

1 Bacteriocinogenic lactic acid bacteria in the traditional cereal-based beverage Boza: a
2 genomic and functional approach

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20

21 **Abstract**

22 Boza is a traditional low-alcohol fermented beverage from the Balkan Peninsula,
23 frequently explored as a functional food product. The product is rich in Lactic Acid
24 Bacteria (LAB) and some of them can produce bacteriocins. In this study, a sample of
25 Boza from Belogratchik, Bulgaria, was analyzed for the presence of bacteriocinogenic

26 LAB, and after analyses by RAPD-PCR, three representative isolates were characterized
27 by genomic analyses, using whole genome sequencing. Isolates identified as
28 *Pediococcus pentosaceus* ST75BZ and *Pediococcus pentosaceus* ST87BZ contained
29 operons encoding for bacteriocins pediocin PA-1 and penocin A, while isolate identified
30 as *P. acidilactici* ST31BZ contained only the operon for pediocin PA-1 and a
31 CRISPR/Cas system for protection against bacteriophage infection. The antimicrobial
32 activity of bacteriocins produced by the three isolates was inhibited by treatment of the
33 cell-free supernatants with proteolytic enzymes. The produced bacteriocins inhibited the
34 growth of *Listeria monocytogenes*, *Enterococcus* spp. and some *Lactobacillus* spp.,
35 among other tested species. The levels of bacteriocin production varied from 3200
36 AU/ml to 12800 AU/ml recorded against *L. monocytogenes* 104, 637 and 711, measured
37 at 24 h of incubation at 37°C. All bacteriocins remained active after incubation at pH
38 2.0 to 10.0. The activity mode of the studied bacteriocins was bactericidal, as
39 determined against *L. monocytogenes* 104, 637 and 711. In addition, bactericidal
40 activity was demonstrated using a cell leakage β -galactosidase assay, indicating a pore
41 formation mechanism as a mode of action. The present study highlights the importance
42 of combining metagenomic analyses and traditional microbiological approaches as way
43 of characterizing microbial interactions in fermented foods.

44

45 **Keywords:** Boza, lactic acid bacteria, bacteriocins, metagenomic analyses, whole
46 genome sequencing

47

48 **Introduction**

49 Boza is a traditional fermented cereal product, popular in many countries in the Balkan
50 Peninsula, spread throughout the Middle East region by the Ottoman Empire^{1,2}. There

51 are several beneficial properties attributed to Boza: besides its high nutritional value,
52 there is a diverse set of recommendations in traditional medicine¹.

53 The microbiological composition of Boza includes several species of lactic acid
54 bacteria (LAB), such as *Lactobacillus acidophilus*, *Lactobacillus brevis*, *Lactobacillus*
55 *coprophilus*, *Lactobacillus coryniformis*, *Lactobacillus fermentum*, *Lactobacillus*
56 *paracasei*, *Lactobacillus pentosus*, *Lactobacillus plantarum*, *Lactobacillus rhamnosus*,
57 *Lactobacillus sanfrancisco*, *Lactococcus lactis* subsp. *lactis*, *Leuconostoc*
58 *mesenteroides*, *Leuconostoc mesenteroides* subsp. *dextranicum*, *Leuconostoc*
59 *raffinolactis*, *Leuconostoc lactis*, *Enterococcus faecium*, *Pediococcus pentosaceus*,
60 *Oenococcus oeni*, *Weissella confusa* and *Weissella paramesenteroides*^{1,2}. Several of
61 these LAB species contain beneficial strains, used as probiotics as starter cultures, and
62 for food biopreservation³.

63 The application of LAB in food biopreservation is related to their ability of
64 producing metabolites with antimicrobial activity, explored by traditional fermentation
65 processes and traditional medicine for centuries. Bacteriocins constitute a group of
66 inhibitory metabolites produced by LAB, presenting a polypeptide nature and produced
67 in the bacterial ribosomal complex with no post-translational modification. Usually, the
68 producer bacteria are immune to their own bacteriocins, due to mechanisms present in
69 their genome⁴.

70 Bacteriocins have been described as effective against closely related species⁴.
71 However, some reports have found unorthodox bacteriocin activities, such as inhibition
72 of some viruses, *Mycobacterium spp.* as well as some fungi^{5,6}. Several LAB isolated
73 from Boza have been characterized for their ability to produce bacteriocins and
74 proposed for different applications in biopreservation processes¹.

75 Bacteriocins are known for their low cytotoxicity, being considered generally safe
76 for human and animal consumption^{7,8}. In the last decade, special attention was given to
77 their pharmaceutical properties and potential application in human and veterinary
78 medicine^{4,5}.

79 In this study, we used a whole genome sequencing approach for the
80 characterization of bacteriocins produced by some selected strains of lactic acid
81 bacteria, isolated from Boza. This approach is important for a better understanding of
82 the potential of application of this technological strategy to improve the safety of
83 fermented food products.

84

85 **Results**

86 **Screening for bacteriocinogenic LAB**

87 The average bacterial population in the Boza samples was 1.1×10^8 CFU/ml recorded
88 by plating on MRS. Colony morphology on MRS agar plates, Gram-staining and
89 catalase test indicated that the majority of the isolates were lactic acid bacteria (LAB),
90 with coccoid and rod morphology. Preliminary screening tests for microbial inhibition
91 indicated that most colonies were active against *Listeria monocytogenes* 104. The
92 majority presented coccid morphology and were Gram positive, catalase negative and
93 oxidase positive. The cell-free supernatants (CFS) of 18 isolates presented antimicrobial
94 activity against *L. monocytogenes* 104 and *E. faecium* ATCC 10434. The activity was
95 lost after treatment of the CFS with Proteinase K and pronase, but not when treated with
96 α -amylase, lipase or catalase, indicating the proteinaceous nature of the antimicrobial
97 activity. Bio-molecular fingerprinting based on RAPD-PCR grouped these isolates in 3
98 distinct groups. One isolate from each group was submitted to 16S rRNA sequencing
99 for identification, resulting in *Pediococcus acidilactici* (one isolate) and *P. pentosaceus*

100 (two isolates). These isolates were submitted to whole genome sequencing for a better
101 characterization of the bacteriocin production.

102

103 ***Pediococcus acidilactici* ST31BZ genome characterization**

104 The genome assembly for strain ST31BZ produced 19 contigs with N50 of 433,517 bp
105 and 235x coverage. MiGA essential genes analysis showed a completeness of 94.6%,
106 contamination of 1.8%, with an overall quality of 85.6%. We detected 105 of 111
107 essential genes in this genome and it was classified by MiGA using genome-aggregate
108 Average Nucleotide and Amino Acid Identity (ANI/AAI) concepts as *P. acidilactici*,
109 and closely related with *P. acidilactici* ZPA017 (NZ_CP015206.1) (98.89% ANI),
110 isolated from black pig in Beijing, China.

111 The genome size for *P. acidilactici* ST31BZ isolate was 1,899,329 bp, with
112 42.18% GC-content, and 1,847 predicted protein-coding genes and no pseudogenes
113 (Fig. 1a). We detected 50 tRNA and three rRNAs operons with complete 16S, 23S, and
114 5S coding regions. One CRISPR repeat with 36nt and 366 bp length was detected and a
115 CAS-Type IIA System (*cas9*, *cas1*, *cas2*, and *csn2*) upstream of the CRISPR array. Two
116 circular plasmids were found and designated as pST31BZ-1 with 49,093 bp and
117 pST31BZ-2 with 9,090 bp containing a pediocin PA-1 operon (Fig. 2a). The pST31BZ-
118 1 plasmid was similar to pHN9-1 (BLASTn, Identity: 98.19%; Coverage: 58%), a
119 42,239 bp plasmid found in *P. acidilactici* HN9 isolated from the Traditional Thai-Style
120 Fermented Beef Nhang (Surachat *et al.*, 2021). No antibiotic resistance genes were
121 predicted using ARIBA in the genome or plasmids of strain ST31BZ, however, we were
122 able to predict the following resistance genes using the KEGG GhostKoala automatic
123 annotation pipeline: Lantibiotic transporter system (NisE/F/G), Lincosamide and
124 streptogramin A resistance (Lsa), Beta lactamase class A (PenP), Cationic antimicrobial

125 peptide (CAMP) resistance, *dltABCD* operon (Dlt A/B/C/D), and two Multidrug efflux
126 pump (*EfrA/B* and *AbcA*).

127 *P. acidilactici* ST31BZ genome presented genes coding for several sugar
128 transporters such as sucrose, maltose/glucose and cellobiose, and is likely able to
129 degrade them all to lactate and acetate. We also found evidence that the strain is able to
130 synthesize arginine, glycine, alanine, serine, asparagine, aspartate, glutamine and
131 glutamate (Supplementary Table 1).

132

133 ***Pediococcus pentosaceus* ST75BZ and ST87BZ genome characterization**

134 The assembled genomes of the two *P. pentosaceus* isolates presented a very high
135 similarity (99.99% ANI), despite being analyzed separately, the only difference being
136 that we obtained 15 contigs with N50 of 282,292 bp and 63x genome coverage for
137 isolate ST75BZ, and 15 contigs with N50 of 296,046 bp and 62x genome coverage for
138 isolate ST87BZ. The following results apply to both isolates and we will refer to them
139 as strain *P. pentosaceus* ST75BZ and ST87BZ for the remainder of the text (Fig. 1b).

140 MiGA essential genes analysis showed a completeness of 95.5%, contamination
141 of 1.8% and an excellent quality of 86.5% for both isolates. We detected 106 of 111
142 essential genes and this strain was taxonomically classified by MiGA, using genome-
143 aggregate ANI/AAI concepts, as *P. pentosaceus*, and closely related with *P.*
144 *pentosaceus* ATCC 25745 (NC_008525.1) (99.1% ANI). The genome size for *P.*
145 *pentosaceus* ST75BZ and ST87BZ isolate was 1,810,333 bp, average GC content was
146 37.08%, with 1,773 protein-coding genes predicted and no pseudogenes. We detected
147 50 tRNA and three rRNAs operons with complete 16S, 23S, and 5S coding regions. No
148 CRISPR repeats were detected. One circular plasmid was found and designated as
149 pST75BZ and pST87BZ-1 with 9,342 bp containing a pediocin PA-1 operon (Fig. 2b).

150 Other pediocin-like bacteriocin operon found was annotated as penocin A (Fig. 2c),
151 present in the strain's genome. We also found point mutations in the 23S rRNA coding
152 sequence predicted to confer resistance to macrolides (azithromycin) and streptogramins
153 using ARIBA. Using KEGG GhostKoala pipeline, other resistance genes were also
154 detected: Beta lactamase class A gene (*PenP*), a Cationic Antimicrobial Peptide
155 (CAMP) resistance gene, the *dltABCD* operon (*Dlt A/B/C/D*), two Multidrug efflux
156 pump genes (*EfrA/B* and *AbcA*); and a lincosamide resistance gene (*Lsa*). The genome
157 of *P. pentosaceus* ST75BZ and ST87BZ presented similar values for size, GC content,
158 number of predicted proteins and ribosomal operons as 65 strains of *P. pentosaceus* in
159 China⁹.

160 Strains *P. pentosaceus* ST75BZ and ST87BZ genome presented similar metabolic
161 capabilities as *P. acidilacti* ST31BZ, however this strain has a reduced sugar transport
162 capability, is able to synthesize lysine and is positive for genes encoding for a quorum
163 sensing mechanism that we were not able to attribute to a distinct physiologic state or
164 metabolic process (Supplementary Table 2).

165

166 **Pangenomic analysis of *Pediococcus* spp.**

167 Pangenomic analysis of the *Pediococcus* spp. isolates was carried out using Anvi'o v6.1
168 (Eren et al. 2015) with the pangenomic workflow. We selected five complete genomes
169 of *P. acidilactici* and compared to *P. acidilactici* ST31BZ genome (Fig. 3a). *P.*
170 *acidilactici* ST31BZ strain formed a related group with *P. acidilactici* ZPA017 (98.89%
171 ANI) and BCC1 (98.84% ANI). We found 1487 core genes (1360 Single-copy Core
172 genes, SCG) in all six genomes. *P. acidilactici* ST31BZ has 152 unique genes
173 (Supplementary Table 3). COG annotation indicated prediction of six genes that encode
174 phage-related proteins, in addition to six genes related to transposase activity, and 15

175 genes involved in carbohydrate metabolism, including a Na⁺/melibiose symporter and
176 an arabinose efflux permease.

177 Four complete genomes of *P. pentosaceus* were compared to the *P. pentosaceus*
178 ST75/ST87 strain (Fig. 3b). *P. pentosaceus* ST75BZ and ST87BZ presented a total of
179 1446 core genes (1373 Single-copy Core genes, SCG) also observed in all compared
180 genomes. However, *P. pentosaceus* ST75BZ and ST87BZ strains have 142 unique
181 genes (Supplementary Table 4).

182 Based on the pangenomes of our isolates against reference genomes, we propose
183 *P. pentosaceus* ST31BZ as a new strain of *P. acidilactici* and strains ST75BZ and
184 ST87BZ as a new strain of *P. pentosaceus*. The two closest strains to *P. acidilactici*
185 were ZPA017 and BCC-1, isolated from feces of a healthy pig¹⁰ and cecum of a broiler
186 chicken¹¹, respectively. The unique genes present in our isolates were related to
187 antibiotic resistance and prophages, such as glycopeptide antibiotics resistance protein
188 (COG4767) and phage portal protein BeeE (COG4695) in *P. acidilactici* ST31BZ
189 (Supplementary Table 3); and ABC-type multidrug transport system (COG0842) and
190 phage terminase large subunit (COG1783) in *P. pentosaceus* ST75BZ and ST87BZ. *P.*
191 *pentosaceus* ST75BZ and ST87BZ, also showed the presence genes related to SOS
192 response system, such as SOS-response transcriptional repressor (COG1974)
193 (Supplementary Table 4).

194 Our two novel isolates presented pediocin PA-1 coding genes in their plasmids,
195 which were highly similar (96% identity). Additionally, *P. pentosaceus* ST75BZ and
196 ST87BZ presented a penocin A gene in its genome. Our annotation pipeline also
197 detected bacteriocin genes in some of the strains used in the pangenome analysis: we
198 detected two enterolysin A genes in the genome of *P. acidilactici* BCC1, a bovicin
199 coding gene in *P. acidilactici* BCC1 plasmid; an enterolysin A gene in the genome of *P.*

200 *acidilactici* ZPA017; and a penocin A gene and enterolysin A gene in the genome of *P.*
201 *pentosaceus* ATCC 25745.

202

203 **Bacteriocin production kinetics and stability tests**

204 For *P. acidilactici* ST31BZ, *P. pentosaceus* ST75BZ and *P. pentosaceus* ST87BZ, only
205 a small amount of bacteriocins were detected in the cell surfaces (approximately 200
206 AU/ml), with the majority of activity detected in cell-free culture supernatants. All
207 remaining assays were carried out using cell-free supernatants, unless otherwise stated.

208 We characterized the bacteriocins produced by our three selected isolates for their
209 stability in different pH, salt concentration, temperature and detergents. The three
210 isolates grew well when cultured in MRS at 25, 30 and 37°C for 24 h, and produced
211 bacteriocins (12800 AU/ml for ST31BZ and 3200 AU/ml for ST75BZ and ST87BZ).
212 All further experiments were performed at 37°C, taking in consideration potential future
213 applications of these strains as probiotics for human application. The activity of the
214 bacteriocins remained unaltered after exposure to different pH (from 4.0 to 10.0),
215 temperature (10, 25, 30, 37, 45, 80, 100 °C for up to 240 min, and at 121 °C for 15 min),
216 or in the presence of NaCl, skim milk, SDS, Tween 20 and Tween 80 and EDTA,
217 highlighting their potential versatility for use in industrial settings (data not shown).

218 The end-pH recorded for *P. acidilactici* ST31BZ, *P. pentosaceus* ST75BZ and *P.*
219 *pentosaceus* ST87BZ when cultured overnight in MRS at 37°C were 4.43, 4.1 and 4.05,
220 respectively (Fig. 4a). Over the same time period (27 h), the cell density increased from
221 approximately OD_{600nm} 0.04 to 3.03 for *P. acidilactici* ST31BZ, 0.08 to 4.7 for *P.*
222 *pentosaceus* ST75BZ and 0.06 to 4.77 for *P. pentosaceus* ST87BZ (Fig. 4a). Moreover,
223 levels of bacteriocin produced by *P. acidilactici* ST31BZ were gradually increased
224 during the fermentation process and reached 12800 AU/ml at 15 h and remained stable

225 until the end of the monitored period of 27 h (Fig. 4a). However, bacteriocin produced
226 by *P. pentosaceus* ST75BZ reached its maximum production levels (6400 AU/ml) at
227 15h from the beginning of fermentation, remained stable until 18h of fermentation time
228 and decreased in the next 9 h (Fig. 4b). As expected, a similar bacteriocin production
229 profile was recorded for both *P. pentosaceus* strains (Fig. 4c).

230

231 **Mode of action of bacteriocins produced by *P. pentosaceus***

232 The mechanisms of action of the bacteriocins produced by *P. acidilactici* ST31BZ, *P.*
233 *pentosaceus* ST75BZ or *P. pentosaceus* ST87BZ was investigated using a growth
234 inhibition assay, by adding 6400 AU/ml of bacteriocins produced by the strains to early-
235 logarithmic growing (3-h-old) cultures of *L. monocytogenes* 104, 637 and 711. All three
236 novel strains inhibited the growth of *Listeria* (Fig. 5), and recovery of viable *L.*
237 *monocytogenes* from the cultures after 10 and 24 h of growth was not possible,
238 indicating the killing effect.

239 We investigated cell membrane pore formation using a β -galactosidase leakage
240 assay. Cells of *L. monocytogenes* 104, 637 and 711 were incubated for 10 minutes with
241 cell-free supernatants containing 6400 AU/ml of bacteriocin, followed by the addition
242 of the β -galactosidase substrate and colorimetric product read-out. All
243 bacteriocin/*Listeria* combinations tested produced a positive result, indicating that the
244 mechanism of action involves pore formation and the destabilization of the cell
245 membrane on the test strains.

246

247 **Bacteriocin activity spectrum**

248 The cell-free culture supernatants of the three *Pediococcus* spp. was tested for activity
249 against a panel of different species/strains. The growth of most *E. faecalis*, *E. faecium*,

250 *E. hirae*, *Lc. lactis*, *L. innocua* and *L. monocytogenes*, some of *Lb. casei* and *Str.*
251 *termophilus* strains was inhibited, indicating a similar spectrum of activity (Fig. 6,
252 Supplementary Table 5).

253

254 **Discussion**

255 Boza is a traditional fermented beverage rich in nutrients, presenting a diverse microbial
256 composition. Tests with Boza samples from different regions of the Balkan peninsula
257 have shown that LAB and yeasts are the main microorganisms involved in the
258 fermentation^{1,2}. The present study aimed to characterize the potential the bacteriocin
259 production by strains isolated from a sample of Boza obtained from a medium scale
260 manufacture in North-west Bulgaria, using a mixed molecular and functional approach.

261 The screening method allowed us to select 3 bacterial isolates, initially classified
262 as *Pediococcus* sp. Genome analysis indicated that these three isolates likely belong to
263 two different *Pediococcus* species: one *P. acidilactii*, and two *P. pentosaceus*. The *P.*
264 *acidilactici* ST31BZ genome showed similar values of size, GC content, number of
265 predicted proteins and ribosomal operons as other *P. acidilactici* strains^{10,12}. Detected
266 CRISPR systems are similar to previously described in *P. acidilactici* HN9¹³. A small
267 plasmid-encoded bacteriocin (~ 9 kb) was detected, such as found in pSMB74¹⁴ and
268 pCP289¹⁵. Several studies have shown that strains of *P. pentosaceus* are able to produce
269 bacteriocins⁵, and in our study we observed the presence of two pediocin-like
270 bacteriocins, pediocin PA-1 and penocin A, both of which have been previously
271 reported in *P. pentosaceus* genomic analyses. Antibiotic resistance, prophages, plasmid
272 and plasmid-associated bacteriocins have been indicated as source of variability at *P.*
273 *pentosaceus* genomes⁹. Our *P. acidilactici* strain, however, also contains a plasmid-
274 associated bacteriocin operon (pediocin PA-1), differing from other strains reported in

275 the literature, such as ZPA017 and BCC-1^{10,11}. The metabolic characteristics of the
276 strains obtained indicated a wider metabolic potential for carbohydrate transport for the
277 *P. acidilactii* transport while the *P. pentosaceus* presented he capability to synthesize
278 lysine and had quorum sensing related genes.

279 Data from our initial screening indicated that the antimicrobial metabolites
280 produced by the studied strains were proteinaceous in nature, which prompted us to
281 further characterize the potential mechanisms of action and the activity range for the
282 bacteriocins being produced. Although a peptide or protein molecule must be present
283 for the antimicrobial activity to be detected, this observation does not preclude the
284 possibility that other moieties may also be present in a larger complex that has the final
285 bacteriocinogenic effect, as previously reported¹⁶⁻¹⁸. LAB can produce a variety of
286 antimicrobial compounds, including diacetyl, hydrogen peroxide, carbon dioxide, low
287 molecular antimicrobial substances, and organic acids such as phenyl lactic acid¹⁶. It is
288 possible that other protein or peptide-derived antibiotics could be produced by these
289 strains, as we have detected a gene signature for lantibiotic resistance in the genome of
290 the *P. acidilactici* strain. However, based on the obtained results, we can clearly exclude
291 the possibility of acid/s or H₂O₂ to be involved in the antimicrobial properties of the
292 studied strains.

293 Identification of the genes involved in bacteriocin biosynthesis is an important
294 part of the characterization of the antimicrobial agent as bacteriocin(s). Bacteriocin
295 genes can be part of the bacterial genome, and their expression and detection in the cell
296 free supernatant need to be confirmed. Therefore, a functional approach is important in
297 evaluating the bacteriocin's activity. Bacteriocins produced by the three selected strains
298 presented a wide range of activity and were thermostable, maintaining activity after
299 exposure to different temperatures up to 4 h, including at 121°C for 20 min. Such

300 activity breadth is highly desirable for industrial applications. Most bacteriocins are
301 molecules smaller than 10 kDa, although some can form complexes of higher molecular
302 weight¹⁹. This small size confers stability in harsh conditions. Other reports have
303 described that bacteriocins produced by different *Pediococcus* spp. are stable at
304 different pH and after exposure to low and high temperatures²⁰. Surfactants and salts
305 normally have not been reported to negatively influence bacteriocins activity²¹,
306 however, plantaricin C19 activity was affected by treatment with SDS or Triton X-
307 100²². SDS did not cause loss of activity as has been observed for enterocin EJ97,
308 bozacin B14 or bacteriocin ST194BZ^{20,23}. However, pH can play a role on the stability
309 of the bacteriocins, as been reported for leucocin F10²⁴, Moreover, lactocin NK24
310 stability was decreased when exposed to 100 °C for 30 min and even was completely
311 inactivated at 121 °C for 15 min²⁵. Similar effect of temperature was reported for
312 lactocin MMFII, produced by *L. lactis* MMFII²⁶. Even nisin, one of the best studied
313 bacteriocins, was shown to be inactivated after 15 min at 121 °C when incubated at pH
314 7.0, but not when incubated at pH 3.0²⁷.

315 We observed a strong antimicrobial activity of *P. acidilactici* ST31BZ, *P.*
316 *pentosaceus* ST75BZ and *P. pentosaceus* ST87BZ against a wide range of bacteria
317 tested. These strains produce bacteriocins with strong antimicrobial activity recorded
318 against all listeria strains and almost all enterococci evaluated. Nevertheless, no
319 antimicrobial activity was observed against *S. aureus* and any *Lactobacillus* spp.,
320 *Leuconostoc* spp., *Samolella* spp. and other *Pediococcus* spp. strains tested. Specific
321 anti-*Listeria* activity is an attribute reported for different studied pediocins and
322 particularly pediocin PA-1. Even Heng *et al.*¹⁹ in his classification of bacteriocins,
323 dedicated a special position for anti-*Listeria* pediocin-like bacteriocins. This specific

324 activity was linked to the conservative amino-acid motive directly involved in the
325 bacteriocin mode of action and pore formation process¹⁹.

326 There are reports in the literature that some bacteriocins can be adsorbed onto the
327 producer's cell surface, and this characteristic can be used to facilitate the purification
328 process and/or increase the bacteriocin yield for industrial application²⁸. In case of the
329 studied bacteriocins, only low levels of cell-adsorption were recorded, which cannot be
330 considered relevant in increasing production yield. Similar results have been shown for
331 pediocins produced by *P. acidilactici* HA-6111-2 and HA-5692-3, respectively, against
332 *L. innocua* N27 and *E. faecium* HKLHS²¹. Moreover, pediocin PA-1 has been reported
333 as an effective bactericidal bacteriocin for the control of different *L. monocytogenes*
334 strains, leading to its proposition as prospective biopreservation for different fermented
335 food products^{21,29,30}. Furthermore, the results obtained from the β -galactosidase leakage
336 assay confirm that bacteriocins produced by *P. acidilactici* ST31BZ, *P. pentosaceus*
337 ST75BZ and *P. pentosaceus* ST87BZ likely destabilize the cell membrane inducing the
338 cytoplasmic leakage and a complete loss of viability for the susceptible strains (data not
339 shown). Similar approach and results have been reported for bacteriocins produced by
340 *Lb. plantarum*, *Le. lactis* and *E. faecium*¹⁶, *Lb. buchneri*³¹, *Lb. plantarum*^{32,33} and *Lc.*
341 *lactis* subsp. *lactis*³⁴.

342

343 **Conclusion**

344 This study confirms previous ones that have shown boza to be a rich source of LAB of
345 biotechnological and industrial interest. The bacteriocinogenic potential of the isolated
346 *P. acidilactici* ST31BZ, *P. pentosaceus* ST75BZ and *P. pentosaceus* ST87BZ strains
347 reported here include a partial characterization of the mechanism of action and breadth
348 of susceptible bacterial targets. As most of the fermented traditional food products,

349 Boza is a rich multimicrobial system, were interactions between different microbial
350 species is essential for the final product's characteristics. Results of whole genome
351 sequencing analysis of the three selected bacteriocinogenic strains, coupled with
352 functional assays, highlight their metabolic potential for industrial application, including
353 production at industrial level of Boza or any other fermented functional food product.

354

355 **Materials and methods**

356 **Screening for bacteriocinogenic lactic acid bacteria in boza**

357 Boza samples were obtained from a medium-scale manufacturer in North-west
358 Bulgaria. Isolation of bacteriocinogenic lactic acid bacteria (LAB) from these samples
359 was done according to the 3-layer method³⁵. Samples of boza were submitted to serial
360 decimal dilutions in sterile saline (0.85% NaCl, Sigma Diagnostics, St. Louis, MO,
361 USA) and plated on the surface of MRS agar (Difco BD, Franklin Lakes, NJ, USA)
362 plates. After addition of a layer of 1.0% agar (Difco), the plates were incubated for 48 h
363 at 37°C. The colonies were counted and plates with well isolated colonies were added of
364 an extra layer of BHI agar (Difco) (BHI supplemented with 1.0% agar) containing *L.*
365 *monocytogenes* 104 or *E. faecium* ATCC 19434 (10⁵ CFU/ml). Plates were incubated
366 for additional 24 h and colonies with visible inhibition zones were transferred to new
367 BHI and incubated at 37 °C for 24 h. The cultures were checked for purity by streaking
368 on MRS agar. Individual colonies on MRS agar were submitted to Gram-staining and
369 catalase and oxidase tests. Presumed bacteriocin producing LAB and other
370 microorganisms used as target organisms were stored at -80 °C in MRS or BHI added of
371 20% glycerol.

372

373 **Identification of the antimicrobial metabolite produced by the selected strains**

374 The isolates were grown in MRS broth at 37 °C for 24 h, and submitted to
375 centrifugation (6 000 xg, 10 min, 4 °C) for obtention of cell free supernatants (CFS).
376 After adjustment of the pH to 5.0-6.5 with 1M NaOH, the CFS were heated for 10 min
377 at 80°C to eliminate potential inhibitory effect of organic acids and to inactivate
378 hydrogen peroxide and proteolytic enzymes in the medium. Ten microliters of treated
379 CFS were spotted on the surface on plates containing BHI supplemented with 1.0% agar
380 plates containing 10⁵ CFU/ml *L. monocytogenes* 104 or *E. faecium* ATCC 19434, used
381 as target test micro-organisms. Plates were incubated at 37°C for 24 h and presence of
382 growth inhibition zones wider than 2 mm was considered evidence for potential
383 bacteriocin production (Supplementary Table 6)

384 The proteinaceous nature of the antimicrobial substances produced by the isolates
385 was investigated in the cell free supernatants by treatment with Proteinase K, pepsin and
386 pronase (all from Sigma), as described before³⁵. Antimicrobial activity and the effect of
387 temperature (30, 60, 120 and 240 min at 8, 25, 30, 37, 45, 60, 80 and 100°C, and 20 min
388 at 121°C), pH (2.0, 4.0, 6.0, 8.0 and 10.0) and selected chemicals (NaCl, SDS, Tween
389 20, and Tween 80) on stability of produced bacteriocins were evaluated according dos
390 Santos *et al.*³⁵. Tests were performed with *L. monocytogenes* 104 and *E. faecium* ATCC
391 19434 as targets. All experiments were performed at least in duplicate in two
392 independent occasions.

393

394 **Identification of isolates**

395 The strains were identified based on recommended morphological, biochemical and
396 physiological tests, according the Bergey's Manual³⁶ and 16S rRNA partial gene
397 sequencing. Cultures were prepared in 50 ml MRS broth for 24 h at 37°C, cells
398 collected by centrifugation (6000 xg, 10 min, 4°C) and DNA extracted using the ZR

399 Fungal/Bacterial DNA Kit (Zymo Research, Irvine, CA, USA), following the
400 manufacturer's protocol. The obtained DNA was quantified using a NanoDrop (Thermo
401 Fisher Scientific, Waltham, MA, USA). RAPD-PCR with Primers OPL-14 (5'-GTG
402 ACA GGC T-3') and OPL-20 (5'-TGG TGG ACC A-3') was used for differentiation
403 between the studied isolates³⁷. Based on the RAPD profiles, three strains were selected
404 and their DNAs subjected to PCR to amplify a region of 16S rRNA³⁷, and further
405 sequenced in the Center for Human Genome Studies (Institute of Biomedical Sciences,
406 University of São Paulo, São Paulo, SP, Brazil). For identifications, the obtained
407 sequences were analyzed in the Basic Local Alignment Search Tool (BLAST,
408 GenBank, National Center for Biotechnology Information, Bethesda, MD, USA).

409

410 **Genomic analysis**

411 Three isolates were selected for Whole Genome Sequencing. The DNA was extracted
412 from cultures grown in MRS broth at 37°C for 24 h, using the ZR Fungal/Bacterial
413 DNA Kit (Zymo Research). Extracted DNA was used for library preparation with
414 Nextera DNA Flex Library Prep Kit (Illumina, San Diego, CA, USA). Libraries were
415 sequenced on NextSeq Genome Sequencer (Illumina) with a NextSeq 500/550 Mid
416 Output Kit v2.5 at Core Facility for Scientific Research – University of Sao Paulo
417 (USP) (CEFAP-USP).

418 The obtained reads were processed with the software package BBTools
419 (<https://jgi.doe.gov/data-and-tools/bbtools/>). Reads were trimmed for Nextera adapters
420 and filtered to have an average Q-score < 15. Trimmed reads from the three strains were
421 assembled *de novo* with SPAdes 3.13.0³⁸. ORFs were predicted for all assembled
422 contigs with Prokka pipeline³⁹. Scaffolds were uploaded to MiGA to access genome
423 assembly completeness and to identify the closest bacterial strain to each assembled

424 genome⁴⁰. tRNA were detected with ARAGORN⁴¹ and rRNAs with Barrnap
425 (<https://github.com/tseemann/barrnap>). CRISPR regions were detected with PILER-
426 CR⁴² and CRISPRCasFinder⁴³. Plasmid searches were made using metagenomic
427 plasmid function (metaplasmidspades.py)⁴⁴ and the presence of plasmid genes verified
428 using the script viralVerify⁴⁵ with -p argument. Bacteriocins genes were detected with
429 BAGEL4⁴⁶ and antibiotic resistances genes using ARIBA⁴⁷ with quality filtered reads
430 and CARD database⁴⁸.

431 Pangenomic analysis of *Pediococcus* isolates were done using anvio v6.1⁴⁹ with
432 the pangenomic workflow. Our objective was to compare the similarity of isolates and
433 reference genomes. We focused on *P. acidilactici* and *P. pentosaceus* species. All
434 sequencing data generated in this study can be accessed from GenBank Database at
435 BioProject PRJNA731169. The genome of isolate ST31BZ was compared to five
436 reference genomes of *P. acidilactici* species available on NCBI (BioProject numbers:
437 PRJNA312971, PRJNA357663, PRJNA422477, PRJNA386762, and PRJNA386761).
438 The genomes of isolates ST75BZ and ST87BZ were compared to four references
439 genomes of *P. pentosaceus* species available on NCBI (PRJNA399825, PRJNA398,
440 PRJNA376813, and PRJNA390207).

441

442 **Spectrum of activity**

443 The selected bacteriocinogenic strains were grown in MRS at 37°C for 24 h and cell
444 free supernatants were obtained as described before. Inhibitory effectiveness of the
445 produced bacteriocins was evaluated against several LAB, selected food borne
446 pathogens and some Gram-negative organisms, listed in Supplementary Table 5. The
447 growth conditions (culture medium and temperature) were according to the
448 recommended for each test microorganism. Test microorganisms were grown overnight

449 and incorporated in appropriate medium, supplemented with 1.0% agar, at final
450 concentration around 10^5 CFU/ml. Studied bacteriocins were spotted (10 μ l) on the
451 surface and plates cultured for 24 h at recommended growth temperature. Zones of
452 inhibition, larger than 2 mm were considered as positive result.

453

454 **Growth and bacteriocin production dynamics**

455 The selected strains were grown in 20 ml MRS broth (Difco) at 25 °C, 30 °C or 37 °C
456 for 24 h. Cell free supernatant was prepared as described before and bacteriocin activity
457 determined against *L. monocytogenes* 104 and expressed in AU/ml. After selection the
458 optimal temperature for bacteriocin production, the dynamic of the production was
459 evaluated as follows: overnight cultures were prepared in 300 mL MRS broth (Difco) at
460 37°C, and optical density at 600 nm and pH changes were monitored hourly for 24 h.
461 Production of bacteriocin(s) was measuring the antimicrobial activity against *L.*
462 *monocytogenes* 104, 637 and 711 every three hours (expressed as AU/ml). Experiments
463 were performed in two independent occasions.

464

465 **Inhibitory effect of bacteriocins evaluated via cell lysis assay**

466 For evaluation of the effect of studied bacteriocin on actively growing *L.*
467 *monocytogenes* 104, 637 and 711, the approach proposed by de Castilho *et al.*⁵⁰ was
468 followed. For the experiment, 300 ml BHI broth was inoculated with 1% (v/v) of *L.*
469 *monocytogenes* 104, 637 or 711 and incubated for 3 h at 37°C. 30 ml filter-sterilized
470 (0.22 μ m Millipore sterile filters, Burlington, MA, USA) cell-free supernatant of each
471 bacteriocinogenic strain was added to the culture and changes on the turbidity were
472 monitored at OD_{600nm} every hour for 10 h. In addition, bacterial growth was determined
473 after 24 h of incubation, to check for viable cells. The numbers of CFU/ml were

474 determined after 10 h and 24 h of cultivation for all assays, i.e., with added bacteriocin
475 and controls (without added bacteriocin), by plating on BHI supplemented with 2% agar
476 and incubation at 37°C for 48 h. Experiments were performed in duplicate in 2
477 independent occasions.

478

479 **β-galactosidase assay**

480 The capability of bacteriocin(s) to induce pore formation in the target strains was
481 tested determining the level of β-galactosidase secreted from damaged cells. Cells from
482 20 ml of actively growing, log-phase cultures of *L. monocytogenes* 104, 637 and 711
483 were harvested, washed twice with 20 mL 0.03 M sodium phosphate buffer
484 (K₂HPO₄/KH₂PO₄, pH 6.5) and the pellet re-suspended into 10 ml of the same buffer.
485 Two ml of the cell suspensions were treated with equal volumes of each studied
486 bacteriocin to yield final concentrations of 6 400 AU/ml. After 10 min at 37°C, 0.2 mL
487 0.1 M ONPG (O-nitrophenyl-β-D-galactopyranoside, Sigma), dissolved in 0.03 M
488 sodium phosphate buffer (pH 6.8), was added to each of the cell suspensions and the
489 cells incubated for additional 10 min at 37°C. The β-galactosidase reaction was stopped
490 by adding 2.0 ml 0.1 M sodium carbonate. The cells were harvested by centrifugation
491 (10 000 x g, 15 min, 25°C) and absorbance readings of cell-free supernatants recorded at
492 420 nm. Cultures of *L. monocytogenes* 104, 637 and 711 not treated with the studied
493 bacteriocins were used as controls.

494

495 **Adsorption to cell surface of producer**

496 The capability of the studied bacteriocins to adsorb to the producer cells was tested
497 according to Yang *et al.*²⁸, using *L. monocytogenes* 104. Experiments were performed in
498 a triplicate.

499 **Vizualization.**

500 Circular representations of the isolate genomes were made using CGView Server⁵¹. The
501 figures were made using the statistical software R⁵², with package ggplot2⁵³.

502 **Data Availability**

503 Genomes assembled and their SRA sequencing data are available in the NCBI under
504 BioProject PRJNA731169.

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662 Foundation (FAPESP), grant: 2013/07914-8, and the Coordenação de Aperfeiçoamento
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664 **Contributions**

665 Microbiology was performed by S.D.T. Sample processing and sequencing were
666 performed by L.L.Q and G.A.L. Genome and statistical analyses were performed by
667 L.L.Q and C.H. Manuscript was prepared by L.L.Q, G.A.L. C.H., and S.D.T. Study
668 design was performed by C.H., and S.D.T. Contributed funding was made by
669 B.D.G.M.F., C.H., and S.D.T. All authors reviewed and approved the manuscript.

670

671 **Figure captions**

672 **Fig. 1 Genome and plasmids from two novel strains of LAB. a.** *P. acidilactici*
673 ST31BZ and its two plasmids. **b.** *P. pentosaceus* ST75BZ and ST87BZ and its plasmid.
674 Representation is not drawn to scale to allow a good visual representation of the
675 plasmid sequences.

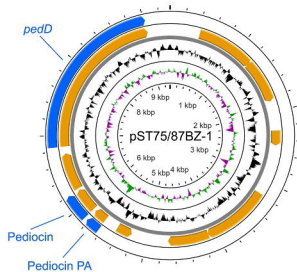
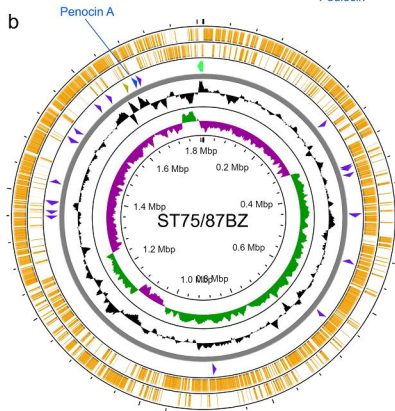
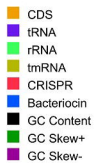
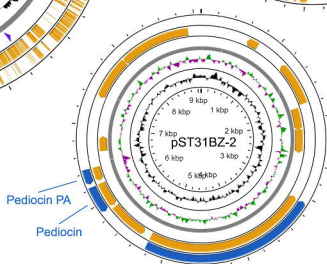
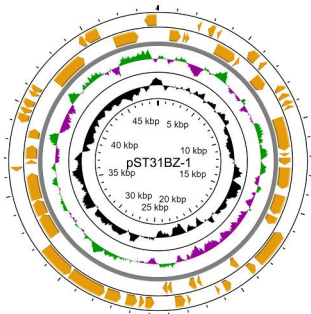
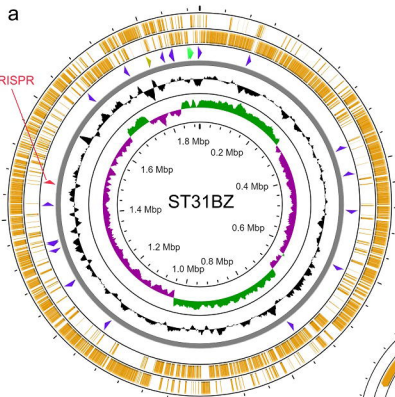
676 **Fig. 2 Putative bacteriocin gene clusters identified using BAGEL 4. a.** Putative
677 bacteriocin found pST31BZ-2 from *P. acidilactici* ST31BZ; **b.** Putative bacteriocin
678 found pST75BZ and pST87BZ-1 from *Pediococcus pentosaceus* ST75BZ and ST87BZ;
679 **c.** Putative bacteriocin found in genome of *Pediococcus pentosaceus* ST75BZ and
680 ST87BZ.

681 **Fig. 3 Pangenomic comparison. a.** Isolate of *Pediococcus acidilactici* ST31BZ, **b.**
682 *Pediococcus pentosaceus* ST75BZ and *Pediococcus pentosaceus* ST87BZ using
683 Anvi'o. ST31BZ was compared with five complete genomes of *Pediococcus*
684 *acidilactici* (strains ZPA017, BCC1, PB22, SRCM100424, and SRCM100313).
685 ST75BZ and ST87BZ were compared with four complete genomes of *Pediococcus*
686 *pentosaceus* (strains SS1-3, ATCC 25745, KCCM 40703, and SRCM 100194).
687 Genomes of both pangenomes were organized based on gene cluster presence/absence.
688

689 **Fig. 4 Bacterial growth.** Growth changes were monitored as changes in OD_{600 nm} (-●-),
690 pH (-▲-) and the production of bacteriocins evaluated (mean from 3 experiments is
691 shown), against *Listeria monocytogenes* 104 (dark-red bar), *Listeria monocytogenes* 637
692 (grey bar) and *Listeria monocytogenes* 711 (mustard bar) expressed as AU/mL
693 presented as histogram for **a.** *Pediococcus acidilactici* ST31BZ, **b.** *Pediococcus*
694 *pentosaceus* ST75BZ and **c.** *Pediococcus pentosaceus* ST87BZ.

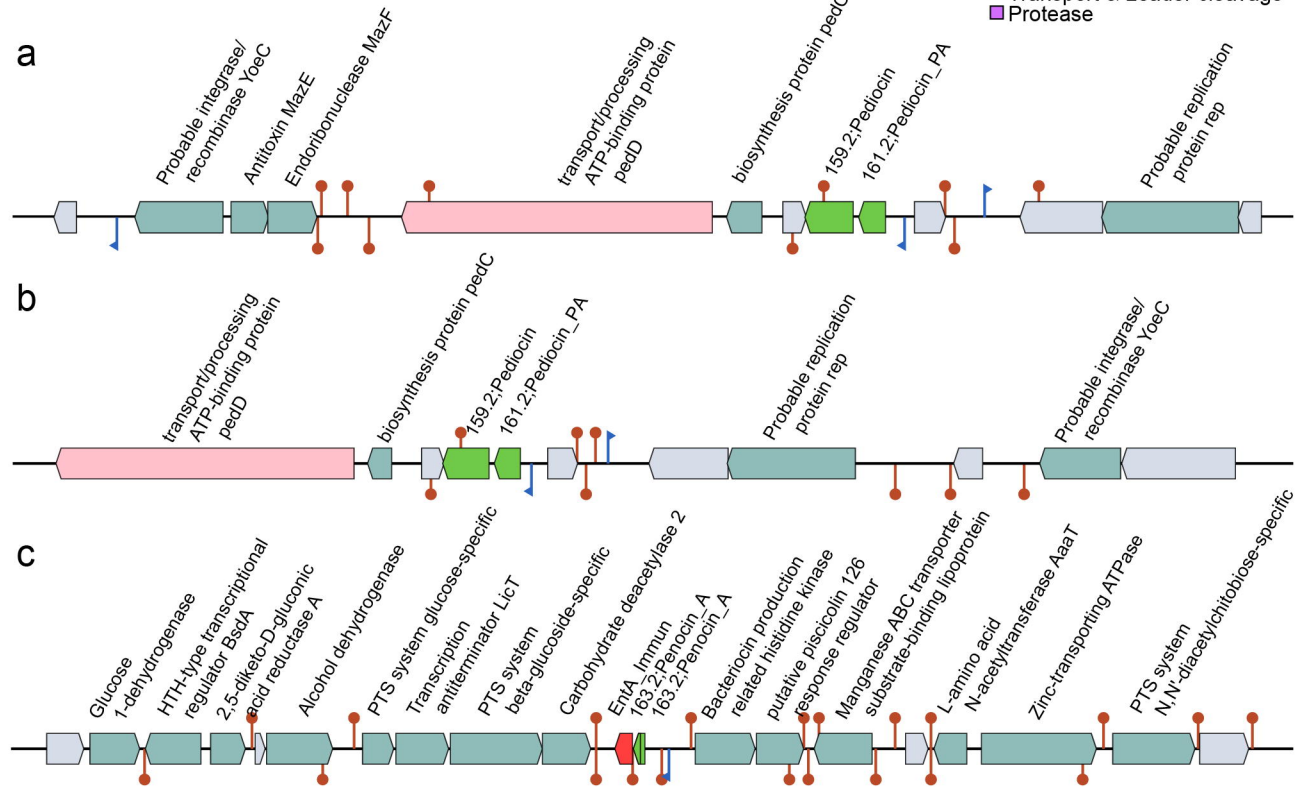
695 **Fig. 5 The effect of bacteriocin produced.** Bacteriocin produced by *Pediococcus*
696 *acidilactici* ST31BZ, *Pediococcus pentosaceus* ST75BZ and *Pediococcus pentosaceus*
697 ST87BZ (mean from 3 experiments is shown), on the growth of **a.** *Listeria*
698 *monocytogenes* 104, **b.** *Listeria monocytogenes* 637 and **c.** *Listeria monocytogenes* 711.
699 Cultures received a standardized bacteriocin dose at 3h post culture start. Colors
700 indicate the origin of the bacteriocin added: *P. acidilactici* ST31BZ - green (-▲-), *P.*
701 *pentosaceus* ST75BZ blue (-■-), and *P. pentosaceus* ST87BZ purple (-◆-). Negative
702 control is shown in red (-●-).

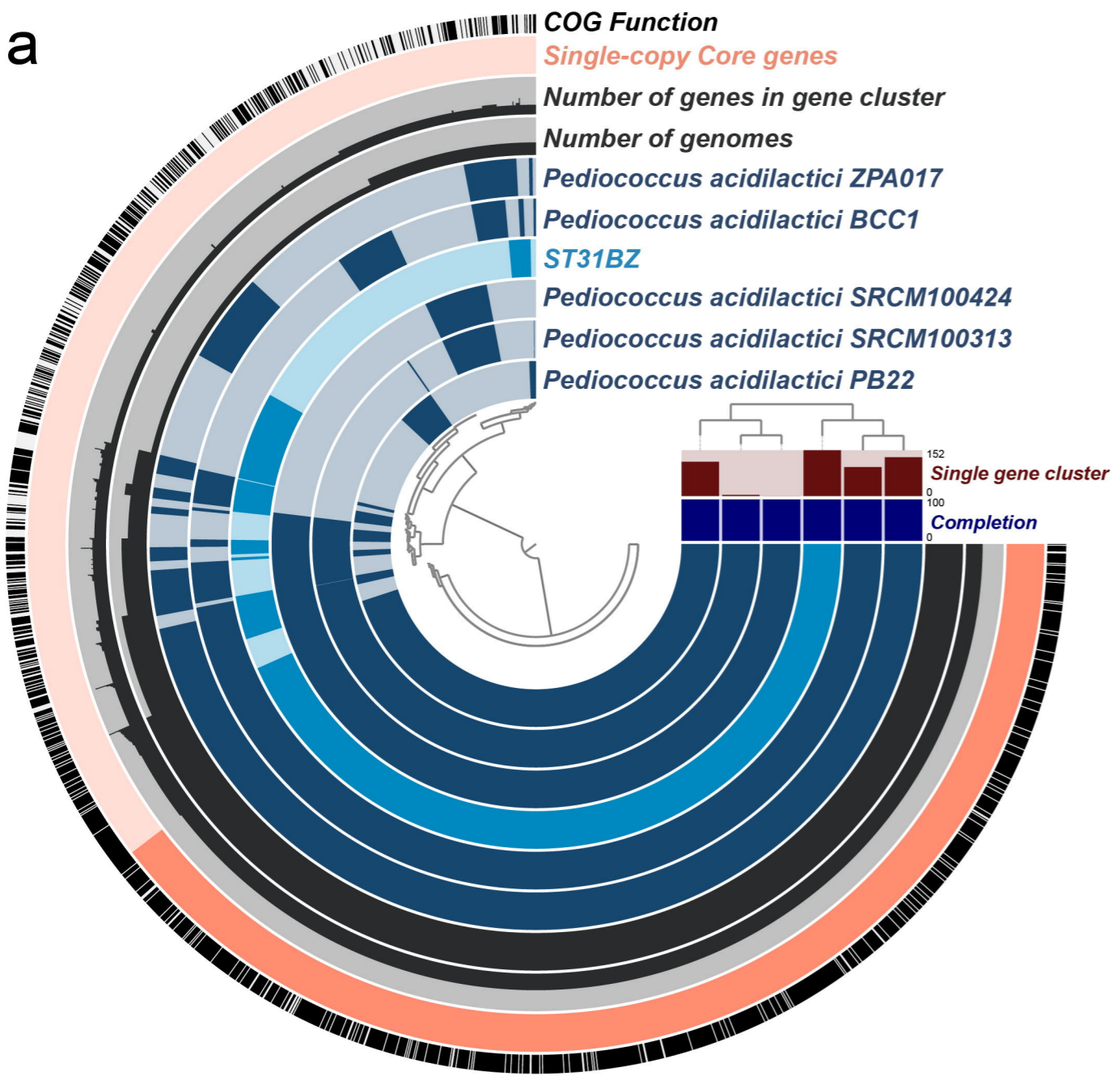
703 **Fig. 6 Bacterial susceptibility test results.** The activity spectrum against tested strains
704 is presented as a heatmap where colors indicate the proportion of susceptible strains
705 from the total of tested strains (shown in parenthesis after the species name).



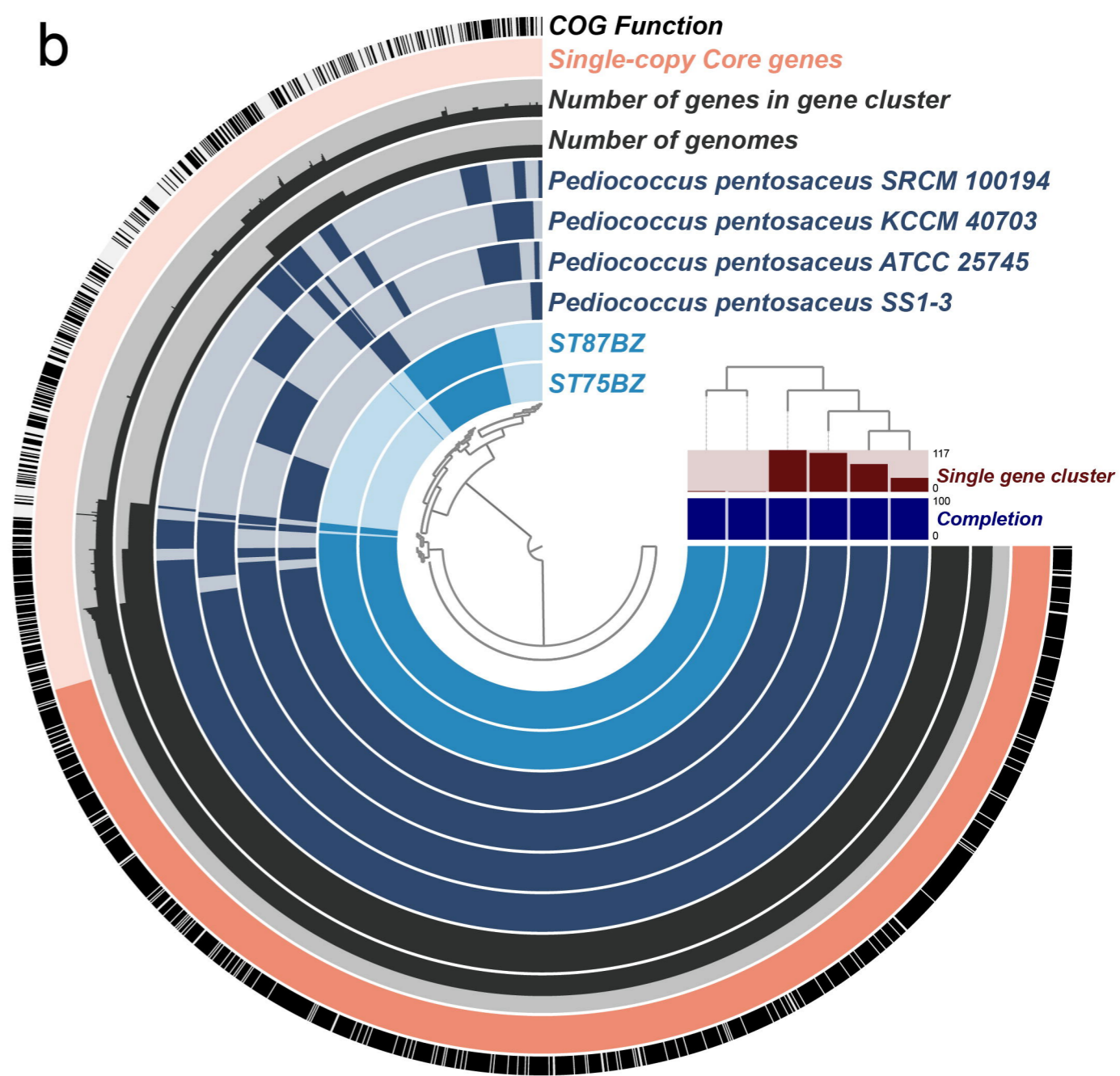
- Genenames
- Predicted promoters
- Predicted terminators
- Show or hide small ORFs

- No function determined
- Blast hit with UniRef90
- Core Peptide
- Modification
- Immunity / Transport
- Regulation
- Transport & Leader cleavage
- Protease



a

COG FUNCTION ■ KNOWN (1892) □ UNKNOWN (683)

b

COG FUNCTION ■ KNOWN (1682) □ UNKNOWN (585)

