. fuscoviolaceum*. However, the

difference in the levels of populations and the presence of clear ISG in one species and not in

the other might be the reason of the differences in the distribution of Tajima's D values, with

more BUSCO genes with negative Tajima's D values in *T. abietinum* than in *T. fuscoviolaceum*.

These results highlight how *Trichaptum* species are suitable for population genomic studies

and has the potential to offer new insights into mechanisms of speciation in fungi and how

evolutionary mechanisms shape the genome.

Conclusion

We have demonstrated the importance of sequencing several specimens of fungal species to

detect mating-related genes, and to unravel the strength and footprints of long-term balancing

selection in mating genes. Events previously thought of as uncommon in mating genes, such

as recombination and duplications, have been detected in mating-related genes with

conserved gene order. Our *Trichaptum* dataset highlights how diverse and dynamic the mating

loci are. These mating genes play a fundamental role in promoting outcrossing events and

have consequently been targets of long-term balancing selection. The action of balancing
selection leaves signatures of multiple trans-species polymorphisms beyond the genus level.
Comparative genomics and phylogenomics were important tools to locate mating genes and
characterize the number of alleles retained by balancing selection. Mating proteins with less
than 86% identity generated compatible mating types, as we demonstrated by experimental
crosses. Despite the number of alleles and the high diversity among them, important domains
and motifs are still conserved due to their critical role during the life cycle. Questions regarding
the effects of mutations in the interaction between homedomain proteins or receptors and
pheromones, especially the presence of non-aliphatic amino acids in the CaaX motif (i.e. a
CapX motif), and which role the linked mating genes, such as *MIP1,* are playing during the life
cycle are exciting areas of research. Our new sequenced collection of *T. abietinum* and
T. fuscoviolaceum makes a step-forward to re-establish these fungal organisms as a model
system in evolutionary research.

Material and Methods
Trichaptum *collection*
A total of 180 *Trichaptum* specimens from the northern hemisphere were included in the
study: 138 *T. abietinum*, 41 *T. fuscoviolaceum* and one *T. biforme* (Supplementary Table 6).
Specimen GPS coordinate format conversion was generated with `GMScale 0.5.1` to plot the
specimen geographic distribution in `R`, using `ggmap 3.0.0`, `ggplot2`, `ggrepel 0.8.2`, and
`mapdata 2.3.0`. These specimens were dikaryons (n+n) due to the ability to form fruiting
bodies. This result suggest these *Trichaptum* specimens spend most of the time in a dikaryotic
state.

Monokaryon generation and genomic DNA isolation
To facilitate the study of highly diverse regions, such as the mating loci, and to rid out of
heterozygosity issues in other genomic regions we established monokaryotic cultures.
Monokaryotic cultures were made by hydrating dried field collected specimens in the lab, and
collecting single spores that were ejected from these moist fruit bodies onto 3% malt extract
agar plates with 10 mg/L tetracyclin, 100 mg/L ampicillin, 25 mg/L streptomycin and 1 mg/L
benomyl. Germinated single spores were transferred to new 3% malt extract agar plates with
identical mixture of antibiotics and benomyl. Before DNA extraction, monokaryon cultures were
grown for 2-3 weeks on nitex nylon (Sefar AG, Heiden, Switzerland) on 3% malt extract agar
plates. Two different DNA extraction protocols were used depending on the sequencing
protocol. For Illumina sequencing, tissue from 1/4th plate was scraped off the nylon and directly
homogenized in 2 ml Lysing Matrix E tubes (MP Biomedicals, Santa Ana, CA, USA) on a

FastPrep-24 (MP Biomedicals, Santa Ana, CA, USA) for 2 x 20 seconds at 4.5 m/s^2. Genomic

DNA was extracted using the E.Z.N.A HP Fungal DNA kit (Omega Bio-Tek, Norcross, GA,

USA) supplemented with 30 µl RNaseA (Qiagen, Hilden, Germany). For PacBio sequencing,

tissue from 10 plates were scraped off the nylon and directly homogenized in a mortar with

liquid N$_2$. Genomic DNA was extracted using a phenol-chloroform protocol followed by a macro

(500 µg) Genomic tip (Qiagen, Hilden Germany) protocol, as described in Skrede *et al* [71].

Genome sequencing and assembly

In order to get the chromosome location and sequences of mating genes, we first Illumina

sequenced and provided the first PacBio sequences for the *Trichaptum* genus. We sequenced

one specimen from *T. abietinum* (TA-1010-6-M1) and one from *T. fuscoviolaceum* (TF-1002-

10-M3) (Supplementary Table 1).

Illumina libraries were generated by the Norwegian Sequencing Centre using the following

protocol: 1 µg of genomic DNA was sheared using 96 microTUBE-50 AFA Fiber plates (Covaris

Inc., Woburn, MA, USA) on a Covaris E220 system (Covaris Inc., Woburn, MA, USA). The

target fragment size was 300-400 bp. gDNA samples were cleaned on a small volume

Mosquito liquid handler (TTP labtech) with a 1:1 ratio of Kapa Pure beads (Roche, Basel,

Switzerland) and eluted in Tris-Cl, pH 8.0. Library preparation was carried out with 500 ng

sheared DNA using Kapa Hyper library prep kit (Roche, Basel, Switzerland). Barcodes were

added using the Illumina UD 96 index kit (Illumina). Final libraries were PCR-amplified during

5 cycles with Kapa HIFI PCR kit (Roche, Basel, Switzerland) before standard library quality

control with standard sensitivity NGS Fragment kit (Agilent, Santa Clara, CA, USA).

Quantification was performed in a qPCR with Kapa Library quantification kit (Roche, Basel,

Switzerland). The first batch of library specimens were sequenced with HiSeq 4000 system,

and the second with NovaSeq I (Supplementary Table 1). 2x150 paired-end Illumina reads

were generated by both systems. Barcodes and adapters were trimmed from final Illumina

sequences using `Trim_galore 0.6.5` [72].

PacBio libraries were prepared by the Norwegian Sequencing Centre using Pacific

Biosciences Express library preparation protocol (Pacific Biosciences of California, Inc, USA)

without any prior fragmentation. Size selection of the final PacBio libraries was performed using

BluePippin (Sage Science, Beverly, USA) and 15 Kbp cut-off. PacBio libraries were sequenced

on one 1M SMRT cell using Sequel Polymerase v3.0 and sequencing chemistry v3.0. Loading

was performed by diffusion and movie time was 600 min for *T. abietinum* and 900 min for both

T. fuscoviolaceum runs.

We assembled the genome of *T. abietinum* using PacBio reads by different assemblers:

`Flye 2.6` [73], `Canu 1.9` [74], `MECAT2` [75], `SMARTdenovo 1.0.0` [76] and `wtdbg2 2.5`

[77]. Quality of the draft PacBio genome and percentage of consensus between draft genome

and Illumina reads were quantified by `quast 5.0.2` [78] and `polca` [79], respectively. The

best draft PacBio assembly based on the previous quality statistics was selected and Illumina-

corrected using `HyPo` [80]. Scaffolds with less than 100 PacBio reads of support and less than

10 Kbp of length were removed from the final corrected genome assembly. *T. abietinum*

ultrascaffolding was done using a Hymenochaetales species, *P. noxium* KPN91 PacBio

genome assembly, as reference (Accession number GCA002287475, [81]. We first checked

chromosome correspondence using `D-Genies` [82] and manually ultrascaffolded in

`Geneious 6.1.6` [83]. Chromosomes were named according to *P. noxium* chromosome

similarity. We applied the same pipeline to the *T. fuscoviolaceum* PacBio assembly, except

that ultrascaffolding was performed using `RaGOO` [84], and the *T. abietinum* PacBio genome

assembly as reference. Visual inspection of syntenic comparisons were performed using

`mummer 3.23` [85] and `D-Genies`. This approach allowed us to correct the order of the

ultrascaffolded chromosome 3 of *T. abietinum*. We assumed that the chromosome 3 order

must be more similar between sister-species *T. abietinum* and *T. fuscoviolaceum* than

between *T. abietinum* and *P. noxium*. In both *Trichaptum* assemblies, ultrascaffolded

chromosomes contain artificial 10,000 Ns separating joined scaffolds. Assembly statistics of

the final genomes, such as N50, genome size, and completeness of universal single copy

orthologous genes, were assessed using `quast` and `BUSCO 4.1.2` [86]. The training `BUSCO`

database was `agaricomycetes_odb10`, which contains 2898 genes.

Genomes of the 178 specimens, sequenced by the Illumina platform, were assembled with

`iWGS` wrapper [87]. We selected `SPAdes 3.14` [88] assemblies based on `quast` quality

reports. Genome completeness was assessed with `BUSCO`. In addition, we included a DOE

Joint Genome Institute (JGI) MycoCosm Illumina-sequenced and assembled *T. abietinum*

specimen (L15831, [89].

Trichaptum *species classification and species tree reconstruction*

Species designation of our specimens was first supported based on a fast method,

`fastANI 1.1` [90]. With `fastANI,` we calculated the pairwise average nucleotide identity

(ANI) among genome assemblies, whose values were then converted to a percentage

dissimilarity matrix by subtracting ANI from a value of 100%. The dissimilarity data was used

as distance to reconstruct a Neighbor-Joining (NJ) phylogenetic tree in `MEGA v5` [91].

The utilization of gene nucleotide and amino acid sequences of universal single copy

orthologs annotated with `BUSCO` assessed the species designation by `fastANI`. Individual

`BUSCO` protein alignments were generated with `MAFFT 7.455` [92]. Amino acid alignments

were back translated to nucleotides using `pal2nal v14` [93]. Codon columns with gaps were

removed from the alignments using `trimal 1.4.1` [94]. Gene sequences present in all

specimens that retained at least 30% of positions and with more than 300 nucleotides (100

amino acids) were selected for additional analyses. In total, 1026 BUSCO genes (35% of the

genes) passed our filters. Maximum Likelihood (ML) phylogenetic trees of trimmed genes were

reconstructed using `IQTree 2.0.3` [95]. The best fitted evolutionary nucleotide model for

each gene was estimated by `ModelFinder` [96] implemented in `IQTree`. Individual gene

trees were pooled in a unique file, which was the input to reconstruct the species tree by

applying a coalescent model implemented in `ASTRAL 5.7.4` [97]. Species tree branch

support was assessed by calculating the gene concordance factor implemented in `IQTree`.

To assess reciprocal monophyly of BUSCO genes, ML phylogenetic trees were read in R using

`treeio v1.12` [98] and converted to `ape v5.4` format [99]. Once species designation were

associated to phylogenetic tip labels, the trees were rooted using *T. biforme* specimen as an

outgroup. Monophyly test was performed using `spider v1.5` [100]. ML phylogenetic trees

of BUSCO genes detected as top 1% in at least two nucleotide diversity statistics (see below)

were drawn to a pdf using `ggtree v2.2.4` [101].

Mating gene annotation, alignments and phylogenetics

Mating regions encoding the genes involved in the sexual cycle are conserved among

basidiomycetes [36]. We first searched for conserved flanking genes to delimit the mating sites

in our new PacBio genomes. Mating A (*MATA*) region was located using *MIP1* (mtDNA

intermediate peptidase), *bfg* (beta-flanking gene) and *GLGEN* (Glycogenin-1) gene

sequences. Mating B (*MATB*) region was delimited using *PAK* (syn. *CLA4*, serine/threonine

protein kinase). We found both mating regions by performing a `blast` search in `Geneious`

[102] using *P. noxium* flanking gene sequences as subject. Delimitation of genes and coding

sequences in mating regions were performed using `FGENESH` and the *P. noxium* gene-finding

parameters [103]. Some annotated open reading frames (ORFs) required manual curation.

ORFs were `blastx` in NCBI to confirm the gene designation. An additional annotation

comparison to infer the number of exons in different ORFs was done using `MAKER2` [104],

where we included the transcriptome dataset of L15831 *T. abietinum* as input [89].

The annotation of domains and motifs was performed using different strategies. Typical

homeodomain/homeobox domains in HD proteins were annotated with `CD-search` using the

CDD v3.18 – 55570 PSSMs database [105]. To differentiate *HD1* and *HD2* genes, we first

screened the nuclear localization signal (NLS) domain using `NLS Mapper` [106]. NLS is

characteristic of HD1 proteins [39,107,108]. Conserved regions enriched in proline amino acids

were suggested as potential regions for activation domains (AD) for homeodomain proteins

[109]. Coiled coil regions involved in the dimerization of the two homeodomain proteins were

detected with `Coiled coils v1.1.1 Geneious plugin`. Proteins with seven-

transmembrane G protein-coupled receptor superfamily domains are usually indicative of

STE3 pheromone receptors [110]. The 7 transmembrane domains of the pheromone receptor

protein were annotated with `PredictProtein` [111]. Pheromones were screened in close

proximity to the detected pheromone receptors using `pheromone_seeker.pl` script [112].

Briefly, the perl script searches common aminoacid features in pheromones, such as the CaaX

(C, cysteine; aa, two aliphatic amino acids; X is any amino acid) motif in the C-terminal of the

pheromone [40,60]. Hits with a length shorter than 100 bp or longer than 200 bp, and/or distant

to *STE3* genes were considered as false positives. Consequently, we removed those hits from

the annotations. Additionally, pheromones in specimens missing at least one hit close to

STE3.2 or *STE3.4* were manually searched using pheromone amino acid sequences of

specimens in the same clade for *STE3.2* or *STE3.4* phylogenetic trees. Pheromone maturation

sites were located by searching glutamic/arginine (ER) or aspartic acid/arginine (DR) amino

acid motifs [39].

Once we had annotated the mating regions in our PacBio reference specimens, we were

able to search for these genes in the Illumina sequenced and assembled genomes of the rest

of specimens. We first generated local blast databases for our Illumina genomes. We BLASTed

the reference flanking genes to pull out the mating regions. In case a mating region (*MATA* or

MATB) was not contiguous (<44% and <20% of specimens for *MATA* and *MATB*, respectively),

but split on different contigs, we assumed those regions kept the same gene order as in the

PacBio reference genomes, and we ultrascaffolded the contigs for each mating region

accordingly. 999 Ns were added between joined contigs. Similar to the PacBio genome

assemblies, we defined the mating regions to the scaffold/ultrascaffolded segment containing

sequences from *bfg* to *MIP1* for *MATA* region, and from *PAK* to *SNF2* for *MATB*. Once regions

were located and/or ultrascaffolded, we used the previous `FGENESH` pipeline for annotating

ORFs. Gene identification was performed by BLASTing the genes from our reference genomes

against the mating regions. Additional identification was performed by searching family

matches in the InterPro-5-RC6 database [113]. All annotations were stored in gff3 files

generated by `Geneious`. Due to limitations of our Illumina sequencing some genes in the

mating regions were not detected probably because they were not covered by the Illumina

reads.

For calculating the frequency of each unique gene block for each region, we followed a

conservative approach. We took into account only mating regions that were assembled

contiguously by `SPAdes` and did not need an ultrascaffolding step. The criteria applies from

bfg to *MIP1* (*MATA*) and from *RIC1* to *SNF2* (*MATB*) genes. Gff3 files were the input to plot

MAT gene order in `R` using `dplyr 1.0.2, gggenes 3.3.2, ggplot2 3.3.2,` and

`rtracklayer 1.48.0.`

To calculate the nucleotide identity conservation of mating regions, we first aligned *MATA*

and *MATB* sequence regions independently using FFT-NS-1 algorithm, 200PAM/k=2 score

matrix and default gap opening penalty and offset value with the `MAFFT 7.017` version

implemented in `Geneious`. Gaps present in more than 20% of specimens were removed with

`trimal`. Identity plots for each region was generated in `Geneious`.

For phylogenetics, we first generated amino acid sequence alignments using `MAFFT` and

back translated to nucleotides with `pal2nal`. Again, we were conservatives and codon

columns with gaps were removed from the alignments using `trimal`. The trimmed alignment

was converted to amino acid for ML phylogenetic tree reconstruction with `IQTree`. An

evolutionary protein model for each protein was estimated by `ModelFinder`. Homeodomain

and pheromone receptors were classified in clades/alleles according to visual inspection of ML

phylogenetic trees and pairwise amino acid identity percentages calculated in `Geneious`. Note

here that alleles/allelic classes refer to similar protein sequences enclosed in a clade and not

to haplotype sequences.

Mating genes, flanking genes and the species tree were plotted with `iTOL 5.7` [114]. *T.*

biforme was used as the outgroup to root the trees when possible. To detect whether a mating

related gene was segregating before the speciation event, we selected a random protein

sequence of each allelic class to infer the phylogenetic relationship with proteins from other

Hymenochaetales species, two reference species of Agaricales and one species from

Polyporales.

Nucleotide statistics, tests to detect balancing selection and recombination

Trimmed codon-based sequence alignments of mating genes, their flanking genes and

BUSCO genes were the input for the calculation of nucleotide statistics. Pairwise sequence

estimation of synonymous and nonsynonymous substitution rates were calculated using the

model of Yang and Nielsen [115] implemented in the `yn00` program of `PAML 4.9` [116]. We

calculated nucleotide statistics, absolute nucleotide divergence (dxy) and relative divergence

(Fst) using the `PopGenome 2.7.5` package in `R 4.0.2` [117]. Sequences were split in

different alignments based on the species designation inferred from the species tree

phylogeny. Each species-specific alignment was the input to calculate nucleotide diversity (π,

Pi) and Tajima's D using `PopGenome`. A multilocus test for detecting balancing selection was

performed with `HKAdirect 0.70b` [13]. We generated species-specific input tables for

`HKAdirect` using `PopGenome`. The input tables consisted of the number of samples (nsam),

segregating sites (S), absolute divergence (Divergence) and length for each species-specific

gene (length_pol and length_div). We set factor_chrm to 1 because our genes are encoded in

the nuclear genome. The input tables were necessary to run the multilocus test.

dS and dN boxplots, and genome-wide gene nucleotide statistic plots were generated in `R`

using `cowplot 1.0.0`, `dplyr`, `ggplot2`, `ggrepel`, `PopGenome`, `reshape2 1.4.4`, and

`rtracklayer`.

To detect evidence of recombination, homeodomain and pheromone receptor individual

nucleotide alignments were analyzed in `RDPv4` [118]. Recombination events significantly

detected by all seven methods (`RDP`, `GENECONV`, `Bootscan`, `Maxchi`, `Chimaera`, `SiSscan`

and `3Seq`) were reported.

Monokaryon specimen crosses

To test the compatibility of allelic classes designation for *MATA* and *MATB* alleles, we

designed putative compatible and incompatible mating type crosses (Supplementary Table 5).

*MAT*A mating type is defined by the allelic class classification of the two complexes. *HD1* and

HD2 genes of the *MATA* complex were highly linked, so they can be treated as a unique unit.

For example, aHD1.9 and aHD2.1 defined alpha*MATA-1*. Now, the combination of

alpha*MATA-1* with a beta complex can give different *MATA* mating types. For example, *MATA-*

2 was defined by alpha*MATA-1* plus beta*MATA-2*. There are 28 alpha and 8 beta complex

allelic classes generating around 224 *MATA* types. Similarly, *MATB* mating type is defined by

the allelic classes of the pheromone receptors, i.e *MATB-52* is defined by *STE3.2-5* and

STE3.4-10. There are 5 and 13 *STE3.2* and *STE3.4* pheromone receptor allelic classes,

respectively, generating around 65 *MATB* types. Finally, a mating type *MAT-2* is generated by

the combination of *MATA-2* and *MATB-52*. Due to the presence of 224 *MATA* and 65 *MATB,*

this suggest around 14,560 mating types. The mating classification numbering is arbitrary. For

that reason, for simplicity, our selected candidates were described as having or not having a

compatible alpha/beta complex and *STE3.2/STE3.4* (Supplementary Table 6). We expected a

compatible cross when one of the *MATA* complexes and one of the pheromone receptors were

from distinct allelic classes among the selected specimens.

A total of 21 and 10 crosses were designed for crosses within *T. abietinum* and *T.*

fuscoviolaceum, respectively, and 10 crosses between both species. Crosses were performed

by plating monokaryons on 3% malt extract agar plates at 4 cm distance between the two

monokaryons. After 2-4 weeks, hyphal growth generated contact zones between both

monokaryons. Then, a small piece from the middle area of the contact zone was extracted and

re-plated on a new 3% malt extract agar plate. After one week of growth, we examined clamp

connections by placing a sample of the culture on a slide under a Nikon Eclipse 50i (Nikon

Instruments Europe BV, Amsterdam Netherlands). Images of the microscopic slides were

acquired under a Zeiss Axioplan-2 imaging with Axiocam HRc microscope camera (Zeiss,

Oberkochen Germany). All crosses were performed in triplicates.

Bioinformatic tools

All bioinformatic tools, programs and most scripts were implemented in UNINETT Sigma2

SAGA High-Performance Computing system (technical details here: https://bit.ly/2VkIXM2),

except most `R` steps. `R` analyses were performed in Windows 10 operative system,

implemented in `RStudio 1.3.1073` with an `R version 4.0.2`. Bioinformatic tools were

installed through `conda` [119] under the SAGA module `Anaconda2/2019.03`. Non-

computational demanding and/or simple python steps were implemented in `Jupyter`

`notebooks` using python modules installed through `conda` under `Windows 10 Anaconda`

`1.9.12` version.

Acknowledgments

We thank Sebastián Ramos Onsins for the interpretation of the results provided by his program

HKADirect and Alija Bajro Mujic for sharing pheromone_seeker.pl. We thank Amanda

Bremner, Beatrice Senn-Irlet, Buck Castillo, Brittny Gardner, Carolina Girometta, Carolina Pina

Paez, Charlotte Johnson, Daniel Andrew Lovejoy, Daniel Luoma, Hermann Voglmayr, Irmgard

Krisai-Greilhuber, Jilian Myers, Jonas Oliva, Jørn-Henrik Sønstebø, Kadri Runnel, Kevin

Amses, Kyle Gervers, Myung Soo Park, Otto Miettinen, Rabern Simmons, Rebecca Clemons,

Sergey Volobuev, Stefan Blaser, Sara Lynch, Stephen R. Clayden, Sundy Maurice, Ursula

Peintner, Vesa Salonen, Young Woon Lim and Yu-Cheng Dai for providing samples and

assistance in the field. We thank Georgiana May for critical discussion about the strength of

balancing selection. The sequencing service was provided by the Norwegian Sequencing

Centre (NSC, www.sequencing.uio.no). NSC is a national technological platform hosted by the

University of Oslo and supported by the "Functional Genomics" and "Infrastructure" programs

of the Research Council of Norway and the Southeastern Regional Health Authorities. The

computations were performed on resources provided by UNINETT Sigma2 - the National

Infrastructure for High Performance Computing and Data Storage in Norway.

This work was supported by Research Council of Norway (RCN) grant no. RCN 274337.

D.P. is a postdoctoral researcher funded by the RCN grant no. RCN 274337 and a senior

researcher, supported by the Valencian International University (VIU). The funders had no role

in study design, data collection and analysis, decision to publish, or preparation of the

manuscript.

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Declarations

Ethics approval and consent to participate

Not applicable

Consent for publication

Not applicable

Availability of data and materials

PacBio and Illumina sequencing data have been deposited in NCBI's SRA database,

Bioproject PRJNA679164. Illumina genome assemblies, *MAT* regions and their annotations

(gff files), together with the source data underlying Figure 5, Supplementary Figs. 4,5, 7, 11

and the details about command lines used to run the programs can be found at

https://perisd.github.io/TriMAT/ and Dryad repository doi:10.5061/dryad.fxpnvx0t4. PacBio

genomes were submitted to the European Nucleotide Archive (ENA) under the project number

PRJEB45061. Phylogenetic trees can be accessed following the `iTOL` link

http://itol.embl.de/shared/Peris_D. All other relevant data are available from the authors upon

reasonable request.

Competing interests

DP declares receiving royalties from VIU based on publication productivity.

Authors' contributions

Conceived and designed the experiments: D.P., I.S., H.K., D.S.L. Collected specimens in

the field, performed spore isolation and specimen identification I.S., D.S.L, V.B.K., I.S.M.

Performed genomic DNA extraction V.B.K., I.S.M., I.S., D.S.L, M.S.D. Performed specimen

crosses D.S.L., V.B.K. Computational analyses, plotting and statistics were performed by D.P.

Wrote a first draft of the paper D.P., D.S.L., I.S. All authors read, commented and approved

the final manuscript.

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1061 **Figure legends**

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1063 **Figure 1. *Trichaptum abietinum* and *T. fuscoviolaceum* are sister-species.**

1064

A) Schematic representation of the *Trichaptum* life cycle. As an example, allelic classes, for

MATA and *MATB,* generating two compatible mating types are indicated. B) Schematic

Neighbor-Joining (NJ) phylogenetic tree reconstructed using 100 - ANI values. More detailed

(uncollapsed species clades) NJ and ASTRAL phylogenetic trees can be found in

Supplementary Figure 1 and in iTOL: https://itol.embl.de/shared/Peris_D. The number of

specimens (n) and the average 100 - ANI within species are indicated for each species clade.

The L15831 genome is included increasing the *T. abietinum* collection to 139 specimens.

Dashed arrows indicate the average 100 - ANI of pairwise specimen comparisons for the

compared species. Colors highlight the species designation after the whole genome

sequencing analysis.

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Figure 2. Two homeodomain complexes in *MATA* and four putative pheromone receptors in *MATB* were detected in *T. abietinum* and *T. fuscoviolaceum*.

Schematic representation of the gene composition and direction in both PacBio reference genomes. Homeodomain, pheromone and pheromone receptors genes are represented as indicated in the legend. The rest of the genes were colored in black, and the gene names were indicated

1085   inside the arrows. *aHD*: alpha-complex homeodomain*; ARM:* ARM-repeated containing protein; *bfg* beta-flanking gene; *bHD:* beta-complex

1086   homeodomain; *DML1*: mtDNA inheritance protein; *GLGEN:* glycogenin-1; HP: hypothetical protein; *MIP1:* mtDNA intermediate peptidase; *PAK:*

1087   serine/threonine protein kinase; *RSM19:* 37S ribosomal protein S19*; RIC1:* RIC1-domain containing protein*; SNF2:* Snf2 family dna-dependent

1088   ATPase; *STE3:* GPCR fungal pheromone mating factor*.*

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1091   **Figure 3. Circumboreal distribution of *Trichaptum* specimens.**

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1093   Geographic distribution of our *Trichaptum* specimens.

1094

1095 **Figure 4. High nucleotide diversity among mating genes.**

Identity (%)

P/R: contains the pheromone and pheromone receptor
HP: hypothetical protein

1096

1097 Identity values of nucleotide alignments for *MATA* and *MATB* regions are displayed. Gene arrows indicate the coding direction; however, when

1098 gene direction was different (Figure 5) in specimens, we represented a green rectangle. Bar colors represented the level of identity according to

1099 the legend.

1100

1101 **Figure 5. Mating regions are highly dynamic and show multiple rearrangements among *Trichaptum* specimens.**

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Panel A) *MATA* gene order representations for *Trichaptum* specimens with *MATA* genes assembled in one contig. Panel B) *MATB* gene order

representations for *Trichaptum* specimens with *MATB* genes assembled in one contig. In the *MATB* case, we considered assembled in one contig

when region was assembled contiguously from *RIC1* to *SNF2.* For that reason GAP label is also drawn in this panel B). The percentage of

specimens containing a specific *MAT* block order is indicated in the left. Genes were colored according to the legend. Species containing a

particular *MAT* block are represented by colored stars at the right of the *MAT* block and were colored according to the legend. Coding sequence

direction is represented by the arrows.

1110

1111 **Figure 6. ML phylogenetic tree topology of mating proteins suggests balancing selection and trans-species polymorphisms.**

1112

1113 ML phylogenetic protein trees of *GLGEN* (a flanking gene), *STE3.1* (a potential non-mating pheromone protein) and *STE3.2* (a mating pheromone

1114 receptor protein) are represented in panel A, B and C, respectively. Species designations are indicated by colored bars according to the legend.

1115 Branch support was assessed using the ultrafast bootstrap (UF bootstrap) method. UF bootstrap is indicated in each branch by a gradient color

1116 according to the legend. Scale bar is represented in number of amino acid substitutions per site. The rest of phylogenetic protein trees and more

1117 detailed trees for the represented here are found in Supplementary Figure 4.

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1120   **Figure 7. Multiple nucleotide statistics support long-term balancing selection in genes located in the mating region.**

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Nucleotide diversity (Pi), Tajima's D, average number of synonymous substitutions per synonymous sites (dS), absolute divergence (dxy) and

relative divergence (Fst) values are reported in panels A), B), C), E) and F), respectively. Gene contribution to the significance of a HKA test

(partial HKA) are represented in panel D). Gene names containing 1% of the highest values (A, B, C, D) or 1% of the lowest values (E, F) are

displayed in each panel. *T. fuscoviolaceum* gene names with the highest partial HKA values are displayed due to the significant result of the HKA

test (*p*-value = 3.13 x 10^{-39}). Each dot represents a gene. Dots were colored according to within species calculations (green or purple for

T. abietinum and *T. fuscoviolaceum,* respectively) or between species comparison (cyan).

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1129

1130 **Tables**

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1133 Table 1. **PacBio assembly stats**.

				Before ultrascaffolding			After correction & ultrascaffolding		
Specimen name	Descended from	Species	Assembler	Contigs	N50 (Kb)	L50	Scaffolds	N50 (Kb)	Bases (Mb)
TA10106M1	TA-1010-6	*T. abietinum*	Canu	26	4,268.52	5	12	4,354.20	49.43
TF100210M3	TF-1002-10	*T. fuscoviolaceum*	Canu	118	2,011.66	10	12	5,547.79	59.09

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Supporting information captions

Supplementary Figure 1. Phylogenetic trees suggest some population structure in *Trichaptum* species.

A) Neighbor-Joining tree using the 100 – ANI values as distances to reconstruct the tree. Scale bar represents 100 – ANI / 100. B) Coalescent species tree using 1026 BUSCO ML phylogenetic trees. Scale bar represents coalescent units. Bar colors represent the species designation according to the legend. Circles in branches represent the concordance factor support (0: none ML tree agrees – 100: all 1028 ML trees agree).

Supplementary Figure 2. Genomes of *T. abietinum* and *T. fuscoviolaceum* are mostly syntenic.

`D-Genies` dot-plot of our two reference PacBio genomes. Alignment matches are represented by dots and the identity values are colored according to the legend. MAT region locations are indicated.

Supplementary Figure 3. Non-common CpaX motifs were detected in *Trichaptum* pheromone proteins.

Phe3.2 and Phe3.4 sequence alignments of unique pheromone proteins are represented in panel A) and B). Sequence logo is represented at the top of each alignment to highlight conserved amino acids. Polar amino acids in the CaaX motif are squared in red.

Supplementary Figure 4. ML phylogenetic trees reconstruction of individual proteins shows signals of balancing selection in mating genes and linked genes.

ML phylogenetic trees of individual proteins from the *MATA* and *MATB* regions are represented. Species designation and continental isolation are indicated by colored bars according to the legend. Branch support was assessed using the ultrafast bootstrap (UF bootstrap) method. UF bootstrap is indicated in each branch by a gradient color according to the legend. Scale bar is represented in number of amino acid substitutions per site.

Supplementary Figure 5. Pairwise amino acid identity within mating proteins.

Pairwise amino acid identity was calculated for protein sequences within a clade/allele and

between protein sequences from different clades (allelic classes). Dots represent the average

value for within or between pairwise comparisons. Median values for all proteins are

represented by horizontal lines inside the boxes, and the upper and lower whiskers represent

the highest and lowest values of the 1.5 * IQR (inter-quartile range), respectively. Box plots

and dots were colored according to the species where the pairwise comparison was performed.

Horizontal dashed line represents the maximum value of 100 - % amino acid identity. We

considered 86% amino acid identity a threshold to classify sequences in an allelic class.

1180

1181   **Supplementary Figure 6. Pairwise amino acid identity of mating proteins from**

1182   **specimens with identical mating types.**

1183

1184   Pairwise amino acid identity was calculated for protein sequences within an allelic class of the

1185   same species (2 pairwise comparison for *T. fuscoviolaceum*) and between species (2 pairwise

1186   comparisons between 2 *T. abietinum* and 2 *T. fuscoviolaceum*). Dots represent the average

1187   value for within or between pairwise comparisons. Horizontal dashed line represents the 86%

1188   amino acid identity threshold detected in Supplementary Figure 5.

1189

1190   **Supplementary Figure 7. Two recent duplications of *aHD2* genes generated xHD2**

1191   **proteins.**

1192

1193   ML phylogenetic trees of a protein sequence alignment containing xHD2, aHD2 and bHD2.

1194   xHD2 sequences are highlighted with red arrows. Branch support was assessed using the

1195   ultrafast bootstrap (UF bootstrap) method. UF bootstrap is indicated in each branch by a

1196   gradient color according to the legend. Scale bar is represented in number of amino acid

1197   substitutions per site.

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1199   **Supplementary Figure 8. Some mating alleles are older than *Trichaptum* genus.**

1200

Selected regions of ML phylogenetic trees of trimmed (`trimal -gt 0.8`) protein sequence

alignments containing HD2-HD1 and STE3 are displayed in panel A) and B), respectively.

Branch support was assessed using the ultrafast boostrap (UF bootstrap) method. UF

bootrstrap is indicated in each branch by a gradient color according to the legend. Scale bar is

represented in number of amino acid substitutions per site. *Trichaptum* proteins are highlighted

by red arrows or enclosed in a red bar.

Protein sequences were retrieved from DOE-JGI MycoCosm and download from NCBI as

indicated:

1.   Hymneochaetales JGI protein list: Fomme: *Fomitiporia mediterranea* (MF3/22), Onnsc:

Onnia scaura (P-53A), Phefer: *Phellinidium ferrugineofuscum* (SpK3Phefer14),

Pheign: *Phellinus ignarius* (CCBS575), Phevit: *Phellinus viticola* (PhevitSig-SM15),

Pheni: *Phellopilus* (*Phellinus*) *nigrolimitatus* (SigPhenig9), Porchr: *Porodaedalea*

chrysoloma (FP-135951), Pornie: *Porodaedalea niemelaei* (PN71-100-IP13), Resbic:

Resinicium bicolor (OMC78), Ricfib: *Rickenella fibula* (HBK330-10), Ricmel: *Rickenella*

mellea (SZMC22713), Schpa: *Schizopora paradoxa* (KUC8140), Sidvul: *Sidera*

vulgaris (OMC1730).

2.   Downloaded from NCBI: [HYMENOCHAETALES] *Fomitiporia mediterranea* (MF3/22),

Pyrrhoderma noxium (KPN91), *Shanghuangporus baumii* (Bpt 821), *Rickenella mellea*

(SZMC22713); [AGARICALES] *Laccaria bicolor* (S238N-H82), *Coprinopsis cinerea*

(Okayama7#130); [POLYPORALES] *Rhodonia* (*Postia*) *placenta* (Mad-698-R).

To remove protein redundancy in protein collection of species retrieved from JGI, a blastp

using the downloaded NCBI protein sequences and our HDs and STE3s protein

representatives of each clade/allele was performed. For each input sequence two hits were

used for sequence alignments, a protein sequence with the lowest e-value and the protein

sequence with the highest coverage value. Complete ML phylogenetic trees are deposited in

a shared iTOL folder: https://itol.embl.de/shared/Peris_D

1227

1228 **Supplementary Figure 9. Geographic distribution of mating alleles supports long-term**

1229 **segregation.**

1230

1231 Stacked bar plots are represented for each mating gene. Bars were colored according to their

1232 geographic location.

1233

1234 **Supplementary Figure 10. dS and dN values for mating, flanking and BUSCO genes**

1235 **supports balancing selection in mating genes.**

1236

Panels A), C) reports the pairwise dS within each species (colored according to the legend) or

between species (black) for each gene in the *MATA* and *MATB* regions, respectively. Similarly,

panels B), D) reports the pairwise dN. Median values for all genes are represented by

horizontal lines inside the boxes, and the upper and lower whiskers represent the highest and

lowest values of the 1.5 * IQR (inter-quartile range), respectively. Median values for BUSCO

genes are represented by horizontal dashed lines and they are colored according to the

legend, green and purple for within *T. abietinum* and *T. fuscoviolaceum* comparisons,

respectively, and black between species comparisons.

1245

1246 **Supplementary Figure 11. Detected BUSCO genes are shown to have some signal of**
1247 **non-reciprocal monophyly.**

1248

1249 Maximum-Likelihood phylogenetic trees of five detected BUSCO genes based on nucleotide
1250 statistics (Figure 7) are represented. Scale bar is represented in number of nucleotide
1251 substitutions per site.

1252

1253 **Supplementary Figure 12. Allelic class classification based on phylogenetics and**
1254 **protein identity generated compatible and incompatible crosses.**

1255

1256 Example plate and microscope pictures of the specimen cross experiments are displayed. The
1257 rest of the pictures indicated in Supplementary Table 5 can be found in
1258 https://perisd.github.io/TriMAT/. When types were distinct in both mating loci clamp
1259 connections are observed in septae.

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