Transitioning to confined spaces impacts bacterial swimming and escape
response

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Abstract

Symbiotic bacteria often navigate complex environments before colonizing
privileged sites in their host organism. Chemical gradients are known to facilitate
directional taxis of these bacteria, guiding them towards their eventual destination.
However, less is known about the role of physical features in shaping the path the
bacteria take and defining how they traverse a given space. The flagellated marine
bacterium *Vibrio fischeri,* which forms a binary symbiosis with the Hawaiian bobtail squid,
Euprymna scolopes, must navigate tight physical confinement, squeezing through a

bottleneck constricting to ~2 µm in width on the way to its eventual home. Using
microfluidic *in vitro* experiments, we discovered that *V. fischeri* cells alter their behavior
upon entry into confined space, straightening their swimming paths and promoting
escape from confinement. Using a computational model, we attributed this escape
response to two factors: reduced directional fluctuation and a refractory period between
reversals. Additional experiments in asymmetric capillary tubes confirmed that *V. fischeri*
quickly escape from tapered ends, even when drawn into the ends by chemoattraction.
This avoidance was apparent down to a limit of confinement approaching the diameter of
the cell itself, resulting in a balance between chemoattraction and evasion of physical
confinement. Our findings demonstrate that non-trivial distributions of swimming bacteria
can emerge from simple physical gradients in the level of confinement. Tight spaces
may serve as an additional, crucial cue for bacteria while they navigate complex
environments to enter specific habitats.

Significance Statement

Symbiotic bacteria that navigate to and through specific host tissues often face tight
physical confinement. This work reveals that confinement-associated changes in
swimming can dramatically alter taxis, shaping bacterial localization in conjuncture with
other motility-directing cues. This work helps explain how bacteria can avoid getting
stuck in confined areas while transiting to privileged spaces, adding confinement as an
environmental cue that symbiotic bacteria use to shape their motility behavior.
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59 **Main Text**
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61 **Introduction**
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Motility is essential to the physiology of many bacteria. One of the most common
modes of bacterial motility is coordinated rotation of extended structures called flagella,
which utilize physical anisotropy to propel cells through viscous fluids (1, 2).
Coordination of flagellar rotation results in directional movement and, when combined
with detection of physical and chemical cues, enables bacteria to migrate to or from
particular sites, facilitating activities such as predation (3), accessing preferred niches (4,
5), and pathogenesis (6). The directionality of flagellar swimming has been classically
described in *Escherichia coli* by the "run-and-tumble" model (7), but flagella are also
involved in other motility strategies, such as flicking (8, 9) and wrapping (10, 11). These
swimming modalities each involve different specific flagellar actions, and their effects on
cellular behavior depend on physical characteristics of the cell's environment, with
seemingly straightforward changes in environment drastically shaping overall bacterial
behavior (12).

Swimming and reorientation in bulk fluid by flagellated bacteria are behaviors that
have been well-studied (13), but recent findings have highlighted that these behaviors do
not translate well to swimming in more complex environments. This may be due to
changes in environmentally-stimulated flagellar organization or activity, or because of
interactions between the cell and the physical environment that do not exist in bulk fluid.
For example, physical forces cause flagellated swimmers to associate with surfaces (14,
15), which has interesting consequences in conditions of heterogeneous surface
features (16).

Physical confinement is one important factor that bacteria commonly face during motility, and confinement effects can profoundly alter their trajectory and migration patterns (17); nevertheless, this factor is explicitly excluded from open-space swimming measurements. Even under moderate levels of confinement, swimming microorganisms have been observed to accumulate near a solid surface, produce circular trajectories, and scatter from obstacles (15, 18). Recent work revealed that even between bacteria with similar flagellar organizations, there are large variations in swimming tendencies, especially with regards to surface association and confined movement (18). The drastic changes in the behavior of confined bacteria as well as the underlying physical mechanisms are not well understood, and highlight that open space swimming characterizations will often not accurately generalize to swimming in other physical conditions. Understanding the impact that confinement has on flagellar swimming is especially important for host-associated bacteria, which often navigate tight spaces to reach privileged host sites (19).

The symbiotic marine bacterium *Vibrio (Aliivibrio) fischeri* offers a valuable model for studying the influence that transitioning to confined space has on flagellar swimming. Several strains of this bacterium transit from open ocean water to stably colonize animal hosts, such as the Hawaiian bobtail squid (*Euprymna scolopes*) (20). In the well characterized squid-vibrio symbiosis, *V. fischeri* traverses distinct microenvironments before reaching its permanent residence in the deep crypts of the juvenile squid light organ. The penultimate microenvironment *V. fischeri* reaches before the crypts --- the so-called bottleneck --- can close to widths of less than 2 microns (21, 22), preventing most cells from completing this journey. This situation means that, as *V. fischeri* colonizes the squid, it must transition from effectively no confinement to an extremely tight degree of confinement. As flagellar motility has previous been shown to be essential for its symbiotic organ colonization (5, 23), and as other *Vibrio spp.* use flagella to colonize similarly host tissues with some degree of confinement (24), we reasoned that *V. fischeri* would provide an excellent tool for examining changes in swimming behaviors during transitions between environments of varying confinement.

Here, we use microfluidics, high-speed microscopy, and mathematical modeling to describe the behavior of bacteria swimming into a confined space from an unconfined one. We find that while speed remains nearly constant across conditions, cells swimming under confined conditions tend to swim straighter than less confined cells. This adjustment in swimming directionality is paired with a delayed frequency of sharp reversals. Together, these new behaviors promote an exiting of highly confined spaces, an outcome that we observed through both simulation and experimental observation. We also found that this apparent aversion to confinement can be counterbalanced with chemoattraction, leading to a stable spatial organization of cells. These factors may explain a critical behavior used by bacteria while they navigate between free-living and symbiotic habitats.

Results

Physical confinement alters swimming behavior

V. fischeri displays a generally non-directional pattern of swimming in open space (Movie S1); however, due to previously described work finding that asymmetric physical environments promote spatially organized bacterial populations (25), we hypothesized that confinement similar to that seen during colonization would stimulate a

more directed or organized swimming activity. To test the effects that physical
confinement had on bacterial swimming behavior, we designed dead-end microfluidics
devices with varying levels of two-dimensional confinement (Fig. 1A). These devices
contained a central "open" chamber, in which there is effectively no confinement in any
direction, as well as several perpendicular "confined" channels, in which there is little
confinement in the x-y direction, but the bacteria are confined on the top and the bottom
(z-direction) (Fig. 1A). Importantly, there was no directional background flow throughout
the microfluidic apparatus (26).
141   We measured swimming under varying confinement after loading these devices
with a suspension of the well-studied *V. fischeri* isolate ES114 (27) in particle-free filter-
sterilized ocean water. This medium choice was made to minimize consumable nutrients
and the potential production of chemotactic gradients. Previous electron microscopy
images showed that the comma-shaped cell bodies of intact *V. fischeri* cells are ~0.6-1
µm wide (28, 29), so to create relatively confined and unconfined environments, we used
devices with two z heights, defining the two-sided confinement spacing: 10 µm, in which
V. fischeri would be relatively less confined, and 2 µm, in which *V. fischeri* would be
tightly confined. We were surprised to discover that *V. fischeri* cells displayed distinctive
swimming behaviors as they moved from the open chamber into the differently confined
channels (Movies S2, S3). Specifically, while cells entering the 10 µm channels swam
freely throughout the channel (Fig. 1B), cells entering the tightly confined 2 µm channels
quickly and efficiently exited the channels (Fig. 1C). These contrasting behaviors were
even more marked when we tracked individual bacteria in each confinement (Fig. 1D).
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156   *Confinement reduces residency*

157   We tracked >300 individual trajectories of *V. fischeri* cells entering either confined
or unconfined channels, and quantified differences in swimming parameters between
cells entering these two differently confined channels. *V. fischeri* moving into confined
channels penetrate more shallowly (Fig. 2A) and spend less time in the channels (Fig.
2B). We found minimal differences in speed between the two confinement states (Fig.
2C). We also did not find a difference between the entering and exiting speeds (Fig. 2D),
nor between cells that had recently entered the channels and those that had been in the
channels for a while (Fig. 2C,D).

Confinement delays reversals

166   We next aimed to uncover what factors contributed to the stark divergence in
swimming behavior as cells entered differently confined environments. One important
distinction that emerged from comparing bacteria swimming in 2 µm and 10 µm
channels is in the 'run duration', or the time interval between sharp reversals. The
reversals were noted when the swimming direction changed by more than 120° within 80
ms. We observed that bacteria entering the 2 µm channels had a reversal pattern
distinct from those entering 10 µm channels. Specifically, in the less-confined condition,
there was an exponential decrease in the frequency distribution of run durations,
indicating a random and equally distributed pattern of sharp reversals (30) (Fig. 2E).
However, in the 2 µm channels, while quick reversals were relatively infrequent, there
was a relative peak of reversals after ~0.5 s, followed by a random reversal frequency
similar to those seen in 10 µm channels (Fig. 2E). These data indicate that confined cells
display a more robust "refractory" period between reversals, during which they tend to
have a period of longer swimming runs, both after entering the channels and between
reversals.

Confinement reduces directional deviation

182   In addition to a discrepancy in reversal patterns between cells in the 2 μm and 10
μm channels, we hypothesized that a cell rotating around the vertical z axis is expected
to experience increased viscous drag in tighter gaps, resulting in a lower rotational
diffusion coefficient. To measure this, we measured the bacterial swimming direction,
which is predicted to change randomly over time due to thermal fluctuations in the
surrounding fluid. Our analysis revealed that the bacteria undergo both small and large
directional changes, the latter due primarily to sharp reversals. To estimate the rotational
diffusion coefficient arising solely from thermal fluctuations, we removed sharp reversals
(as defined earlier, directional changes exceeding 120° within 80 ms), and considered
the mean of the square of the cumulative directional change over time (Fig. 3A). This
analysis revealed that confined cells displayed lower changes in directional reorientation
than unconfined cells (rotational diffusion coefficient [D_r]: $D_{r,10\mu m}$ = 3.8 rad^2 s^{-1}; $D_{r,2\mu m}$ =
2.0 rad^2 s^{-1}). From these data we concluded that confined cells exhibited straighter
swimming trajectories than their unconfined counterparts (Fig. 3A). We did not find
evidence of either confinement-based orientation that could steer the bacteria towards
an exit, or any association between angle positioning and swimming speed (Fig. S1A).
Specifically, we observed no association between orientation angle and angle change
(Fig. S1B) or between orientation angle and speed change (Fig. S1C) in either the
confined or unconfined channels.

201   Motivated by the delayed reversals and the reduced rotational diffusion
coefficient of bacteria in the relatively tighter confinement, we developed a simplified
model to simulate the bacteria swimming with these differing traits (see Methods and SI).
By varying D_r and the delay in reversals while keeping all other parameters fixed, we
found a drastic qualitative difference in swimming behavior resembling our experimental
observations (Fig. 3B,C). These behavioral changes were sufficient to confer a
difference in channel residence (Fig. 3D,E). When we compared these simulated results
with those from our experimental findings, we found similar overall patterns of
penetrance and escape (Fig. 3F,G), demonstrating that changes in rotational diffusivity
and reversal frequency are sufficient to result in confinement-associated escape
behavior.

Confinement-based swimming can be counterbalanced by chemotactic cues
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214   After demonstrating the confinement-avoidance swimming behavior, we sought
to explore how this behavior influenced another known modulator of swimming behavior:
chemotaxis. For these experiments, we used serine, which is a strong chemoattractant
for *V. fischeri* (31). To allow us to simultaneously confine the cells while applying the
attractant, we confined the bacteria with precise-diameter glass capillaries (see
Methods) rather than dead-end channels. Suspensions of *V. fischeri* were loaded into
the capillaries and, after minimizing background flow, we monitored the distribution of
the cells inside capillaries of different terminal diameters after addition of the
chemoattractant outside the capillary tip. In tightly confined capillaries (1 μm or 2 μm
minimum diameter), cells avoided the most confined region (tip) of the capillary,
mirroring what we saw in our dead-end channels (Movies S4-6). Even with the presence
of chemoattractant in the external fluid, cells avoided the tip, rarely passing through
these regions despite the physical clearance to do so (Fig. 4A,B). Conversely, cells
experiencing less confinement readily left the capillaries and swam towards the
chemoattractant outside the tip (Fig. 4C).

Discussion

The data presented here reveal that tight physical confinement can alter bacterial swimming behavior and long-term distribution by reducing directional fluctuations and delaying reversals. These experiments demonstrate that confinement interactions have profound implications on bacterial behavior, and may shape the patterns of motility during habitat transitions like those that occur during the initiation of symbiotic associations with animal and plant hosts.

The reversals we tracked under confined conditions could be the result of (i) the run-reverse activity used by many marine bacteria (32), (ii) the run-reverse-flick method employed by other *Vibrio* species (33), or (iii) flagellar wrapping, all of which allow quick, sharp-angle changes in swimming trajectories (11). Wrapping has been previously described in *Shewanella sp.*, *Burkholderia sp.*, and *V. fischeri* (10), and could result, at least partially, from interactions between the environment and the physical structure of the flagellar filament and its constituent flagellin proteins (34). The *V. fischeri* genome encodes at least five flagellin genes (35) and, as the time scale of reversal is too short to result from proteomic remodeling of these flagella (36), the specific individual combination of these flagellins could promote or inhibit the structural dynamics required for wrapping, potentially explaining heterogeneity among cells that quickly reversed rather than persisted in confinement. Also, our measurements showed that confined cells swam forward and backwards at similar speeds, whereas previous investigation of wrapping and run-reverse-flick behaviors has shown that these cells swim at different speeds when going forward and reverse (11, 37). Interestingly, a prior report has also described a difference in trajectory curvature between forward and reverse swimming, especially near a single surface (37). As we did not observe a noticeable difference in translational or angular speed by confined *V. fischeri* before or after reversals, two-sided confinement may balance out differences between reversal-associated swimming modes, potentially influencing reversals, escape behavior, and other directional movement (38–41).

The confined swimming behavior we observed may be selected for among bacterial species that experience confinement as a common part of their lifestyles. By preferentially reversing when confinement increases, cells could escape from places in which they might become stuck. There may even be a critical confinement point beyond which bacteria, especially rod- or comma-shaped bacteria like *V. fischeri*, may not be physically able to tumble or flick to facilitate an escape because of their shape and/or the length of their flagella. Such a constraint is exacerbated in bacteria like *V. fischeri* that can also produce extracellular capsule or polysaccharides, increasing their effective dimensions in certain environments (42, 43). These cells might avoid immobilization by quickly swimming away from tightly confined spaces and/or by wrapping their flagella to reduce their spatial footprint while swimming. In symbioses between flagellated bacteria and hosts, avoidance of being physically trapped could benefit both the bacterium and the host; if bacteria become trapped during colonization, they would no longer be able to swim towards favorable environments, while the host would experience clogging of bacteria-colonized tissues.

For bacteria like *V. fischeri*, which also must proceed through tightly confined spaces on the way to their target tissue, the balance between confinement avoidance and positive taxis (*e.g.*, attraction to host-derived nutrients) may facilitate a stochastic

procession through tightly confined spaces. This phenomenon may explain some of the
extreme bottlenecking of *V. fischeri* cells on the way to the light organ crypts, which
results in very few cells actually being able to colonize the symbiotic organ (44). Similar
dynamics could play out in other symbiosis with tightly confining symbiotic tissues (45,
46), or with bacteria shaped like *V. fischeri*, including several pathogens (47).The
combination of straighter swimming trajectories and increased escape activity could
promote behavior where cells either make it through a tightly confined space to their
target environment, or back out before they get stuck. This same principle could be
applied to sort or separate cells based on their motility; physically confined environments
could be experimentally shaped to selectively enrich for or against cells with this type of
confinement-associated swimming behavior. Previously, similar physical heterogeneity
has been shown to powerfully guide bacterial sorting (25). Our findings could inform the
creation of apparatuses that spatially organize swimming cells by directing their
confinement, providing a simple means to shape bacterial populations and communities.
Our results also open the door for further exploration of the physical and biological
determinants of confined swimming, holding major implications for fields such as host-
microbe symbiosis and microbial biophysics.

Our findings open new questions about how bacteria and other flagellated cells
navigate their physical environments, and how they modify their behaviors according to
their surroundings. Questions remain about how different bacteria respond to
confinement, the physical and biological factors that promote or reduce this escape
behavior, and the downstream consequences of swimming modulation in response to
confinement. These findings could explain complex interactions between bacteria and
their environments, providing insights into diverse fields such as biofouling and
multispecies symbiosis.

Materials and Methods

Bacterial preparation

Vibrio fischeri wild-type strain ES114 (27) was grown in LBS medium (pH 7.5, 10 g L^{-1}
tryptone, 5 g L^{-1} yeast extract, 342 mM NaCl, 20 mM Tris (48)) at 28 ^{o}C. To label cells
with GFP, plasmid pVSV102 (Kan^{r}, (49)) was conjugated into *V. fischeri* using a
previously described protocol (50). GFP-expressing cells were isolated on LBS plates
containing kanomycin (50 μg mL^{-1}) and stored in 25% glycerol at -80 ^{o}C.

For experimental conditions, individual colonies of GFP-labelled *V. fischeri* were placed
in 1 mL of SWT medium (70% ocean water, 5 g L^{-1} tryptone, 3 g L^{-1} yeast extract, 32.5
mM glycerol (50)) for 90 minutes at 28 ^{o}C; these cells were pelleted at 8000 x g for 5
min. The supernatant was removed, and the cells were resuspended in 1 mL of filter-
sterilized ocean water (FSOW), and left at room temperature throughout imaging.

For chemoattraction experiments, L-serine was dissolved in FSOW to a final
concentration of 40 mM, and added outside of the end of a capillary tube.

Fluorescence open space imaging

V. fischeri ES114 was subcultured from a single colony as described above, pelleted,
and resuspended in FSOW, then stained using FM-464FX (ThermoFisher, Waltham,
MA) according to previous protocols (10). About 10 μL of cell suspension was spotted
inside of a fingernail polish ridge forming a square on a clean glass slide, and was

sealed under a glass coverslip. Cells were imaged on a Nikon Eclipse Ti TIRF
microscope using a 100X NA 1.5 TIRF objective (Nikon, Melville, NY) at 33 frames s^{-1}
rate with a Cascade 512B EMCCD camera (Photometrics, Tucson, AZ). FM4-64 was
excited at 532 nm (~ 10% laser power) and the emission was spectrally filtered
(ZET532-10/ZT594rdc/ET645-75m; Chroma, Bellows Falls, VT) within the infinity space
prior to reaching the detector.

Motility measurements

Bacterial motility experiments were performed in two different settings: (i) dead-end
microfluidic channels, and (ii) pulled glass capillaries. For channel experiments, a
microfluidics device made of polydimethylsiloxane (PDMS) contained a straight-flow
channel with width x height of 200 μm x 100 μm. At the middle of the channel, an array
of narrow dead-end pores is laid perpendicular to the flow channel (see (26) for
example). The height of the dead-end channels was either 2 μm or 10 μm, while the
width and the length of the channels were kept at 45 μm and 400 μm, respectively. The
motion of bacteria was observed using an inverted optical microscope (IX73, Olympus,
Center Valley, PA) and a high-speed camera (Phantom Miro M110, Vision Research,
Wayne, NJ). For the capillary experiments, pulled glass microcapillaries (World Precision
Instruments, Sarasota, FL) with a tip-opening diameter of between 1 μm and 10 μm
were placed in a closed chamber that was filled with filtered-sterilized seawater. The
other end of the capillary was connected to a suspension of *V. fischeri*, which is placed
on a vertical stage. By controlling the height of the reservoir, the background fluid flow
can be mitigated. A small drop of 40 mM serine (31) was placed in the chamber at the tip
of the capillary, and the motion of bacteria was observed using an inverted fluorescent
microscope (DMi8, Leica, Buffalo Grove, IL).
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347 *Image processing and data analysis*
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349 Cellular positioning was manually tracked at a rate of 25 frames s^{-1} by three independent
350 researchers using Tracker 5.1.5 (https://physlets.org/tracker/). The x-y coordinates were
351 exported and used to calculate position, speed, and angle with MATLAB (Mathworks,
352 Portola Valley, CA).
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Computational modeling

We performed simulations of a dilute suspension of bacteria swimming independently in
two dimensions. Each bacterium was assumed to swim at a constant speed (U = 50 μm
s^{-1}) in a direction that changes randomly due to thermal fluctuations and reversals. The
reversals are modeled as a stochastic process such that after a fixed refractory period of
either 0 or 0.5 s when no reversal occurs, the next reversal occurs randomly with mean
rate f = 3 s^{-1} and independently of the time since the refractory period. Otherwise, the
direction changes continuously with rotational diffusion coefficient D_r = 0.5 rad^2 s^{-1} or 1.0
rad^2 s^{-1}. The resultant trajectories and directional changes were simulated In MATLAB
(see SI for further details).

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Figures and Tables

Figure 1. Confinement promotes channel escape in *V. fischeri*. A) Schematic of
confinement channels for 2 and 10 μm confinement. B) Collapsed traces of *V. fischeri*
swimming under 10μm z-confinement over 10 seconds. C) Collapsed traces of *V.*
fischeri swimming under 2 μm z-confinement over 10 seconds. Left of vertical black lines
is open chamber, right of black line is confined channel. D) Selected traces of *V. fischeri*
cells entering noted z confinement. Color denotes time in seconds.

Figure 2. Confinement reduces penetrance and residence time of *V. fischeri*. A)
Maximum penetration depth into channels of *V. fischeri* under 2 μm or 10 μm
confinement. Dotted lines represent median. B) Channel persistence time of *V. fischeri*
under 2 μm or 10 μm confinement. Dotted lines represent median. C) Average per cell
swimming speed of *V. fischeri* during the t=0-1s or t=1-9s of each cell entering the
confinement channel. Black dots and error bars=mean +/- SD. D) Average per cell
speed of *V. fischeri* as it swims into (circles on left, positive x-direction) or out of
(triangles on right, negative x-direction) confinement channels during the t=0-1s or t=1-
9s intervals. Black dots and error bars=mean +/- SD. E) Histogram of run duration before
a sharp (>120°) reversal of *V. fischeri* under 2 μm or 10 μm confinement. Dotted lines
represent median. Inset show individual values, with black dots and bars representing
mean +/- SD. For all panels, blue=2 μm, orange=10 μm.

Figure 3. Confined cells have lower diffusional rotation, facilitating escape behavior. A) (delta-theta)^2 over time of cells in 10 µm (orange) or 2 µm (blue) confined channels. The slope of this line approximates 2*D_r. Circles represent experimental data, line is best linear fit. B) Simulation of cells swimming with a diffusional rotation constant (D_r) of 0.5 rad^2 s^{-1} and a 0.5 s delay between periods of random reversal, approximating activity seen in 2 µm channels. C) Simulation of cells swimming with a diffusional rotation constant (D_r) of 1 rad^2 s^{-1} and random reversal frequency, approximating activity seen in 10µm channels. D) Spatiotemporal plots of x-penetrance over time for cells in B. E) Spatiotemporal plots of x-penetrance over time for cells in C. F) Experimental spatiotemporal diagrams for *V. fischeri* swimming into 2 µm confinement chamber. G) Experimental spatiotemporal diagrams for *V. fischeri* swimming into 10 µm confinement chamber. In B and C, each line represents the trajectory of one simulated cell. In D-G, each black line represents the x position of one cell, and red lines represent the mean position of all cells that have entered the channel.

560 **Figure 4.** Chemoattraction counterbalances avoidance of confined spaces. Collapsed
561 images from timelapse videos of GFP-labeled V. fischeri in glass capillaries with terminal
562 diameter of 1 µm (top), 2 µm (middle), or 10 µm (bottom) after external addition of
563 chemoattractant. Dotted cyan lines indicate edges of microcapillary.

Figure S1. Angle change and speed are not correlated with orientation angle.
Comparisons of A) orientation angle vs. speed, B,C) Angle change vs. orientation angle
and D,E) orientation angle vs. speed. For each figure, data from cells in 2 μm
confinement is blue and data from cells in 10 μm confinement is orange. Error bars=+/-
SD.

572   Movie S1. *V. fischeri* swimming near a surface in open space.

574   Movies S2, S3. Videos corresponding to Figure 1. 10 µm confinement (S2) and 2 µm
575   confinement (S3).

577   Movies S4-6. Videos corresponding to Figure 4. Capillaries with minimum diameter 1 µm
578   (S4), 2 µm (S5), and 10 µm (S6).