1 Nuclear genome of a pedinophyte pinpoints genomic innovation and streamlining in

2 the green algae

3 Authors

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12 Abstract

The genomic diversity underpinning high ecological and species diversity in the green 13 algae (Chlorophyta) remains little known. Here, we aimed to track genome evolution in 14 15 the Chlorophyta, focusing on loss and gain of homologous genes, and lineage-specific innovations of the Core Chlorophyta. We generated a high-quality nuclear genome for 16 pedinophyte YPF701, a sister lineage to others in the Core Chlorophyta, and 17 18 incorporated this genome in a comparative analysis with 25 other genomes from 19 diverse Viridiplantae taxa. The nuclear genome of pedinophyte YPF701 has an 20 intermediate size and gene number between those of most early-diverging 21 prasinophytes and the remainder of the Core Chlorophyta. Our results suggest positive selection for genome streamlining in Pedinophyceae, independent from genome 22 minimisation observed among prasinophyte lineages. Genome expansion was 23 24 predicted along the branch leading to the UTC clade (classes Ulvophyceae, Trebouxiophyceae and Chlorophyceae) after divergence from their common ancestor 25 26 with pedinophytes, with genomic novelty implicated in a range of basic biological 27 functions. These results emphasise multiple independent signals of genome minimisation within the Chlorophyta, as well as the genomic novelty arising prior to 28

29 diversification in the UTC clade, which may underpin the success of this species-rich

30 clade in a diversity of habitats.

31 Key words: Chlorophyta, genome evolution, green algae, nuclear genome,

32 pedinophyte, streamlining, UTC, Viridiplantae

33 Introduction

34 The Chlorophyta are a diverse group of green algae, belonging, along with the 35 Streptophyta and Prasinodermophyta, to the Viridiplantae, an ancient lineage that diverged from a putative 'ancestral green flagellate' (Leliaert et al., 2012; Fang et al., 36 2017; Li et al., 2020). The Chlorophyta are subdivided into the Core Chlorophyta and 37 38 the paraphyletic early branching prasinophytes, which are mostly marine unicellular planktonic algae (Marin, 2012; Fučíková et al., 2014; Fang et al., 2017, 2018). The more 39 40 species-rich Core Chlorophyta comprise the well-supported 'UTC' clade - which is 41 composed of the classes Ulvophyceae, Trebouxiophyceae and Chlorophyceae - and the smaller and earlier diverging Chlorodendrophyceae and Pedinophyceae (Del Cortona 42 et al., 2020). 43

44 Numerous studies (e.g. Derelle et al., 2006; Palenik et al., 2007) have analysed both 45 the coding and noncoding elements in the nuclear genomes of prasinophyte lineages, 46 elucidating features correlated with early divergence and diversification of green algae 47 (Lemieux et al., 2019). The prasinophyte genus Ostreococcus represents some of the smallest free-living eukaryotes with relatively small (~13 Mb) genomes (Derelle et al., 48 49 2006; Palenik et al., 2007). Compared to genomes of other chlorophytes, the reduced 50 genomes of prasinophytes exhibit smaller numbers of gene families and genes, 51 shortened intergenic regions, and fused genes (Derelle et al., 2006; Moreau et al., 2012). The small and gene-dense genomes of prasinophytes may reflect genome 52 streamlining, a hypothesis that postulates selection acts to minimize the cost of 53 54 replicating non-essential DNA, thereby reducing genome size (Giovannoni, 2005). 55 Studies have concluded that genome minimization has occurred separately in 56 prasinophyte groups Chloropicophyceae and Mamiellophyceae, involving different 57 predicted losses of genes and pathways (Lemieux et al., 2019).

Most genomic studies in the Core Chlorophyta have investigated taxon-specific 58 59 innovations that underpin their ecological success under a range of environmental 60 pressures including high acidity (Hirooka et al., 2017), high salinity (Foflonker et al., 61 2015), polar conditions (Blanc et al., 2012; Zhang et al., 2020), and as symbionts (Blanc et al., 2010; Arriola et al., 2018; Iha et al., 2021). Members of the Core Chlorophyta, 62 particularly the UTC clade, also show high morphological diversity (Table S1), including 63 unicellular, siphonous, and multicellular forms, which appear to have arisen on 64 multiple occasions in both the Chlorophyceae and Ulvophyceae (Featherston et al., 65 2017; De Clerck et al., 2018; Del Cortona et al., 2020). Although the number of 66 67 sequenced genomes for the Core Chlorophyta is increasing steadily, the genomic 68 diversity underpinning their ecological and species diversity remains to be systematically investigated. 69

Positioned as sister to the rest of the Core Chlorophyta (Del Cortona *et al.*, 2020), the

71 class Pedinophyceae (pedinophytes) (Moestrup, 1991; Marin, 2012) presents an

72 excellent study subject to examine the evolution of the Core Chlorophyta, including

the gene family evolution that occurred as this group diverged. Pedinophytes are small

74 (2.5-7.0 μ m), usually naked, unicellular green flagellates found in water or soil habitats

and sometimes in symbioses (Sweeney, 1976; Cachon & Caram, 1979; Karpov &

76 Tanichev, 1992; Marin, 2012; Jackson *et al.*, 2018). Pedinophyte morphology varies

77 greatly, and they have been described in a variety of environments ranging from

78 freshwater, marine, to hyperhaline (Karpov & Tanichev, 1992; Jones *et al.*, 1994).

79 In this study, we present a high-quality nuclear genome of a pedinophyte.

80 Incorporating this genome in a comparative analysis with 25 genomes from other

81 Viridiplantae taxa, we investigated genome evolution in the Chlorophyta, focussing on

82 patterns of gene-family loss and gain. We emphasise the implication of these results

83 for innovations that may have emerged upon divergence and subsequent

84 diversification of the Core Chlorophyta lineage.

85 Materials and Methods

86 Culturing and nucleic acid extraction

- 87 Pedinophyte strain YPF701 (NIES Microbial Culture Collection strain NIES-2566) was
- cultured in K-enriched seawater medium (Keller et al., 1987) at 20 °C on a 10:14 hour
- 89 light:dark cycle. To reduce bacterial load, cultures were treated with antibiotics
- 90 (cefotaxime 0.72mg/mL, carbenicillin 0.72mg/mL, kanamycin 0.03mg/mL and
- 91 amoxicillin 0.03mg/mL) one week prior to extraction for long-read sequencing. Total
- 92 genomic DNA was extracted using a modified CTAB protocol, in which the CTAB
- 93 extraction buffer was added directly to the cell pellets (Cremen *et al.,* 2016).

94 DNA and RNA Sequencing

- 95 DNA was sequenced using nanopore sequencing technology (MinION, Oxford
- 96 Nanopore Technologies), producing approximately 953,000 reads and 6.61 GB, with an
- 97 average read length of approximately 7,000 bp.
- 98 DNA was prepared for short-read sequencing using a Kapa Biosystems kit, for
- 99 sequencing of 2 × 150 bp paired-end reads using the Illumina NextSeq platform at
- 100 Novogene, Hong Kong (see Jackson *et al.*, 2018). For RNA sequencing, total RNA was
- 101 extracted using PureLink[™] Plant RNA Reagent (Thermofisher, Waltham, MA, USA). A
- 102 strand-specific 100 bp paired-end library was constructed and sequenced using
- 103 Illumina HiSeq 2500.

104 De novo assembly of pedinophyte transcriptome and genome

- 105 Removal of adaptors from long-read data was performed with Porechop (Wick et al.,
- 106 2017), https://github.com/rrwick/Porechop). Quality filtering was performed using
- Filtlong (https://github.com/rrwick/Filtlong), with quality cutoff 50 and window qualitycutoff 40.
- 109 Quality filtering of short reads was performed using Trimmomatic v0.39 (Bolger *et al.*,
- 110 2014) with the following settings: LEADING:3 TRAILING:3 SLIDINGWINDOW:4:20.
- 111 Transcriptome data were assembled using Trinity v2.8.3 (Grabherr *et al.*, 2011).
- 112 De novo genome assembly was conducted using MaSuRCa v3.2.8 (Zimin et al., 2013) at
- 113 default setting, combining both long- and short-read data.

The assembled genome was filtered to remove contaminants based on a 114 115 comprehensive strategy employing taxonomic annotations, read coverage, 116 transcriptome data, and GC content. For this process, genes were predicted for the 117 scaffolds using GeneMark-ES version 2.0 (Ter-Hovhannisyan *et al.*, 2008), and the associated coding sequences (CDS) were searched (BLASTN) against the GenBank 118 nucleotide (nt) database and subsequently categorized as green algae, bacteria, or 119 other, based on the top hit. We manually verified this categorisation and removed 120 scaffolds with a high similarity to sequenced bacterial genomic data, no predicted 121 122 genes, no mapped transcripts, and/or deviant average coverage of sequencing reads or 123 GC content from the assembly. This ensured high confidence that retained scaffolds 124 represent correctly assembled segments of the pedinophyte genome. Scaffolds 125 corresponding to the mitochondrial and chloroplast genomes were also removed 126 based on their CDS matching sequenced organelle genomes on GenBank. This 127 approach revised the assembled genome from 34 Mbp (1877 scaffolds) to the final 128 assembly of 28 Mbp (32 scaffolds).

- 129 Genome summary statistics were calculated with QUAST 5.0.2 (Mikheenko *et al.*, 2018)
- 130 and Geneious 11.1.2 (Kearse *et al.*, 2012).

131 *Ab initio* prediction of protein-coding genes

- 132 After filtering of scaffolds, we followed the workflow described in Iha *et al.* (2021) to
- 133 predict protein-coding genes from the assembled genome sequences. Novel repeat
- 134 families were identified with RepeatModeler v1.0.11
- 135 (http://www.repeatmasker.org/RepeatModeler/). All repeats (including known
- 136 repeats in RepeatMasker database release 20181026) in the genome scaffolds were
- 137 masked using RepeatMasker v4.0.7 (<u>http://www.repeatmasker.org/</u>) before gene
- 138 prediction.
- 139 To generate high-quality evidence to guide gene prediction, we first employed PASA
- pipeline v2.3.3 and TransDecoder (Haas *et al.*, 2003) to predict transcript-based
- 141 protein-coding genes from the unmasked genome assembly and the assembled
- 142 transcriptome. Predicted proteins were searched (BLASTP, $E \le 10^{-20}$, >80% query cover)
- against proteins in RefSeq database (release 88), and checked for transposable

144 elements using HHblits v2.0.16 (Remmert et al., 2012) and TransposonPSI (Haas, 145 2007). Predicted proteins with hits to RefSeg and no transposable elements were retained, and redundant sequences were removed using CD-HIT v4.6.8 (Li & Godzik, 146 2006) (-c 0.75 -n 5). The resulting gene models were then used to infer high-quality 147 "golden genes" using the script Prepare_golden_genes_for_predictors.pl from the 148 JAMg pipeline (https://github.com/genomecuration/JAMg). These "golden genes" 149 were used as the training set to guide gene prediction in the repeat-masked genome 150 151 sequences with AUGUSTUS v3.3.1 (Stanke et al., 2006) and SNAP (Korf, 2004). Additional gene models were generated with GeneMark-ES v4.38 (Lomsadze et al., 152 153 2005) and MAKER v2.31.10 (Holt & Yandell, 2011) (protein2genome, UniProt-SwissProt 154 database retrieved 27 June 2018). Protein-coding genes predicted using the five 155 methods (PASA, AUGUSTUS, SNAP, MAKER, and GeneMark-ES) were integrated using EvidenceModeler v1.1.1 (Haas et al., 2008). The weights for each gene prediction 156 157 output were: GeneMark-ES 2, MAKER 8, PASA 10, SNAP 2, AUGUSTUS 6. We retain 158 PASA-predicted genes (which are supported by transcriptome evidence), and those 159 predicted by two or more other methods, as the final set of protein-coding genes.

160 Comparison of nuclear genomes for the green lineage

- 161 For comparative genomic analyses, we built a dataset, containing both genomes and
- 162 proteomes, of 20 Chlorophyta taxa, including pedinophyte strain YPF701, 5
- 163 Streptophyta taxa, and Prasinoderma coloniale (Table S1). Percentage of identified
- 164 BUSCO sequences was assessed for all proteomes with BUSCO v5.2.2 (Manni et al.,
- 165 2021), using the chlorophyta_odb10 lineage for members of the Chlorophyta,
- streptophyta_odb10 lineage for members of the Streptophyta and viridiplantae_odb10
- 167 for Prasinoderma coloniale and Klebsormidium nitens.
- 168 GC content of CDS and synonymous codon usage order (SCUO) were calculated using
- the CodonO (Angellotti et al., 2007) function from the cubfits v.0.1-3 (Chen et al.,
- 170 2014) package in R version 3.5.1 (R Core Team, 2020). SCUO value ranges from 0 to 1,
- 171 with a larger value indicating stronger codon usage bias.

We used the OrthoFinder 2.5.1 (Emms & Kelly, 2019) pipeline (default parameters) to 172 173 cluster proteins from the dataset into homologous groups (i.e. "orthogroups" defined 174 by the program).

175 A phylogenetic tree was manually constructed to reflect current knowledge of

176 evolutionary relationships between taxa from large-scale multi-gene phylogenies (Fig.

177 1) (Del Cortona et al., 2020; Li et al., 2020).

178 Based on the phylogenetic tree, the most parsimonious gain and loss scenario was

179 reconstructed for each orthogroup using the Dollop program from PHYLIP version

3.695 (Felsenstein, 2005), with the Dollo parsimony method and printing of states at 180

all nodes of the tree. This gain and loss scenario was processed using 181

182 extract dollop output sequences v2-fast.pl from OrthoMCL Tools v1.0 (Leonard,

183 2015), and mapped to the tree in RStudio using R version 4.0.2 (R Core Team, 2020)

with the packages phytools 0.7.70 (Revell, 2012), ape 5.4.1 (Paradis & Schliep, 2019), 184

maps 3.3.0 (Brownrigg et al., 2018), ggplot2 3.3.2 (Wickham, 2016) and ggtree v2.2.4 185

186 (Yu et al., 2017).

187 Orthogroup losses and gains were further analysed by examining their annotated Gene Ontology (GO) terms. Chlorophyta proteomes were analysed using eggNOG-mapper 188

189 2.0.1 (Huerta-Cepas et al., 2017, 2019), with DIAMOND 0.9.24 (Buchfink et al., 2015),

190 default settings, and 'Viridiplantae' as taxonomic scope to maximise accurate

191 functional annotations. GO terms associated with sequences found in orthogroups

gained or lost along branches of interest were summarised using REVIGO (Supek et al., 192

193 2011), focusing on the 'Biological Process' category. REVIGO results were visualised

194 using CirGO (Kuznetsova et al., 2019), weighted according to the number of

gained/lost orthogroups associated with each GO term, including full eggNOG-mapper 195

196 results for branches of interest except for orthogroups lost along YPF, for which only

197 the top 3500 GO terms were included (when sorted by number of gained/lost

198 orthogroups associated with each GO term) due to REVIGO constraints.

199 GO analyses using Chlamydomonas reinhardtii, which has more comprehensive GO 200 annotations relative to most Chlorophyta, were also used to explore functions of

201 orthogroups that contained *C. reinhardtii* sequences. Orthogroups gained or lost along

branches of interest were grouped into functional clusters according to ChlamyNET
(Romero-Campero *et al.*, 2016), which provides a gene co-expression network of *C. reinhardtii* transcriptomes.

205 Results

The newly assembled nuclear genome for pedinophyte YPF701 comprises 32 scaffolds 206 207 with a total length of 27,899,919 bp, scaffold N50 of 1.23 Gb, and 7,940 predicted protein-coding genes (Table S1). The genome has a size, number of proteins, and 208 209 average number of genes per orthogroup that are intermediate between those of most prasinophytes and the rest of the Core Chlorophyta (Fig. 2). The GC content is 210 70%, which is higher than most sequenced green algal nuclear genomes but not 211 212 unseen in the Chlorophyta (Suzuki et al., 2018). The genome shows the highest 213 synonymous codon usage order of the Viridiplantae genomes included in this study 214 (Fig. 2).

215 Comparative analysis of predicted proteins from 26 genomes (Table S1) reveals 216 patterns of putative gains and losses of homologous groups (i.e. OrthoFinder-defined "orthogroups") across the Viridiplantae phylogeny, showing predicted losses 217 218 outnumbering predicted gains for most Chlorophyta branches (Fig. 1). Associated GO 219 terms and ChlamyNET classifications for sequences suggest potential functions for a 220 subset of the orthogroups gained or lost along the branches at the base of the 221 Chlorophyta (Chloro), Core Chlorophyta (CC) and UTC clade (UTC) and leading to the 222 pedinophyte genome (YPF) (Tables S2, S3), with many GO terms implicated in metabolism and biological processes related to signalling and regulation in cells (Fig. 223 224 S1). The use of 'Viridiplantae' as taxonomic scope for eggNOG-mapper, due to how little GO data is available for the Chlorophyta, resulted in GO term annotations for 225 226 plant-specific processes, including "pollen tube development" and "regulation of 227 flower development" (Table S2), which likely incorporate these biological functions. 228 Results from ChlamyNet analysis reinforce these functional themes of cell regulation and metabolism (Fig. 3), with the greatest number of ChlamyNet hits for most 229 230 branches falling into cluster 3: "protein phosphorylation, ribosome biogenesis and macromolecular synthesis". This is the largest Chlamynet cluster, which is involved in 231

diverse biological processes and is significantly enriched in transcription factors
(Romero-Campero *et al.*, 2016).

234 Considerable loss of orthogroups is predicted for the YPF branch following divergence 235 from the rest of the Core Chlorophyta. A similar reduction of orthogroups is predicted 236 for branches leading to each of the major prasinophyte groups, as well as to some individual taxa within the UTC clade (e.g. Picochlorum, Ulva, Caulerpa+Ostreobium). 237 238 Sequences in orthogroups lost along the pedinophyte branch are diverse in function 239 (Figs 3, S1), and many appear to be implicated in cell cycling and division, gene 240 expression, and also include some light-related GO terms: "response to high light intensity", "photosystem I assembly" and "red or far-red light signaling pathway" 241 242 (Table S2). None of the orthogroups associated with these terms appear to be 243 essential in the Chlorophyta, and they are absent in several lineages. 244 Conversely, a considerable gain in new orthogroups is predicted to have occurred 245 along the UTC branch leading to the rest of the Core Chlorophyta following their

- 246 divergence from the pedinophytes (Fig. 1). For the UTC, predicted gained orthogroups
- are associated with GO terms related to regulation, metabolism, reproduction and
- 248 growth (Fig. 3, Table S2). In contrast, less change is predicted for the number of
- orthogroups along the branch leading to the last common ancestor of the Core
- 250 Chlorophyta (CC) (Fig. 1, Table S3), and GO terms associated with predicted gained and
- lost orthogroups are reasonably balanced in terms of hierarchical clusters, lacking a
- 252 clear functional pattern (Fig. S1, Table S2).

253 Discussion

254 **Recurring genome minimisation in the green algae**

255 The pedinophyte nuclear genome represents a missing link to examine early evolution

- 256 of the Chlorophyta. The coding regions show strong codon and GC biases, which are
- also observed in their compact, intron-lacking chloroplast genomes (Marin, 2012;
- Jackson *et al.*, 2018; Uthanumallian *et al.*, 2021), indicating that they are under
- comparatively strong selection. The pedinophyte lineage also appears to have
- 260 experienced considerable loss of homologous gene groups. These observations
- 261 collectively support the hypothesis of selection for genome streamlining in the

262 Pedinophyceae (Giovannoni, 2005). Genome streamlining appears to have occurred 263 following the divergence of the Pedinophyceae from the rest of the Core Chlorophyta, 264 with comparatively fewer changes in orthogroups predicted for the CC branch, and 265 signals of genome reduction observed only for individual taxa within the UTC clade (eg. 266 Gao et al., 2014; Foflonker et al., 2015). As Pedinophyceae are unicellular while many sequenced Core Chlorophyta are colonial and multicellular, the pedinophytes may 267 have a larger effective population size, increasing the power of selection acting on 268 269 their coding content to retain essential genes and remove non-essential DNA (Lynch, 270 2006; Smith, 2016).

271 Multiple independent signals of genome minimisation are observed at the base of the 272 Chlorophyta: in the Pedinophyceae, Chloropicophyceae and Mamiellophyceae 273 (Lemieux et al., 2019). This might indicate that the Chlorophyta common ancestor had 274 a genome larger than those of many early-branching lineages. Evidence for larger ancestral Chlorophyta genomes remains circumstantial, but the conspicuous pattern of 275 genome minimisation in early-branching lineages raises intriguing questions about the 276 277 origin of Chlorophyta genomes. This predicted higher genomic novelty gained at deeper nodes followed by independent reduction events resembles patterns seen in 278 279 recent comparisons of metazoan (Paps & Holland, 2018; Fernández & Gabaldón, 2020) 280 and streptophyte (Bowles et al., 2020) genomes, and is consistent with the proposed universal biphasic model of speciation and genome evolution in eukaryotes, which 281 282 involves initial rapid genome expansion (associated with emergence of new organism 283 groups) followed by a prolonged period of gene loss driven largely by neutral processes and/or adaptive genome streamlining (Cuypers & Hogewe, 2012; Wolf & 284 Koonin, 2013; Deutekom et al., 2019). The pedinophyte genome shows distinct 285 286 features, high GC and stronger codon usage bias, which suggest coding content is 287 under a different level of selection intensity compared with other reduced genomes 288 found in the prasinophytes. It may be that prasinophyte lineages experienced lower 289 relative selection intensity, thus different balances of natural selection and drift, 290 during genome minimisation relative to the pedinophytes. Alternatively, prasinophyte lineages might have experienced a relaxation in selection following a period of 291 292 streamlining; higher SCUO values for Micromonas relative to the rest of the

293 Mamiellophyceae could be explained by lower relaxation of selection in this group,

following the predicted genome minimisation in the Mamiellophyceae common

ancestor (Worden *et al.*, 2009). Differences between the genomes of early-diverging

296 Chlorophyta lineages point to different balances of evolutionary forces driving their

297 independent reduction events.

298 Genomic innovation at the base of the UTC clade

299 Comparatively more orthogroup gains are predicted for UTC, following divergence of the pedinophytes, relative to the base of the Core Chlorophyta, suggesting a 300 considerably high amount of genomic innovation arose along this branch. The highest 301 average number of genes per homologous group for the Chlorophyta in our study were 302 303 found in the UTC: for C. lentillifera, and fellow Ulvophyceae Ostreobium quekettii and 304 *Ulva mutabilis* (Fig. 2), and members of the volvocine algae, whose relatively high gene 305 duplication rates have been noted elsewhere (Hanschen *et al.*, 2016). This reiterates 306 the importance of gene duplication as a source of innovation in eukaryotic genomes (Wolf & Koonin, 2013). Bursts of conserved genomic novelty are attributed to whole-307 genome duplications (WGDs) in land plants (Bowles et al., 2020). However, studies 308 309 investigating this phenomenon across the Viridiplantae have not identified evidence 310 for WGDs in the ancestral branches of the UTC (One Thousand Plant Transcriptomes 311 Initiative, 2019; Bowles et al., 2020).

312 Despite putative innovation in their common ancestor, considerable orthogroup loss is nonetheless predicted for many branches leading to individual taxa within the UTC 313 314 clade. Although the nature of the Dollo parsimony method and false absences due to 315 incomplete annotations might contribute to excessive losses being inferred (Wolf & 316 Koonin, 2013; Deutekom *et al.*, 2019), our results are consistent with the biphasic model of lineage genome evolution discussed above (Cuypers & Hogewe, 2012; Wolf & 317 Koonin, 2013). The observed pattern of genome expansion in the common ancestor of 318 319 the UTC followed by extensive gene loss within individual lineages may underpin the success of this species-rich clade in a diversity of habitats (Leliaert et al., 2012). 320 Genomic innovation has the potential to open up many new niches for exploration by 321 322 evolving organisms, while genome reduction is proposed to drive specialisation (Wolf & Koonin, 2013). Thus, new genes gained in their common ancestor may have 323

provided genetic potential, which was then modified by lineage-specific patterns of
evolution to enable the diversification of the UTC into many unique taxa inhabiting a
diverse range of environments.

327 Our results from analysis of annotated gene functions reveal genomic novelty arising 328 at the base of the Chlorophyta and UTC is implicated in a range of basic biological functions, from which specialised processes may have evolved. It appears that the 329 330 genetic blueprint for many modern functions was already present in the Viridiplantae 331 common ancestor. Probing these functional questions further is challenging, however, 332 as the evolutionary emergence of many orthogroups predates the origin of the land 333 plant-specific function based on their annotated GO terms (Leliaert et al. 2012; 334 Romero-Campero et al., 2016; Bowles et al., 2020). A majority of orthogroups gained 335 and lost along branches of evolutionary interest were not assigned GO terms (Table 336 S3), meaning that the hypotheses proposed here represent merely the tip of the iceberg when it comes to study into the evolution of the functional gene repertoire of 337 Chlorophyta. 338

Relative to work in the land plants and animals, comparative study into the evolution 339 340 of Chlorophyta genomes is very much just beginning. This study represents merely an 341 introductory peek into the diversification of green algae. Future study into Chlorophyta 342 genome evolution would benefit from integration of genome annotations with 343 functional work in order for inferences to be drawn about thus far uncharacterised 344 genes. It is hoped that through initiatives striving for greater sampling within diverse 345 Chlorophyta groups (e.g. Cheng et al., 2018), and parallel efforts to verify gene functions, the story of genome evolution in this lineage will continue to develop in the 346 347 coming years.

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355 Author Contribution

- 356 HV, CXC, CJJ and SIR designed the research; SIR, CI, CJJ, KU and YC performed the
- 357 research; SIR, CI, KU and HV performed data analysis and interpretation; SIR, CI, KU,
- 358 CJJ, YC, CXC and HV wrote the manuscript.

359 Data Availability

- 360 The genome sequence of Pedinophyte YPF701 is available at the European Nucleotide
- 361 Archive (ENA) with the project accession number ENA: PRJEB47395 and sample
- number ENA: ERS7299077. The raw Illumina reads are available with the accession
- numbers ENA: ERR6667563-ERR6667566, and the raw Nanopore reads are available
- 364 with the accession number ENA: ERR6667567. Transcriptome reads are available with
- the accession number ENA: ERR6667568. The assembled genome is available with the
- accession number ENA: ERZ3455784.

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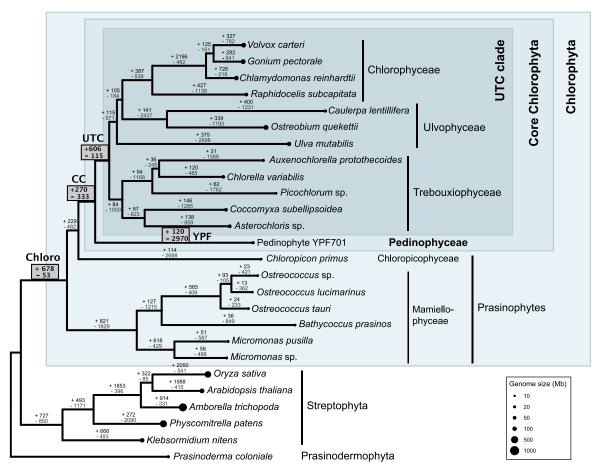
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587 Supplementary Legends

- 588 Fig. S1 CirGO visualisations of REVIGO results for GO terms associated with sequences
- 589 in predicted gained orthogroups along branches Chloro, CC, UTC and YPF, and
- 590 predicted lost orthogroups along branches CC, UTC and YPF, weighted according to the
- number of gained/lost orthogroups associated with each GO term.
- 592 **Table S1** Comparison of Viridiplantae nuclear genomes used for analysis in this study
- 593 **Table S2** Results of REVIGO analysis of GO terms associated with sequences in
- orthogroups predicted to be gained or lost along branches of interest.
- 595 **Table S3** Orthogroups predicted to have been gained and lost along branches of
- 596 evolutionary interest that were the focus of this study, estimated using the Dollo
- 597 parsimony principle, and the number of these orthogroups assigned GO terms by
- 598 REVIGO, and containing a *C. reinhardtii* sequence associated with a ChlamyNET cluster.

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Fig. 1 Phylogenetic tree of green algae and land plants, including predicted pattern of

gain and loss of orthogroups. The number of gene families acquired (black) or lost
(dark grey), indicated along each branch in the tree were estimated using the Dollo

606 parsimony principle. Size of the circle at branch tips is proportional to genome size.

Branches of interest in our study are marked in bold: base of the Chlorophyta (Chloro),

608 Core Chlorophyta (CC), UTC clade (UTC) and branch to pedinophyte YPF701 (YPF).

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			3	J.	.) 	>	
			sile (MD)	proteins	oenesloc	GC 0/0	5CNO
		- Volvox carteri	131.1	16.1	1.56	56	0.152
	┍╼┦┕	- Gonium pectorale	148.81	16.2	1.68	56	0.375
	L	- Chlamydomonas reinhardtii	111.1	19.5	1.75	64	0.326
		- Raphidocelis subcapitata	51.16	13.4	1.6	72	0.45
		- Caulerpa lentillifera	29	9.8	1.84	40	0.093
		- Ostreobium quekettii	146.26	10.7	1.81	52	0.175
		- Ulva mutabilis	98.48	12.9	1.65	57	0.177
	d	- Auxenochlorella protothecoides	22.92	7	1.24	63	0.36
	║_┖──	- Chlorella variabilis	46.2	9.8	1.51	67	0.378
		- Picochlorum sp.	15.25	6.9	1.27	46	0.098
ſ	11,	- Coccomyxa subellipsoidea	48.83	9.6	1.44	53	0.218
_		- Asterochloris sp.	55.82	10	1.49	59	0.239
		- Pedinophyte YPF701	27.9	7.9	1.31	70	0.466
լլ		- Chloropicon primus	17.4	8.6	1.33	58	0.302
	г	- Ostreococcus sp. RCC809	13.3	7.5	1.19	60	0.246
	ſ	- Ostreococcus lucimarinus	13.2	7.8	1.24	60	0.249
	ᅴ└	- Ostreococcus tauri	12.92	7.7	1.2	58	0.227
ΙL		- Bathycoccus prasinos	15.07	7.9	1.27	48	0.141
		- Micromonas pusilla	21.96	10.7	1.34	65	0.43
d i		- Micromonas sp. RCC299	20.99	10.1	1.29	64	0.363
		- Oryza sativa	377.6	41.4	3.26	44	0.167
	f	- Arabidopsis thaliana	135	35.4	4.47	36	0.111
_		- Amborella trichopoda	706.5	26.8	2.47	38	0.151
		- Physcomitrella patens	473	10.7	1.89	46	0.124
		- Klebsormidium nitens	103.92	17.2	1.86	52	0.168
		- Prasinoderma coloniale	25.3	7.1	1.3	70	0.37

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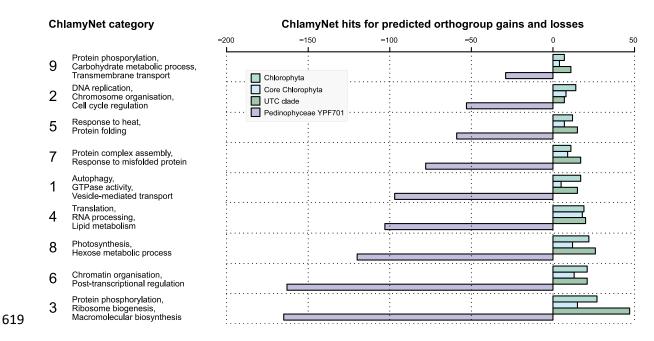
Fig. 2 Heat map of key traits for Viridiplantae nuclear genomes used for analysis in this

614 study (brown= lowest value, blue=highest value). SCUO = synonymous codon usage

615 order.

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- 620 **Fig. 3** Number of orthogroups, containing *Chlamydomonas* sequences, gained along
- the branches leading to the Chlorophyta (Chloro), Core Chlorophyta (CC) and UTC
- 622 clade (UTC), and lost along the branch leading to pedinophyte YPF701 (YPF),
- 623 categorised into the 9 ChlamyNET gene clusters. y-axis labels refer to Gene Ontology
- 624 (GO) term enrichment results for these clusters.
- 625