1 <u>Title</u>

# 2 The kinesin KIF4 mediates HBV/HDV entry through regulation of surface NTCP

- 3 localization and can be targeted by RXR agonists *in vitro*.
- 4

# 5 **Running title**

# 6 KIF4 regulates NTCP-mediated HBV/HDV entry

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# 42 Abstract

43	Intracellular transport via microtubule-based dynein and kinesin family motors plays a
44	key role in viral reproduction and transmission. We show here that Kinesin Family
45	Member 4 (KIF4) plays an important role in HBV/HDV infection. We intended to explore
46	host factors impacting the HBV life cycle that can be therapeutically addressed using
47	siRNA library transfection and HBV/NLuc (HBV/NL) reporter virus infection in HepG2-
48	hNTCP C4 cells. KIF4 silencing resulted in a 3-fold reduction in luciferase activity
49	following HBV/NL infection and suppressed both wild-type HBV and HDV infection.
50	Transient KIF4 depletion reduced surface and raised intracellular NTCP (HBV/HDV
51	entry receptor) levels, according to both cellular fractionation and immunofluorescence
52	analysis (IF). Overexpression of wild-type KIF4 but not ATPase-null KIF4 regains the
53	surface localization of NTCP in these cells. Furthermore, immunofluorescence (IF)
54	revealed KIF4 and NTCP colocalization across microtubule filaments, and a co-
55	immunoprecipitation study showed that KIF4 physically binds to NTCP. KIF4 expression
56	is regulated by FOXM1. Interestingly, we discovered that RXR agonists (Bexarotene, and
57	Alitretinoin) down-regulated KIF4 expression via FOXM1-mediated suppression,
58	resulting in a substantial decrease in HBV-Pre-S1 protein attachment to HepG2-hNTCP
59	cell surface and subsequent suppression of HBV infection in HepG2-hNTCP and primary

60	human hepatocytes (PXB) (Bexarotene, IC <sub>50</sub> 1.89 $\pm$ 0.98 $\mu$ M). Overall, our findings show
61	that human KIF4 is a critical regulator of NTCP surface transport and localization, which
62	is required for NTCP to function as a receptor for HBV/HDV entry. Furthermore, small
63	molecules that suppress or alleviate KIF4 expression would be potential antiviral
64	candidates that target HBV and HDV entry phases.

# 65 Author Summary

Understanding HBV/HDV entry machinery and the mechanism by which NTCP
 (HBV/HDV entry receptor) surface expression is regulated is crucial to develop antiviral
 entry inhibitors. We found that NTCP surface transport is mainly controlled by the motor
 kinesin KIF4. Surprisingly, KIF4 was negatively regulated by RXR receptors through
 FOXM1-mediated suppression

This study not only mechanistically correlated the role of RXR receptors in regulating HBV/HDV entry but also suggested a novel approach to develop therapeutic rexinoids for preventing HBV and/or HDV infections in important clinical situations, such as in patients undergoing liver transplantation or those who are at a high risk of HBV infection and unresponsive to HBV vaccination.

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# 78 Introduction

79	Hepatitis B virus (HBV) affects about 250 million individuals globally and is a major
80	cause of chronic liver inflammation. Cirrhosis, liver failure, and liver cancer can all result
81	from a protracted condition of hepatic inflammation and regeneration (1). Sodium
82	taurocholate cotransporting polypeptide (NTCP) was discovered in 2012 to be a key
83	cellular receptor for HBV and its satellite hepatitis delta virus (HDV), which shares the
84	same envelope as HBV (2, 3). When HBV nucleocapsid infects human hepatocytes, it is
85	carried to the nucleus, where the partially double-stranded rcDNA genome is repaired to
86	covalently closed circular (ccc) DNA. This episomal DNA acts as a template for all viral
87	transcripts and pregenomic RNA, forming a very stable minichromosome that is the
88	primary cause of chronic HBV infection, the generation of antiviral escape mutants, or
89	relapse after ceasing nucleo(t)ide analog anti-HBV treatment (4).

90 Kinesins are a vast protein superfamily that is responsible for the movement of 91 numerous cargos within cells such as membrane organelles, mRNAs, intermediate 92 filaments, and signaling molecules along microtubules (5). Kinesins are also thought to 93 regulate cell division, cell motility, spindle assembly, and chromosomal 94 alignment/segregation (6, 7). KIF4 is a highly conserved member of kinesin family (8-10). KIF4 is also known to move to the nucleus during mitosis, where it interacts with 95

96	chromatin to alter spindle length and control cytokinesis (11). KIF4A has previously been
97	shown to improve the transport of HIV and adenovirus capsids early in infection (12, 13).
98	As a result, KIF4A might be a promising antiviral target. The transcriptional activator
99	Forkhead box M1 (FOXM1) has been shown to increase KIF4A expression in
100	hepatocellular carcinoma (HCC) (14). Interestingly, HBV upregulates KIF4 expression
101	in HepG2 hepatoma cells, and it was reported to be considerably higher in HBV-
102	associated liver malignancies (15); no information on the role of KIF4 in HBV infection
103	is currently known.
104	We performed functional siRNA screening using an HBV reporter virus and HepG2-
105	hNTCP cells to uncover host factors that impact the HBV life cycle. We identified KIF4
106	as a positive regulator for the early phases of HBV/HDV infection based on the findings
107	of this screen. Further investigation indicated that KIF4 is a critical component in the
108	transport and surface localization of NTCP, where it can function as a receptor for
109	HBV/HDV entry. RXR agonists like Bexarotene reduced KIF4 expression and
110	HBV/HDV infection by targeting FOXM1. This is the first study to show that KIF4 plays
111	an essential role in HBV/HDV entry and that it may be used to build effective anti-HBV
112	entry inhibitors.

# 114 **<u>Results</u>**

# 115 KIF4 is a proviral host factor required for the early stages of HBV infection We previously used HBV particles containing a chimeric HBV genome (HBV/NL), in 116 117 which HBV core region is substituted by NanoLuc (NL) gene, to infect HepG2-hNTCP 118 cells formerly transfected with a druggable genome siRNA library two days before 119 HBV/NL infection. We looked at 2,200 human genes to see if they had any effect on the 120 HBV life cycle (16). HBV/NL does not replicate because the HBV core protein (HBc) is 121 not expressed, and the NL levels released after infection only represent the early phases 122 of HBV infection, from the entry through transcription of HBV pregenomic RNA 123 (pgRNA). For each plate, nontargeting or anti-NTCP siRNAs were employed as controls (Fig. 1A). The XTT (2,3-bis-[2-methoxy-4-nitro-5-sulfophenyl]-2H-tetrazolium-5-124 125 carboxanilide) test was used to assess cell viability; wells with $\geq 20\%$ loss of cell viability 126 were removed from further investigation. Previously, we discovered host factors with 127 anti-HBV action (16). In this paper, we describe the discovery of new host factors 128 (proviral factors) necessary in the early stages of HBV infection. The independent 129 silencing of just 14 of the 2,200 host genes (0.6%) reduced NL activity by more than 70% 130 (average of three distinct siRNAs) (Fig. 1B). These genes were identified as pro-HBV host factors (NTCP, SAT1, DVL3, AOX1, DGKH, CAMK1D, CHEK2, AK3, ROCK2, 131

132	RYK, KIF4, TFIP11, SLC39A6, MXRA5). KIF4 was previously shown to be induced in
133	vitro by HBV expression <i>in vitro</i> (15). We also looked at data from an accessible database
134	(17) and discovered that KIF4 was expressed at considerably greater levels in patients
135	with persistent HBV infection than in healthy people ( $P < 0.001$ ). (Fig. 1C). The role of
136	KIF4 in HBV life cycle is not yet reported, hence, we performed further investigation to
137	clarify it. Silencing KIF4 expression with two distinct siRNA sequences (Fig. 1E) led to
138	a 2-fold ( $P < 0.001$ ) or 3-fold ( $P < 0.001$ ) reduction in NL activity relative to cells
139	transfected with the control siRNA (Fig. 1D).

# 140 KIF4 is required for cell culture-derived HBV (HBVcc) infection

141 We used authentic HBV particles generated from grown HepAD38.7-Tet cells to 142 validate the relevance of KIF4 in the HBV life cycle (HBVcc). We reduced KIF4 143 expression in HepG2-hNTCP cells by transfecting particular siRNA 72 hours before 144 HBVcc infection (Fig. 2A); after 10 to 13 days post infection (pi), we examined its 145 influence on hepatitis B surface antigen (HBsAg), DNA, and HBc levels. As a positive 146 control, si-NTCP was utilized. Silencing KIF4 expression resulted in a substantial decrease of HBsAg in the culture supernatant (P < 0.001) to levels equivalent to silencing 147 148NTCP expression (Fig. 2B). siKIF4 also decreased overall HBV-DNA levels as measured by southern blot (Fig. 2C) and inhibited HBc expression as measured by 149

150	immunofluorescence (IF) (Fig. 2D) to levels equivalent to si-NTCP without
151	compromising cellular viability (Fig. 2E). We employed primary human hepatocytes
152	(PXB) cells to examine the effect of suppressing KIF4 expression on HBV infection in a
153	more physiologically relevant paradigm (Fig. 2F). As predicted, inhibiting KIF4 or NTCP
154	expression dramatically reduced HBs levels by ELISA ( $P < 0.01$ ) (Fig. 2G) and decreased
155	extracellular HBV-DNA levels by real-time PCR ( $P < 0.001$ ). (Fig. 2H). Figure 2I depicts
156	the silencing efficiency of KIF4 siRNA in PXB cells.
157	KIF4 regulates HBV and HDV entry into host cells
158	Then, using particular siRNA, we suppressed KIF4 expression and examined the stage
159	of the HBV life cycle that is controlled by KIF4. NTCP plays a role in the specific binding
160	of HBV to the host cell surface by interacting with the preS1 region of HBV's large
161	surface protein (LHB) (3). We investigated the attachment of a fluorescence-labeled
162	preS1 peptide (6-carboxytetramethylrhodamine-labeled preS1 peptide, or TAMRA-
163	preS1) to HepG2-hNTCP (Fig. 3A). The preS1 binding assay was conducted with si-
164	NTCP as a positive control to validate the specificity of the observed TAMRA-preS1
165	signals. KIF4 silencing dramatically reduced the interaction between TAMRA-labeled
166	PreS1 and NTCP as identified by IF (Fig. 3A, left pictures) and shown by signal
167	intensities (Fig. 3A, right panel). These findings support the notion that KIF4 is essential

168	for the interaction of HBV and surface NTCP. Using a luciferase reporter system for the
169	various HBV promoters, we discovered that KIF4 expression did not influence the
170	transcriptional activity of these promoters (Fig. 3B). Furthermore, utilizing HBV replicon
171	cells, HepAD38.7-Tet off, which produce HBV pgRNA following tetracycline
172	withdrawal, we discovered that suppressing KIF4 expression did not influence
173	intracellular HBV-DNA levels. (See Fig. 3C.) HDV has the same envelope as HBV and
174	utilizes NTCP as a receptor to enter hepatocytes (2). Consistent with the results obtained
175	in the HBV infection and preS1-binding tests, suppressing KIF4 expression reduced HDV
176	susceptibility in NTCP-expressing cells (Fig. 3D, left pictures), and the magnitude of
177	KIF4 siRNA suppression on HDV infection is displayed in Fig. 3D, right panel. These
178	findings show that KIF4 primarily controlled NTCP-mediated HBV and HDV entry into
179	cells while having little or no influence on HBV transcription or replication.
180	KIF4 regulates surface NTCP expression

We investigated the influence of KIF4 on total and subcellular (both surface and cytoplasmic) NTCP expression after discovering that it is necessary for HBV entrance via regulating the interaction between HBV preS1 and NTCP. Silencing of KIF4 expression did not affect total cellular NTCP protein levels, as shown in figure 4A, but IF examination revealed that silencing of KIF4 disrupted NTCP surface localization and

186	encouraged its accumulation in the cytoplasm (Fig. 4B). This conclusion was supported
187	by biochemical investigation, which indicated that silencing KIF4 dramatically decreased
188	surface NTCP in membranous fraction (Fig. 4C) while increasing intracellular NTCP
189	protein levels in the cytoplasmic fraction (Fig. 4D). Figure 4E depicts band densitometry.
190	It is worth mentioning that silencing KIF4 did not influence surface cadherin or
191	cytoplasmic GAPDH protein levels, indicating that KIF4 has a particular effect on NTCP
192	surface localization.
193	KIF4 motor activity is required for surface NTCP expression
194	Kinesins, such as KIF4, are motor proteins that hydrolyze ATP to transport different
195	molecules along microtubules (7). Because KIF4 is necessary for surface NTCP
196	expression, we hypothesized that it may function as a transporter that delivers NTCP to
197	the cell surface. As a result, we investigated the function of KIF4 ATPase activity in NTCP
198	surface expression. The sequence of an ATPase-null KIF4 was previously described (18).
199	(Fig. 5A). To mute endogenous KIF4 expression, we utilized a tailored siRNA sequence
200	(si-KIF4 3' UTR ) that targeted the 3' UTR region of the KIF4 transcript (19) and
201	compensated for this suppression by transfecting plasmids expressing the Myc-tagged
202	WT or ATPase-null KIF4 sequences. Because they lack the 3' UTR of endogenous KIF4
203	transcripts, the mRNAs of these constructs are resistant to si-KIF4 3' UTR. Cellular

204	fractionation revealed that the expression of WT or ATPase-null mutants did not affect
205	surface cadherin (CHD-1) expression, as predicted. Surface NTCP levels are considerably
206	enhanced when KIF4 depletion is compensated with WT, but not ATPase-null KIF4
207	protein (Fig. 5B). These findings were supported by IF analysis, which revealed
208	significantly greater levels of surface NTCP when endogenous KIF4 silencing was
209	compensated for by WT-KIF4, but not by ATPase-null KIF4 (Fig. 5C, upper panels) (Fig.
210	5C, lower panels). The si-KIF4 3' UTR exhibited a substantial reduction of KIF4 by real-
211	time RT-PCR and no cellular cytotoxicity as determined by the XTT assay (Fig. 5D and
212	E). Overall, our findings indicated that KIF4 ATPase (motor) activity is necessary for
213	NTCP surface expression (transport).
214	Physical interaction between KIF4 and NTCP
215	We hypothesized that direct contact between KIF4 and NTCP across the microtubules
216	is necessary for KIF4 to transport NTCP to the cell surface. We transfected HepG2-
217	hNTCP cells with Halo-tagged KIF4 and examined their intracellular colocalization.
218	Interestingly, IF analysis revealed a significant colocalization between KIF4, NTCP, and
219	α-tubulin (a microtubule marker) (Fig. 6A upper panels). Two distinct cross-sectional

lines were constructed (Fig. 6A, center panels), and the colocalization signal intensities 220

221 were also displayed along these regions of interest (Fig. 6A lower panel). Overlap of KIF4,

222	NTCP, and $\alpha$ -tubulin signal peaks indicated KIF4 and NTCP colocalization along
223	microtubules. The co-immunoprecipitation study verified the direct interaction between
224	KIF4 and NTCP. Myc-tagged KIF4 and HA-tagged NTCP expressing vectors were co-
225	transfected into HEK293-FT cells. We only noticed NTCP co-immunoprecipitation when
226	we used Myc antibody to pull down Myc-tagged KIF4, but not when we used control-
227	IgG (Fig. 6B). Overall, our findings indicate that KIF4 binds to NTCP directly across
228	microtubules in the cytoplasm and uses its ATPase motor domain to transport and transfer
229	NTCP to the cell surface, where it may function as a receptor for HBV and HDV entry.
230	RXR agonists down-regulate KIF4 expression and block HBV entry by FOXM1 -
231	mediated suppression
232	The transcription factor forkhead box M1 (FOXM1) has been shown to increase KIF4A
233	expression (14) and is thus anticipated to affect surface NTCP expression and HBV entry
234	into hepatocytes. Furthermore, retinoids including retinoid acid receptor (RAR) and

235 retinoid X receptor (RXR) agonists were reported to decrease FOXM1 expression in

human oral squamous cell carcinoma (20) and were anticipated to down-regulate its
downstream KIF4 expression and, ultimately, HBV entry. To test this theory, we looked
at how various retinoids affected the attachment of TAMRA-labeled preS1 peptide to cell

239 surface NTCP in HepG2-hNTCP cells (Fig. 7A). The preS1 binding assay was done in

240	the presence of NTCP inhibitor, Myrcludex B, as a positive control to confirm the
241	specificity of the observed TAMRA-preS1 signals (21). Interestingly, we discovered that
242	Alitretinoin, a RAR/RXR agonist with potent RXR activity, and Bexarotene, a Pan-RXR
243	agonist, significantly reduced TAMRA-labeled PreS1 binding to NTCP as indicated by
244	IF (Fig. 7A); however, Pan-RAR, ATRA, and RARα-agonist, Tamibarotene, did not
245	affect the preS1 probe binding. These findings indicate that RXR agonists selectively
246	decreased surface NTCP localization and inhibited HBV/NTCP interaction. We then
247	performed a cellular fractionation and found that while treatment with Bexarotene did not
248	affect total NTCP expression (Input, Fig. 7B), it effectively suppressed the level of NTCP
249	protein in the cell surface fraction (Fig. 7B). Bexarotene treatment of HepG2-hNTCP
250	cells resulted in a significant reduction of both FOXM1 and KIF4 expression, supporting
251	our hypothesis (Fig. 7C). Consequently, pretreatment with 10 $\mu$ M Bexarotene
252	dramatically decreased HBV/NL infection in HepG2-hNTCP cells ( $P < 0.001$ ) (Fig. 7D)
253	without altering cell viability (Fig. 7E).
254	Bexarotene pretreatment significantly suppressed HBV and HDV infections in

255 primary human hepatocytes

Bexarotene pretreatment (Fig. 8A) significantly reduced susceptibility to HBV
infection in primary human hepatocytes (a more realistic model of HBV infection) in a

258	dose-dependent decrease in secreted HBsAg levels ( $P < 0.001$ ) (Fig. 8B); the 50%
259	inhibitory concentration (IC_{50}) was estimated to be 1.89 $\pm$ 0.98 $\mu M.$ Surprisingly,
260	Bexarotene was not harmful to primary human hepatocyte cultures over a wide range of
261	doses, with a 50% cytotoxic concentration (CC50) of more than 50 $\mu$ M. (Fig. 8C).
262	Bexarotene's selectivity index ( $CC_{50}/IC_{50}$ ratio) was found to be > 26. We then changed
263	the timing of Bexarotene administration in order to cover the different stages of HBV life
264	cycle in primary human hepatocytes (Bexarotene was administered as follows: pre = 3
265	days before infection; co = during the inoculation of HBV particles from d0 to d1 pi; post
266	= from d4 to d12 pi; and whole = from 3 days pre infection to d12 pi) (Fig. 8D). The
267	expression of HBc protein by IF was used as a marker of HBV infection. While a modest
268	suppression of HBc detection was found when Bexarotene is added co- or post- infection;
269	the main suppressive effect of Bexarotene on the level of HBc protein was found when it
270	was administered in (pre), or (whole) settings (Fig. 8E [immunofluorescence], and 8F
271	[fluorescence intensity]). There was no apparent difference in Bexarotene-mediated
272	suppression of HBc detection when it was administered in (pre) or (whole) settings. Since
273	the administration of Bexarotene for 3 days before HBV infection is the common time
274	frame between (pre) and (whole) settings, our data suggests that Bexarotene mainly exerts
275	its suppression on HBV when administered before infection. This result is in line with our

	finding that Bexarotene suppressed surface NTCP localization and subsequent HBV
277	entry; hence its effect is mainly present when administered before (pre) infection. We
278	investigated the effect of Bexarotene pretreatment on HDV infection since HDV has the
279	same surface envelope and so employs NTCP to enter human hepatocytes. Bexarotene
280	reduced HDV infection as predicted, as shown by a decrease in HDV RNA ( $P < 0.001$ ).
281	(Fig. 8G). These findings support the hypothesis that RXR agonists have a suppressive
282	impact on HBV/HDV entry.
283	
284	Discussion
285	The HBV/NL reporter system has previously been described to reflect the early phases
286	of HBV infection (22). We previously reported using this method to screen 2200
287	druggable human genes and outlined the discovery of MafF and other host factors with
287 288	druggable human genes and outlined the discovery of MafF and other host factors with anti-HBV function as a result of this screening (16). The current study describes the
288	anti-HBV function as a result of this screening (16). The current study describes the
288 289	anti-HBV function as a result of this screening (16). The current study describes the proviral host factors that are required for the early phases of HBV infection.
288 289 290	anti-HBV function as a result of this screening (16). The current study describes the proviral host factors that are required for the early phases of HBV infection. KIF4 belongs to the kinesin superfamily (KIFs). KIFs are ATP-dependent microtubule-

294 acids (23). We identified KIF4 as a pro-viral host factor required for the early phases of 295 HBV life cycle. The importance of KIF4 in boosting HBV infectivity early in the HBV 296 life cycle was verified in primary hepatocytes (Fig. 2). 297 The suppression of the interaction between HBV-PreS1 and HBV entry receptor (surface NTCP) following silencing of KIF4 expression demonstrated the involvement of 298 299 KIF4 in regulating the function of NTCP as a receptor for HBV entry. HDV and HBV 300 share the same envelope proteins and hence rely on NTCP as an entry receptor into 301 hepatocytes (2). Silencing KIF4 expression dramatically reduced HDV infection, as 302 expected. KIF4 has previously been implicated in the anterograde transport of cellular 303 proteins such as Integrin beta-1 (24), as well as viral proteins such as retroviral (human 304 immunodeficiency virus (HIV-1), murine leukemia virus, Mason-Pfizer monkey virus, 305 and simian immunodeficiency virus) Gag polyprotein (25) to the cell surface to allow for 306 efficient retroviral particle formation. In line with KIF4's previously described 307 anterograde transport function, we discovered that inhibiting the ATPase motor activity 308 of KIF4 substantially reduced surface and raised cytoplasmic NTCP levels (Fig. 5). We 309 also used immunoprecipitation to validate the physical contact of KIF4 and NTCP, as 310 well as their colocalization on microtubules (Fig. 6). These findings demonstrated that 311 KIF4 controlled the anterograde transport of NTCP to the cell surface, influencing its

312 availability as a receptor for HBV/HDV entry on the hepatocyte surface.

313	Because the FOXM1 transcription factor is known to influence KIF4 expression (14)
314	it is predicted to affect surface NTCP expression and HBV internalization into
315	hepatocytes. Since retinoids, including RAR and RXR agonists, have been shown to
316	suppress FOXM1 expression in human oral squamous cell carcinoma (20), we
317	hypothesized that retinoid agonists would also suppress KIF4 expression, resulting in
318	impaired transport of NTCP to the cell surface and decreased susceptibility to HBV or
319	HDV infection. Only RXR agonists, Alitretinoin (26), and Bexarotene (27) decreased
320	HBV-PreS1 attachment to NTCP at the cell surface, indicating the specificity of RXR
321	agonists as HBV entry inhibitors (Fig. 7). Bexarotene, a pan-RXR agonist, substantially
322	decreased FOXM1, KIF4, and cell membrane-associated NTCP levels, which in turn
323	inhibited NTCP-dependent HBV-PreS1 binding to the cell surface and the consequent
324	HBV (IC $_{50}$ 1.89 $\pm$ 0.98 $\mu M$ ) and/or HDV infection without any evident detrimental impact
325	in primary hepatocytes (Fig. 7) (Fig. 8).
326	This is the first research to describe Bexarotene as an HBV and HDV NTCP-mediated
327	entry inhibitor. Bexarotene has previously been shown to inhibit the early stages of HBV
328	infection when co-inoculated with HBV during the first 24 hours (28). This impact was
329	influenced in part by RXR-regulated gene expression in arachidonic acid

330	(AA)/eicosanoid biosynthesis pathways, which included the AA synthases phospholipase
331	A2 group IIA (PLA2G2A). The specific step of the HBV life cycle (from attachment to
332	cccDNA formation) impacted by Bexarotene was not defined in that study (28).
333	Furthermore, silencing PLA2G2A expression marginally alleviated Bexarotene's
334	inhibitory impact on HBV infection (28), indicating the presence of other key Bexarotene-
335	dependent mechanisms that are still inhibiting the early stages of HBV infection. In line
336	with that study, we found that co-treatment of Bexarotene with HBV inoculation
337	moderately suppressed HBV infection, however, we also showed that the major
338	suppression of HBV infection was obtained when Bexarotene was administrated pre-
339	infection (Fig. 8E and F) suggesting the presence of other significant mechanism by
340	which Bexarotene exerts its suppressive effect on HBV infection. Furthermore, we found
341	that Bexarotene administration effectively suppressed surface NTCP levels (Fig. 7B).
342	Hence, our data clearly showed that the main mechanism by which Bexarotene
343	suppressed HBV infection is through the downregulation of surface NTCP levels prior to
344	HBV infection.
345	Finally, we identified KIF4 as a critical host factor necessary for effective HBV
346	infection. KIF4 controls the levels of surface NTCP by anterograde transport of NTCP to

347 the cell surface, which is needed for NTCP to function as a receptor for HBV and/or HDV

348 entry. NTCP is the major transporter of conjugated bile salts from the plasma 349 compartment into the hepatocyte. Although the loss of surface NTCP expression in a 350 patient with the homozygous SLC10A1 gene containing a R252H point mutation showed 351 higher levels of bile salts in the plasma, however, it did not show any evidence of 352 cholestatic jaundice, pruritis, or liver dysfunction. Importantly, the presence of secondary 353 bile salts in his circulation suggested residual enterohepatic cycling of bile salts (29). Furthermore, in NTCP knockout mice, some showed a reduced body weight, however, 354 355 most animals showed no signs of cholestasis, inflammation, or hepatocellular damage 356 (30). While further in-vivo data are still required to assess efficiency and safety of NTCP targeting drugs, the available data suggest its possible use for the prophylactic treatment 357 against HBV infection. HBIG is used to inhibit HBV vertical transmission (31). 358 359 Furthermore, extended therapy with HBIG in conjunction with a nucleos(t)ide analog is 360 necessary following liver transplantation (LT) to reduce the HBV recurrence rate to less 361 than 10% in 1-2 years post-transplantation (32). Bexarotene, which inhibits HBV cell 362 entry, might be utilized as an alternative to HBIG. Because HBV entry inhibition reduces 363 the intrahepatic cccDNA pool (33), entry inhibitors are expected to be useful in preventing 364 de novo infection in clinical settings such as vertical transmission and HBV recurrence 365 post-LT. These data strongly suggest that Bexarotene and its derivatives would be studied

366 further for the development of a new class of anti-HBV agents.

367

### 368 Materials and Methods:

#### 369 Cell culture

- 370 HepG2, HepG2-hNTCP-C4, HepAD38.7-Tet, primary human hepatocytes
- 371 (Phoenixbio; PXB cells), and HEK 293FT cells were cultured as previously described
- 372 (16). For maintenance, HepG2-hNTCP cells were cultured in 400 µg/mL G418 (34),
- 373 while HepAD38.7-Tet cells were cultured in  $0.4 \,\mu\text{g/mL}$  tetracycline that is withdrawn
- from the medium upon induction of HBV replication (35).

#### 375 **Reagents and compounds**

- 376 Sulfo-NHS-LC-Biotin (A39257) was purchased from Invitrogen. Myrcludex-B was
- 377 provided by Dr. Stephan Urban, at the University Hospital Heidelberg. Bexarotene
- 378 (SML0282), ATRA (R2625), Tamibarotene (T3205), Alitretinoin (R4643), Entecavir, and
- 379 DMSO were all purchased from Sigma-Aldrich.

#### 380 Human genome siRNA library screening

- 381 siRNA screening was performed as reported previously (36). Briefly, HBV host factors
- 382 were screened using the Silencer Select<sup>TM</sup> Human Druggable Genome siRNA Library V4
- transfection in HepG2-hNTCP cells. siRNAs were arrayed in 96-well-plates, and negative

384	control siRNA and si-NTCP were added to control the data obtained from each of the 96-
385	well-plates. siRNAs with different sequences targeting the same genes were distributed
386	across 3 plates (A, B, and C). Plates utilized in this screening are described elsewhere
387	(16).

- 388 HBV/NL preparation and infection assay
- 389 Reporter HBV/NL particles carrying recombinant HBV virus encoding NL gene were
- 390 collected from the supernatant of HepG2 cells transfected by pUC1.2xHBV/NL plasmid
- 391 expressing HBV genome (genotype C) in which the core region is substituted with NL-
- 392 encoding gene, and pUC1.2xHBV-D helper plasmid carrying packaging-deficient HBV
- 393 genome as described previously (22, 36). HBV/NL infection was performed 2 days after
- 394 siRNA transfection. At 8 dpi, the cells were lysed, and the Nano-Luc reading was
- 395 measured using the Nano-Glo® Luciferase Assay System (Promega, N1150), according
- to the manufacturer's instructions.
- 397 RNA and DNA transfection

The cells were reverse transfected with siRNAs using Lipofectamine RNAiMAX (Invitrogen) according to the manufacturer's guidelines. Forward siRNA transfection in PXB cells was also performed using Lipofectamine RNAiMAX. Transfection with plasmid DNA was performed using the Lipofectamine 3000 (for HepG2 and HepG2-

402 hNTCP) or Lipofectamine 2000 (For 293FT cells), according to the manufacturer's
403 protocol. siRNA/Plasmid DNA co-transfection in HepG2 or HepG2-hNTCP was
404 implemented with Lipofectamine 2000.

405 **Plasmids and siRNAs:** 

406 N-terminal HaloTag KIF4 expressing plasmid (pFN21ASDB3041) was purchased 407 from Promega. The N-terminal Myc-tagged KIF4 (both wild type and ATPase-null motor 408 inactive mutant) cloned in the pIRESpuro3 expression vector was kindly provided by Dr. 409 Toru Hirota at Cancer Institute of the Japanese Foundation for Cancer Research (JFCR). 410 Myc-tagged KIF4 motor inactive mutant was created by substituting 94 aa lysine in the 411 ATP-binding Walker A consensus site to alanine (37). HA-tagged NTCP was kindly 412 provided by Dr. Hiroyuki Miyoshi at RIKEN BioResource Research Center, Japan (38). pUC1.2xHBV/NL and pUC1.2xHBV-D plasmids were kindly supplied by Dr. Kunitada 413 414 Shimotohno at National Center for Global Health and Medicine, Japan. pSVLD3 plasmid 415 was kindly provided by Dr. John Taylor at the Fox Chase Cancer Center, USA. Silencer Select<sup>TM</sup> si-KIF4 (si-1, s24406; si-2, s24408), si-NTCP (s224646), control siRNA (#1), 416 417 and customized si-KIF4 3' UTR targeting endogenous KIF4 mRNA 3'-UTR region (5'-GGAAUGAGGUUGUGAUCUUTT-3') were purchased from Thermo Fisher Scientific. 418 **HBV** infection assay 419

420	HBV (genotype D) particles were concentrated from the culture supernatant of
421	HepAD38.7 Tet cells as described elsewhere (34). HepG2-hNTCP and primary human
422	hepatocytes (PXB) were inoculated with HBV at 6000 and 1000 genome equivalent
423	(GEq)/cell, respectively, as described previously (16).
424	HBV preS1 binding assay
425	HBV preS1 peptide spanning 2-48 amino acids of the preS1 region with N-terminal
426	myristoylation, and C-terminal 6-carboxytetramethylrhodamine (TAMRA) conjugation
427	(preS1 probe) was synthesized by Scrum, Inc. EZ-Link <sup>™</sup> . The attachment of HBV preS1
428	peptide to the HepG2-hNTCP cell surface was performed and analyzed as described

429 previously (38).

## 430 HDV infection assay

431 HDV used in the infection assay was derived from the culture supernatant of Huh7

- 432 cells co-transfected with pSVLD3 and pT7HB2.7 as previously reported (39, 40). HepG2-
- 433 hNTCP and primary human hepatocytes (PXB) were infected with HDV at 40-50

434 GEq/cell as described previously (41).

### 435 **Dual-luciferase reporter assay**

HepG2 cells were co-transfected with effector plasmid (Mock or KIF4), the *Firefly*luciferase reporter vectors, and the *Renilla* luciferase plasmid pRL-TK (Promega) as an

438	internal control. The reporter plasmids carrying the entire core promoter (nucleotide [nt]
439	900-1817), preS1 promoter (nt 2707-2847), preS2/S promoter (nt 2937-3204), or
440	Enh1/X promoter (nt 950-1373) upstream of the Firefly luciferase gene, has been
441	reported previously (42). At 2 days after transfection, the cells were lysed and the
442	luciferase activities were measured using the GloMax® 96 Microplate Luminometer
443	(Promega, GMJ96).
444	HBV replication assay
445	In the absence of tetracycline, HepAD38.7-Tet cells were reverse transfected with si-
446	control or si-KIF4 or treated with 10 $\mu$ M entecavir as a positive control. At 4 days post-
447	transfection, the cells were lysed and the intracellular HBV DNA was extracted and
448	quantified by real-time PCR (35).
449	Indirect immunofluorescence assay
450	Immunofluorescence assay was basically performed as described previously (43).
451	primary antibodies used in the study included rabbit anti- HBc (Neomarkers, RB-1413-
452	A), anti-HDAg, anti-NTCP (Sigma, HPA042727), mouse anti-c-Myc (Santa Cruz, sc-40),
453	and anti- $\alpha$ -tubulin (Sigma, T5168). Alexa Flour555-, Alexa Flour488-, or Alexa
454	Flour647-conjugated secondary antibodies (Invitrogen) were utilized together with DAPI

455 to visualize the nucleus. For Halo tag, live cells were treated with cell-permeant Halotag

456	TMR ligand (Promega, G8251) before paraformaldehyde fixation. Microscopic
457	examination of the infected cells or preS1 binding was performed by fluorescence
458	microscopy (KEYENCE, BZ-X710); the observation of the subcellular localization was
459	performed using a high-resolution confocal microscope (Leica, TCS 159 SP8) as
460	described previously (43).

#### 461 **Immunoblot** assay

462 Immunoblotting and protein detection were essentially performed as previously 463 described (44). Protein detection was performed using the following primary antibodies; 464 mouse monoclonal E-cadherin antibody (Santa-Cruz, sc-8426), anti-GAPDH (Abcam, 465 ab9484), anti-Myc (Santa Cruz, sc-40), anti-\beta-actin (Sigma-Aldrich, A5441), anti-FOXM1 (Santa Cruz, sc-271746); and rabbit polyclonal anti-KIF4A (Invitrogen, PA5-466 467 30492), anti-NTCP (Sigma, HPA042727), anti-HA (Sigma, H6908). For immunoblotting of free or tagged NTCP, the sample was treated with 250-U Peptide-N-Glycosidase F 468 469 (PNGase F) to digest N-linked oligosaccharides from glycoproteins before loading to 470 SDS-PAGE (43).

#### 471 Cell surface biotinylation and extraction of surface proteins

472 Cell surface biotinylation was performed to separate the surface proteins with 473 streptavidin beads. The cells were washed with PBS and then incubated with 0.5 mg/mL

474	EZ-Link <sup>™</sup> Sulfo-NHS-LC-Biotin for 30 min at 4°C to biotinylate the cell surface
475	proteins. After quenching with PBS containing 0.1% BSA and washing with PBS thrice
476	to remove free inactive biotin, the cells were lysed in lysis buffer (150 mM NaCl, 50 mM
477	Tris-HCL PH 7.4, 5 mM EDTA, 1% NP40) containing 1x protease inhibitor (Roche) for
478	15 min at 4°C. The cell lysate was centrifuged, and the supernatant was harvested and
479	added to pre-washed streptavidin agarose (SA) beads and incubated for 2 h at 4°C (Pull-
480	down step). Finally, the SA beads were washed with lysis buffer, and the adsorbed
481	proteins were eluted in the sample buffer and subjected to immunoblot assay as described
482	earlier. The surface adhesion protein E-cadherin (CDH-1) was used as a loading control
483	for biotinylated surface fraction, as reported elsewhere (45).

## 484 **Purification of cytoplasmic fraction**

The cells were washed with cold PBS, lysed, and subjected to cell fractionation; the
cytosolic fraction was isolated from the whole cell lysate using the Minute <sup>™</sup> Plasma
Membrane Protein Isolation and Cell Fractionation Kit (Invent Biotechnologies)
according to the manufacturer's protocol (46).

- 489 **Co-Immunoprecipitation (Co-IP) assay**
- 490 293FT cells were transfected with HA-tagged NTCP and Myc-tagged KIF4 expression
- 491 plasmids at a 1:1 ratio for the assessment of the possible physical interaction between

492	NTCP and KIF4. At 72 h after transfection, the cells were lysed and subjected to
493	immunoprecipitation with the mouse monoclonal anti-Myc (Santa-Cruz) antibody or
494	mouse normal IgG as a negative control. Cell lysis and Co-IP were conducted using the
495	Pierce <sup>TM</sup> Co-IP Kit (Thermo Fisher Scientific, 26149) according to the manufacturer's
496	instructions.
497	DNA and RNA extraction
498	Intracellular HBV DNA was extracted from the cells using the QIAamp Mini Kit
499	(QIAGEN), and the extracellular HBV DNA was recovered from the supernatant using
500	the SideStep Lysis and Stabilization Buffer (Agilent Technologies, 400900), while RNA
501	extraction was performed using the NucleoSpin® RNA XS Kit (MACHEREY-NAGEL)
502	according to the manufacturer's protocols.
503	Southern blot analysis
504	Southern blotting was performed to detect intracellular HBV DNAs as described
505	previously (43).
506	qPCR and RT-qPCR
507	Real-time PCR (for the detection of total HBV DNA) and reverse transcription real-
508	time PCR (for the measurement of HDV RNA) were essentially performed as previously
509	described (41, 47) using the primer-probe sets; 5'-AAGGTAGGAGCTGGAGCATTCG-

510	3', 5'-AGGCGGATTTGCTGGCAAAG-3', 5'-FAM-
511	AGCCCTCAGGCTCAGGGCATAC-TAMRA-3' for HBV DNA, and 5'-
512	GGACCCCTTCAGCGAACA-3', 5'-CCTAGCATCTCCTCCTATCGCTAT-3', 5'-FAM-
513	AGGCGCTTCGAGCGGTAGGAGTAAGA-TAMRA-3' for HDV RNA. qPCR for
514	Intracellular HBV DNA was performed by the $2^{(-\Delta\Delta CT)}$ method using chromosomal
515	GAPDH DNA sequence (via primer-probe set Hs04420697_g1; Applied Biosystems) as
516	an internal normalization control. Isolated RNA was reverse-transcribed using the High-
517	Capacity cDNA Reverse Transcription Kit (Thermo Fisher Scientific), and the relative
518	levels of the KIF4 mRNA were determined using the TaqMan Gene Expression Assay
519	with the primer-probe set Hs00602211_g1 (Applied Biosystems), while the ACTB
520	expression (primer-probe set 748 Hs99999903_m1) was included as an internal control
521	for normalization (36).
522	ELISA
523	Cell supernatants were harvested and ELISA quantification of the secreted HBs was
524	performed as described previously (47). The half-maximal inhibitory concentration (IC50)
525	value for Bexarotene was calculated as described previously (38).

527 Cell viability was evaluated using the Cell Proliferation Kit II (XTT) according to the

Cell viability assay

528 manufacturer's guidelines (43).

#### 529 Database

- 530 Transcriptional profiling of patients with chronic HBV (NCBI Gene Expression
- 531 Omnibus [GEO] accession number GSE83148) was identified in the GEO public
- 532 database. The expression data for KIF4 were extracted by GEO2R.

#### 533 Statistical analysis

534 Unless mentioned otherwise, the experiments were performed in triplicates, and the

535 means of data from three independent experiments were calculated and presented in mean

536  $\pm$  SD. Statistical significance was determined using Two-tailed unpaired student's *t*-tests

537 (\*, P < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001; NS, not significant). For the KIF4 expression

level in chronic HBV (NCBI [GEO] accession number GSE83148), statistical
significance was evaluated by GEO2R to calculate the adjusted *P* value.

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548	providing Myc-tagged KIF4 (both Wild Type and motor inactive mutant); Dr. John Taylor
549	at the Fox Chase Cancer Center, the USA for providing pSVLD3 plasmid; and Dr.
550	Hiroyuki Miyoshi at RIKEN, Japan, for providing HA-tagged NTCP.
551	
552	Figure Legends
553	<b>FIG. 1</b>
554	KIF4 is a proviral host factor required for HBV infection and its expression is
555	enhanced in chronic hepatitis B patients.
556	(A) A schematic representation of the experimental HBV/NL infection schedule in
557	HepG2-hNTCP used for siRNA library screening. (B) HepG2-hNTCP cells were
558	transfected with control, NTCP, or host gene targeting siRNAs from the Silencer Select
559	Human Druggable Genome siRNA Library V4 (Thermo Fisher Scientific, 4397922); host
560	genes siRNA plates were screened as described in the Material and Methods section. After
561	2 days of transfection, the cells were inoculated with the HBV/NL reporter virus. At 8 dpi,
562	the luciferase assays were performed, and the NL activity was measured and presented as
563	a percentage relative to control siRNA transfected cells. Of the 2,200 host genes, only 14
564	genes showed an average of $\geq$ 70% reduction of the NL activity upon silencing with a

565	minimum of two independent siRNAs. (C) The KIF4 mRNA levels in the liver tissues of
566	patients with chronic HBV infection (n = 122) and healthy subjects (n = 6) (GEO
567	accession number GSE83148). (D) HepG2-hNTCP cells were transfected with si-control,
568	si-NTCP, or siRNAs against KIF4 (si-1, and si-2) for 2 days and then inoculated with the
569	HBV/NL reporter virus. At 8 dpi, the cells were lysed, and the luciferase assays were
570	performed, and the NL activity was measured, normalized to cell viability, and plotted as
571	fold changes, relative to control siRNA transfected cells. (E) HepG2-hNTCP cells were
572	transfected with control siRNA or siRNAs against KIF4 (si-1 and si-2); the total protein
573	was extracted after 3 days. The expression of endogenous KIF4 ( <i>upper panel</i> ) and $\beta$ -actin
574	(loading control) (lower panel) was analyzed by immunoblotting with the respective
575	antibodies. Statistical significance was determined using Student's <i>t</i> -test (***, $P < 0.001$ ).
576	For panel (C), statistical significance was evaluated by GEO2R.
577	FIG 2

578 Decreased KIF4 expression suppressed HBV infection in HepG2-hNTCP and 579 primary human hepatocytes (PHH).

580 (A) Schematic diagram depicting the scheme for siRNA transfection and subsequent HBV

581 infection in HepG2-hNTCP; HepG2-hNTCP cells were transfected with si-control, si-

582 NTCP, or si-KIF4 (si-1) for 72 h and then inoculated with HBV at 6,000 GEq/cell in the

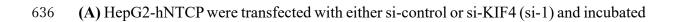
583	presence of 4% PEG8000 for 16 h. After free HBV were washed out, the cells were
584	cultured for an additional 12 days, followed by the detection of different HBV
585	markers. Black and dashed boxes indicate the interval for treatment and without
586	treatment, respectively. (B) HBsAg secreted into the culture supernatant was collected at
587	10 dpi, quantified by ELISA, and presented as fold changes, relative to the values of
588	control siRNA transfected cells. (C) Intracellular HBV DNA and (D) HBc protein in the
589	cells were detected at 13 dpi by Southern blot analysis and immunofluorescence,
590	respectively. Red and blue signals in panel (D) depict the staining of HBc protein and
591	nucleus, respectively. (E) Cell viability was also examined by the XTT assay. (F)
592	Schematic diagram showing the scheme for siRNA transfection and the subsequent HBV
593	infection in primary human hepatocytes (PXB); primary human hepatocytes were twice
594	transfected with si-control, si-NTCP, or si-KIF4 (si-1) for consecutive 72 h and 48 h,
595	followed by HBV inoculation at 1,000 GEq/cell in the presence of 4% PEG8000 for 16
596	h. After being washed, the cells were cultured for an additional 15 days. (G) HBsAg and
597	(H) Extracellular HBV-DNA secreted into the culture supernatant were quantified by
598	ELISA and real-time PCR, respectively, and the data were presented as fold changes,
599	relative to the values of control siRNA-transfected cells. In all infection assays including
600	siRNA transfection, control siRNA and NTCP-targeting siRNA were used as negative and

601	positive controls, respectively. (I) Primary human hepatocytes were twice transfected
602	with siRNAs (as shown in Fig. 2 F); the total protein was extracted and KIF4 (upper
603	panel) and $\beta$ -actin (loading control) (lower panel) expression were analyzed by
604	immunoblotting with the respective antibodies. All assays were performed in triplicate
605	and included 3 independent experiments. Standard deviations are also shown as error bars.
606	Statistical significance was determined using Student's t-test (**, $P < 0.01$ ; ***, $P <$
607	0.001; NS, not significant).
608	FIG.3
609	KIF4 knockdown blocks HBV entry and HDV infection into host cells.
610	(A) HepG2-hNTCP were transfected with si-control, si-NTCP, or si-KIF4 (si-1) for 72 h
611	and then incubated with 40 nM C-terminally TAMRA labeled and N-terminally
612	myristoylated preS1 peptide (preS1 probe) for 30 min at 37°C ( <i>left panel</i> ); Red and blue
613	signals indicate the preS1 probe and the nucleus, respectively. The fluorescence
614	intensities are shown in the graph ( <i>right panel</i> ). (B) HepG2 cells were transfected with a
615	KIF4 expression vector or empty vector (control) together with plasmid vector carrying
615 616	KIF4 expression vector or empty vector (control) together with plasmid vector carrying HBV promoters (Core, X, preS1, or preS2/S) upstream of the <i>Firefly</i> luciferase gene and

619	values were normalized to those of <i>Renilla</i> luciferase readings, and the resulting relative
620	luminescence units obtained from KIF4 transfected cells were presented as fold changes
621	compared to the levels detected in the control transfected cells. (C) HepAD38.7-Tet cells
622	were transfected with si-control or si-KIF4 (si-1) or treated with 10- $\mu$ M entecavir as a
623	positive control in the absence of tetracycline to induce HBV replication; At 4 days post-
624	transfection, the cells were lysed and the intracellular HBV DNA was extracted and
625	quantified by real-time PCR. (D) HepG2-hNTCP were transfected with siRNAs (as
626	indicated in Fig. 3A), and then inoculated with HDV virions at 50 GEq/cell in the
627	presence of 5% PEG8000 for 16 h; the cells were then washed out to remove the free
628	virus particles and cultured for an additional 6 days, followed by detection of HDAg by
629	IF (left panel); Red and blue signals indicate HDAg and nuclear staining, respectively.
630	The fluorescence intensities are shown in the graph (right panel). All assays were
631	performed in triplicate and included three independent experiments. The data were pooled
632	to assess the statistical significance. Data are presented as mean $\pm$ SD. **, $P < 0.01$ ; ***,
633	P < 0.001; NS, not significant.

634 FIG 4

# 635 KIF4 regulates the surface NTCP expression.



637	for 72 h; the cells were then lysed and the expressions of total NTCP (upper panel), KIF4
638	( <i>middle panel</i> ), and $\beta$ -actin (loading control) ( <i>lower panel</i> ) were examined in the whole
639	protein lysate by Western blotting. (B) HepG2-hNTCP were transfected with si-RNAs (as
640	in Fig. 4A) and incubated for 72 h; the cells were then fixed with 4% paraformaldehyde,
641	permeabilized with 0.3% Triton X-100, and stained with NTCP antibody and visualized
642	with confocal microscopy. Green and blue signals depict the staining of NTCP (both
643	surface and cytoplasmic), and nuclei, respectively. (C) HepG2-hNTCP were transfected
644	with si-RNAs (as in Fig. 4A); at 3 days post-transfection, the cells were surface
645	biotinylated or PBS treated at 4°C for 30 min before cell lysis. After centrifugation and
646	the removal of cell debris, the cell lysates were collected and an aliquot (1/10 volume)
647	was used for the detection of NTCP protein (Input; upper panel) and $\beta$ -actin (loading
648	control) (Input; lower panels) by immunoblotting. The remaining cell lysates (9/10 of the
649	original volume) were subjected to pull-down via incubation with pre-washed SA beads
650	for 2 h at 4°C; after washing, the biotinylated surface proteins were eluted and subjected
651	to western blotting in order to detect the surface NTCP and CDH-1 (loading control for
652	surface fraction) (Surface; upper, and lower panels) with the respective antibodies. (D)
653	After transfection with siRNAs (as shown in Fig. 4A), HepG2-hNTCP cells were lysed
654	and the cytoplasmic fraction was isolated, harvested using the Minute TM Plasma

655	Membrane Protein Isolation and the Cell Fractionation Kit and then subjected to
656	immunoblotting in order to detect the cytoplasmic NTCP (upper panel) and GAPDH
657	(loading control for cytoplasmic fraction) (lower panel). (E) The intensities of both the
658	surface (normalized to CDH-1) and cytoplasmic (normalized to GAPDH) NTCP bands
659	were quantified by ImageJ software and presented as fold changes relative to the control
660	siRNA transfected cells.
661	FIG 5
662	KIF4 motor activity is required for the surface NTCP expression.
663	(A) A schematic diagram illustrating human KIF4 domains and the key regions are
664	presented at the top of the figure. Two sequence alignments show ATP-binding Walker A
665	consensus site in the KIF4 motor domain with lysine 94 (Wild type, upper sequence) was
666	mutated to alanine (ATPase-null motor dead mutant, lower sequence). (B) HepG2 cells
667	were transfected with si-KIF4 3' UTR (targeting endogenous KIF4 mRNA 3` UTR
668	region) along with HA-NTCP, and Myc-KIF4 (WT or ATPase-null) plasmid vectors for
669	72 h; the cells were then surface biotinylated or PBS treated at 4°C for 30 min before cell
670	lysis. After centrifugation and the removal of cell debris, cell lysates were collected and
671	an aliquot (1/10 volume) was used for the detection of HA-NTCP (input; upper panel),
672	Myc-KIF4 (Input; middle panel), and $\beta$ -actin (loading control) (Input; lower panel) by

673	immunoblotting. The remaining cell lysates (9/10 of the original volume) were subjected
674	to pull-down via incubation with pre-washed SA beads for 2 h at 4°C; after being washed,
675	the biotinylated surface proteins were eluted and subjected to western blotting in order to
676	detect surface HA-NTCP (Surface; upper panel) and CDH-1 (loading control for surface
677	fraction) (Surface; lower panel) with the respective antibodies. (C) HepG2-hNTCP were
678	transfected with si-KIF4 3' UTR and Myc-KIF4 WT (upper panel) or motor dead mutant
679	(lower panel) plasmid vectors; at 3 days post-transfection, the cells were fixed,
680	permeabilized, stained with the indicated antibodies, and visualized by confocal
681	microscopy. Green, red, and blue signals represent the staining of NTCP, Myc-KIF4, and
682	nuclei, respectively. The arrow heads show NTCP localization in the WT or ATPase-null
683	KIF4 transfected cells. (D) HepG2-hNTCP were transfected with si-control or si-KIF4 3'
684	UTR for 48 h; the cells were then lysed and the total RNA content was extracted and the
685	KIF4 expression levels were quantified by RT-qPCR and normalized to the expression of
686	ACTB; or (E) the cell viability was examined using XTT assay. Data are presented as
687	fold changes, relative to those of the control siRNA-transfected cells. All assays were
688	performed in triplicate and data from 3 independent experiments were included. The data
689	were pooled to assess the statistical significance. Data are presented as mean $\pm$ SD. ***,
690	P < 0.001; NS, not significant.

## 691 FIG 6

## 692 Interaction of KIF4 and NTCP over microtubule filaments.

693 (A) HepG2-hNTCP were transfected with a Halo-tagged KIF4 expression vector or empty

694	vector (control). At 48 h post-transfection, the cells were incubated with Halo-tag TMR
695	ligand for 15 min at 37°C; after being washed, cells were fixed, and permeabilized. The
696	cells were stained with antibodies against NTCP and $\alpha$ -tubulin, as indicated in the
697	Materials and Methods section and examined by confocal microscopy. Blue, green, red,
698	and purple signals indicate nuclear, NTCP, KIF4, and microtubular ( $\alpha$ -tubulin) staining,
699	respectively (upper panel). White arrows indicate colocalization signals of NTCP and
700	KIF4 over microtubule filaments. The middle panel shows two irrelevant lines crossing
701	different regions of interest within the overlay pattern and represented by their
702	corresponding colocalization signal intensity charts (lower panel). (B) HEK293-FT cells
703	were co-transfected with HA-NTCP, and Myc-KIF4 plasmid vectors (at a ratio of 1:1).
704	At 3 days post-transfection, the cells were lysed and an aliquot of the cell lysate (1/10
705	volume) was used for the detection of Myc-KIF4 (Input; upper panel), HA-NTCP (Input;
706	<i>middle panel</i> ), and $\beta$ -actin (loading control) ( <i>Input; lower panel</i> ) by immunoblotting. The
707	remaining cell lysates (9/10 of the original volume) were subjected to co-IP using either
708	isotype control antibody or anti-Myc IgG to pull down Myc-KIF4. Following IP, each

sample was analyzed by immunoblotting for Myc-KIF4 (*IP; upper panel*) and coimmunoprecipitated HA-NTCP (*IP; lower panel*). All assays were performed in triplicate
and data from 3 independent experiments were included.

712 FIG 7

713 RXR agonists suppressed KIF4 mediated surface NTCP transport, blocked HBV 714 entry, and inhibited HBV/NL infection in HepG2-hNTCP. (A) HepG2-hNTCP 715 pretreated with DMSO, 10 µM of the indicated compounds (Bexarotene, ATRA, 716 Tamibarotene) (upper panel), or alitretinoin (10 µM) (lower panel) for 72 h were 717 incubated with TAMRA-labeled preS1 peptide (preS1 probe) for 30 min at 37°C and then 718 examined by fluorescence microscopy. DMSO-treated cells were incubated with a preS1 719 probe either in the absence (negative control) or presence (positive control) of 100 nM 720 Myrcludex B (Myr-B); Red and blue signals indicate preS1 probe and the nucleus, 721 respectively. (B) HepG2-hNTCP were treated with DMSO or 10 µM Bexarotene for 72 722 h, the cells were then surface biotinylated or PBS treated at 4°C for 30 min prior to cell 723 lysis, the cell lysates were collected and an aliquot (1/10 volume) was used for detection 724 of NTCP protein (input) (Input; upper panel) and  $\beta$ -actin (loading control) by 725 immunoblotting (Input; lower panel). The remaining cell lysates (9/10 of the original 726 volume) were subjected to pull-down via incubation with pre-washed SA beads for 2 h at

727	4°C; after washing, the biotinylated surface proteins were eluted and subjected to western
728	blotting to detect the surface NTCP (Surface; upper panel) and CDH-1 (loading control
729	for surface fraction) (Surface, lower panel) with the respective antibodies. (C) HepG2-
730	hNTCP cells treated with DMSO or 10 $\mu$ M Bexarotene for 72 h were lysed and total cell
731	lysates were subjected to immunoblotting to detect the protein expression levels of KIF4
732	( <i>upper panel</i> ), FOXM1 ( <i>middle panel</i> ), and $\beta$ -actin (loading control) ( <i>lower panel</i> ) with
733	their corresponding antibodies. (D) HepG2-hNTCP were pretreated with DMSO or 10
734	$\mu M$ Bexarotene for 72 h; then DMSO and Bexarotene were withdrawn from the culture
735	medium 3 h before HBV/NL inoculation, and the cells were inoculated with the HBV/NL
736	reporter virus for 16 h. DMSO-pretreated cells were concomitantly treated with or without
737	100 nM Myr-B during HBV/NL inoculation. At 8 dpi, the cells were lysed, luciferase
738	assays were performed, and NL activity was measured, and then plotted as fold changes,
739	relative to the values of control DMSO-pretreated cells. (E) HepG2-hNTCP were exposed
740	to DMSO or different concentrations of Bexarotene (1 $\mu M,$ 10 $\mu M,$ and 20 $\mu M)$ for 72 h;
741	cell viability was then evaluated by XTT assay. All assays were performed in triplicate
742	and data from 3 independent experiments were included. The data were pooled to assess
743	the statistical significance. Data are presented as mean $\pm$ SD. ***, $P < 0.001$ .
744	FIG 8

745	Bexarotene pretreatment significantly suppressed HBV and HDV infections in
746	primary human hepatocytes (PHH). (A) A schematic representation showing the
747	protocol used for Bexarotene treatment and subsequent HBV infection in PHH; PHH
748	were pretreated with DMSO or different concentrations of Bexarotene (1 $\mu M,$ 10 $\mu M,$ 20
749	$\mu M,$ and 50 $\mu M)$ for 72 h, DMSO and Bexarotene were withdrawn from the culture
750	medium 3 h before HBV infection, and the cells were inoculated with HBV particles at
751	1,000 GEq/cell in the presence of 4% PEG8000 for 16 h. DMSO-pretreated cells were
752	concomitantly treated with or without 100 nM Myr-B during HBV inoculation. After
753	being washed, the cells were cultured for an additional 15 days. (B) HBsAg secreted into
754	the culture supernatant was quantified by ELISA, and the data were presented as fold
755	changes relative to the values of control DMSO-pretreated cells. (C) Cell viability were
756	measured by the XTT assay. ( <b>D</b> ) A schematic diagram showing HBV infection protocol;
757	PHH were treated with 15 $\mu$ M Bexarotene at different time schedule (pre, co, post, and
758	whole) as shown in the figure. The cells were inoculated with HBV at 1,000 GEq per cell
759	in the presence of 4% PEG8000 for 16 h. Bexarotene non-treated cells were
760	concomitantly incubated with or without 100 nM Myr-B during HBV inoculation. After
761	washing out the free virus particles, the cells were cultured for an additional 11 days. (E)
762	HBc protein in the cells was detected by immunofluorescence. Red and blue signals depict

41

763	the staining of HBc protein and nucleus (dapi), respectively and HBc fluorescence
764	intensities are shown in Panel (F). (G) PHH pretreated with DMSO or Bexarotene (50
765	$\mu M)$ for 72 h were inoculated with the HDV at 40 GEq/cell in the presence of 5%
766	PEG8000 for 16 h. DMSO-pretreated cells were concomitantly treated with or without
767	100 nM Myr-B during HDV inoculation. After washing out the free virus particles, the
768	cells were cultured for an additional 6 days and then lysed; RNA was then extracted and
769	HDV RNA was quantified by RT-qPCR. The data are presented as fold differences
770	relative to those of the control DMSO-pretreated cells. All assays were performed in
771	triplicate and data from 3 independent experiments were included. The data were pooled
772	to assess the statistical significance. For panels (D and G), the assay was performed in
773	triplicate, and data from 2 independent experiments were pooled. Data are presented as
774 775	mean ± SD. *, <i>P</i> < 0.05; **, <i>P</i> < 0.01; ***, <i>P</i> < 0.001.
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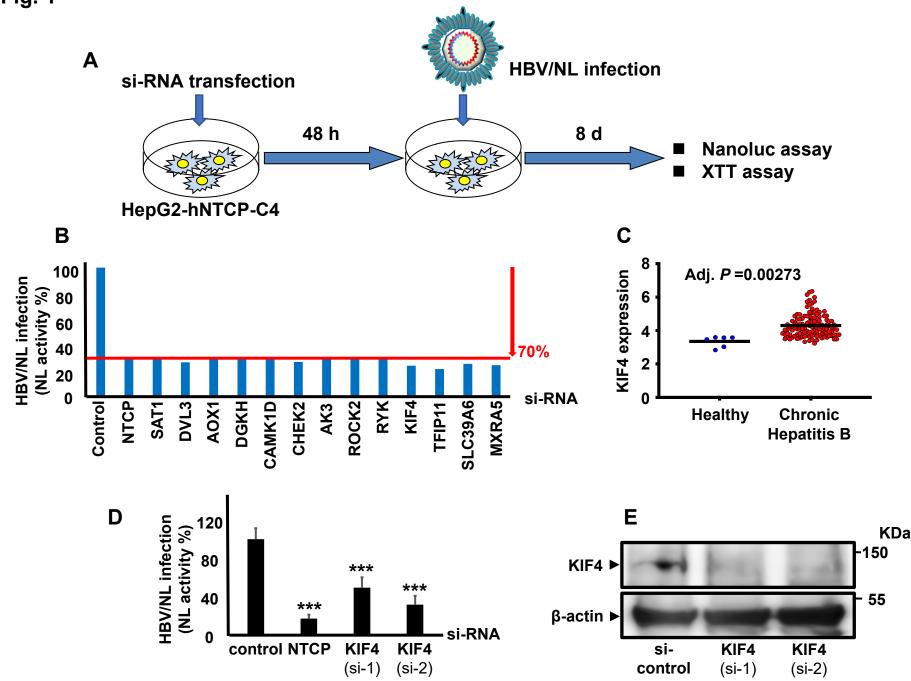
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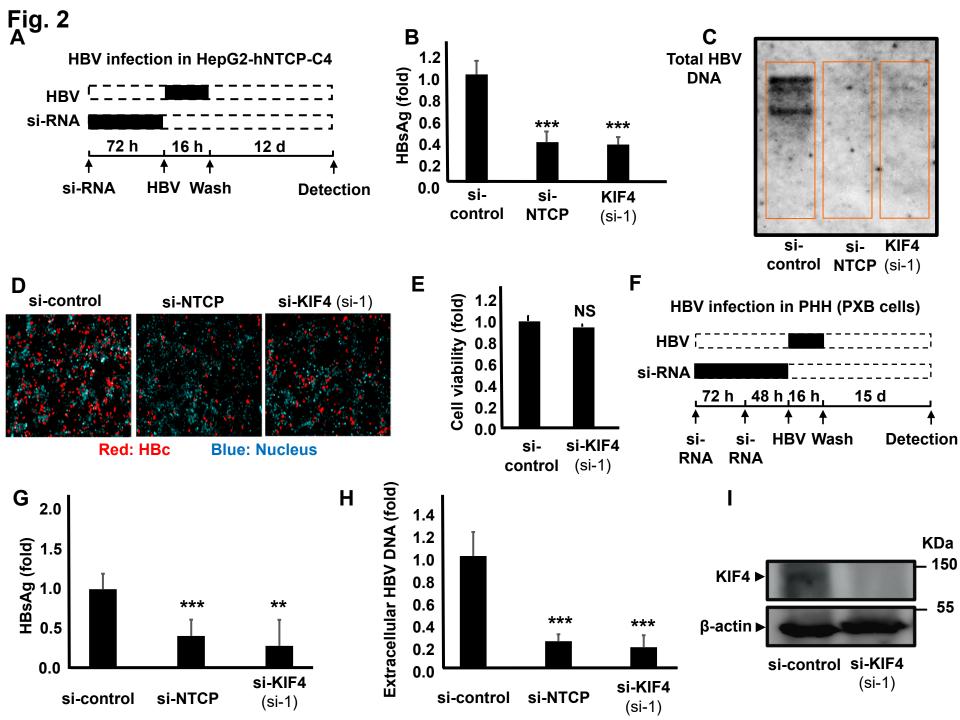
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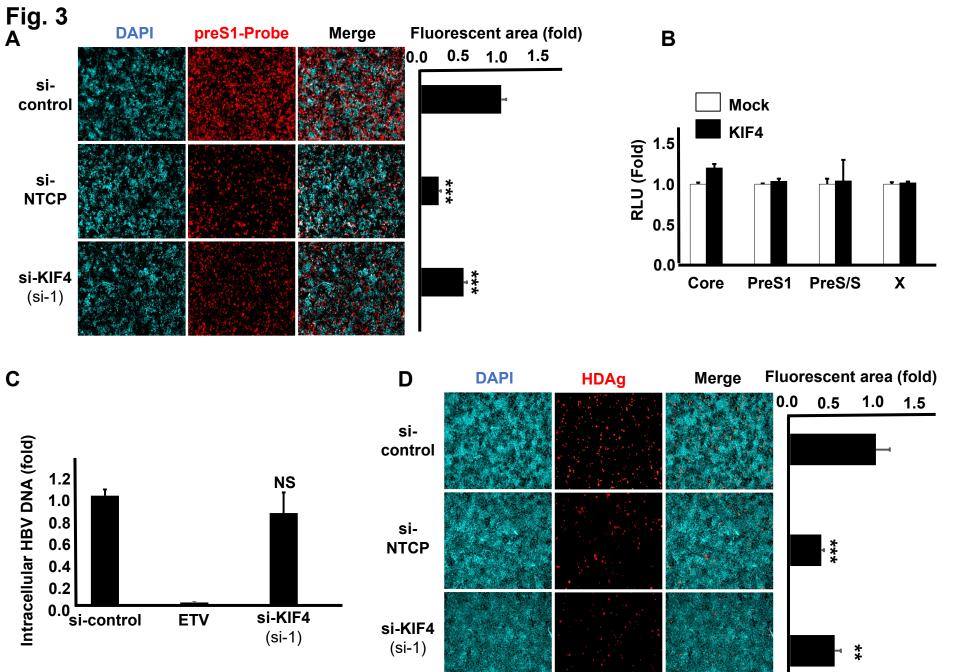
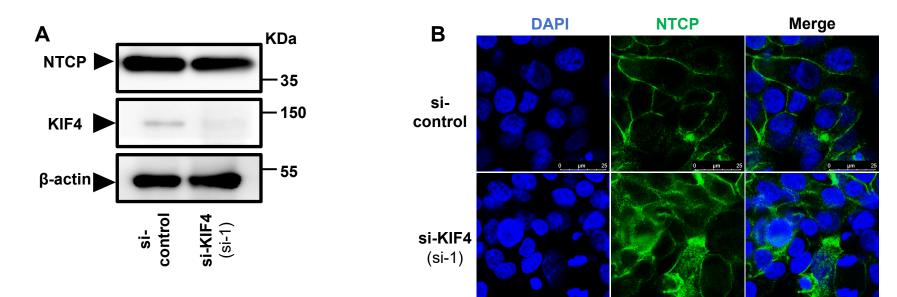
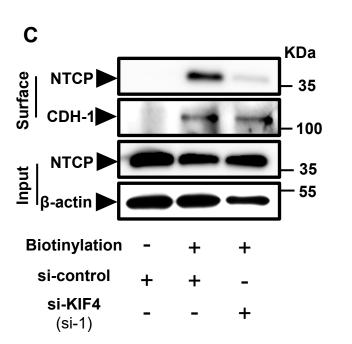
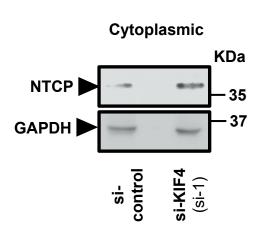


Fig. 4

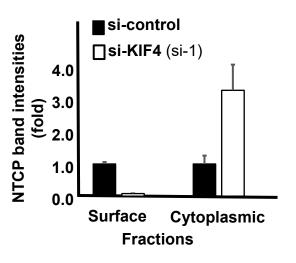


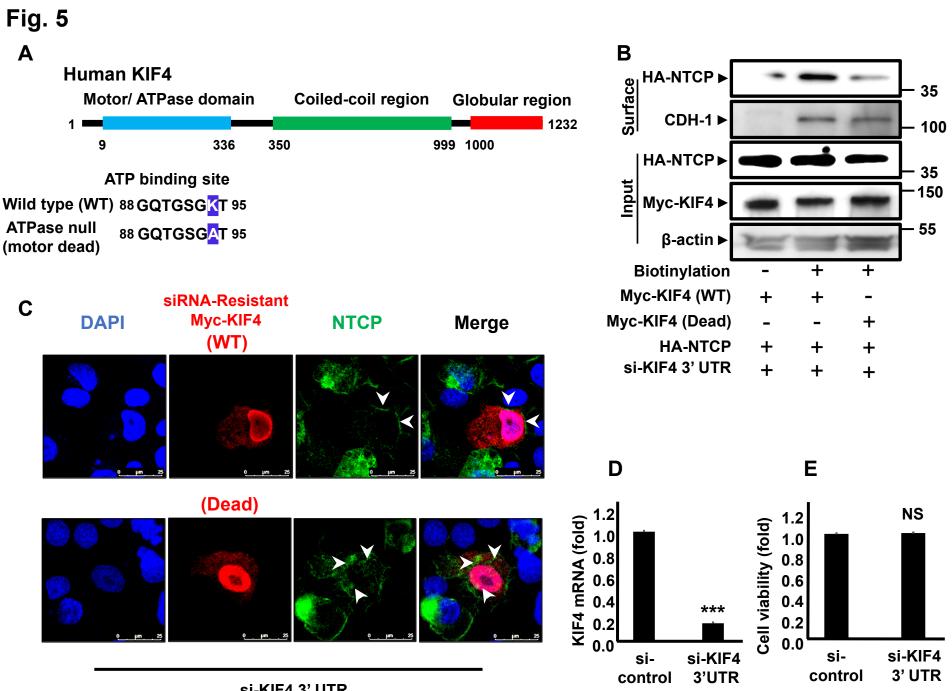






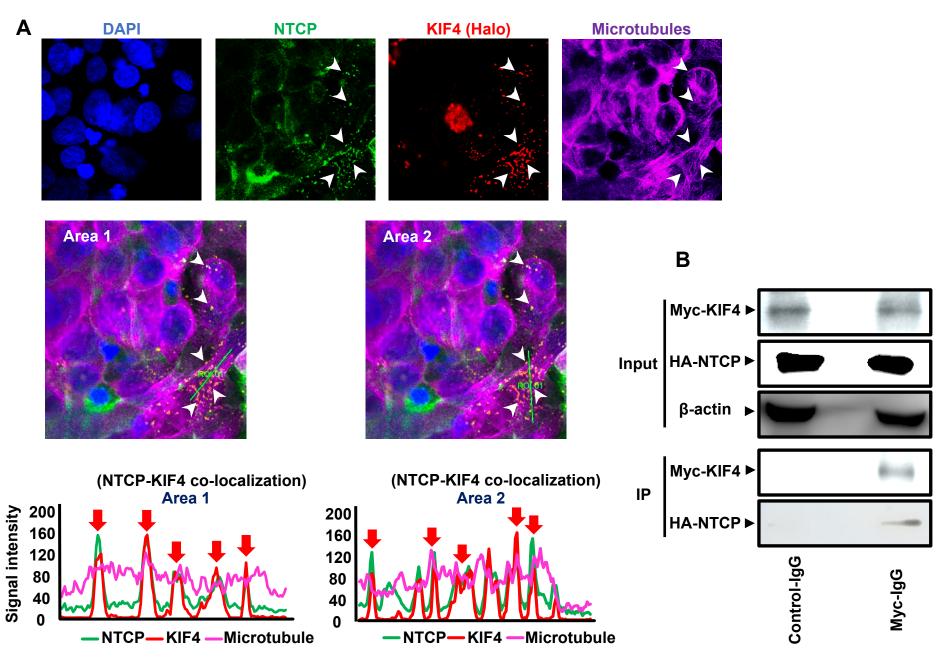






si-KIF4 3' UTR

Fig. 6



**Fig. 7** 

