

1 **Plant growth and phytoactive alkaloid synthesis in *Mitragyna speciosa* (kratom) in response**
2 **to varying radiance**

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5 Mengzi Zhang¹, Abhisheak Sharma², Francisco León^{3,#a}, Bonnie Avery^{2,4}, Roger Kjelgren¹,
6 Christopher R. McCurdy^{3,4}, and Brian J. Pearson^{1*}

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9 ¹ Department of Environmental Horticulture, Mid-Florida Research and Education Center,
10 Institute of Food and Agricultural Sciences, University of Florida, Apopka, Florida, United
11 States of America

12 ² Department of Pharmaceutics, College of Pharmacy, University of Florida, Gainesville,
13 Florida, United States of America

14 ³ Department of Medicinal Chemistry, College of Pharmacy, University of Florida, Gainesville,
15 Florida, United States of America

16 ⁴ Translational Drug Development Core, Clinical and Translational Science Institute, University
17 of Florida, Gainesville, Florida, United States of America

18 ^{#a} Current Address: Department of Drug Discovery and Biomedical Sciences, College of
19 Pharmacy, University of South Carolina, Columbia, South Carolina, United States of America

20

21 * Corresponding author

22 E-mail: bpearson@ufl.edu

23

24 **Abstract**

25 The dose-dependent consumptive effect of kratom and its potential application as an alternative
26 source of medicine to mitigate opioid withdrawal symptoms has brought considerable attention
27 to this plant. Increased interest in the application and use of kratom has emerged globally,
28 including North America. Although the chemistry and pharmacology of major kratom alkaloids,
29 mitragynine and 7-hydroxymitragynine, are well documented, foundational information on the
30 impact of plant production environment on growth and kratom alkaloids synthesis is unavailable.
31 To directly address this need, kratom plant growth, leaf chlorophyll content, and alkaloid
32 concentration were evaluated under three lighting conditions: outdoor full sun, greenhouse
33 unshaded, and greenhouse shaded. Nine kratom alkaloids were quantified using an ultra-
34 performance liquid chromatography-tandem mass spectrometry (UPLC-MS/MS) method.
35 Contents of six alkaloids to include: mitragynine, speciogynine, speciociliatine, mitraphylline,
36 coynantheidine, and isocorynantheidine were not significantly impacted by lighting conditions,
37 whereas 7-hydroxymitragynine was below the lower limit of quantification across all treatments.
38 However, paynantheine concentration per leaf dry mass was increased by 40% and corynoxine
39 was increased by 111% when grown under shade conditions in a greenhouse compared to
40 outdoor full sun. Additionally, total alkaloid yield per plant was maximized when plants were
41 under such conditions. Greenhouse cultivation generally promoted height and width extension,
42 leaf number, leaf area, average leaf size, and total leaf dry mass, compared to outdoor full sun
43 condition. Rapid, non-destructive chlorophyll evaluation correlated well ($r^2 = 0.68$) with
44 extracted chlorophyll concentrations. Given these findings, production efforts where low-light
45 conditions can be implemented are likely to maximize plant biomass and total leaf alkaloid
46 production.

47

48 **Introduction**

49 *Mitragyna speciosa*, commonly known as kratom, is a tropical small to medium size (4-16 m)
50 tree indigenous to wetland forests of Southeast Asia. Historically, kratom was used in Thailand,
51 Malaysia, and Indonesia to serve as a mild herbal stimulant, pain reliever, and to treat diarrhea
52 and opium addiction [1-3]. In southeast Asia, kratom leaves are harvested and consumed fresh by
53 chewing or steeping in water to make tea [3]. In the Western hemisphere where fresh kratom is
54 unavailable, kratom is sold in the form of dried and ground powder or as a concentrated liquid
55 extract for easier transportation and consumption [4].

56 Kratom produces an array of psychoactive compounds. So far more than 54 compounds
57 including alkaloids, flavonoids, and terpenoids have been identified within kratom [5-7].
58 Although kratom's alkaloids are likely produced by the plant to aid in defense of environmental
59 challenges, they have demonstrated activity at central nervous system targets and may be
60 medically valuable for human health [8-10]. Of the wide array of alkaloids found in kratom
61 leaves, mitragynine and 7-hydroxymitragynine are the best understood and considered the most
62 psychoactive [6]. Mitragynine can constitute up to 38.7% in traditional and commercial kratom
63 products [5, 11, 12]. 7-Hydroxymitragynine is produced by oxidation of mitragynine and is a
64 minor constituent (< 0.01% in fresh leaves) found at concentrations of up to 2% in leaf extracts
65 and commercial kratom products [13-14]; however, it is believed to be the major contributor to
66 the known addictive potential of kratom given its activity as a potent μ -opioid receptor agonist
67 [15-18]. In the U.S., commercially available, imported kratom products (in the format of
68 capsules, dried leaves, powders, resins, and concentrated extracts) have variable concentrations
69 of mitragynine (1.2 to 38.74%) and 7-hydroxymitragynine (0.01 to 0.75%) on a leaf dry weight

70 basis [11, 19]. Other major and minor alkaloids found within leaves of kratom include
71 paynantheine (0.3 to 12.8% of kratom leaf dry weight), speciogynine (0.1 to 5.3%), and
72 mitraphylline, which act as a competitive antagonist of μ -opioid receptors and function as
73 muscle relaxants, and speciociliatine (0.4-12.3%) and corynantheidine (0.1-1.2%), which act as
74 opioid agonists and adrenergic receptor [6, 10, 20-21]. The overall effect following consumption
75 of kratom leaves is complex due to the interplay and range of bioactive alkaloids present [22].

76 Despite kratom's long history of use in Southeast Asia, information on factors that
77 influence plant growth and alkaloidal synthesis are largely unavailable. Available research on
78 kratom is largely focused on its leaf chemistry and its potential pharmacological applications. As
79 interest in cultivation of kratom increases along with consumptive demand, formal kratom
80 cultivation efforts will likely soon be established. Although kratom had long been cultivated in
81 Thailand, it was classified as illegal in 1943, and thus planting, possession, sales, and use of
82 kratom leaves were prohibited [23]. In 2019, the Thai government approved a bill legalizing
83 kratom for medicinal applications while recreational use remains illegal [24]. This bill provides
84 the first opportunity for legal cultivation of kratom in Thailand since passage of the Kratom Act
85 of 1943 and the Narcotics Act of 1979. Similar to other agricultural production efforts, plant
86 cultivation practices based upon empirical evidence will be necessary for consistent successful
87 commercial cultivation of kratom.

88 Synthesis of phytoactive leaf alkaloids can occur in response to light intensity. Highest
89 natural photosynthetic light intensity, or photosynthetic photon flux density (PPFD), occurs
90 outdoors in full-sun conditions during summer in the northern hemisphere. Plants respond to
91 high PPFD by upregulating or downregulating alkaloid synthesis dependent upon species and
92 other environmental factors present. For example, camptothecin, an indole alkaloid in

93 *Camptotheca acuminata* leaves, was reduced by 99% when plants were moved from full sun to
94 heavy shade (27% full sun) [25]. Similarly, total alkaloid content in tubers of *Pinellia ternate*
95 decreased 27% when plants were moved from full sun to heavy shade (15% full sun) [26]. Light
96 intensity in combination with nutrient availability may collectively affect phytoactive alkaloid
97 production in some plant species. Winters and Loustalot (1952) observed limited synthesis of
98 alkaloids in roots of *Cinchona ledgeriana* seedlings when subjected to 30% of full sunlight
99 across a range of nitrogen fertility regimes [27]. However, considerable alkaloid synthesis did
100 occur when light intensity was high and availability of nitrogen was low.

101 Phytoactive alkaloid synthesis within leaves can be affected by light quality, especially
102 ultraviolet (UV) and far-red light, although studies are limited. Light quality is defined as the
103 spectral composition of wavelengths influential to plant growth and photosynthesis.
104 Concentration of the alkaloids catharanthine and vindoline in cell suspension culture of
105 *Catharanthus roseus* was promoted 3- and 118-fold, respectively, after being exposed to UV-B
106 irradiation for a duration of 48 h as compared to plants not exposed to UV-B [28]. In addition to
107 the influence of UV light, red and far-red light can influence plant secondary metabolism
108 responsible for synthesis of leaf alkaloids. A low red to far-red light ratio, which occurs naturally
109 in high shade or dense canopy conditions, results in a low phytochrome stationary state that can
110 cause induction of shade avoidance responses, such as internode elongation and increase of leaf
111 area and leaf chlorophyll concentration to optimize photosynthesis efficiency in the presence of
112 competing vegetation [29-31]. However, the impact of light quality on alkaloid synthesis is still
113 relatively unclear and largely undocumented. Tso et al. (2008) observed that total alkaloid
114 content in tobacco (*Nicotiana tabacum*) tended to be higher in plants that were subjected to end-
115 of-day red than far-red radiation; however, differences were not significant [32]. Although wild

116 populations of kratom have been documented in the dense equatorial rain forests of Thailand and
117 Malaysia, the influence of light on growth and alkaloid synthesis is undocumented and is vital to
118 future commercial kratom cultivation efforts.

119 The sun is the major light source used in the cultivation of plants, even within most
120 greenhouses where structural components may reduce or modify light intensity and quality.
121 Quality of natural sunlight is strongly influenced by solar declination, atmospheric gases, and
122 suspended particles that attenuate transmission of light. Light quality within greenhouses differs
123 from outdoor, full sun conditions due to the absorptive nature of greenhouse structural materials,
124 coverings, and any light-altering paints [33]. Furthermore, supplemental shade materials can be
125 used to reduce light intensity and/or alter spectral quality when compared to outdoor, full sun
126 conditions. Maximum daily light integral (DLI) outdoors within the state of Florida (U.S.) is
127 approximately $45 \text{ mol} \cdot \text{m}^{-2} \cdot \text{d}^{-1}$ on a cloudless day in the summer, while the minimum may be less
128 than $20 \text{ mol} \cdot \text{m}^{-2} \cdot \text{d}^{-1}$ during a short winter day in northern Florida [34]. More recently, we
129 conducted a new data analysis from the NASA POWER database and discovered that the
130 average DLI from 2015 to 2020 for Central Florida, Southern Thailand, Northern Malaysia, and
131 Indonesia was 17.2, 17.3, 17.3, and $17.0 \mu\text{mol} \cdot \text{m}^{-2} \cdot \text{d}^{-1}$, respectively. Although DLI in Central
132 Florida is more variable throughout the year compared to Southeast Asia, mean DLI is similar.
133 Depending upon glazing materials and structural components used, light transmission and
134 intensity within a typical greenhouse is approximately 35-50% less than what is measured
135 outdoors in full sun [35].

136 Although wild populations of kratom are found in the dense understory of equatorial rain
137 forests, open-canopy commercial farming has recently been established in Indonesia in response
138 to high export demands [36]. The influence of high light on plant growth and alkaloid content

139 under open canopy production conditions, however, is undocumented. To determine if light-
140 induced environmental factors can modify or influence synthesis of kratom leaf alkaloids, a
141 preliminary investigation was conducted where kratom trees cultivated in a greenhouse were
142 sampled to quantify leaf alkaloid content, moved to an outdoor, full-sun environment, and then
143 sampled again two weeks later [37]. Concentrations of several leaf alkaloids increased in
144 response to the change in cultivation environment, thus suggesting that an increase in PPF, an
145 increase in air temperature, a change in light quality, or a combination of these environmental
146 factors were influential to synthesis of alkaloids within leaves of kratom. Given these
147 preliminary findings, coupled with increased demand for kratom and a lack of foundational
148 information regarding its cultivation, empirically derived information is needed by growers and
149 producers to assist in attainment of biomass yield and alkaloid production goals. To directly
150 address this need, research was conducted to examine the influence of light on kratom: 1)
151 growth, leaf area, and biomass, 2) leaf chlorophyll content and its estimation through a rapid,
152 non-destructive technique, and 3) the concentration of nine leaf alkaloids. Research results
153 provide an expanded foundational knowledge of kratom and its response to varying light
154 environments. This information will be helpful to the newly emerging commercial kratom
155 cultivation industry where optimization of operations will be key to efficient and predictable
156 production.

157

158 **Materials and Methods**

159 **Plant Materials**

160 Vegetative propagules, or cuttings, were taken from a single mother stock kratom plant, treated
161 with 1000 mg·L⁻¹ indole-3-butyric acid rooting hormone (Hormodin 1, OHP Inc., Mainland, PA,

162 United States), and then placed within rockwool cubes to develop roots. Once roots had visually
163 emerged from the rockwool cubes, the propagules were transplanted and cultivated in 0.7 L and
164 11.4 L containers as described by Zhang et al. (2020) [4]. Osmocote Plus 15-9-12 slow-release
165 fertilizer (Scotts, Marysville, OH, United States) was applied at 74 g per container as per the
166 manufacturer's recommendations to provide sufficient nutrient availability throughout the
167 duration of the experiment.

168

169 **Experiment Treatments**

170 Sixty plants (n=60) were randomly assigned to one of three diverse light treatments to include:
171 outdoor full sun, unshaded within a greenhouse (GH unshaded), and shaded within a greenhouse
172 (GH shaded). Plants within the outdoor full sun treatment were placed under full sunlight outside
173 of the greenhouse. Plants within the GH unshaded treatment were placed onto a bench within an
174 enclosed greenhouse to receive ambient solar radiation. Lastly, plants within the GH shaded
175 treatment were placed onto a bench inside of the greenhouse under shade cloth. Polycarbonate
176 glazing materials reduced the daily light integral within the greenhouse (GH shaded) by
177 approximately 60% compared to outdoor full sunlight. A knitted shade cloth (DeWitt, Sikeston,
178 MO) installed approximately 2 m above a greenhouse bench reduced light by another 40%
179 (~25% of full sun) to create conditions for the GH unshaded treatment. Environmental conditions
180 within treatment areas were measured and adjusted to ensure limited variability existed. All
181 plants were grown under natural day length regardless of treatment.

182

183 **Environmental Conditions**

184 Plant irrigation schedule and greenhouse environment was monitored as described by Zhang et
185 al. (2020) [4]. Outdoor environmental conditions were recorded every 15 min by the onsite
186 Florida Automated Weather Network station. Average temperature within the greenhouse and
187 the field were relatively similar throughout the experiment, with a mean of 27.7 to 28.1 °C in
188 September, 24.3 to 25.0 °C in October, 19.9 to 21.7 °C in November, and 17.4 to 18.9 °C in
189 December 2018.

190 Data collection protocol for this research was described previously in detail by Zhang et
191 al. (2020) [4]. Briefly, plant height, width, trunk diameter, and SPAD value (an index of relative
192 chlorophyll concentration) of mature leaves were collected monthly beginning September 10,
193 2018. Total leaf number and area, average leaf size, and total leaf dry mass was recorded at
194 termination of the experiment on December 20, 2018. Specific leaf area was calculated by total
195 leaf area and leaf dry mass. Quantification of leaf alkaloids and chlorophyll concentration was
196 conducted monthly using the methods described in Zhang et al. (2020) [4]. In brief, leaf
197 chlorophyll content was extracted and measured with a UV-Visible Spectrophotometer from
198 three random plants within each treatment once every four weeks. A multiple reaction mode
199 (MRM) based UPLC-MS/MS method in positive ionization was implemented for the
200 quantification of nine kratom alkaloids on Acquity Class I UPLC coupled with Waters Xevo TQ-
201 S Micro triple quadrupole mass spectrometer. UPLC method, compound and source parameters
202 were the same as reported previously [4].

203

204 **Experiment Design and Data Analysis**

205 The experiment was conducted using a complete randomized design with three treatments and 20
206 replicates. Each plant was considered as an experimental unit and an individual leaf sample was

207 considered a subsample within the experimental unit. Statistical analysis was conducted using a
208 restricted maximum likelihood mixed model analysis in JMP® Pro 13 (SAS Institute, Inc., Cary,
209 NC, United States) and SAS (SAS Institute, Inc., Cary, NC, United States). Post-hoc mean
210 separation tests were performed using Tukey's honest significant difference test by lighting
211 treatment with treatment combination replicates (n=20) defined as the random error term.
212 Statistical tests were considered significant if $P \leq 0.05$.

213

214 **Results**

215 **Plant Growth Indicators**

216 Height of plants cultivated within the greenhouse was greater than those grown outdoors under
217 full sunlight, with the tallest plants resulting from the shade cloth treatment (Fig. 1). Plant height
218 was increased by 93 and 114% in response to being cultivated within the greenhouse under
219 unshaded and shaded conditions, respectively, compared to plants cultivated outdoors under full
220 sunlight. Similar to plant height, plants cultivated within the greenhouse were wider; plant width
221 was between 53 and 57% greater than those cultivated in the field. Despite differences in height
222 and width in response to imposed light treatments, trunk caliper growth of plants was similar
223 among all treatments.

224

225 **Fig 1. Plant growth indicators of kratom cultivated under varying radiance.** GH =
226 greenhouse. Leaf number included leaves ≥ 2 cm. Data were pooled from twenty replicates for
227 height, width, and trunk caliper growth and four replicates for leaf number, total leaf area and
228 average leaf size. Means sharing the same letter are not statistically different by Tukey's honest
229 significant difference test at $P \leq 0.05$. NS = not significant.

230

231 Total leaf area and the number of leaves on plants grown inside the greenhouse (shaded
232 and unshaded) were statistically similar and were 118 to 160% and 54 to 80% greater,
233 respectively, than plants grown outdoors under full sun (Fig. 1). Average leaf area was similar
234 between plants grown outdoors under full sun and those cultivated in the greenhouse without
235 shade cloth. However, plants grown under shade cloth in the greenhouse had between 41 and
236 69% greater average leaf area than those cultivated in the unshaded greenhouse and under full
237 sun, respectively. Total leaf dry mass trends were similar to that observed for total leaf area,
238 plant width, and height with unshaded and shaded greenhouse cultivated kratom having 89 to
239 91% greater total leaf dry mass than those cultivated outdoors under full sun (Fig. 2).
240 Additionally, specific leaf area increased with the decrease of light received, at 16 and 39%
241 higher under the greenhouse unshaded and shaded conditions compared to full sun outdoors.

242

243 **Fig 2. Total leaf dry mass and specific leaf area of kratom cultivated under varying**
244 **radiance.** GH = greenhouse. Data were pooled from four replicates and means sharing the same
245 letter are not statistically different by Tukey's honest significant difference test at $P \leq 0.05$.
246

247 **SPAD and Chlorophyll Concentration**

248 SPAD values were 22 to 31% greater among plants cultivated under shade cloth in the
249 greenhouse than those grown in unshaded or under full sunlight in the field, respectively (Fig. 3).
250 Chlorophyll concentration among treatments was similar at the beginning of the experiment and
251 generally increased during the first month. Chlorophyll concentration of plants grown under full
252 sun was 17% lower than those grown under shade cloth in the greenhouse, but both were not
253 significantly different from plants grown under unshaded light in the greenhouse. At the end of
254 the experiment, leaf chlorophyll concentration of plants grown under full sun was 17 to 23% less

255 than those grown inside the greenhouse, but no differences were found between greenhouse
256 treatments. Generally, chlorophyll a/b ratio was greatest when plants were grown under shaded
257 conditions in the greenhouse, but no significant differences were found among treatments.
258 Additionally, SPAD values correlated well ($r^2 = 0.68$) with chlorophyll concentrations among
259 trees between September and December 2018 (Fig. 4).

260

261 **Fig 3. SPAD index values, chlorophyll concentration, and chlorophyll a/b ratio of kratom**
262 **cultivated under varying radiance.** GH = greenhouse. SPAD data were pooled from twelve
263 replicates and chlorophyll *a+b* content and *a/b* ratio data were pooled from three replicates in
264 each month. Means sharing the same letter are not statistically different by Tukey's honest
265 significant difference test at $P \leq 0.05$.

266

267 **Fig 4. Correlation of SPAD and chlorophyll *a+b* content in kratom leaves.** Plants were
268 cultivated from September to December, 2018, under different radiation treatments. Data were
269 pooled from 9 replicates each month.

270

271 **Alkaloid Concentration**

272 7-hydroxymitragynine was not detected in any of our samples (Table 1). Mitragynine was
273 detected in 53% of the samples; however, no significant differences among lighting treatments
274 per leaf dry mass were observed (Table 1). On a per leaf dry mass basis, paynantheine
275 concentrations in kratom grown under shade in the greenhouse were 40 and 27% greater than
276 plants grown outdoors under full sun and in the greenhouse without shade, respectively.
277 Similarly, corynoxine concentrations were approximately 2-fold greater in leaves of plants
278 cultivated under shade in the greenhouse when compared to plants cultivated in full sun or in the
279 greenhouse without shade. Despite these trends, differences in concentrations of speciogynine,
280 speciociliatine, mitraphylline, corynantheidine, and isocorynantheidine in response to lighting
281 treatment were not observed.

282 On a per plant basis, total speciogynine, speciociliatine, mitraphylline, corynantheidine,
283 and isocorynantheidine content of plants grown in the greenhouse, regardless of shading
284 conditions, were approximately 1.6- to 2.4-fold greater compared to plants grown under full sun
285 outdoors. Greenhouse shaded conditions drastically promoted total mitragynine synthesis (2.6-
286 fold greater than plants cultivated outdoors under full sun). Similarly, total paynantheine content
287 was 1.7- and 1.1-fold greater under greenhouse shaded conditions and unshaded conditions,
288 respectively, than when cultivated outdoors under full sun.

289 **Table 1. Phytoactive alkaloid concentration per leaf dry mass (\pm SE) and total phytoactive alkaloid content per plant (\pm SE)**
 290 **grown under field (FLD) sunlight or in greenhouse (GH) unshaded or shaded.**

Treatment Alkaloid	Alkaloid concentration per leaf dry mass (%w/w)			Total alkaloid content per plant (g)		
	FLD Sunlight	GH Unshaded	GH Shaded	FLD Sunlight	GH Unshaded	GH Shaded
Mitragynine	0.014 \pm 0.001	0.015 \pm 0.001	0.028 \pm 0.007	0.79 \pm 0.04 b	1.60 \pm 0.14 ab	2.81 \pm 0.71 a
7-Hydroxymitragynine	Below LLOQ*	Below LLOQ	Below LLOQ	Below LLOQ	Below LLOQ	Below LLOQ
Speciogynine	0.139 \pm 0.008	0.112 \pm 0.006	0.118 \pm 0.008	7.35 \pm 0.44 b	11.28 \pm 0.64 a	11.94 \pm 0.78 a
Paynantheine	0.020 \pm 0.001 b	0.022 \pm 0.001 b	0.028 \pm 0.001 a	1.04 \pm 0.04 c	2.23 \pm 0.13 b	2.83 \pm 0.16 a
Speciociliatine	0.021 \pm 0.001	0.026 \pm 0.002	0.025 \pm 0.002	1.12 \pm 0.06 b	2.64 \pm 0.18 a	2.50 \pm 0.18 a
Mitraphylline	0.146 \pm 0.009	0.119 \pm 0.007	0.129 \pm 0.009	7.77 \pm 0.46 b	12.05 \pm 0.71 a	13.05 \pm 0.93 a
Coynantheidine	0.090 \pm 0.007	0.086 \pm 0.009	0.068 \pm 0.007	4.68 \pm 0.36 b	8.59 \pm 0.86 a	6.93 \pm 0.74 a
Isocorynantheidine	0.103 \pm 0.005	0.102 \pm 0.008	0.107 \pm 0.012	5.48 \pm 0.28 b	10.22 \pm 0.80 a	10.79 \pm 1.17 a
Corynoxine	0.018 \pm 0.001b	0.018 \pm 0.002 b	0.038 \pm 0.003 a	0.97 \pm 0.06 b	1.79 \pm 0.17 b	3.86 \pm 0.31 a

291 Data were pooled from four replicates bi-weekly for four months. Means sharing the same letter are not statistically different by
 292 Tukey's honest significant difference test at $P \leq 0.05$.
 293 *LLOQ = Lower Limit of Quantification (0.01 %w/w)

294 **Discussion**

295 Various species have shown to exhibit shade-acclimation response when subjected to
296 shade or a low red to far-red light ratio environment to maximize sunlight interception [38-40].
297 In our study, kratom height, average leaf size, and total leaf dry mass were increased in response
298 to unshaded and shaded conditions in the greenhouse compared to plants grown under full sun
299 outdoors. Plants also developed significantly more specific leaf area and total chlorophyll
300 content with a reduction in the chlorophyll a:b ratio, suggesting an optimization of light capture
301 and a higher efficiency of light use in response to maximize photosynthesis and gain carbon
302 under shaded conditions [41-42]. Similar findings have been observed in other related studies.
303 For example, the height and leaf area of poinsettia (*Euphorbia pulcherrima*) were 55 to 75% and
304 111 to 155% greater when grown under 48 to 78% shading compared to 30% shading [43]. In
305 addition, total chlorophyll content was highest under 92% of shade and dry weight was greatest
306 under 48% of shade. In four different species of Pacific Northwest conifer seedlings, plant height
307 was greatest and chlorophyll a was consistently higher under 75% of shade compared to no
308 shade [44]. These shade-acclimation changes likely assist kratom in being more competitive in
309 the dense, light-limited tropical forests in which they evolved and provide evidence of shade-
310 acclimation response within this species. Given that leaf chlorophyll content was reliably
311 estimated using SPAD meter values and fit a linear model developed in our study, cultivators of
312 kratom may choose to reliably predict chlorophyll content using the rapid, nondestructive
313 technique offered by the SPAD device.

314 Although originally believed to be byproducts of plant primary metabolic processes,
315 phytoactive alkaloids are now better understood to be purposefully produced by plants to protect
316 against herbivory and disease [9]. Relationships between environmental stimuli and the

317 regulation of alkaloidal synthesis are complex and highly variable among plants and
318 environments. In our study, paynantheine and corynoxine had the highest concentration per leaf
319 dry mass under the most shaded lighting conditions. This is supported by Ralphs et al. (1998)
320 where short-term shade stress, induced by 30% full sunlight for three days using shade cloth and
321 100% of full sunlight by covering leaves with aluminum foil, increased alkaloid concentration in
322 tall larkspur (*Delphinium barbeyi*) by 36-38% and 11%, respectively, compared to plants grown
323 in open sun [45]. Similarly, several plant alkaloids including vinblastine (*Catharanthus roseus*)
324 and camptothecin (*Camptotheca acuminata*) have been reported in higher concentrations
325 following exposure to low light conditions [25, 46]. However, contradictory relationships also
326 exist within available related literature. For example, alkaloidal content in Kiasahan (*Tetracera*
327 *scandens*) leaves was higher under full sunlight than under shade [47]. Similarly, Chen et al.
328 (2017) reported an increase in total alkaloid content in *Pinellia ternate* when light was increased
329 from 15 to 100% of full sunlight using shade nets [26]. This is not uncommon as alkaloid
330 production involves several different metabolic pathways, although many of them are not fully
331 understood [48]. Although thought to be an overly simplified relationship, our findings support
332 the carbon/nutrient balance theory where carbon stress due to limitation of light and a resulting
333 reduction in photosynthesis increase nitrogen-containing defense compounds, such as alkaloids,
334 in shade-tolerant species [49]. Additionally, a high specific leaf area for plants growing in the
335 shade can make their leaves more sensitive to mechanical stress and herbivory, thus an
336 increasing level in alkaloids may assist with the defense mechanism for survival in deep shade
337 [41, 48, 50].

338 Cultivating kratom under shade cloth within a greenhouse maximized the concentration
339 of both paynantheine and corynoxine. In addition, this same production condition maximized

340 plant height, leaf area, and leaf size. Given this, total calculated yield of each alkaloid quantified
341 in our study was greatest among shaded plants given the shade acclimation response of greater
342 leaf mass and a larger leaf size (Table 1). An evolutionary adaptation to low-light environments
343 is likely given the higher plant performance observed when kratom was cultivated in conditions
344 similar to those that occur in the dense, shaded understory of equatorial rainforests. Given that
345 paynantheine and corynantheidine act as muscle relaxers and opioid agonists, cultivators of
346 kratom could produce plants under similar production conditions to maximize production and
347 use of kratom for these intended applications. Despite the range of lighting conditions imposed
348 in this study, only low levels of mitragynine were observed. 7-hydroxymitragynine was not
349 detected in any leaf samples, reinforcing the opinion that this alkaloid is produced from
350 mitragynine as a post harvest artifact [5]. Low levels of mitragynine, coupled with a lack of 7-
351 hydroxymitragynine, suggests low abuse liability potential when compared to previously
352 examined imported commercial kratom products.

353 When conducting horticultural investigations, differences in irradiance usually
354 accompany a differential in environmental temperature; however, environmental temperature
355 information is often not discussed, reported, or otherwise accounted for in available literature. In
356 our study, greenhouse and field temperatures were managed so they remained similar throughout
357 the experiment and thus variable temperatures among imposed treatments were eliminated as a
358 potential confounding variable. Research examining synthesis of leaf alkaloids in response to
359 different temperatures under similar light intensities in the controlled environments is warranted
360 and remains needed, however.

361 In addition to being influenced by light intensity and temperature, synthesis of
362 phytoactive alkaloids may be influenced by light quality. Indole alkaloid concentrations have

363 been observed to vary in response to UV-B irradiation exposure in a number of medicinal plants
364 including *Clematis terniflora*, *Withania somnifera*, *Coleus forskohlii*, *Zanthoxylum bungeanum*,
365 and *Coleus aromaticus* [51]. Ultraviolet light transmission is often 20 to 80% lower within
366 greenhouses than outdoors in full sun, dependent upon glazing materials used in the construction
367 and design of the greenhouse [52]. Surprisingly, in our study alkaloid concentrations were not
368 different between plants cultivated under full sunlight outdoors and those grown within the
369 greenhouse without supplemental shading material. In our preliminary study, an increase of
370 mitragynine was observed after plants were moved from within the greenhouse to outdoors;
371 however, the increase was not significant [37]. Given that this preliminary study was only
372 exploratory, plant number and experiment duration were limited, and the environment was not
373 strictly controlled, we believe that this observation may have been caused by plant individual
374 differences and not a light treatment effect. The preliminary study also relied upon a different
375 analytical method to quantify alkaloid concentrations, thus confounding accurate comparisons
376 between studies. Alternatively, differences may have been due to increased aging of greenhouse
377 materials and degradation of the UV stabilizer found in its roof material. Thus, more UV
378 radiation entered the greenhouse in this study and created little to no UV difference compared to
379 the field [53]. Regardless, significant differences in the concentration of the alkaloids
380 paynantheine and corynoxine were observed among plants subjected to shaded and unshaded
381 light conditions within the greenhouse. In addition to reducing light intensity, shade cloth
382 modifies light quality by causing a shift in the red to far-red light ratio. Together, results
383 suggested that a change of light intensity, a change of light quality, or a combination of both may
384 result in the alteration of leaf phytoactive alkaloids in kratom, particularly in the case of

385 corynoxine where concentrations differed approximately 2-fold in response to lighting
386 treatments.

387

388 **Conclusion**

389 Given recent increased interest in the cultivation and application of kratom, foundational
390 research examining the influence of light on kratom growth and alkaloid synthesis was
391 conducted. Although light significantly influenced plant growth, it did not influence the synthesis
392 of most leaf alkaloids. Only paynantheine and corynoxine concentrations, per leaf dry mass,
393 increased under shade conditions when cultivated within a greenhouse. Despite minimal
394 influence on the synthesis of leaf alkaloids, greenhouse lighting conditions drastically increased
395 total leaf dry mass. Total alkaloid yield per plant, as a result, was maximized under low-light
396 conditions. Given these findings, production efforts where low-light conditions can be
397 implemented would likely maximize plant biomass and total alkaloid leaf concentrations.

398

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404

405 **Author Contributions**

406 **Conceptualization:** MZ, BJP.

407 **Data curation:** MZ, AS, FL.

408 **Formal analysis:** MZ.

409 **Funding acquisition:** BJP, RK, CRM.

410 **Investigation:** MZ, AS, FL.

411 **Methodology:** AS, FL, BA, CRM

412 **Supervision:** BJP, CRM.

413 **Visualization:** MZ.

414 **Writing – original draft:** MZ.

415 **Writing – review & editing:** MZ, AS, FL, RK, CRM, BJP.

416

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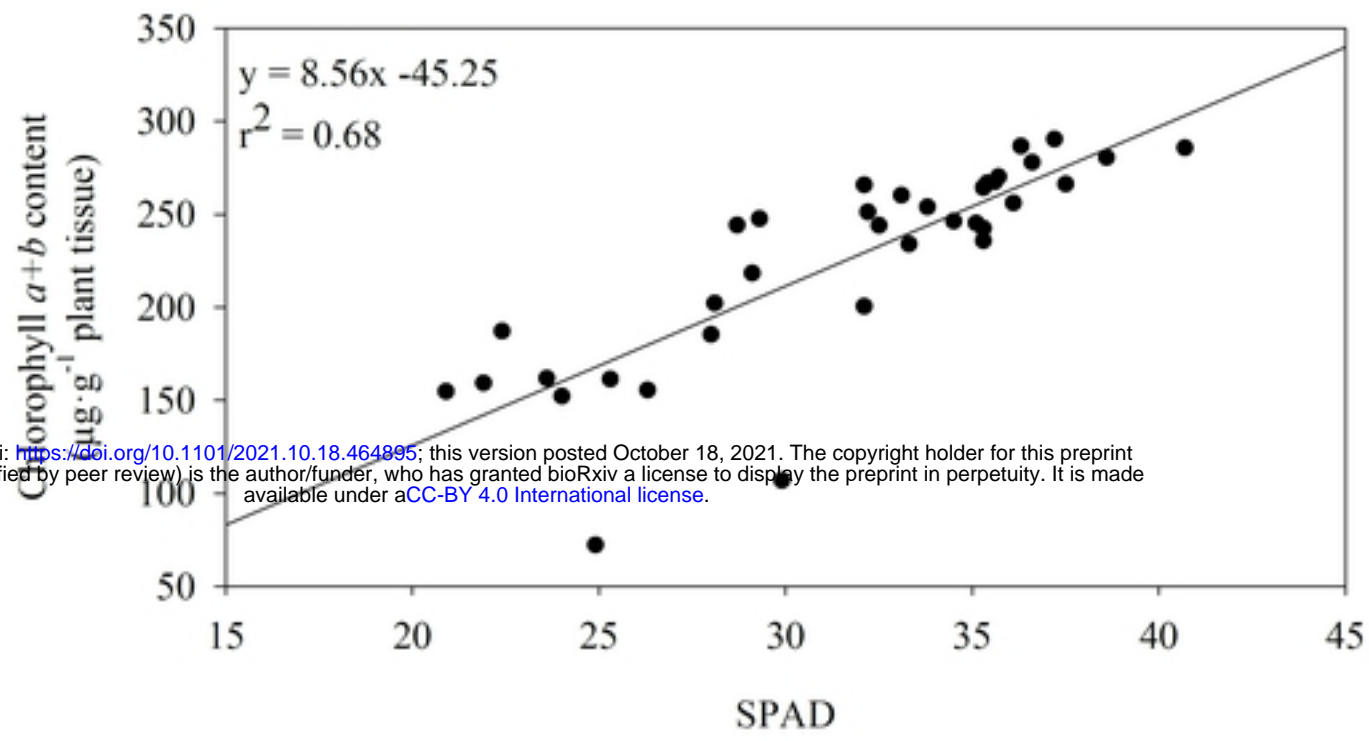
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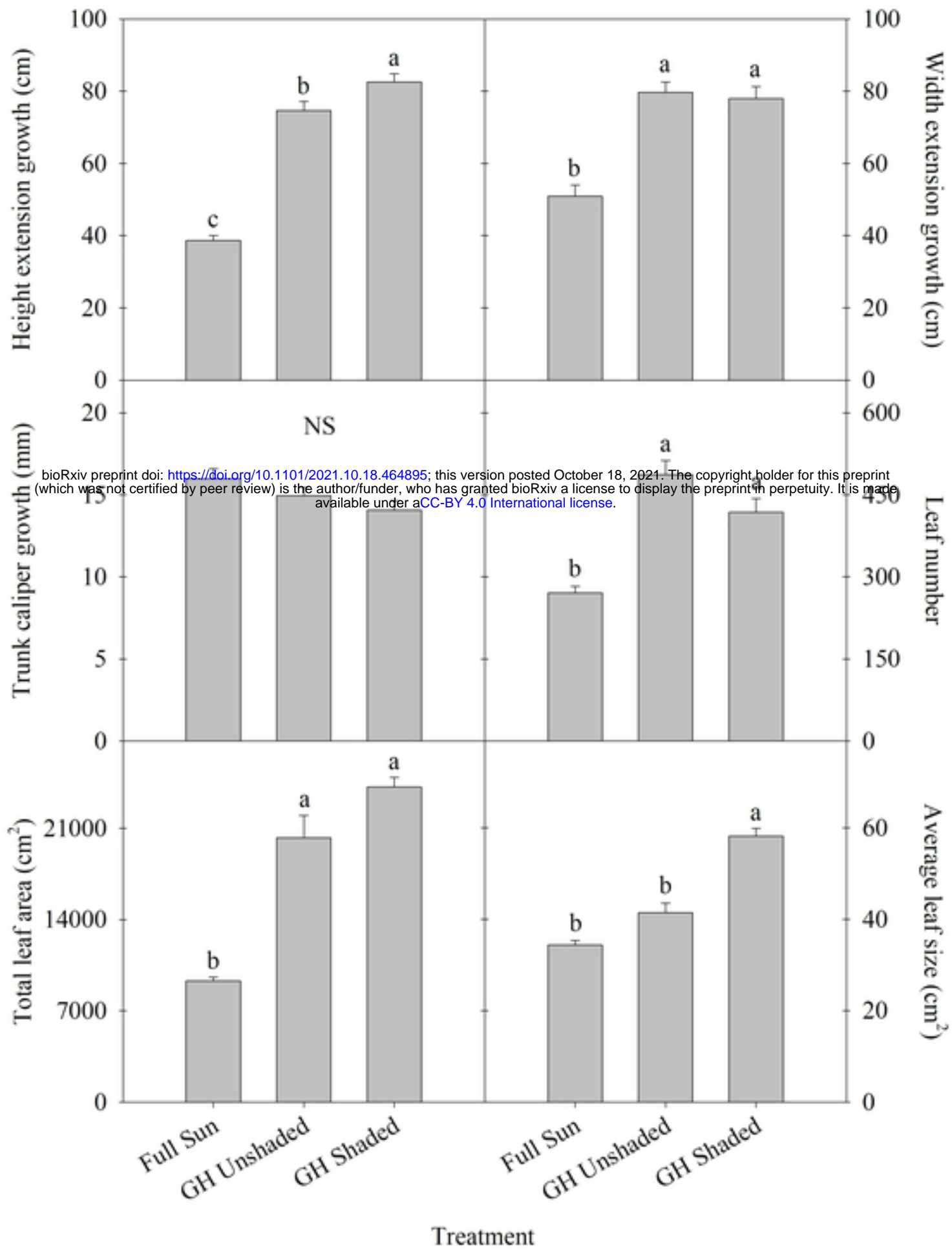
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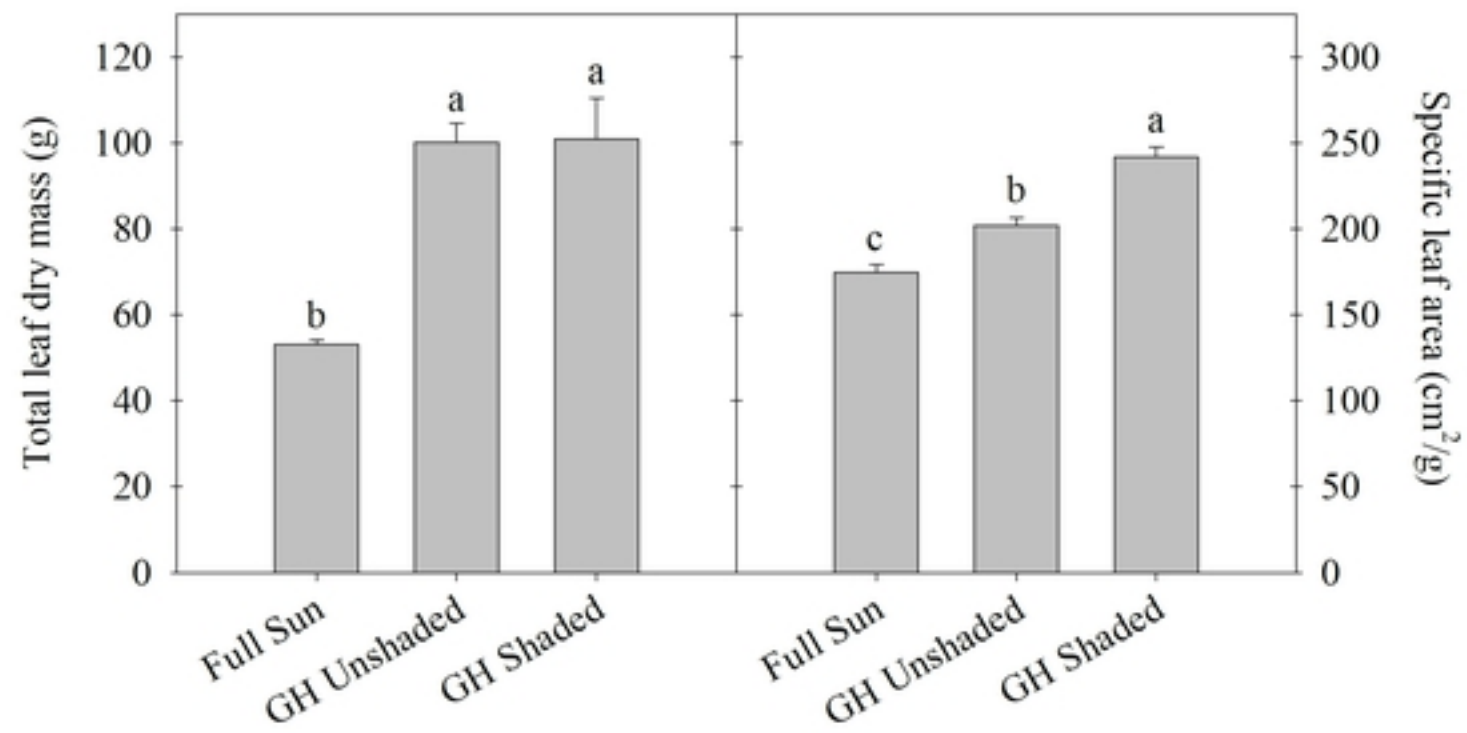
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