Cost-effective, high-throughput phenotyping system for 3D reconstruction of fruit form

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Reliable phenotyping methods that are simple to operate and inexpensive to deploy are critical for studying quantitative traits in plants. Traditional fruit shape phenotyping relies on human raters or 2D analyses to assess form, e.g., size and shape. Systems for 3D imaging using multi-view stereo have been implemented, but frequently rely on commercial software and/or specialized hardware, which can lead to limitations in accessibility and scalability. We present a complete system constructed of consumer-grade components for capturing, calibrating, and reconstructing the 3D form of small-to-moderate sized fruits and tubers. Data acquisition and image capture sessions are 9 seconds to capture 60 images. The initial prototype cost was \$1600 USD. We measured accuracy by comparing reconstructed models of 3D printed ground truth objects to the original digital files of those same ground truth objects. The R^2 between length of the primary, secondary, and tertiary axes, volume, and surface area of the ground-truth object and the reconstructed models was > 0.97 and root-mean square error (RMSE) was <3mm for objects without locally concave regions. Measurements from 1mm and 2mm resolution reconstructions were consistent ($R^2 > 0.99$). Qualitative assessments were performed on 48 fruit and tubers, including 18 strawberries, 12 potatoes, 5 grapes, 7 peppers, and 4 Bosch and 2 red Anjou pears. Our proposed phenotyping system is fast, relatively low cost, and has demonstrated accuracy for certain shape classes, and could be used for the 3D analysis of fruit form.

Image Based Phenotyping | 3D Fruit Phenotyping | High-throughput Phenotyping | 3D Model Reconstruction | Camera Calibration | Computer Vision Correspondence: *mjfeldmann@ucdavis.edu*

Introduction

Fruit appearance is a key trait for many crops and can condi-2 tion market viability of fruit products and the success of culз tivars (1-3). Taken together, the shape and color, or appear-4 ance, of fresh fruit are often associated with quality as they 5 reveal condition and impact consumer perception of taste (1). 6 Fruit shape is a heritable, complex trait that is difficult to assess due to the complex nature of data acquisition, which can 8 be both time consuming and computationally laborious (4-9 11). Fruit shape in agricultural studies have primarily been 10 assessed subjectively, placing fruit into qualitative bins rang-11 ing from 'deformed' to 'uniform' or by using 2D geometric 12 morphometrics (4, 7, 12–19). 13 Publicly available methods for 2D phenotyping of plants and 14 plant organs have increased in recent decades to support high 15

plant organs have increased in recent decades to support high
 quality analysis of leaves, roots, shoots, stems, tubers, and
 fruits (6, 20–27). Computer vision has shown great potential
 to quantify external fruit quality and 2D imaging has been

successfully implemented to measure the shape and size of 19 fruits such as strawberries (4, 12), apples (5), carrot (6, 14), 20 mangoes (28), and many others. More recently, methodolo-21 gies for 3D reconstruction of plant organs have been devel-22 oped with approaches that vary in speed, scale, cost, and ac-23 curacy; including laser scanners, x-ray computed tomogra-24 phy, and reconstruction from sequences of 2D images from 25 digital cameras (8, 29-41). Methods that rely on sequences 26 of 2D images are numerous and variable with their own com-27 plexities and nuances that provide different strengths and 28 weaknesses(8, 27, 37, 40–44). 29

Modern technologies and analyses can be used to assess these 30 physical characteristics and ultimately provide researchers 31 with the tools necessary to support genetic inquiries and bi-32 ological discoveries, expand what is known about modern 33 germplasm, and enhance breeding practices in fruit and veg-34 etable crops (4, 6, 8, 45-52). Multivariate and spatial statis-35 tics can be used to determine parameters that identify and 36 quantify fruit defects (53), differentiate between marketable 37 and non-marketable fruit (12, 50), and understand fruit phe-38 notypes that impact markets requiring long shelf-life and sus-39 tained fruit quality through harvesting, handling, and ship-40 ping. 41

This paper describes a rapid (9 s), low-cost (\$1,600), 42 turntable-type system for 3D reconstruction of fruit and tu-43 bers. Fruit rotates on an automated pedestal while a remote-44 controlled digital camera acquires images, as shown in Figure 45 1. We use a multi-camera calibration method (54) to compute 46 the calibration parameters of the camera at every time step. 47 Fruit are segmented from non-fruit regions in the images. Fi-48 nally, a reconstruction method using silhouettes as features 49 (55) reconstructs the fruit or vegetable shape using the cali-50 bration and silhouette information (Figure 2). 51

Contributions. Our contributions to the state-of-the-art in 52 fruit phenotyping and estimating 3D reconstructions are a 53 high-throughput (9 second data acquisition), modular recon-54 struction system that can be used in lab or field settings (on 55 a table) with high accuracy for objects that do not have lo-56 cal concavities. Our work is most similar to that of (40) and 57 (8). In (40), a turntable system is used and the cameras rotate 58 around the target object, rice inflorescences. Relative cam-59 era calibration parameters are estimated by detecting features 60 and estimating matches from color checkerboard pages and a 61 structure from motion approach generates point clouds. This 62 approach works well for the target crop, but has an unknown 63

scale factor that is not solved for. Consequently, the physi-64 cal units such as a mm or cm are unknown, and comparison 65 with another system of a different size may be difficult. In 66 our system, we calibrate directly from patterns in the scene, 67 so the physical size of the sample is estimated. The work of 68 (8) uses a turntable system in the configuration that we do, 69 where the fruit is rotating and the camera is stationary, to ac-70 quire images for 3D reconstruction. They use a commercial 71 software package to reconstruct point clouds, and then pro-72 cess point clouds to extract fruit features. Our work differs 73 from both of these in that we use silhouettes and directly use 74 voxel representations instead of point clouds. 75

76 Core Ideas

- A low-cost 3D fruit phenotyping system is presented.
- Image capture using the proposed approach lasts for only 9 seconds.
- Accuracy is measured against 3D printed ground-truth
 objects.
- Camera calibration, background segmentation, and reconstruction does not rely on commercial software.
- An RMSE less that 3mm was obtained for ground truth
- 85 objects without locally concave regions.

Materials and Methods

The 3D phenotyping system consists of multiple parts: the 87 physical system for data acquisition and the algorithms for 88 reconstructing shape from that data. Briefly, one or multiple 89 digital cameras are mounted on an aluminum frame and re-90 motely triggered at a frame rate of 7 frames per second (FPS) 91 for 9 seconds while a stepper motor controlled by a micro-92 controller rotates an object. Captured images are calibrated 93 using CALICO, a multi-camera calibration method (54) that 94 relies on a combination of arUco and chArUco markers (56-95 58). The fruit foreground is segmented from the non-fruit 96 background in each calibrated image. Segmented silhouettes 97 of fruit are then used as features to reconstruct 3D models. 98

Hardware. The physical system is composed of an aluminium frame, digital cameras and camera control units, a
 USB barcode scanner, a microcontroller, and a microcomputer.

Frame. The frame's design is an inverted "T" shape struc-103 ture with a 1.22m (4ft) horizontal base and a 1.22m vertical 104 arm (Figure 1). The main structure is composed entirely of 105 80/20 t-slotted aluminum. We chose this material because it 106 is lightweight, strong, and inexpensive. The t-slot design and 107 the availability of different fasteners provides rigidity while 108 remaining modular. The arm is connected to the base using a 109 side-mount and hand brake. The side-mount and hand-brake 110 combination means that the vertical arm can be positioned 111 anywhere along the length of the horizontal base. The cam-112 era is mounted to the vertical arm using the same side-mount 113 and hand brakes, again allowing it to be positioned continu-114 ously along the vertical span of the arm. 115

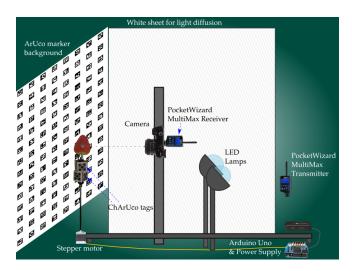


Fig. 1. Imaging system hardware. From left to right: the arUco marker backdrop; a stepper motor with a metal pedestal, chArUco tagged cubes, and a target object, a strawberry; the 80/20 t-slotted aluminum inverted T (\perp) frame; a digital camera is mounted on the vertical limb of the frame and attached to a PocketWizard Multi-Max II radio transceiver; reverse facing LED light sources; Arduino microcontroller connected to stepper motor and power supply. Best viewed in color.

Cameras and controllers. We used one Sony α 6000 mirror-116 less digital camera for this project. The camera was set to 117 medium speed continuous image capture (7 frames per sec-118 ond), manual focus, and aperture priority mode with the aper-119 ture set to f/8. We controlled the camera with a PocketWiz-120 ard MultiMax II transceiver unit. These units attach to the 121 camera's multi-port and digitally control the camera's shutter 122 button and can be programmed to "hold" the shutter button 123 to allow for variable duration. We used 9 seconds of hold 124 to match the rotation rate of our stepper motor. Two Pock-125 etWizard MultiMax II units are required: one unit to transmit 126 a signal and one unit to receive a control signal for multiple 127 cameras. With these, the camera is controlled from a single 128 source which is triggered by the input of a barcode scanner. 129

Microcomputers and stepper motor. The data acquisition 130 process consists of rotating the fruit on the pedestal and ac-131 quiring images of that fruit. To automate this process, we 132 used a Raspberry Pi 3 microcomputer as well as an Ar-133 duino Uno Rev3 microcontroller. To rotate the objects on 134 the pedestal, we used a Nema 17 stepper motor controlled us-135 ing an Arduino Uno Rev3 and an Arduino Rev3 motor shield. 136 The pedestal is a thin metal rod approximately 20cm in length 137 and 5mm in diameter. The Nema 17 stepper motor has 200 138 steps per rotation $(1.8^{\circ} \text{ per step})$. The motor is programmed 139 to take 1 step every 45ms, which is a full rotation every 9 140 seconds. 141

Lighting. We used 4 LED lamps to illuminate the scene. 142 These lights are all directed away from the object towards 143 a reflective white sheet to reduce the intensity of the light 144 on the scene. This enabled us to dramatically reduce, and in 145 some instances eliminate, the glare on the surface of more 146 reflective objects such as strawberries. The lights chosen do 147 not have any temperature control and are likely not ideal for 148 color accurate measurements. 149

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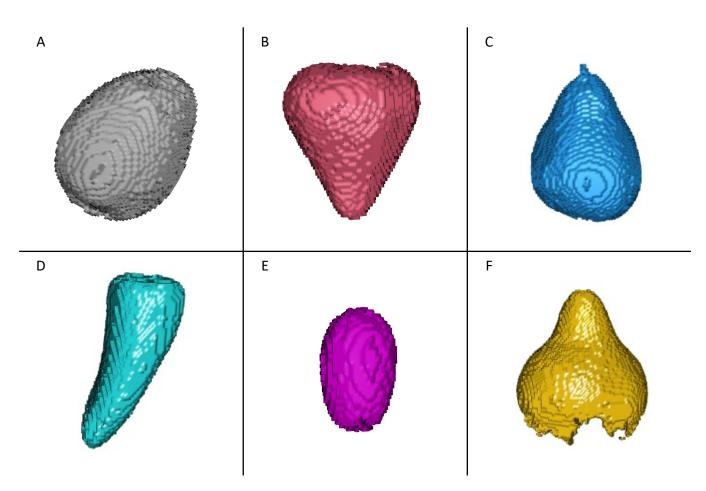


Fig. 2. Representative model reconstructions of the six types of fruit or tubers imaged in this study. The resulting 3D reconstructed models from the hardware and software presented in this study, including: (A) a baby yellow potato, (B) a strawberry, (C) a Bosch pear, (D) a sweet mini pepper, (E) a green table grape, and (F) an Anjou pear where the segmentation failed All models shown are at 1mm resolution. Images are not to scale. Best viewed in color.

Calibration Targets. Calibration is performed on image data 150 that also contains the data for reconstruction. To accomplish 151 one-step calibration and data acquisition, the workspace is 152 prepared with calibration targets, which are shown in Figure 153 1. The fruit or tuber is mounted on the pedestal. A pair of 154 offset cubes are mounted on the pedestal directly below the 155 fruit or tuber (56). Each cube is 2.5 cm $\times 2.5$ cm $\times 2.5$ cm with 156 a small hole in the center for mounting onto the pedestal, and 157 are rigidly attached. 158

On the cube faces without holes, chArUco markers are printed and attached to the cubes. The chArUco patterns are a 3×3 checkerboard with each square unit measuring 6.67mm $\times6.67$ mm. The two cubes have eight faces with chArUco patterns on them, and multiple cube faces should be visible in any frame providing enough information such that the calibration method CALICO can compute camera poses.

We use a scene background, a $0.71m^2$ (26in²) aluminum 166 panel, composed of arUco markers (57, 58). This type of 167 background allows us to refine internal camera calibration 168 parameters using the multi-camera calibration method, CAL-169 ICO. Each arUco marker is 2.25cm² and adjacent markers 170 are separated by 2.75cm of white space. Each image con-171 tains between 60-70 unobscured arUco markers, depending 172 on the size of the object. 173

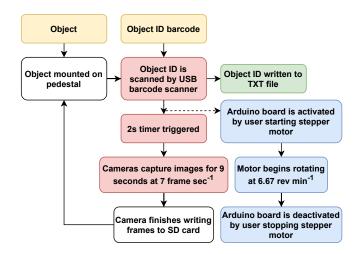


Fig. 3. Flow diagram of data acquisition strategy and steps. Input (yellow): A physical object with an associated barcode ID, e.g., QR code or data matrix. Staging (white): The object is placed on the pedestal. Camera Triggering (red): The object ID barcode is scanned using a USB barcode scanner attached to a Raspberri Pi, starting a 2 second timer before triggering the cameras for 9 s at 7 FPS. Intermediate output (green): The scanned barcode is written into a TXT file. Motor control (blue): During the 2 second timer, the Arduino board is activated by supplying power to the board initiating rotation of the object at 6.67 RPM (1 revolution every 9 seconds). Once the image capture is complete, the user deactivate the Arduino board, stopping the motor. Staging (white): The camera will need upwards of 15 seconds to finish writing the images to storage, during which time the next object can be staged on the pedestal, initiating the following session. During this process, the user is responsible for mounting the objects to the pedestal, scanning the barcode ID, and activating/deactivating the stepper motor. Best viewed in color.

Data Acquisition control. For every object, the Arduino board 174 is first activated by the user, who starts the stepper motor's 175 rotations. The cameras are triggered once the motor begins 176 to rotate using a barcode scanner and a custom python script 177 that sends a serial signal through the general-purpose input 178 output (GPIO) of the Raspberry Pi to the transmitter unit. 179 The cameras stop firing after 9 s and Arduino board is de-180 activated manually. Including swapping objects, the time for 181 each sample is less than 25 s. In this time period, each cam-182 era captures approximately 60 frames during one complete 183 rotation of the object. Following each session, the camera 184 must be allowed to clear its on-board cache and to write the 185 images to an SD card. Depending on the camera and the SD 186 card, write speeds may vary. In our setup, this typically took 187 about 10 s. 188

Reconstruction Pipeline. The 3D reconstruction pipeline
 consists of three stages: calibration, segmentation, and re construction. In this work, the stages consist of independent
 modules but they have been selected based on the assumptions of the reconstruction module.

We use a Shape from inconsistent Silhouette method from 194 Tabb (2013) (55) that requires camera calibration information 195 and uses silhouettes - or segmentations - of the target object 196 to generate reconstructions. This reconstruction method tol-197 erates calibrations with small camera calibration error and 198 small image segmentation error. The methods used for cali-199 bration and segmentation are discussed in the Calibration and 200 Segmentation sections, respectively. 201

Calibration. The reconstruction method depends on camera
 calibration. Camera calibration usually means the internal
 camera parameters as well as the external pose (rotation and
 translation) of cameras relative to a world coordinate system.
 In the context of this work, by 'computing the calibration,' we
 mean determining the internal camera parameters as well as

the external pose of the camera with respect to the calibration object at each image acquisition.

We use an existing method for multiple-camera calibration, 210 CALICO (54), to compute the desired calibration parame-211 ters. To use CALICO in this context, chArUco tags have to 212 be rigidly attached to the pedestal and multiple tags visible at 213 each time instant, which is why the physical system is pre-214 pared as in the Hardware section. Some datasets had signif-215 icant error in the camera pose because not enough chArUco 216 tags were detected in each frame, so we extended the 'ro-217 tation' option of CALICO to also detect and use the arUco 218 corners within the chArUco boards. 219

A successful calibration estimates internal camera calibration 220 parameters, selects one of the chArUco boards as a world co-221 ordinate system, and estimates the relative pose of the cam-222 era at each image acquisition with respect to that world co-223 ordinate system. For instance, see Figure 4, which shows an 224 example of an input image, (Figure 4(A)) and the chArUco 225 markers below the pedestal. The reconstructed chArUco 226 board poses, camera poses at each image acquisition, and a 227 reconstructed strawberry are shown in Figure 4(C). 228

Segmentation. The reconstruction method depends on seg-229 mented images, where the fruit, tuber, or ground truth object 230 is separated from the image background as in Figures 4A-231 4B and 6A-6B. The backgrounds consist of arUco tags and 232 the pedestal with chArUco tags. With this background, con-233 sisting of dark and light intensities, we took an approach of 234 modelling the actual intensities of the calibration tags per im-235 age as a Gaussian Mixture Model with two components, and 236 then used background subtraction to determine the location 237 of target objects. 238

First, the arUco tags are located in the image. Then, the 239 dark and light regions of each tag are identified using Otsu's 240 segmentation algorithm (59). The dark and light regions of 241 all of the tags are used to estimate six Gaussian distribu-242 tions (masks are shown in Figure 6C and 6D): $\mathcal{N}_{d,r}(\mu, \sigma^2)$, 243 $\mathcal{N}_{d,g}(\mu,\sigma^2), \mathcal{N}_{d,b}(\mu,\sigma^2)$, the distributions representing the 244 dark intensities for red, green, and blue channels, and the 245 same for all three channels of the light intensities. 246

Each image pixel x is evaluated against the distributions as 247 in Equation 3. We use a typical background subtraction tech-248 nique in that we subtract the mean and compare with a thresh-249 old; here the threshold is a constant multiplied by the stan-250 dard deviation. The user provides constants k_d and k_l , and 251 from the distributions computes Boolean values y_d and y_l 252 for each pixel x. The segmentation result of whether the 253 pixel represents the background (0) or not (1) is stored in 254 $z = y_l \wedge y_d$. 255

$$y_d = \bigvee_{ch \in \{r,g,b\}} |\mu_{d,ch} - x_{ch}| > k_d \sigma_{d,ch}$$
(1)

$$y_l = \bigvee_{ch \in \{r,g,b\}} |\mu_{l,ch} - x_{ch}| > k_l \sigma_{d,ch}$$
(2)

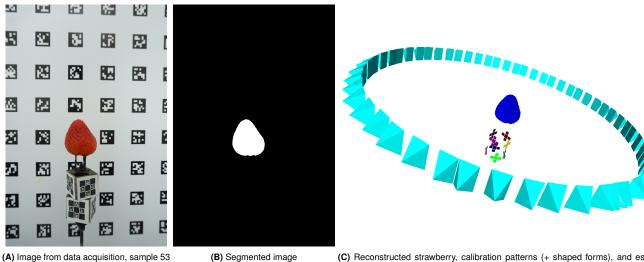
$$z = y_l \wedge y_d \tag{3}$$

256

In our experiments, $k_d = 2.0$ and $k_l = 2.5$ for all tests.

Reconstruction. We used a Shape from Inconsistent Silhou-257 ette (SfIS) method (55) for 3D reconstruction of the plant or-258 gans and ground truth objects. With camera calibration and 259 segmentation or silhouette provided, SfIS is a voxel-based 260 method that searches for a labeling of voxels as occupied 261 or empty such that the voxels match the provided segmen-262 tations. The match does not need to be exact, so some small 263 camera calibration and segmentation errors can be present. 264 A key feature of the SfIS method is that it will not recon-265 struct concavities in 3D space. As examples of these types 266 of shapes, the tetrahedron, sphere, and F ground truth objects 267 (Figure 7A-7C) can all be reconstructed because they do not 268 contain concavities, while the 6-sided spherical die cannot 269 (Figure 7D). The stem or calyx region of an apple is also an 270 example of a locally concave region on a surface. The reason 271 that the SfIS method is not able to reconstruct locally con-272 cave regions if because of its dependence on segmentations 273 as features. 274

We use the extension to SfIS of hierarchical search described ²⁷⁵ in (60); the user specifies an initial voxel size, finds a solution ²⁷⁶



(C) Reconstructed strawberry, calibration patterns (+ shaped forms), and estimated camera poses.

Fig. 4. An example of successful camera calibration results. Overview of image data and results. Images of fruit and calibration objects are captured while the pedestal rotates (a). Each image is segmented (b). The calibration and segmentation information is used to reconstruct the fruit shape, 1 mm voxel resolution shown in (c). A camera pose is represented as a pyramid, where the camera center is the tip of pyramid. Best viewed in color.

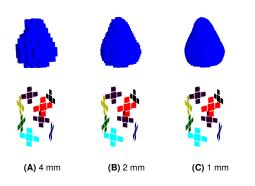


Fig. 5. Reconstructions of a strawberry at 3 different resolutions. Strawberry reconstruction from Figure 4 during the hierarchical reconstruction process, with estimated calibration pattern positions below. The SfIS reconstruction method starts at a large voxel resolution (here, 4 mm), and refines the reconstruction at finer resolutions using the prior level's results. **Best viewed in color.**

with SfIS, divides the voxel size by eight and continues with 277 search with SfIS, using the previous voxel size's result as an 278 initial solution. In this work, we performed experiments on 279 all of the samples with two different parameter sets. In the 280 first, the initial voxel size is 4 mm, the number of voxel divi-281 sions is two, and the final voxel size is 2 mm. In the second 282 set of experiments, the initial voxel size is 2 mm, the number 283 of voxel divisions is two and the final voxel resolution is 1 284 mm. An additional parameter is the factor that the input 285 image is resized down, that value is 4 for both experiments. 286 The initial image size is 6000 by 4000 pixels. 287

Experiments. We focus on two primary experiments. The first is to quantitatively measure and evaluate our 3D model reconstructions against objects with a known shape. These objects with a known shape are the ground truth samples, with 3D model files that are 3D printed. The second experiment is to qualitatively assess the system's ability to reconstruct various fruit models across different scales. We recon-

structed the objects in both experiments at 1mm and 2mm resolution.

Ground Truth Samples. Fruit form, especially in 3 dimen-297 sions, can be difficult to quantify. To assess the accuracy of 298 our system, we selected shapes for which we had 3D model 299 files, printed those files, and then reconstructed the models 300 from image data with the phenotyping system. Through this 301 process we can characterize the performance of our method 302 on reconstructing different shape types with durable objects 303 versus individual fruit measurements, where the fruit decays 304 quickly and the human-made measurement cannot be pre-305 cisely replicated. 306

A motivation for using 3D printed objects is to have a way to 307 quantitatively assess the performance of the phenotyping sys-308 tem, with a durable artifact that can be stored indefinitely and 309 re-printed and/or scaled if needed. Since we have the origi-310 nating 3D model file, we can compare the reconstruction and 311 the ground truth object in ways that human-made measure-312 ments are unable to, by assessing differences in surface area 313 and volume. This is in contrast to measurements on fruits 314 or tubers that will not persist past a single session and may 315 suffer from measurement error. 316

We identified 4 digital objects from Thingiverse (https: 317 //www.thingiverse.com) that had good representa-318 tion of many different shapes that are both common and un-319 common in 3D biological structures, such as fruit and tubers: 320 convex regions, saddle regions, and locally concave regions, 321 shown in Figure 7. We scaled these 4 objects prior to printing 322 so that we would have different size representations. We 3D 323 printed these 11 object×scale stereolithography (STL) for-324 mat files (61-64) using a commercial-grade 3D printer. The 325 3D printed objects were then imaged in our system and re-326 constructed from the 2D images. 327

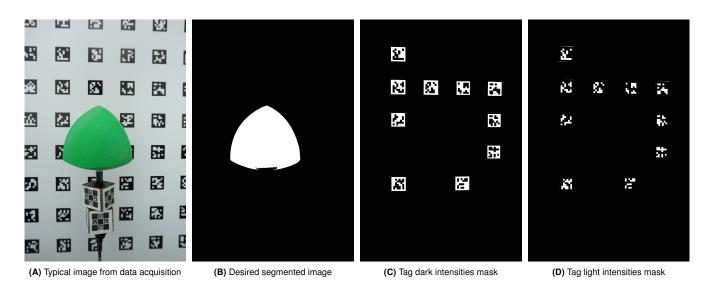


Fig. 6. An example of successful background segmentation. Segmentation process from sample 1, one of the 3D printed objects. arUco tags are detected in individual images in the data acquisition step (a). Background subtraction generates the segmented image (b). Individual tags are segmented to separate the dark (c) and light (d) intensities; these regions are used to model the background. Notice a small segmentation error from shadow on the bottom of the tetrahedron. See text for more details. Best viewed in color.

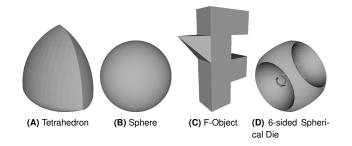


Fig. 7. Ground truth objects. The four classes of ground truth objects used in this study. The 3D model files were used to print out physical copies, which were then imaged with our phenotyping system and reconstructed. 7A, 7B, and 7C can all be reconstructed with our system because they do not have locally concave regions, while the depressions in 7D cannot.

Quantitative Analysis. Once the ground truth objects are 328 reconstructed, some postprocessing is done to align the re-329 constructed 3D model with the digital ground truth 3D model 330 files. Specifically, we used R 4.1.0 (65) to perform quanti-331 tative comparisons between ground-truth objects and recon-332 structed models with the packages Morpho and Rvcg (66), rgl 333 (67), Lithics3D (68), and mesheR (69). The reconstructed 334 models are in Polygon (PLY) file format and were read us-335 ing Rvcg::vcgPlyRead(). STL objects, e.g., the ground-truth 336 objects, were read using rgl::readSTL() and converted to 337 mesh3d using rgl::as.mesh3d(). The mesheR::icp() func-338 tion was used to perform the iterative closest point algorithm 339 between the reconstruction and the ground-truth triangular 340 meshes, with 100 iterations and allowing for reflection. 341

For these quantitative analyses, we chose to measure the magnitude of the primary, secondary, and tertiary axes, e.g., X, Y, and Z, the surface area SA, and the volume Vol. the difference in magnitude between two models, δ_X , δ_Y , and δ_Z , are calculated as the difference between the magnitude of the first, second, and third axes of the reconstruction and ground-truth following ICP alignment:

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$$\delta_X = (X_{R,max} - X_{R,min}) - (X_{G,max} - X_{G,min}) \quad (4)$$

$$\delta_Y = (Y_{R,max} - Y_{R,min}) - (Y_{G,max} - Y_{G,min})$$
(5)

$$\delta_Z = (Z_{R,max} - Z_{R,min}) - (Z_{G,max} - Z_{G,min}) \qquad (6)$$

where $X_{G,min}$ and $X_{G,max}$ are the minimum and maximum 349 value of the first dimension of the ground-truth object G, re-350 spectively, and $X_{R,min}$ and $X_{R,max}$ are the minimum and 351 maximum value of the first axis of the reconstructed object 352 R, respectively. The *Morpho::meshDist()* function was used 353 to calculate and visualise distances between 3D objects. The 354 distance of the reconstructed model from the ground truth is 355 summarized using root mean square error (RMSE). RMSE is 356 calculated as: 357

$$RMSE = \left(\frac{1}{n}\sum_{i=1}^{n}\delta_i^2\right)^{1/2} \tag{7}$$

where δ_i is the distance between *i*-th pair of *n* corresponding points on the surface of the reconstruction and ground-truth objects. The volume and surface area of models was extracted using *Lithics3D::mesh_volume()* and *Lithics3D::mesh_area()*, respectively The *rgl::shade3d()* function was used to visually compare 3D objects. All regressions were performed using *stats::lm()*.

Sample Collection. We purchased fresh fruit and produce 365 from a local grocery store in Davis, CA, USA for qualita-366 tive assessment. In total we purchased, scanned, and recon-367 structed 48 objects; including 18 strawberries, 12 potatoes, 5 368 grapes, 7 peppers, and 4 Bosch and 2 red Anjuo pears. We 369 want to test our approach for robustness, and so chose fruit 370 and produce with different scales, colors, levels of glossiness, 371 and other features. 372

Qualitative Comparisons. Reconstructed fruit were ori-373 ented using principal components analysis (PCA) with the 374 stats::prcomp() function. PCA orientation of the 3D recon-375 structed models orients results in 3 axes corresponding to the 376 primary (X), secondary (Y), and tertiary (Z) axes ordered by 377 magnitude, e.g., $X \ge Y \ge Z$. PCA oriented models were 378 visually inspected using rgl::shade3d() functions. Quantita-379 tive measurements (Volume, Surface Area, X, Y, and Z) were 380 measured from the PCA oriented reconstructed models. 381

Results 382

Overall assessment of platform. Our combination of 383 hardware and software was able to accurately reconstruct 384 models of fruit (Figure 2) and ground-truth objects (Figures 385 8 and 9; Table 1). Image acquisition occurs in 9 s sessions 386 and is buffered by approximately 15 seconds while the cam-387 eras finish writing photos to storage and the following object 388 is staged on the pedestal (Figures 1 and 3). It is possible to 389 achieve about two sessions per minute with current parame-390 ters and hardware. 391

The image calibration, segmentation, and reconstruction 392 steps then proceed remotely following data organization and 393 storage, which is an important consideration in practice. Us-394 ing the 1 mm experiment to compute average run times, over 395 all objects it took on average 27 seconds for calibration, 33.4 396 seconds for segmentation, and 413 seconds (6:53 minutes) 397 for reconstruction, for an average total time of 7:53 minutes. 398 All of these run times steps included load and write times of 399 results and were generated on a workstation with one 12-core 400 2.70GHz processor and 192 GB of RAM. 401

The calibration, segmentation, and reconstruction steps are 402 automated, and each of those steps are parallelized to some 403 extent. Once the bounding box size was determined, the 404 whole directory of samples was processed with a program 405 that called each of the calibration, segmentation, and recon-406 struction steps, and was not supervised other than starting 407 the process. Still, with new configurations or objects, or in 408 case of failure, examining the output of each of the steps can 409 indicate where there are problems, such as in the case of cal-410 ibration or segmentation, on which the reconstruction step 411 depends. For instance, in this hardware setup the calibrated 412 camera poses should form a ring as in Figure 4C. Accurate 413 segmentations may be problematic for some objects, such as 414 in Figure 8(F), the segmentation had false negatives at the 415 bottom of the pear. Consequently, that part of the fruit is not 416 reconstructed. 417

Quantitative assessment of ground truth samples. In 418 general, our approach performed very well on the ground-419 truth examples (Table 1) and only failed in ways that are were 420 expected given the assumptions and constraints of our sys-421 tem.¹ Major deviations between reconstruction and ground-422 truth in the major axis are typically small (maximum 4.74 423 mm) and RMSE for the entire surface is < 2.70 mm, for 424

¹These assumptions are discussed in the Materials and Methods section, 'Reconstruction' subsection.

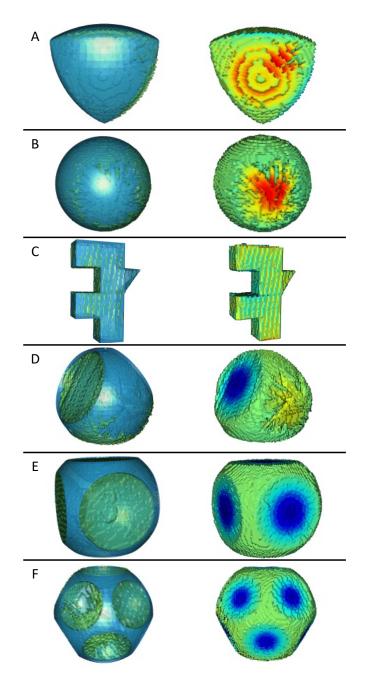


Fig. 8. Reconstructions of six ground-truth objects. (Left Column) Reconstructed model (green) overlaid by ground-truth (blue) following ICP alignment. (Right column) Heat map showing difference between reconstructed model and ground-truth object. Red represents regions where the ground-truth is larger than the model. Blue represents regions where the ground-truth is smaller than the model. Teal represents regions where there is no difference between ground-truth and model. (A) A representative tetrahedron (Tetra 1), (A) a representative sphere (Sphere 1) (A) the smaller "F" shaped object, (D) the three sided die, (E) the six sided die, and (F) the twelve sided die. Only 1mm resolution models are shown. Best viewed in color.

the models without concavities. We found a strong corre-425 lation $R^2 \approx 0.99$ for most measurements between the recon-426 structed models and the ground-truth objects without concav-427 ities (Figure 9). The surface area (SA) R^2 was 0.979 for the 428 models without concavities, and this R^2 value is the lowest 429 value for the traits we examined on objects without concav-430 ities. We found that SA of the reconstructed models were 431 upwardly biased relative to the ground-truth objects (110-432

Table 1. Accuracy metrics, including RMSE, difference in major axis length, and ratios of volume and surface area, from two experiments with eleven ground-truth objects. Differences in mm and ratios are reported between model and ground-truth object. Die_3, Die_6, and Die_12 have local concavities, while the other objects do not.

						-	
Object	Res ^a	$RMSE^{b}$	δ^c_X	δ^d_Y	δ^e_Z	δ^f_{Vol}	δ^g_{SA}
Tetra_2	1	0.62	-0.12	-0.14	-0.14	0.97	1.20
	2	0.76	0.04	1.17	-1.17	0.96	1.18
Tetra_3	1	1.17	0.61	0.21	-0.93	0.96	1.24
	2	1.21	1.32	0.35	0.97	0.95	1.23
Tetra_4	1	1.62	-0.29	-0.83	0.05	0.96	1.26
	2	1.69	1.51	0.31	0.06	0.95	1.25
Sphere_2	1	0.97	0.47	-0.26	-0.53	0.96	1.23
	2	1.07	0.97	0.21	1.38	0.95	1.21
Sphere_3	1	1.54	-3.27	-1.01	-1.25	0.96	1.27
	2	1.57	-4.74	-1.07	-1.20	0.95	1.26
Sphere_4	1	2.61	-0.70	-1.70	-1.81	0.95	1.33
	2	2.70	0.33	-1.19	-0.90	0.94	1.33
F_2	1	0.84	0.73	-0.55	0.84	0.98	1.13
	2	1.03	1.10	0.75	1.17	0.98	1.12
F_3	1	0.66	4.66	0.82	1.93	1.01	1.16
	2	0.79	4.11	1.45	2.09	1.00	1.15
Die_3	1	7.05	-3.16	-9.64	5.68	1.17	1.13
	2	6.64	-2.70	-5.18	1.14	1.16	1.10
Die_6	1	6.31	8.71	2.45	6.52	1.29	1.03
	2	6.00	9.77	8.25	7.97	1.28	1.03
Die_12	1	9.34	0.28	-4.21	8.61	1.20	1.02
	2	8.70	0.27	-2.02	9.57	1.20	1.00

^aReconstruction resolution in mm.

^bRMSE of the model surface against the ground-truth surface mm.

^cDifference between the X axis length of the model and the ground-truth mm.

 d Difference between the Y axis length of the model and the ground-truth mm. e Difference between the Z axis length of the model and the ground-truth mm.

f Ratio of the volume (Vol^{1/3}) of the model over the volume of the ground-truth.

^gRatio of the surface area (SA^{1/2}) of the model over the SA of the ground-truth.

120%). This bias is most likely to do that fact that our models, which are made of voxels (Figure 5), have rough surfaces
while the ground-truth objects are perfectly smooth (Figure
8). In general, the size measurement of these objects are very
accurate, albeit imperfect, at both 1mm and 2mm resolutions
(Figure 9).

We noticed minor segmentation false negative errors from 439 shadows at the lower portion of the object in the images; in 440 the reconstruction, these segmentation errors are realized as 441 jagged portions where the printed object was attached to the 442 pedestal, especially visible in Figure 8(A), (B), and (D). Re-443 construction errors, resulting from small segmentation errors, 444 do not have a large impact on the overall accuracy based on 445 the metrics we assessed. However, large segmentation errors 446 over multiple images will affect the reconstruction quality, 447 such as in Figure 2F. 448

Our approach to reconstruction is unable to recover concav-449 ities, as demonstrated by the three spherical die examples 450 (Figure 8D-F). The indexed faces of these models are sunken 451 into the body of the model, resulting in multiple large de-452 pressions per die (Figure 7D). As is clearly shown in Figure 453 8D-F, our reconstructions are more similar to a 3D convex 454 hull, yielding a flat surface over the large concavities in the 455 true models. This is reflected by the rows corresponding to 456 the three die in Table 1. In these cases, the Volume is 115-457 130% greater than the ground-truth model. In general this is 458 not an issue for types of fruit that do not have concavities. 459

Qualitative assessment. We found that our platform and 460 approach to reconstruction is both quantitatively accurate 461 (Table 1; Figure 9), as well as visually accurate in most cases 462 (Figures 2 and 8). For the peppers, grapes, strawberries, and 463 potatoes, we found no systematic errors in reconstruction. 464 However, the Anjou pears were troublesome to segment lead-465 ing to the bottom half of the models being severely deformed. 466 The reason for the segmentation error is the use of a general 467 segmentation approach that worked without extensive tuning 468 for the whole set of samples. However, if one were to have a 469 large batch of objects with particular color features, fine tun-470 ing the user/session specific parameters for segmentation is 471 important for yielding accurate models. Segmentation errors 472 of this severe type appeared in 3 out of 59 objects that we im-473 aged and the rest of the models appear to reflect the physical 474 objects that were imaged. 475

Discussion

We have described a low-cost (\$1,600 USD), highthroughput (9 s data acquisition), modular reconstruction system that can be used in lab settings or in the field on a table, with a fast data acquisition speed of 9 seconds per object. We will discuss several design decisions that lead to flexibility.

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The use of consumer grade materials results in a relatively 482 inexpensive system; multiple systems could be built and in-483 crease sample throughput during high-volume times of the 484 year. This means that larger experiments can be executed 485 enabling more robust studies. Our system is modular, allow-486 ing users with different interests to experiment and explore 487 different cameras, sensors, or lights. This system is easily re-488 paired and replaced if any damages are incurred by the hard-489 ware components. 490

The system has short session times and it only takes 9 seconds to acquire images on a single sample, regardless of the number of cameras. In fact, we found that were frequently rate limited by the write speed from the cameras on board cache to the SD card. More often than not, the next object was prepped around the same time that the cameras had cleared their on-board cache.

This system calibrates the camera from the image data acquired for the samples. The calibration is an absolute (as opposed to relative one, with an unknown scale factor), so the physical units of voxels are known.

Key assumptions and considerations. We highlight some key assumptions of the methodologies used in our system that are important for those considering it for a range of objects not treated in this paper. 503

Shape classes. Users who want to accurately represent lo-
cally concave shapes — shapes with egg-shaped depressions
as demonstrated by the 3, 6, and 12-sided die in our calibra-
tion objects (Table 1; Figure 9; Figure 7D)— will need to
substitute some portions of this system to recover such fea-
tures. Shape from Silhouette is not able to recover locally
concave regions. However, most of the types of objects we506
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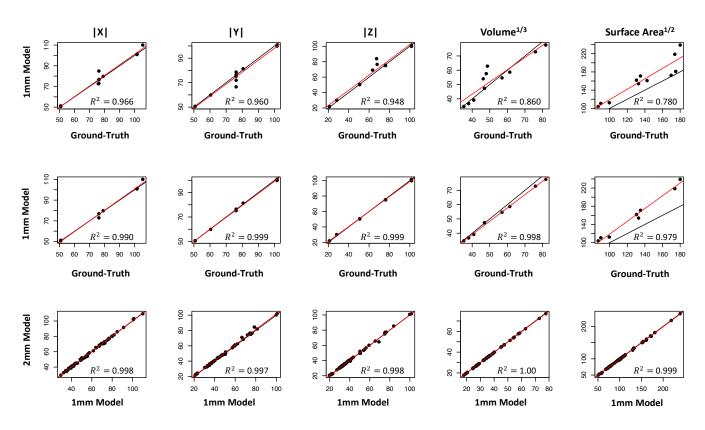


Fig. 9. Ground-truth calibration experiment results. In silico measurement of reconstructed 3D models and ground-truth objects. Measurements include length of primary (X), secondary (Y), and tertiary (Z) axes, the cube root of the volume (Vol^{1/3}), and the square root of the surface area (SA^{1/2}). (Top row) All 1mm reconstructed models against ground-truth objects including the three dice, (Middle row) 1mm reconstructed models against ground-truth objects excluding the three dice, and (Bottom row) all reconstructed fruit models in 1mm (x axis) and 2mm (y axis). All measurements are reported in mm. The adjusted R^2 from linear regression is reported in the plot. The solid black line is the identity line. The solid red line is the linear regression of y regressed onto x identity line.

envisioned imaging with this system, fruits and tubers, happen to be mostly non-concave.

Large or fragile objects. The stepper motor is non-continuous 515 and takes "steps" to provide rotation which causes vibrations 516 through the object. When objects are unbalanced, those vi-517 brations can cause movement of the object out of the center of 518 the scene between different frames. Users should pay close 519 attention to lateral movement of the object during rotation. 520 Similarly, if the larger fruit are of interest, some additional 521 modifications will be required to stabilize the pedestal during 522 rotation. If these issues are a concern, it may be beneficial 523 to construct a multi camera network that surrounds the tar-524 get (54) or a platform that enables the camera to move easily 525 around a fixed target (40). The same is true for rice panicles 526 or maize tassels, because they are not rigid body objects and 527 the vibrations of the stepper motor are more likely to lead 528 to changes in relative position of parts of the object between 529 frames causing issues during reconstruction. These types of 530 objects are better suited for systems where the cameras move 531 relative to the object or in a multi-camera network (40, 54). 532

Measurement of chArUco markers. Third, accuracy is inti mately tied to the measurement of the arUco and chArUco
 markers and any inaccuracies in those measurements will
 lead to systematic biases in the measurement of the 3D recon struction. For example, if chArUco markers are declared to

be 10mm, when they are really 20mm, all of the models will 538 2x smaller than the real object than they measured. Users 539 should print all calibration targets (aruco or chArUco) and 540 ground-truth samples with a high quality 2D or 3D printer to 541 ensure sharp corners and well-defined edges. Further, users 542 are encouraged to verify the proportions of the printed cali-543 bration targets with high-precision calipers prior to calibra-544 tion. 545

Segmentation quality. Model quality is directly linked to seg-546 mentation quality (Figure 6A and 6B) as we have mentioned 547 throughout this paper. If an object is only partially seg-548 mented, and this false negative error happens in multiple 549 frames, part of the model may end up distorted or completely 550 missing (Figure 2F). It is vital, as in any system, that users 551 examine the quality of reconstructions prior to measurement 552 and go back to calibration and segmentation outputs to iden-553 tify the source of errors. In this work, we chose one set of 554 segmentation parameters that performed reasonably well for 555 all objects, but we recommend that users perform segmen-556 tation with parameters optimized for their research samples 557 and imaging conditions. 558

Conclusions

In conclusion, we presented a phenotyping system for capturing, calibrating, and reconstructing 3D models of small-

- to-moderately sized fruit and tubers. The low-cost and re-562
- liance on consumer-grade materials makes it obtainable to 563
- almost any program; short session times allows researchers 564
- to increase the number of samples per hour, and high accu-565
- racy means that the digital representations will yield abso-566
- lute measurements on objects that do not degrade over time, 567
- yielding a viable option for research and breeding programs 568
- interested in pursuing 3D fruit phenotyping. 569

DATA AVAILABILITY STATEMENT 570

- 571 The input data, including the images and configuration files, is available from Zen-572 odo in (70), 10.5281/zenodo.5155765. All 3D model results, at 1 and 2 mm resolu-
- 573 tion, are at the same dataset source.
- The ground truth objects were modified from objects at Thingiverse.com, and each 574 had a different license. For this reason, there are four different datasets for the 575 around truth objects: 576
 - Tetrahedra: 10.5281/zenodo.5153992 (61)
 - Spheres: 10.5281/zenodo.5154029 (62)
 - Sphere Dice: 10.5281/zenodo.5155690 (63)
 - F-object: 10.5281/zenodo.5155743 (64)

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- the purpose of providing scientific information and does not constitute recommen-585
- dation or endorsement by the United States Department of Agriculture. USDA is an 586 equal opportunity provider and employer. 587

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AUTHOR CONTRIBUTIONS 592

- Conceptualization: MJF. AT Data curation: MJF. AT Formal Analysis: MJF. AT 593
- Funding Acquisition: MJF, AT Investigation: MJF, AT Methodology: MJF, AT 594
- Project administration: MJF, AT Resources: MJF, AT Software: MJF, AT Super-595 vision: MJF, AT Validation: MJF, AT Visualization: MJF, AT Writing - original 596
- draft preparation: MJF, AT Writing review & editing: MJF, AT 597
- COMPETING FINANCIAL INTERESTS 598
- The authors declare no competing interests. 599

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