

1 **AUTOMATED OPTIMIZATION OF RESIDUAL REDUCTION ALGORITHM PARAMETERS IN**
2 **OPENSIM**

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Abstract

The residual reduction algorithm (RRA) in OpenSim improves dynamic consistency of movement simulations of musculoskeletal models. RRA requires the user to select numerous tracking weights for the joint kinematics to reduce residual errors. Selection is often performed manually, which can be time-consuming and is unlikely to yield optimal tracking weights. A multi-heuristic optimization algorithm was employed to expedite tracking weight decision making to reduce residual errors. This method produced more rigorous results than manual iterations and although the total computation time was not significantly reduced, this method does not require the user to monitor the algorithm's progress to find a solution, thereby reducing manual tuning. Supporting documentation and code to implement this optimization is freely provided to assist the community with developing movement simulations.

1. INTRODUCTION

Musculoskeletal models and simulations have seen significant increase in adoption in recent years, facilitated by software platforms such as OpenSim (Delp et al., 2007, simtk.org). Inverse dynamics calculations are frequently the basis of these simulations. The inverse dynamics problem takes experimental observations, i.e., ground reaction forces and joint kinematics, and calculates the joint moments that produced the experimentally observed data (Yamaguchi, 2005). This calculation contains multiple sources of error including modeling assumptions (e.g., rigid body assumptions, segment inertial properties), and measurement error (e.g., soft tissue artifact). These sources of error result in dynamic inconsistency (Kingma and Toussaint, 1996; Pearsall and Costigan, 1999; Riemer et al., 2008) and produce fictitious forces in the simulated motion. Several methods have been proposed to address this issue (Cahouët et al., 2002; Ganley and Powers, 2004; Kuo, 1998), including OpenSim's residual reduction algorithm (RRA, <https://simtk-confluence.stanford.edu/display/OpenSim/Residual+Reduction+Algorithm>) that minimizes the fictitious, or "residual", forces and moments applied at the pelvis. RRA first computes changes to the total model mass as $\Delta m = F_{y,avg}/g$, where $F_{y,avg}$ is the average vertical residual force across the simulation and g is acceleration due to gravity. RRA also calculates an altered torso center of mass (COM) location. In addition, RRA can change the model joint accelerations slightly to achieve higher dynamic consistency and further reduce residual forces and moments. Thus, there is a tradeoff between the residuals and the kinematic errors, where the tradeoff is governed by user-selected tracking weights. OpenSim's documentation recommends users manually adjust the tracking weights until the residuals are minimized, which is a time-consuming process. In this paper we describe an open-source software tool (provided in Python and MATLAB) for optimization of tracking weights in RRA, which provides biomechanics researchers with an automated method for selecting RRA tracking weights, increasing efficiency in developing high-quality movement simulations.

2. METHODS

A Tracking Weight Selection Algorithm (TWSA) was developed to optimize the tracking weight values, which serve as inputs to the optimization algorithm RRA in OpenSim. A modified random hill climbing algorithm was used to explore the search space.

2.1. OBJECTIVE FUNCTION FORMULATION

The TWSA minimized the sum of root-mean-squared (RMS) residual forces and moments and the sum of RMS kinematic tracking errors as a weighted multi-objective cost function:

$$\min \quad W_R \sum_{i=1}^m (w_i R_i)^{p_R} + W_E \sum_{j=1}^n (w_j E_j)^{p_E} \quad (1)$$

where R_i is the RMS of a residual term, W_R and W_E are the user-specified weights applied to the sums of the forces and errors, w_j and w_i are the weights applied to individual errors and forces, E_j is the RMS of a particular error, p_R and p_E are user specified powers and m and n are the number of residuals and errors examined respectively. Each RMS value is normalized by recommended maximum values that are provided by OpenSim¹ by applying the weights, w_j and w_i . The variables, p_R and p_E , are applied to the residuals and errors to work effectively as barrier functions. They penalize each RMS value as the value increases above the recommended max values.

2.2. ALGORITHM DESCRIPTION

The TWSA minimized the residual forces and moments while tracking the experimental data. A set of uniform tracking weights was used to perform the initial RRA and the objective function was evaluated in a MATLAB function and reported to the TWSA. This first evaluation of the objective function was the current best solution. An array of parameters, τ , was then created to perturb tracking weights. Each element in τ was calculated as $\tau_i = b^t$, where b started at 1.5 and was lowered to 1.1 after 75% of the TWSA iterations were performed, and t was an integer ranging from -2 to 2 selected from a random sample which was weighted to favor negative values (decrease the tracking weight) if coordinate tracking was below a good threshold, positive values if tracking was bad (increase the tracking weight), and equal chances otherwise. Each tracking weight was then multiplied by the corresponding τ_i to generate a new set of tracking tasks, which were used to run the next RRA iteration. The objective function was evaluated after each iteration, and the tracking weights corresponding to the current lowest objective function value were used as the starting weights that are perturbed for subsequent iterations. This was repeated until an iteration limit set by the user was reached. The resulting solutions from the TWSA do not guarantee optimality but are better than the original starting point, meaning that the solutions have lower residual forces and moments while still maintaining acceptable kinematic tracking errors (Hicks et al., 2015).

2.3. TEST CASE

To test the effectiveness of the TWSA, we selected a single participant from publicly available data repository (simtk.org/home/nmbl_running, Hamner & Delp, 2013) for a common dynamic task: running. Three running strides each were isolated from motion files of 2.0, 3.0, 4.0, and 5.0 m/s, and were used to develop the running simulations. The TWSA was applied using two different models. First, the full body model developed by Hamner et al. (Hamner et al., 2010; Hamner and Delp, 2013) was used because it was consistent with the dataset. Next, the gait2392 model (Delp et al., 2007) was selected because it is widely used

and available with OpenSim installation. A key distinction between these models is that gait2392 uses a lumped head/arms/trunk segment, whereas the Hamner2010 model implements multi-segment arms. This difference in model formulation can be used to demonstrate the robustness of the TWSA, as ignoring contributions of the arms during running leads to dynamic inconsistency in the simulation. Prior to the TWSA, RRA was run iteratively using fixed tracking weights, and recommended model mass adjustments were performed until the total mass change was less than 0.001 kg. Then, the TWSA was applied to each running trial using the mass adjusted model. For this study, 200 RRA iterations were performed by the TWSA, and the tracking weights associated with the best objective function value were then re-run to produce the optimal RRA results. Residuals after the TWSA were compared to residuals prior to the TWSA to determine simulation improvement. The peak net external force from each running trial was used to normalize residuals for evaluation (Hicks et al., 2015), and both peak and RMS residuals were analyzed. Tracking errors were evaluated to ensure all coordinates were within measurement error.

3. RESULTS

Mass changes were less than 0.5 kg for all trials using both the Hamner2010 model and the gait2392 model. Torso center of mass changes were less than 6 cm for most trials, and adjustments were slightly larger for the Hamner2010 model (Table 1.). Trial1 in the 2.0 m/s condition required the largest change in center of mass location, greater than 10 cm, using both models.

Table 1. Changes made to the torso center of mass for each trial using both the Hamner2010 and gait2392 models. Values are reported in cm as (x, z) pairs for the anterior (x) and medio-lateral (z) directions.

		Hamner2010			gait2392		
		Trial			Trial		
		1	2	3	1	2	3
Speed (m/s)	2.0	(15.7, -1.2)	(4.4, 1.3)	(5.9, 0.3)	(11.8, -0.7)	(2.8, 1.2)	(4.2, 0.4)
	3.0	(5.0, 1.3)	(3.6, 0.9)	(4.8, 1.0)	(3.5, 1.4)	(2.3, 1.0)	(3.1, 1.2)
	4.0	(3.5, 1.4)	(5.8, 1.6)	(4.6, 0.9)	(2.1, 1.5)	(4.0, 1.5)	(3.1, 1.0)
	5.0	(9.0, 2.2)	(4.9, 0.5)	(7.9, -0.4)	(6.3, 1.9)	(3.3, 1.1)	(5.6, -0.4)

We ran the TWSA on a 3.60 GHz CPU with 12 logical processors used to optimize tracking weights, with each running speed condition (2.0, 3.0, 4.0, and 5.0 m/s) evaluated in parallel. The 200 iterations took the most time to complete during the 2 m/s condition, finishing in 2 hours and 4 minutes using the Hamner2010 model, and 49 minutes using the gait2392 model. TWSA objective function values were substantially reduced in the first 50 RRA iterations and achieved reasonable convergence between 100 and 150 iterations (Fig. 1). However, gradual reductions continue to occur with more iterations, and the lowest objective function values were produced in the last 50 iterations for all trials. Using both the Hamner2010 and gait2392 models, the TWSA produced objective function values less than 2.5 on average for 3, 4 m/s trials. Average best objective function values were 8.99 and 5.84 at 2 and 5 m/s, respectively, using the Hamner2010 model and were 5.28 and 8.26 using the gait2392 model at 2 and 5 m/s, respectively. Trial 1 at 2 m/s had the highest

objective function value for both models, but the 2 m/s trials otherwise achieved values less than 1.1. In addition, certain trials sporadically produced peaks in objective function values between 50 and 150 iterations of the TWSA, and these were most pronounced using the Hamner2010 model (Fig. 1).

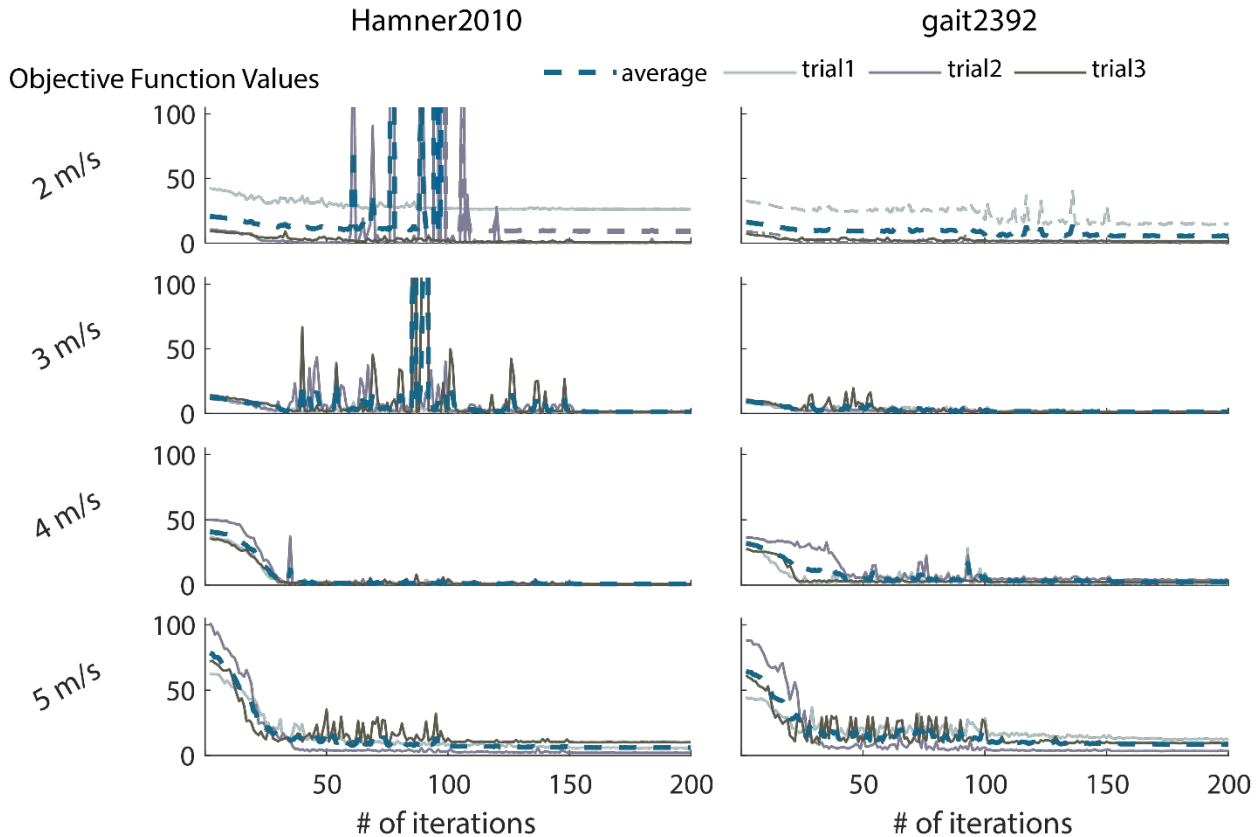


Figure 1. Objective function values for the tracking weight selection algorithm optimization at each RRA iteration using the Hamner2010 model (left) and gait2392 model (right). Three trials were averaged at each iteration for both models at all running speeds (blue dashed lines).

After tracking weight optimization, peak and RMS residuals were substantially reduced except for the FZ residual (Fig. 2). RMS forces were less than 1% peak external force and RMS moments were less than 0.35% peak external force for both models after tracking weight optimization when averaged across speeds, with improvement in all residuals except FZ (Table 2.). Residuals were slightly larger using the gait2392 model compared to the Hamner2010 model after tracking weight selection. In addition, the FY residual was improved more across all speeds when using the Hamner2010 model compared to gait2392 achieving both a lower peak (Fig 2.) and RMS value (Table 2).

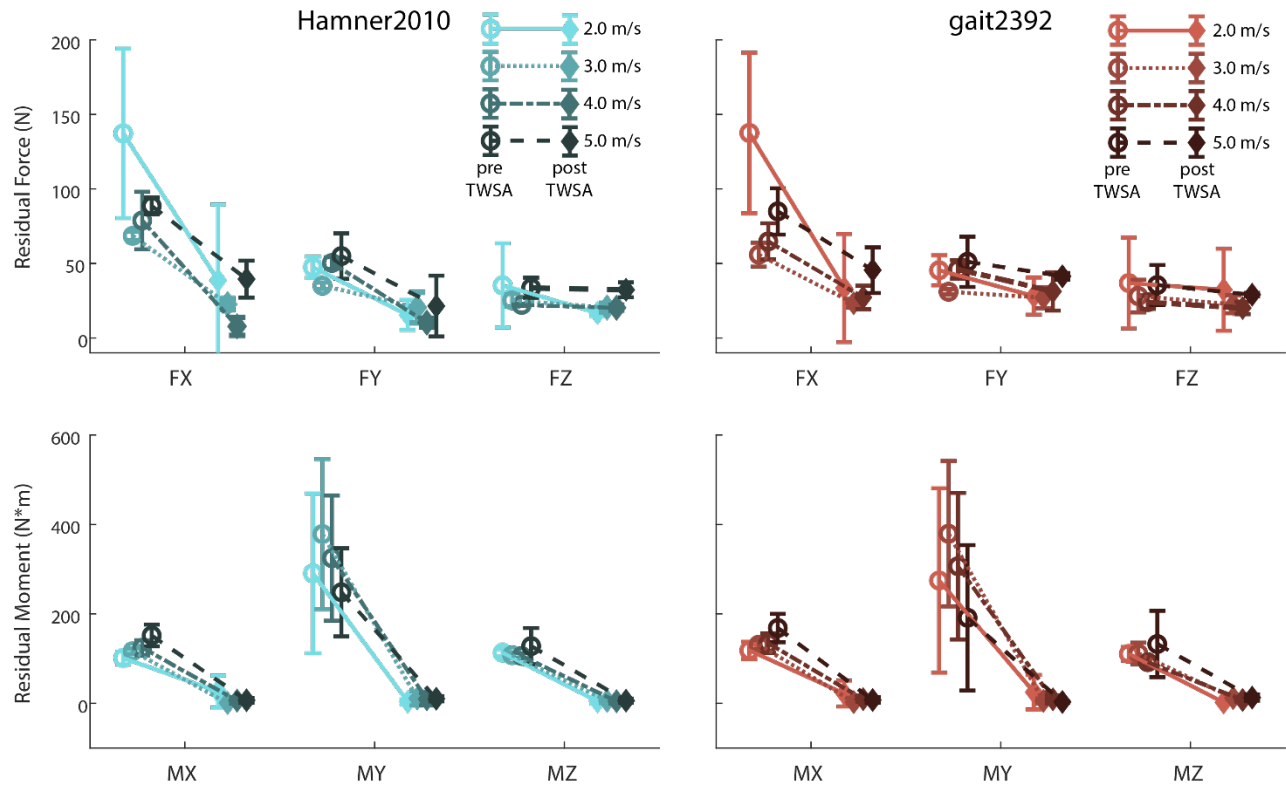


Figure 2. Peak residual forces (top) and moments (bottom) averaged at each running speed and plotted before (pre) and after (post) the tracking weight selection algorithm was applied. Error bars represent the standard deviation of three trials at each speed using the Hamner2010 (left) and gait2392 (right) models.

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Table 2. Root mean squared (RMS) residual forces and moments normalized to OpenSim recommended maximum values (Hicks et al., 2015). RMS forces and moments are normalized to 5% and 1% respectively of the peak external force (net ground reaction force) during each trial. Values reported are the average RMS of 3 trials for each running speed both before (Pre TWSA) and after (Post TWSA) the tracking weight optimization using both models. Values above 1 indicate the residual exceeds recommended thresholds.

		Hamner2010						gait2392					
		<i>FX</i>	<i>FY</i>	<i>FZ</i>	<i>MX</i>	<i>MY</i>	<i>MZ</i>	<i>FX</i>	<i>FY</i>	<i>FZ</i>	<i>MX</i>	<i>MY</i>	<i>MZ</i>
Pre TWSA	2.0 m/s	0.44	0.27	0.13	2.66	3.84	3.45	0.40	0.24	0.11	3.30	2.98	3.29
	3.0 m/s	0.31	0.18	0.11	2.45	4.67	2.48	0.26	0.16	0.08	2.89	3.61	2.55
	4.0 m/s	0.41	0.20	0.14	3.03	4.78	2.42	0.35	0.19	0.08	3.19	2.91	2.30
	5.0 m/s	0.52	0.25	0.18	3.30	6.00	3.01	0.46	0.23	0.13	3.58	2.94	3.01
Post TWSA	2.0 m/s	0.24	0.11	0.11	0.80	0.11	0.17	0.22	0.18	0.09	0.64	0.87	0.06
	3.0 m/s	0.12	0.11	0.12	0.02	0.29	0.15	0.13	0.14	0.08	0.07	0.17	0.37
	4.0 m/s	0.04	0.07	0.15	0.21	0.24	0.16	0.15	0.16	0.08	0.27	0.26	0.24
	5.0 m/s	0.23	0.13	0.17	0.19	0.32	0.19	0.26	0.24	0.12	0.17	0.08	0.42

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4. DISCUSSION

The TWSA performed well using two different musculoskeletal models and improved residual forces and moments by similar amounts with each model. We performed 200 iterations of RRA within the TWSA, but this upper limit may not be necessary to obtain comparable improvements in residuals. This upper limit can also be adjusted by the user. Other than for one trial in both 2 and 5 m/s using the gait2392 model, the best objective function value was improved by less than 1 in the last 100 RRA iterations. While more iterations will guarantee a broader search of the solution space, a “good enough” objective function value could be used as a threshold to stop the TWSA regardless of the number of iterations performed. An objective value threshold implementation would allow researchers to use more computational time on trials with higher residuals, like the first 2 m/s trial in this study.

Residual moments were substantially improved in all directions in both models by the TWSA, while improvements in residual forces differed between models and directions. Some of this discrepancy between residuals may be attributed to the objective function formulation. The recommended normalization for residuals (Hicks et al., 2015) is stricter for moments than for forces, and thus, the TWSA favors solutions with lower moment residuals. In addition, the RMS residual forces were all below the recommended threshold used to weight the objective function contributions and were not highly penalized. Force residuals in the Z direction were not substantially reduced for either model at any running speeds. Z (medial/lateral) direction forces were lower than both X (anterior/posterior) and Y (vertical) forces prior to the TWSA, and thus contributed less to objective function values compared to X and Y forces. Improvements in Y direction force residuals were greater using the Hamner2010 model compared to gait2392. This difference suggests that the presence of arm swing dynamics in the Hamner2010 model was leveraged by the TWSA to reduce residual vertical forces without introducing excessive kinematic tracking errors. The residual forces and moments are trivial to reduce if the kinematics are not tracked, which results in very low residuals but very high errors. Thus, the TWSA sought to simultaneously minimize the residuals and minimize kinematic error. Balancing both of these two objectives is desired.

Other algorithms have been used to automate RRA tracking weight selection using similar objective functions (e.g. Samaan, Weinhandl, Bawab, & Ringleb, 2016), and many different optimization approaches could be successfully applied to this application. While it is challenging to directly the TWSA to others because different motions and data were used, our approach yielded similar kinematic accuracy (see Appendix) and meaningful residual improvement with less computational time compared to other approaches.

5. CONCLUSIONS

The TWSA provides a valuable new tool for efficiently computing optimal RRA tracking weights. This algorithm is an important and practical contribution to the modeling and simulation community and may be widely used to improve the quality of musculoskeletal simulations of human movement. The TWSA reduced residual forces and moments below established guidelines in a reasonably short

amount of time (<2hr), requiring little or no user input. Supporting documentation and code to implement the TWSA in both Python and MATLAB are available for download at: <https://github.com/FxnlBiomechLab/rra-optimization>.

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30 **APPENDIX**

31
32 Table A1. Largest root mean squared tracking error for each coordinate across all running speeds and trials using the Hamner2010 model.

Hamner2010

Translational (cm)	Pelvis	pelvis_tx	pelvis_ty	pelvis_tz		
		0.92	1.14	0.97		
Rotational (deg)	Pelvis	pelvis_tilt	pelvis_list	pelvis_rotation		
		0.54	1.24	1.96		
		lumbar_extension	lumbar_bending	lumbar_rotation		
	Torso	1.61	2.28	2.16		
		hip_flexion	hip_adduction	hip_rotation	knee_angle	ankle_angle
		1.99	1.19	0.19	0.85	0.19
	Left leg	hip_flexion	hip_adduction	hip_rotation	knee_angle	ankle_angle
		1.10	1.08	0.76	0.30	0.17
		arm_flex	arm_add	arm_rot	elbow_flex	pro_sup
	Right arm	0.38	0.80	0.13	0.53	0.15
arm_flex		arm_add	arm_rot	elbow_flex	pro_sup	
1.07		0.65	0.73	0.23	0.01	

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34 Table A2. Largest root mean squared tracking error for each coordinate across all running speeds and trials using the gait2392 model.

Gait2392

Translational (cm)	Pelvis	pelvis_tx	pelvis_ty	pelvis_tz		
		1.12	0.69	1.05		
Rotational (deg)	Pelvis	pelvis_tilt	pelvis_list	pelvis_rotation		
		0.71	0.35	0.44		
		lumbar_extension	lumbar_bending	lumbar_rotation		
	Torso	1.66	1.00	0.85		
		hip_flexion	hip_adduction	hip_rotation	knee_angle	ankle_angle
		0.48	0.45	0.29	0.61	0.06
	Right leg	hip_flexion	hip_adduction	hip_rotation	knee_angle	ankle_angle
		1.92	0.72	0.17	0.09	0.04
		Left leg				