Evolution of degrees of carnivory and dietary specialization across Mammalia and their

effects on speciation

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ABSTRACT

Conflation between omnivory and dietary generalism limits ecological and evolutionary

analyses of diet, including estimating contributions to speciation and diversification.

Additionally, categorizing species into qualitative dietary classes leads to information loss in

these analyses. Here, we constructed two continuous variables – degree of carnivory (i.e., the

position along the continuum from complete herbivory to complete carnivory) and degree of

dietary specialization (i.e., the number and variety of food resources utilized) – to elucidate their

histories across Mammalia, and to tease out their independent contributions to mammalian

speciation. We observed that degree of carnivory significantly affected speciation rate across

Mammalia, whereas dietary specialization did not. We further considered phylogenetic level in

diet-dependent speciation and saw that degree of carnivory significantly affected speciation in

ungulates, carnivorans, bats, eulipotyphlans, and marsupials, while the effect of dietary

specialization was only significant in carnivorans. Across Mammalia, omnivores had the lowest

speciation rates. Our analyses using two different categorical diet variables led to contrasting

signals of diet-dependent diversification, and subsequently different conclusions regarding diet's

macroevolutionary role. We argue that treating variables such as diet as continuous instead of

categorical reduces information loss and avoids the problem of contrasting macroevolutionary

signals caused by differential discretization of biologically continuous traits.

KEYWORDS

Carnivory, diet, speciation rate, mammal, specialization

Diet is a powerful selective force acting during evolution, with consequences for a

species' physiology, morphology, behavior, persistence time, and diversification rate. Examples

of physiological traits shaped by diet include detoxification capacity (Robbins et al. 1991;

Haywood et al. 2005), digestive enzyme production (Janiak et al. 2018), essential nutrient

requirements (Knopf et al. 1978), and taste receptor function (Zhao et al. 2010; Jiang et al. 2012;

Liu et al. 2016). Morphological adaptations that have arisen in response to diet vary in scale from

small alterations that modify bite force (Santana et al. 2010; Law et al. 2018), to the extreme

modifications observed in anteaters (Naples 1999) and mysticete whales (Deméré et al. 2008).

High trophic level and narrow dietary breadth have been implicated in increased extinction risk

(e.g., Purvis et al. 2000; Boyles and Storm 2007), leading to reduced species durations (Balisi et

al. 2018).

Earlier work investigated the effect of diet on macroevolutionary dynamics. Price et al.

(2012) estimated differential diversification rates between herbivores, omnivores, and carnivores.

Specifically, omnivores had the lowest diversification rates while herbivores had the highest, and

transitions into omnivory were more frequent than into either other category. Similarly,

herbivorous birds (Burin et al. 2016), insects (Wiens et al. 2015), and crustaceans (Poore et al.

2017) also show elevated diversification rates. Birds show a similar pattern of low omnivore

diversification rate, but a high rate of transitions into omnivory (Burin et al. 2016). Omnivory

has thus been posited as a macroevolutionary sink, with the suggestion that this pattern may be

widespread among higher taxonomic levels of tetrapods.

The effects of ecological factors on mammalian taxonomic diversification change across

phylogenetic levels, producing clade- and level-specific diversification dynamics (Machac et al.

2017). At lower taxonomic levels, increasing specialization for frugivory was associated with

higher diversification rates in bats (Rojas et al. 2012), but a diet-dependent diversification

dynamic was not observed in murine rodents (Alhajeri and Steppan 2018). In ruminants,

generalist herbivores showed higher diversification rates than browse-specialists (Cantalapiedra

et al. 2014), which contradicts the prior notion of a positive relationship between dietary

specialization and diversification rate in mammals. The conflicting results of the previous studies

may be reconciled by the understanding that diet is capable of varying diversification

relationships at different taxonomic levels.

Categorizing species into qualitative classes based on diet – especially using a coarse

classification system of herbivore, carnivore, and omnivore – leads to a loss of information

(Pineda-Munoz and Alroy 2014). Species with highly varied diets are clustered into potentially

uninformative groupings. An additional problem with using a categorical variable is the need to

choose diet categories and set boundaries between them, resulting in a lack of standardization of

diet categorization in the literature. Between different studies, authors set conflicting cutoffs for

clustering species. For example, one classification system separates mammals into

hypercarnivores (>70% meat), mesocarnivores (50-70% meat), and hypocarnivores (>70%

nonvertebrate foods) (Van Valkenburgh 1988, 2007). However, another system classified

carnivores as those consuming only animal-based foods, herbivores as those consuming only

plant-based foods, and omnivores as everything in between (Price et al. 2012). Other studies

expand the number of different categories, further subdividing diet (e.g., Cantalapiedra et al.

2014; Burin et al. 2016). It requires great effort to construct a dietary dataset, as relevant data are

dispersed across an extensive collection of primary literature or are unavailable for target species

and must be generated. Depending on the research goals, studies may sacrifice detailed diet

classification in exchange for broader taxonomic coverage, or vice versa. Comparisons between

different studies are therefore made difficult by disagreement in how species should be grouped.

A quantitative, continuous classification of diet based on food consumption percentages may be

preferred, as downstream results are less impacted by arbitrary cutoffs decided upon earlier in

the study (Pineda-Munoz and Alroy 2014).

Omnivory and dietary generalism are distinct yet conflated in some of the literature.

Omnivores necessarily show more dietary generalism than an organism specialized to consume a

single food type, yet this does not preclude herbivores or carnivores from consuming a broad

range of foodstuffs. For example, a strictly herbivorous species (i.e., not an omnivore) may

consume several distinct plant-based food items, making it a dietary generalist. Thus, we

distinguish the macroevolutionary effects of degree of dietary specialization as independent of

the effects of degree of carnivory (i.e., where a species is positioned on the continuum from

complete herbivory to complete carnivory).

The independent macroevolutionary contributions of the degree of carnivory and degree

of dietary specialization may vary in direction and extent across phylogenetic levels. In this

study, our objectives are three-fold. First, we elucidate the history of diet across Mammalia by

identifying shifts in the evolution of degrees of carnivory and dietary specialization. Second, we

infer the relative importance of the degree of carnivory and degree of dietary specialization to

evolution in different mammalian clades. Third, we identify and characterize significant

relationships between diet and speciation rate across different taxonomic levels in Mammalia.

MATERIALS & METHODS

Preparation of Dietary Data and Tree Pruning

We utilized the EltonTraits dataset (Wilman et al. 2014) containing dietary data for 5,400

extant mammals. This data came primarily from Walker's Mammals of the World (Nowak

1999), which provides accounts of species' diets based on summaries of the existing literature.

Qualitative descriptions of dietary preferences were translated by EltonTraits into numerical

values representing the proportion of diet comprising specific food types. If a dietary description

could not be obtained for a species, the proportion values were interpolated based on those from

the same genus or family. In this study, the number of food types was condensed to six

categories, giving the proportions of invertebrates, vertebrates, fruit, seeds, nectar, and other

plant material (e.g., leaves).

We cross-referenced the species names in EltonTraits with those in the consensus version

of the most recent mammal phylogeny (n = 4,098) (Upham et al. 2019). Mismatched and

outdated species names were resolved using the *get_gbif_taxonomy* function of the traitdataform

R package (Schneider 2018). We removed species not included in both datasets. We pruned the

phylogeny using the *drop.tip* function of the ape R package (Paradis and Schliep 2018), leaving

3,649 species shared between the phylogeny and dietary dataset.

Construction of "Degree of Carnivory" Variable

We built a continuous variable to represent the degree of carnivory. We first summed the

proportions of fruit, seed, nectar, and other plant material into a single value representing the

proportion of plant matter. Because the proportion variables sum to 100% and are therefore

inappropriate for use in a principal component analysis (PCA), we transformed them into z-

scores (Fraser et al. 2018). To convert the proportion of invertebrates, vertebrates, and plant

matter into a continuous scale, we used discriminant analysis of principal components (DAPC)

using the adegenet R package (Jombart and Ahmed 2011). The separation between groups is

maximized during DAPC compared to (PCA) (Jombart et al. 2010). At two clusters, carnivores

and herbivores were discriminated, while a third cluster distinguished extreme vertivores and

invertivores (Fig. S1). In at least some clades, vertivory is considered an extreme form of

carnivory, beyond that of invertivory (e.g., Giannini and Kalko 2005). Some researchers have

considered vertivory as distinct from invertivory as it is from herbivory (Faurby et al. 2018).

When invertivory and vertivory were separated using three clusters, vertivorous species obtained

a marginally greater value for the first discriminant function than invertivorous species. This

aligns with the idea that vertivory is a more extreme form of carnivory. Thus, we retained the

DAPC results using three clusters. The resulting first discriminant function value was rescaled to

a 0 – 100 scale, where 0 signifies complete herbivory and 100 complete carnivory.

Construction of "Degree of Dietary Specialization" Variable

We made a second continuous variable to represent dietary specialization. We generated

three new variables from the EltonTraits dataset that represent dietary breadth. First, we

extracted the percentage (from 20 – 100%) of a species' most common food type from the

original six categories. Second, we summed the number of food types (from 1 – 6) included in

each species' diet. Third, to represent trophic breadth, we gave species that consumed only plants

or animals a score of 1, and those that consumed both food types were given a score of 2.

Although we argue that dietary generalism is distinct from omnivory, we propose that strictly

herbivorous or carnivorous generalism allows for more specialized dietary adaptations than an

omnivorous diet. Such adaptations can impact speciation rate and should be investigated. This

informed our decision to incorporate trophic breadth as a component in our dietary specialization

metric. We performed a PCA on these three variables in R using the MASS package (Venables

and Ripley 2002), then rescaled the first principal component (PC1) to represent the degree of

dietary specialization. A specialization score of 0 signifies a complete dietary generalist, whereas

a 100 represents a complete dietary specialist.

Regime Shift Analyses

Regime shifts represent changes in phenotypic optima on a phylogenetic tree under an

Ornstein-Uhlenbeck (OU) model of trait evolution. We identified shifts during the evolution of

carnivory and dietary specialization across Mammalia using the ℓ1ou method implemented in the

l1ou R package (Khabbazian et al. 2016). The ℓ1ou method fits a multi-optimum OU model of

trait evolution using a phylogenetic lasso approach and does not require prior hypotheses

regarding the location of shifts. OU models assume random change in a trait value across the

tree, but with a centralizing tendency back to an optimum value (Butler and King 2004). A multi-

optimum OU model assumes the presence of multiple regime shifts toward different trait optima

throughout the phylogeny. The ℓ1ou method detects the scale and location of shifts in the

optimum trait value.

We used a Bayesian Information Criterion (BIC) approach to select the best-fitting

configuration of evolutionary regimes. To reduce the number of low probability shifts detected

while using the BIC, we reduced the maximum number of shifts allowed to $n/10$, where n was

the number of tips in the tree. This represents a compromise between the default maximum of

$n/2$ and the conservative maximum of $\sqrt{n} + 5$ recommended by alternative regime shift analysis

methods (Bastide et al. 2018).

The ℓ1ou method can handle large phylogenetic trees comprising thousands of taxa

(Khabbazian et al. 2016); however, the analyses did not complete when using our pruned

mammal phylogeny as input (analysis cancelled after 28 d with 24 CPUs). Therefore, we reduced

the number of species by first removing those with diets inferred via interpolation in the original

EltonTraits dataset. Then we subset the tree so that, for each genus, a maximum of three species

could share the same carnivory or dietary specialization score. This produced a tree of 1,912

species for our analysis of regime shifts in degree of carnivory, and a tree of 1,941 species for

degree of dietary specialization. This maximized representation of dietary diversity in each genus

while still producing input trees of a suitable size for ℓ1ou.

Phylogenetic Half-lives of Degrees of Carnivory and Dietary Specialization

The phylogenetic half-life ($t_{1/2}$) of a trait represents the time it takes for a species entering

a new niche or regime to evolve halfway to the new optimum trait value (Hansen 1997). The α

parameter returned by each ℓ1ou analysis represents selection strength and was used to calculate

phylogenetic half-life for degrees of carnivory and dietary specialization. Because ℓ1ou rescales

the phylogenetic tree height to one, the calculated $t_{1/2}$ values are percentages of the original clade

age (Ho and Ané 2014). A short $t_{1/2}$ relative to tree height indicates that evolution towards new

optimum carnivory or dietary specialization scores was fast, with weak residual phylogenetic

correlations. Conversely, if $t_{1/2}$ was large relative to tree height, the strength of the OU process

was weak and may be indistinguishable from Brownian motion (Cooper et al. 2016). Indeed, an

α value of zero indicates that the trait did not evolve under an OU process in that clade (Hansen

et al. 2008; Uyeda and Harmon 2014).

Continuous Trait-dependent Speciation Rates

We characterized the impact of degree of carnivory and dietary specialization on

speciation using the quantitative state speciation and extinction (QuaSSE) method in the

diversitree R package (FitzJohn 2010, 2012). For both traits, we constructed various models,

each fitting a different relationship between the trait of interest and speciation rate, including: a

constant speciation rate independent of the trait value, a linear relationship between trait value

and speciation rate, a sigmoidal relationship, and a unimodal relationship. Given known

difficulties with extinction rate detection in QuaSSE (FitzJohn 2010) and broader issues with the

estimation of extinction from molecular phylogenies (Rabosky 2010), we decided to fit a

constant extinction rate instead of allowing the rate to vary with the trait (as in Velasco et al.

2016). Thus, if we observed an effect of diet on diversification, it was due to a diet-dependent

speciation dynamic. We selected the best-fitting model using the Akaike information criterion

(AIC). To account for the influence of phylogenetic uncertainty on our results (Pagel and

Lutzoni 2002), we first performed these analyses using the pruned consensus phylogeny, then

repeated the analyses on 10 trees sampled from a credible set of 10,000 DNA-only mammalian

phylogenies produced by Upham et al. (2019). These trees were pruned in the same way as the

consensus tree (see above). To understand how phylogenetic level affects the relationship

between diet and speciation rate, we fit QuaSSE models for Mammalia and nine lower clades:

Artiodactyla + Perissodactyla, Atlantogenata, Carnivora + Pholidota, Chiroptera, Euarchonta,

Eulipotyphla, Lagomorpha, Marsupialia, and Rodentia.

We evaluated each QuaSSE model with a simulation approach (as in Inostroza-Michael

et al. 2018) to address concerns of high Type 1 error rates (i.e., that neutral traits are significantly

associated with diversification rate (Rabosky and Goldberg 2015)). We used the *fastBM* function

in the phytools R package (Revell 2012) to simulate random traits on each phylogeny 100 times

under a model of Brownian motion. For these simulations, we used the empirically estimated

diffusion parameters from the QuaSSE models that fitted a constant relationship between

speciation rate and trait. The ancestral root values for the simulations were estimated using the

fastAnc function in phytools. We fit the constant model and the best-fitting model from the

observed data to each set of simulated trait values and calculated log-likelihood differences

between the pairs of models. A *P*-value was created using the proportion of simulated values that

achieved a log-likelihood difference as large as that obtained in the observed data. Because we

used multiple phylogenies in our QuaSSE analyses to address phylogenetic uncertainty, we

considered a clade's diet-dependent speciation dynamic to be very robust to uncertainty if the *P*-

value of the best fitting QuaSSE model was ≤ 0.05 in at least ten out of the eleven phylogenies

tested. We considered the dynamic only moderately robust if $P \leq 0.05$ in eight out of eleven

phylogenies, and not robust to phylogenetic uncertainty if $P \leq 0.05$ for less than eight trees. To

visualize the overall relationship between trait and rate for each speciation dynamic, we averaged

across the best-fitting models.

Categorical Trait-dependent Diversification Rates

Our work is not directly comparable to previous studies since we created a synthetic

continuous variable instead of using categories. Thus, to compare our results, we used the

multiple state speciation and extinction (MuSSE) method in the diversitree R package (Fitzjohn

2012) to characterize diet-dependent diversification using different qualitative categorizations of

diet. We categorized diet in two ways; first, we used the species and groupings in Price et al.

(2012) (we will refer to this as Price categorization). There, data was based on literature searches

of direct dietary composition from gut, feces, or observational data, which was then binned into

plants only (herbivore), plant and animal (omnivore), and animal matter only (carnivore).

Second, we replicated the Price categorization but used the raw EltonTraits data (and not our

continuous carnivory score), where species with diets composed of 100% animal matter were

carnivores, 0% animal matter were herbivores, and 1 – 99% animal matter were omnivores (we

will refer to this as Replicated categorization). We limited our MuSSE analyses to species

included in both the Price categorization and EltonTraits data (n = 1,323).

RESULTS

Constructed Dietary Variables

We created a synthetic variable to quantify degree of carnivory ranging from completely herbivorous (0) to completely carnivorous (100; Fig. 1). Notably, herbivorous dietary guilds (folivores, frugivores, granivores, and nectarivores) had a median score of 0. The median carnivory scores for invertivores and vertivores were 87 and 95, respectively, with overlap between the two dietary guilds. Although both groups are considered carnivorous, the constructed variable attributed a higher degree of carnivory to a vertebrate-consuming species than a species whose diet comprises an equivalent proportion of invertebrates. Species with carnivory scores of 100 were therefore completely vertivorous, an artifact from the variable construction (Fig. S1).

We constructed a variable representing the degree of dietary specialization using PC1, which explained 83.2% of the variance (Fig. S2). Lower dietary specialization scores indicated increased dietary generalism. Species with scores of 100 had diets composed of a single food type, whereas 0 represented a diet comprising six food types across trophic levels, with the most abundant category comprising 20% of the diet. Dietary specialization showed a convex curvilinear relationship with carnivory, indicating that highly specialized species were also extreme herbivores or extreme carnivores. Because of the higher number of herbivore dietary guilds in EltonTraits, the relationship between the degrees of carnivory and dietary specialization was skewed to the right (Fig. 1a).

Figure 1. a) The relationship between constructed variables measuring the degree of carnivory and degree of dietary specialization. To visualize how species (n = 3,649) were distributed across the variable space, they were grouped into dietary guilds. Species were assigned to a specific dietary guild if their diet comprised ≥50% of that food type. If no food type comprised ≥50% of a species' diet, they were assigned to the generalist guild. Hypercarnivores are categorized as species that consume ≥80% animal matter in their diet, mesocarnivores consume 60 – 80% animal matter, omnivores consume 40 – 60% animal matter, mesoherbivores consume 20 – 40% animal matter, and hyperherbivores consume ≤20% animal matter. b) Distribution of species along the dietary specialization spectrum. c) Distribution of species along the carnivory spectrum. d) Distribution of dietary guilds along the carnivory score spectrum.

Regime Shifts in Degrees of Carnivory and Dietary Specialization across Mammalia

We detected 134 and 50 regime shifts in degree of carnivory and dietary specialization across Mammalia, respectively (Fig. 2-3; Table S1). In the analysis of carnivory score, the regime occupied by the root had an optimal score indicative of a diet comprising 80-90% animal matter, similar to extant species with a substantial invertebrate component. This original regime

is still occupied by extant members of Carnivora, Atlantogenata, and Marsupialia. In the analysis

of dietary specialization, the root occupied a regime with a highly specialized optimum diet. This

regime is still occupied by members of most mammalian clades, excluding Marsupialia and

Rodentia. The phylogenetic half-life of degree of carnivory was 27% of the half-life of degree of

dietary specialization (Table S2), indicating that carnivory score shows a lower phylogenetic

signal. Thus, compared to dietary specialization scores, there is less statistical dependence

among species' carnivory scores due to phylogenetic relatedness (Revell et al. 2008). Regime

shifts varied in frequency across the phylogeny. We provide detailed, clade-specific descriptions

and interpretations of regime shift results in the Supporting Information (Figs. S11-S19).

Figure 2. Regime shifts in optimum carnivory score in Mammalia. Branch colors indicate the value of the optimum carnivory score, with blue indicating a low score, and red indicating a high score. The positions of branch color changes show the location of the regime shifts. A gray branch represents lack of data regarding regime occupancy for that branch because it was not included in any regime shift analyses. The numbered black and gray bars encircling the phylogeny indicate the individual clades on which QuaSSE analyses were performed. 1, Carnivora + Pholidota; 2, Chiroptera; 3, Eulipotyphla; 4, Atlantogenata; 5, Marsupialia; 6, Monotremata; 7, Rodentia; 8, Lagomorpha; 9, Euarchonta; 10, Artiodactyla + Perissodactyla. Images source: Wikimedia Commons.

Figure 3. Regime shifts in dietary specialization score in Mammalia. Branch colors indicate the value of the optimum specialization score, with yellow indicating a low score, and blue indicating a high score. The positions of branch color changes show the location of the regime shifts. A gray branch represents lack of data regarding regime occupancy for that branch because it was not included in any regime shift analyses. The numbered black and gray bars encircling the phylogeny indicate the individual clades on which QuaSSE analyses were performed. 1, Carnivora + Pholidota; 2, Chiroptera; 3, Eulipotyphla; 4, Atlantogenata; 5, Marsupialia; 6, Monotremata; 7, Rodentia; 8, Lagomorpha; 9, Euarchonta; 10, Artiodactyla + Perissodactyla. Images source: Wikimedia Commons.

Diet-dependent Speciation Rates

We tested if carnivory or dietary specialization was related to speciation rate within each

clade using QuaSSE (Table 1). We found relationships between speciation rate and degree of

carnivory that were very robust to phylogenetic uncertainty in Artiodactyla + Perissodactyla,

Carnivora + Pholidota, Marsupialia, and Mammalia as a whole. Specifically, most significant

QuaSSE models for Mammalia fitted an inverse unimodal relationship between trait and rate

(Figs. 4 and S3). Across these models, speciation rate was lowest for intermediate carnivory

scores and increased towards both extremes of the carnivory spectrum. However, speciation rates

were higher for extreme herbivores than extreme carnivores. Artiodactyla + Perissodactyla,

Carnivora + Pholidota, and Marsupialia also had inverse unimodal relationships (Figs. S4-S6).

Two other clades, Chiroptera and Eulipotyphla, showed carnivory-dependent speciation

dynamics that were only moderately robust to phylogenetic uncertainty. Chiroptera showed a

similar relationship as previously described, while Eulipotyphla had a sigmoidal relationship

where speciation rate showed a sharp increase at the hypercarnivorous end of the carnivory

spectrum (Figs. S7-S8). The phylogenetic robustness of the other clades' dynamics were low

enough that we inferred there is no significant relationship between degree of carnivory and

speciation rate in those clades. For dietary specialization, a robust association with speciation

rate existed only for Carnivora + Pholidota (Fig. S9). Carnivora + Pholidota had a positive linear

relationship where speciation rate was highest for complete dietary specialization and lowest for

extreme dietary generalism, reaching zero lineages per Myr for specialization scores below 20.

The best-fitting QuaSSE models for Atlantogenata and Lagomorpha were consistently the null,

indicating that there was no relationship between speciation rate and either diet score in these

clades.

Table 1. Diet-dependent speciation dynamics as inferred by simulation-based evaluation of best-fitting QuaSSE models using eleven mammal phylogenies. The number of statistically significant QuaSSE models (out of 11) is shown for each taxa and our two variables. Clades for which the best-fitting QuaSSE model was the null were not evaluated via simulations and are labelled as 'NA'. P: the proportion of Brownian motion simulations that produced log-likelihood differences as large as obtained in the observed data.

Clade	Carnivory	Dietary specialization
	No. trees/models with $P \leq 0.05$	No. trees/models with $P \leq 0.05$
Mammalia	10	3
Artiodactyla + Perissodactyla	11	0
Atlantogenata	NA	NA
Carnivora + Pholidota	11	11
Chiroptera	8	5
Euarchonta	1	0
Eulipotyphla	9	0
Lagomorpha	NA	NA
Marsupialia	11	6
Rodentia	6	3

Figure 4. The relationship between degree of carnivory and speciation rate in Mammalia. Across
the eleven trees used in this study, ten were associated with a statistically significant best-fitting
QuaSSE model. The transparent lines show the relationships predicted by these models. The dark
line represents the relationship produced by averaging over each significant model.

Diet-dependent Diversification using Categorical Variables

Given our creation of a synthetic carnivory score, we decided to compare the results

using our continuous scale to a previous categorical analysis. We observed that the

diversification rate varied depending on how diet was classified (Fig. 5). Using the Price

categorization, herbivores had the highest diversification rates, while omnivores had the lowest

(Fig. 5a), concordant with previously published results. While we were able to reproduce the

pattern from the previous study using the more recent phylogeny, our estimates of diversification

rate were lower for each category compared to Price et al. (2012). However, when using the

EltonTraits categorization, carnivores had the lowest diversification rates, while omnivores and

herbivores had overlapping rates (Fig. 5b).

Transition rates between dietary guilds also changed depending on classification system.

Only the MuSSE analysis using the Price categorization reported highest transition rate from

herbivory to omnivory (Fig. S10a). Analysis using our EltonTraits categorization reported

highest transition rates from omnivory to herbivory (Fig. S10b).

Figure 5. Posterior probability densities for net diversification rate of herbivores, omnivores, and
carnivores (n = 1,323), using two diet classification schemes: a) The diet groupings of Price et al.
(2012) were reused with the most recent mammalian phylogeny (Upham et al. 2019), b) The
classification method of Price et al. (2012) replicated using EltonTraits data (animal matter: 0%
herbivore, 1-99% omnivore, 100% carnivore).

DISCUSSION

Mammalian Diversification is Shaped More by Degree of Carnivory than Dietary Specialization

Our analyses teased apart the independent contributions to mammalian diversification of

degree of carnivory and degree of dietary specialization, two aspects of diet that have typically

been conflated. We observed that the effect of diet on speciation varied with phylogenetic level,

and that the selective importance of degrees of carnivory and dietary specialization differed

among taxonomic groups (Table S2). Notably, degree of carnivory showed a statistically

significant relationship with speciation rate when assessed across all of Mammalia (Fig. 4), as

well as in at least three lower clades (Figs. S4-S8). Across mammals, omnivorous species had the

lowest rates (Figs. 4 and S3), which is a pattern previously observed in mammals and birds

(Price et al. 2012; Burin et al. 2016). Our findings show that this pattern can extend to clades at

lower taxonomic levels (i.e., Artiodactyla + Perissodactyla, Carnivora + Pholidota, Marsupialia,

Chiroptera, and Eulipotyphla), but that it does not describe all lower groupings. Conversely,

there was no significant relationship between dietary specialization and speciation across

Mammalia as a whole, and only Carnivora + Pholidota showed a significant impact of dietary

specialization at lower taxonomic levels (Fig. S9). Thus, we find that diet does not have a

homogeneous effect on diversification at different hierarchical levels of Mammalia. This is

consistent with the level-dependent effects of other ecological factors that govern mammalian

diversification (Machac et al. 2017).

Five of the clades we analyzed showed no significant diversification relationship with

diet. Monotremata comprised only three species in our study, so it was not included in our

analyses. Diets within Lagomorpha are relatively homogeneous, with few regime shifts in degree

of carnivory or dietary specialization throughout the clade (Fig. S16). Thus, lagomorph

speciation was unlikely to be shaped by variation in diet. Conversely, diets in Atlantogenata,

Euarchonta, and Rodentia span the breadth of the carnivory spectrum, but these clades still

showed no diet-dependent speciation dynamics. Lack of a relationship between a particular trait

and speciation rate does not necessarily indicate that the trait is irrelevant to speciation, and by

extension, diversification. While a trait may not be the rate-limiting control, it may still play an

important role in the evolution of a clade (Rabosky 2015). Other aspects of an organism's

biology may be more important in shaping speciation and diversification. For example,

locomotory mode was found to impact diversification of murine rodents, with less specialized

modes associated with higher diversification rates (Nations et al. 2020).

Previous explanations for decreased diversification of omnivores have focused on the

effect of dietary specialization on diversification rate, rather than the effect of degree of

carnivory. Specialists show increased competitive ability over generalists when in their optimal

environment (Wilson and Yoshimura 1994; Büchi and Vuilleumier 2014). Generalists utilize

several resources instead of being most effective at using a single one, so will be excluded from

the optimal environments of specialist species (MacArthur and Levins 1964). Such

outperformance by specialists should have macroevolutionary costs for omnivores, resulting in

low speciation and/or high extinction rates (Burin et al. 2016). Specialized species are more

prone to allopatric speciation because their narrow niches cause fragmented distributions and

isolate formation as environments change (Futuyma and Moreno 1988; Allmon 1992).

Specialists also suffer resource limitations more frequently than generalists, so experience

stronger directional selection in a changing environment (Vrba 1980, 1987). These explanations

may apply to Carnivora + Pholidota, for which dietary generalism was associated with lower

rates, and specialization with higher rates. However, in most clades and in Mammalia as a whole,

dietary specialization did not have a significant relationship with diversification. As dietary

generalism is not directly associated with lower rates in most clades, these suggested

explanations are insufficient.

Reduced omnivore diversification is not due to a purely generalism-dependent speciation

dynamic. Instead, consumption of both plant and animal matter causes lowered speciation rates

in mammals. Future explanations for the role of omnivory in reducing diversification rate should

seek to answer how differences in degree of carnivory lead to different speciation rates.

Increased herbivore diversification may be explained by primary producers comprising the

largest proportion of biomass on Earth (Bar-On et al. 2018), suggesting that more herbivorous

species can be supported globally. Ecological factors limit diversity and species richness within a

clade, and net diversification rate slows down over time in a diversity-dependent manner as this

limit is reached (Rabosky 2009). By extension, herbivores should experience a greater net

diversification rate than omnivores as it takes them longer to reach the diversity limit imposed on

them. However, this explanation for increased herbivore speciation is plausible only if ecological

limits to species richness occur. There is an unresolved debate over the existence of diversity-

dependent regulation of species richness (Rabosky and Hurlbert 2015), with some arguing that

such an equilibrial process does not exist, and that species diversity is unbounded at large scales

(Harmon and Harrison 2015).

Extreme carnivory was also associated with high speciation rates, despite typically being

associated with increased extinction (Purvis et al. 2000; Estes et al. 2011). This is unsurprising

given that speciation and extinction rates are often correlated, with traits that promote speciation

also increasing extinction risk (Stanley 1990; Greenberg and Mooers 2017). High rates in

carnivores may be attributed to increased diversification of prey species at lower trophic levels,

which drives evolution and diversification of predatory species in an upwards adaptive radiation

cascade (Brodersen et al. 2018; Pontarp 2020).

The relationship between diet and speciation could be indirect. An unmeasured trait co-

distributed with diet may be more responsible for shaping mammalian diversification (Beaulieu

and O'Meara 2016). Our QuaSSE analyses cannot account for a hidden factor that affects

speciation rate when the degree of carnivory or dietary specialization varies. For example, body

size is associated with degree of carnivory in mammals, with herbivores having the largest

masses and carnivores the smallest (Price and Hopkins 2015). Diversification rates are also

higher for smaller species (Martin 2017); thus, body size may be an important factor related to

increased carnivore speciation, but may not explain increased speciation across herbivores.

Dispersal ability is also correlated with degree of carnivory, with carnivores having greater

dispersal distances than omnivores and herbivores (Sutherland et al. 2000; Santini et al. 2013).

Significant associations between dispersal ability and speciation rates have been observed in both

mammals and birds (Claramunt et al. 2012; Upham et al. 2020), but the correlation between trait

and rate is negative, with fastest rates in low-vagility species. Thus, the co-distribution of diet

and dispersal ability could explain the high herbivore speciation rates observed in our study, but

not those of carnivores.

If the link between degree of carnivory and speciation is indeed indirect, the patterns we

observed may manifest through diet's relationship with multiple traits, potentially with different

mechanisms operating in different regions of the phylogeny. For example, in Carnivora and other

mammalian lineages, there is an evolutionary trend of increasing body size over time, known as

Cope's Rule (Alroy 1998). Within Carnivora, there is an association between increasing body

size and specialization for hypercarnivory, and this has been posited as a reason for bias towards

evolution of hypercarnivory in the carnivoran fossil record (Van Valkenburgh et al. 2004; Van

Valkenburgh 2007). Thus, Cope's Rule may contribute to the positive relationship between

increasing degrees of carnivory and speciation rate in Carnivora. In Marsupialia, environmental

changes may contribute to the observed link between diet and speciation rate. Carnivorous

dasyurids and herbivorous diprotodontids comprise much of the marsupial diversity. The

radiation of these clades has been attributed to the aridification of Australia (Dodt et al. 2017;

García-Navas et al. 2018), with later waves of diversification associated with subsequent major

expansion of grasslands (Kealy and Beck 2017; Celik et al. 2019). Carnivory and herbivory in

dasyurids and diprotodontids, respectively, may be a small part of a larger suite of adaptations to

fill the new niche space created by aridification. Thus, the diet-dependent speciation dynamic

observed in Marsupialia may have been reinforced or driven by the impact of environmental

change on specific clades.

The Optimum Diet at the Origin of Mammalia was Insectivorous

Through our evolutionary shift analyses, we inferred that the optimum dietary condition

at the origin of Mammalia was biased towards insectivory. This result supports the consensus

that the ancestral mammal was an insectivore (Kemp 2005). Extant species that occupy both the

ancestral carnivory regime and the ancestral dietary specialization regime are restricted to

Monotremata and within Xenarthra, in Cingulata and Vermilingua. The diets observed in these

clades might provide a good estimate for the dietary composition of early mammals, but

continued occupancy of the ancestral regimes through to present day is not an indication that

early mammalian diets were identical. In OU models, shifts in phenotypic optima represent

changes in the selective pressure acting on the trait of interest. These shifts can coincide with

historical events such as habitat change or changes in other traits. Thus, a dietary shift at the

origin of a clade offers insight into the events that formed the lineage. We provide an account of

regime shifts in degrees of carnivory and dietary specialization across Mammalia in our

Supporting Information. This may serve as a valuable resource for researchers investigating the

origin and evolution of specific mammalian clades.

Limitations

Concerns over the reliability of our underlying data raise questions regarding the

accuracy of our results. The EltonTraits dataset, used to construct our continuous dietary

variables, was built primarily from qualitative descriptions in secondary sources instead of direct

estimations of diet composition from gut or fecal sampling. These descriptions were translated

into numerical values representing the proportion of diet comprising specific food types, then

further rounded to the nearest decile. Additionally, for over 1,000 species in the dataset, the

proportions were interpolated based on values from the same genus or family. A dataset

compiled from quantitative data for each of the >5,600 mammalian species would both be a

valuable community resource and strengthen the inferences of the current study. However, while

it is possible to construct large datasets using such dietary data (e.g., Grundler 2020; Grundler

and Rabosky 2021), these datasets require substantial time and effort to produce using

standardized estimation approaches and are still limited to several hundred species, rather than

the thousands that represent all of Mammalia. To analyze diet across almost all mammals, as in

this study, we are currently limited to using comprehensive dietary datasets constructed using

qualitative, verbal diet descriptions from secondary sources. Such datasets include EltonTraits

and similar alternatives (Jones et al. 2009; Kissling et al. 2014). EltonTraits has helped answer

impactful questions in ecology and evolution, including how diet affects the evolution of

mammalian gut microbiomes (Groussin et al. 2017), whether diet affects species' responses to

climate change (Buckley et al. 2018), and how ecological and functional diversity is declining

(Cooke et al. 2019; Brodie et al. 2021). Quantitative descriptions may be unavailable for many

biologically continuous traits, but this should not prevent attempts to capture their continuous

nature in future studies. As Price et al. (2012) showed before us, it is still possible to make

important inferences about the role of diet on speciation and diversification while using

qualitative underlying data.

The specialization score does not consider the full scope of dietary specialization because

it is limited by the data used to construct it. The EltonTraits dataset differentiates between

vertebrates, invertebrates, fruits, nectar, seeds, and other plant material in each species' diet

(Wilman et al. 2014), allowing us to construct our coarse estimation of dietary specialization.

However, some species display extreme dietary specializations that are inadequately captured by

broad food categories. For example, the giant panda is a bamboo specialist, feeding on specific

parts of certain bamboo species (Wang et al. 2017). Despite this extreme taxonomic

specialization, the panda's diet in EltonTraits is coded similarly to species that feed on multiple,

varied foodstuffs, such as grasses, lichen, shrubs, and fungi. This is because these foodstuffs all

fall into the 'other plant material' category. We may expect more nuanced results for diet-

dependent speciation rates if detailed dietary data was used to construct a synthetic specialization

score. Thus, while this is the first effort to disentangle specialization from the carnivory-to-

herbivory axis, significant refinements to the specialization score could be made, particularly for

smaller phylogenies where complete dietary data may be accessible. We further acknowledge

that intraspecific variation in niche specialization exists, and degree of individual specialization

varies between species (Bolnick et al. 2003). However, each species represents a single entry in

the EltonTraits dataset, meaning that population specialization cannot be considered. It is

possible that intraspecific differences impact mammalian speciation, but this effect is impossible

to detect in our analyses. Nevertheless, our findings indicate that dietary specialization measured

at a broader scale does not impact speciation in most mammalian clades.

A recent study demonstrated that birth-death models cannot reliably estimate speciation

and extinction rates on extant timetrees (Louca and Pennell 2020). For any diversification

scenario, there are an infinite number of equally likely alternative scenarios. Despite potentially

having very different diversification dynamics, these congruent scenarios cannot be

distinguished from one another. Because state-dependent speciation and extinction (SSE) models

such as QuaSSE are extensions of the birth-death model, it is possible that they do not accurately

estimate the relationship between rates and traits. It is important to acknowledge this

unidentifiability issue when considering the findings of our study, but also to note that it does not

mean our results are inaccurate. We compared a small number of alternative models representing

the potential relationship between diet and speciation rate for each clade. This was due

necessarily to there only being four possible relationships that QuaSSE is capable of fitting

(constant, linear, sigmoidal, and unimodal/humped). By only comparing these, we avoided the

problem of there being many congruent models (Morlon et al. 2020). Instead, we infer that one

of the models from our limited selection fits the data best and is the more plausible scenario of

speciation. Additionally, by considering character state evolution, SSE models consider more

than just the timing of branching events in lineage-through-time plots as in the models of Louca

and Pennell (2020). This may allow SSE models to overcome the unidentifiability issue

(Helmstetter et al. 2021). In any case, we were less concerned with estimating precise rate values

and more interested in characterizing differences in speciation rate as diet changes. By analyzing

multiple alternative trees to account for phylogenetic uncertainty and then averaging over the

best-fitting models for each tree, we also account for uncertainty in model fit. In doing so, we

strengthen our confidence in the accuracy of the diet-dependent speciation dynamics inferred by

our analyses.

Continuous vs Categorical Representations of Diet

Our analysis representing diet as a continuous variable was concordant with a previous

study where diet was considered a categorical variable (Price et al. 2012); specifically, both

studies observed that diversification was lowest for omnivores (Fig. 4). However, while

converting the EltonTraits data into categorical carnivory bins for validation, we observed that

diet-dependent diversification rates varied due to differences in dietary classification (Fig. 5). We

were unable to reproduce results from Price et al. (2012) using our own categorical variable. Our

analysis using the Replicated categorization also found that the highest transition rates between

trophic strategies were out of omnivory and into herbivory or carnivory (Fig. S10b). This is the

opposite result to Price et al. (2012) and to our analysis using the Price categorization, where

transitions into omnivory had the highest rates (Fig. S10a). These results do not support the

earlier hypothesis that omnivory acts as a macroevolutionary sink across higher vertebrate taxa

(Burin et al. 2016), instead suggesting that omnivory is a source of diversity. This demonstrates

that a lack of standardization in classification can lead to vastly different conclusions regarding

the macroevolutionary importance of a trait.

The species assigned to herbivore, carnivore, and omnivore differ between the Replicated

and Price categorization methods (Table S3). Specifically, there are fewer herbivores and more

omnivores when using EltonTraits data compared to when using the Price categorization.

Different methodologies for measuring diet, such as analyses of stomach contents or direct

behavioral observation, use different proxies as evidence of food item consumption and may

ignore other evidence, leading to varying estimations of diet (e.g., McInnis et al. 1983; Shrestha

and Wegge 2006; Balestrieri et al. 2011). Moreover, different approaches record diet across

varying temporal scales, leading to incongruences in diet reconstruction for different species if a

dataset uses multiple proxies to obtain its dietary data (Davis and Pineda-Munoz 2016). Use of

different and varied sources of diet data by Wilman et al. (2014) and Price et al. (2012) likely

compounded these incongruences, leading to disagreement regarding each species' diet and

subsequently causing discrepancies between the results of our diversification analyses when

using the different datasets.

These results highlight the limitation of comparing studies that use different trait

categorization methods and are applicable to other macroevolutionary studies where biologically

continuous traits are discretized for analyses. Multiple complex, biologically continuous traits

have been treated as qualitative variables in analyses of trait-dependent diversification, including

morphology (e.g., Fernández-Mazuecos et al. 2013; Conway and Olsen 2019), locomotory mode

(e.g., Nations et al. 2020), and biome or habitat usage (e.g., Schwery et al. 2015; Heinicke et al.

2017; Menéndez et al. 2020). However, methods exist to capture the continuous nature of these

complex traits, so their macroevolutionary contributions can be assessed without the introduction

of biases associated with variable discretization. The feasibility of such analyses has already

been demonstrated for studies of morphology (Onstein et al. 2016) and habitat usage (Burbrink

et al. 2012; Velasco et al. 2016). Locomotory mode can be predicted by morphology (Petrović et

al. 2017; Verde Arregoitia et al. 2017), which is itself a continuous trait, meaning that

locomotory mode may also be treated as biologically continuous in analyses of trait-dependent

diversification. Given our findings, we recommend that future studies of trait-dependent

speciation and diversification consider developing methods to capture the continuous nature of

their trait of interest.

CONFLICT OF INTEREST STATEMENT

577 The authors declare no conflict of interest.

SUPPORTING INFORMATION

579 The doi for our data is 10.5061/dryad.bzkh1899n

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