Viroporin activity of SARS-CoV-2 Orf3a and Envelope protein impacts viral pathogenicity

Manish Sarkar, M.Sc.^{1,2,†}, Paul Etheimer ³, Soham Saha, Ph.D.^{2,†}

¹Structural Biology Lab 328, Bose Institute, P-1/12, CIT Road Scheme VIIM Kolkata 700054 WB India

² MedInsights, 6 rue de l'église, 02810 Veuilly la Poterie, Aisne, France.

³ Université de Paris, 85 boulevard Saint-Germain, 75006 Paris, France

[†]Correspondence to:

Tel: +91-33-25693273 E-mail: sarkarmanish2016@jcbose.ac.in, manish@medinsights.fr, soham@medinsights.fr †Equal contributions

One Sentence Summary: Membrane permeation of Orf3a is significantly more in SARS-CoV-2 than in SARS-CoV-1 which might contribute to increased viral contagion.

Abstract

COVID-19 is caused by SARS-CoV-2 which has affected nearly 220 million people worldwide and death toll close to 5 million as of present day. The approved vaccines are lifesaving yet temporary solutions to such a devastating pandemic. Viroporins are important players of the viral life cycle of SARS-Cov-2 and one of the primary determinants of its pathogenesis. We studied the two prominent viroporins of SARS-CoV-2 (i) Orf3a and (ii) Envelope (E) protein from a structural point of view. Orf3a has several hotspots of mutations which has been reported in SARS-CoV-2 with respect to SARS-CoV-1. Mutations in SARS-CoV-2 Orf3a channel forming residues enhances the formation of a prominent the inter-subunit channel, which was not present in the SARS-CoV-1 Orf3a. This enhanced structural feature can be correlated with higher channelling activity in SARS-CoV-2 than in SARS-CoV-1. On the other hand. E protein is one of the most conserved protein among the SARS-CoV proteome. We found that the water molecules form networks of electrostatic interactions with the polar residues in the E protein putative wetted condition while no water channel formation was observed in the putative dewetted condition. This aqueous medium mediates the non-selective translocation of cations thus affecting the ionic homeostasis of the host cellular compartments. This ionic imbalance leads to increased inflammatory response in the host cell. Our results shed light into the mechanism of viroporin action, which can be leveraged for the development of antiviral therapeutics. Furthermore, our results corroborate with previously published transcriptomic data from COVID-19 infected lung alveolar cells where inflammatory responses and molecular regulators directly impacted by ion channelling were upregulated. These observations overlap with transcript upregulation observed in diseases having acute lung injury, pulmonary fibrosis and Acute Respiratory Distress Syndrome (ARDS).

Keywords: Orf3a, envelope protein, molecular dynamics, viroporin, ion channeling activity

1. Introduction

The COVID-19 (CoronaVIrus Disease 2019) is a severe acute respiratory syndrome (SARS) caused by a novel pathogenic β -coronaviral strain, SARS-CoV-2 which has affected millions of people globally creating a huge stir in all aspects of human life. Vaccine has been developed using various technologies by several Pharma giants like AstraZeneca [1], Moderna [2], Pfizer [3,4] along with several other lead candidates in line. The FDA approved vaccines all have similar target or origin and they have their respective drawbacks which are still under investigation. SARS-CoV-2 has a genome encoding 28 proteins which play important roles in several stages of viral pathogenesis [5–8]. The mRNA (Pfizer, Moderna) and the adenoviral vector-based vaccines (Astrazeneca) use epitopes from the spike protein to generate an immunogenic response in the body and thus creating an immunogenic memory. But since spike protein is very much prone to mutations [9– 11], these vaccines might lose their efficacies with the evolving viral genome [12]. So, the other cellular events involved in the viral pathogenesis, which are more conserved phylogenetically, have become an important area of research. Ion channelling activity is one such feature which encompasses viroporins and their counter balancing host cellular responses which range from opposite directional ion flow to downstream disruptions of the host cell signalling pathways [13–15]. The ion channelling activity of SARS-CoV-2 is prominently maintained by two proteins- (i) Envelope (E) protein [10,16] and (ii) Orf3a protein [16,17]. The structure of the pentameric E protein from SARS-CoV-2 has been elucidated by NMR (PDB id: 7K3G) [16] and homology modelling [10] which gives a refined structure of a single channel having selectivity towards cations [18]. Cryo-EM microscopy has given insights into the dimeric structure of Orf3a (PDB id: 6XDC) which has three well

defined aqueous pathways fit for ion channelling and is a cation selective channel [19]. Ion channelling mechanism of SARS-CoV-2 viroporins potentially leads to ionic imbalance and pH change of subcellular compartments of the infected host cells causing membrane disruption and intracellular misfunction. Microsomal structures formed of the disrupted host membrane could be utilized in virion assembly and packaging. The E protein localizes in the ERGIC membrane of SARS-CoV-2 [17,20] and SARS-CoV-1 [21] while Orf3a localizes primarily in the endosomal-lysosomal membranes of SARS-CoV-2 [22,23] and in the Golgi Apparatus of SARS-CoV-1 [24,25]. Disruption of the ERGIC affects the protein translocation and processing pathways of the host cell causing ER stress [26] while endosomal- lysosomal membrane rupture leads to total breakdown of the host trafficking machinery [13,23]. lonic imbalance and pH change [27] of the viroporins can be accountable for the release of the virion particles from its organelle of origin . which leads to fatal consequences from a host point of view.

These might lead to inflammatory responses in the host cell which then causes lung failure leading to ARDS which is the reason for most of the fatalities of this viral infection [28]. Amino acid substitutions in the pore lining residues of viroporins might lead to loss or gain in function of the ion channel partially or totally along the course of evolution. The envelope (E) protein is one of the most conserved proteins in coronavirus lineage and that of SARS-CoV-2 is sequentially identical to its SARS-CoV-1 counterpart [10]. Orf3a also shares a high-level sequence similarity with several mutation hotspots which have been identified in SARS-CoV-2 from SARS-CoV-1 [29]. Molecular dynamics simulation is a relatively new technique which helps researchers to understand fine molecular events happening in a system of their interest [30]. In our case, the viroporins are modelled as membrane inserted

structures which allows solute and solvent movement and thus helps us to elucidate their mechanism of actions using MD simulation.

2. Materials and methods

2.1 Multiple sequence alignment

In order to assess the conservation among the coronavirus Orf3a-proteins, a proteinprotein BLAST (BLASTp: Basic Local Alignment Search Tool) was performed with the Orf3a protein sequence of SARS-CoV-2 (accession number in QRN50954). Using a threshold of 75% sequence identity as filters, we aligned the resulting sequences using the online alignment tool Clustal Omega [31] and was visualized with Jalview (www.jalview.org). The sequence alignment, conservation score, quality of alignment, and consensus residue for each position was also obtained.

2.2 Disparity score and pairwise distance

Estimates of evolutionary divergence for the different Orf3a protein sequences isolated from different sources (bat, civet, pangolin, bat, SARS-CoV-1, and SARS-CoV-2 (accession number: QRN50954.1)) was obtained by estimating the evolutionary tree, pairwise distance, and sequence disparity. Poisson correction models with pairwise deletion for ambiguous sequence sites were used in the calculations. The disparity index, which uses a Monte-Carlo procedure to test homogeneity among the input sequences, is a measure of heterogeneity among sites [32] and was calculated using MegaX [33]. Values greater than 0 indicate the larger differences in amino acid composition biases than expected, based on the evolutionary divergence between sequences and by chance alone. This analysis

involved 100 amino acid sequences. There was a total of 276 positions in the final dataset.

We estimated the evolutionary divergence between the Orf3a protein sequences using a pairwise distance parameter. A Poisson correction model was used and the rate of variation among amino acid sites was fitted using a gamma distribution (shape parameter = 1). This analysis involved 100 amino acid sequences. The pairwise deletion was used to remove ambiguous sequences [34].

2.3 Homology modelling of the Orf3a protein and E protein

The structure of the Orf3a protein of SARS-CoV-2 has been elucidated using cryo-EM microscopy and determined as a dimeric protein (PDB id: 6XDC) [19]. This structure has been used as a template for structure-based homology modeling of its counterpart in SARS-CoV-1. The Cryo-EM structure and the model of the protein from SARS-CoV-1 were further used for analysis.

In our previous study, we modelled the E protein of SARS-CoV-2 using the NMR structure of E protein from SARS-CoV-1 (PDB-id: 5X29; [10,35]. Another model of E protein was generated using its NMR structure from SARS-CoV-2 (PDB-id: 7K3G) [16] to decrease its structural anomalies as analysed using Molprobity. Both the models were used for further analyses.

All the homology modelling procedures have been performed using MODELLER [36] and SWISS-MODEL [37]. Model refinement and further structural fine-tuning of unreliable structural regions were done using the GalaxyWeB server [38]. The structures obtained were validated by scores obtained from the MolProbity [39,40]. The structures were chosen by comparing predominantly the different parameters like percentage of Ramachandran favoured and unfavoured residues,

percentage of favoured and unfavoured rotamers, Mol-Probity score, and Clash Score, validating the quality of the modelled proteins.

2.4 Generation of the protein-membrane system

The transmembrane region of all the proteins was extracted and used for insertion in respective membrane mimics depending on their cellular localization. Orf 3a from SARS-Cov-2 was inserted into an endosome mimicking membrane while its SARS-CoV-1 counterpart was inserted into a membrane system mimicking the Golgi apparatus. The E protein was inserted into a membrane similar to the ERGIC. Asymmetric lipid compositions were maintained in the endosome and Golgi apparatus mimicking systems. All the membrane insertion processes were performed in the CHARMM-GUI web server [41,42] similar to our previous study [10]. The pore water of each of these channel proteins was removed while preparing the protein-membrane systems in CHARMM-GUI. The lipid compositions of each system corresponding to their inserted proteins are listed as follows:

 Table 1: Lipid composition of different membrane components of intracellular

 compartments (endosome, Golgi and ERGIC)

	Orf3a of SARS- CoV-2	Orf3a of SARS- CoV-1	E protein
Lipids	Intracellular compartments		
	Endosome	Golgi	ERGIC
POPC	120	144	94
POPE	44	84	42
PSM	60	Not present	Not present
Cholesterol	120	72	28
bGMP	28	Not present	Not present

2.5 Molecular dynamics simulations using NAMD and VMD

The protein membrane systems were solvated using a 12 Å thick patch of TIP3P [43] waters at both sides of the protein bilayer complex along the z axis, and a uniform hexagonal area was maintained in the x-y plane. The K+ ion was added to the solvated system as required to mimic 0.15 M KCI which is similar to our physiological concentration of K+ ion. The structural models of proteins and lipids were presented using the CHARMM36 force field parameters [44,45] and NAMD 2.12 [46,47] was used to run the molecular dynamics (MD) simulations. Firstly, the energy of each system was minimized and then equilibrated using the NVT ensemble for 40 ps. The integration time step was kept at 1 fs with harmonic restraints of 10 kcal mol⁻¹ Å⁻² on the protein atoms and 5 kcal mol⁻¹ Å⁻² on the lipid headgroups. These are the first two steps of minimization and initial equilibration of the simulation system. Several cycles of NPT equilibration (four or more) were carried out after the first two steps with reducing force constants in each cycle to relax the restraints on the protein-membrane simulation system. The entire energy minimization and equilibration steps add up to around 2.25 ns for each simulation run. The minimized and equilibrated protein-membrane system was then simulated for 5 ns using an integrating time step of 2 fs, constraining all H-containing bonds by the SHAKE algorithm [48]. The total sampling time of the trajectories altogether added to ~20 ns. Langevin dynamics was used in all the simulations to keep the temperature constant at 303 K with a damping coefficient of 1 ps⁻¹, and the Langevin piston method was used in NPT ensembles to keep the pressure constant at 1 atm with a coupling constant of TP = 0.5 ps [49]. In all these simulations, short-range nonbonded interactions were switched off between 10 and 12 Å. The Particle Mesh Ewald method [50] was employed with a grid size of 1 Å for the estimation of long-

range electrostatic interactions. The total energy of the simulation system, number of H-bonds, RMSD, RMSF and solvent accessible surface area (SASA) of specific pore forming residues were analysed with respect to time steps as obtained from the results of the NAMD simulation in VMD [51] interface and snapshots of the timesteps were represented and visualized using Chimera 1.10 [52].

2.6 Transcriptomic analysis

Transcriptomic data was reanalyzed from the following source: *Katsura et al., 2020 Cell Stem Cell* [53]. The data is derived from whole genome RNA sequencing from modular alveolo-sphere culture system of human alveolar type 2 cells/pneumocytes derived from primary lung tissue [53]. Data was downloaded from Gene Expression Omnibus library [ID: GSE152586] and preprocessing of the fastq files were performed. Details of data extraction and experimental procedures are available in the original publication: *Katsura et al., 2020 Cell Stem Cell* [53]. The DESeq2 package in R BioConductor

(http://www.bioconductor.org/packages/release/bioc/html/DESeq2.html) was used to analyze the data. The normalized data was used for visualization, and differential analysis of the count data [54]. The DESeq2 data class consists of a count matrix with rows corresponding to genes and columns denoting experimental samples (control and COVID-19). For dimensional reduction and outlier identification, we performed a principal component analysis (PCA) on the DESeq2 data class of count reads. The details of *DESeq2* pipeline is discussed in detail in *Love et al., 2014 Genome Biology* [54]. Briefly, *DESeq2* package model the data counts on the count matrix using a gamma-Poisson distribution with mean (normalized concentration of cDNA fragments from the gene in a sample). The size factors are determined by the

median-of-ratios method. For each gene, a generalized linear model (GLM), which returns overall expression strength of the gene, log₂ of the fold change (LFC) between the two groups compared. The p values of comparison between control and infected samples are adjusted for multiple testing using the Benjamini and Hochberg procedure.

To identify the processes encoded by the upregulated genes, we used the publicly available protocol in Metascape (www.metascape.org/; [55]). We annotated the functions encoded by the genes using the following gene ontology enrichment: Biological processes, Cellular components, Molecular components, KEGG pathway and Reactome pathways. Metascape combines functional enrichment, interactome analysis, gene annotation, and membership search to leverage over 40 independent knowledgebases. The minimum overlap was kept at 3, the p value cutoff at 0.01 and the minimum enrichment was kept at 1.5. The network type was set at full network, network edges defined by confidence of the highest threshold (90%).

2.7 Statistical analysis

For statistical comparisons, we have used Kolmogorov-Smirnov (KS) Test for comparing the cumulative distributions and unpaired students' t-test for comparison between different conditions. The p-values reported in this paper consider p < 0.05 to be statistically significant.

3. Results

3.1 Sequential analysis of the Orf3a protein sequences across the phylogeny

A BLASTp search run was performed which resulted in 100 sequences of Orf3a from different origins, out of which we compared 15 sequences ranging from SARS-CoV-1 to SARS-CoV-2 (Fig. 1A). The aligned sequences have sequence identities of 70%-100% and were taken from SARS-CoV-1 (splP59632.1), bats (Cp/Yunnan2011; Rf1/2004; Rp/Shaanxi2011), civet (ORF3a Civet SARS-like CoV 007/2004), pangolin and human SARS-CoV-2 Orf3a.. These candidate Orf3aproteins from different origins were plotted as the evolutionary distance, which showed two specific phylogenetic sub-lineages (Fig. 1B). The first cluster (colors: blue, green) comprises of pangolin (QIA48624.1, QIA48633.1), rat RaTG13 (QHR63301.1) and human SARS-CoV-2 (QNO67524.1, QQY29507), while the second (colors: grey, brown) comprises of human SARS-CoV-1 (P59632.1, AAT52339.1, YP_009825052.1 and AAU04635.1) and bats (ATO98232.1, ATO98158.1, AGC74172.1, ABD75316.1, AGC74166.1 and ADE34780.1). This indicates that Orf3a sequences cluster in two distinct "clades" and recent SARS-CoV-2 Orf3a sequences are closer to those isolated from pangolins than from human SARS-CoV-1 (Fig. 1B). Consistently, there was an increase in the pairwise distance (Fig. 1C) and disparity index (Fig. 1D) among the recent sequences of Orf3a. This increase in the distance was notable in the pangolin Orf3a sequences and bat RaTG13 sequence. These sequences remained closer to human isolates of SARS-CoV-2 Orf3a by sequence similarity (Fig. 1C, D). A higher value of the disparity index and pairwise distance indicated larger differences in amino acid composition than expected in recent sequences of Orf3a as compared to older ones from SARS CoV-1.

3.2 Molecular dynamics simulation of the TM region of Orf3a (40-125) from SARS-CoV-2 and SARS-CoV-1 in respective membrane mimicking systems

The SARS-CoV-2 Orf3a dimeric protein structure has been determined using cryo-EM at 2.9 angstroms resolution (PDB id: 6XDC) [19]. The transmembrane region of the protein ranges from 40 to 125th residue of the protein. We performed membrane insertion of the truncated structure of Orf3a (40-125) using CHARMM-GUI. It comprises of lipid components mimicking the human endosomal membrane (Table 1). Then a molecular dynamics simulation of 5 ns was performed using NAMD to understand the channelling activity of the upper and inter-subunit channels of the protein (Suppl. Movie 1). We observed the upper subunit channel in the protein-membrane system (Fig. 2A-black dotted circle). The water dynamics are observed at regular intervals of 0.5 ns for 2 ns from the initial timestep starting at 0 ns (Fig. 2B-i), 0.5 ns (Fig. 2B-ii), 1 ns (Fig. 2B-iii), 1.5 ns (Fig. 2B-iv), and 2 ns (Fig. 2B-v). Similarly, we observed the inter-subunit channel (Fig. 2C-black dotted circle) at similar timesteps to the upper subunit channel (Fig. 2D-i-v). We calculated the number of H-bonds (Fig. 2E), RMSD (Fig. 2F), total energy (Fig. 2G), and RMSF (Fig. 2H) for 5 ns of the simulation. The RMSD remains below 2.5 angstroms ((Fig. 2F), indicating that the protein-membrane system has low structural variability in 5 ns of simulation. In addition, the total energy of the system remains largely unchanged at -5.7x104 kcal/mol (Fig. 2G) throughout the time period of the simulation.

The SARS-CoV-1 Orf3a structure is not available from experimental techniques and so we homology modelled the structure taking the cryo-EM structure of its counterpart (PDB id: 6XDC) in SARS-CoV-2 as a template. The final structure of dimeric Orf3a from SARS-CoV-1 was validated using Molprobity. Similar to the

previous pipeline, the structure was truncated to obtain the TM region (40-125) of Orf3a and inserted into a Golgi Apparatus membrane mimic consisting of respective lipid components in CHARMM-GUI (Table 1). Then we performed an all atom MD simulation using NAMD to understand the channelling activity of the upper and intersubunit channels of the protein from SARS-CoV-1 (Suppl. Movie 2). We observed the upper subunit channel in the protein-membrane system (Fig. 3A-black dotted circle). The water dynamics are observed at regular intervals of 0.5 ns for 2 ns from the initial timestep starting at 0 ns (Fig. 3B-i), 0.5 ns (Fig. 3B-ii), 1 ns (Fig. 3B-iii), 1.5 ns (Fig. 3B-iv), and 2 ns (Fig. 3B-v). Similarly, we observed the inter-subunit channel (Fig. 3C-black dotted circle) at similar timesteps to the upper subunit channel (Fig. 3D-i-v). We calculated the number of H-bonds (Fig. 3E), RMSD (Fig. 3F), total energy (Fig. 3G), and RMSF (Fig. 3H) for 5 ns of the simulation. The RMSD remains below 2.5 angstroms ((Fig. 3F), indicating that the protein-membrane system has low structural variability in 5 ns of simulation. In addition, the total energy of the system remains largely unchanged at -5.4x104 kcal/mol (Fig. 3G) throughout the time period of the simulation.

3.3 Mutability of Orf3a in SARS-CoV-2 contributes to the distinct architecture in the pore forming regions of the protein than its SARS-CoV-1 counterpart

The inter-subunit channel of Orf3a in SARS-CoV-2 forms a prominent pore structure (Fig. 2D-iii, iv, v; Suppl. Movie 1) while no such feature is observed in the similar region of SARS-CoV-1 counterpart (Fig. 3D-iii, iv, v; Suppl. Movie 2). Multiple sequence alignment of the Orf3a TM region from SARS-CoV-1 and SARS-CoV-2 showed that several mutations were observed in the latter (A64T, N66K, Q78H) (Fig. 4A). These mutations happen to be in the region of the pore forming domain which is responsible for the formation of the upper and inter-subunit channels as observed in the Cryo-EM structure of Orf3a. So, these amino acids might be crucial for the unique architecture of the pore pathways in SARS-CoV-2. The structures of the Orf3a proteins from both strains were superimposed to observe the pore-forming residues of the inter-subunit channel (Fig. 4B, 4C). We observed that the intersubunit channel from SARS-CoV-1 does not allow passage of water molecules through it (Fig. 4D-blue arrow, Suppl. Movie 2)) but that from SARS-CoV-2 allows cytosolic passage of water molecules (Fig. 4E-blue arrow, Suppl. Movie 1). This functional gain in SARS-CoV-2 Orf3a was analysed based on the pore-lining residues of the inter-subunit channel along with their acquired mutations in SARS-CoV-2 over SARS-CoV-1. We calculated the individual Solvent Accessible Surface Areas (SASAs) and RMSFs of the pore-lining residues of the inter-subunit channel of Orf3a proteins for 5 ns of MD simulation (SARS-CoV-1: Fig. 4F, SARS-CoV-2: Fig. 4G). We observed a significant change of SASA at the 64th (blue line) and 66th residue (dark green line) between SARS-CoV-1 (Fig. 4F) and SARS-CoV-2 (Fig. 4G). So, we calculated the total SASA of the pore-lining residues with their respective contributions where the total SASA in SARS-CoV-2 was found to be significantly higher than in SARS-CoV-1 (Fig. 4H) indicating a higher probability of water passage through the inter-subunit channel. Pore estimation of the proteins validated that Orf3a from SARS-CoV-1 (Fig. 4I) does not have a pore-forming region of the reported inter-subunit channel (Fig. 4I-blue arrow) while that of SARS-CoV-2 has a well-defined pore in the corresponding region (Fig. 4J-blue arrow). The contribution of the acquired mutations of the pore-lining residues in SARS-CoV-2 over SARS-CoV-1 in the SASA and thus the formation of the inter-subunit channel were analysed (Fig. 4K). It was found out that the A64T and N66K mutations were

significantly contributing to this higher SASA in SARS-CoV-2 than in SARS-CoV-1 which is in tune with our previous result (Fig. 4K). The total energy of the proteinmembrane system from SARS-CoV-2 was found to be significantly lower than that of the SARS-CoV-1 (Fig. 4L) which is directly proportional to the structural and functional stability of the virus.

3.4 Molecular dynamics of the TM region of SARS-CoV-2 Envelope protein in an ERGIC membrane mimic

The pentameric structure of the Envelope (E) protein from the SARS-CoV-2 has been modelled (PO model) and its viroporin activity through the single channel formed by its TM region (8-40) has been analysed using in silico approaches [10]. The pentameric TM region (8-40) of the E protein model from our previous study (was complexed with an ERGIC mimicking membrane using respective lipid components (Table 1) in CHARMM-GUI (Fig. 5A). The protein-membrane complex was equilibrated energetically followed by 5 ns of MD simulation in NAMD to analyse the continuous water channel formation through the proposed pore (Suppl. Movie 3). The water dynamics are observed at several intervals for 2 ns from the initial timestep starting at 0 ns (Fig. 5B-i), 0.5 ns (Fig. 5B-ii), 1 ns (Fig. 5B-iii), 1.1 ns (Fig. 5B-iv), 1.2 ns (Fig. 5B-v), 1.3 ns (Fig. 5B-vi), 1.4 ns (Fig. 5B-vii), 1.5 ns (Fig. 5B-viii), and 2 ns ((Fig. 5B-ix). It was observed that from 1 ns to 1.5 ns that the water molecules reach the proposed bottleneck region [10] formed by the F26 residues inside the pore of the E protein (Fig. 5B-iii-viii). We calculated the number of Hbonds (Fig. 5C), RMSD (Fig. 5D), total energy (Fig. 5E), and RMSF (Fig. 5F) for 5 ns of the simulation. The RMSD remains well below 5 angstroms (Fig. 5D), indicating that the protein-membrane system has high structural stability for 5 ns of simulation.

In addition, the total energy of the system remains relatively unfluctuating around - 3.2×10^4 kcal/mol (Fig. 5E) throughout the time period of the simulation.

3.5 Envelope protein of SARS-CoV-2 undergoes dynamic structural changes

The E protein structure modelled from its solid-state NMR structure (NS model) (PDB id: 7K3G, [16]) from SARS-CoV-2 was inserted into an ERGIC mimicking membrane using respective lipid components (Table 1) in CHARMM-GUI (Fig. 6A). The protein-membrane system was equilibrated energetically followed by 5 ns of MD simulation in NAMD to analyse the continuous water channel formation through the central pore (Suppl. Movie 4). The water dynamics are observed at regular intervals of 0.5 ns for 2 ns from the initial timestep starting at 0 ns (Fig. 6B-i), 0.5 ns (Fig. 6Bii), 1 ns (Fig. 6B-iii), 1.5 ns (Fig. 6B-iv), and 2 ns (Fig. 6B-v). We compared the RMSD (Fig. 6C) and total energy (Fig. 6D), for 5 ns of the simulation for both the proposed wetted (PO model) and dewetted (NS model) states. There was no significant difference in the RMSD among the two molecular dynamics simulations of SARS-CoV-2 E protein (Fig. 6C) which supports the stability of the protein membrane systems. However, we observed a significant increase of total energy in the proposed wetted state (PO Model) as compared to the proposed dewetted state (NS model) (KS test; p < 0.0001; Fig. 6D, E). A significant increase in the energy profile due to electrostatic interactions in the wetted state than in the dewetted state was observed between the two E protein systems (Fig. 6F). The wetted state showed an increase in the Vander Waals energies than in the dewetted state (Figure 6G). These changes in energies corroborates with the passage of water molecules in the dewetted state. Kinetic energies of both the states were significantly similar (Fig. 6H) and the energies due to bond angles (Fig. 6I), dihedral angles (Fig. 6J) and

bond lengths (Fig 6K) remained unchanged. The contribution to the total energy change between the proposed dewetted and wetted states of the E protein due to electrostatic interactions is more than that of the Vander Waals interactions (Fig. 6L). All these results validate our previous structural observations [10] that E protein can indeed remain in dynamic equilibrium and shift between dewetted and wetted conditions during its functionality.

3.6 Upregulated genes in COVID condition reflects cellular processes impacted by ion channelling activity

We used whole genome RNA sequencing data [53] to explore genes upregulated in SARS-CoV-2 infection, and whether they could be impacted by changes in cellular ionic homeostasis. We employed principal component analysis (PCA) as an exploratory data analysis step (Fig.7A). PCA analysis (Fig.7A) suggested that uninfected controls and SARS-CoV-2 infected samples were mutually orthogonal to each other, suggesting that gene expression levels were indeed due to the infection. The first component explained 53% of the observed variance in the expression patterns. We further looked into the proportion of upregulated and downregulated genes (Fig 7B, 7C), and found 563 significantly upregulated genes and 75 significantly downregulated genes. The log of fold change (LFC) after the DESEQ2 pipeline was set at a minimum of 2, and adjusted p-value was set at 0.01. The top 50 upregulated and downregulated genes are shown in Suppl. Fig. 1. PRKAA1 is upregulated in the infected samples, albeit it did not clear the threshold of LFC > 2(Fig. 7D) and interestingly has been shown to regulate ion channeling activity of host cell [56]. We also observed significant upregulation in immune modulatory genes like CD40, IFNL1, IFNL2, IFNL3, IL12A, IL33, IL6 and NFkB1 (Fig. 7E). Among the

downregulated genes were HSP90AB1, HSP90AA1 and HSP90B1 (Fig. 7F). In our analysis, we focused on those genes only that could be impacted or otherwise impact ion channeling and ionic concentration. In our analysis, 88% of the genes were upregulated while 12% were downregulated (Fig. 7G). In order to understand what cellular and molecular functions could be impacted by the upregulated genes, we constructed a gene network analysis using Metascape (Fig. 7H). The major functions implicated are Defense response to virus, Type II interferon signaling, Immune response regulating signaling pathway, Response to IFN- β , Regulation of cytokine production, Response to IFN- γ , Lymphocyte activation, SARS-CoV-2 innate immunity evasion and Regulation of $I-\kappa B$ -kinase/NF κB signaling (Fig. 7H). All these processes imply the active participation and activation of the host defense system in case of a viral infection. We also evaluated the functional significance of proteinprotein interactions of the upregulated genes and found that the following functions were enriched: Defense response to virus, IFN- α/β signaling, Cytokine signaling, post translational protein phosphorylation, Calcium signaling pathway- $G\alpha(q)$ signaling, PI3K-Akt-mTOR signaling, Exocytosis and Complement cascades (Fig. 71). Indeed, a lot of these functionalities could be directly or indirectly affected by ionic imbalances in the cell. These observations provide strength to our hypothesis that ion channeling activity by viroporins could be responsible for enhanced pathogenesis and host cell responses in SARS-CoV-2.

4. Discussion

Ion channelling activity is an important cellular event taking place in all organisms, from unicellular prokaryotes to multicellular eukaryotes like humans.

Human cellular organization and mechanisms of varied physiological events are directly or indirectly influenced by ion channels, which are mostly specific for the type of ion it transports. Almost all families of viruses encode one or more ion channel proteins which integrate in the host membrane and regulate key viral life cycle events like virion maturation, assembly and release. Viroporins oligomerizes in the host membranes, leading to formation of permeable hydrophilic pores [13,57], which alters cellular ionic homeostasis in hosts. It leads to membrane depolarization and disruption of organelle architecture *via* membrane remodelling events, alteration of Ca^{2+} homeostasis [58] and protein trafficking. Thus, viroporin is an important determinant of the viral pathogenicity.

COVID-19 is a viral infection which shows relatively higher aggravation of contagion than its previous strains. Compared to the previous SARS-CoV strains, SARS-CoV-2 demonstrates a plethora of mutations across its entire genome [59]. This increased pathogenicity has been linked to one or more mutations in the critical players of the viral machinery leading to their structural and functional modifications [60]. Some of the reported structural changes observed in the Spike (S) protein [61,62], the replication machinery (RdRp, Nsp7 and 8) [63] and the main protease, M^{pro} [64] can be correlated with higher infectivity and pathogenesis. However, the impact of the SARS-CoV-2 viroporins on the host functionality remains poorly elucidated. We looked into the membrane permeation and channel-forming mechanism of the Orf3a and E protein from SARS-CoV-2 and SARS-CoV-1.

Viroporins from a wide spectrum of virus families impact cellular physiology of the host cell. One of the most studied viroporins is the M2 channel of the influenza virus which is essential for viral replication and homeostasis. The M2 channel allows K+ ion influx and disrupts the ionic homeostasis of high Na+ and low K+

concentration in the late endosomes. It also acts as a proton channel in the TGN (pH~6) which affects downstream protein trafficking machinery [65]. NS4A channel of HCV localizes on the mitochondrial membrane and disrupts mitochondrial architecture by causing ionic imbalance in the organelle lumen [66]. Viroporins activate apoptosis through the mitochondrial pathway via formation of apoptosome with pro-caspase 9 and apoptosis protease-activating factor-1 [67]. P7 viroporin of HCV is a gated proton channel which causes H+ efflux, resulting in IL-1 β production [68] The envelope (E) protein of SARS-CoV-2 has been reported to rescue growth of K+-uptake deficient bacteria thus supporting its K+ conductivity. Additionally, it acts as a proton channel and causes bacterial cell death due to increased membrane permeabilization [18].

However, the exact molecular mechanism of these ion channelling events have not been explored from a structural point of view. Our results demonstrate salient structural features which might determine how the viroporin functions. First, we show that Orf3a has an apparent gain of a structural feature in SARS-CoV-2 which differentiates it from that of SARS-CoV-1. Hydrophilic pore formation is one of the fundamental features of a viroporin [13]. We show formation of hydrophilic pore via water channel formation which could be correlated with formation of ionic transfer mediums across the membranes. Such a passage medium through the inter-subunit channel of Orf3a in SARS-CoV-2 is unique and not observed in its SARS-CoV-1 counterpart. This implies a higher hydrophilic permeation pathway and channelling of ions through the protein in SARS-CoV-2 than in SARS-CoV-1. Our selection of mutated amino acids corroborates with a previous study showing alteration of Orf3a structure due to its mutability at several positions [29]. The change of primary localizations of Orf3a from ER-Golgi compartment in SARS-CoV-1 to late

endosomal-lysosomal compartment in SARS- CoV-2 [17] is an energeticallysupported configuration, as Orf3a in endosomal-mimic had lower energies compared to the Orf3a in Golgi-membrane-mimic. Secondly, we show that conserved E-protein can form dynamic states for its functionality, as we had speculated previously [10]. While continuous water channel formation was visible in the hydrophilic pore of our homology model [10], we observed that E protein modelled from its solid-state NMR structure [16] did not show water channel formation despite having sufficient pore radius for hydrophilic pore formation.

Analysis of energy change is supportive of the fact that E protein can remain in an inactivated state (dewetted condition) and it undergoes activation through local conformational changes of the channel forming residues. These local movements facilitate water movement (wetted condition) through its pentameric hydrophilic pore via electrostatic interactions between the residues and the incoming water molecules. The movement of water molecules through a channel is a continuous event of making and breaking of electrostatic interactions thus consuming energy during the process. This is depicted in our result as the change in total energy with the energy due to electrostatic interactions as its primary contributor. Therefore, a tight regulation of the water movement depends directly on its structural conformations.

The question remains, what impact do these viroporins impart at a physiological level? Indeed, our studies are *in silico* and have limitations of being non-experimental from an *in vitro* and *in vivo* standpoint. However, the impact of ionic imbalances in cellular micro-environment as a result of viral infections and viroporins have been studied in great detail earlier. One immediate observation comes from the previous SARS strain, the SARS-CoV-1. It was shown that E protein

localized in the ERGIC membrane, and facilitated the movement of Ca2+ ions into the cytosol [69]. On the other hand, the Orf3a localized at the Golgi apparatus and the plasma membrane, transported K+ ions [70]. A proper regulation of airway epithelial ionic balance is indispensable for healthy homoeostasis in the lungs. A tight regulation of cationic and anionic ion channels controls the ionic homeostasis in the airways which can be correlated to complex pathological features in lung diseases [71]. Viroporins localized in the subcellular membranes of these lung airway epithelial cells is the primary cause of ionic imbalance and thus can be potential therapeutic targets against ARDS, which is the primary reason for fatality in SARS-CoV-2 infection [72,73]. Transcriptomics analysis from patient samples affected with SARS-CoV-2 showed an upregulation in inflammatory response mediated by several interleukins and interferons [74] which are probably regulated by NFκB [75]. Increase in CD40 [76], IL-6 [77], IL-12 [78] and IL-33 [79] transcripts strongly correlate with similar expression patterns of differentially expressed genes in Acute Lung Injury, ARDS and pulmonary fibrosis. NF-kB signalling has also been shown to be activated and induce inflammatory cytokines and chemokines, including IL-1b, IL-18, and IL-8 [80–82]. AMPK is a master regulator of a wide spectrum of ion channels, carrier proteins and symporter-pumps [56], differential expression of which can impact their stimulatory and inhibitory effects [83]. These channels, carrier proteins and/or pumps mediate the primary host cell response against viroporin action. Downregulation of ion channels are also known to be impacted by their interactions with Hsp proteins such as Kv7.4, a voltage gated potassium channel is downregulated which is mediated by a decrease in its interaction with Hsp90 [84]. Indeed, Hsp90, being a part of the protein folding machinery show lower expression levels due to disruption of the ERGIC and endosomal compartment which are the

two important checkpoints of protein synthesis and quality control. This is in line with our initial membrane disruption hypothesis as a result of change in ionic balance of the cell. In our study, E protein-mediated Ca2+ and K+ leakage, and Orf3-mediated K+ efflux causes ionic imbalance activating the NLRP3 inflammasome. The activation of NLRP3 inflammasome directly correlates with the observed viroporin activity [70,85]. Ionic imbalance in cells can promote build up of reactive oxygen species (ROS) in the mitochondria, providing indirect to activation of NLRP3 [70]. Our multivariate study on SARS-CoV-2 viroporins gives valuable structural insights into their mechanism of actions. We have elucidated the importance of Orf3a and E protein in COVID-19 pathogenesis on a structure-function association, potentially translating to changes in the transcriptome of the host cell. Further investigation along these lines can reveal potential therapeutic strategies against SARS-CoV-2.

Authorship contribution statement

Manish Sarkar & Soham Saha (co-correspondence): Study design, structural modelling, molecular dynamics and analysis, bioinformatics. MS and SS drafted the manuscript and made the final figures.

Paul Etheimer: Designed analysis automation for whole genome metagenome analysis using DeSEQ2 pipeline and performed the analysis on the transcriptomic data.

Declaration of competing interest

Authors declare no competing interests. The entire research work was performed during the nation-wide lockdown during the 3rd and 2nd wave of the Covid-19 pandemic in France and India respectively. Only publicly available libraries, servers, and resources were employed for the entire study. All data in the main text or the supplementary materials are available upon request.

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Appendix. Supplementary data

Supplementary Figure 1: supplement to Figure 7

Top 50 significantly upregulated (dark green) and downregulated (dark red) which have adjusted p-value of < 0.01 and LFC > 2.

Supplementary Movie 1: Molecular dynamics of TM- region (40-125) of Orf3a from SARS-CoV-2 inserted into a late endosomal-lysosomal membrane mimic.

Supplementary Movie 2: Molecular dynamics of TM- region (40-125) of Orf3a from SARS-CoV-1 inserted into a Golgi Apparatus membrane mimic.

Supplementary Movie 3: Molecular dynamics of TM- region (8-40) of Envelope (E) protein from SARS-CoV-2 inserted into an ERGIC membrane mimic. The template of the modelled E protein is the NMR structure of the E protein from SARS-CoV-1 (PDB id: 5X29).

Supplementary Movie 4: Molecular dynamics of TM- region (8-40) of Envelope (E) protein from SARS-CoV-2 inserted into an ERGIC membrane mimic. The template of the modelled E protein is its NMR structure from SARS-CoV-2 (PDB id:7K3G).

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Figure & Table Legends

Table 1.

Lipid composition of different membrane components of intracellular compartments

(endosome, golgi and ERGIC).

Fig. 1.

- A. Sequence alignment of CoV Orf3a proteins performed by Clustal Omega after a BLASTp search against human SARS-CoV-2 Orf3a protein (Accession number: QRN50954). The conserved sequences, quality of sequence alignment, and consensus sequence are shown below.
- B. Evolutionary tree representation of selected Orf3a sequences from different species (bats, pangolin, civet, humans-CoV-1, humans-CoV-2). The distance is shown at the top and is calculated using the neighbour-joining method. The colors indicate differently clustered Orf3a sequences according to their species.
- C. Lower triangular heat map representation of the pairwise distance computed from the sequence alignment for selected Orf3a proteins. Heatmap scale: 0-4.5.
- D. Lower triangular heat map representation of the disparity index computed from the sequence alignment for Orf3a protein sequences obtained after a BLASTp search. Heatmap scale: 0-5.

Fig. 2.

- A. Insertion and equilibration of truncated Orf3a (40-125) from SARS-CoV-2 in a membrane system mimicking the endosomal compartment of a generalized human cell. The membrane is not shown in the figure to ease the visualization of the molecular machine. The upper subunit channel has been pointed out using a dotted circle.
- B. Movement of water molecules through the upper subunit channel at different time points (i) 0 ns (ii) 0.5 ns (iii) 1 ns (iv) 1.5 ns (v) 2 ns (Supplementary Movie 1)
- C. Insertion and equilibration of truncated Orf3a (40-125) from SARS-CoV-2 in a membrane system mimicking the endosomal compartment of a generalized human cell. The membrane is not shown in the figure to ease the visualization of the molecular machine. The inter-subunit channel has been pointed out using a dotted circle.
- D. Movement of water molecules through the inne-rsubunit channel at different time points (i) 0 ns (ii) 0.5 ns (iii) 1 ns (iv) 1.5 ns (v) 2 ns (Supplementary Movie 1)

- E. Line plot showing the dynamics in the number of hydrogen bonds in the protein-membrane system as a function of time steps for 5ns where each time step is 0.1 ns.
- F. Line plot showing the RMSD of the protein-membrane complex and its change over a course of 5 ns where each time step is 0.1 ns.
- G. Line plot showing the total energy (kcal/mol) of the system in 5 ns of simulation with each 5000 steps=1 ps.
- H. Matrix representation showing the RMSF of individual residues of the protein over the time span of 5 ns where each time step is 0.1 ns.

Fig. 3.

- A. Insertion and equilibration of truncated Orf3a (40-125) from SARS-CoV-1 (homology modeled from the Cryo-EM structure of its SARS-CoV-2 counterpart) in a membrane system mimicking the Golgi apparatus of generalized human cell. The membrane is not shown in the figure to ease the visualization of the molecular machine. The upper subunit channel has been pointed out using a dotted circle.
- B. Movement of water molecules through the upper subunit channel at different time points (i) 0 ns (ii) 0.5 ns (iii) 1 ns (iv) 1.5 ns (v) 2 ns (Supplementary Movie 2)
- C. Insertion and equilibration of truncated Orf3a (40-125) from SARS-CoV-1 in a membrane system mimicking the Golgi apparatus of generalized human cells. The membrane is not shown in the figure to ease the visualization of the molecular machine. The inter-subunit channel has been pointed out using a dotted circle.
- D. Movement of water molecules through the inne-rsubunit channel at different time points (i) 0 ns (ii) 0.5 ns (iii) 1 ns (iv) 1.5 ns (v) 2 ns (Supplementary Movie 2)
- E. Line plot showing the dynamics in the number of hydrogen bonds in the protein-membrane system as a function of time steps for 5ns where each time step is 0.1 ns.
- F. Line plot showing the RMSD of the protein-membrane complex and its change over a course of 5 ns where each time step is 0.1 ns.

- G. Line plot showing the total energy (kcal/mol) of the system in 5 ns of simulation with each 5000 steps=1 ps.
- H. Matrix representation showing the RMSF of individual residues of the protein over the time span of 5 ns where each time step is 0.1 ns.

Fig. 4.

- A. Sequential analysis of the Orf 3a from SARS-CoV-1 and SARS-CoV-2 showing the possible mutations in several regions of the protein.
- B. Structural superimposition of Orf3a from SARS-CoV-1 (cyan) and SARS-CoV-2 (green) showing the pore-lining residues of the inter-subunit channel in the red box.
- C. Magnified image of the red box showing the pore-lining residues of the intersubunit channel of Orf3a from SARS-CoV-1 (blue) and SARS-CoV-2 (magenta).
- D. Inter-subunit channel of Orf3a from SARS-CoV-1 with the blue arrow showing the surface of the pore-lining residues and water dynamics (Supplementary Movie 2).
- E. Inter-subunit channel of Orf3a from SARS-CoV-2 with the blue arrow showing the surface of the pore-lining residues and water dynamics (Supplementary Movie 1).
- F. CastP analysis of the central core of Orf3a from SARS-CoV-1 with the blue arrow showing the absence of any pocket near the inter-subunit channel.
- G. CastP analysis of the central core of Orf3a from SARS-CoV-2 with the blue arrow showing the presence of the pocket near the inter-subunit channel.
- H. Violin plot showing significant differences in the total SASA of the inter-subunit channel lining residues of Orf3a from SARS-CoV-1 and SARS-CoV-2.
- I. Line plots showing the RMSF and total SASA of the pore-lining residues of Orf3a from SARS-CoV-1 for 2 ns simulation time with each time step=0.1 ns.
- J. Line plots showing the RMSF and total SASA of the pore-lining residues of Orf3a from SARS-CoV-2 for 2 ns simulation time with each time step=0.1 ns.
- K. Violin plot showing significant differences in the total energy (kcal/mol) of Orf3a (40-125)- membrane complex from SARS-CoV-1 and SARS-CoV-2.

Fig. 5.

- A. Insertion and equilibration of PO model of Envelope (E) protein (8-40) of SARS-CoV-2 in a membrane system mimicking the ERGIC of human cells. The membrane is not shown in the figure to ease the visualization of the molecular machine.
- B. Movement of water molecules through the central pentameric channel of the TM region of the E protein at different time points (i) 0 ns (ii) 0.5 ns (iii) 1 ns (iv) 1.1 ns (v) 1.2 ns (vi) 1.3 ns (vii) 1.4 ns (viii)1.5 ns (ix) 2 ns (Supplementary Movie 3)
- C. Line plot showing the dynamics in the number of hydrogen bonds in the protein-membrane system as a function of time steps for 5ns where each time step is 0.1 ns.
- D. Line plot showing the RMSD of the protein-membrane complex and its change over a course of 5 ns where each time step is 0.1 ns.
- E. Line plot showing the total energy (kcal/mol) of the system in 5 ns of simulation with each 5000 steps=1 ps.
- F. Matrix representation showing the RMSF of individual residues of the protein over the time span of 5 ns where each time step is 0.1 ns.

Fig. 6.

- A. Insertion and equilibration of NS model of Envelope protein (8-40) of SARS-CoV-2 in a membrane system mimicking the ERGIC of human cells. The membrane is not shown in the figure to ease the visualization of the molecular machine.
- B. Movement of water molecules through the central pentameric channel of the TM region of E protein at different time points (i) 0 ns (ii) 0.5 ns (iii) 1 ns (iv) 1.5 ns (v) 2 ns (Supplementary Movie 4)
- C. Line plot showing the comparison of RMSD between the NS model and PO model of Envelope protein inserted in similar membrane mimic for 5 ns. The proposed dewetted state (NS model) and the wetted state (PO model) are shown in red and blue respectively.
- D. Line plot showing the comparison of the total energy profile between the NS and PO model of E protein-membrane systems for 5 ns. The proposed

dewetted state (NS model) and the wetted state (PO model) are shown in red and blue respectively.

- E. Comparison of the cumulative distribution of the total energy profiles between the NS and PO model of E protein-membrane systems till 5 ns.
- F. Box plot showing the comparison of the Electrostatic energy profiles between the NS and PO model of E protein-membrane systems till 5 ns.
- G. Box plot showing the comparison of the Vander Waals energy profiles between the NS and PO model of E protein-membrane systems till 5 ns.
- H. Box plot showing the comparison of the kinetic profiles between the NS and PO model of E protein-membrane systems till 5 ns.
- I. Box plot showing the comparison of the energy profiles due to bond angles between the NS and PO model of E protein-membrane systems till 5 ns.
- J. Box plot showing the comparison of the energy profiles due to dihedral angles between the NS and PO model of E protein-membrane systems till 5 ns.
- K. Box plot showing the comparison of the energy profiles due to bond lengths between the NS and PO model of E protein-membrane systems till 5 ns.

Fig. 7

- A. Principal component analysis (PCA) scatter plot representation of the variability in the dataset along the first two PC axes (PC1- 53% variability; PC2- 29% variability). Control and infected samples are orthogonal to each other.
- B. MA plot (M-log ratio of fold change; A- mean of normalized counts) for differentially significant gene expression in Infected samples compared to Controls.
- C. Volcano plot showing significantly upregulated genes (in orange), significantly downregulated genes (in blue) and non-significantly expressed genes (in black) between infected cells and controls.
- D. Barplot showing gene counts of PRKAA1 gene between infected cells and controls. Error bars indicate Standard deviation.
- E. Example barplots of differentially upregulated genes between infected cells and controls. The values plotted are gene counts with the error bars indicating

standard deviation. Genes plotted: CD40, IFNL1, IFNL2, IFNL3, IL12A, IL33, IL6 and NFκB1.

- F. Same as E, but for differentially downregulated genes. Genes plotted: HSP90AB1, HSP90AA1 and HSP90B1.
- G. Distribution showing the percentage of genes significantly upregulated (p < 0.01) and with LFC >2. 88% of the genes were upregulated while 12% of the genes were downregulated.
- H. Gene enrichment analysis and gene ontology network obtained from upregulated genes in infected samples vs controls showing enrichment for immune functions and its associated signaling pathways. Metascape was used for this analysis. Different colored dots indicate different genes encoding same function.
- Protein-protein interaction (PPI) enrichment analysis showing cellular functions determined by the upregulated genes in infected samples vs controls. Metascape was used for this analysis. Different colored dots indicate different genes encoding similar protein-protein interactions.



Pairwise distance



ORF3a SARS CoV-1: sp|P59632.1| ORF3a SARS CoV_LLJ-2004 ORF3a SARS CoV_Tor2 ORF3a Bat SARS-like CoV ORF3a Civet SARS-like CoV_007/2004 ORF3a Bat SARS-like CoV ORF3a Bat SARS CoV_Cp/Yunnan2011 ORF3a Bat SARS CoV_Cp/Yunnan2011 ORF3a Bat SARS CoV_Rp/Shaanxi2011 Hypothetical ORF3a Bat SARS_HKU3-9 ORF3a Pangolin SARS CoV ORF3a Pangolin SARS CoV ORF3a Bat SARS CoV RaTG13 ORF3a Bat SARS CoV RaTG13

С



Ance Disparity Index

D

ORF3a sequences (BLASTp search)



SARS CoV 2 ORF3a - 40-125 Endosome





SARS CoV 1 ORF3a - 40-125 Golgi

Α

С









SARS CoV 2 E protein- 8-40 ERGIC





