

Aerosolization of *Mycobacterium tuberculosis* by tidal breathing

(Short title: Tidal breathing generates tuberculosis bioaerosols)

Ryan Dinkele,^{1,2} Sophia Gessner,^{1,2} Andrea McKerry,³ Bryan Leonard,³ Juane Leukes,³ Ronnett Seldon,³ Digby F. Warner,^{1,2,4*} and Robin Wood^{2,3*}

¹SAMRC/NHLS/UCT Molecular Mycobacteriology Research Unit & DST/NRF Centre of Excellence for Biomedical TB Research, Department of Pathology, Faculty of Health Sciences, University of Cape Town, South Africa.

²Institute of Infectious Disease and Molecular Medicine, Faculty of Health Sciences, University of Cape Town, South Africa.

³Desmond Tutu Health Foundation, University of Cape Town, South Africa.

⁴Wellcome Centre for Infectious Disease Research in Africa, Faculty of Health Sciences, University of Cape Town, South Africa.

*Corresponding authors:

Robin Wood: robin.wood@hiv-research.org.za, +27 21 650 6966; Digby Warner:

digby.warner@uct.ac.za, +27 21 406 6556.

1 **Abstract**

2 **Rationale:** Interrupting tuberculosis (TB) transmission requires an improved
3 understanding of how – and when – the causative organism, *Mycobacterium*
4 *tuberculosis* (*Mtb*), is aerosolized. Although Cough is commonly assumed to be the
5 dominant source of *Mtb* aerosols, recent evidence of Cough-independent *Mtb* release
6 implies the contribution of alternative mechanisms.

7 **Objective:** To compare the aerosolization of *Mtb* and particulate matter from
8 GeneXpert-positive patients during three separate respiratory manoeuvres: Tidal
9 Breathing (TiBr), Forced Vital Capacity (FVC), and Cough.

10 **Methodology:** Bioaerosol sampling and *Mtb* detection were combined with real-time
11 assessments of CO₂ production and particle counts from 39 confirmed TB patients.

12 **Measurements and Main Results:** TiBr and FVC produced comparable numbers of
13 particles, with Cough producing >4-fold more. For all manoeuvres, the proportions of
14 particles detected across size categories from 0.5 – 5 µm were similar, with minor
15 differences observed only in particles between 1.5 – 2 µm ($p = 0.014$) and >5 µm ($p =$
16 0.020). Viable *Mtb* bacilli were detected in 66%, 70%, and 65% of TiBr, FVC, and
17 Cough samples, respectively. Notably, while Cough produced 3-fold more *Mtb* than
18 TiBr, the relative infrequency of coughing compared to breathing implies that TiBr likely
19 contributes >90% of the daily aerosolised *Mtb* across a range of Cough frequencies.

20 **Conclusions:** Our results suggest that, while Cough increases particle aerosolization
21 compared to TiBr, this is not associated with increased *Mtb* aerosolization. Instead,
22 TiBr produces more *Mtb* per particle than Cough. Assuming the number of viable *Mtb*
23 organisms detected provides a proxy measure of patient infectiousness, these
24 observations imply a significant contribution of TiBr to TB transmission.

25 **Key words:** TB transmission, bioaerosol, cough, forced vital capacity.

26
27
28
29

30

31 **Introduction**

32 Chronic Cough is a hallmark symptom of tuberculosis (TB), an airborne infectious
33 disease which is caused by *Mycobacterium tuberculosis* (*Mtb*) and is associated with
34 high global mortality and morbidity (1). Given its importance in TB diagnosis, Cough
35 has unsurprisingly been central to TB transmission research (2). There are multiple
36 lines of evidence, however, which suggest that the focus on Cough risks ignoring other
37 important contributing mechanisms, undermining the implementation of new
38 approaches to reducing TB transmission – especially in TB endemic settings. For
39 example, a recent national TB prevalence survey in South Africa (consistently among
40 the WHO's annual list of high TB burden countries) reported that nearly 60% of
41 individuals with bacteriologically confirmed pulmonary TB were asymptomatic (3).
42 Similarly, a pioneering face-mask sampling study noted no association between
43 Cough frequency and the detection of *Mtb* organisms (4). When considered with
44 modelling data which estimate 1.7 billion latent *Mtb* infections globally (5), these
45 observations imply that most *Mtb* infections are not associated with Cough as
46 pathognomonic symptom – even when *Mtb* bacilli are present at detectable levels (3).
47 Given the low proportion of infections leading to symptomatic disease and the scale
48 of the TB epidemic, it is conceivable that *Mtb* transmission from symptomatic
49 individuals, and therefore Cough, is highly efficient. However, considering the
50 challenges in identifying TB transmitters (6) and the lack of association between *Mtb*
51 detection and Cough frequency (4), an alternative must be considered: is TB
52 transmission primarily accomplished by Cough-independent means?

53 We have developed a platform combining non-invasive bioaerosol capture
54 technology and fluorescence microscopy to enumerate viable *Mtb* released by
55 confirmed TB patients (7-9). Using this platform, we detected *Mtb* bacilli in the absence
56 of (induced) Cough. More recently, we compared deep exhalations to Cough and
57 found no difference in *Mtb* aerosolization (10). However, these observations were not
58 conclusive given that we did not directly investigate the propensity for respiratory
59 aerosol production in each manoeuvre. Therefore, we aimed in the current work to
60 investigate the potential for *Mtb* release via Tidal Breathing (TiBr), Forced Vital
61 Capacity (FVC) and Cough. As detailed below, our observations suggest that release

62 of *Mtb* aerosols via TiBr might constitute an important contributor to ongoing
63 transmission in both active TB disease and asymptomatic *Mtb* infection.

64 **Methods and materials**

65 *Participant recruitment*

66 Participants over 13 years of age returning a GeneXpert-positive sputum result were
67 recruited from March 2020 to June 2021 at primary healthcare facilities in Ocean View
68 and Masiphumelele, peri-urban townships in Cape Town, South Africa. Recruitment
69 and sampling occurred prior to the initiation of standard anti-TB chemotherapy. Ethical
70 approval was obtained from the Human Research Ethics Committee of the University
71 of Cape Town (HREC 529/2019).

72 *Sample collection*

73 Bioaerosols from three respiratory manoeuvres, Forced Vital Capacity (FVC), Tidal
74 Breathing (TiBr), and Cough, were captured in liquid cyclone collectors utilising a direct
75 sampling strategy (Figure 1A) (10). A unidirectional airflow forced exhaled air via a
76 CO₂ monitor and a high-flow cyclone collector at a maximum flow rate of 300 L/minute,
77 trapping particulate matter in the collection medium (sterilised phosphate-buffered
78 saline, PBS). During TiBr sampling, each participant placed their head within the
79 elliptical cone and breathed normally for five minutes. Bioaerosols were captured
80 within the cyclone collector at 200 L/minute, while 100 L/minute of exhaled air was
81 diverted to an aerodynamic particle sizer (APS). During FVC and Cough sampling,
82 each participant performed 15 manoeuvres by placing their head in the elliptical cone
83 every 15 seconds. Bioaerosols were captured within the cyclone collector at 300
84 L/minute for the first and last five manoeuvres. During the middle five manoeuvres,
85 100 L/minute of exhaled air was diverted via the APS. New cyclone collectors were
86 attached after each sample allowing for the independent enumeration of *Mtb* utilising
87 our previously described concentration and visualisation pipeline (8).

88 *Staining and visualisation of bioaerosol samples*

89 Bioaerosols were stained with DMN-trehalose (DMN-tre) (Olilux Biosciences Inc.) and
90 visualised as previously outlined (8). Briefly, liquid-captured bioaerosols (5 – 10 mL)
91 were centrifuged for 10 minutes at 3000 x *g* (Allegra X-15R, Beckman Coulter) and
92 resuspended in 200 µL of Middlebrook 7H9 medium supplemented with 100 µM DMN-

93 tre. Staining was done overnight, after which samples were concentrated at 13,000 x
94 g for five minutes and resuspended in 20 μ L filtered PBS. Stained samples were
95 loaded on nanowell-arrayed microscope slides and viewed on a Zeiss Axio Observer
96 7 with widefield illumination from a 475 nm LED and a Zeiss 38 HE filter set. A 100x
97 plan-apochromatic phase 3 oil immersion objective (NA = 1.4) was used.

98 *Statistical methods*

99 Each participant performed three respiratory manoeuvres violating the assumption of
100 independence. Therefore, to account for this correlation within the data, various Linear
101 Mixed Models were used as the incorporation of the random effect enabled the
102 average differences between manoeuvres to be determined while accounting for
103 variation between participants. For continuous outcomes, a log₁₀-transformation was
104 performed and linearity, normality of residuals, and homoskedasticity assessed. For
105 binary outcomes, logistic regression was performed with sample type as the fixed
106 effect, and variation in slope (random effects) accounted for by participant. For count
107 data, negative binomial regression was applied. Detailed statistical and data wrangling
108 methods can be found in the online supplement. Data were analysed in R studio (11)
109 with R version 4.0.3 (12).

110 **Results**

111 *Detection and quantification of particle release during different respiratory* 112 *manoeuvres*

113 Direct bioaerosol sampling was performed on 39 participants with corresponding CO₂
114 and particle data obtained for 32 and 33 participants, respectively; this ensured a final
115 sample size of 32 (Figure E1). FVC and Cough samples were excluded if fewer than
116 two peaks in particle counts were detected above background. Owing to variations in
117 sampling duration, samples were assessed in three ways (Figure 1B): the total number
118 or volume of particles collected, the average number or volume of particles produced
119 per manoeuvre, and the estimated total number or volume of particles produced.

120 During the particle sampling window, similar numbers (Figure 2A) and volumes
121 (Figure E2A) of particles were collected for TiBr and Cough, with FVC producing
122 significantly fewer particles than TiBr. However, after averaging the number of
123 particles per manoeuvre, it was clear that TiBr produced a significantly lower number

124 (Figure 2B) and volume (Figure E2B) of particles compared to either FVC or Cough.
125 Interestingly, when considering the total number of manoeuvres performed, TiBr and
126 FVC produced comparable numbers (Figure 2C) and volumes (Figure E2C) of
127 particles, with Cough producing more than 4-fold more particles than TiBr. Inter-
128 participant variability contributed approximately 12% of the total variation when
129 examining particle numbers per manoeuvre (Figure 2B), and approximately 16% of
130 the variation when examining particle volumes per manoeuvre (Figure E2B). Together,
131 these data suggest that variation in particle production between the three respiratory
132 manoeuvres contributed to variation in the overall volume of bioaerosol collected after
133 5 minutes of sampling; however, no manoeuvre was significantly under-sampled.

134 *Size stratification of particles enables more specific comparisons of respiratory*
135 *manoeuvres*

136 Particles of various sizes are aerosolised and may differ between respiratory
137 manoeuvres. The APS binned particles into size categories (Figure 3A); therefore, we
138 examined the effect of each manoeuvre on the distributions of particles across
139 categories. The average number (Figure 3B) and volume (Figure E3A) of particles per
140 manoeuvre were stratified by size category and sample type, with the individual data
141 from each participant overlaid. Two features were apparent: firstly, there was a
142 consistent distribution in average count per size category across all three manoeuvres.
143 Moreover, this trend was recapitulated when the proportion of each size category
144 relative to the total particle count per manoeuvre was compared for each size category
145 (Figure 3C). Only minor variations were detected, with levels of significance reached
146 solely for C.3 (1.5 – 2 μm) and C.5 (>5 μm). This suggested that factors leading to
147 increased bioaerosol generation did not affect the distribution of size categories
148 aerosolised over the size range measured. The second striking observation was the
149 per patient consistency in the relationship between the different size categories. It was
150 also apparent that size category was not a confounder of the relationship between the
151 manoeuvre type and particle count per manoeuvre (Figure E3B), as the average
152 differences between TiBr and FVC and TiBr and closely recapitulated those observed
153 in the previous model (Figure 2B). In combination, these results indicated that intrinsic
154 differences in the propensity for total particle production separate individuals, and that
155 these are conserved across particle sizes.

156 *Use of three independent cyclone collectors to enable enumeration of Mtb*
157 *bacilli*

158 The relative contributions of different respiratory manoeuvres to aerosolization of *Mtb*
159 bacilli has been poorly studied, with most reports focusing on Cough. We implemented
160 a sampling strategy comprising 15 FVC and Cough manoeuvres and five minutes of
161 TiBr. This resulted in closely matching volumes of bioaerosol collected for TiBr and
162 FVC samples, with more than 3-fold the volume collected during Cough sampling
163 (Figure E2C). Given the increased volume of bioaerosol collected from Cough and its
164 assumed importance in TB transmission, we expected to find the greatest numbers of
165 *Mtb* bacilli in the Cough samples.

166 The rate of production of *Mtb* was estimated for the three manoeuvres during
167 the five minutes of sampling. For both FVC (IRR = 0.53, $p = 0.0971$) and Cough (IRR
168 = 0.51, $p = 0.0662$), there was a trend to *Mtb* production at a lower rate compared to
169 TiBr; however, neither of these was statistically significant (Figure 4A). Surprisingly,
170 the percent of positive samples was consistent for all three manoeuvres, with 66%,
171 70%, and 65% of the samples positive for *Mtb* in TiBr, FVC, and Cough, respectively.
172 Additionally, no significant differences were detected in the odds ratio of detecting *Mtb*
173 between TiBr and FVC or TiBr and Cough (Figure 4B).

174 The occurrence of spontaneous coughs during TiBr sampling might confound
175 the TiBr measurement. To test this possibility, peaks detected in TiBr that were greater
176 than 1.5 times the average peak height in the corresponding Cough sample were
177 assumed to be spontaneous coughs (Figure E4A, right panel). Notably, plotting *Mtb*
178 counts from TiBr against the number of coughs detected (Figure E4B) indicated no
179 association between the number of *Mtb* and spontaneous coughs during sampling.

180 To examine the relationship between particle numbers and the aerosolization
181 of *Mtb* bacilli, the relative abundance of *Mtb* bacilli per particle was calculated for all
182 three manoeuvres. Participants with a zero count for *Mtb* were excluded from this
183 analysis. The average concentration of *Mtb* bacilli for TiBr was 70% and 90% higher
184 than that of FVC and Cough, respectively (Figure 5A). In addition, no correlation
185 between total *Mtb* count and total particle count was observed for either FVC or Cough
186 (Figure 5B). A slightly more apparent linear relationship was observed for TiBr (Figure
187 5B); however, this did not reach statistical significance. Together, these data imply a
188 disconnect between the aerosolization of particles and *Mtb*.

189 *The extent of aerosolization of Mtb depends predominantly on manoeuvre*
190 *frequency*

191 Knowing the concentration of *Mtb* per volume of bioaerosol suggested the potential to
192 gain useful insight into the relative contributions of Cough and TiBr to the daily
193 production of *Mtb*. To this end, we first compared the average number of bacilli
194 produced per manoeuvre. On average, TiBr produced 2.6- and 3.2-fold fewer *Mtb* per
195 manoeuvre compared to FVC and Cough, respectively (Figure 6A). Next, we
196 extrapolated the values for the average number of bacilli per manoeuvre and the
197 average frequency of manoeuvres per day to estimate daily *Mtb* production. Because
198 FVCs are artificial, directed manoeuvres that are performed only under specific
199 instruction, we utilized TiBr and Cough for this calculation.

200 Our data revealed that participant TiBr occurred at a rate of around one breath
201 every 3.9 seconds, suggesting approximately 22,047 tidal breaths over 24 hours.
202 Since we did not directly measure the frequency of spontaneous coughs, we estimated
203 24-hour Cough frequencies from published data (4), with a median of 466 coughs/day
204 (first quartile 234, last quartile 551). We then used a constant maximum number of
205 breaths per day (22,047) and assumed that, for each Cough, there would be one fewer
206 breath. The average number of *Mtb* bacilli produced by TiBr and Cough was then
207 calculated, and the relative proportion determined by dividing the number per
208 manoeuvre by the total. This enabled an estimation of the relative contribution per day
209 for an average person with an increasing number of coughs (Figure 6B).

210 It was apparent that Cough contributed between 3 and 7% of the total number
211 of *Mtb* bacilli released, with TiBr consistently producing over 93%. Together, these
212 data suggest that coughing is likely to produce significantly fewer bacilli per day
213 compared to TiBr. That is, while the higher per event number and velocity of bacillary
214 release might ensure an important role for coughing in disease transmission in short
215 contacts, for typical exposures in high-risk settings such as public transport,
216 workplaces, schools, *etc.* (13), TiBr is expected to contribute significantly to TB
217 prevalence, especially in high-burden settings such as South Africa.

218 **Discussion**

219 Cough has traditionally been considered the primary means of TB transmission (14).
220 The result is that TB transmission research has predominately focused on factors

221 including Cough production, frequency, and the Cough-borne *Mtb* bacillary load (2).
222 However, the absence in all studies of a comparator respiratory manoeuvre (15-17)
223 has rendered impossible any assessment of alternative contributory mechanisms.
224 Transmission by aerosol requires the aerosolization of particles from the site of
225 infection (18). For *Mtb*, which infects the peripheral lung and alveolar spaces (19), the
226 proposed mechanism of particle aerosolization is fluid film rupture (20). According to
227 this model, particles are produced during inspiration by alveolar reopening and
228 released through expiration (21). Factors impacting particle release are therefore the
229 rate of inspiration and the depth of expiration (21), with a recent study comparing deep
230 exhalation and Cough finding no significant difference in the number of *Mtb*
231 aerosolized between the two manoeuvres (10). For these reasons, we hypothesized
232 that TiBr contributes to the aerosolization of *Mtb*. Therefore, we sought in this study to
233 directly compare the propensity for particle and *Mtb* aerosolization via three defined
234 respiratory manoeuvres: Cough, FVC and TiBr.

235 We sampled bioaerosols from 39 TB-positive participants. Consistent with
236 findings from similar studies, 88% of participants produced at least one bioaerosol
237 sample that was positive for *Mtb* (4, 8, 10), a marked increase over culture-based
238 Cough-sampling techniques (17). Our results also indicated that all three respiratory
239 manoeuvres were equally likely to produce *Mtb*, with TiBr, FVC, and Cough returning
240 positive signals in 66%, 70%, and 65% of samples, respectively. When extrapolating
241 based on daily manoeuvre frequency, these observations imply that TiBr contributes
242 more than 90% of the daily aerosolised *Mtb* across a range of Cough frequencies – a
243 conclusion consistent with the lack of correlation between *Mtb* aerosolization and
244 Cough frequency (4).

245 Establishing a sampling algorithm appropriate for three distinct respiratory
246 manoeuvres is challenging. However, the total number of particles produced during
247 FVC and TiBr sampling were similar, with the Cough producing approximately 4-fold
248 more particles. This suggested that, despite differences in sampling algorithms, the
249 risk of under-sampling any manoeuvre was low. In addition, we saw significant
250 variation between participants, spanning two orders of magnitude, consistent with
251 previous observations (22). Per manoeuvre, TiBr produced significantly fewer particles
252 than both FVC and Cough, with Cough producing the most particles. While it is
253 tempting to speculate that the turbulence of the expired air played a role in the

254 increased number of particles produced by Cough, this interpretation seems unlikely
255 given the similarity in particle counts for Cough and FVC. Considering Cough and FVC
256 are quite different in the rate of expiration, it might be more instructive that both these
257 manoeuvres require deep inspiration: the inference, then, that the rate of expiration –
258 and, therefore, the turbulence of expired air – plays a minimal role in aerosol
259 generation is consistent with a fluid-film rupture model of aerosol generation in the
260 peripheral lung (21). This is also consistent with the similarities in size distributions of
261 particles between both participants and respiratory manoeuvres. Although the
262 absolute counts per category varied between manoeuvres, the proportional
263 compositions within each size category were conserved – an observation which
264 supports the inference that the mechanism of particle production is consistent across
265 the three respiratory manoeuvres (21, 22).

266 The average total number of *Mtb* per participant was 12.6 (max = 52),
267 consistent with our previous study (8). However, owing to continued enhancements of
268 our bioaerosol collection system, participants were sampled for ~15 minutes in this
269 study *versus* the 60-minute sampling duration reported previously (8). Unexpectedly,
270 all three respiratory manoeuvres produced consistently low levels of *Mtb*, with a mean
271 count of 3.9 (max = 21), 5.9 (max = 39) and 3.4 (max = 15) for FVC, TiBr and Cough,
272 respectively. TiBr samples tended to have a two-fold higher rate of *Mtb* aerosolization
273 compared to both FVC and Cough; however, these differences were not significant.
274 And, as noted above, the probability of a sample returning a positive result was
275 consistent for all respiratory manoeuvres. Notably, among the participants who
276 generated at least one positive sample, most (27/28) produced *Mtb* within their FVC
277 and/or TiBr sample. These findings suggest that induced Cough may be unnecessary
278 in studying *Mtb* transmission, a potentially important innovation given the strenuous
279 nature of the induced Cough especially for unwell patients.

280 Contrary to our expectations, the concentration of *Mtb* bacilli per particle was
281 70% or 90% lower in FVC and Cough, respectively, compared to TiBr. In addition, no
282 correlation was observed between particle number and *Mtb* count, even when
283 stratified by participant. Together, these data suggest that variation in particle
284 production alone is insufficient evidence to identify infectious patients, and that
285 applications to reduce particle production seem unlikely to reduce infectiousness (23).

286 Despite the apparent unlinking of particle count and *Mtb* aerosolization, the
287 sizeable increase in aerosol production during FVC and Cough manifest as a 3-fold
288 increase in *Mtb* aerosolization for these manoeuvres compared to TiBr. However,
289 when extrapolated to daily estimates, the relatively high frequency of breathing relative
290 to coughing suggests that, over time, TiBr represents a major source of *Mtb* aerosols,
291 as suggested previously (20). We calculated that, during any single day, breathing
292 contributes >90% of the *Mtb* aerosolised by a TB-positive individual.

293 Our study had a number of limitations. The sample collection algorithm was not
294 consistent for all respiratory manoeuvres – TiBr samples were primarily defined by
295 time *versus* FVC and Cough that were defined by event number – and the particle
296 collection and measurement apparatus were connected in parallel and not in series.
297 Consequently, extrapolations were required to estimate the total number of particles
298 and organisms present in the entire bioaerosol. Additionally, no work was done to
299 determine the effect of manoeuvre order on particle or *Mtb* production. This could have
300 impacted particle and *Mtb* production through participant exhaustion or through
301 particle clearance. That said, we did separate FVC and Cough samples to ensure that
302 TiBr provided a rest period between strenuous samples. The participants included in
303 this study were diagnosed TB-positive via GeneXpert. Therefore, we cannot conclude
304 the relative importance of TiBr to asymptomatic transmission. While our data indicate
305 that significant levels of *Mtb* are aerosolised daily independent of participant Cough,
306 further work is required to investigate this hypothesis in GeneXpert-negative,
307 asymptomatic individuals. Owing to technical challenges inherent in studying
308 spontaneous Cough over short sampling periods, we only studied induced Cough
309 which may not be as infectious. Nevertheless, spontaneous coughs were detected in
310 several TiBr samples, with no effect on overall production of *Mtb*. In estimating Cough
311 frequency per hour, we assumed that the rate is consistent throughout the day. This
312 is a strong assumption, and it is more likely that coughs cluster into discrete events
313 with multiple coughs occurring at a time, suggesting potential outbursts of infectious
314 aerosol production. A final limitation is that, while it might reasonably be assumed that
315 *Mtb* bioaerosol counts are directly linked to infectiousness, this has not been formally
316 demonstrated.

317 Despite these limitations, we interpret our results as indicating that TiBr might
318 be a significant contributor to *Mtb* transmission in an endemic TB setting. This has

319 significant ramifications for both transmission studies and intervention strategies.
320 Firstly, bioaerosol sampling lends itself to a non-invasive participant sampling.
321 Although the impact of induced Cough on a participant is relatively low, if a less
322 invasive sampling algorithm can be applied, it should be. Secondly, interventions
323 targeting disease transmission, such as active screening for symptomatic individuals,
324 may not be effective. Therefore, linking bioaerosol organisms with infectious potential
325 is of vital importance. Bioaerosol sampling is non-invasive and provides potential to
326 identify infectious individuals well in advance of any typical screening regimen. This
327 may offer a novel means to identify and treat infectious individuals before they
328 manifest with definite symptoms.

329 A paradigm in which Cough is the primary driver of TB transmission places
330 surpassing importance on lung pathology; moreover, it appears inconsistent with key
331 epidemiological observations. Sub-clinical TB infections could represent a novel and
332 uncontrolled source of disease transmission. Consequently, understanding how *Mtb*
333 bacilli are aerosolised is of critical importance to curbing the epidemic in high-burden
334 settings

335

336 **Author contribution:**

337 Conceptualization and design: RD, SG, AM, BL, JL, RS, DFW & RW; acquisition of
338 data: JL, AM, BL, RS & RW; analysis and interpretation: RD, DFW & RW; first
339 manuscript draft: RD & DFW; funding acquisition: DFW & RW. All authors critically
340 reviewed and revised the manuscript for intellectual content and approved it prior to
341 submission.

342 **Acknowledgements**

343 We acknowledge the support of the South African Medical Research Council
344 (SAMRC) with funds from National Treasury under its Economic Competitiveness and
345 Support Package (MRC-RFA-UFSP-01-2013/CCAMP, RW), and the Strategic Health
346 Innovations Partnerships (SHIP) Unit of the SAMRC (DFW) and as a sub-grant from
347 the Bill and Melinda Gates Foundation (RW). We are grateful to the Bill & Melinda
348 Gates Foundation (OPP1116641, RW), the US National Institutes of Health (NIH -
349 R37AI058736, Freedberg PI; RW), the Research Council of Norway (R&D Project

350 261669 “Reversing antimicrobial resistance”, DFW), and the US National Institute of
351 Child Health and Human Development (NICHD) U01HD085531 (DFW).

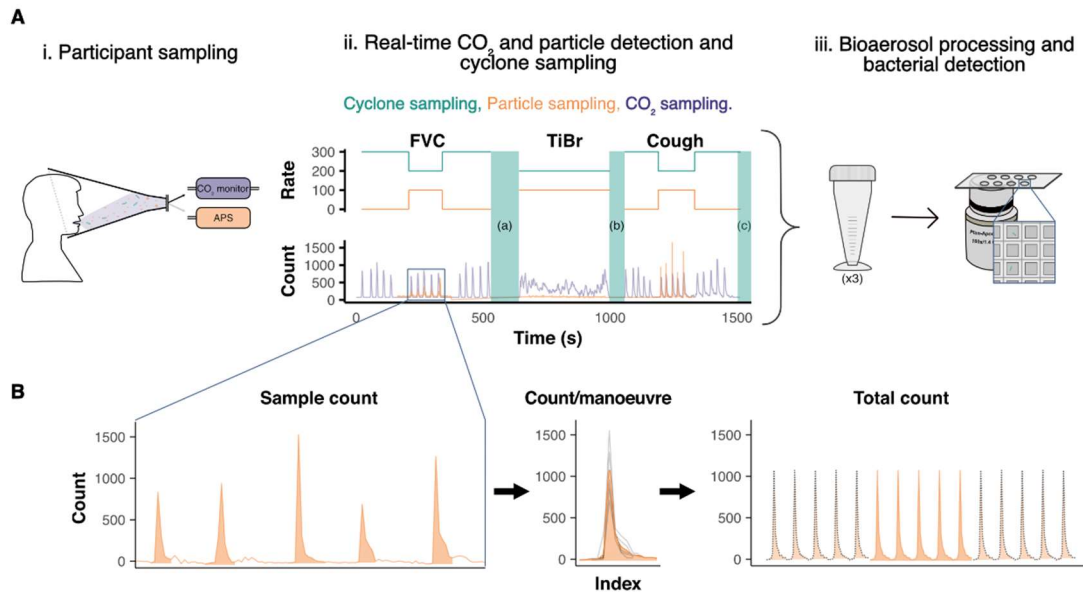
352 **References**

- 353 1. WHO. Global tuberculosis report 2020. 2020.
- 354 2. Donald PR, Diacon AH, Lange C, Demers AM, von Groote-Biddlingmeier F,
355 Nardell E. Droplets, dust and guinea pigs: an historical review of tuberculosis
356 transmission research, 1878 -1940. *Int J Tuberc Lung Dis* 2018; 22: 972-982.
- 357 3. Van der Walt M, Moyo S. The First National TB Prevalence Survey, South Africa
358 2018: Short report. 2021.
- 359 4. Williams CM, Abdulwhhab M, Birring SS, De Kock E, Garton NJ, Townsend E,
360 Pareek M, Al-Taie A, Pan J, Ganatra R, Stoltz AC, Haldar P, Barer MR.
361 Exhaled *Mycobacterium tuberculosis* output and detection of subclinical
362 disease by face-mask sampling: prospective observational studies. *The*
363 *Lancet Infectious Diseases* 2020; 20: 607-617.
- 364 5. Houben RMGJ, Dodd PJ. The Global Burden of Latent Tuberculosis Infection: A
365 Re-estimation Using Mathematical Modelling. *PLOS Medicine* 2016; 13:
366 e1002152.
- 367 6. Auld SC, Kasmar AG, Dowdy DW, Mathema B, Gandhi NR, Churchyard GJ,
368 Rustomjee R, Shah NS. Research Roadmap for Tuberculosis Transmission
369 Science: Where Do We Go From Here and How Will We Know When We're
370 There? *The Journal of Infectious Diseases* 2017; 216: S662-S668.
- 371 7. Wood R, Morrow C, Barry CE, 3rd, Bryden WA, Call CJ, Hickey AJ, Rodes CE,
372 Scriba TJ, Blackburn J, Issarow C, Mulder N, Woodward J, Moosa A, Singh V,
373 Mizrahi V, Warner DF. Real-Time Investigation of Tuberculosis Transmission:
374 Developing the Respiratory Aerosol Sampling Chamber (RASC). *PLoS One*
375 2016; 11: e0146658.
- 376 8. Dinkele R, Gessner S, McKerry A, Leonard B, Seldon R, Koch AS, Morrow C,
377 Gqada M, Kamariza M, Bertozzi CR, Smith B, McLoud C, Kamholz A, Bryden
378 W, Call C, Kaplan G, Mizrahi V, Wood R, Warner DF. Capture and
379 visualization of live *Mycobacterium tuberculosis* bacilli from tuberculosis
380 patient bioaerosols. *PLOS Pathogens* 2021; 17: e1009262.
- 381 9. Patterson B, Morrow C, Singh V, Moosa A, Gqada M, Woodward J, Mizrahi V,
382 Bryden W, Call C, Patel S, Warner D, Wood R. Detection of *Mycobacterium*

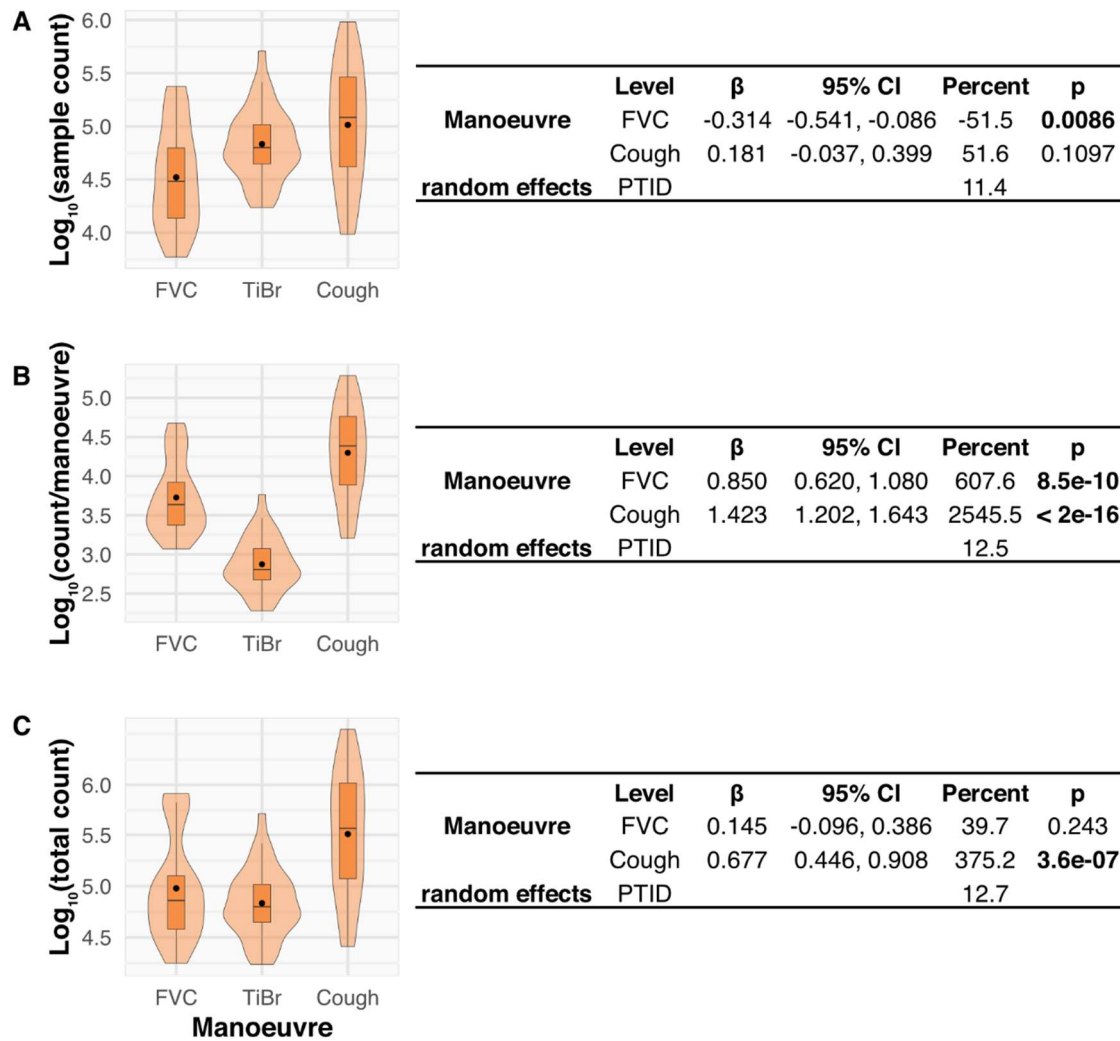
- 383 *tuberculosis* bacilli in bio-aerosols from untreated TB patients *Gates Open*
384 *Research* 2018; 1.
- 385 10. Patterson B, Bryden W, Call C, McKerry A, Leonard B, Seldon R, Gqada M,
386 Dinkele R, Gessner S, Warner DF, Wood R. Cough-independent production
387 of viable *Mycobacterium tuberculosis* in bioaerosol. *Tuberculosis* 2021; 126:
388 102038.
- 389 11. RStudioTeam. RStudio: Integrated Development Environment for R. RStudio,
390 PBC, Boston, MA; 2020.
- 391 12. RCoreTeam. R: A language and environment for statistical computing. R
392 Foundation for Statistical Computing, Vienna, Austria.; 2020.
- 393 13. Andrews JR, Morrow C, Walensky RP, Wood R. Integrating social contact and
394 environmental data in evaluating tuberculosis transmission in a South African
395 township. *J Infect Dis* 2014; 210: 597-603.
- 396 14. Esmail H, Dodd PJ, Houben RMGJ. Tuberculosis transmission during the
397 subclinical period: could unrelated cough play a part? *The Lancet Respiratory*
398 *Medicine* 2018; 6: 244-246.
- 399 15. Fennelly KP, Martyny JW, Fulton KE, Orme IM, Cave DM, Heifets LB. Cough-
400 generated aerosols of *Mycobacterium tuberculosis*: a new method to study
401 infectiousness. *Am J Respir Crit Care Med* 2004; 169: 604-609.
- 402 16. Jones-Lopez EC, Acuna-Villaorduna C, Ssebidandi M, Gaeddert M, Kubiak RW,
403 Ayakaka I, White LF, Joloba M, Okwera A, Fennelly KP. Cough Aerosols of
404 *Mycobacterium tuberculosis* in the Prediction of Incident Tuberculosis Disease
405 in Household Contacts. *Clin Infect Dis* 2016; 63: 10-20.
- 406 17. Theron G, Limberis J, Venter R, Smith L, Pietersen E, Esmail A, Calligaro G, te
407 Riele J, de Kock M, van Helden P, Gumbo T, Clark TG, Fennelly K, Warren R,
408 Dheda K. Bacterial and host determinants of cough aerosol culture positivity
409 in patients with drug-resistant versus drug-susceptible tuberculosis. *Nature*
410 *Medicine* 2020; 26: 1435-1443.
- 411 18. Gralton J, Tovey E, McLaws M-L, Rawlinson WD. The role of particle size in
412 aerosolised pathogen transmission: A review. *Journal of Infection* 2011; 62: 1-
413 13.

- 414 19. Pai M, Behr MA, Dowdy D, Dheda K, Divangahi M, Boehme CC, Ginsberg A,
415 Swaminathan S, Spigelman M, Getahun H, Menzies D, Raviglione M.
416 Tuberculosis. *Nature Reviews Disease Primers* 2016; 2: 16076.
- 417 20. Patterson B, Wood R. Is cough really necessary for TB transmission?
418 *Tuberculosis* 2019; 117: 31-35.
- 419 21. Johnson GR, Morawska L. The mechanism of breath aerosol formation. *Journal*
420 *of Aerosol Medicine and Pulmonary Drug Delivery* 2009; 22: 229-237.
- 421 22. Haslbeck K, Schwarz K, Hohlfeld JM, Seume JR, Koch W. Submicron droplet
422 formation in the human lung. *Journal of aerosol science* 2010; 41: 429-438.
- 423 23. Edwards DA, Man JC, Brand P, Katstra JP, Sommerer K, Stone HA, Nardell E,
424 Scheuch G. Inhaling to mitigate exhaled bioaerosols. *Proceedings of the*
425 *National Academy of Sciences of the United States of America* 2004; 101:
426 17383-17388.

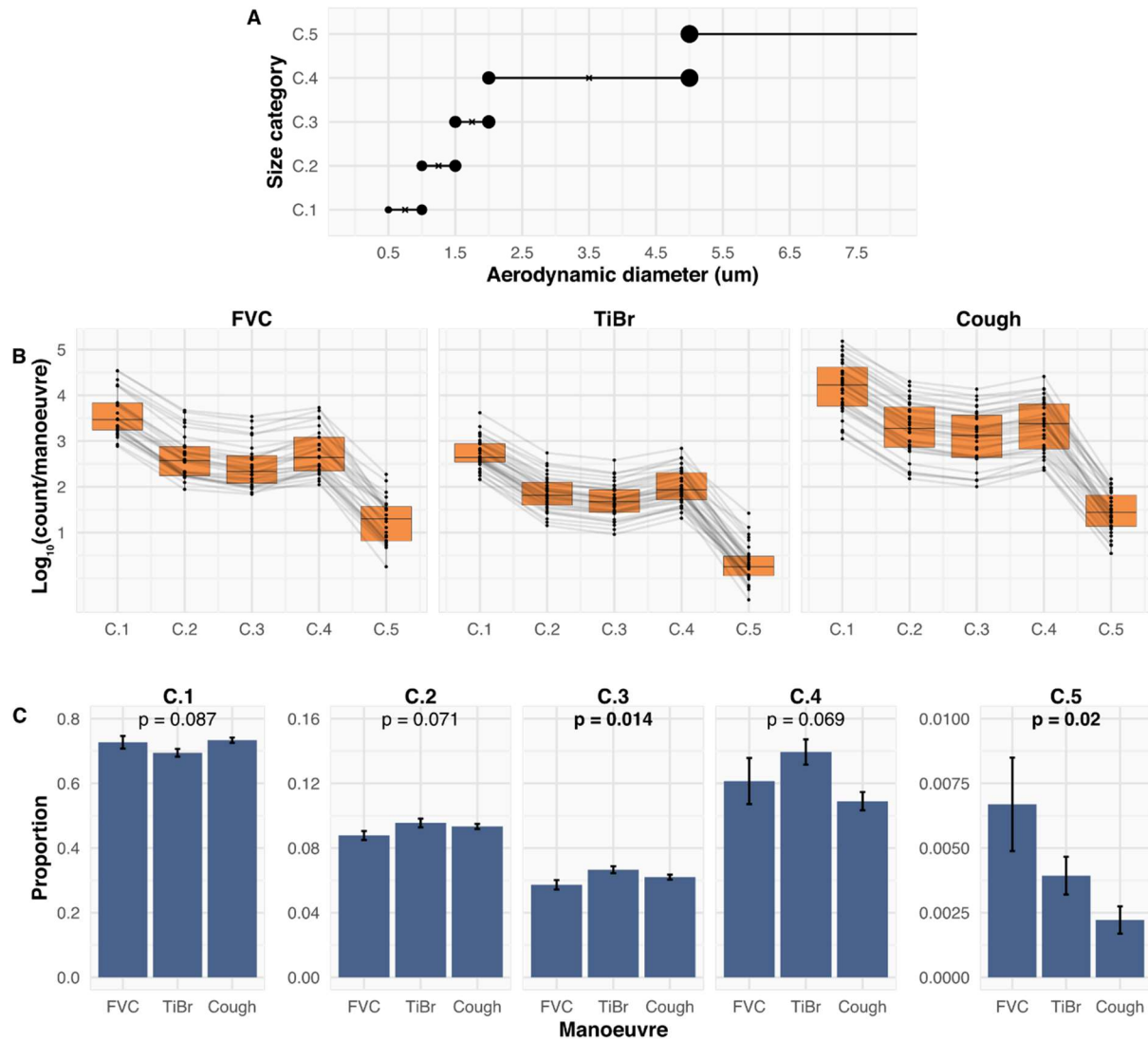
427 **Figures**



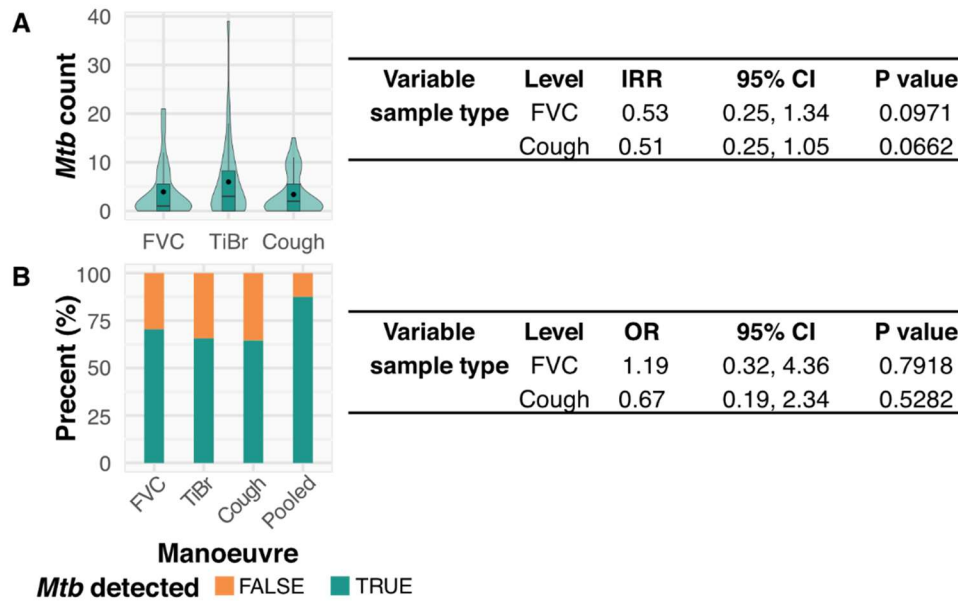
428 **Figure 1. Participant sampling strategy.** (A) i) GeneXpert-positive participants
429 were recruited from TB clinics in Masiphumelele and Ocean View. Bioaerosol
430 samples were collected in the RASC from three respiratory manoeuvres: Forced vital
431 capacity (FVC), tidal breathing (TiBr) and coughing (Cough). ii) During sampling,
432 real-time data were collected for CO₂ concentration (purple) and particle production
433 (orange). Measuring particles required diverting one-third (100 L/min) of the exhaled
434 air into an aerodynamic particle sizer (APS). Particles were only counted for one-
435 third of FVC and Cough sampling, and for the full duration of TiBr sampling. iii) Three
436 independent liquid cyclone collectors were attached for each manoeuvre, which were
437 microscopically scanned for *Mycobacterium tuberculosis* (a, b and, c within the green
438 columns, green lines indicate sample collection flow rate). (B) Graphical
439 representation of the variables; sample count, count/manoeuvre and total count.
440 Sample count is the total count (volume) of particles sampled.
441 Count(volume)/manoeuvre is the average count (volume) of particles per manoeuvre
442 during particle sampling. Total count (volume) is the estimated total number of
443 particles per sample, calculated by multiplying the average count per manoeuvre by
444 the total number of manoeuvres during sampling (counted utilising CO₂ data).



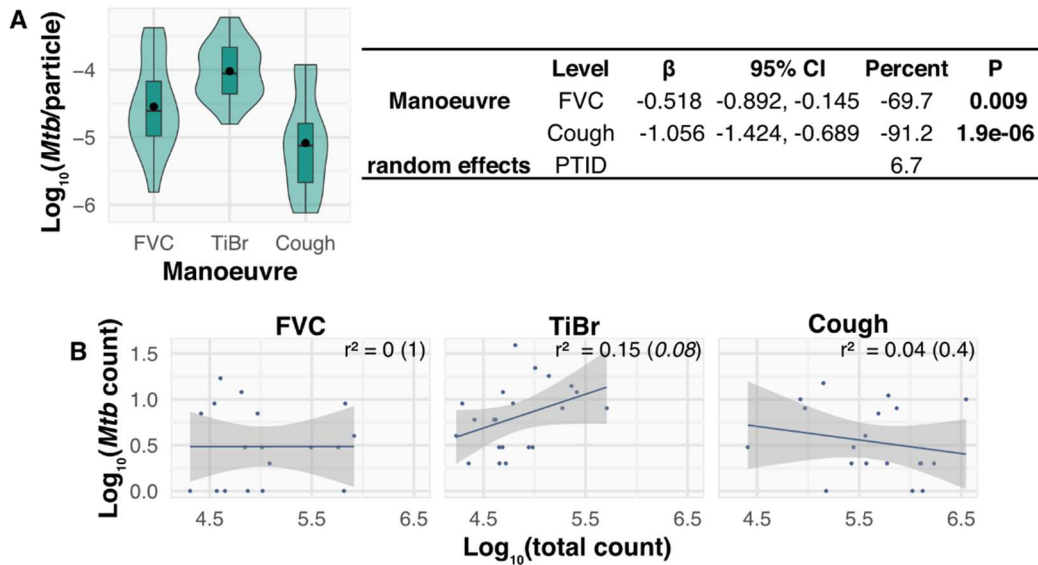
445 **Figure 2. Variation in particle production by FVC, TiBr and Cough.** A
 446 comparison of the (A) sample count, (B) count/manoeuvre and (C) total count of
 447 particles during sampling. The adjacent tables contain the results of univariate linear
 448 mixed models for each. The beta-coefficient (β) and 95% confidence interval (CI) are
 449 presented with percentage change relative to TiBr (Percent). The random effects
 450 results indicate the degree of variation (in %) between participants.



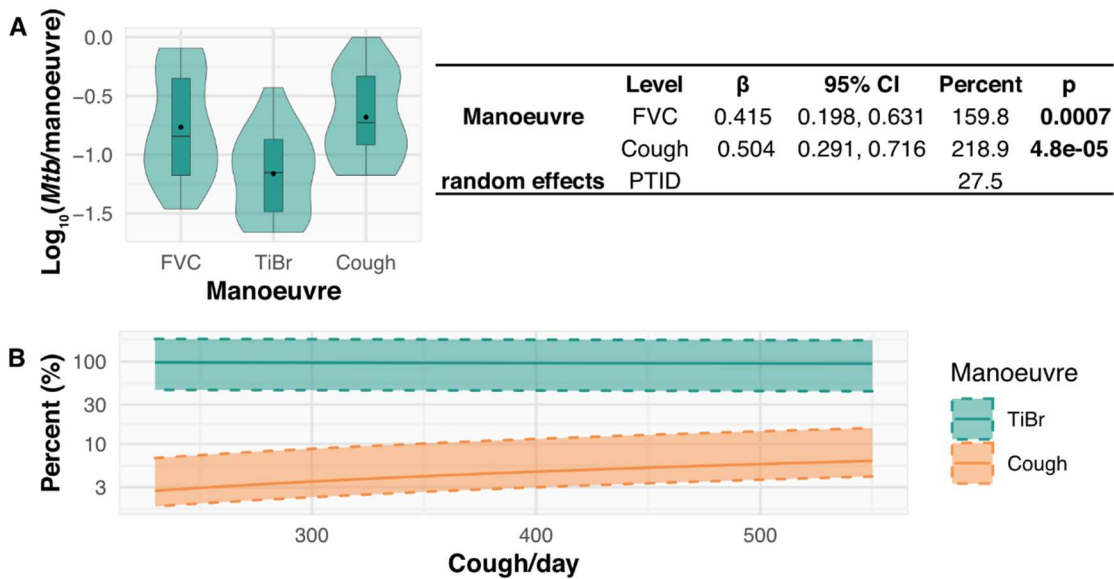
451 **Figure 3. The relative contribution of particles of various sizes is consistent**
 452 **between FVC, TiBr and Cough.** (A) Graphical representation of the size categories
 453 detected by the aerodynamic particle sizer. (B) A comparison of the average count
 454 per manoeuvre stratified by size category. Grey lines indicate the average number of
 455 particles per manoeuvre stratified by size category and participant ID (PTID). (C) A
 456 comparison between manoeuvres of the proportion composition of each size
 457 category per manoeuvre. The data in (C) are presented as the mean proportion \pm
 458 SEM. A Repeated Measures ANOVA was performed, p values below 0.05 are
 459 represented in bold text.



460 **Figure 4. The detection of putative *Mycobacterium tuberculosis* within each**
 461 **respiratory manoeuvre sample. (A)** A comparison of the total number of
 462 *Mycobacterium tuberculosis* (*Mtb*) detected between each sample, adjacent to the
 463 results from a negative binomial regression. **(B)** A comparison of the proportion of
 464 samples that were positive for aerosolised *Mtb*, adjacent to the results of a
 465 generalized linear mixed model. The “pooled” variable in **(B)** represents the percent
 466 of individuals that produced at least one positive sample.



467 **Figure 5. The concentration of *Mycobacterium tuberculosis* relative to particle**
468 **count for each respiratory manoeuvre. (A) A comparison of the average number**
469 **of *Mycobacterium tuberculosis* (*Mtb*) per particle within the bioaerosol, adjacent to**
470 **the results from a linear mixed model. (B) Correlation assessment between**
471 **$\log_{10}(\text{count})$ and $\log_{10}(\text{Mtb})$, with the results of a Pearson's correlation (r -squared = r^2**
472 **and p-value in brackets).**



473 **Figure 6. The relative contribution of *Mycobacterium tuberculosis* by each**
 474 **respiratory manoeuvre. (A)** A plot of the average number of *Mycobacterium*
 475 *tuberculosis* (*Mtb*) per manoeuvre with the results of the linear mixed model. **(B)** The
 476 relative contribution of bacteria per day (percent). We used the median frequency of
 477 breaths to estimate an average of 22,047 breaths per day. We then assumed that for
 478 every Cough, there would be one fewer breath throughout the day over a range of
 479 coughs from 234 – 551 coughs. At each cough frequency, we determined the
 480 average number of bacilli per TiBr (solid green line) or per Cough (solid orange line).
 481 We then estimated the percentage contribution of aerosolised *Mtb* that each
 482 manoeuvre made relative to the total (solid lines sum to 100). The shaded regions
 483 represent the interquartile range of *Mtb* production for both TiBr and Cough.