1 **Full Title:**
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3 Multiple molecular events underlie stochastic switching between two heritable cell states
4 in a eukaryotic system
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6 **Short Title:**
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8 Multiple molecular events underlie stochastic cell fate switching
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Abstract

Eukaryotic transcriptional networks are often large and contain several levels of feedback
regulation. Many of these networks have the ability to generate and maintain several
distinct transcriptional states across multiple cell divisions and to switch between them.
In certain instances, switching between cell states is stochastic, occurring in a small
subset of cells of an isogenic population in a seemingly homogenous environment. Given
the scarcity and unpredictability of switching in these cases, investigating the determining
molecular events is challenging. White-opaque switching in the fungal species *Candida*
albicans is an example of stably inherited cell states that are determined by a complex
transcriptional network and can serve as an experimentally accessible model system to
study characteristics important for stochastic cell fate switching in eukaryotes. In
standard lab media, genetically identical cells maintain their cellular identity (either
"white" or "opaque") through thousands of cell divisions and switching between the
states is rare. By isolating populations of white or opaque cells, previous studies have
elucidated the many differences between the two stable cell states and identified a set of
transcriptional regulators needed for cell type switching. Yet little is known about the
molecular events that determine the rare, stochastic switching events that occur in single
cells. We use microfluidics combined with fluorescent reporters to directly observe rare
switching events between the white and opaque states. We investigate the stochastic
nature of switching by beginning with white cells and monitoring the activation of Wor1,
a master regulator and marker for the opaque state, in single cells and throughout cell
pedigrees. Our results indicate that switching requires two stochastic steps; first an event
occurs that predisposes a lineage of cells to switch. In the second step, some but not all,
of those predisposed cells rapidly express high levels of Wor1 and commit to the opaque
state. To further understand the rapid rise in Wor1, we used a synthetic inducible system
in *Saccharomyces cerevisiae* into which a controllable *C. albicans* Wor1 and a reporter
for its transcriptional control region have been introduced. We document that Wor1
positive autoregulation is highly cooperative (Hill coefficient > 3), leading to rapid
activation and producing an "all or none" rather than a graded response. Taken together,
our results suggest that reaching a threshold level of a master regulator is sufficient to
drive cell type switching in single cells and that an earlier molecular event increases the
probability of reaching that threshold in certain small lineages of cells. Quantitative
molecular analysis of the white-opaque circuit can serve as a model for the general
understanding of complex circuits.

Introduction
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Transcription circuits, defined as transcription regulators and the DNA cis-regulatory
sequences they bind, control the expression of genes and thereby define cellular identity.
Cellular identity is not static; gene expression programs change in response to external
and internal stimuli. Certain transcriptional networks can produce distinct cell states that
can be maintained through many cycles of cell division. The best-characterized of such
circuits come from microbes, such as the cI-Cro circuit of phage lambda (Ptashne, 2011),
the control of lactose utilization in *Escherichia coli* (Santillán & Mackey, 2008),
competence in *Bacillus subtilis* (Maamar & Dubnau, 2005) and galactose utilization in
Saccharomyces cerevisiae (Stockwell et al., 2015). Such "bistability" has also been
engineered in both prokaryotic and eukaryotic synthetic circuits (Gardner et al., 2000;
Kramer et al., 2004; Wu et al., 2013) and is thought to underlie many instances of cell
differentiation in multicellular organisms (Chickarmane et al., 2006; Graham et al., 2010;
Park et al., 2012). The production of two stable transcriptional states from a single
genome depends on positive feedback regulation and non-linearity (such as cooperativity)
within the feedback circuit, which can convert graded inputs into discontinuous switch-
like outputs (Alon, 2007; Ferrell, 2002; Smits et al., 2006). The output of a given circuit
"diagram" however, is entirely dependent on the physical parameters of the components,
such as dissociation constants and protein concentrations; even slight variations in circuit
architecture or parameters can produce distinct outputs (Çağatay et al., 2009). Many
questions remain concerning the functional properties and parameters of network motifs
found in real biological systems, particularly those based on the large regulatory
networks commonly found in eukaryotic organisms. A major challenge to experimentally
investigating the functional role of the multiple feedback loops present in complex
circuits is the limited ability to independently manipulate the different components. In
order to understand the output of these large networks, it is necessary to integrate the
analysis of individual motifs with an analysis of the network as a whole.
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In some cases, switching between states depends on external signals, but in other cases
switching between stable states appears stochastic, occurring in a subset of cells of an
isogenic population in a seemingly homogenous environment. In microbes, stochastic
phenotypic switching is often thought of in terms of adaptation to fluctuating
environments (Acar et al., 2008; Kussell, 2005b), where there is a predicted optimal
relationship between the frequency of stochastic switching and the frequency of
environmental change. In pathogens, stochastic switching can create distinct
subpopulations with different cell features, protecting against targeted host defense
systems (Norman et al., 2015). Stochastic switching also occurs during development to
create cellular diversity (Losick & Desplan, 2008). For example, photoreceptor patterning
in the fly retina is based on a choice between cell states following the stochastic
expression of a single transcription factor (Wernet et al., 2006). Understanding stochastic
phenotype switching at a molecular level is challenging, in particular, identifying the
early, seemingly random, initiating events that determine which cells will undergo
switching.
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To study the mechanism of stochastic switching between two stable transcription states,
we investigate white-opaque switching in *Candida albicans*, a common component of the
human gut microbiota but also an opportunistic pathogen causing life-threatening
bloodstream infections (Kim & Sudbery, 2011). White and opaque cells differ in the
expression of hundreds of genes resulting in drastic differences in cell morphology,
metabolism, the ability to mate and interactions with the immune system (Ene et al.,
2016; Lohse & Johnson, 2009; Miller & Johnson, 2002; Sasse et al., 2013; Tuch et al.,
2010). A complex transcriptional network controls white-opaque switching, as many (at
least seven) transcription factors are involved and are known to regulate one another, in a
series of nested feedback loops (Hernday et al., 2013; Lohse et al., 2016; Zordan et al.,
2007). The master regulator of white-opaque switching is Wor1, a transcription factor
whose deletion completely blocks switching (locking cells in the white state) and whose
ectopic expression in white cells converts them *en masse* to opaque cells, even in the
absence of other critical regulators (Lohse & Johnson, 2016; Zordan et al., 2006, 2007).
Ectopic Wor1 expression activates expression from the endogenous Wor1 locus, which is
necessary for maintaining the opaque cell state when ectopic expression is removed
(Zordan et al., 2006). Wor1 is differently regulated between the two cell states, with 40-
fold higher expression in opaque cells. In common with the other regulators of white-
opaque switching, the Wor1 regulatory sequences (7KB upstream control region and
2KB 5'UTR) are some of the longest in the *C. albicans* genome (Tuch et al., 2010). Its
complexity is reminiscent of enhancers from multicellular organisms, even exhibiting the
phase transitions described for some mammalian enhancers (Frazer et al., 2020). Wor1 is
positioned in the center of a network of multiple positive feedback loops, binding both its
own promoter as well as those of both activators and repressors of the opaque cell state
(Hernday et al., 2013; Zordan et al., 2007).
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In this work, we investigate the process of white-opaque switching at a single cell level.
To do so, we developed two complementary quantitative approaches to study the
molecular mechanisms underlying switching. The first is the use of microfluidics and
fluorescent microscopy to follow switching in *C. albicans*, allowing us to quantify the
activation of the master regulator Wor1 in pedigrees where both switching and non-
switching cells are dividing. The second is the use of a synthetic inducible system in *S.*
cerevisiae to investigate the function of white-opaque regulators and characterize specific
regulatory interactions, such as Wor1 autoregulation. Our results reveal features of white-
opaque switching that were unanticipated from previous "bulk" culture analyses and
provide key insights into the mechanism behind stochastic switching.
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144   Results
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146   *Observing white-opaque switching at a single cell level*
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White-opaque switching has typically been studied by monitoring the formation of
colony sectors after plating single cells on solid agar and allowing them to grow and form
colonies of approximately 10^6 cells (FIGURE 1A, 1B, TABLE S1). A sector registers a
switching event that took place in the past and reveals the stochastic nature of switching
(e.g. sectors of different sizes in different colonies) but provides no insight into how the
original cell that founded the sector differed from the surrounding cells and underwent
switching. We set out to observe switching at a single cell level to understand how this
process occurs. Using switching competent *C. albicans* a/Δ diploid cells (METHODS),
we took advantage of microfluidic plates that contain specialized barrier traps (FIGURE
1C, METHODS). As the experiment begins, traps contain a few cells and, over time,
these cells divide, eventually filling the trap. After approximately 12 hours, each trap
holds ~400 cells. We continue imaging cells for an additional 12 hours, during which
cells continue to divide in the trap, pushing excess cells out of the trap where they flow
away. With this experimental set-up, we are able to observe thousands of divisions in
each trap and, by monitoring many traps, capture detailed instances of stochastic white-
opaque switching, even though these events are rare.
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Wor1 is a master regulator of white-opaque switching and its expression is 40-fold higher
in opaque cells compared to white cells (Zordan et al., 2006). We followed switching
events in a strain homozygous for a Wor1-GFP fusion protein (FIGURE 1D, VIDEO 1).
Microfluidic experiments that began with cells from white colonies did not have any
visible GFP signal while those that began with cells from opaque colonies had uniformly
high levels of GFP signal (FIGURE 1D, FIGURE S1). Using this strain allowed for the
unambiguous identification of switching events as well as the quantification of the
increase in Wor1 expression as cells transition between the white and opaque states.
Although switching is still relatively rare and stochastic, strains containing the Wor1-
GFP fusion switch at higher rates than wildtype cells (TABLE S1), which enabled
observation of many independent switching events. GFP is known to form dimers at high
concentrations (Phillips, 1997; Yang et al., 1996) and when we introduced the GFP
A206K mutation (differentiated as mGFP below) to prevent dimerization (Zacharias,
2002), white-opaque switching reverted to wildtype levels (TABLE S1). By visualizing a
large number of traps, we were able to observe several switching events in the Wor1-
mGFP fusion strain. Apart from the difference in switching frequency, the switching
behavior (as described below) of the Wor1-mGFP fusion strain appeared identical to the
Wor1-GFP strain. Most of our data therefore was obtained from the elevated switching
strain with the low-switching strain used to validate it. As predicted from previous bulk
culture experiments, once the Wor1-GFP fusion reached a high level, it was faithfully
passed on to descendent cells, preserving the opaque state.

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FIGURE 1 legend – Monitoring white-opaque switching in *Candida albicans*
A) Image of colonies produced by plating single white cells on solid agar. Arrows point
to opaque sectors; each represents an independent switching event. This strain contains
the Wor1-GFP fusion protein and hence exhibits higher than normal switching rates. B)
Close up image of single white cell type colony with an opaque sector. C) Schematic of
microfluidic plates used to study white-opaque switching at a single cell level. Each plate
has four chambers; each chamber contains 104 barrier traps. D) Two examples of
microfluidic traps in which a switching event occurred. The strain contains the Wor1-
GFP fusion protein and cells enter the trap (from the right) as white cells. As cells
complete switching to the opaque state, the Wor1-GFP fusion reaches high levels of
expression.
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200 VIDEO 1 legend – Example of time-lapse movie of a switching event
201 Movie corresponds to 'Example 1' in FIGURE 1D. Movie is shown twice; in the second
202 repetition, tracked cells are labeled. This strain contains the Wor1-GFP fusion protein.
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204 *Observations on pseudohyphal growth and mitosis*
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Consistent with a previous report (Bergen et al., 1990), we observed elongated cells
reminiscent of pseudohyphae (Sudbery et al., 2004) as intermediates in some instances of
white-opaque switching. Typically a round mother cell buds an elongated cell and both
subsequently activate Wor1 (FIGURE 2, FIGURE S2). It is possible that pseudohyphal
formation increases the probability of switching; however, it is not necessary or sufficient
for switching as we observed pseudohyphal formation without switching and switching
without pseudohyphal formation. In the majority of cases, descendents of initial

switching cells resemble typical opaque cells (which are slightly more elongated than
white cells) within a few cell divisions.
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FIGURE 2 legend – Cell length distribution of switching cells
A) Cell length distribution of switching cells compared to typical white and opaque cells.
Switching initiates in pairs of mother and daughter cells, the left histogram shows the size
distribution for mothers, the right, for daughters. Typical white and opaque cell
distributions are shown beneath each histogram for reference. The strain contains the
Wor1-GFP fusion protein. X-axis is logarithmic B) Representative images of white,
opaque and cells undergoing white to opaque switching. Arrows point out mother and
daughter in each switching pair with colors corresponding to (A). Although elongated
(pseudohyphal) cells are observed, they are neither necessary nor sufficient for switching.
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Although not a primary goal of this work, the nuclear localization of Wor1 allowed us to
observe certain features of mitosis in switching cells. In a subset of cases, nuclear
division occurred within the elongated daughter cell and not across the bud neck
(FIGURE S3). Similar observations have been seen in filamentous forms of *C. albicans*
(Sudbery et al., 2004). In some of our examples, one of the two nuclei in the daughter cell
returned to the mother cell and both cells continued dividing (FIGURE S3A). In other
examples, both nuclei remained in the elongated daughter forming polyploid cells
(FIGURE S3B). In these cases, the mother cell no longer divided. This distinctive mitosis
pattern did not always occur during switching and, as a result, the majority of opaque
cells are not polyploid. Although we were able to observe these deviations from
conventional mitosis, they are not required for switching, as we did not observe them in

all switching events. Furthermore, these atypical mitosis events are likely specific to *C.*
albicans cells and do not represent a general feature.
241
242 *WOR1 activation is synchronous in mother-daughter pairs and is stereotypical across*
243 *independent switching events*
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To quantify switching, we developed a custom semi-automated image analysis pipeline to
measure Wor1-GFP (or Wor1-mGFP) levels in single cells over time (FIGURE S4,
METHODS). Because Wor1 is nuclear localized, we used the average of the 300 highest
intensity pixels per cell as a quantitative measure of Wor1 levels. During switching,
Wor1 levels increase and then oscillate in concert with nuclear divisions similar to those
observed in established opaque cells (FIGURE 3A). Comparing Wor1 activation in
mother cells of independent switching events (taken from different traps and
experiments) reveals a generally stereotypical response (FIGURE 3B) taking
approximately three hours to reach maximum Wor1 levels. Consistent with the stochastic
nature of switching, the starting time of different switching events did not depend on the
time (or number of cell divisions) from the beginning of the experiment. Moreover, even
the time between the birth of a switching cell and its switching varies from one cell to the
next.
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As mentioned above, Wor1 activation occurs in dividing cells where both mother and
daughter cells typically activate Wor1 simultaneously. This synchronous activation at
times extends to the mother cells' previous daughter as well, leading to four cells
(mother, two daughters and one granddaughter) activating Wor1 simultaneously
(FIGURE 3C, 3D). The synchrony in Wor1 activation between mother and daughter cells
suggest the pace of Wor1 activation is determined early in the switching event. Once high
levels of Wor1 were reached, they were always inherited across subsequent cell divisions
indicating that the switch was complete.

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FIGURE 3 legend – Wor1 fluorescence (GFP/mGFP) levels in single cells
A) Representative example of a Wor1 expression level trace in a single switching cell
(purple). Traces of typical white (pink) and opaque (green) cells are shown for reference.
Oscillations in high Wor1 levels occur with nuclear divisions. X-axis represents time
from the beginning of the experiment; examples are taken from cells born at different
times. The strain contains the Wor1-GFP fusion protein. B) Examples of Wor1 activation
in single switching cells. Traces (grey points and lines) are truncated to highlight the
initial activation of Wor1. Black lines represent locally estimated scatter-plot smoothing
(LOESS) of individual traces. Examples are taken from three different experiments,
representing strains containing the Wor1-GFP (n=17) or the Wor1-mGFP (n=4) fusion
protein. C) Representative example of a four-cell (direct mother-daughter) pattern of
synchronous Wor1 activation. X-axis represents time from the beginning of the
experiment and traces are shown starting at the birth of the mother cell. The strain
contains the Wor1-mGFP fusion protein. D) Subset of images representing data shown in
(C) with time proceeding from top to bottom. Arrows point to individual cells with colors
corresponding to (C). All four switching cells simultaneously activate Wor1.
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286   *Certain lineages of cells show unusual switching patterns*
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An unanticipated feature of white-opaque switching emerged when we reconstructed
complex pedigrees of switching cells, tracing the prior histories of cells destined to
switch. In addition to the simple pairs of mother-daughter cells activating Wor1
simultaneously described above, we observed instances where additional cells in the
same trap also activated Wor1. Pedigree analysis revealed that these additional cells were
closely related to the conventional mother-daughter switching pairs, through a previous
mother, daughter or sister (FIGURE 4A). However, these related switching cells were
separated by cell divisions that produced cells that did not switch, creating small mixed-
fate pedigrees (FIGURE4A).
297
To determine if these cases are the result of entirely independent switching events or are
due to a complex pattern of switching within a single linage, we analyzed the Wor1-
mGFP strain, where overall switching rates are lower. We estimate the Poisson
probability distribution for multiple switching events per trap based on the proportion of
traps where there was no Wor1 activation (134/168 traps) (FIGURE 4B, METHODS).
We find that the Poisson expectation (FIGURE 4B, white bars) of switching events is
matched exactly when considering direct mother-daughter and mixed-fate pedigrees as
single dependent switching events (Chi-squared goodness of fit p-value, 0.986),
(FIGURE 4B, light grey bars). However, the expectation is not matched if direct mother-
daughter pedigrees are considered dependent but mixed-fate pedigrees are considered two
independent events (Chi-squared goodness of fit p-value, 0.0034), (FIGURE 4B, medium
grey bars). This analysis rules out multiple independent events as the explanation for
mixed-fate pedigrees and indicates that the switching events must be related to each
other.
312
One common pattern of mixed-fate pedigree involved a mother cell budding three cells in
succession (top-right "Mixed fate" pattern shown in FIGURE 4A). While the mother and
the first and the third daughters ultimately activate Wor1, the second daughter does not
(FIGURE 4C, 4D, VIDEO 2). With the Wor1-GFP fusion protein, we also observe
similar patterns, including an example where a subset of the decedents of the second
daughter also activates Wor1 (FIGURE S5). These observations suggest that certain cells
undergo a stochastic event that predisposes them and their direct descendents to a higher
probability of switching then that observed in the general population. Whether or not
cells in these pedigrees actually undergo switching appears to be determined by a second
event. We do not know the basis of the first event (see discussion), but in the next
sections, we further explore the second step in which certain cells rapidly increase Wor1
expression.

FIGURE 4 legend – Wor1 activation in pedigrees
A) Schematic of pedigree patterns of Wor1 activation, including several examples of
mixed-fate pedigrees. Horizontal lines represent single cells; vertical lines represent
budding of a daughter cell. Green color represents Wor1 activation. B) Comparison of
distributions of the number of switching events per trap to Poisson expectation (white
bars). Different distributions are based on including different classes of pedigree patterns
as single dependent events. The strain contains the Wor1-mGFP fusion protein. C)
Representative mixed-fate pedigree (top-right "Mixed fate" pattern shown in (A)).
Horizontal lines represent single cells; vertical lines represent budding of a daughter cell.
Every horizontal line is made up of small tiles representing the measured Wor1-mGFP
fluorescence at that time. Numbers within parentheses on the right of the pedigree
represent the number of budded daughter cells per cell within the time period shown; not
all daughters are depicted in the pedigree. The cell marked by the asterisk lost its nucleus
to its daughter cell. Colored square tiles on the right-hand side indicate individual cells
that are depicted in (D) using the same color scheme. D) Subset of images representing
data shown in (C) with time proceeding from top to bottom. Cell outlines are based on
automated image analysis (METHODS).
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344   VIDEO 2 legend – Example of time-lapse movie of a switching event containing a
345   mixed-fate pedigree
346   Movie corresponds to example in FIGURE 4C. Movie is shown twice; in the second
347   repetition, tracked cells are labeled. This strain contains the Wor1-mGFP fusion protein.
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349   *Using a synthetic inducible system in Saccharomyces cerevisiae to investigate the*
350   *properties of Wor1 autoregulation*
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Wor1 has been shown to bind to its own control region and to activate its own expression
(Zordan et al., 2006). This positive feedback loop has been proposed to be a critical
feature of white-opaque switching. Consistent with this hypothesis, we found that the
frequency of white-opaque switching is reduced when a single Wor1 motif (out of nine)
from the Wor1 control region is deleted (TABLE S1, METHODS). In addition to Wor1,
at least 7 other regulators bind the Wor1 control region (Hernday et al., 2013; Lohse &
Johnson, 2016), which complicates studying Wor1 autoregulation directly in *C. albicans*.
To study Wor1 autoregulation without these confounding factors, we set up the Wor1
autoregulatory loop using a synthetic biology approach (Aranda-Díaz et al., 2017;
McIsaac et al., 2014). Specifically, we developed a system in *S. cerevisiae* where we can
induce the expression of the *C. albicans* Wor1 protein with progesterone (METHODS,
FIGURE 5A) and use flow cytometry to follow the activation of Wor1 transcription using
a florescent reporter driven by the *C. albicans* Wor1 regulatory region (METHODS).
365
The 7KB control region (enhancer) of the *C. albicans* Wor1 was fused to the *S.*
cerevisiae Cyc1 core promoter (METHODS) resulting in a reporter that was specifically
activated by Wor1 expression (FIGURE S6). Using an mCherry fluorophore for the
transcriptional reporter, we created independent (a or α mating type) strains where levels
of Wor1, Wor1-GFP, Wor1-mGFP or GFP could be controlled from a synthetic
expression construct by adding hormone (METHODS). Comparing Wor1-GFP to Wor1-

mGFP is informative as the ability of GFP to dimerize is the basis for increased switching
in *C. albicans* with Wor1-GFP (TABLE S1). All GFP and mCherry measurements were
normalized by forward scatter (METHODS). As expected, GFP, Wor1-GFP and Wor1-
mGFP fluorescence all increased in a hormone dependent manner when driven by the
synthetic hormone dependent construct (FIGURE 5B left, FIGURE S7A). Despite
identical transcriptional constructs, GFP was induced at ten fold higher levels than Wor1-
GFP and Wor1-mGFP (FIGURE 5B left), possibly due to differences in mRNA or
protein stability or to translational efficiency. Inducing Wor1 or its GFP fusions also
caused physiological effects such as cell clumping, leading to increased autofluorescence
(in the Wor1 strains) and bimodal induction distributions (in the Wor1-GFP strains). *S.*
cerevisiae has two Wor1 homologs (*MIT1* and *ROF1*) with conserved DNA binding
domains and motifs. We created strains where both homologs are deleted and found that
the results did not change significantly. We present data for both sets of strains.
385
386 *Wor1 autoregulation is ultrasensitive and the effect of GFP dimerization informs*
387 *potential mechanism for increasing switching frequency*
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The Wor1 transcriptional reporter shows low levels of expression in the absence of
hormone (FIGURE S7B). As hormone levels increase, the reporter is activated in strains
where the hormone induces Wor1 or its GFP fusions but not when GFP alone is produced
(FIGURE5B right). In strains inducing Wor1-GFP and Wor1-mGFP, we could measure
both the level of the fusion protein (via the GFP channel) and the response of the Wor1
enhancer (via the mCherry channel) in single cells (FIGURE 5C, FIGURE S8A). To
quantify the response function of Wor1 autoregulation, we fit four-parameter log-logistic
functions (Hill equations) that describe S-shaped curves, to these distributions using
least-squares estimation (METHODS, FIGURE 5C, 5D). The four parameters specify the
lower horizontal asymptote, the upper horizontal asymptote, the value at which the
response is half of the maximum and the Hill coefficient, which is a measure of the
steepness of the response curve (ultrasensitivity). Hill coefficients greater than 1 imply
cooperativity in gene regulation. As reporter expression gradually decreases at high
hormone levels in strains containing Wor1-GFP, we only used a subset of conditions for
fitting in these strains (METHODS, FIGURE S8A). The decrease under these conditions
suggests high levels of Wor1 dimers might negatively regulate Wor1 expression. All
curves were ultrasensitive (FIGURE 5D) with mean Hill coefficient estimates of 3.2
(standard deviation, 0.4) for Wor1-mGFP and 4.3 (standard deviation, 0.9) for Wor1-
GFP. While there was a small but significant increase in cooperativity for Wor1-GFP
over that of Wor1-mGFP (t.test p.value, 0.01), there was a striking difference in the level
of protein required for half-max activation, with 5 times higher levels required for Wor1-
mGFP than Wor1-GFP (t.test p.value, 8.04e-05). As the ability of GFP to dimerize most
likely increases the effective amount of Wor1 found in dimers, the difference in
activation thresholds suggests that Wor1 normally acts as a multimer to efficiently bind
DNA. Wor1-GFP levels in *C. albicans* opaque cells are comparable to the levels of
Wor1-GFP needed to activate the reporter in our synthetic *S. cerevisiae* system (FIGURE
S8B), further indicating that the synthetic system captures features of the authentic *C.*
albicans circuit.

A

B

C

 D

FIGURE 5 legend – Wor1 autoregulation parameters determined by a synthetic inducible
system in *Saccharomyces cerevisiae*
A) Schematic of experimental design. B) Median GFP (left) or mCherry (right)
fluorescence for strains inducing different proteins in a range of hormone concentrations.
Every set of constructs is represented by four independently constructed strains, each
measured on two different days (with the exception of the Wor1 (Δ*mit1*/Δ*rof1*) strains
which were only measured once). X-axis and Y-axis are logarithmic. C) GFP (Wor1
protein) and mCherry (Wor1 transcriptional activity) fluorescence for individual cells
across hormone concentrations. Data is shown for two strains inducing either Wor1-GFP
(top) or Wor1-mGFP (bottom) measured on one day. Solid line represents fit Hill
equation for each strain. X-axis and Y-axis are logarithmic. D) Fit Hill equation curves
for Wor1 transcription activity of strains inducing either Wor1-GFP (blue) or Wor1-
mGFP (red). Each curve is fit to data measured on one of two different days. Inset text
summarizes parameters for each set of strains (mean +/- standard deviation). X-axis and
Y-axis are logarithmic.
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439   Discussion
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The ability of cells to switch between different cellular states, each of which are faithfully
inherited through many rounds of cell division, underlie a wide range of important
phenomena including cell differentiation (Sánchez Alvarado & Yamanaka, 2014),
antibiotic resistance (Kussell, 2005a; Van den Bergh et al., 2017) and cancer progression
(Rosen & Jordan, 2009). The fungal pathogen *Candida albicans* and its close relatives
Candida dubliniensis and *Candida tropicalis* all undergo a phenomenon known as white-
opaque switching, whereby two distinct states are stable across many cell divisions, and
switching between them is stochastic (Porman et al., 2011; Pujol et al., 2004). Although
the presence of these heritable states has been preserved by selection in these species, the
mechanism of switching and the complete range of physiological roles of the two cell
types are topics of active investigation. It is known that opaque cells are the mating
competent cell type (Miller & Johnson, 2002) and are less susceptible to phagocytosis by
macrophages, potentially promoting immune evasion (Lohse & Johnson, 2008; Sasse et
al., 2013). Recent work has also shown that, due to a specialized secreted protease
program, a small number of opaque cells can promote growth of a large white cell
population; thus the two cell types can act synergistically (Lohse et al., 2020). Aside from
its physiological roles, white-opaque switching has many advantages as a eukaryotic
system to study stochastic phenotypic switching. It exemplifies two crucial phenomena,
rare stochastic phenotypic switching and cell states that are stable through thousands of
cell divisions. It shares many features with similar networks in multicellular eukaryotes,
such as a large number of transcription factors and long, complex regulatory regions
(enhancers). White-opaque regulators have also been shown to form phase-separated
condensates (Frazer et al., 2020), which are a feature of some mammalian enhancers
(Boija et al., 2018). A particular advantage of studying phenotypic switching and
heritability in *C. albicans* is the relative ease of performing genetic manipulations. By
combining genetic modifications with direct observation of single cells, our goal is to
describe in quantitative terms the molecular mechanisms that underlie cell type
switching.
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Positive feedback, encompassing either positive interactions, double negative
interactions, or autocatalysis is necessary, but not sufficient for the creation of heritable
cell states (Ferrell, 2002). To facilitate switching, the response must incorporate non-
linearity, also known as ultrasensitivity, in order to create sharp transitions from gradual
changes (Ferrell & Ha, 2014). Mechanisms for creating or increasing ultrasensitivity in
regulatory responses include cooperative binding of transcriptional regulators (Ackers et
al., 1982; Burz, 1998; Johnson et al., 1981), protein sequestration of regulators (Buchler
& Cross, 2009) and the number of steps in transcriptional cascades (Hooshangi et al.,
2005). We show here that Wor1 regulation of its own promoter is ultrasensitive even in
the absence of other *C. albicans* regulators. While difficult to test in the native *C.
albicans* system, we propose this ultrasensitive response is a crucial feature of white-
opaque switching which can be incorporated into mathematical models describing
switching (Sriram et al., 2009). Furthermore, the propensity to dimerize added by the
GFP causes a small increase in the steepness of the activation curve but a large reduction
in the activation threshold. It is this large reduction in activation threshold that likely

leads to the large increase in white-opaque switching in *C. albicans* cells that harbor
Wor1-GFP. This observation suggests switching depends on the equilibrium between
Wor1 monomers and higher oligomers. While the natural threshold results in rare
switching under standard lab conditions, our results show that switching frequency is
easily adjusted by a relatively small change in the propensity of Wor1 to dimerize.
Indeed, other white-opaque regulators may function in a similar manner to the GFP
fusion; any increase in Wor1 multimer formation would produce a large effect on
switching and could amplify a small amount of Wor1 expression. Although many
questions remain concerning the molecular details of Wor1 autoregulation, our results
quantify, for the first time, the ultrasensitivity of the WOR1 control region (enhancer) in
response to carefully measured levels of the Wor1 protein.
496
A particular advantage of our study is the use of microfluidics, which has allowed us to
observe white-opaque switching at a single cell level and at high temporal resolution. The
ability to track single cells and their lineages through time allowed us to make an
unexpected observation, that switching can occur in multiple groups of related cells, even
when these groups are separated by cell divisions that produce cells that do not switch.
The presence of this pattern did not differ between strains containing Wor1-GFP versus
Wor1-mGFP despite different switching frequencies. We can draw two conclusions from
this switching pattern. First, at least two stochastic events are needed for cells to switch
from white to opaque. The first event defines small pedigrees of cells with increased
probability of switching and the second event, which only occurs in a subset of cells in
these pedigrees, directly leads to Wor1 activation and cell switching. Second, when a
complete switching event occurs, several closely related cells in the pedigree switch
simultaneously, a property that may be adaptive. The occurrence of coordinated
switching would be advantageous in situations where the rapid generation of the rare cell
type could be beneficial. For *C. albicans*, increasing the number of opaque cells could be
valuable both for immune evasion or metabolic cooperation with white cells. A similar
switching pattern was observed in a lab-modified galactose utilization pathway in
Saccharomyces cerevisiae (Kaufmann et al., 2007). In that study, removal of negative
feedback created a system dominated by a single positive feedback loop and led to cells
stochastically turning on the GAL regulon in the absence of galactose. In a very similar
manner to our observations, the authors saw correlated activation in cell pedigrees, at
times separated by cells not activating GAL expression (Kaufmann et al., 2007). This
comparison suggests these coordinated switching patterns might be a general feature in
eukaryotic systems.
521
One hypothesis to account for our observed correlations among related cells relies on the
stochastic and bursty nature of gene expression (Raj & van Oudenaarden, 2008).
According to this hypothesis, the first stochastic event during white-opaque switching
might be a rare burst of low-level Wor1 transcription in the absence of efficient
translation of Wor1 mRNA. Because no Wor1 is made, this state would be heritable over
only a small number of cell divisions, defining the small pedigrees of cells predisposed to
switch. The second stochastic event could then depend on variation in translation
efficiency (or uneven partitioning during division), with only certain cells in the pedigree
accumulating sufficient Wor1 protein to excite the Wor1 positive feedback loop, leading

to high level Wor1 expression and subsequent cell-type switching. Consistent with this
hypothesis, the Wor1 UTR severely reduces Wor1 protein expression (Guan & Liu,
2015). This hypothesis is subject to explicit testing and will be the focus of future work.
534
Our work has resolved several issues needed for a quantitative molecular understanding
of white-opaque switching and lays the foundation for subsequent hypothesis tests. While
white-opaque switching and the genetic network that controls it are highly complex, it
represents one of only a few cases of a true epigenetic change in a eukaryotic cell that
does not require external signals and is simple enough to be analyzed in detail and
ultimately, understood in great depth.
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542   Materials and methods
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***C. albicans* strain construction**
The genetic background for *C. albicans* is SC5314. SNY425 was used as a prototrophic
a/α reference strain (Noble et al., 2010). For a prototrophic a/Δ reference strain, the α
copy of the MTL in SNY250 (Noble & Johnson, 2005) was replaced with a copy of
ARG4 using pJD1 (Lin et al., 2013). Genetic modifications were created using a *SAT1*
marker-based CRISPR protocol targeting *Candida maltosa LEU2* (Nguyen et al., 2017).
Homozygous Wor1-GFP and Wor1-mGFP fusion proteins were created at the
endogenous *WOR1* locus using a combination of two guides encompassing the stop
codon (GGTACTTAGTTGAATTAATA and GTACTTAGTTGAATTAATAC). Donor
DNA was created by restricting plasmids containing the GFP (or mGFP) sequence
flanked by 500 bp of homology to the 3' end of the *WOR1* ORF and 3' UTR (Lohse &
Johnson, 2010). The *WOR1* motif deleted from the *WOR1* promoter consists of three
overlapping binding motifs (17bp, AAAGTTTAAACTTTAAA), found 5802 bp
upstream of the translation start site. A combination of two guides were used for creating
the deletion (GAAATTTATGAAAGACGGGT and ACCAGTAGTGATTCATAAAT),
donor DNA consisted of 90 bp oligos containing 45 bp homology to flanking sequences
with two single bp substitutions to disrupt PAM or guide sequences
(AATAATTAGAGTTTTACAAGAAATTTATGAAAGACGGGTGcGAAATACTATA
AAATAGAGCAATAAATGACAGAACCTAgCAGTAGTGAT).
563
***S. cerevisiae* strain construction**
The genetic background for *S. cerevisiae* experiments is S288C. Starting strains were
mating type a or α with multiple auxotrophies, created by mating FY23 (*leu2Δ1 ura3-52*
trp1Δ63) (Winston et al., 1995) and a mating type α spore of BY4743 (*his3Δ1 leu2Δ0*
lys2Δ0 met15Δ0 ura3Δ0) (Brachmann et al., 1998). Subsequent transformations
integrated various constructs in the following order: the chimeric transcription factor,
deletion of the *WOR1* homologs, the induced protein (GFP, Wor1-GFP, Wor1-mGFP or
Wor1) and the *WOR1* transcriptional reporter. Plasmids were constructed using either
restriction digest and subsequent ligation or by using homology based cloning (In-Fusion,
Takara 638911) and were sequence verified, all PCRs utilized a high fidelity polymerase
(CloneAmp HiFi PCR Premix, Takara 639298). Plasmids contained components of the
synthetic inducible system flanked by homology to the intended genomic integration
locus and were restricted prior to transformation. The chimeric transcription factor used
is "Z3PM" (Aranda-Díaz et al., 2017) which consists of the Zif268 DNA binding domain,
hPR ligand binding domain and the yeast Msn2 activation domain. The transcription
factor was expressed from the *S. cerevisiae ADH1* promoter and terminated by the *C.*
albicans ADH1 terminator. The transcription factor was integrated into *leu2* proceeded by
the *Candida glabrata LEU2* sequence, including promoter and terminator. Induced
proteins were expressed from a modified *GAL1* promoter containing three dimeric Zif268
binding sites (Aranda-Díaz et al., 2017) and terminated by the *C. albicans ADH1*
terminator. The proteins were integrated into the *trp1* locus proceeded by the *C. glabrata*
TRP1 sequence, including promoter and terminator. The *WOR1* homologs (*MIT1* and
ROF1) were knocked out using a plasmid based CRISPR approach (Ryan et al., 2014). A
plasmid with a 2μ origin of replication was constructed that contained Cas9, a KanMX

selection cassette and two guides (one for each of the homologs). The guides were
expressed from the *SNR52* and *SUP4* promoters respectively and both were terminated by
a copy of the *SNR52* terminator. Donor DNA consisted of 90bp complementary annealed
oligos (Nguyen et al., 2017) containing 44 bp of homology upstream and downstream of
the ORF, flanking a GG sequence. After verifying both homologs were deleted, cells
were grown overnight in the absence of G418, plated on YPD and replica plated to
YPD+G418 to identify colonies that had lost the *CAS9* containing plasmid. The main
WOR1 transcriptional reporter consists of 6656 bp of the *WOR1* promoter (ending at a
presumed TATA box, 2048 bp before the translational start site), 115 bp containing the
end of the *CYC1* promoter and it's 5' UTR (starting at the "-52" TATA box (Hahn et al.,
1985)) and a fluorescent protein ORF (mCherry or GFP) followed by the *C. albicans*
ACT1 terminator. An alternative reporter contained an additional 70 bp of the end of the
WOR1 promoter and 1980 bp encompassing the *WOR1* 5'UTR instead of the *CYC1*
sequence. Transcriptional reporters were preceded by a Hygromycin resistance cassette
and integrated into the *ura3* locus. Integration was achieved using two separate restricted
plasmids simultaneously transformed. One plasmid contained homology upstream to the
URA3 locus, the Hygromycin cassette and the *WOR1* promoter and beginning of 5' UTR.
The second plasmid contained the end of the *WOR1* promoter, the *CYC1* (or *WOR1*)
5'UTR, the florescent protein and terminator and homology downstream to the *URA3*
locus. With one exception, combinations of constructs were represented by two
completely independent strains based on different starting strains (of mating type a or α).
The induced Wor1 (Δ*mit1*/Δ*rof1*) combination was represented by two mating type a
strains.
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Microscopy and microfluidics
C. albicans strains were streaked from frozen stocks on SD+aa+uri plates and incubated
for 5-9 days at 25°C. Colonies were directly diluted to an OD of 0.25 and grown in liquid
SD+aa+uri for 4 hours. Cultures were washed and diluted to approximately 5×10^6
cells/ml in PBS without calcium or magnesium and 50ul were loaded into microfluidic
plates. For microfluidic experiments, we used a CellASIC® ONIX microfluidic platform
and corresponding Y04T-04 plates. Each plate contains four separate chambers, each
chamber containing 104 individual traps. Images were captured using a Nikon Ti2-E
microscope equipped with a Photometrics Prime 95B-25mm Camera and a CFI60 Plan
Apochromat Lambda 100x Oil immersion objective lens. Cells were loaded into the plate
chambers using 55.1 kPa of pressure for 5 seconds and media (SD+aa+uri) flow was
started immediately. The media flow program consisted of cycles of three 5 second bursts
of perfusion at 10 kPa from each of six inlet channels followed by an hour of perfusion at
1.7 kPa from each of six inlet channels. Coordinates for fields containing single traps
loaded with at least one cell were manually determined. Nikon NIS Elements software
was used to drive stage movement and acquisition; the Nikon Perfect Focus System was
utilized. Images (DIC and GFP channels) were captured for each field (40-70 per
chamber) every 12 minutes for 24 hours. Temperature was kept at 25°C using an
OKOLab Cage Incubator.
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Image and data analysis
Custom Matlab scripts were used for image analysis; statistical analysis and data
visualization utilized R (R Core Team, 2019), with extensive use of *tidyverse* (Wickham,
2017). Images were first adjusted to correct for stage movement. Each image was
compared to the previous image in the time series and the optimal geometric
transformation was found to account for any (x,y) movement (Matlab function
imregtform). Next, cells were segmented automatically in each image. Cell borders were
identified using a combination of bottom hat filtering, top hat filtering, dilation, erosion
and selection based on object properties (area, perimeter and eccentricity). Individual
cells were tracked through time by manually determining their position in a series of
images; each position was then associated with the corresponding automatically
segmented cell. Pedigree information was also manually determined. For a metric of
Wor1 expression per cell, the 300 highest intensity pixels (in the GFP channel) were
averaged. Typical white cell size is 1,750 pixels; typical opaque cell size is 2,500 pixels.
Cell length (FIGURE 2, FIGURE S2) was determined using Fiji (Schindelin et al., 2012)
by recording the length of a line drawn along the cells long axis. Cell length was recorded
when cells were beginning to bud a new cell. 100 reference white and opaque cells were
recorded. Cell length was recorded for all pairs of switching cells across three
experiments from different days, resulting in 248 mother-daughter pairs of cells
containing Wor1-GFP and 50 pairs of cells containing Wor1-mGFP. For determining if
mixed-fate pedigrees represent two independent switching events, we considered 168
traps loaded with cells containing Wor1-mGFP. The numbers of switching events were
counted in each trap according to three different ways of calculating independent events:
1) every pair of mother-daughter cells are considered independent, 2) pairs of direct
mother-daughter pairs are no longer considered independent, 3) pairs in mixed-fate
pedigrees are also no longer considered independent. We calculated the expected Poisson
probability distribution based on the unambiguous zero-class of 134 traps (λ=-
log(134/168)). We performed chi-squared goodness-of-fit tests with p-values computed
by Monte Carlo simulations with 2000 replicates.
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Flow cytometry, experiments and data analysis
S. cerevisiae strains were streaked from frozen stocks on YPD plates and incubated for 2
days at 30°C. Colonies were inoculated into 3ml of SD+aa+uri media and grown
overnight. Cells were washed, resuspended in PBS without calcium or magnesium and
diluted 1:16 into 96-well plates containing SD+aa+uri with different concentrations of
progesterone. Progesterone was diluted from 2mM stocks (95% Ethanol, 5% DMSO);
lower concentrations were supplemented with Ethanol and DMSO to match the high
concentration. Plates contained 8 experimental strains measured at 10 different
concentrations of progesterone and 3 control strains measured at 4 concentrations of
progesterone. For each set of strains two identical plates were created and incubated,
shaking at 500 RPM at 30°C. After a set incubation time, plates were washed three times
and diluted in PBS without calcium or magnesium with 1mM EDTA. One plate was read
after 4.5 hours of incubation and the second was read at 24 hours. Data from both plates
were similar and the 24-hour readings are presented. Measurements were taken using a
BD FACSCelesta. GFP fluorescence was measured by blue (488nm) excitation and a
530/30 band pass emission filter. mCherry fluorescence was measured by yellow-green

(561nm) excitation and a 610/20 band pass emission filter. Flow cytometery data was
analyzed using R (R Core Team, 2019), with extensive use of *tidyverse* (Wickham,
2017). Data was imported into R using *flowCore* (Ellis et al., 2019). Data was filtered to
remove potential cell debris, cell aggregates and contaminants by constructing two gates,
one based on forward and side scatter and a second based on GFP and a violet (405nm)
excitation, 670/30 emission channel. GFP and mCherry measurements were normalized
by forward scatter. Presented data encompass 376 wells with a range of 127-8905 events
per well after filtering (median: 7095.5; 95% of samples have over 4000 events). For
fitting hill equations, we used the *drm* function in the package *drc* (Ritz et al., 2015)
using robust median estimation. mCherry measurements were log10 transformed and
fitted to a four-parameter log-logistic function with a parameterization converting the
natural log of the half-max value into a parameter. For Wor1-GFP, only data from
progesterone concentrations less than 2nM were used for fitting.
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Table S1

White to Opaque switching

Genotype	Plates	Colonies	Sectors	Percent Switch	Fold Change
WT	11 (3,3,5)	1340	17	1.27 (+/- 0.306)	-
Wor1 motif deletion	11 (3,3,5)	1790	8	0.447 (+/- 0.158)	2.83 down
Wor1-GFP	11 (3,3,5)	948	491	51.8 (+/- 1.62)	40.8 up
Wor1-mGFP	11 (3,3,5)	1603	31	1.93 (+/- 0.344)	1.52 up

Opaque to White switching

Genotype	Plates	Colonies	Sectors	Percent Switch	Fold Change
WT	11 (3,3,5)	1926	87	4.52 (+/- 0.473)	-
Wor1 motif deletion	11 (3,3,5)	1159	224	19.3 (+/- 1.16)	4.28 up
Wor1-GFP	11 (3,3,5)	1633	0	<0.06	>75 down
Wor1-mGFP	11 (3,3,5)	1707	52	3.05 (+/- 0.42)	1.48 down

Table S1 – Switching frequencies
Switching frequencies as calculated by counting sectors on colonies grown on solid ager plates. Data comes from three independent experiments containing multiple plates per strain. Numbers of colonies and sectors are pooled across plates. The percent of colonies with at least one sector is calculated. Standard error is the standard error of a sample proportion ($\sqrt{[p\,(1-p)\,/\,n]}$).

Figure S1

Figure S1 – Opaque cell growth in microfluidic traps
Two examples of microfluidic traps with growing opaque cells. Strain contains Wor1-GFP fusion protein.

Figure S2

Figure S2 – Cell length distributions
Cell length distributions (violin plots) of different cell types, including switching cells containing
Wor1-mGFP. For the Wor1-GFP strain, data comes from three independent experiments that were
pooled in Figure 2.

Figure S3

Figure S3 – Nucleus loss during mitosis in switching cells
A) Representative example of a cell division where the mother cell nucleus enters the elongated daughter cell but returns to the mother cell. Strain contains Wor1-GFP fusion protein. B) Representative example of cell divisions where the mother cell nucleus enters the elongated daughter cell and remains in the daughter cell, creating a polyploid cell. There are two cell divsions with this pattern in this example. Strain contains Wor1-GFP fusion protein.

Figure S4

Figure S4 – Semi-automated image analysis pipeline
A) Representative original images of two time-points for one field. B) Same images as (A) after adjustment for (x,y) movement (note black regions at image edge) and automated segmentation of cells (magenta colored lines defining cell borders). C) Same images as (A) and (B) with overlay of manually defined cell identities, pedigree information is explicitly encoded in cell names (e.g., cell "2.1" is the first daughter of cell "2" which in turn is the second daughter of cell "0").

Figure S5

Figure S5 – Mixed fate pedigree example, Wor1-GFP
A) Representative pedigree in which multiple groups of cells activated Wor1. Horizontal lines represent single cells; vertical lines represent budding of a daughter cell. Every horizontal line is made up of small tiles colored by Wor1-GFP fluorescence. Numbers within parentheses on the right of the pedigree represent the number of budded daughter cells per cell within the time period shown; not all daughters are depicted in the pedigree. An asterisk represents that the cell lost its nucleus to its daughter cell. Colored square tiles single out particular cells that are depicted in (B). B) Subset of images representing data shown in (A). Particular cells are outlined in colors corresponding to (A). Cell outlines are based on automated image analysis.

Figure S6

Figure S6 - Alternative Wor1 transcriptional reporters in S. cerevisiae
Distributions of Wor1 transcriptional reporters; all strains shown are inducing Wor1 protein with increasing progesterone concentrations and were independently constructed from the strains shown in the main text. "Full" reporter contains both the Wor1 7KB control region and promoter and the Wor1 2KB 5'UTR. The "No-UTR" reporter contains the Wor1 control region and the Cyc1 core promoter and 5'UTR as explained in the methods section.

Figure S7

Figure S7 - Distributions of Wor1 protein and reporter levels
Distributions of GFP (A) and mCherry (B) fluorescence; data is shown for all strains in a subset of 4 hormone concentrations (nM progesterone). Note bimodal distributions of GFP fluorescence when inducing Wor1-GFP at high hormone concentrations in (A). Note low-level expression of the Wor1 transcriptional reporter compared to auto-fluorescence in (B).

Figure S8

Figure S8 – Distributions of Wor1 protein and reporter levels
A) Same data as Figure 5C, separated by hormone concentration (nM progesterone). B) 2D density plots of flow cytometry data. S. cerevisiae Wor1-GFP and Wor1-mGFP data (same as A) are shown alongside data for white and opaque C. albicans cells containing a Wor1-GFP fusion protein and a transcriptional reporter encompassing the 7KB control region of Wor1 followed by mCherry (no 5'UTR sequence).