> Dose-dependent thresholds of dexamethasone destabilize CAR T-cell treatment efficacy

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Abstract

Chimeric antigen receptor (CAR) T-cell therapy is potentially an effective targeted immunotherapy for glioblastoma, yet there is presently little known about the efficacy of CAR T-cell treatment when combined with the widely used anti-inflammatory and immunosuppressant glucocorticoid, dexamethasone. Here we present a mathematical model-based analysis of three patient-derived glioblastoma cell lines treated in vitro with CAR T-cells and dexamethasone. Advanced in vitro experimental cell killing assay technologies allow for highly resolved temporal dynamics of tumor cells treated with CAR T-cells and dexamethasone, making this a valuable model system for studying the rich dynamics of nonlinear biological processes with translational applications. We model the system as a nonautonomous, two-species predator-prev interaction of tumor cells and CAR T-cells, with explicit time-dependence in the clearance rate of dexamethasone. Using time as a bifurcation parameter, we show that (1)dexamethasone destabilizes coexistence equilibria between CAR T-cells and tumor cells in a dose-dependent manner and (2) as dexame that some is cleared from the system, a stable coexistence equilibrium returns in the form of a Hopf bifurcation. With the model fit to experimental data, we demonstrate that high concentrations of dexame has one antagonizes CAR T-cell efficacy by exhausting, or reducing the activity of CAR T-cells, and by promoting tumor cell growth. Finally, we identify a critical threshold in the ratio of CAR T-cell death to CAR T-cell proliferation rates that predicts eventual treatment success or failure that may be used to guide the dose and timing of CAR T-cell therapy in the presence of dexamethasone in patients.

Author summary

Bioengineering and gene-editing technologies have paved the way for advance immunotherapies that can target patient-specific tumor cells. One of these therapies, chimeric antigen receptor (CAR) T-cell therapy has recently shown promise in treating glioblastoma, an aggressive brain cancer often with poor patient prognosis. Dexamethasone is a commonly prescribed anti-inflammatory medication due to the health complications of tumor associated swelling in the brain. However, the immunosuppressant effects of dexamethasone on the immunotherapeutic CAR T-cells are not well understood. To address this issue, we use mathematical modeling to study *in vitro* dynamics of dexamethasone and CAR T-cells in three patient-derived glioblastoma cell lines. We find that in each cell line studied there is a threshold of tolerable dexamethasone concentration. Below this threshold, CAR T-cells are successful at eliminating the cancer cells, while above this threshold, dexamethasone critically inhibits CAR T-cell efficacy. Our modeling suggests that in the presence of high dexamethasone reduced CAR T-cell efficacy, or increased exhaustion, can occur and result in CAR T-cell treatment failure.

Introduction

Chimeric antigen receptor (CAR) T-cell therapy is a rapidly advancing immunotherapy for the treatment of cancer. CAR T-cell therapy has demonstrated remarkable clinical outcomes in haematologic cancers, and this success has motivated efforts to advance CAR T-cell therapy for the treatment of solid tissue tumours, including the highly aggressive brain cancer glioblastoma (GBM) [1–4]. The prognosis for GBM following standard of care treatment of surgical resection, radiotherapy, and chemotherapy remains unacceptably low with most patients surviving less than 18 months [5]. CAR T-cell therapy may offer unrealized opportunities to improve outcomes for GBM based on the ability to engineer, expand, and adoptively transfer large numbers of tumor reactive T-cells. Our group and others are clinically evaluating CAR T-cells for the treatment of GBM, in which the therapeutic T-cells are delivered locoregionally [4,6]. Our lead clinical program targets IL13R α 2, a tumor associated antigen expressed by the majority of high-grade gliomas, including GBM [7,8]. In early phase clinical trials, IL13R α 2-CAR T-cells have shown encouraging evidence for antitumor bioactvitiy in a subset of patients [1,9].

To further develop CAR T-cell therapy for the clinical treatment of GBM, it is essential to understand how CAR T-cells interact with commonly administered medications which may impact CAR T-cell efficacy. The anti-inflammatory synthetic glucocorticoid dexamethasone (Dex) is a ubiquitous medication for patients with GBM due to the propensity for brain tissue inflammation that accompanies tumor development in GBM, and the severity of the associated medical complications that accompanies inflammation. Dex is also commonly used to manage neurologic immune-related adverse events (irAEs) associated with CAR T-cells and other immunotherapies [10]. To study the effects of Dex on CAR T-cell proliferation, killing, and exhaustion, we extend mathematical models developed by us and others to study highly resolved temporal *in vitro* dynamics of patient-derived GBM cell lines under various concentrations of dexamethasone and CAR T-cells [11].

Recent work has demonstrated contradictory outcomes in the use of Dex for treating GBM. Specifically, the anti- and pro-proliferative effects of Dex on GBM have been shown to depend on cell type [12]. Furthermore, a previous proof-of-concept experiment demonstrated the ability of high Dex doses (5 mg/kg) to compromise successful CAR T-cell therapy in mice with xenograft GBM tumors, whereas lower doses (0.2-1 mg/kg) had limited effect on *in vivo* antitumor potency [8]. This data suggests a threshold at which Dex negatively impacts CAR T-cell therapy and reinforces the importance of mathematical modeling to infer and understand how Dex influences CAR T-cell therapy efficacy for GBM.

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Mathematical modeling of CAR T-cells has demonstrated value in quantitatively characterizing tumor-immune cell dynamics. Compartmental models have been leveraged to enhance understanding of the underlying cancer biology. In such practices, variation in model complexity can be utilized to investigate either the myriad roles of T cell and tumor cell types [13-15] or the mathematical nature of the cell-cell interactions themselves [16, 17]. These same approaches can be naturally extended to inform and predict both pre-clinical and clinical applications of immunotherapies [18–21]. Most recently, such efforts have been applied to model and predict CAR T-cell therapies for leukemia [22,23], glioblastoma organoids and solid brains tumors [11], and combination therapies with radiotherapy [24] and chemotherapy [25].

Previous work by us investigated CAR T-cell therapy for the treatment of glioblastoma (GBM) solid brain tumors. This work validated the principle components necessary for accurate predictions, specifically identifying: rates of GBM proliferation and cell killing, and CAR T-cell proliferation, exhaustion, and death. These factors were combined into a predator-prey system called CARRGO: Chimeric Antigen Receptor T-cell treatment Response in GliOma [11].

Here we extend this work by incorporating the Dex concentration as a new model parameter, and assume that it follows exponentially depleting pharmacokinetics. We posit that Dex has directly measurable effects on GBM proliferation and CAR T-cell death, and indirectly measurable effects on all other model parameters. We use our extended model to investigate the consequences of combination CAR T-cell and Dex therapy on three in vitro GBM cell lines. We establish an experimental protocol that measures treatment effects on GBM cell populations while co-varying initial CAR T-cell populations and Dex concentrations.

Materials and methods

Cell lines

Primary brain tumor (PBT) cell lines derived from GBM tumor resection tissue were 64 derived as described in [1, 26]. All three cell lines come from male donors ages 43, 52, and 59 years old. As this study was focused on the interaction between Dex and CAR T-cells, cell lines were either selected based on the endogenous expression of $IL13R\alpha^2$ 67 (PBT030 and PBT128) or engineered to express high levels of IL13R α 2 (greater than 68 70%) by lentiviral transduction (PBT138) as described in [1, 11, 26]. Expression levels of IL13R α 2 for each PBT cell line as determined by flow cytometry are shown in S1 70 Supporting Information. For IL13R α 2-targeted CAR T-cell lines, healthy donor 71 CD62L+ naive and memory T-cells were lentivirally transduced to express a 72 second-generation of IL13R α 2-targeting CAR as described in [8]. Summary information 73 regarding cell lines can be found in Table 1. 74

Tumor cell line	CAR T-cells	Initial number of	Effector to Tar-	Dex concentrations			
(% IL13R α 2)		tumor cells	get (E:T) ratio	$(\mu g/ml)$			
PBT030 (97.97%)	IL13R α 2 BB ζ	10K-20K	1:4, 1:8, 1:20	$0, 10^{-4}, 10^{-3}, 10^{-2}, 10^{-1}, 1$			
PBT128 (89.11%)	IL13R α 2 BB ζ	10K-20K	1:4, 1:8, 1:20	$0, 10^{-4}, 10^{-3}, 10^{-2}, 10^{-1}, 1$			
PBT138 (99.53%)	IL13R α 2 BB ζ	10K-20K	1:4, 1:8, 1:20	$0, 10^{-4}, 10^{-3}, 10^{-2}, 10^{-1}, 1$			

Patient-derived brain tumor (PBT) lines with corresponding expression levels of IL13R α 2, CAR T-cell lines, initial number of cells, effector to target ratios, and Dex concentrations used in *in vitro* experiments.

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Experimental conditions

Cancer cell growth and treatment response was monitored with the xCELLigence cell analyzer system [27]. By correlating changes in electrical impedance with number of tumor cells adhered to electrode plates, a measurement of the cell population is reported every 15 minutes. Cell populations of both the tumor cells and CAR T-cells are reported in the non-dimensional units of Cell Index (CI), where 1 CI \approx 10K cells. Previous work has demonstrated that cell index and cell number are strongly correlated [28, 29], including in the presence of CAR T-cell treatment [11]. Flow cytometry was used at the experiment endpoint to examine the validity of the cell index-cell number correlation in the presence of Dex, as well as count the non-adherent CAR T-cells. Tumor cells were seeded at 10K-20K cells per well and left either untreated, treated with only Dex, treated with only CAR T-cells, or treated with both Dex and CAR T-cells. All control and treatment conditions were conducted in duplicate, with treatments occurring 24 hours after seeding and followed for 6-8 days (144-192 hrs). CAR T-cell treatments were performed with E:T ratios of 1:4, 1:8, and 1:20. Dex treatment concentrations used were 10^{-4} , 10^{-3} , 10^{-2} , 10^{-1} , and $1 \mu g/ml$. The experiment design is diagrammed in Fig $1(\mathbf{a})$, and treatment conditions are presented in Table 1. A follow-up experiment was conducted to examine the potential for Dex-induced changes to tumor cell morphology using the IncuCyte live cell imaging system (Fig 2 and S1 Supporting Information). In this second experiment, E:T ratios of 1:20, 1:40, and 1:80 were used as the CAR T-cells had been engineered to be more efficacious. All other experimental conditions were held constant. See https://github.com/alexbbrummer/CARRGODEX for all experimental data.

Mathematical model

To model the interactions between the tumor cells, the CAR T-cells, and 99 dexamethasone, we extend the predator-prey inspired CARRGO model from Sahoo et 100 al. [11]. We use the principle of mass-action to model the effect of Dex on tumor and 101 CAR T-cell populations, without an explicit assumption of a positive or negative effect 102 of Dex on those cell populations. A compartmental representation of the model is 103 presented in Fig $1(\mathbf{b})$, and all model variables and parameters are presented in Table 2. 104 The tumor cell and CAR T-cell populations are modeled here in units of cell index (CI), 105 a strongly correlated indicator of cell number that is produced by the xCELLigence cell 106 killing assay measurement system [11, 28, 29]. Expressing the compartmental model as a 107 system of equations, 108

$$\frac{dx}{dt} = \rho x - \frac{\rho}{K} x^2 - \kappa_1 x y - c_0 D x \tag{1}$$

$$\frac{dy}{dt} = \kappa_2 x y - \theta y - c_3 D y \tag{2}$$

$$\frac{dD}{dt} = -\sigma D,\tag{3}$$

where x is the tumor cell population, y is the CAR T-cell population, and D is the concentration of dexamethasone. Although cell populations are often modelled in terms of cell number, here we use cell index (CI) to link model parameters with the experimental xCELLigence platform readout data. As determined in previous studies, cell index and cell number are strongly correlated, with a cell index of one equal to approximately 10,000 cells.

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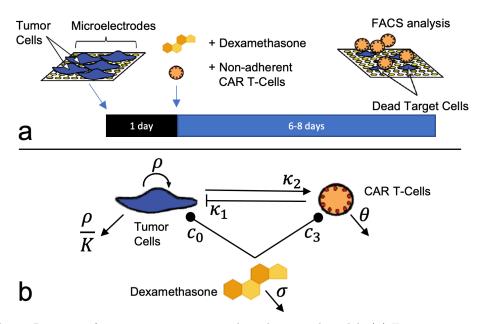


Fig 1. Diagram of *in vitro* experiments and mathematical model. (a) Experiments were conducted using a 96-well plate xCELLigence cell killing assay, where tumor cell adherence modulates electrical impedance. Dexamethasone and CAR T-cells were added simultaneously 24 hours following tumor cell plating, with observation proceeding for 6-8 days (144-192 hrs). CAR T-cells were counted at the experiment endpoint with flow cytometry analysis (FACS). (b) A mathematical model similar to a predator-prey system is used to model tumor cell growth, death, and interactions between tumor cells, CAR T-cells, and Dex. The compartmental model is translated into the system of equations in Eqs. (1)-(3).

Pharmacokinetic studies report the plasma half-life of Dex as being approximately 115 200 minutes, resulting in $\sigma = 24 \ln(2)/3.\overline{3}$ hr⁻¹ = 5 day⁻¹ [30, 31]. We do not explicitly 116 model the mechanism by which Dex is cleared from the system, which can be through 117 cell uptake, evaporation, or absorption into the culture media. Here we simply assume 118 the elimination of Dex is equivalent to the Dex plasma half-life. While the Dex 119 interaction terms are explicitly subtracted from the population growth rates, we make 120 no presumptions on the signs of the interaction constants, c_0 and c_3 . This has the effect 121 of allowing for both scenarios where Dex can be either anti-proliferative (i.e. $c_0, c_3 < 0$) 122 or pro-proliferative (i.e. $c_0, c_3 > 0$) to either the CAR T-cells or tumor growth [12, 32]. 123

We next convert this three-species, autonomous population model into a two-species, nonautonomous model. We formulate the model this way to study how the concentration of Dex influences the dynamical behavior and long-term stability of the CAR T-cell and tumor cell populations, which essentially considers time as a bifurcation parameter. The value of this approach is its utility in analyzing the stability of the tumor cell-CAR T-cell dynamics as time evolves, a perspective that bears more clinical relevance and simplicity than the exponentially decaying concentration of Dex.

Previous studies have utilized nonautonomous models to account for time-varying environmental conditions in generic predator-prey systems [33,34], for pulsed patient preconditioning in combination CAR T-cell and chemotherapy [25], and in the analysis of pharmacokinetic-pharmacodynamic tumor growth models with time-dependent perturbations due to anticancer agents (see chapter 7 in [35]).

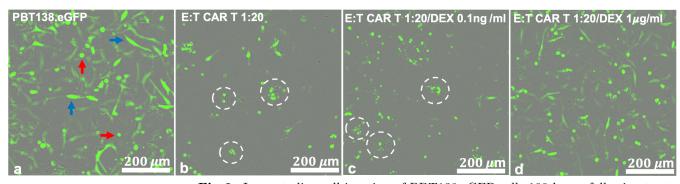


Fig 2. Incucyte live cell imaging of PBT138.eGFP cells 100 hours following treatment shows high Dex-induced reduction in CAR T-cell efficacy. (a) No Dex or CAR T-cell treatment (PBT138 culture). Visible are tumor cells of different morphology round (red arrows) and elongated (blue arrow), representing heterogeneous patient-derived glioma cell culture. (b) CAR T-cell only treatment with effector:target cell (E:T) ratio of 1:20. Visible are tumor apoptotic bodies that represent CAR T-cell killing (white circles). (c) Combined CAR T-cell (E:T = 1:20) and Dex (0.1 ng/ml) treatment. Tumor apoptotic bodies still visible (white circles) representing killing at low Dex. (d) Combined CAR T-cell (E:T = 1:20) and high Dex (1 μ g/ml) treatment showing lack of tumor aggregates and some increase in tumor cell numbers. White scale bars represent 200 μ m.

In the present model, we highlight the fact that the decaying dexamethasone concentration is modeled with a bounded and continuously differentiable function on the interval $[0, \infty)$. This can be seen by separately solving Eq. (3) as $D(t) = D_0 e^{-\sigma t}$. Thus, stable solutions to the nonautonomous model will still converge to those of the autonomous model [35]. Upon substitution for D(t), we arrive at the following system of equations

$$\frac{dx}{dt} = \left(\rho - c_0 e^{-\sigma t}\right) x \left[1 - \frac{\rho x}{\left(\rho - c_0 e^{-\sigma t}\right) K}\right] - \kappa_1 x y \tag{4}$$

$$\frac{dy}{dt} = \kappa_2 x y - \left(\theta + c_3 e^{-\sigma t}\right) y \tag{5}$$

where we factored terms to reflect the anti/pro-proliferative potential of Dex, and re-scaled the constants c_0 and c_3 . Letting $\rho(t) = \rho - c_0 e^{-\sigma t}$, $K(t) = \rho(t) K/\rho$, and $\theta(t) = \theta + c_3 e^{-\sigma t}$, our model takes the simplified form of

$$\frac{dx}{dt} = \rho(t)x\left(1 - \frac{x}{K(t)}\right) - \kappa_1 xy \tag{6}$$

$$\frac{dy}{dt} = \kappa_2 x y - \theta(t) y \tag{7}$$

which is reminiscent of the original CARRGO model [11]. The definitions of $\rho(t)$, K(t), and $\theta(t)$ demonstrate how the signs of the constants c_0 and c_3 can determine the anti/pro-proliferative effect of Dex on the tumor cells and CAR T-cells. Specifically, if $c_0 > 0$, then Dex is anti-proliferative to the tumor cells, lowering the effective growth rate $\rho(t)$ and carrying capacity K(t). If $c_0 < 0$, then Dex is pro-proliferative to the tumor cells, raising the effective growth rate $\rho(t)$ and carrying capacity K(t). On the

Parameter or	Description	Observed	Unit
Variable		Range	
x	tumor cell population	[0, 5]	CI
y	CAR T-cell population	[0,3]	CI
D	dexamethasone concentration	[0,1]	$\mu { m g/ml}$
ρ	tumor cell net growth rate	[0.5, 12]	day-1
Κ	carrying capacity	[1,20]	CI
κ_1	tumor killing rate	[0.8, 90]	day ⁻¹ CI ⁻¹
κ_2	net rate of proliferation and ex-	[0.1, 2]	day ⁻¹ CI ⁻¹
	haustion of CAR T-cells when		
	stimulated by cancer cells		
θ	CAR T-cell death rate (persis-	$[10^{-12}, 3]$	day ⁻¹
	tence)		
c_0	effect of Dex on tumor growth	[-10, 4]	day ⁻¹
<i>C</i> ₃	effect of Dex on CAR T-cell death	[-11, 11]	day-1
σ	half-life of Dex	5	day ⁻¹

Table 2. Mathematical model parameters and variables.

other hand, if $c_3 > 0$, then Dex is anti-proliferative to the CAR T-cells, increasing the effective death rate $\theta(t)$. If $c_3 < 0$, then Dex is pro-proliferative to the CAR T-cells, decreasing the effective death rate $\theta(t)$.

Parameter estimation

The fitting procedure used to estimate model parameters consisted of a combination of 155 particle swarm optimization (PSO) and the Levenberg-Marquardt algorithm (LMA). 156 PSO is a stochastic global optimization procedure inspired by biological swarming [36]. 157 PSO has been used recently for parameter estimation in a variety of initial value 158 problems across cancer research and systems biology [37–40]. These optimization 159 procedures were used to minimize the weighted sum-of-squares error between measured 160 and predicted tumor cell and CAR T-cell populations. PSO was used first to determine 161 rough estimates of model parameters. This was followed by use of LMA to fine-tune 162 parameter values. 163

Although the mathematical model has 8 parameters, we show that the model in 164 Eqs. (6)-(7) is structurally identifiable from the experimental data. This allows for 165 explicit measurement and inference of all the parameters in the model, including c_0 and 166 c_3 , and thus the effects of Dex on the tumor cells and CAR T-cells independently [41]. 167 In particular, two replicates (wells) of tumor cells were grown untreated with CAR 168 T-cells but with and without Dex treatments to independently identify the tumor 169 growth rate, ρ , carrying capacity K, and the effect of Dex on the tumor growth rate 170 and carrying capacity c_0 . Additionally, two replicates of tumor cells treated with CAR 171 T-cells were conducted with and without Dex. This allowed us to independently identify 172 the tumor killing rate with and without Dex, κ_1 , the CAR T-cell 173 proliferation/exhaustion with and without Dex, κ_2 , the CAR T-cell death rate θ , and 174 the effect of Dex on the CAR T-cell death rate c_3 . See Supplemental Information for 175 identifiability analysis, and https://github.com/alexbbrummer/CARRGODEX for all 176 experimental data and computational code to reproduce the model fitting, parameter 177 estimates, and figures. All model fitting was performed using the programming language 178 Python. All model fits were performed on averages of the experimental duplicates. 179

Stability analysis

Prior work has demonstrated that conventional methods of stability analysis can be 181 extended to nonautonomous models [35, 42]. As the concentration of dexamethasone is 182 an exponentially decaying function, $D(t) = D_0 e^{-\sigma t}$, we can analyze the stability of 183 Eqs. (4)-(5) as we would normally in an autonomous scenario. Despite this, in S1 184 Supporting Information we present a stability analysis of the 3×3 autonomous system 185 for the coexistence equilibrium in the limit that the dexamethasone concentration 186 decays to zero. This demonstrates that the eigenvalues of the two systems are effectively 187 the same, with the only difference due to whether one expresses the eigenvalues in terms 188 of the Dex concentration, D, or the precise form of its exponential decay, $D_0 e^{-\sigma t}$. 189 Furthermore, we emphasize that the two systems converge on a time scale of the order 190 of the decay constant, σ . Mathematically speaking, the Dex concentration never reaches 101 zero, but on a more practical and physiological level, Dex clears after approximately 3-5 192 half lives, as presented later in the results. 193

With the simplified form of our CARRGO with Dex model in Eqs. (6)-(7), the 194 equilibrium solutions are identified as $P_1 = (0,0), P_2 = (K(t),0)$, and 195 $P_3 = \left(\frac{\theta(t)}{\kappa_2}, \frac{\rho(t)(K(t)\kappa_2 - \theta(t))}{K(t)\kappa_1\kappa_2}\right) \text{ where } (x, y) = (\text{tumor cells, CAR T-cells}). \text{ These}$ 196 solutions are referred to as 'Death', 'Tumor Proliferation', and 'Coexistence' respectively. 197 Given the structure of the dynamical system in Eqs. (6)-(7), eigenvalue analysis shows 198 that the 'Death' and 'Tumor Proliferation' equilibria are never stable solutions (see S1 199 Supporting Information). Interestingly, this does not preclude our ability to predict 200 tumor death or proliferation. On the contrary, observed and measured tumor death and 201 proliferation occur within the parameter space that defines the coexistence equilibrium. 202 Careful examination of the coexistence equilibrium stability can elucidate this point. 203

In the 'Coexistence' scenario, the equilibrium is $P_3 = \begin{pmatrix} \dot{\theta}(t) \\ \kappa_2 \end{pmatrix}, \frac{\rho(t)(K(t)\kappa_2 - \theta(t))}{K(t)\kappa_1\kappa_2} \end{pmatrix}$ 204 Importantly, the model parameters that determine the final tumor cell population are 205 the ratio of the CAR T-cell death rate and the CAR T-cell proliferation/exhaustion 206 after the Dex has cleared, θ/κ_2 . Thus, if either CAR T-cell death is low with respect to 207 CAR T-cell proliferation, or CAR T-cell proliferation is high with respect to death, then 208 $\theta/\kappa_2 \approx 0$, and tumor death can occur as the coexistence equilibrium. We next examine 209 how the conditions for stability depend on the model parameters, in particular the Dex 210 concentration. 211

The eigenvalues of the Jacobian for the coexistence equilibrium are

$$\lambda_{\pm} = \frac{\rho(t)\theta(t)}{2\kappa_2 K(t)} \left\{ -1 \pm \left[1 + \frac{4\kappa_2 K(t)}{\rho(t)} \left(1 - \frac{\kappa_2 K(t)}{\theta(t)} \right) \right]^{1/2} \right\}$$
(8)

Recalling that $\rho(t) = \rho - c_0 e^{-\sigma t}$ and $K(t) = \frac{\rho - c_0 e^{-\sigma t}}{\rho} K$, then $\frac{K(t)}{\rho(t)} = \frac{K}{\rho}$. Substitution of the expressions for the time-dependent growth rate, carrying capacity, and death rate results in

$$\lambda_{\pm} = \frac{\rho\left(\theta + c_3 e^{-\sigma t}\right)}{2\kappa_2 K} \left\{ -1 \pm \left[1 + \frac{4\kappa_2 K}{\rho} \left(1 - \frac{\kappa_2 K \left(\rho - c_0 e^{-\sigma t}\right)}{\rho\left(\theta + c_3 e^{-\sigma t}\right)} \right) \right]^{1/2} \right\}$$
(9)

In Eq. (9), the term underlined in blue determines oscillatory behavior, while the term underlined in red determines the stability of the oscillatory states (spiraling in, spiraling out, or as a fixed limit cycle). By convention, only the parameters characterising the effects of Dex on tumor growth and CAR T-cell death, c_0 and c_3 , can take on negative 219

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To determine the condition for oscillatory states, we require non-zero imaginary components of the eigenvalues, $\Im(\lambda_+) \neq 0$, which results in the following condition

$$\left(\frac{\rho - c_0 e^{-\sigma t}}{\theta + c_3 e^{-\sigma t}}\right) > \frac{\rho}{\kappa_2 K} + \left(\frac{\rho}{2\kappa_2 K}\right)^2 \tag{10}$$

In Eq. (10) we can see that the existence of oscillations about the coexistence equilibrium are again determined by the relative sizes of $\theta(t)$ and κ_2 .

Results

Experimental results of this study demonstrate that high concentrations of 231 dexamethasone can attenuate tumor eradication even when CAR T-cell therapy would 232 otherwise have been successful (Fig 2). This phenomenon was observed directly in cell 233 line PBT128 (Fig 3), PBT138 (S1 Fig) and it can be inferred for cell line PBT030 234 between effector-to-target ratios 1:4 and 1:8 (S2 Fig and S3 Fig, respectively). A 235 reduction in CAR T-cell efficacy is observed regardless of treatment success or failure at 236 different effector-to-target ratios (E:T) for all three cell lines (Fig 4 and S1 Fig and S3 237 Fig). Importantly, our predator-prey model that incorporates Dex can predict these 238 changes in CAR T-cell efficacy and connect them to key features of CAR T-cell function 239 (e.g. proliferation, exhaustion, and death). 240

Dexamethasone antagonizes CAR T-cell efficacy

Our experimental results suggest that Dex acts to antagonize CAR T-cell treatment 242 efficacy in a dose-dependent manner, resulting in persistent tumor cell growth. In Fig 3 243 we see that final populations of tumor cells for line PBT128 increase as a function of 244 increasing initial Dex concentration. Specifically, the system dynamics range from 245 complete tumor cell death for initial Dex concentrations of 0.0 μ g/ml, 1 × 10⁻⁴ μ g/ml, 246 $1 \times 10^{-3} \ \mu \text{g/ml}$, and $1 \times 10^{-2} \ \mu \text{g/ml}$ to tumor progression for initial Dex concentrations 247 of $1 \times 10^{-1} \ \mu \text{g/ml}$, and $1 \ \mu \text{g/ml}$. We also see a noted decrease in initial CAR T-cell 248 growth as the initial Dex concentration is increased. 249

Also notable are the cases of medium initial CAR T-cells (E:T = 1:8) and high initial Dex (0.1 μ g/ml -1 μ g/ml), where the final tumor cell population has surpassed the initial pseudo-progression peak. Flow cytometry measurements of these high Dex concentrations in the absence of CAR T-cell treatment for PBT128 demonstrate that the xCELLigence Cell Index metric begins to overestimate tumor cell number (S2 Fig). This effect was also observed in PBT030 (again in the absence of CAR T-cells), but not PBT138, and led to our omission of the Dex-only treatments in this analysis.

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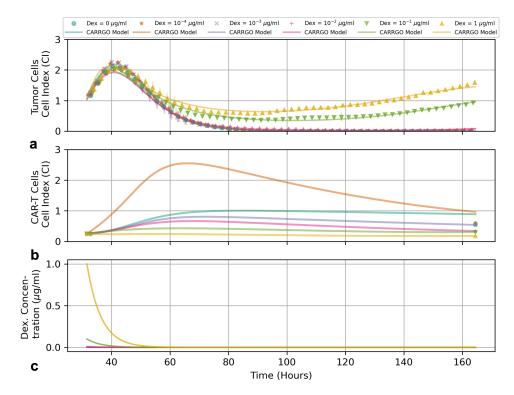


Fig 3. Graphs of measured and predicted (a) tumor cells, (b) CAR T-cells, and (c) Dex concentration over time for tumor cell line PBT128 with an initial effector-to-target ratio of 1:4. Temporal measurements of (a) tumor cells measured by xCELLigence cell index (CI) values and (b) CAR T-cell levels with initial and final measurements represented by symbols, and CARRGO model predictions are represented by lines. Experimental measurements for the tumor cell population are down-sampled by 1/10 for clarity. Colors and symbol types represent different initial Dex concentrations (see top legend). The progression of the tumor cell curves as initial Dex concentration increases demonstrate the effect of Dex to reduce CAR T-cell efficacy.

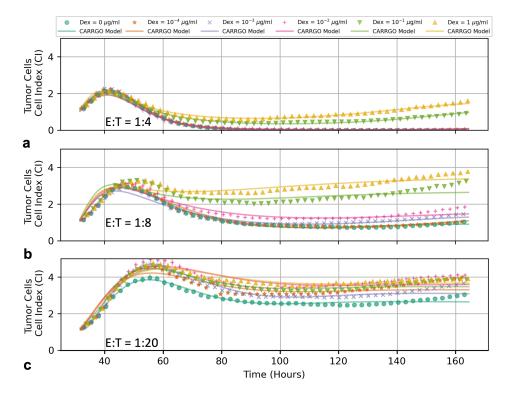


Fig 4. Time series of tumor cell populations for PBT128 across various CAR T-cell E:T ratios of 1:4 (a), 1:8 (b), and 1:20 (c). Colors and symbol types vary to reflect initial Dex concentrations (see top legend). Tumor persistence is seen to increase due to increasing initial Dex concentration regardless of the different starting CAR T-cell E:T ratios. Symbols represent measured data, while lines represent CARRGO model predictions. Experimental measurements for the tumor cell population are down-sampled by 1/10 for clarity.

Dexamethasone induced destablization of coexistence

Analysis of the coexistence eigenvalue stability helps to elucidate the effect that Dex has 263 on the system dynamics. Fig 5 presents bifurcation diagrams for two different experimental scenarios with the same initial CAR T-cell population but different initial 265 Dex concentrations. Fig 5a shows the coexistence eigenvalues as functions of time for 266 the experimental conditions of high initial CAR T-cells (E:T = 1:4) and a low initial 267 Dex concentration of 1 \times 10⁻³ µg/ml in which productive tumor cell death occurred. 268 Fig 5b shows the coexistence eigenvalues as functions of time for the experimental 269 conditions of high initial CAR T-cells (E:T = 1:4) and a high initial Dex concentration 270 of $1 \times 10^{-1} \,\mu \text{g/ml}$ and corresponds to tumor cell progression. To illustrate how the 271 time-dependence of the eigenvalues influences the system dynamics, streamplots of 272 Eqs. (6)-(7) are presented as insets for each experimental scenario, with experimentally 273 measured tumor cell index values and model-inferred CAR T-cell index vales 274 represented by the black dots. 275

In the scenario with an initial Dex concentration of $1 \times 10^{-3} \ \mu g/ml$ (Fig 5 a), the 276 coexistence equilibrium begins as a stable spiral for the duration of the Dex clearance 277 and the remainder of the experiment. As the Dex clears, the real and imaginary 278 components of the eigenvalues decrease in magnitude. These temporal changes in the 279 eigenvalues shift the location and shape of the system trajectory, as shown in the figure 280 inset. In particular, throughout the times $t_1 = 32$ hrs and $t_2 = 40$ hrs, as the Dex is still 281 clearing, the system is predicted and observed to oscillate about the changing 282 coexistence equilibrium P_3 . Initially, and throughout times t_1 and t_2 , the real 283 component of the eigenvalue is large enough to facilitate in-spiraling. By $t_3 = 60$ hrs, 284 effectively all of the Dex has cleared, and the phase space trajectory is soon to pass 285 through a zero in tumor cell population, terminating the dynamics. 286

In the scenario with a higher initial Dex concentration of $1 \times 10^{-1} \ \mu g/ml$ (Fig 5 b), 287 the coexistence equilibrium begins as an unstable fixed point (represented by the dashed 288 lines during times $t_1 = 32$ hrs and $t_2 = 38$ hrs). After twice the half-life of Dex (≈ 7 289 hrs), a Hopf bifurcation occurs and the system transitions through a limit cycle 290 (observable at time $t \approx 40$ hrs) and into a stable spiral (represented by the solid lines 291 during time $t_3 = 42$ hrs). When the system is in an unstable state, the instantaneous 292 trajectory predicted by Eqs. (6)-(7) show pseudo-progressive growth. As in the previous 293 scenario with $1 \times 10^{-3} \ \mu \text{g/ml}$ of Dex, the system enters a stable spiral by the time all of 294 the Dex has cleared $(t_4 = 60 \text{ hrs})$. However, in this scenario, once the Dex has fully 295 cleared the system the predicted trajectory no longer passes through a zero in the tumor 296 cell population. 297

Tumor cell killing and CAR T-cell exhaustion

To examine the effect of Dex on total tumor cell killing we compare tumor cell growth 299 trajectories for CAR T-cell only treatment, and combined CAR T-cell and Dex 300 treatments in Fig 6. For the combined treatment scenarios, we focus on experimental 301 conditions at the threshold of treatment success and treatment failure, and along a 302 Dex-gradient of treatment failure (Fig 6 a, c, and e for cell lines PBT030, PBT128, and 303 PBT138, respectively). Accompanying each growth trajectory are barplots of the 304 inferred model parameters (Fig 6 \mathbf{b} , \mathbf{d} , and \mathbf{f}) which help to identify mechanistically 305 how Dex interacts separately with the tumor cells and CAR T-cells. We chose not to 306 examine Dex only treatments as flow cytometry measurements indicated a loss in the 307 strength of the correlation between xCELLigence cell index and flow 308 cytometry-measured cell number in these treatment scenarios [28,29]. The correlation 309 was observed to be maintained in combined treatment scenarios, with correlation 310 coefficients of = 0.97 in cell line PBT138, 0.70 in cell line PBT128, and 0.30 in cell line 311

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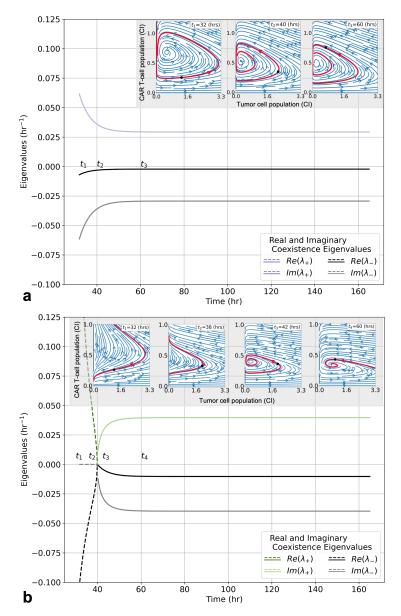


Fig 5. Stability analysis of coexistence equilibria for CARRGO model with Dex under the experimental conditions of tumor cell line PBT128 with an initial CAR T-cell E:T ratio of 1:4. Initial Dex concentrations are $1 \times 10^{-3} \ \mu \text{g/ml}$ (a) and $1 \times 10^{-1} \ \mu \text{g/ml}$ (b). Bifurcation diagrams (main graphs) demonstrate how Dex modulates the temporal dynamics of the coexistence equilibria. Inset graphs show phase space diagrams demonstrating transient state of coexistence equilibria as time evolves and Dex clears. In (a) the initial Dex concentration of $1 \times 10^{-3} \ \mu g/ml$ is too small to facilitate exhaustion of the CAR T-cells, thus the coexistence equilibrium is a stable spiral. However, the equilibrium position is still seen to translate through the phase space, and the predicted trajectory deform, while Dex is clearing. In (\mathbf{b}) the initial Dex concentration of $1 \times 10^{-1} \ \mu g/ml$ is sufficiently large enough to facilitate exhaustion of the CAR T-cells, thus the coexistence equilibrium is an unstable fixed point (dashed lines) until a sufficient level of Dex has cleared and the system returns to a stable spiral (solid lines). Unlike the lower initial Dex concentration scenario in (a), the coexistence equilibrium has translated, and the predicted trajectory has narrowed, such that the tumor population no longer reaches a value of zero, resulting in tumor progression.

PBT030 (S1 Supporting Information).

From the perspective of our mathematical model, the effect of Dex on the CAR 313 T-cells is to reduce efficacy by inducing exhaustion. In going from treatment success to 314 failure, the CAR T-cell death rate, θ , increases for all cell lines. Furthermore, for cell 315 lines PBT030 and PBT138 the tumor cell killing, κ_1 , decreases while CAR T-cell 316 proliferation, κ_2 , remains fixed, while in cell line PBT128 the tumor cell killing, κ_1 , 317 remains fixed while CAR T-cell proliferation, κ_2 , decreases. These shifts dramatically 318 increase the predicted coexistence equilibrium for the tumor cell population, given as 319 θ/κ_2 . Next is the effect of Dex on the CAR T-cell death rate, c_3 , which switches from 320 positive to negative between success and failure across all cell lines. This shift suggests 321 that during treatment success there is high turnover of CAR T-cells due to the 322 Dex-induced increase in CAR T-cell death and the cancer cell stimulated CAR T-cell 323 proliferation. After Dex clears, CAR T-cell death returns to a small rate resulting in 324 treatment success. In the failure scenario Dex again promotes CAR T-cell growth, 325 $c_3 < 0$, yet increases CAR T-cell exhaustion by reducing the size of κ_2 . Our 326 interpretation of these combined effects is that Dex results in CAR T-cell exhaustion. 327

Predicting treatment success or failure

Analysis of treatment success and failure across all experimental conditions identifies an 329 essential threshold, T_0 , for the predicted tumor cell equilibrium population. As shown in 330 Fig 7, we observe an approximate threshold of $T_0 \approx 0.4$ CI such that for values of 331 $\theta/\kappa_2 < T_0$, total tumor cell death occurs after a brief period of pseudo-progression. 332 Alternatively, for values of $\theta/\kappa_2 > T_0$, tumor cell persistence occurs after 333 pseudo-progression and pseudo-response. Importantly, for the cell lines PBT128 and 334 PBT138, we can see a transition from treatment success to treatment failure at fixed 335 levels of initial CAR T-cells (0.25CI for PBT128 and 0.05CI PBT138) and as initial Dex 336 concentration increases from 10^{-2} to $10^{-1} \ \mu g/ml$. For tumor cell line PBT030, the 337 transition from treatment success to failure occurs primarily as a result of changes in 338 the initial number of CAR T-cells administered, with E:T ratios of 1:4 resulting in 339 success, and 1:8 resulting in failure. 340

Discussion

In this work we demonstrate how mathematical modeling can be leveraged to identify and quantify how the commonly used anti-inflammatory synthetic glucocorticoid dexamethasone may undermine CAR T-cell treatment efficacy in glioblastoma.

Our modeling identifies that Dex treatment destabilizes the coexistence equilibrium 345 and forces the system into a new equilibrium state upon Dex clearance (Fig 5). We 346 predict that this process is a result of a Dex-induced increase in CAR T-cell 347 proliferation, $c_3 < -\theta$, followed by an increase in CAR T-cell death, θ increasing, and 348 either a decrease or fixation of cancer cell stimulated proliferation of CAR T-cells, κ_2 349 decreasing or approx. constant. We interpret these combined effects as facilitating CAR 350 T-cell exhaustion (Fig 6). These responses are manifest in a cycle of pseudo-progression, 351 pseudo-regression, and a final stage of tumor progression. Importantly, we identify a 352 threshold on the ratio of CAR T-cell death to CAR T-cell proliferation/exhaustion 353 rates, $(\theta/\kappa_2 \approx 0.4 \text{ CI})$, that appears to predict successful tumor eradication $(\theta/\kappa_2 < 0.4 \text{ CI})$ 354 CI), or proliferation ($\theta/\kappa_2 > 0.4$ CI). We find that this threshold is valid across all three 355 PBT cell lines, initial CAR T-cell populations, and Dex concentrations (Fig 7). 356

In modeling this system, we chose to use a nonautonomous (explicit in time) 357 approach in order to assess the dynamical stability of the system as a function of Dex concentration. By treating time as a bifurcation parameter, variations in system 359

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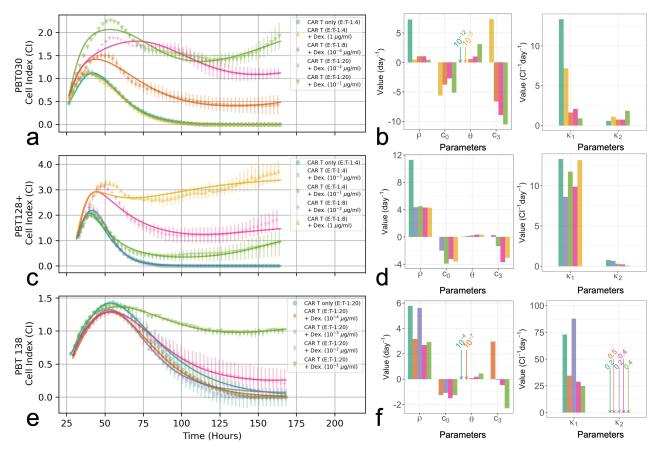


Fig 6. Comparison of tumor cell measurements and CARRGO model fits (**a**, **c**, and **e**), and CARRGO model parameters (**b**, **d**, and **f**) for CAR T-cell only treatments, and combination CAR T-cell and Dex treatments for each tumor cell line studied. For the combined treatment scenarios, we focus on experimental conditions at the threshold of treatment success and treatment failure, and along a Dex-gradient of increasing tumor progression. For PBT030 (**a**, **b**) the conditions at the threshold of treatment success are E:T = 1:4 and 1 μ g/ml of Dex, and E:T = 1:8 and $10^{-4} \mu$ g/ml for treatment failure. For PBT128 (**c**, **d**) the conditions at the threshold of treatment failure. For PBT128 (**c**, **d**) the conditions at the threshold of treatment failure. For PBT138 (**e**, **f**) the conditions at the threshold of treatment failure. For PBT138 (**e**, **f**) the conditions at the threshold of treatment failure. Symbols represent average of two replicates, and error bars represent sample ranges. Note that experimental measurements presented are downsampled by 1/10 for clarity.

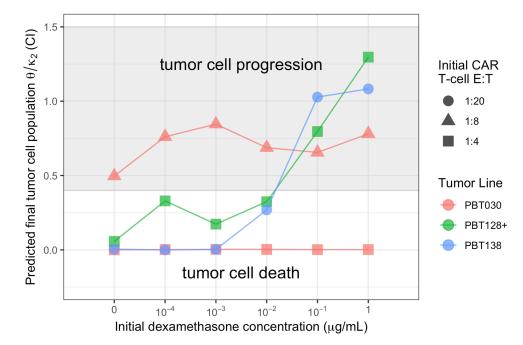


Fig 7. The ratio of CAR T-cell death (θ) to CAR T-cell proliferation/exhaustion (κ_2) rates predict CAR T-cell treatment success (tumor cell death) or failure (tumor cell progression). We observed that a ratio of $\theta/\kappa_2 \approx 0.4$ CI as the predicted final tumor cell population serves as a threshold for observed tumor progression or death. The threshold of $\theta/\kappa_2 \approx 0.4$ CI was consistent across all three tumor cell lines (denoted by color), CAR T-cell E:T ratios (denoted by shape), and initial Dex concentrations (denoted by location along the horizontal axis). Conditions not shown are PBT030 with an E:T ratio of 1:20 which all resulted in tumor cell progression, PBT128 with an E:T ratio of 1:8 and 1:20 which all resulted in tumor cell progression, and PBT138 with E:T ratios of 1:8 and 1:4 which all resulted in tumor cell death. See S4 Fig for all E:T ratios.

stability and predicted phase space trajectories can be visualized (Fig 5). This approach facilitates understanding of treatment success and failure due to an overabundance of Dex and in the context of stability analysis. Specifically, in scenarios where treatment succeeded, as in Fig $5(\mathbf{a})$, the coexistence equilibrium remained stable throughout the duration of Dex clearance. On the other hand, in scenarios where high levels of Dex led to treatment failure and tumor outgrowth, as in Fig $5(\mathbf{b})$, the coexistence equilibrium was initially unstable until sufficient Dex cleared from the system.

Insight gained from studying tumor-CAR T-cell dynamics can aid in understanding 367 how Dex levels compromise CAR T-cell efficacy. Destabilization of the coexistence 368 equilibrium is driven by changes to the experimentally derived model parameters θ , κ_2 , 369 and c_3 (Fig 6 and Eq. (10), which represent the death rate of CAR T-cells, the 370 proliferation or exhaustion of the CAR T-cells, and the effect of Dex on the CAR T-cell 371 death rate, respectively. These changes are interpreted as Dex promoting tumor cell 372 growth and CAR T-cell growth at early times (due to $c_3 < -\theta$), yet once the Dex has 373 cleared the CAR T-cells become exhausted, no longer proliferating enough to keep up 374 with natural death or facilitate tumor cell killing. CAR T-cell exhaustion, indicated by 375 a decrease in κ_2 , is a primary cause of tumor progression as determined by the increase 376 in the predicted final tumor cell population, θ/κ_2 (Fig 6). Importantly, this 377 Dex-induced shift from CAR T-cell proliferation to exhaustion is highlighted by the 378 differences in phase space trajectories between treatment success and treatment failure 379 presented in Fig 5. 380

A notable feature of the mathematical model is the fact that it captures a wide range of dynamics observed in multiple experimental conditions [11]. This is despite its relative simplicity compared to other mathematical models of immunotherapies [13, 17, 43, 44]. A recent commentary regarding predator-prey like models_including the model presented here is the possibility of oscillating solutions

models, including the model presented here, is the possibility of oscillating solutions 385 which are unlikely to be observed in patients [45, 46]. We note that the coexistence 386 equilibrium is accompanied with phase-space trajectories that accurately describe 387 experimental data. This includes scenarios of treatment success and tumor death 388 (x = 0), allowing for informative and quantitative biological inference. Furthermore, we 389 highlight that each model parameter can be uniquely identified from our measured data, 390 as supported by our structural identifiability analysis (S1 Supporting Information) [41]. 391 This allows for the deconvolution of dynamics and parameters not otherwise accessible 392 from the cell killing assay. 393

Several possible extensions of our model exist and are worth consideration in future 394 analyses. A common extension is to generalize the interaction between tumor cells and 395 CAR T-cells to a higher order Holling Type form [13, 17, 43]. Generally, the Holling 396 Type II and III interactions are used to model changes in cell-cell interactions, notably 397 predator-prey handling time and density-dependent behavior. Additionally, where the 398 CARRGO model combines CAR T-cell proliferation and exhaustion into one parameter, 399 κ_2 , other approaches may incorporate a second T-cell type altogether [20, 45], a 400 population of macrophages [44], or explicitly accounting for the pharmacodynamic and 401 pharmacokinetics of CAR T-cell dynamics [47]. Interestingly, recent theoretical work 402 has shown that a two T-cell type predator-prey model with Holling Type I interactions 403 can, in the appropriate limits and conditions, reduce to a single T-cell type 404 predator-prey model with a Holling Type II interaction [43]. While such model 405 extensions can be enlightening, our approach aims to balance model complexity with 406 the dimensionality and resolution of the experimental data. 407

Limitations and simplifications

Several limitations and simplifications were made in the course of this work that naturally suggest follow up studies. Although these studies were informative to assess 410

> the direct effect of Dex on CAR T-cell effector function, there is potential to construct 411 models with many interacting immune populations. The fact that the xCELLigence cell 412 killing assay is an *in vitro* system lacking an immune system naturally limits the model 413 complexity that is experimentally accessible. Related to this is the clearance rate of the 414 dexamethasone, assumed here to have a fixed value of approximately 200 minutes. In 415 patient populations, some level of variation in the clearance rate is to be expected due 416 to physiological differences [30, 31]. Future work examining how variation in the 417 clearance rate affects treatment success would be of interest. Furthermore, the fact that 418 T-cells are non-adherent to the cell killing assay precludes proposed models that require 419 high temporal resolution of the T-cell dynamics. Presently, our experimental protocol 420 includes only two datapoints for the CAR T-cells: the initial and final timepoints. 421 Although this results in a boundary value problem from a mathematical point of view 422 and uniquely determined solution for the CAR T-cell population, an immediate benefit 423 from a modeling perspective would be high temporal resolution measurement of CAR 424 T-cell dynamics similar to the tumor cells. Another simplification is that this modeling 425 framework does not include spatial variations in tumor cell, CAR T-cell, or Dex density. 426 Due to the highly structured nature of the brain and heterogeneity of glioblastoma 427 tumors, including hypoxia, necrosis, and extensive invasion through the brain, spatial 428 considerations may be important. Finally, a growing subject of importance is 429 understanding sex and age-based differences in the immunological responses of patient 430 derived cell lines, and how those difference translate to an individual level in clinical 431 applications [48]. In this work, all GBM cell lines were derived from male patients of a 432 similar age (43, 52, and 59 years old), suggesting that future in vitro work would benefit 433 from including a greater diversity of patients across both age and sex. 434

Potential applications and clinical relevance

Translating our findings to clinical applications requires refining understanding of the treatment success or failure threshold in terms of clinically accessible information for the treatment of GBM. In previous studies, we established that low doses of subcutaneous injections of Dex (0.2-1 mg/kg) had limited effect on *in vivo* antitumor potency in orthotopic murine models of GBM, whereas high doses of Dex (5 mg/kg) significantly compromise successful CAr T-cell therapy.

The goal of this study was to extend these findings by modeling a wide-range of in 442 vitro Dex levels to better predict CAR T-cell responses in the presence of Dex. Our 443 finding evaluating IL13R α 2-CAR T-cells suggest that in vitro Dex concentrations 444 between 10-100 ng/mL would correspond to this treatment failure threshold. While in 445 vivo Dex concentrations locally in the brain and tumor microenvironment are difficult to 446 measure and depend on the blood brain barrier, vasculature, and brain fluid flow, it has 447 been reported that patients receiving oral administration of 7.5 mg of Dex result in 448 serum Dex concentrations ranging from 2.5 to 98.1 ng/mL (median 61.6 ng/mL) within 449 1 to 3 hours [49], a range encompassing the treatment threshold defined in this study. 450 In our phase 1 clinical trials evaluating CAR T-cells for GBM, Dex is limited to 6 mg 451 per day in an effort to balance the clinical utility of Dex for reducing tumor-associated 452 edema and immune related inflammation during CAR T-cell therapy, while at the same 453 time maximizing CAR T-cell treatment efficacy, and in light of this data we are 454 continuing to evaluate the clinical impact of Dex on therapeutic activity. 455

Another important consideration is the role of Dex on endogenous immune responses. In a study evaluating neoantigen vaccine therapy for GBM, the generation of polyfunctional neoantigen T-cell responses was severely compromised in patients receiving Dex during T-cell priming [50]. Importantly, the interplay between CAR T-cell therapy and endogenous immune responses has been shown to positively contribute to the treatment success of CAR T-cell therapy [51–53]. Thus, the impact of

Dex on host immune responses, which was not evaluated in this study, will be an important future consideration when assessing the effect of Dex on CAR T-cell therapy. 463

Further, as advances in personalized medicine continue to develop patient-specific treatment plans, it is important to consider how many CAR T-cells are required for effective treatment in addition to how much Dex. This question is essential for designing patient specific adaptive therapies and in use of clinical decision support software. Furthermore, it requires knowledge of the spatial extent of individual tumors, the *in vivo* spatial heterogeneity of CAR T-cells and dexamethasone concentrations, and patient response and tolerance to timed-drug delivery.

One can also consider varying the concentration and timing of the high 471 dexamethasone dosages to still provide therapeutic levels of Dex yet avoid 472 compromising CAR T-cell efficacy. Previous theoretical work analysing pulsed drug 473 delivery shows promise for this alternative approach [19]. Yet still, another treatment 474 strategy could be patient preconditioning with dexamethasone followed with delayed, 475 and perhaps pulsed, CAR T-cell delivery. Recent simulated studies investigating 476 preconditioning with chemotherapy [25] or targeted radionuclide therapy [24] followed 477 with CAR T-cells suggests that combination pretreatment and time-delay approaches 478 have clinical value, in particular for providing therapeutic dosages at lower total 479 concentrations. Based on the duration of observable changes to the phase-space 480 trajectory in Fig 5, we suggest a time-delay of 2-3 dexamethasone half-lives. 481

While adaptive therapy protocols have yet to be fully implemented in CAR T-cell 482 treatment plans, data driven methods such as clinical decision support systems and 483 other machine learning inspired approaches have been proposed for patient monitoring [54]. Here, algorithms are trained on historical patient treatment data in an 485 effort to assess the likelihood that new patients will develop cytokine release syndrome 486 (CRS) or immune effector cell-associated neurotoxicity syndrome (ICANS) as a result of 487 CAR T-cell therapy. Typical management plans involve, among other things, the use of 488 corticosteroids such as dexamethasone. In this context, our results emphasize the need 489 to better resolve the threshold of treatment success in combining CAR T-cells and Dex 490 given that severe and unexpected complications can occur when trying to predict a 491 treatment response that involves nonlinear drug interactions. 492

An essential component to understanding and predicting combination CAR T-cell and dexamethasone treatment success in clinical scenarios is the spatial extent and heterogeneity of tumors and the spatial variation of CAR T-cell and dexamethasone concentrations. While advances in medical imaging and patient-specific treatment planning are aiding this effort, equally important is the development of spatially-dependent models that can accurately account for observed variation. Recent work in this direction has shown promise, demonstrating the ability of mathematical models to combine genotypic evolution with spatial aggregation to describe heterogeneous tumor growth [55].

Conclusion

In this work, we present an analysis of experimental data designed to untangle the interaction between glioblastoma cancer cells, CAR T-cells, and the anti-inflammatory glucocorticoid, dexamethasone. We examined three different human derived primary brain tumor glioblastoma cell lines and found that dexamethasone can act to exhaust CAR T-cells leading to tumor outgrowth, thereby undermining treatment success. In cases of extreme dosing, this results in complete treatment failure and tumor progression. Our use of a nonautonomous, explicitly time-dependent predatory-prey model to characterize the interactions demonstrates that dexamethasone acts to destabilize the coexistence equilibrium between CAR T-cells and tumor cells.

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> Furthermore, we observe that the predicted coexistence equilibrium population for the tumor cells, defined as the ratio of the CAR T-cell death rate to the CAR T-cell proliferation/exhaustion rate, serves as an experimental threshold for treatment success or failure. This work has important implications for future clinical applications of combination therapy using CAR T-cell and dexamethasone, as well as demonstrates the value of using nonautonomous models for pharmacodynamics.

Supporting information

S1 Supporting Information.Supplementary Text. Contains analysis of
regression between xCELLigence cell index and flow cytometry cell number,
xCELLigence time series and IncuCyte imaging of dexamethasone only treatments,
expression levels of IL13R α 2 in primary brain tumor cell lines, stability analysis for the
autonomous 3×3 coexistence equilibrium and for the nonautonomous 2×2 'Death' and
'Tumor Proliferation' equilibria, structural identifiability of the CARRGO with Dex
model, and methods for parameter estimation by particle swarm optimization.510

S1 Fig. Data and model fits for PBT138 with E:T=1:20. Graphs of tumor 526 cells, CAR T-cells, and Dex concentration over time for tumor cell line PBT138 with an 527 initial effector to target ratio of 1:4. Temporal measurements of tumor cell population 528 and the initial and final CAR T-cell measurements are represented by symbols, and 529 CARRGO model predictions are represented by lines. Colors and symbol types vary to 530 reflect initial Dex concentrations (see top legend). The progression of the tumor cell 531 curves as initial Dex concentration increases demonstrate the effect of Dex to reduce 532 CAR T-cell efficacy. In particular, CAR T-cell treatment is successful at low Dex initial 533 Dex concentrations (0, 10⁻⁴, and 10⁻³ $\mu g/ml$) and fails at higher initial Dex 534 concentrations (10⁻², 10⁻¹, and 1 $\mu q/ml$), resulting in tumor cell progression. 535 Experimental measurements for the tumor cell population are down-sampled by 1/10 for clarity. 537

S2 Fig. Data and model fits for PBT030 with E:T=1:4. Similar graphicalinformation as S1 Fig. presented for tumor cell line PBT030 with an initialeffector-to-target ratio of 1:4. For all initial Dex concentrations, treatment success isobserved, resulting in complete tumor death.

S3 Fig. Data and model fits for PBT030 with E:T=1:8. Similar graphical542information as S1 Fig. presented for tumor cell line PBT030 with an initial543effector-to-target ratio of 1:8. For all initial Dex concentrations, treatment failure is544observed, resulting in tumor cell progression that generally increases with increasing545initial Dex concentrations.546

S4 Fig. Treatment success/failure threshold. The ratio of CAR T-cell death (θ) to CAR T-cell proliferation/exhaustion (κ_2) rates predict CAR T-cell treatment success (tumor cell death) or failure (tumor cell progression). We observed that a ratio of $\theta/\kappa_2 \approx 0.4$ CI as the predicted final tumor cell population serves as a threshold for observed tumor progression or death. The threshold of $\theta/\kappa_2 \approx 0.4$ CI was consistent across all three tumor cell lines (denoted by color), CAR T-cell E:T ratios (denoted by shape), and initial Dex concentrations (denoted by location along the horizontal axis).

Data Availability

All data and code used to perform the analyses and generate figures are available on a Github repository at https://github.com/alexbbrummer/CARRGODEX. 556

Acknowledgments

This manuscript is dedicated to the memory of Xin (Cindy) Yang; she will always be remembered for her important scientific contributions, caring nature and smile.

Author Contributions

Conceptualization: CEB, RCR. Data Curation: ABB, XY, EM. Formal Analysis: 568 ABB. Funding Acquisition: CEB, RCR. Investigation: ABB, XY, EM, MG, CEB, RCR. Methodology: ABB, XY, EM, MG, CEB, RCR. Project Administration: 570 CEB, MG, RCR. Resources: CEB, MG, RCR. Software: ABB Supervsion: CEB, 571 MG, RCR. Validation: ABB, XY, EM, MG, CEB, RCR. Visualization: ABB, RCR. 572 Writing—Original Draft Prepration ABB. Writing—Review & Editing: ABB, 573 EM, MG, CEB, RCR. 574

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