deCS: **A tool for systematic cell type annotations of single-cell RNA sequencing**

data among human tissues

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Abstract

Single-cell RNA sequencing (scRNA-seq) is revolutionizing the study of complex and

dynamic cellular mechanisms. However, cell-type annotation remains a main challenge as it

largely relies on manual curation, which is cumbersome and less accurate. The increasing

number of scRNA-seq data sets, as well as numerous published genetic studies, motivated us to

build a comprehensive human cell type reference atlas. Here, we present *deCS* (decoding Cell

type-Specificity), an automatic cell type annotation method based on a comprehensive collection

of human cell type expression profiles or a list of marker genes. We applied *deCS* to single-cell

data sets from various tissue types, and systematically evaluated the annotation accuracy under

various conditions, including reference panels, sequencing depth and feature selection. Our

results demonstrated that expanding the references is critical for improving annotation accuracy.

Compared to the existing state-of-the-art annotation tools, *deCS* significantly reduced

computation time while increased accuracy. *deCS* can be integrated into the standard

scRNA-seq analytical pipeline to enhance cell type annotation. Finally, we demonstrated the

broad utility of *deCS* to identify trait-cell type associations in 51 human complex traits, providing

deeper insight into the cellular mechanisms of disease pathogenesis.

Keywords: Cell type-specific enrichment analysis, scRNA-seq, cell type annotation, trait-cell

type association, cell type marker gene.

Introduction

Recent breakthroughs of single-cell RNA sequencing (scRNA-seq) have greatly boosted our ability to characterize the heterogeneity of cell types in various tissues [1, 2], leading to deeper understanding of disease pathogenesis and development [3, 4]. The typical scRNA-seq data analysis involves unsupervised clustering of the cells, followed by annotation of each cluster [5, 6]. Accurate identification of cell types is critical for downstream analysis (9). However, this process relies heavily on prior knowledge of cell type marker genes, which is subjective and time-consuming.

In contrast to manual annotation, many automatic annotation tools were recently developed, including *SingleR* [7], *CHETAH* [8], *CellAssign* [9], *scMatch* [10], and *scCATCH* [11], *scPred* [12], *scibet* [13], *scVi* [14] and *Cell BLAST* [15]. However, the benchmarking analysis by Abdelaal et al. showed large difference in method performance, which strongly depended upon the reference database [16]. Thus, there is a pressing need to curate high-quality and comprehensive reference panels of human cell type expression profiles, which include the annotated scRNA-seq data from various tissues or sequencing protocols. So far, most of these methods have been based on machine learning models, such as support vector machine (SVM) [16] and random forest classifier [17], which are computation-intensive and cannot be directly applied to huge datasets, such as human cell landscape (HCL) [18], human cell atlas [19], and human cell atlas of fetal (HCAF) gene expression [20]. Moreover, the annotation largely relies on a single reference, which may be inaccurate when cell types in "query" and "reference" dataset are not well matched. Therefore, a computational method that can efficiently integrate the annotation results among multiple references is urgently needed. Furthermore, the cell type inference is mostly conducted at single cell level rather than cluster level. The annotation accuracy will significantly decrease, especially for low sequencing depth cells, due to the dropout effect in scRNA-seq

data. Even though imputation methods [21, 22] can be applied to recover missing gene

expression and improve the annotation accuracy, they are time-consuming [22].

Here, we present *deCS* (<u>de</u>coding <u>C</u>ell type-<u>S</u>pecificity), an automatic scRNA-seq cell type

annotation method by decoding cell type-specificity. As an improved version of the "*deTS*"

algorithm [23], deCS runs fast, allows the integration of cell annotation from multiple references,

and defines cell types on cell cluster level rather than single cell level. Specifically, we first created

a high-quality and comprehensive human cell type reference panel by including all publicly

available large-scale scRNA-seq data sets from various tissues or sequencing protocols, such as

human cell landscape, human cell atlas and human cell atlas of fetal gene expression. Then, we

calculated the *t*-statistic or z-score based measurements to "decode cell type-specificity" of gene

sets. As an R package, *deCS* can be implemented with different statistical methods. It supports

input for either gene expression profiles (correlation analysis) or list of genes (Fisher's exact test).

Benchmarking results showed that *deCS* reduced computation time and improved annotation

accuracy in most tissues compared to other state-of-the-art methods, especially when the

cell-type composition of query and reference are not conserved. Therefore, we anticipate *deCS*

will become a scRNA-seq routine annotation tool especially when users do not have enough

prior knowledge about the cell type markers. Lastly, the curated cell types and their signature

genes can be used to explore the cell type-specificity of disease-risk gene lists. By defining cell

type-specific genes (CTGenes) and decoding cell type-specificity, we demonstrated the utility of

deCS to characterize the relationships between human complex diseases and cell types.

Accordingly, these results can provide novel insight into the cellular mechanism of the poorly

understood traits and diseases.

Results

Overview of *deCS* workflow

Our goal is to develop a tool to perform automatic cell type annotation across datasets of

different sequencing protocols and levels of complexity. To this end, we first collected various

public cell type expression profiles. As shown in **Figure 1**, we included several public human

RNA-seq references from *SingleR* [7], including its BlueprintEncode [24, 25], the Database of

Immune Cell Expression (DICE) [26], and MonacoImmune [27]. In addition, we downloaded the

most comprehensive human single-cell expression data resource from the human cell landscape

(HCL) [18] and human cell atlas of fetal (HCAF) projects [20]. For each data set, we applied

different analytical strategies (see Materials and Methods for more details) to define cell

type-specific genes (CTGenes). Finally, we integrated the manually curated CTGenes from the

CellMatch database [11]. Based on genes *t*-statistic/*z*-score and CTGenes, we implemented two

statistical tests for cell type annotation (**Figure 1**). Depending on the type of "query data", *deCS*

identifies whether a "gene expression profile" of an unknown cell type is significantly similar to a

cell type in the reference by using correlation analysis. In addition, *deCS* determines whether a

set of "candidate genes" of interest overlap with a cell type in the reference by using Fisher's

exact test (FET).

Cross-reference validation and biological pathway enrichment of CTGenes

To validate the reliability and consistency of CTGenes, we first calculated internal cell type

correlation and then utilized Uniform Manifold Approximation and Projection (UMAP) dimension

reduction analysis [28] to visualize the global landscape of expression profiles (**Figure S1**). We

further validated the consistency of CTGenes across different references, including

BlueprintEncode, DICE, MonacoImmune, and HCL. Accordingly, we systematically compared

the CTGenes (by default using the top 5% highest genes by *t*-statistic) between two different

references. We observed a large variation between references, with matching rate ranging from

20% to 70%, although more than 80% of matched cell types shared the highest cell type-specific

marker genes (**Figure S2**). For example, when comparing the BlueprintEncode reference with

the HCL reference, only 36 out of 102 (35.3%) cell types in HCL shared at least 200 CTGenes

with the BlueprintEncode reference, while most cell types in HCL reference [e.g., alveolar type 2

(AT2) cells in lung, mast cells in blood] were missed in BlueprintEncode.

To further assess the validity of CTGenes identified by *t*-statistic, we performed pathway

enrichment analysis for each cell type using the top 200 CTGenes (**Table S1**). We constructed a

network containing all significantly enriched pathways (FDR < 0.05) and corresponding cell types.

As shown in **Figure 2**, more than 90% CTGenes were correctly enriched in biologically relevant

pathways: adipocytes were enriched in "*Regulation of lipolysis in adipocytes*"; B-cells were

enriched in "*B-cell receptor signaling pathway*"; CD4+ and CD8+ T-cells were enriched in "*T-cell*

receptor signaling pathway"; HSCs were enriched in "*Spliceosome*"; melanocytes were enriched

in "*Melanogenesis*"; and neuron cells were enriched in "*GABAergic synapse*" and "*Glutamatergic*

synapse". In addition, the relationship of CTGenes could be observed based on a force-directed

layout network. For example, several cell types were well clustered: myocytes and skeletal

muscles; CD4+, CD8+ T-cells and NK cells; and monocytes, dendritic cells (DC), and

macrophages.

scRNA-seq cell type annotation

Peripheral blood mononuclear cells

Human peripheral blood mononuclear cells (PBMCs) are routinely studied in the biomedical

fields including immunology and the development of diagnostics and therapeutics for human

diseases [29]. To explore the utility of *deCS* for direct annotation of scRNA-seq data, we first

analyzed a PBMC data set, which is available from 10x Genomics using the MonacoImmune

reference. The basic workflow of two alternative annotation pipelines is depicted in **Figure S3**.

We followed the standard pre-processing workflow for PBMC scRNA-seq data in Seurat [5]. We

extracted the union of cell cluster-specific marker genes, and then calculated the average

expression profile for each cluster, followed by z-score normalization. We applied two statistical

approaches: correlation analysis and Fisher's exact test would match the cell label with the

highest PCC or intersection ratio (IR) to each query cluster (**Figure 3**). Overall, the main labels

predicted by all 9 clusters were the same between these two methods on the PBMCs data set.

The similarity scores of most clusters mapped to a single main label and were significantly higher

compared to the remaining cell types, indicating high specificity on main cell label annotation.

When mapping to fine labels, many cell clusters were mapped to multiple fine labels.

Nevertheless, most of them were mapped to closely related cell types. For example, native CD4

T-cells were predicted as one subtype CD4 T-helper 1 cells (Th1) [30].

Solid tissues on adult and fetal samples

We next evaluated the performance of *deCS* in six additional scRNA-seq data sets from

different solid tissues. The first data set were bronchoalveolar immune cells (BIC) from

COVID-19 infected patients [31]. The results indicated that *deCS* found the best-matched cell

type for the majority of cell clusters (8 out of 10) on BlueprintEncode panel (**Figure 4A, B**). Two

exceptions were mast cells and plasmacytoid dendritic cells (pDC), which were matched to

erythrocytes (PCC = 0.5, IR = 0.25) and B cells (PCC = 0.28, IR = 0.2), respectively. Here, IR

refers to the intersection ratio. One major concern of the misclassification is that cell type is not

represented in the used BlueprintEncode reference [8]. One possible solution is to annotate

them as undetermined cells if they are too dissimilar to any references (e.g., PCC < 0.3) [32].

Alternatively, users can map their query data to other available references. As shown in **Figure**

S4, mast cells and pDC were mapped to their counterpart when using the HCL (PCC = 0.82, IR =

0.77) and MonacoImmune (PCC = 0.74, IR = 0.9) references, respectively.

The second data set was derived from fetal cardiac cells (FCC) [33]. As shown in **Figure S5**,

almost half cell clusters (5/9) failed to find any matched cell types (using PCC > 0.3 as the

threshold) when mapping to BlueprintEncode. However, when using the HCL or HCAF reference,

there were 8 out of 9 cell clusters (except for C5 valval cell) mapped to the annotated cell type.

Similarly, for the four human scRNA-seq datasets of liver, lung, spleen and esophagus tissues,

only 50~70% of cell clusters were mapped to their counterpart when using BlueprintEncode,

HCL or HCAF reference individually (**Table S2**). When combining the highest-scoring labels

across multiple references, mapping rate was improved to 87.8% or above. This demonstrated

that integrating results from multiple high-quality curated reference panels could significantly

improve annotation accuracy and utility.

Annotation in single cell resolution

In the above analysis, we used the averaged expression level in each cluster to evaluate the

performance of *deCS* [34]. These traditional routines, however, overlook an important

characteristic of scRNA-seq data [35]: cellular heterogeneity. Considering the true hierarchical

cluster structure for a cell population (e.g., both CD4+ and CD8+ are T cells) [35],

cellular heterogeneity is widely observed when applying unsupervised clustering [36]. The ideal

scenario is each cell can be annotated to one specific branch of the hierarchical tree [8].

Therefore, we further evaluated *deCS* in bronchoalveolar immune cells (BIC) data set [31]. As

shown in **Figure 4C**, the majority of cells within 10 clusters can be matched to the best cell type

through the integrative analyses across multiple references. There may exist misclassifications

only in closely related cells. For example, for myeloid cells, 1,514 and 2,076 cells in

macrophages cluster were erroneously annotated as monocytes and neutrophils, respectively.

Some neutrophils were annotated as monocytes or macrophages (**Figures S6**, **S7**).

Previously the scMatch method [10] showed that the cells with more reads were more likely

to be correctly classified than those with lower read depth. Therefore, based on the sequencing

depth, we divided 63,103 cells from BIC data into three groups: low (1k ≤ UMI < 3k), median (3k

≤ UMI < 6k), and high (UMI ≥ 6k) depth groups. As **Figure 5A** showed, although low sequencing

depth has a slight impact on annotation accuracy (e.g., 10 B cells were annotated to

macrophages), *deCS* approach had a good performance for other cell types. As a negative

control, we further compared the annotation recall among healthy controls and moderate or

severe COVID-19 infected patients. As shown in **Figure 5B**, the cell type frequencies among

different groups demonstrated significant differences [Kruskal–Wallis test ($p < 0.05$)], especially

for epithelial and neutrophil cell types [37].

Although *deCS* demonstrated good performance at the single-cell level, there remained a

small fraction of misclassified cells. To address this issue, we further investigated top marker

genes for each cluster to better understand the underlying reason. As shown in **Figure S8**, the

top marker gene of naive CD4 T cell, "*CCR7*", which only expressed in almost 40% naive CD4 T

cells due to "dropout" effect in scRNA-seq. We also observed that gene expression imputation

approaches [21, 38, 39] could improve single cell resolution annotation performance. Thus, we

recommend cell type annotation on clusters instead of individual cell after imputation, which is

consistent with other publications [5, 40].

Benchmarking analysis on different tissues

To demonstrate the superior performance of *deCS*, we compared it with other methods,

including *SingleR* [7], *CHETAH* [8], *scPred* [12], *scibet* [13], *scVi* [14] and *Cell BLAST* [15] using

the above eight datasets. We first applied the methods to two PBMC data sets. As summarized

in **Table 1**, the overall performances of *deCS*, *SingleR*, *scPred* and *sciBet* ranged from 87.55%

to 89.41%. Among them, *scPred* was the best-performing classifier (88.78%), which is consistent

with previous benchmark work, demonstrating that SVM classifiers have overall the best

performance [16]. However, as classical genes are not expressed in every single cell, *deCS*

showed better performance (89.41%) than *scPred* (**Table S3**) after imputation [21]. In addition,

we noticed that *CHETAH*, *Cell BLAST* and *scSorter* had weaker robustness on the annotation

accuracy. One possible reason is that the rejection threshold is too strict, such that most cells

were annotated to be ambiguous or an intermediated branch of cell types. Another possible

reason is potential overcorrection when some query cell types are non-overlapping with

reference (details are shown in **Table S2** and **Figure S9**).

Since most methods showed comparable performance on PBMC, we further benchmarked

them on six extra datasets, including bronchoalveolar, heart, liver, lung, oesophagus and spleen.

As described in **Table 1**, the *deCS* correlation analysis showed an average of 93.6% accuracy.

When *deCS* Fisher's exact test failed to consider the "weight" feature, it only obtained an

average of 89.1% accuracy. *sciBet* (86.0%) *and SingleR* (76.8%) also achieved good

performance on most tissues, as both of them incorporated a comprehensive reference panel

with middle size (>30 cell types). However, the accuracy for most other methods was lower than

50% due to inappropriate reference panels, even when counting those undetermined cells (when

query cell types are non-overlapping with reference). For example, as most cell types from

spleen were identical with PBMC, *scPred* showed 79.6% accuracy on spleen tissue. However,

on liver tissue, where there was a large proportion of hepatocyte (48.2%) (non-overlapping with

reference), the accuracy was below 50%, as 41.3% of the hepatocytes were annotated to

monocytes (rather than unassigned cell type). In most studies, researchers typically do not have

enough prior knowledge about the cell type composition in the investigated samples. Therefore,

the expanded reference in *deCS* can be widely used on various tissues.

deCS reduced the feature collinearity and impact of different sequencing depth

The superiority of *deCS* is not only due to the expanded cell type. Even after excluding those

query-specific cell types (e.g. ventricle cardiomyocytes in heart, hepatocytes in liver, alveolar

cells in lung), the *deCS* showed better annotation accuracy in distinguishing biologically related

cell types (e.g. CD4+, CD8+ T-cells and NK, monocyte and macrophage). We speculated feature

selection (comparing to *SingleR*) is still an essential step before correlation analysis. These

identified anchor genes (cluster marker genes) can effectively reduce the collinearity compared

to the case when using more genes (top variable genes). As showed in **Figure 6A**, the query

cluster is naive CD4 T cells and the PCC was 0.87 when using "union of cluster-specific genes",

but dropped to 0.45 when using top 2000 variable genes. In contrast, the PCC between query

cluster and CD8+ T cells was still high (0.32) (**Figure 6B**). Although there are lots of other

methods for feature selection and model prediction (e.g. SVM, random forest) [12, 13], it is not

realistic to conduct such time-consuming process on huge HCL or HCAF raw data (>4 million

cells) [20]. In consideration of factors of both speed and scalability when combining the

highest-scoring labels across multiple references, we decided to provide the cell cluster

aggregated *t*-statistic and *z*-scores. On the other hand, *deCS* drastically reduced the required

memory and runtime, which is helpful for annotation of large data set using a personal computer.

Due to the stochasticity and inefficient mRNA capture in scRNA-seq, varying sequencing

depth across batches is a major driver of batch effects [7, 41]. Thus, we evaluated the level of

sequencing depth (different sized pools, n from 1 to 50) of single cell transcriptomes to the

pseudobulk level (cluster averaged). As shown in **Figure 6C**, we observed a gradually

diminishing improvement of inter-correlation with increasing sequencing depth. Take T cells as

an example, the inter correlation between single cell and pseudobulk level in PBMC and BIC

data sets was 0.707 and 0.898, respectively. As the median number of detected genes in BIC

was almost 4-fold more than PBMC data set, it only needed to cumulate 5 cells to approximate

95% bulk level in BIC, while it needed at least cumulated 10 cells in PBMC data (**Figure 6C**). To

put it another way, when a gene is observed at a low or moderate expression level in BIC cells, it

is probably not detected in PBMC cells. This phenomenon is also known as "dropout" [42]. For

better demonstration, we randomly selected 5 bulk RNA-seq samples and down-sampled each

sample to 10-100k reads followed by quantification normalization (**Table S4**). Interestingly, in the

low sequencing depth condition, we noticed a pronounced effect of "sequencing depth"

compared to the "identity" level in the PCA plot. In contrast, z-score normalization effectively

reduced the "batch effect" (**Figure S10**). Furthermore, standard UMAP analysis was applied to

two different data batches (PBMC and BIC). As shown in **Figure 6D**, we observed a strong batch

effect between PBMC and BIC log normalized expression matrices (e.g., NK and T cells were

clustered by two samples). In contrast, after z-score normalization (**Figure 6E**), most cell types

form distinct clusters separated by cell types (e.g., B cells, NK cells, T cells and DC cells).

Decoding the cell type-specificity on other applications

In addition to scRNA-seq cell type annotation, *deCS* reference panels can be used to detect

cell-type specificity and to provide insightful understanding of many other biological problems.

For example, we can apply *deCS* to genome-wide association studies (GWAS) data for trait-cell

type associations, and also bulk RNA-seq data from induced pluripotent stem cell (iPSC) derived

cells. We demonstrated the utility of *deCS* with two applications below.

Understanding the underlying context of human complex diseases is an important step to

unveil the etiology of disease origin [43], yet tissues are complex milieus consisting of various

cell types [44]. Therefore, tissue level association may fail to elucidate cell type contributions in

disease [45]. To uncover novel associations between cell types and diseases, *deCS* was applied

to 51 GWAS data (**Table S5**) and the association was evaluated by Fisher's exact test. As shown

in **Figure 7**, we observed (1) neuropsychiatric disease, college, and education associated genes

being mainly enriched in neurons; (2) immune-related traits enriched in B-cells, T-cells, and

NK-cells (lymphoid cells); (3) blood red cell related traits enriched in erythroid cells (**Figure S11**);

(4) bone mineral density enriched in chondrocytes; and (5) high-density lipoproteins enriched in

adipocytes. Of note, Alzheimer's disease, a neurodegenerative disease of the brain, was found

to be associated with myeloid lineage cells, rather than neurons. We believe these results

collectively shed light on the casual cell type underlying complex traits for both related and

unrelated traits. Taken together, the accurate detection of the cell types underlying genetic

variants will not only improve our understanding of the molecular mechanisms of complex

diseases at the cell type level [46], but also can serve as an important instrumental role in cell

type-specific transcriptome-wide association studies (TWAS) [47] and colocalization test [48],

among other integrative genetic analyses.

The second application of *deCS* was applied to bulk RNA expression profiles. Human iPSCs

have revolutionized the study of the biological mechanisms underlying psychiatric disorders by

establishing cellular models that account for a patient's genetic background [49]. In most iPSC

studies, differentiation quality is routinely assessed [49]. After we normalized the bulk RNA-seq

data iPSC-derived neuronal precursor cells (NPCs) and neurons [50], the top matched cell types

in 12 of 13 iPSC-NPCs were most enriched in "fetal progenitor cells" or "human embryonic stem

cells (hESC)" (**Figure S12**) while the top matched cell types for iPSC-neurons were most

enriched in "fetal neuron". Therefore, *deCS* can also be applied for decoding the cell

type-specificity on bulk RNA-seq.

Discussion

Single-cell sequencing is a fast-evolving technique that provides deeper insight into the

complexity of cellular heterogeneity within the same tissue. One critical step in the analysis is cell

type annotation. However, this step is largely done manually, which is time-consuming and

subjective. A list of methods was recently developed for automatic cell type annotation [16].

However, these methods are not capable of discovering novel cell types, as they only

incorporate a rejection option. In addition, due to the minute amount of starting tissue material,

scRNA-seq data is prone to batch effects [51]. To overcome these limitations, one strategy is to

conduct cell type annotation on each reference respectively, and then combine the

highest-scoring labels across multiple references [7, 10]. In this study, we collected multiple

human cell type expression profiles from different platforms. Our benchmarking results showed

that *deCS* achieved the best performance by using the combined highest-scoring labels across

multiple reference panels.

deCS relies on the predefined cell clusters. There are multiple algorithms for unsupervised

clustering, including k-means clustering [52], hierarchical clustering, and graph-based clustering

[5], which may generate different clusters and affect *deCS*'s performance. We performed the test

across various clustering methods and resolutions, which showed greater than 90% annotation

consistency (**Table S6**). This suggests that deCS is robust to predefined cell clusters. Of note,

deCS has several advantages over other models. First, the union of cluster-specific genes

combined with *t*-statistics and z-scores in *deCS* drastically reduces the collinearity and the

required memory and runtime for cell annotation while achieving comparable accuracy on

biologically related cell types (e.g. CD4+, CD8+ T-cells and NK, monocyte and macrophage).

Second, with the expanded references, *deCS* exhibits superior performance to other methods.

deCS can combine the highest-scoring labels across multiple references and dramatically

reduce the incorrect cell type annotation when real cell type counterparts are missing in the

reference. Although the parameter selection, such as marker genes and correlation measure,

may slightly affect the annotation accuracy, the collected comprehensive human cell type profiles

in *deCS* provide wider applicability ranging from blood cells to adult and fetal solid tissues. Third,

deCS supports scRNA-seq cell type annotation for either gene expression profiles or list of genes

without prior knowledge. *deCS* is a new tool that it not only benefits the studies of scRNA-seq

data, but also yields novel insights for better understanding the molecular basis of thousands of

human complex diseases or traits.

We applied *deCS* algorithm to the GWAS data in our recently developed database, Cell

type-Specific Enrichment Analysis DataBase (CSEA-DB), which covers 55 unique tissues [53].

Among a total of 10,250,480 trait-cell type associations, we observed significant cell type

association in 598 (11.68%) traits. Some human complex diseases or traits were associated with

multiple cell types. For example, asthma was found to be associated with both immune and

epithelial cells (AT2) [53]. Moreover, comparing to previous studies [23] that most TAGs enriched

in "blood" tissue, *deCS* showed immune TAGs were enriched in lymphoid lineage cells, while red

blood cells related traits were enriched in erythroid cells. It has been frequently recognized that

pleiotropic effects are ubiquitous in human complex traits [54]. For example, individuals carrying

schizophrenia risk alleles tended to be also associated with high risk of Crohn's Disease [55]. We

expect to identify more explicit associations with the increasing high-quality curation of tissue-cell

types.

There are several limitations in our study. First, *deCS* does not take tissue information

(except for HCAF) into account which may bias the annotation results. For example, 93.2% cells

from fetal thymus were annotated as proliferating T-cells (cluster 52), and 85% cells from fetal

brain were annotated as fetal neurons (cluster 11) (**Table S7**). We strongly encourage users to

combine prior biological knowledge and apply tissue-matched cell type reference to mitigate

potential bias (e.g., almost no neurons or astrocytes in peripheral blood). Second, as a

mapping-based annotation toolkit, *deCS* fails to characterize novel cell types. Although we

included more than one hundred cell types and most of them come from healthy tissues. It is

expected that *deCS* might be challenging for cancer cell annotations due to the expression

profile difference between healthy and malignant cells [56]. We believe some "semi-supervised"

cell type assignment methods like *scSorter* [57] can address it by providing the marker gene in

corresponding cell type. Owing to the efforts by the human cell atlas [19] as well as

time-dependent change of cell states in gene expression profiles [58], the available large

numbers of single cell data sets can be fed in as reference data sets to improve the annotation of

future experiments. Despite these limitations, we demonstrated the feasibility and availability of

deCS for broad applications. Importantly, *deCS* method is not limited to disease gene lists. As

our cell type reference panels are only built upon expression profiles, including those unstudied

or poorly annotated genes [23, 43], users can highlight the cell type-specificity from any analysis.

Taken together, *deCS* is a new tool that not only benefits studies on scRNA-seq data of complex

tissues, but also yields novel insights for better understanding the molecular basis of various

human diseases and traits.

Materials and methods

Data collection for cell type reference panels

The BlueprintEncode data

We downloaded the BlueprintEncode RNA-seq data from Aran et al. (2019) [7]. The raw

data comprised of 259 bulk RNA-seq samples in total. All cell types were aggregated into 24

broad classes ("main cell types") with 43 cell types ("fine cell types"). Raw gene expression data

was normalized by Transcripts Per Million (TPM), followed by log2 transformation.

The Database of Immune Cell Expression data

The Database of Immune Cell Expression (DICE) reference contained 1,561 bulk RNA-seq

samples from pure populations of human immune cells. We downloaded the TPM normalized

values for 5 main (15 fine) immune cell types or subtypes from

https://dice-database.org/downloads [26].

The MonacoImmune data

The MonacoImmune reference comprised 114 PBMCs bulk RNA-seq samples from 4

Singaporean-Chinese individuals. We downloaded the TPM-normalized values for 10 main (29

fine) immune cell types (GSE107011) from Monaco G et al. study [27].

The human cell landscape data

The human cell landscape (HCL) reference contained more than 700,000 scRNA-seq

expression profiles from more than 50 human different tissues [18]. These cells were grouped

into 102 major clusters, and have been well-annotated base on known marker genes. These

data were derived from 18 fetal tissues, 35 adult tissues, and several intermediate tissues (e.g.,

cord blood, placenta). We downloaded the gene expression profiles from

http://bis.zju.edu.cn/HCL/landscape.html.

The human cell atlas of fetal data

The human cell atlas of fetal (HCAF) gene expression contained ~4,000,000 single cells

from 15 human fetal organs ranging from 72 to 129 days in estimated post-conceptual age [20].

These cells were grouped into 172 major cell types and raw data is available at

https://descartes.brotmanbaty.org/bbi/human-gene-expression-during-development/

CellMatch

The CellMatch reference [11] was curated from various resources, including CellMarker [59], MCA [60], CancerSEA [61], and the CD Marker Handbook. In this study, we only obtained 183 cell types for humans with an average of 163 marker genes per cell type. The raw data set is available from https://github.com/ZJUFanLab/scCATCH/blob/master/R/sysdata.rda.

Measurement of cell type-specificity of gene

All references derived from bulk RNA-seq were classified into two tiers: tier 1 for the broad (main) cell type category and tier 2 for a more granular (fine) cell type category [7]. For both tiers, we implemented our previous method [23] by fitting a regression model for each gene and computed t-statistics to measure the cell type-specificity. Briefly, for cell types in tier 1, we followed our previous work and fitted a regression model for each cell type independently as $Y \sim X$, where X is the cell group status (0 or 1) and Y is the log2 transformed gene expression. Specifically, for a cell type in the examination, we defined $X = (x_i)$, $i = 1,\ldots, N$, where N is the total number of samples; $x_i = 1$ if the sample belonged to the cell type in the examination, and $x_i = 0$ if the sample belonged to any cell types that are not in the same group. We then selected the t-statistic for the explanatory variable X in the standard way:

$$t = \frac{(X^T X)^{-1} X^T Y}{\sqrt{MSE \cdot (X^T X)^{-1}}}$$

where MSE is the mean squared error of the fitted model, i.e.,

$$MSE = \frac{1}{N}(Y - X(X^T X)^{-1} X^T Y)^T (Y - X(X^T X)^{-1} X^T Y).$$

Of note, most cell types in tier 2 were biologically related, such as "*memory B-cells*", "*naive B-cells*" and "*plasma cells*". An inclusion of all these instinctively related cell types in one regression model would underestimate their cell type-specificity due to the potential collinearity. Therefore, for the cell types in tier 2, the regression models were fitted after excluding the

samples from the same group (main cell type) but keeping the other cell types [23].

The predefined cell number per tissue in the HCL and HCAF data sets is large: 102 (HCL)

and 172 (HCAF) major clusters. Accordingly, we utilized *z*-score to measure the cell

type-specificity of genes. For each gene, a *z*-score is calculated as $z_i = (e_i - \text{mean}(E))/sd(E)$,

where e_i is the average expression of the gene in the ith cluster, *E* represents the collection of its

average expression in all clusters, and *sd* denotes the standard deviation of *E*.

For each cell type, those genes with the highest *t*-statistic or *z*-score are considered as

CTGenes in the cell type in examination. The user can define the cutoff values (e.g., the top 5%

genes as CTGenes). Because the CellMatch database did not include gene expression

information, we directly utilized the predefined CTGenes [11].

To create cell type classification tree, for each reference data set, we conducted cell type

hierarchical clustering based on Euclidian distance and ward.D2 linkage aggregation. The

bootstrap resampling and probability values (p-values) for each cluster was conducted using

Pvclust package [62].

Algorithm of cell type-specific enrichment analysis

Feature selection is an important step to determine the cell type. To deal with feature gene

collinearity and dropout rate differences, while facing all kinds of variations between datasets, we

recommend users to pre-process their query dataset, and to use the union of cell cluster-specific

genes as input of *deCS*. These recommendations can improve the accuracy and efficiency.

Depending on the query data type, we implemented two test approaches. If the query is an

expression profile, we provided two methods to minimize the batch effects between two different

datasets, which is a particular concern in scRNA-seq: (1) The z-score strategy normalizes the

query expression data by $e_n = (e_q - u_s)/sd_s$, where e_q and e_n are the queries and normalized

expression, and u_s and sd_s are the mean and standard deviation of a gene from the query

expression data. (2) The abundance correction approach [63] normalizes the query RNA-seq

data by $e_n = log_2(e_q+1)/(log_2(u_s+1)+1)$. After normalization, we calculated the *Pearson correlation*

coefficient (PCC) of cell type-specificity of genes between the query and each of the reference

cell types, respectively. The most relevant cell type(s), measured by the highest PCC score(s),

are annotated to query profiles, possibly with further fine-tuning to resolve closely related cell

type(s). On the other hand, we also incorporated a rejection option (e.g. minimum correlation

coefficient threshold), which allows the detection of potentially novel cell populations.

When the query is a list of genes (e.g., marker genes of a cell cluster, traits associated

genes), we implemented Fisher's exact test to examine if they are significantly enriched in cell

type-specific genes (CTGenes). We allow users to define the threshold, e.g., the top 5% genes as

CTGenes. Specifically, for the query gene set and CTGenes in a given cell type, we build a

dichotomous 2×2 contingency table as follows:

	Cell type-specific genes (*r*)	
Query genes (*q*)	$\lvert Q \cap R \rvert$	$\lvert \bar{Q} \cap R \rvert$
	$\lvert Q \cap \bar{R} \rvert$	$\lvert \bar{Q} \cap \bar{R} \rvert$

Here, *q* denotes the set of query genes and *r* denotes the set of CTGenes in a given cell type.

$\lvert Q \cap R \rvert$ denotes the intersect gene number between *Q* and *R*, $\lvert \bar{Q} \cap R \rvert$ and $\lvert Q \cap \bar{R} \rvert$ denote the

number of genes only in *R* or *Q*, respectively, and $\lvert \bar{Q} \cap \bar{R} \rvert$ represents the number of genes that

neither in *Q* or *R*. In addition, the intersection ratio (IR) $\lvert Q \cap R \rvert / \lvert Q \rvert$ will also be calculated. An

intersection ratio (IR) of 1 indicates that the 100% query genes are overlapped with CTGenes

(default, the top 5% genes) of a given cell type, while 0 indicates no overlap. The most relevant

cell type(s), measured by the highest IR(s), are annotated to query profiles.

Pathway enrichment analysis of cell type-specific genes

For the top 200 CTGenes (genes with the highest *t*-statistic or *z*-score) of each cell type, we used

RDAVIDWebService (v 1.19.0) [64] for pathway enrichment analysis. Both Gene Ontology (GO) and

Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway annotations are used. Benjamini and

Hochberg's approach [65] was used for multiple test correction. Significant pathways were defined as

those with a false positive rate (FDR) less than 0.05. The network of CTGenes enriched KEGG

pathways was presented by Cytoscape [66].

Data collection and preprocessing

Single cell RNA-seq data

(1) Two 3k peripheral blood mononuclear cells (PBMC) were collected from 10x Genomics

website (https://support.10xgenomics.com/single-cell-gene-expression/data sets).

(2) The test data set of bronchoalveolar immune cells (BIC) was collected from healthy controls

(HC, n = 3) and patients with moderate (M, n = 3) and severe (S, n = 6) COVID-19 infection [31,

67]. The BIC data included 63,103 single cells. The raw data and predefined labels are available

from https://github.com/zhangzlab/covid_balf.

(3) The fetal cardiac cells (FCC) scRNA-seq data were collected from 18 human embryos,

ranging from 5 weeks (5W) to 25W of gestation [33]. The UMI count of 3,842 cells and predefined

labels were downloaded from the GEO website (GSE106118).

(4) The human liver scRNA-seq data were collected from five fresh hepatic tissues [68]. The raw

dataset of 8,444 cells and annotation are available through the GEO accession (GSE115469).

(5) The scRNA-seq data of human lung, spleen and esophagus tissues were collected from 12

organ donors [69]. The raw data of 239,224 single cells and annotation are available from

https://www.tissuestabilitycellatlas.org/

Traits associated gene list from GWAS summary statistics

Previous studies have suggested that most traits associated genes (TAGs) showed strong

tissue-specific associations [23, 70]. Therefore, we further expanded 51 publicly available

genome-wide association studies (GWAS) spanning a wide range of phenotype measurements

to investigate the potential association between human traits and cell types.

Considering the statistical noise in GWAS data and more than 90% of genetic variants from

GWAS are located in the non-coding regions, for each GWAS trait, we used moderately

significant associated SNPs with chi-squared p-value $< 10^{-3}$. This strategy allowed us to have a

list of genes with weak to strong association signals. Additionally, to predict their potential

regulatory effects, we applied our DeepFun model [71, 72] based on deep convolutional neural

networks (CNN) on a comprehensive epigenomic feature. We defined variants with a maximum

SAD score greater than 0.1 (or less than -0.1) as regulatory loci (potential causal variants). Then

we employed Pascal software [73] to map them to gene level if these SNPs were located within a

range of 50 kb upstream or downstream of corresponding gene transcription start sites by taking

into account linkage disequilibrium (LD) and gene length information. Any genes with at least one

regulatory locus (max SAD > 0.1) were regarded as trait-associated genes (TAGs).

Bulk RNA-seq data

The test bulk RNA-seq data were generated from schizophrenia (SCZ) associated human

iPSC-derived cell lines from the population isolate of the Central Valley of Costa Rica (CVCR)

[74]. One clone from each subject was differentiated into neural progenitor cells (NPCs) and

neurons, respectively. In total, we collected RNA-seq data from iPSC-NPCs (n=13) and

iPSC-neurons (n=11).

Single cell permutation analysis

Single-cell permutation analysis was performed to assess the cell cluster internal correlation

and detected gene richness. For a given number (n from 1 to 50) of cells belong to the same cell

type, we performed random sampling 100 times without replacement, then calculated the PCC of

the averaged gene expression level from the single cells compared to the pseudo-bulk level (cell

cluster averaged). In addition, we estimated the total number of detected genes that could be

identified (at least one UMI in at least one cell), along with the cumulated number of cells.

Statistical analysis

Uniform Manifold Approximation and Projection (UMAP) analysis [28] was used to visualize

scRNA-seq batch effect. For comparison of the cell annotation accuracy among healthy controls,

moderate, and severe COVID-19 infected patients, we used *kruskal.test* function in *R* software.

The performance of *deCS* was evaluated by recall, defined as TP/(TP+FN) where TP and FN

denote the number of true positives and false negatives.

Evaluation and software implementation

The evaluation was conducted on a desktop equipped with i7-7700HQ CPU and 16 GB of

RAM. We ran *deCS* using two approaches: correlation analysis and Fisher's exact test. *deCS*

runs fast when applying correlation analysis. It took only ~7 seconds for a gene expression

matrix with BIC data set (63,103 cells) by *deCS.correlation* function. When applying Fisher's

exact test, it took only ~50 seconds for a list of 43,514 genes across the 51 traits.

Data and code availability

The source codes and results are implemented in R and are freely available at GitHub:

https://github.com/GuangshengPei/deCS.

CRediT author statement

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Competing interests

The authors declare no competing interests.

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Figure legends

Figure 1. Overview of *deCS* flowchart. For each cell type, we compute *t*-statistics and *z*-scores

for each gene in the bulk RNA-seq and scRNA-seq derived references, respectively. Then, we

define genes with the highest *t*-statistics or *z*-scores (top 5%) as CTGenes. We further integrate

cell signature gene sets from one curated CellMatch database. Depending on the type of "query

data", when the query input is gene expression profile, it will calculate the *Pearson correlation*

coefficient (PCC) or *Spearman's rank correlation coefficient* between query scaled expression

profiles and *t*-statistics (or z-scores) of each cell type in the reference, then assign the label with

the highest score to the query profile. When the query input is list of genes, it is analogous to

existing tools for identifying candidate genes that are overrepresented in specific Gene Ontology

(GO) terms or KEGG pathways [64]. Finally, the top enriched cell type is annotated to query

data.

Figure 2. KEGG pathway enrichment of cell type-specific genes. The network shows the

relationship between CTGenes and KEGG pathways with significant association (FDR < 0.05).

The network layout is based on a force-directed graph. Edge width is proportional to

-$\log_{10}$(transformed FDR).

Figure 3. Comparison of the two methods in *deCS* using PBMC scRNA-seq data. (A)

Correlation analysis by PCC. **(B)** Fisher's exact test. *X*-axis: 9 cell type clusters (query). *Y*-axis:

the 10 main (up panel) and 29 fine (bottom panel) immune cell types from the MonacoImmune

reference [27]. The colors represent the PCC or genes intersection (IR) ratio, and the sizes

represent the -$\log_{10}$(transformed *p*-value). Non-significant associations (*p*-value > 0.05) were

labeled by white color.

Figure 4. *deCS* annotation of bronchoalveolar immune cells from COVID-19 infected

patients. (A) Correlation analysis by PCC. **(B)** Fisher's exact test between 10 cell major clusters

marker genes and CTGenes in BlueprintEncode reference. *X*-axis: 10 cell type clusters (query).

Y-axis: the 24 main cell types from BlueprintEncode. The colors represent the PCC or genes

intersection ratio, and the sizes represent the -$\log_{10}$(transformed *p*-value). **(C)** Individual cell level

annotation of 63,103 single cells profiled on the 10x Genomics platform. We annotated each cell

by using the top matched mode (cell type with the highest PCC). Using integrated references

(BlueprintEncode: green; MonacoImmune: blue; HCL: red), *deCS* classifies all cells that are

nearly identical to that by manual classification. The colors and the numbers representing the

percentages and the total cell counts were annotated to a given cell type.

Figure 5. Comparison of *deCS* annotation on cells with different sequencing depth and

individuals. (A) Annotation comparison of cells sequenced among low (1k ≤ UMI < 3k), median

(3k ≤ UMI < 6k) and high (UMI ≥ 6k) depth groups. **(B)** Annotation comparison of cells derived

from healthy controls (HC) and patients with moderate (M) and severe (S) COVID-19 infection

groups. We only use the union of top 20 marker genes of each cluster. The colors and the

numbers represent the percentages and the total cell counts that were annotated to a given cell

type. Only cell types are annotated with at least 5% cells from 10 predefined cell clusters were

labeled with the number of cell counts.

Figure 6. *deCS* pipeline reduces the collinearity and impact of sequencing depth

difference. Correlation comparison by using the union of cell cluster-specific gene and top 2000

most variable genes between query (CD4 T) cells and reference on **(A)** CD4 T (positive) or **(B)**

CD8 T cells (negative). **(C)** Rarefaction curve of T cells in PBMC and BIC data sets. *X*-axis: the

sized pools (n from 1 to 50). *Y*-axis: the inter-correlation between the gene expression of pooled

cells and bulk level (cluster averaged). Plotted are interquartile ranges (IQRs; boxes). The red,

green and blue lines indicate the number of single cells that hypothetically enabled the averaged

expression levels of pooled cells are approximate to bulk populations (with PCC > 0.9, 0.95 and

0.975). **(D, E)** Uniform manifold approximation and projection (UMAP) integrative analysis of

PBMC and BIC data sets by **(D)** log normalized expression matrix or **(E)** further z-score scaled.

Each circle and triangle represents a single cell derived from BIC or PBMC data sets.

Figure 7. Association between trait-associated genes and cell type-specific genes. 51

traits were analyzed. Heat map shows the significant trait-tissue associations (*p*-value < 0.05).

The numbers on the cells indicate the shared gene counts between TAGs and CTGenes in

BlueprintEncode. The colors and sizes represent the shared gene counts and the

-$\log_{10}$(transformed *p*-value). Since more significant SNPs are likely found in immune-related

diseases and blood-related traits than other traits, we recommend users to focus on the top 3

most relevant cell types for those traits with higher TAGs number.

 Table

798 **Table 1. Performance comparison of *deCS* with six other models among eight data sets.**

ID	Tissue name	deCS(Cor)	deCS(FET)*	SingleR	scPred	sciBet	CHEATH	Cell blast	scSorter**
1	PBMC1	88.74%	-	87.81%	88.78%	87.55%	54.34%	77.38%	75.81%
2	PBMC2	88.52%	-	87.81%	88.59%	87.79%	54.42%	78.40%	71.86%
3	Bronchoalveolar	97.34%	95.79%	71.34%	<50%	91.54%	<50%	<50%	-
4	Heart	80.97%	80.97%	63.33%	<50%	<50%	<50%	<50%	-
5	Liver	99.56%	92.37%	<50%	<50%	83.99%	<50%	<50%	-
6	Lung	98.59%	87.78%	70.72%	<50%	67.77%	<50%	<50%	-
7	Oesophagus	87.64%	87.07%	86.82%	<50%	96.34%	<50%	<50%	-
8	Spleen	97.34%	90.54%	91.90%	79.63%	90.35%	<50%	<50%	-

799   * We benchmarked PBMC data sets at the single cell level. Because deCS(FET) model needs cell-cluster level information, which is

800   not available from these PBMC data sets.

801   ** scSorter is a "semi-supervised" cell type assignment method. Because the prior knowledge of markers would strongly impact the

802   annotation, we did not benchmark scSorter on 6 solid tissues.

 Supplementary materials

Figure S1. Summary of three bulk-RNA derived cell type references. (A-C) *Spearman's rank*

correlation analysis for each cell type by using the average expression for each gene. **(D-F)**

Uniform Manifold Approximation and Projection (UMAP) dimension reduction analysis

investigated the global landscape of cell type expression profiles. **(G-I)** Variance comparison of

different gene features. Each dot represents one gene feature. *X*-axis: type of gene feature (e.g.

protein coding, lincRNA). *Y*-axis: the variance of gene feature, scaled by absolute abundance.

Figure S2. Shared cell type-specific genes comparison between bulk-RNA and scRNA-seq

derived references. (A-C) Main CTGenes comparison between BlueprintEncode and

ImmuneCellExpression, BlueprintEncode and MonacoImmune, ImmuneCellExpression and

MonacoImmune. **(D-F)** Fine CTGenes comparison between BlueprintEncode and

ImmuneCellExpression, BlueprintEncode and MonacoImmune, ImmuneCellExpression and

MonacoImmune. **(G)** CTGenes comparison between BlueprintEncode and human cell landscape.

The number indicates the shared CTGenes number between two cell types.

Figure S3. Overview of *deCS* flowchart for scRNA-seq annotation. We recommend users

apply *Seurat* standard pre-processing workflow, including transcription noise cells cleaning,

highly variable genes identification, dimension reduction and cell clustering analysis. Then,

Seurat FindAllMarkers() function can use to identify cluster-specific marker genes, and

AverageExpression() function can use to calculate the gene average expression level for each

cell cluster. Base on provided *t*-statistics and *z*-score for each gene, we implemented two test

approaches for scRNA-seq cell type annotation. Strategy A: user can define genes with the

highest *t*-statistics or *z*-score (top 5%) as CTGenes, then investigate whether a set of

"cluster-specific marker genes" of interest are disproportionately overlapped with CTGenes by

using Fisher's exact test. Strategy B: user can calculate the *Pearson correlation coefficient*

(PCC) or *Spearman's rank correlation coefficient* between query scaled expression profiles and

t-statistics (or *z*-score) of each cell type in reference, then assigned the label with the highest

score to query profile.

Figure S4. Cell type annotation of bronchoalveolar immune cells data set by using

different references. (A) BlueprintEncode. **(B)** Human cell landscape. **(C)** MonacoImmune.

X-axis: 10 cell type clusters (query). *Y*-axis: the reference cell types. The colors representing the

PCC or genes intersection ratio, and the sizes representing the -log10 transformed *p*-value.

Non-significant associations (*p*-value > 0.05) were replaced by blank color.

Figure S5. Cell type annotation of cardiac cells from human fetal cardiac cells by using

different reference or correlation measurement. (A-C) *Pearson correlation coefficient* on

BlueprintEncode, human cell landscape and human cell atlas of fetal. **(D-F)** *Spearman's*

correlation coefficient on BlueprintEncode, human cell landscape and human cell atlas of fetal.

X-axis: 9 cell type clusters (query). *Y*-axis: the reference cell types. The colors representing the

PCC or *Spearman's correlation coefficient*, and the sizes representing the -log10 transformed

p-value. Non-significant associations (*p*-value > 0.05) were replaced by blank color.

Figure S6 Overview of cell type trajectory in human immune system.

Figure S7 Hierarchical clustering analysis of different cell type in each reference. Numbers

at the nodes indicate bootstrap values for 100 replicates.

Figure S8. Violin plot shows the expression probability distributions across each

cluster's canonical marker genes (top 1) in PBMC data set. Each dot represents a single cell.

Figure S9. Single cell annotation results by CHETAH model. (A) Top 30 marker genes for

each cell cluster. **(B)** Top 2000 most variable genes. **(C)** All detected genes.

Figure S10. Principal component analysis (PCA) of down-sampled bulk RNA-seq data set.

(A) Sequencing depth identity. **(B)** Sample identity based on raw TPM value. Z-score

normalization by **(C)** sequencing depth and **(D)** sample identity. S1 to S5 denote five samples

NSC353, NSC412, NSC413, NSC416 and NSC419, respectively.

Figure S11. Association between 51 trait-associated genes and cell type-specific genes.

Heat map shows the significant trait-tissue associations (p-value < 0.05). The numbers on the

cells indicate the shared gene counts between trait-associated genes and CTGenes in human

cell landscape. The colors and sizes represent the shared gene counts and the

$-\log_{10}$(transformed p-value). Since more significant SNPs are likely found in immune-related

diseases and blood-related traits than other traits, we recommend users to focus on the top 3

most relevant cell types for those traits with higher TAGs number.

Figure S12. *deCS* annotation on bulk RNA-seq of iPSC-derived cell lines. iPSC-NPCs

(n=13) and iPSC-neurons (n=11).

Table S1. GO and KEGG pathway enrichment analysis of cell type-specific genes.

Table S2. *deCS* annotation results on 6 different solid tissues.

Table S3. Performance comparison of *deCS* and other software on PBMC data set.

Table S4. Simulated TPM expression profiles of 5 down-sampled bulk RNA-seq samples.

Table S5. GWAS summary and regulatory loci associated genes statistics for 51 human

complex traits.

Table S6. *deCS* annotation results consistency comparison between different clustering

methods or under different clustering resolution.

Table S7. Summary of cell type composition of major human organs from human cell

landscape reference.

Association between trait-associated genes and cell type-specific genes