Title: Long-term balancing selection in highly dynamic mating loci generates trans-

species polymorphisms in fungi

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Abstract

Balancing selection, an evolutionary force that retains genetic diversity, has been detected in multiple genes and organisms, such as the sexual mating loci in fungi. In tetrapolar basidiomycete fungi, sexual type is determined by two unlinked loci, *MATA* and *MATB*. These loci are usually highly diverse, but with conserved domains. Previous studies have revealed that species of the genus *Trichaptum* (Hymenochaetales, Basidiomycota) possess a tetrapolar mating system, with multiple inferred alleles for *MATA* and *MATB*. Here, we sequenced a total of a hundred and eighty specimens of three *Trichaptum* species. We characterized the chromosomal location of *MATA* (chromosome 2) and *MATB* (chromosome 9), the molecular structure of *MAT* regions and their allelic richness. We found multiple *MAT* alleles segregating in both multiple *Trichaptum* specimens, and the non-*Trichaptum* species included for comparison. Phylogenetic analyses and various nucleotide statistics suggested that long-term balancing selection has generated trans-species polymorphisms. Mating sequences were classified in different allelic classes based on an identity threshold of higher than 86%. The observed allelic classes could potentially generate 14,560 different mating types. The inferred allelic information mirrored the outcome of *in vitro* crosses, thus allowing us to support the degree of allelic divergence needed for successful mating. Even with the high amount of divergence, key amino acids in functional domains are conserved. We conclude that the genetic diversity of mating in *Trichaptum* loci is due to long-term balancing selection that likely promote sexual outcrossing, with limited recombination and duplication activity. Our large number of sequenced specimens highlighted the importance of sequencing multiple individuals from different species to detect the mating-related genes, the mechanisms generating diversity and the evolutionary forces maintaining them.

43 **Keywords:** Balancing selection, mating loci, comparative genomics, fungi,

44 *Trichaptum*

Introduction

Balancing selection is an evolutionary force that maintains genetic diversity (Charlesworth 2006). Due to the importance of balancing selection to generate diversity, it has received long-term attention in evolutionary biology (Dobzhansky 1951). Heterozygote advantage (Charlesworth 2006), pleiotropy (Johnston *et al.* 2013), negative frequency-dependent selection (Mitchell-Olds *et al.* 2007), rapid temporal fluctuations in climate (Bergland *et al.* 2014), and segregation distortion balanced by negative selection (Úbeda and Haig 2004; Charlesworth and Charlesworth 2010) are modes of balancing selection. These different modes of balancing selection leave similar genomic signatures, such as an increased number of polymorphic sites around the region under balancing selection, and sometimes an enrichment of intermediate-frequency alleles around the selected genomic region (Charlesworth 2006). When balancing selection has persisted for a long period, coalescent time of alleles may predate speciation events, and polymorphisms can become shared among distinct species, leading to trans-species polymorphisms (Klein 1980). Phylogenetic trees for balanced regions are characterized by the presence of long internal branches (Hein *et al.* 2005), and clades with a mixture of species caused by trans-species polymorphisms (Richman 2000). The development of methods to detect the genomic footprints of balancing selection (Hudson *et al.* 1987; Tajima 1989; Esteve-Codina *et al.* 2013) has unraveled or supported multiple loci under this type of selection. Well-known examples include: the major histocompatibility locus (MHC) in vertebrates (Klein 1980); the ABO histo-blood (Ségurel *et al.* 2012); non-MHC genes, such as *TRIM5* and *ZC3HAV1* in humans (Cagliani *et al.* 2010; Cagliani *et al.* 2012); self-incompatibility (SI) loci in plants (Wright 1939; Castric and Vekemans 2004) and self/nonself-recognition during vegetative growth in fungi (Wu *et al.* 1998); multilocus

metabolic gene networks, such as the *GAL* network in *Saccharomyces* (Hittinger *et al.*

2010; Boocock *et al.* 2021); and sexual mating loci in fungi (May *et al.* 1999).

In basidiomycete fungi, there are numerous examples of balancing selection acting

on loci regulating the sexual cycle (May *et al.* 1999; James *et al.* 2004; Devier *et al.*

2009; Engh *et al.* 2010; van Diepen *et al.* 2013). In this phylum, the sexual cycle

involves fusion (plasmogamy) of two genetically distinct monokaryotic hyphae (n),

generating a dikaryotic (n+n) hyphae (Bensaude 1918; Kemp 1977; Casselton and

Econoumou 1985). The dikaryon is considered a more stable and long-lived state than

the monokaryotic phase, but there are controversies about this assumption (Crockatt

et al. 2008; Hiscox *et al.* 2010). In basidiomycetes, plasmogamy is normally separated

in time from karyogamy, the fusion of both parental nuclei (Kües 2000). In

basidiomycetes, karyogamy and meiosis normally occur in specialized structures, the

fruit bodies (Kües 2000). Mating between two monokaryotic hyphae is determined by

one or two sets of multiple allelomorphic genes in the mating (*MAT*) loci. Two different

mating systems have evolved among basidiomycetes, referred to as bipolar or

tetrapolar mating systems (Whitehouse 1949). Mating-type identity in some

basidiomycetes, such as *Cryptococcus neoformans,* and members of the sister phylum

Ascomycota i.e. *Saccharomyces cerevisiae*, is governed by a single locus, the *MAT*

locus (Bennett and Turgeon 2017). This case corresponds to the bipolar system,

resembling the sexual system in metazoans (Heitman *et al.* 2013). However, the

ancestor of basidiomycetes developed an evolutionary innovation, the tetrapolar

mating system, where two *MAT* loci regulate mating (Coelho *et al.* 2017). This new

system hinders inbreeding more effectively, since only 25% of the spores from the

same fruit bodies can mate, compared to 50% for the bipolar species (Kües and

Casselton 1993). At the same time, having multiple mating alleles in each *MAT* locus

enables extremely effective outcrossing, where most monokaryotic spores or mycelia

(derived from different fruit bodies) can establish a dikaryotic mycelium (Hiscock and

Kües 1999).

In strict tetrapolar organisms, the *MATA* locus (syn. *b* or *HD*) contains a series of

linked pairs of homeodomain-type transcription factor genes (*HD1-HD2*, syn. *bW-bE*),

whereas the *MATB* locus (syn. *a* or *P/R*) is composed of tightly linked G-pheromone

receptors (*STE3*, syn. *Rcb, pra*) and pheromones (*Phe3,* syn. *Ph, mfa*) (Casselton and

Olesnicky 1998; James *et al.* 2004; Niculita-Hirzel *et al.* 2008; Lee *et al.* 2010; Kües *et*

al. 2011; Skrede *et al.* 2013; Maia *et al.* 2015; Wang *et al.* 2016; Sipos *et al.* 2017).

These genes define mating type identity (Bennett and Lenski 2007), which controls

successful mating and completion of the life cycle (Kües 2000). When haploid cells of

compatible allelomorphic *MAT* loci conjugate, clamp connections can be observed

indicating successful mating (Furtado 1966). Proteins encoded by *MATA* genes initiate

the pairing of the two parental nuclei within dikaryons, they promote clamp

development, synchronize nuclear division and septum formation. Proteins encoded

by *MATB* genes coordinate the completion of clamp fusion with the subapical cell after

synchronized nuclear division and the release of the nucleus, which was initially

trapped within the unfused clamp cell (Swiezynski and Day 1960a,b). Once

monokaryons have fused, the *MATB* proteins facilitate septum dissolution and nuclear

migration (Casselton and Olesnicky 1998). Experimental crossings in various

basidiomycetes, such as *Coprinopsis* and *Schizophyllum*, have been used to infer the

number of *MATA* and *MATB* alleles, and results suggest that 12,800-57,600 mating

types may exist in some species (Burnett 1965).

The high nucleotide divergence among mating type alleles has complicated the

study of molecular evolution of fungal mating systems, where e.g. primer design has

been a challenge. Moreover, until now, only a limited number of specimens from

different species have been analyzed, limiting the quantification of the strength of

balancing selection, the presence of trans-species polymorphisms and the detection

of mating and non-mating related genes.

The mating system in two *Trichaptum* sister species, *Trichaptum abietinum* and

Trichaptum fuscoviolaceum (Hymenochaetales, Basidiomycota), have been

extensively studied in the past, likely because their fruit bodies readily produce

monokaryotic spores that germinates and grows *in vitro*, making it easy to conduct

crossing experiments in the lab (Raper 1966). *Trichaptum abietinum* and

T. fuscoviolaceum are wood-decay fungi with circumboreal distributions (Seierstad *et*

al. 2021). Previous mating studies have unraveled a tetrapolar mating system for

Trichaptum with an inferred number of 385 *MATA* and 140 *MATB* alleles in

T. abietinum (Magasi 1976). The mating studies have also revealed that three

intersterility groups (ISGs) occur in *T. abietinum* (Burnett 1965; Raper 1966; Macrae

1966; Magasi 1976; Seierstad *et al.* 2021). However, so far we have no information

about the underlying architecture and molecular divergence of *Trichaptum* mating

genes.

Here, we fulfill that missing piece of information by studying the molecular evolution

of the *MAT* genes in the non-model organism *Trichaptum*. More specific, we want to:

i) unravel the genomic location and the structure of the mating-related genes; ii) assess

the allelic richness of *MAT* genes; iii) reveal whether trans-species *MAT* alleles are

present, as well as other characteristics emerging from balancing selection; and iv) test

whether the genotypic information mirrors phenotypic outcomes of *in vitro* sexual

matings. To address these questions, we obtained a collection of a hundred and eighty

Trichaptum specimens and established monokaryotic cultures. High quality reference

genomes were assembled, from two specimens of the two *Trichaptum* sister-species,

using PacBio. The remaining isolates were Whole Genome Sequenced using Illumina.

Selected isolates were subjected to mating reactions, to test the genotype-phenotype

correspondence.

Results

Mating regions are highly dynamic in Trichaptum *species*

To locate the chromosomal position of *MATA* and *MATB* and the genes delimiting

the mating regions, we generated PacBio assembly genomes (Table 1) for one

T. abietinum and one *T. fuscoviolaceum* specimen (Figure 1). These two species

genomes differed with an average 15.7% in a converted ANI (average nucleotide

identity) value to divergence value (Figure 2).

Both species potentially contained twelve chromosomes. The genome size of

T. abietinum and *T. fuscoviolaceum* was 49 Mbp and 59 Mbp, respectively. Both

genomes were highly syntenic with a few small inversions (Supplementary Figure 2).

The *MATA* and *MATB* loci were located on chromosomes 2 and 9, respectively. *MATA*

homeodomains genes were flanked by *bfg, GLGEN* on one end and *MIP1* coding

sequences on the other (Figure 3). The region from *bfg* to *MIP1* was 17.9 and 19.6

Kbp long in *T. abietinum* and *T. fuscoviolaceum,* respectively. Both reference genomes

contained two homeodomain complexes: alpha- (*aHD*) and beta-complexes (*bHD*). In

the reference *T. fuscoviolaceum MATA* region, one homeodomain pair, the *bHD1*, was

lost, *bHD2* was inverted, and between the alpha and beta-complexes there was a gene

encoding an *ARM*-repeat containing protein (Figure 3). *MATB* pheromone receptors

and pheromones were flanked by *PAK, RSM19, DML1, RIC1* and *SNF2* genes. All

these genes together were defined as the *MATB* region, which was 30.3 Kbp long in

both species. Four putative pheromone receptors and two pheromone genes were

annotated. The *MATB* region was syntenic between both species, except an inverted

block containing *STE3.2* and *Phe3.2* genes in the *T. fuscoviolaceum* reference (Figure

3)*.*

MAT *genes displayed multiple alleles*

The annotated mating genes in the reference genomes were used to search for those

genes in the 178 Illumina sequenced specimens and a *T. abietinum* assembly

downloaded from JGI (Supplementary Table 1). *Trichaptum abietinum* was the most

diverse species (average converted ANI 5.4%) (Figure 2). *MATA* genes were

assembled in one contig for 75 *T. abietinum,* 25 *T. fuscoviolaceum* and 1 *T. biforme.*

In the case of *MATB* genes, genes in that region were found in one contig for 116

T. abietinum, 27 *T. fuscoviolaceum* and 1 *T. biforme.* For these specimens, the mating

genes have potentially the same chromosomal location than in our reference

specimens. For the rest of the sequenced specimens, the mating genes were found in

multiple contigs due to assembly limitations using short reads. Most of those

fragmented mating regions might be organized as in our reference specimens;

however, we observed unexpected coding sequences for 6 specimens in the *MATA*

region and 2 specimens in the *MATB* region, which could suggest that these regions

have split and were translocated to different chromosomes or positioned in a new

chromosomal location (Supplementary Table 2).

An initial analysis of nucleotide conservation of the mating regions indicated that

flanking genes were conserved, as well as *STE3.1* and *STE3.3.* However, the rest of

putative mating genes were highly diverse (Figure 4). Gene order comparison among

specimens highlighted that the most common *MATA* and *MATB* syntenic blocks were

both present in *T. abietinum* and *T. fuscoviolaceum*, and the frequent *MATB* syntenic
block was present in the three species (Figure 5). *Trichaptum biforme* and five other
Trichaptum specimens, differentiated from the most frequent *MATA* configuration by
the presence of a hypothetical protein (Figure 5A). All this suggest that the most
frequent *MATA* and *MATB* gene configurations, represented in Figure 3 for *T.
abietinum,* were present in the ancestor of these three *Trichaptum* species. The gene
order of *HDs* in the alpha complex was conserved among all *Trichaptum* specimens.
However, frequent inversions of the *bHD2* gene and absence of one of the two *bHD*
genes were observed. An interesting observation was the presence of an additional
HD2 gene (*xHD2*) upstream the alpha complex in six *T. abietinum* specimens (Figure
5A). The coding sequence of *xHD2* looks truncated, indicating an ongoing process of
pseudogenization. In the *MATB* region, all specimens contained two pheromones, one
located between *STE3.1* and *STE3.2*, and a second between *STE3.3* and *STE3.4.*
The orientation of *STE3.2, STE3.4* and pheromone genes varied among specimens
(Figure 5B).

We were able to infer several domains and motifs in mating genes. *HD1* and *HD2*
homeodomain genes contained three and four exons, respectively, whereas *STE3*
genes, characterized by the presence of seven transmembrane domains, included 4
to 6 exons. Homeodomain genes were characterized by the presence of the typical
homeobox domain (Figure 3). In each homeodomain protein alignment, we found
conserved amino acid sequences in at least 75% of the protein sequences. aHD1
homeobox contained $\underline{WL}X_3j\underline{XNPYP}X_4\underline{K}X_2\underline{J}X_8KX_4\underline{WF}SX_2\underline{RRR}$ and bHD1
$\underline{WL}X_3jHX\underline{PYP}X_4\underline{K}X_2\underline{J}X_{13}\underline{WF}VX_2\underline{RRR}$, showing highly conserved amino acids between
both proteins (underlined amino acids). Similar results were found for aHD2 homeobox
domains $\underline{W}X_7AX_{29}\underline{FN}X_2Y\underline{XP}X\underline{LE}XFFXEEQF\underline{P}SR\underline{A}DKX_2\underline{LA}XKXG\underline{M}XYRQ\underline{I}HV\underline{WFQN}$

RR and bHD2 $\underline{W}X_{29}\underline{F}NX_4\underline{P}XL\underline{E}X_8\underline{P}X_2\underline{A}X_4\underline{L}A X_2 S X \underline{M} X_3 \underline{Q} X_3 \underline{WFQN}X\underline{R}X\underline{R}$. In all four

types of proteins, it was common to find a tryptophan (W) at the start of the homeobox

and two arginines (R) at the end. Inside the homeobox domain, a conservation of a

proline (P), a tryptophan and a phenylalanine (F) is likely essential for the activation of

the expression of target genes. The nuclear localization signal was detected in HD1

proteins, with the presence of bipartite sequences, $\underline{KR}X_2S X_8\underline{KR}$ in aHD1 and

$\underline{KR}RJX_{12}\underline{KR}$ in bHD1. Regions enriched in prolines are indicative of putative activation

domains (AD), which were conserved in HD2 proteins. The potential AD region

contains $\underline{P}XKYP\underline{P}BFDX_3D\underline{P}$ amino acids in aHD2 and $\underline{P}X_4\underline{P}X_2YPPX_6\underline{F}\underline{P}$ in bHD2. It is

important to note an additional conserved region at the C-terminal of these highly

divergent HD1 proteins, where aHD1 contained $\underline{KL}X\underline{R}INX\underline{L}LX\underline{E}AAXLQXEVF$ amino

acids and bHD1 contained $\underline{KL}E\underline{R}LX_2\underline{L}XE\underline{E}X_3JXZZEX_2L$. Coiled coils related with

heterodimerization were likely located at the N-terminal (Figure 3).

Using the pheromone_seeker.pl script, we were able to detect most of the

pheromones. However, some pheromones were not detected due to unexpected

amino acids in the CaaX motif (Supplementary Figure 3). We found multiple examples

in both pheromones (Phe3.2 and Phe3.4), where the canonical CaaX motif contained

a polar amino acid (threonine), displaying an uncommon CpaX motif. Most of the

pheromones contained an aspartic acid amino acid following the starting methionine.

The presence of both aspartic and glutamic amino acids in the maturation site was

highly conserved in *Trichaptum* pheromones.

These results highlight that despite the dynamic nature of both mating regions

(Figure 5), where rearrangements and gene losses were frequent, and a high

nucleotide diversity (Figure 4), the conservation of functional domains was essential

for the activity of mating proteins.

Phylogenetic analyses demonstrate long-term balancing selection in HDs *and two*

STE3 *genes*

To infer the evolutionary history of mating genes and the flanking genes, and to test

whether they agree with our species tree (Supplementary Figure 1), we reconstructed

Maximum Likelihood (ML) individual protein trees (Supplementary Figure 4). For most

proteins encoded in flanking genes and for both STE3.1 and STE3.3 proteins,

phylogenetic trees clustered specimens according to their species designation (Figure

6A,B, Supplementary Figure 4A,B,H-L,N). However, protein trees for homeodomains

(aHDs and bHDs), two pheromone receptors (STE3.2 and STE3.4), MIP1 and SNF2

disagreed with the species tree (Figure 6C, Supplementary Figure 4C-G,M,P,O).

These trees were characterized by long internal branches and a mixture of species-

specific sequences in different clades. All these results supported the presence of

trans-species polymorphisms due to long-term balancing selection.

The number of clades in the phylogenetic trees varied from 5 to 28, which we

considered as different allelic classes for our mating experiments (Supplementary

Table 2). Sequences in the same allelic class encoded for proteins with an amino acid

identity higher than 86% (Supplementary Figure 5). The highest number of allelic

classes was found among alpha complex homeodomain genes where we detected

evidence of recombination (Supplementary Table 3). Additionally, once we defined

the mating types of our samples, we calculated the amino acid identity by pairwise

comparisons of protein sequences of specimens containing the same mating type. We

detected high conservation within species for all proteins, and higher conservation of

pheromone receptors between species than for homeodomain genes, suggesting

pheromone receptors were more constrained to accumulate non-synonymous

mutations compared to homeodomains (Supplementary Figure 6).

Two alleles were found for the xHD2 protein. A ML phylogenetic tree of all HD2

sequences clustered xHD2 proteins in two aHD2 allelic classes, aHD2.8 and aHD2.10.

The limited presence of *xHD2* genes in other specimens and the high similarity of the

proteins with two aHD2 proteins points to two recent *aHD2* gene duplications

(Supplementary Figure 7). We selected a random protein sequence of each allelic

class to infer the phylogenetic relationship with proteins from other Hymenochaetales

species, two reference species of Agaricales and one species from Polyporales.

Analysis indicated that beta complex HD proteins were much older than

Hymenochaetales (Supplementary Figure 8A), which was in accordance with the lower

identity values observed for pairwise comparisons within bHD than within aHD

(Supplementary Figure 5). Except alpha complex aHD1.12, the rest of aHD proteins

were *Trichaptum*-specific. A similar trend can be observed for pheromone receptors,

where most *Trichaptum* pheromone proteins were closely related, except two proteins,

encoded in *STE3.2* and *STE3.4* genes, which were related to pheromone receptor

proteins from other fungal species (Supplementary Figure 8B).

The geographic distribution of *MATA* and *MATB* alleles did not suggest a bias

towards a particular continent (Supplementary Figure 4, 9), supporting an evolutionary

scenario of long-term balancing selection for mating genes.

Long-term balancing selection left footprints in the mating regions

To further test whether long-term balancing selection is acting on the mating regions,

we quantified nucleotide statistics and performed a multilocus HKA test using the

mating genes and a collection of universal single-copy orthologs (BUSCO) genes. We

first tested the reciprocal monophyletic nature of our BUSCO collection. As expected from the species tree (Supplementary Figure 1B), most of our annotated BUSCO genes (eighty-three percent) showed reciprocal monophyly for both species, *T. abietinum* and *T. fuscoviolaceum*, and 98.64% of the rest of genes (174 genes of 1026 BUSCO genes) showed complete monophyly for one of the two species. This BUSCO dataset suggests a clear diversification of both *Trichaptum* species and the dataset is useful to set the neutral evolution values of the next analyzed nucleotide statistics.

We observed an elevated number of the average number of synonymous substitutions per synonymous sites (dS) and non-synonymous substitutions per non-synonymous sites (dN) for the mating genes compared to the flanking and BUSCO genes (Supplementary Figure 10). dS and dN values in mating genes were more than 20x and 3x higher than values for BUSCO genes, respectively (Supplementary Table 4). This was an additional support that balancing selection acts on the mating regions. Moreover, similar levels of dS and dN (Supplementary Figure 10) were observed within and between species in pairwise comparisons of mating genes, indicating that these polymorphisms were not species-specific. This was coherent with a scenario where alleles segregated before the diversification of the species. It is important to note that dS and dN values for two putative receptors, *STE3.1* and *STE3.3*, differed from the other mating genes and that they displayed similar low values as most flanking and BUSCO genes (Supplementary Figure 10). In addition, for these two putative non-mating pheromone receptor genes, the dS and dN values were higher between than within species pairwise comparisons, as we would expect if most of the mutations accumulated after the speciation of *T. abietinum* and *T. fuscoviolaceum*. *MIP1* and *SNF2* dS values were slightly more elevated than BUSCO genes (Supplementary Table 4), but values from between species comparisons were more elevated than

within pairwise comparisons (Supplementary Figure 9). This indicates that the elevated

dS values are caused by linkage disequilibrium, where the effects of balancing

selection in the closest mating gene are not completely broken by recombination.

To infer whether other nucleotide statistics supported balancing selection, we

explored gene values deviating from the rest of the genome (Figure 7). Homeodomain

(*HD1s* and *HD2s*) and pheromone receptor genes (*STE3.2* and *STE3.4*) deviated from

the distribution of 99% of values in at least four nucleotide statistics (elevated pi/dxy

ratio, high dS values, low Fst and high Tajima's D), all in agreement with a balancing

selection scenario maintaining trans-species polymorphisms for multiple alleles (Figure

7).

Five BUSCO genes were detected in at least two statistics, deviating from the rest

of the genome (Figure 7). Those five genes were also detected to show a phylogenetic

topology incongruent with a complete reciprocal monophyly, except 18163at155619

where only *T. fuscoviolaceum* specimens were monophyletic (Supplementary Figure

11). The detected genes encoded for an acetolactate synthase (27296at155619), a

ribosomal protein L38e (52145at155619), a non-specific serine/threonine protein

kinase (6755at155619), a protein kinase-domain-containing protein (18163at155619)

and a NF-kappa-B inhibitor-like protein 1 (41864at155619).

Distinct mating alleles generate compatible mating crosses

Based on our allele (mating type) classification (Supplementary Figure 4,

Supplementary Table 2), we tested the outcome of crosses between selected

monokaryons from the same species and between species (Supplementary Table 6).

We assumed a successful mating when clamp connections were formed

(Supplementary Figure 12). Our expectations, based on the molecular

characterization, were confirmed in all the performed within species crosses. Crosses

using monokaryons with identical *MATA* alleles did not generate clamps when *MATB*

alleles were expected to be compatible, and vice versa. These results demonstrate

that identical (> 86% identity) *MAT* alleles generate the first mating barrier. We also

included some monokaryons derived from the same dikaryon (Supplementary Table

2), where most of them showed at least a pair of compatible *MATA* alleles and/or

MATB, unravelling the original allele composition of the parental dikaryon

(Supplementary Table 2). Due to the unlinked nature of *MATA* and *MATB* regions and

limited number of studied monokaryons from the same dikaryon, some monokaryons

might display a mating type configuration that were not informative about the original

dikaryon allele composition. No clamps were observed in crosses between species

with compatible mating types suggesting other mechanisms are involved in the

generation of pre-zygotic barriers between *Trichaptum* species.

Discussion

Mating genes diversity was maintained by balancing selection

Retaining multiple mating alleles appears to be beneficial as it promotes outcrossing

(Coelho *et al.* 2017). The multiallelic character of mating types promotes a potential

outcross event to occur in 98% of crosses (Raper *et al.* 1958; Coelho *et al.* 2017). How

this mating diversity originated is not clear, but recombination and duplications might

play a role. Fifteen recombinant variants in the alpha complex and two recent *aHD2*

duplications were detected in *Trichaptum*. It was previously thought that recombination

was suppressed or limited in the mating regions (Idnurm *et al.* 2015), and that

duplication and diversification events were limited to Agaricales (Kües *et al.* 2011).

Recombination is suppressed by the presence of inversions and/or gene losses, which

might generate hemizygous specimens, observed in mating loci and genomic regions

under balancing selection (Gutiérrez-Valencia *et al.* 2021). The rearrangements

observed in our *Trichaptum* beta complex brings another layer of complexity to *MATA*

region, which is comparable to the complexity previously described for *MATB* genes

(Coelho *et al.* 2017). Rearrangements in both *MAT* loci might be an important factor

suppressing recombination in these genes. On the contrary, the gene order

conservation of the alpha complex does not completely suppress recombination, in

accordance with evidence of ongoing recombination between mating genes (Lukens

et al. 1996) and their flanking genes in other fungal organisms (James 2007). Our

observations highlight that studying multiple specimens of the same species can

unravel previously underestimated mechanisms that generate diversity in mating

genes.

We have demonstrated that balancing selection is likely the main force retaining

genetic diversity in the mating genes. Evidence of balancing selection has been

proposed for homeodomain genes in the pathogenic root decay fungus *Heterobasidion*

(Russulales) (van Diepen *et al.* 2013), as well as in pheromone receptors of

Mycrobotryum species (Mycrobotryales) (Devier *et al.* 2009). The action of balancing

selection in *Trichaptum* and in other fungi appears to have occurred before the

speciation event, generating multiple cases of trans-species polymorphisms (van

Diepen *et al.* 2013). The genetic signatures of balancing selection highlighted that two

pheromone receptors in *Trichaptum* specimens are likely non-mating genes. In

Agaricomycotina, it is frequent to detect multiple pheromone receptors, some of them

not involved in mating functions (Niculita-Hirzel *et al.* 2008; Raudaskoski and Kothe

2010; Kües *et al.* 2011). The role of these non-mating pheromone receptors will

deserves further investigation.

It has long been speculated about the action of balancing selection in the *MATA*

flanking gene, *MIP1* (James 2007; Engh *et al.* 2010). *MIP1* encodes a mitochondrial

intermediate peptidase 1, which is a thiol-dependent metallopeptidase involved in the

last step of protein maturation targeted to the mitochondria, where MIP1 cleaves off an

octapeptide of immature proteins (Isaya 2004). The genomic footprints detected in

MIP1 are likely due to the action of linkage disequilibrium, as *MIP1* is close to the beta

complex *HD* genes. It has been speculated that *MIP1* signals of balancing selection

might be due to a role in mating, such as MIP1 involvement in mitochondrial

inheritance, functioning as a suppressor of selfish mtDNA (Röhr *et al.* 1999). However,

this function is not well-supported. Other genes encoding proteins involved in

mitochondrial functions have been found linked to mating genes (James 2007). In

T. abietinum and T. fuscoviolaceum, we found *RSM19,* a 37S ribosomal protein S19,

linked to *MATB*. However, we did not detect signals of balancing selection in this gene.

In addition, some signals of balancing selection were detected in *SNF2*, a gene located

in the *MATB* region, encoding a DNA-dependent ATPase protein. The analogous

signals of balancing selection between *SNF2* and *MIP1* might support that the

balancing selection signal in both genes is due to linkage disequilibrium, and the signal

is just a consequence of the action of balancing selection in the neighbor mating genes

(James 2007).

Mating genes and organization resembles other basidiomycetes suggesting similar

origin

Sampling and studying the genomes of a wide collection of *Trichaptum* specimens

has highlighted the dynamic nature of mating gene architectures. With two

homeodomain complexes, *Trichaptum MATA* gene organization is similar to other

Hymenochaetales, such as *Phellinus lamaoensis, Phellinus sulphurascens* (both

species from the *Phyrrhoderma* genus) and *Schizopora paradoxa* (Chung *et al.* 2017).

In other Hymenochaetales species, such as *F. mediterranea* and *Porodaedalea pini*,

the location of *GLGEN* gene is more distant and interrupted by multiple *ORFs* (James

et al. 2013; Chung *et al.* 2017). Notably, the *Phyrrhoderma* species and *F.*

mediterranea (Fischer 2002; Chung *et al.* 2017) are bipolar, in contrasts to the

tetrapolar *Trichaptum* specimens. *Trichaptum* and other Hymenochaetales species,

such as *Hypodontia* and *S. paradoxa*, have conserved the ancestral tetrapolar system

of basidiomycetes (Coelho *et al.* 2017). According to mating studies, the formation of

clamp connections is facilitated by the presence of at least one different allele at one

of the multiple *MATA HD* complexes and one at the *MATB* P/R loci. Here, we

demonstrated by mating experiments and genomic analyses that protein identity must

be lower than 86% to function as different alleles on these mating loci, although

important protein domains and motifs are conserved.

We inferred that around 224 *MATA* types (28 alpha x 8 beta) and 65 *MATB* types (5

STE3.2 x 13 *STE3.4*) are present in *Trichaptum* species, which indicates around

14,560 mating types. These numbers are close to the estimated number of alleles,

20,000 mating-types, in a previous study of *T. abietinum* (Burnett 1965) and other

tetrapolar basidiomycete species, such as the model species *Coprinopsis cinerea* and

Schizophyllum commune, which display around 12,800 (160 *MATA* x 81 *MATB*) and

23,328 (288 *MATA* x 81 *MATB*) mating types, respectively (Raper 1966). Our

sequencing efforts allowed us to characterize the protein sequences of multiple mating

alleles and infer that beta complex HD alleles were segregating in other

Agaricomycetes, suggesting that these HD proteins are much older than alpha HD, a

result that is supported by the ongoing recombination events in the alpha HD.

Moreover, we cannot discard that alpha complex alleles may be exclusively specific of

Trichaptum. Allele *aHD1.12* points to potential alpha complex alleles segregating in

other Hymenochaetales, but just thirteen Hymenochaetales species have been

genome sequenced, and usually only one representative of each species, except for

the three sequenced *Pyrrhoderma noxium* specimens. Thus, there are few available

genomes to compare to.

A new pheromone motif containing a polar amino acid in CaaX motifs was detected

by our sequencing efforts. We are not aware of CpaX motifs in other Basidiomycetes,

although this motif was observed in pheromones of some Ascomycetes species

(Schmoll *et al.* 2010; Martin *et al.* 2011). The whole genome sequence of other

Hymenochaetales and other fungal orders will clarify the evolutionary history of the

alpha complex and protein patterns observed here.

Trichaptum - *a candidate model system for genomics*

Using whole genome sequencing, we confirmed the sister species relationship

between *T. fuscoviolaceum* and *T. abietinum*, as suggested in previous studies using

few molecular markers (Ko *et al.* 1997; Ko and Jung 2002; Kauserud and Schumacher

2003). *Trichaptum biforme* is an early divergent species. Our dataset contributes with

a large number of genome assemblies from two non-model species.

The existence of at least two North American intersterility groups (ISGs) that are

partially compatible with a third European group in *T. abietinum* indicates three

potential differentiated lineages (Macrae 1966; Magasi 1976; Seierstad *et al.* 2021).

Even though we did not perform a population genomic analysis in this study, multiple

well-differentiated clades can be inferred in our ANI and BUSCO phylogenetic species

trees, supporting some population structure in our specimen collection. The presence

of ISG in *T. fuscoviolaceum* is not previously confirmed based on mating studies

(Macrae 1966; Seierstad *et al.* 2021). However, we hypothesize that there are at least

two potential lineages due to the presence of two well-differentiated *T. fuscoviolaceum*

clades, as suggested by Seierstad *et al.* (2021). ANI dissimilarity values between these

lineages were nearly as high as values detected in *T. abietinum*, supporting the

hypothesis about population structure in *T. fuscoviolaceum*. These results highlight

how *Trichaptum* species are suitable for population genomic studies and has the

potential to offer new insights into mechanisms of speciation in fungi and how

evolutionary mechanisms shape the genome.

Conclusion

We have demonstrated the importance of sequencing several specimens of fungal

species to detect mating-related genes, and to unravel the strength and footprints of

long-term balancing selection in mating genes. Events previously thought of as

uncommon in mating genes, such as recombination and duplications, have been

detected in mating-related genes with conserved gene order. Our *Trichaptum* dataset

highlights how diverse and dynamic the mating loci are. These mating genes play a

fundamental role in promoting outcrossing events and have consequently been targets

of long-term balancing selection. The action of balancing selection leaves signatures

of multiple trans-species polymorphisms beyond the genus level. Comparative

genomics and phylogenomics were important tools to locate mating genes and

characterize the number of alleles retained by balancing selection. Mating proteins with

less than 86% identity generated compatible mating types. Despite the number of

alleles and the high diversity among them, important domains and motifs are still

conserved due to their critical role during the life cycle. Questions regarding the effects

of mutations in the interaction between homedomain proteins or receptors and

pheromones, especially the presence of non-aliphatic amino acids in the CaaX motif

(i.e. a CapX motif), and which role the linked mating genes, such as *MIP1,* are playing

during the life cycle are exciting areas of research. Our new sequenced collection of

T. abietinum and *T. fuscoviolaceum* makes a step-forward to re-establish these fungal

organisms as a model system in evolutionary research.

Material and methods

Trichaptum *collection*

A total of 180 *Trichaptum* specimens from the northern hemisphere were included

in the study: 138 *T. abietinum*, 41 *T. fuscoviolaceum* and one *T. biforme*

(Supplementary Table 6). Specimen GPS coordinate format conversion was generated

with `GMScale 0.5.1` to plot the specimen geographic distribution in `R`, using `ggmap`

`3.0.0`, `ggplot2`, `ggrepel 0.8.2`, and `mapdata 2.3.0`.

Monokaryon generation and genomic DNA isolation

Monokaryotic cultures were made by hydrating dried field collected specimens in

the lab, and collecting single spores that were ejected from these moist fruit bodies

onto 3% malt extract agar plates with 10 mg/L tetracyclin, 100 mg/L ampicillin, 25 mg/L

streptomycin and 1 mg/L benomyl. Germinated single spores were transferred to new

3% malt extract agar plates with identical mixture of antibiotics and benomyl. Before

DNA extraction, monokaryon cultures were grown for 2-3 weeks on nitex nylon (Sefar

AG, Heiden, Switzerland) on 3% malt extract agar plates. Two different DNA extraction

protocols were used depending on the sequencing protocol. For Illumina sequencing,

tissue from $1/4^{th}$ plate was scraped off the nylon and directly homogenized in 2 ml

Lysing Matrix E tubes (MP Biomedicals, Santa Ana, CA, USA) on a FastPrep-24 (MP

Biomedicals, Santa Ana, CA, USA) for 2 x 20 seconds at 4.5 m/s^2. Genomic DNA was

extracted using the E.Z.N.A HP Fungal DNA kit (Omega Bio-Tek, Norcross, GA, USA)

supplemented with 30 µl RNaseA (Qiagen, Hilden, Germany). For PacBio sequencing,

tissue from 10 plates were scraped off the nylon and directly homogenized in a mortar

with liquid N$_2$. Genomic DNA was extracted using a phenol-chloroform protocol

followed by a macro (500 µg) Genomic tip (Qiagen, Hilden Germany) protocol, as

described in Skrede *et al* (2021).

Genome sequencing and assembly

In order to get the chromosome location and sequences of mating genes, we first

Illumina and PacBio sequenced one specimen from *T. abietinum* (TA-1010-6-M1) and

one from *T. fuscoviolaceum* (TF-1002-10-M3) (Supplementary Table 1).

Illumina libraries were generated by the Norwegian Sequencing Centre using the

following protocol: 1 µg of genomic DNA was sheared using 96 microTUBE-50 AFA

Fiber plates (Covaris Inc., Woburn, MA, USA) on a Covaris E220 system (Covaris Inc.,

Woburn, MA, USA). The target fragment size was 300-400 bp. gDNA samples were

cleaned on a small volume Mosquito liquid handler (TTP labtech) with a 1:1 ratio of

Kapa Pure beads (Roche, Basel, Switzerland) and eluted in Tris-Cl, pH 8.0. Library

preparation was carried out with 500 ng sheared DNA using Kapa Hyper library prep

kit (Roche, Basel, Switzerland). Barcodes were added using the Illumina UD 96 index

kit (Illumina). Final libraries were PCR-amplified during 5 cycles with Kapa HIFI PCR

kit (Roche, Basel, Switzerland) before standard library quality control with standard

sensitivity NGS Fragment kit (Agilent, Santa Clara, CA, USA). Quantification was

performed in a qPCR with Kapa Library quantification kit (Roche, Basel, Switzerland).

The first batch of library specimens were sequenced with HiSeq 4000 system, and the

second with NovaSeq I (Supplementary Table 1). 2x150 paired-end Illumina reads

were generated by both systems. Barcodes and adapters were trimmed from final

Illumina sequences using `Trim_galore 0.6.5` (Krueger 2019).

PacBio libraries were prepared by the Norwegian Sequencing Centre using Pacific

Biosciences Express library preparation protocol (Pacific Biosciences of California, Inc,

USA) without any prior fragmentation. Size selection of the final PacBio libraries was

performed using BluePippin (Sage Science, Beverly, USA) and 15 Kbp cut-off. PacBio

libraries were sequenced on one 1M SMRT cell using Sequel Polymerase v3.0 and

sequencing chemistry v3.0. Loading was performed by diffusion and movie time was

600 min for *T. abietinum* and 900 min for both *T. fuscoviolaceum* runs.

We assembled the genome of *T. abietinum* using PacBio reads by different

assemblers: `Flye 2.6` (Kolmogorov *et al.* 2019), `Canu 1.9` (Koren *et al.* 2017),

`MECAT2` (Xiao *et al.* 2017), `SMARTdenovo 1.0.0` (Liu *et al.* 2020) and `wtdbg2 2.5`

(Ruan and Li 2020). Quality of the draft PacBio genome and percentage of consensus

between draft genome and Illumina reads were quantified by `quast 5.0.2` (Gurevich

et al. 2013) and `polca` (Zimin and Salzberg 2020), respectively. The best draft PacBio

assembly based on the previous quality statistics was selected and Illumina-corrected

using `HyPo` (Kundu *et al.* 2019). Scaffolds with less than 100 PacBio reads of support

and less than 10 Kbp of length were removed from the final corrected genome

assembly. *T. abietinum* ultrascaffolding was done using a Hymenochaetales species,

P. noxium KPN91 PacBio genome assembly, as reference (Accession number

GCA002287475, (Lee *et al.* 2019). We first checked chromosome correspondence

using `D-Genies` (Cabanettes and Klopp 2018) and manually ultrascaffolded in

`Geneious 6.1.6` (Kearse *et al.* 2012). Chromosomes were named according to *P.*

noxium chromosome similarity. We applied the same pipeline to the *T. fuscoviolaceum*

PacBio assembly, except that ultrascaffolding was performed using `RaGOO` (Alonge *et*

al. 2019), and the *T. abietinum* PacBio genome assembly as reference. Visual

inspection of syntenic comparisons were performed using `mummer 3.23` (Kurtz *et al.*

2004) and `D-Genies`. This approach allowed us to correct the order of the

ultrascaffolded chromosome 3 of *T. abietinum*. We assumed that the chromosome 3

order must be more similar between sister-species *T. abietinum* and *T. fuscoviolaceum*

than between *T. abietinum* and *P. noxium*. In both *Trichaptum* assemblies,

ultrascaffolded chromosomes contain artificial 10,000 Ns separating joined scaffolds.

Assembly statistics of the final genomes, such as N50, genome size, and

completeness of universal single copy orthologous genes, were assessed using

`quast` and `BUSCO 4.1.2` (Waterhouse *et al.* 2018). The training `BUSCO` database

was `agaricomycetes_odb10`, which contains 2898 genes.

Genomes of the 178 specimens, sequenced by the Illumina platform, were

assembled with `iWGS` wrapper (Zhou *et al.* 2016). We selected `SPAdes 3.14`

(Bankevich *et al.* 2012) assemblies based on `quast` quality reports. Genome

completeness was assessed with `BUSCO`. In addition, we included a DOE Joint

Genome Institute (JGI) MycoCosm Illumina-sequenced and assembled *T. abietinum*

specimen (L15831, (Varga *et al.* 2019).

Trichaptum *species classification and species tree reconstruction*

Species designation of our specimens was first supported based on a fast method,

`fastANI 1.1` (Jain *et al.* 2018). With `fastANI`, we calculated the pairwise average

nucleotide identity (ANI) among genome assemblies, whose values were then

converted to a percentage dissimilarity matrix by subtracting ANI from a value of 100%.

The dissimilarity data was used as distance to reconstruct a Neighbor-Joining (NJ)

phylogenetic tree in `MEGA v5` (Tamura *et al.* 2011).

The utilization of gene nucleotide and amino acid sequences of universal single copy

orthologs annotated with `BUSCO` assessed the species designation by `fastANI`.

Individual `BUSCO` protein alignments were generated with `MAFFT 7.455` (Katoh and

Standley 2013). Amino acid alignments were back translated to nucleotides using

`pal2nal v14` (Suyama *et al.* 2006). Codon columns with gaps were removed from

the alignments using `trimal 1.4.1` (Capella-Gutiérrez *et al.* 2009). Gene sequences

present in all specimens that retained at least 30% of positions and with more than 300

nucleotides (100 amino acids) were selected for additional analyses. In total, 1026

BUSCO genes (35% of the genes) passed our filters. Maximum Likelihood (ML)

phylogenetic trees of trimmed genes were reconstructed using `IQTree 2.0.3` (Minh

et al. 2020). The best fitted evolutionary nucleotide model for each gene was estimated

by `ModelFinder` (Kalyaanamoorthy *et al.* 2017) implemented in `IQTree`. Individual

gene trees were pooled in a unique file, which was the input to reconstruct the species

tree by applying a coalescent model implemented in `ASTRAL 5.7.4` (Yin *et al.* 2019).

Species tree branch support was assessed by calculating the gene concordance factor

implemented in `IQTree`. To assess reciprocal monophyly of BUSCO genes, ML

phylogenetic trees were read in R using `treeio v1.12` (Wang *et al.* 2019) and

converted to `ape v5.4` format (Paradis and Schliep 2018). Once species designation

were associated to phylogenetic tip labels, the trees were rooted using *T. biforme*

specimen as an outgroup. Monophyly test was performed using `spider v1.5`

(BROWN *et al.* 2012). ML phylogenetic trees of BUSCO genes detected as top 1% in

at least two nucleotide diversity statistics (see below) were drawn to a pdf using

`ggtree v2.2.4` (Yu *et al.* 2016).

Mating gene annotation, alignments and phylogenetics

Mating regions encoding the genes involved in the sexual cycle are conserved

among basidiomycetes (Coelho *et al.* 2017). We first searched for conserved flanking

genes to delimit the mating sites in our new PacBio genomes. Mating A (*MATA*) region

was located using *MIP1* (mtDNA intermediate peptidase), *bfg* (beta-flanking gene) and

GLGEN (Glycogenin-1) gene sequences. Mating B (*MATB*) region was delimited using

PAK (syn. *CLA4*, serine/threonine protein kinase). We found both mating regions by

performing a `blast` search in `Geneious` (Altschul *et al.* 1990) using *P. noxium*

flanking gene sequences as subject. Delimitation of genes and coding sequences in

mating regions were performed using `FGENESH` and the *P. noxium* gene-finding

parameters (Solovyev *et al.* 2006). Some annotated open reading frames (ORFs)

required manual curation. ORFs were `blastx` in NCBI to confirm the gene

designation. An additional annotation comparison to infer the number of exons in

different ORFs was done using `MAKER2` (Holt and Yandell 2011), where we included

the transcriptome dataset of L15831 *T. abietinum* as input (Varga *et al.* 2019).

The annotation of domains and motifs was performed using different strategies.

Typical homeodomain/homeobox domains in HD proteins were annotated with `CD-`

`search` using the CDD v3.18 – 55570 PSSMs database (Marchler-Bauer and Bryant

2004). To differentiate *HD1* and *HD2* genes, we first screened the nuclear localization

signal (NLS) domain using `NLS Mapper` (Kosugi *et al.* 2009). NLS is characteristic of

HD1 proteins (Kronstad and Leong 1990; Spit *et al.* 1998; Casselton and Olesnicky

1998). Conserved regions enriched in proline amino acids were suggested as potential

regions for activation domains (AD) for homeodomain proteins (James *et al.* 2011).

Coiled coil regions involved in the dimerization of the two homeodomain proteins were detected with `Coiled coils v1.1.1 Geneious plugin`. Proteins with seven-transmembrane G protein-coupled receptor superfamily domains are usually indicative of *STE3* pheromone receptors (Riquelme *et al.* 2005). The 7 transmembrane domains of the pheromone receptor protein were annotated with `PredictProtein` (Bernhofer *et al.* 2021). Pheromones were screened in close proximity to the detected pheromone receptors using `pheromone_seeker.pl` script (Mujic *et al.* 2017). Briefly, the perl script searches common aminoacid features in pheromones, such as the CaaX (C, cysteine; aa, two aliphatic amino acids; X is any amino acid) motif in the C-terminal of the pheromone (Niculita-Hirzel *et al.* 2008; Raudaskoski and Kothe 2010). Hits with a length shorter than 100 bp or longer than 200 bp, and/or distant to *STE3* genes were considered as false positives. Consequently, we removed those hits from the annotations. Additionally, pheromones in specimens missing at least one hit close to *STE3.2* or *STE3.4* were manually searched using pheromone amino acid sequences of specimens in the same clade for *STE3.2* or *STE3.4* phylogenetic trees. Pheromone maturation sites were located by searching glutamic/arginine (ER) or aspartic acid/arginine (DR) amino acid motifs (Casselton and Olesnicky 1998).

Once we had annotated the mating regions in our PacBio reference specimens, we were able to search for these genes in the Illumina sequenced and assembled genomes of the rest of specimens. We first generated local blast databases for our Illumina genomes. We BLASTed the reference flanking genes to pull out the mating regions. In case a mating region (*MATA* or *MATB*) was not contiguous, but split on different contigs, we assumed those regions kept the same gene order as in the PacBio reference genomes, and we ultrascaffolded the contigs for each mating region accordingly. 999 Ns were added between joined contigs. Similar to the PacBio genome

assemblies, we defined the mating regions to the scaffold/ultrascaffolded segment

containing sequences from *bfg* to *MIP1* for *MATA* region, and from *PAK* to *SNF2* for

MATB. Once regions were located and/or ultrascaffolded, we used the previous

`FGENESH` pipeline for annotating ORFs. Gene identification was performed by

BLASTing the genes from our reference genomes against the mating regions.

Additional identification was performed by searching family matches in the InterPro-5-

RC6 database (Mitchell *et al.* 2019). All annotations were stored in gff3 files generated

by `Geneious`.

For calculating the frequency of each unique gene block for each region, we followed

a conservative approach. We took into account only mating regions that were

assembled contiguously by `SPAdes` and did not need an ultrascaffolding step. The

criteria applies from *bfg* to *MIP1* (*MATA*) and from *RIC1* to *SNF2* (*MATB*) genes. Gff3

files were the input to plot *MAT* gene order in `R` using `dplyr 1.0.2`, `gggenes 3.3.2`,

`ggplot2 3.3.2`, and `rtracklayer 1.48.0`.

To calculate the nucleotide identity conservation of mating regions, we first aligned

MATA and *MATB* sequence regions independently using FFT-NS-1 algorithm,

200PAM/k=2 score matrix and default gap opening penalty and offset value with the

`MAFFT 7.017` version implemented in `Geneious`. Gaps present in more than 20% of

specimens were removed with `trimal`. Identity plots for each region was generated

in `Geneious`.

For phylogenetics, we first generated amino acid sequence alignments using `MAFFT`

and back translated to nucleotides with `pal2nal`. Again, we were conservatives and

codon columns with gaps were removed from the alignments using `trimal`. The

trimmed alignment was converted to amino acid for ML phylogenetic tree

reconstruction with `IQTree`. An evolutionary protein model for each protein was

estimated by `ModelFinder`. Homeodomain and pheromone receptors were classified

in clades/alleles according to visual inspection of ML phylogenetic trees and pairwise

amino acid identity percentages calculated in `Geneious`. Note here that alleles refer

to similar protein sequences enclosed in a clade and not to haplotype sequences.

Mating genes, flanking genes and the species tree were plotted with `iTOL 5.7`

(Letunic and Bork 2019). *T. biforme* was used as the outgroup to root the trees when

possible.

Nucleotide statistics, tests to detect balancing selection and recombination

Trimmed codon-based sequence alignments of mating genes, their flanking genes

and BUSCO genes were the input for the calculation of nucleotide statistics. Pairwise

sequence estimation of synonymous and nonsynonymous substitution rates were

calculated using the model of Yang and Nielsen (2000) implemented in the `yn00`

program of `PAML 4.9` (Yang 2007). We calculated nucleotide statistics, absolute

nucleotide divergence (dxy) and relative divergence (Fst) using the `PopGenome`

`2.7.5` package in `R 4.0.2` (R Development Core Team 2010). Sequences were split

in different alignments based on the species designation inferred from the species tree

phylogeny. Each species-specific alignment was the input to calculate nucleotide

diversity (π, Pi) and Tajima's D using `PopGenome`. A multilocus test for detecting

balancing selection was performed with `HKAdirect 0.70b` (Esteve-Codina *et al.*

2013). We generated species-specific input tables for `HKAdirect` using `PopGenome`.

The input tables consisted of the number of samples (nsam), segregating sites (S),

absolute divergence (Divergence) and length for each species-specific gene

(length_pol and length_div). We set factor_chrm to 1 because our genes are encoded

in the nuclear genome. The input tables were necessary to run the multilocus test.

dS and dN boxplots, and genome-wide gene nucleotide statistic plots were

generated in `R` using `cowplot 1.0.0, dplyr, ggplot2, ggrepel, PopGenome,`

`reshape2 1.4.4,` and `rtracklayer.`

To detect evidence of recombination, homeodomain and pheromone receptor

individual nucleotide alignments were analyzed in `RDPv4` (Martin *et al.* 2015).

Recombination events significantly detected by all seven methods (`RDP, GENECONV,`

`Bootscan, Maxchi, Chimaera, SiSscan` and `3Seq`) were reported.

Monokaryon specimen crosses

To test the compatibility of allelic classes designation for *MATA* and *MATB* alleles,

we designed putative compatible and incompatible crosses (Supplementary Table 5).

A total of 21 and 10 crosses were designed for crosses within *T. abietinum* and *T.*

fuscoviolaceum, respectively, and 10 crosses between both species. Crosses were

performed by plating monokaryons on 3% malt extract agar plates at 4 cm distance

between the two monokaryons. After 2-4 weeks, hyphal growth generated contact

zones between both monokaryons. Then, a small piece from the middle area of the

contact zone was extracted and re-plated on a new 3% malt extract agar plate. After

one week of growth, we examined clamp connections by placing a sample of the

culture on a slide under a Nikon Eclipse 50i (Nikon Instruments Europe BV, Amsterdam

Netherlands). Images of the microscopic slides were acquired under a Zeiss Axioplan-

2 imaging with Axiocam HRc microscope camera (Zeiss, Oberkochen Germany). All

crosses were performed in triplicates.

Bioinformatic tools

All bioinformatic tools, programs and most scripts were implemented in UNINETT Sigma2 SAGA High-Performance Computing system (technical details here: https://bit.ly/2VkIXM2), except most `R` steps. `R` analyses were performed in Windows 10 operative system, implemented in `RStudio 1.3.1073` with an `R version 4.0.2`. Bioinformatic tools were installed through `conda` (Anaconda Software Distribution 2016) under the SAGA module `Anaconda2/2019.03`. Non-computational demanding and/or simple python steps were implemented in `Jupyter notebooks` using python modules installed through `conda` under `Windows 10 Anaconda 1.9.12` version.

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Declarations

Ethics approval and consent to participate

Not applicable

Consent for publication

Not applicable

Availability of data and materials

PacBio and Illumina sequencing data have been deposited in NCBI's SRA

database, Bioproject PRJNA679164. PacBio and Illumina genome assemblies, *MAT*

regions and their annotations (gff files), together with the source data underlying Figure

5, Supplementary Figs. 4,5, 7, 11 and the details about command lines used to run the

programs can be found at https://perisd.github.io/TriMAT/. PacBio genomes were

submitted to the European Nucleotide Archive (ENA) under the project number

PRJEB45061. Phylogenetic trees can be accessed following the `iTOL` link

http://itol.embl.de/shared/Peris_D. All other relevant data are available from the

authors upon reasonable request.

Competing interests

DP declares receiving royalties from VIU based on publication productivity.

Authors' contributions

Conceived and designed the experiments: D.P., I.S., H.K., D.S.L. Collected

specimens in the field, performed spore isolation and specimen identification I.S.,

D.S.L, V.B.K., I.S.M. Performed genomic DNA extraction V.B.K., I.S.M., I.S., D.S.L,

M.S.D. Performed specimen crosses D.S.L., V.B.K. Computational analyses, plotting

and statistics were performed by D.P. Wrote a first draft of the paper D.P., D.S.L., I.S.

All authors read, commented and approved the final manuscript.

1086

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1111   **Figure legends**

1112

1113 **Figure 1.** Circumboreal distribution of *Trichaptum* specimens.

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1115 Geographic distribution of our *Trichaptum* specimens.

1116

1117

1118 **Figure 2. *T. abietinum* and *T. fuscoviolaceum* are sister-species.**

1119

Schematic Neighbor-Joining (NJ) phylogenetic tree reconstructed using 100-ANI

values. More detailed (uncollapsed species clades) NJ and ASTRAL phylogenetic

trees can be found in Supplementary Figure 1 and in iTOL:

https://itol.embl.de/shared/Peris_D. The number of specimens (n) and the average

100-ANI within species are indicated for each species clade. The L15831 genome is

included increasing the *T. abietinum* collection to 139 specimens. Dashed arrows

indicate the average 100 – ANI of pairwise specimen comparisons for the compared

species. Colors highlight the species designation after the whole genome sequencing

analysis.

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1130

Figure 3. Two homeodomain complexes in *MATA* and four putative pheromone receptors in *MATB* were detected in

***T. abietinum* and *T. fuscoviolaceum*.**

Schematic representation of the gene composition and direction in both PacBio reference genomes. Homeodomain, pheromone and

pheromone receptors genes are represented as indicated in the legend. The rest of the genes were colored in black, and the gene

names were indicated once in *T. abietinum*, except for additional genes that were detected in *T. fuscoviolaceum*. *aHD*: alpha-complex

homeodomain*; ARM:* ARM-repeated containing protein; *bfg* beta-flanking gene; *bHD:* beta-complex homeodomain; *DML1*: mtDNA

inheritance protein; *GLGEN:* glycogenin-1; HP: hypothetical protein; *MIP1:* mtDNA intermediate peptidase; *PAK:* serine/threonine

protein kinase; *RSM19:* 37S ribosomal protein S19*; RIC1:* RIC1-domain containing protein*; SNF2:* Snf2 family dna-dependent

ATPase; *STE3:* GPCR fungal pheromone mating factor*.*

1141

1142

1143 **Figure 4. High nucleotide diversity among mating genes.**

Identity (%)

■ 100

■ >30 - <100

■ <30

P/R: contains the pheromone and pheromone receptor
HP: hypothetical protein

1144

1145 Identity values of nucleotide alignments for *MATA* and *MATB* regions are displayed. Gene arrows indicate the coding direction;

1146 however, when gene direction was different (Figure 5) in specimens, we represented a green rectangle. Bar colors represented the

1147 level of identity according to the legend.

1148

1149 **Figure 5.** **Mating regions are highly dynamic and show multiple rearrangements among *Trichaptum* specimens.**

1150

1151

Panel A) *MATA* gene order representations for *Trichaptum* specimens with *MATA* genes assembled in one contig. Panel B) *MATB*

gene order representations for *Trichaptum* specimens with *MATB* genes assembled in one contig. In the *MATB* case, we considered

1154   assembled in one contig when region was assembled contiguously from *RIC1* to *SNF2.* For that reason GAP label is also drawn in

1155   this panel B). The percentage of specimens containing a specific *MAT* block order is indicated in the left. Genes were colored

1156   according to the legend. Species containing a particular *MAT* block are represented by colored stars at the right of the *MAT* block and

1157   were colored according to the legend. Coding sequence direction is represented by the arrows.

1158

Figure 6. ML phylogenetic tree topology of mating proteins suggests balancing selection and trans-species polymorphisms.

ML phylogenetic protein trees of *GLGEN* (a flanking gene), *STE3.1* (a potential non-mating pheromone protein) and *STE3.2* (a mating

pheromone receptor protein) are represented in panel A, B and C, respectively. Species designations are indicated by colored bars

according to the legend. Branch support was assessed using the ultrafast bootstrap (UF bootstrap) method. UF bootstrap is indicated

in each branch by a gradient color according to the legend. Scale bar is represented in number of amino acid substitutions per site.

The rest of phylogenetic protein trees and more detailed trees for the represented here are found in Supplementary Figure 4.

1168 **Figure 7. Multiple nucleotide statistics support long-term balancing selection in genes located in the mating region.**

1169

Nucleotide diversity (Pi), Tajima's D, average number of synonymous substitutions per synonymous sites (dS), absolute divergence

(dxy) and relative divergence (Fst) values are reported in panels A), B), C), E) and F), respectively. Gene contribution to the

significance of a HKA test (partial HKA) are represented in panel D). Gene names containing 1% of the highest values (A, B, C, D) or

1% of the lowest values (E, F) are displayed in each panel. *T. fuscoviolaceum* gene names with the highest partial HKA values are

displayed due to the significant result of the HKA test (*p*-value = 3.13 x 10^{-39}). Each dot represents a gene. Dots were colored

according to within species calculations (green or purple for *T. abietinum* and *T. fuscoviolaceum,* respectively) or between species

comparison (cyan).

1177

1178

1179 **Tables**

1180

1181

1182   Table 1. **PacBio assembly stats**.

				Before ultrascaffolding			After correction & ultrascaffolding		
Specimen name	Descended from	Species	Assembler	Contigs	N50 (Kb)	L50	Scaffolds	N50 (Kb)	Bases (Mb)
TA10106M1	TA-1010-6	*T. abietinum*	Canu	26	4,268.52	5	12	4,354.20	49.43
TF100210M3	TF-1002-10	*T. fuscoviolaceum*	Canu	118	2,011.66	10	12	5,547.79	59.09

1183

1184

1185 **Supplementary material**

1186

1187 **Supplementary Figure 1. Phylogenetic trees suggest some population structure**

1188 **in *Trichaptum* species**.

1189

A) Neighbor-Joining tree using the 100 – ANI values as distances to reconstruct the

tree. Scale bar represents 100 – ANI / 100. B) Coalescent species tree using 1026

BUSCO ML phylogenetic trees. Scale bar represents coalescent time. Bar colors

represent the species designation according to the legend. Circles in branches

represent the concordance factor support (0: none ML tree agrees – 100: all 1028 ML

trees agree).

1196

1197 **Supplementary Figure 2. Genomes of *T. abietinum* and *T. fuscoviolaceum* are**

1198 **mostly syntenic.**

1199

1200 `D-Genies` dot-plot of our two reference PacBio genomes. Alignment matches are

1201 represented by dots and the identity values are colored according to the legend. MAT

1202 region locations are indicated.

1203

1204 **Supplementary Figure 3. Non-common CpaX motifs were detected in *Trichaptum***

1205 **pheromone proteins.**

1206

1207 Phe3.2 and Phe3.4 sequence alignments of unique pheromone proteins are

1208 represented in panel A) and B). Sequence logo is represented at the top of each

1209 alignment to highlight conserved amino acids. Polar amino acids in the CaaX motif are

1210 squared in red.

1211

1212 **Supplementary Figure 4. ML phylogenetic trees reconstruction of individual**

1213 **proteins shows signals of balancing selection in mating genes and linked genes.**

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ML phylogenetic trees of individual proteins from the *MATA* and *MATB* regions are

represented. Species designation and continental isolation are indicated by colored

bars according to the legend. Branch support was assessed using the ultrafast

bootstrap (UF bootstrap) method. UF bootstrap is indicated in each branch by a

gradient color according to the legend. Scale bar is represented in number of amino

acid substitutions per site.

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1222 **Supplementary Figure 5. Pairwise amino acid identity within mating proteins.**

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Pairwise amino acid identity was calculated for protein sequences within a clade/allele

and between protein sequences from different clades. Dots represent the average

value for within or between pairwise comparisons. Median values for all proteins are

represented by horizontal lines inside the boxes, and the upper and lower whiskers

represent the highest and lowest values of the 1.5 * IQR (inter-quartile range),

respectively. Box plots and dots were colored according to the species where the

pairwise comparison was performed. Horizontal dashed line represents the maximum

value of 100 - % amino acid identity. We considered 86% amino acid identity a

threshold to classify sequences in a clade/allele.

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Supplementary Figure 6. Pairwise amino acid identity of mating proteins from specimens with identical mating types.

Pairwise amino acid identity was calculated for protein sequences within an allelic class of the same species (2 pairwise comparison for *T. fuscoviolaceum*) and between species (2 pairwise comparisons between 2 *T. abietinum* and 2 *T. fuscoviolaceum*). Dots represent the average value for within or between pairwise comparisons. Horizontal dashed line represents the 86% amino acid identity threshold detected in Supplementary Figure 5.

Supplementary Figure 7. Two recent duplications of *aHD2* genes generated xHD2 proteins.

ML phylogenetic trees of a protein sequence alignment containing xHD2, aHD2 and bHD2. xHD2 sequences are highlighted with red arrows. Branch support was assessed using the ultrafast bootstrap (UF bootstrap) method. UF bootstrap is indicated in each branch by a gradient color according to the legend. Scale bar is represented in number of amino acid substitutions per site.

Supplementary Figure 8. Some mating alleles are older than *Trichaptum* genus.

Selected regions of ML phylogenetic trees of trimmed (`trimal -gt 0.8`) protein sequence alignments containing HD2-HD1 and STE3 are displayed in panel A) and B), respectively. Branch support was assessed using the ultrafast boostrap (UF bootstrap) method. UF bootrstrap is indicated in each branch by a gradient color

according to the legend. Scale bar is represented in number of amino acid substitutions

per site. *Trichaptum* proteins are highlighted by red arrows or enclosed in a red bar.

Protein sequences were retrieved from DOE-JGI MycoCosm and download from NCBI

as indicated:

1. Hymneochaetales JGI protein list: Fomme: *Fomitiporia mediterranea* (MF3/22),

Onnsc: *Onnia scaura* (P-53A), Phefer: *Phellinidium ferrugineofuscum*

(SpK3Phefer14), Pheign: *Phellinus ignarius* (CCBS575), Phevit: *Phellinus*

viticola (PhevitSig-SM15), Pheni: *Phellopilus* (*Phellinus*) *nigrolimitatus*

(SigPhenig9), Porchr: *Porodaedalea chrysoloma* (FP-135951), Pornie:

Porodaedalea niemelaei (PN71-100-IP13), Resbic: *Resinicium bicolor*

(OMC78), Ricfib: *Rickenella fibula* (HBK330-10), Ricmel: *Rickenella mellea*

(SZMC22713), Schpa: *Schizopora paradoxa* (KUC8140), Sidvul: *Sidera*

vulgaris (OMC1730).

2. Downloaded from NCBI: [HYMENOCHAETALES] *Fomitiporia mediterranea*

(MF3/22), *Pyrrhoderma noxium* (KPN91), *Shanghuangporus baumii* (Bpt 821),

Rickenella mellea (SZMC22713); [AGARICALES] *Laccaria bicolor* (S238N-

H82), *Coprinopsis cinerea* (Okayama7#130); [POLYPORALES] *Rhodonia*

(*Postia*) *placenta* (Mad-698-R).

To remove protein redundancy in protein collection of species retrieved from JGI, a

blastp using the downloaded NCBI protein sequences and our HDs and STE3s protein

representatives of each clade/allele was performed. For each input sequence two hits

were used for sequence alignments, a protein sequence with the lowest e-value and

the protein sequence with the highest coverage value. Complete ML phylogenetic trees

are deposited in a shared iTOL folder: https://itol.embl.de/shared/Peris_D

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Supplementary Figure 9. Geographic distribution of mating alleles supports long-term segregation.

Stacked bar plots are represented for each mating gene. Bars were colored according to their geographic location.

Supplementary Figure 10. dS and dN values for mating, flanking and BUSCO genes supports balancing selection in mating genes.

Panels A), C) reports the pairwise dS within each species (colored according to the legend) or between species (black) for each gene in the *MATA* and *MATB* regions, respectively. Similarly, panels B), D) reports the pairwise dN. Median values for all genes are represented by horizontal lines inside the boxes, and the upper and lower whiskers represent the highest and lowest values of the 1.5 * IQR (inter-quartile range), respectively. Median values for BUSCO genes are represented by horizontal dashed lines and they are colored according to the legend, green and purple for within *T. abietinum* and *T. fuscoviolaceum* comparisons, respectively, and black between species comparisons.

Supplementary Figure 11. Detected BUSCO genes are shown to have some signal of non-reciprocal monophyly.

Maximum-Likelihood phylogenetic trees of five detected BUSCO genes based on nucleotide statistics (Figure 7) are represented. Scale bar is represented in number of nucleotide substitutions per site.

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Supplementary Figure 12. Clade classification based on phylogenetics and

protein identity generated compatible and incompatible crosses.

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Example plate and microscope pictures of the specimen cross experiments are

displayed. The rest of the pictures indicated in Supplementary Table 5 can be found in

https://perisd.github.io/TriMAT/. When alleles were distinct in both mating loci clamp

connections are observed in septae.

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