Who takes care of the kids at when? Variation of sex-role patterns across different parental care forms in passerine birds

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Abstract (< 200 words):

Parental care in birds usually consists of many elaborate forms, including nest building, incubation, provisioning the offspring and protecting them. Given the various life history differences between sexes, parents may have different opportunity costs of providing care in a specific form. But we still do not know whether males and females generally differ in their involvement in different care forms across stages of a breeding cycle, such as nest building, incubation and chick provisioning. Here, we performed a survey of parental care regarding which sex provides care in 882 species of passerine birds and found significant differences in the frequency distributions of sex-role patterns (i.e. female-only care, biparental care, and male-only care) across three distinct forms of parental care. This result showed clearly that parental care should not be treated as a unitary trait, but a composite of integrated features with diverse functions. Using a set of linear mixed-effect models we tested the effects of sexual selection, certainty of paternity, predation risk, and offspring's life history traits in driving the variation in sex roles. In general, we found species with female-only care tended to be under strong sexual selection on males, and uncertainty of paternity could reduce male care.

Introduction

Birds often provide extensive parental care that enhances the survival and future reproductive fitness of their offspring. Avian parental care comprises diverse forms, including nest building, incubation, provisioning the offspring and defending them against predators [1–3]. Despite the benefits that parental care brings, it costs energy, time, the opportunity for extra-pair mating and/or starting a new clutch, and may increase the predation risk for the parents. Consequently, there are conflicts between parents and offspring, and between male and female parents. In species of cooperative breeding, the conflicts also involve helpers of different degrees of relatedness with the breeders and the dependent offspring. These intricate relationships have inspired theoretical studies about the optimal parental care strategies. Early models characterised parental care as an all-or-none choice between deserting and caring [4–7], while later models generally treated parental investment as a continuous trait. The optimal levels of parental efforts have been studied as functions of various factors, including brood quality [8,9], certainty of paternity [10–12], operational sex ratio and sexual selection [13,14], and sex-specific life history characters such as adult mortality [15] and the ability to care [16]. Special attention has been paid to how the male and female parent negotiate to determine which sex should provide care, and in the case of biparental care, the amount of effort each of them contributes [17–20]. Most theoretical work, however, treat parental care as a unitary trait rather than a composite of several functionally integrated characteristics. A few rare exceptions have considered task specialisation between parents, such as feeding the young and defending them from predators [21,22], but these models do not make predictions on how parents contribute in different tasks over time across a breeding cycle.

Do sex-specific parental strategies differ across distinct care forms? In other words, if one sex has participated in nest building, should it also incubate the eggs laid in that nest and/or feed the chicks after they hatch? Empirical studies provided some tentative hints that sex-specific opportunity costs of providing care can differ between different stages. In black coucals (*Centropus grillii*), a species of uniparental care by males only, incubating males were 17% less likely to sire extra-pair offspring than males that were not currently parenting, while males feeding nestlings were 48% less likely to sire extra-pair offspring than non-parenting males [23]. The varying degrees of disadvantage experienced by parenting males in siring extra-pair offspring showed that the opportunity costs of parenting can differ between different forms of care at different stages for males. In the cooperatively breeding chestnut-crowned babblers (*Pomatostomus ruficeps*), as brood aged, breeding females contributed less food than male breeders and helpers, and were the only carer to load-lighten by reducing their provisioning rates in the presence of additional carers [24]. The adjustment of provisioning rates by breeder females suggested the conservation of resources for future reproduction has been an opportunity cost of caring for the current brood, which increased in later stages of a reproductive cycle for females [24]. Therefore, we hypothesized that the involvement of males and females in different care forms may differ across different stages of parental care.

If our expectation was correct, the next step would be to uncover possible driving forces of the variation of sex roles across different care forms. In particular, we considered factors including sexual selection, certainty

of parentage, predation risk, and offspring's life history traits such as clutch size and the duration of nestling development. Sexual selection was an important factor to consider because it was predicted to produce female-biased care in theory models [13,14], and was shown to be associated with evolutionary transitions between major patterns of parental care [25,26]. Sexual selection was also found to correlate negatively with the extent of parental cooperation [27]. Certainty of parentage was also an interesting factor to consider because theory and intuition generally suggested that males should invest more in the care of their genetic offspring especially when parental care is costly [10–12], but empirical support has been mixed, with frequent exceptions where males do not seem to react to paternity loss by reducing care or biasing their paternal efforts towards own genetic offspring [28–31]. We were also interested in testing the effect of predation risk because female passerine birds usually have more cryptic plumages than males [32], and therefore they might be more effective in providing care especially under high nest predation risk. The life history traits of offspring are of interest to study because they reflect broods' reproductive value and needs. Because parents' caring efforts are linked to the trade-off between their current and future reproductive fitness, they are expected to invest more in broods of higher reproductive value [8,9,33–35]. And since larger broods and offspring with longer developmental time generally need more care, we are interested in testing whether higher needs of parental care were achieved by the participation of more carers (i.e. biparental care relative to uniparental care, with helpers relative to without helpers).

To find out whether sex roles in parental care differ between distinct care forms across different stages of reproduction, we performed a survey of the participation of males and females in three care forms (i.e. nest building, incubation, and offspring provisioning) across 882 species of passerine birds. As expected, we found marked differences in the frequency distributions of parental care patterns (i.e. female-only care, biparental care, and male-only care) across three care forms. To further investigate possible causes of the above finding, we built five linear mixed-effect models to test the roles of sexual selection, certainty of paternity, nest daily predation risk, clutch size, and nestling developmental time in driving the variation of sex roles in parental care. In general, we found species with female-only care are under strong sexual selection, and uncertain of parentage could reduce male care.

Materials and methods

Sex roles classification

We surveyed all passerine species in the Birds of the World database [36] for which sex provides parental care in each of the three forms — nest building, incubation, and offspring provisioning — across a reproductive cycle. The three forms were chosen because of the affluence of data and the relatively low phylogenetic correlations between them [3]. We took notes of the parental care features for each species from the breeding section of the species account, and then classified them into four categories for each form of care: (1) 'Male care', where only paternal care was present; (2) 'Female care', where only maternal care was present; (3) 'Biparental care', where both parents provide care, and (4) 'Cooperation', where helpers of cooperatively breeding species also participate in caring of offspring (typically offspring provisioning).

Since we are interested in the general patterns across different passerine species at an evolutionary scale, the

within-species variations of sex roles were ignored. Therefore, cases where a form of care was provided

usually by females alone but males were occasionally observed to participate were classified as 'Female

care', and vice versa. In rare cases (34 species in nest building, 42 species in incubation, and 17 species in

offspring provisioning), the parental care information was recorded with uncertain words, such as

"reportedly" or "probably" in one or more care forms (e.g. White-throated Bulbul: nest reportedly built by

both sexes; … incubation possibly by both sexes, period 13 days; chicks fed by both parents). All statistical

models were run by first including and then excluding those uncertain data.

In some species of cooperative breeding, the sex role categorization in each care form was straightforward

(e.g. White Helmet-shrike: Cooperative breeder, all group-members assisting in all aspects of nesting duties.

Breeding pair chooses nest-site and does most of the construction work, but assisted by other group

members, …; incubation by all group-members, …; chicks brooded and fed by all of the group). In the others,

the contribution of helpers to each care form may not be clearly specified. Given that cooperative breeding

with helpers usually implies helpers' participation in chick provisioning [37], we classified those species'

offspring provisioning as 'Cooperation', and classified the other two care forms according to additional

details in the description regarding sex roles. For example, according to the description "Drakensberg

Rockjumper: breeds as monogamous pair and co-operative, with helpers. Nest built by both sexes, …;

incubation by both sexes; no other information.", we classified this species' nest building and incubation as

'Biparental care', and offspring provisioning as 'Cooperation'.

Following the above procedures, we collected 882 species with 'full data' (i.e. information about sex roles

in all three care forms). We then matched the scientific names used in the data source [36] with the species

names from a phylogenetic information source (BirdTree.org) [38] for further statistical analyses. Finally,

we included in the statistical models 868 species of passerine birds where we have complete data on the

phylogenetic information and contributor(s) of parental care in nest building, incubation, and offspring

provisioning.

Explanatory variables in statistical models

(a) Body size, which is the first principle component (PC1) of body mass and wing length (N = 749 species),

and (b) sexual selection, which is the PC1 of mating system and sexual dimorphism (N = 749 species), were

obtained following Dale et al. (2015) [32]. In short, the mating system was scored on a four-point scale, with

'0' representing strict social monogamy (e.g. zebra finch *Taeniopygia guttata*), '1' representing monogamy

with infrequent instances of polygyny observed (< 5% of males, e.g. lazuli bunting *Passerina amoena*), '2'

representing mostly social monogamy with regular occurrences of facultative social polygyny (5 to 20% of

males, e.g. American redstart *Setophaga ruticilla*), and '3' representing obligate resource defense polygyny

(> 20% of males, e.g. lance-tailed manakin *Chiroxiphia lanceolata*). Sexual dimorphism was quantified by

the difference of plumage colors between males and females [32]. (c) EPP was the proportion of extra-pair

offspring (N = 112 species) and (d) EPBr was the proportion of broods with extra-pair offspring (N = 111

species). Data on EPP and EPBr was obtained from the study of Brouwer & Griffith (2019) [39]. (e) Daily

predation rate of nest (log10 transformed, N = 225 species) was obtained from Matysioková & Remeš (2018)

[40]. (f) Clutch size (log10 transformed, N = 733 species) and (g) length of the nestling developmental period

(in days, log10 transformed, N = 591 species) were collated from Cooney et al. (2020) [41]. (h) Research

effort (N = 840 species), quantified as the number of independent entries per species in the Zoological Record

database [42], was incorporated to account for data quality.

Statistical analyses

All analyses were carried out within R statistical environment [43]. Mainly, we used linear mixed-effect

models from the package '*lme4*' [44] and phylogenetic regression models from the package '*phylolm*' [45,46]

to investigate the variation of sex roles across three forms of parental care (nest building, incubation, and

offspring provisioning), and tested the roles of the assumed driving forces of the variation. Given the

difference of premises regarding different hypotheses and the number of species available for relevant

explanatory variables, we coded the response variables (i.e. the contributor(s) of parental care in each form)

in two different ways, depending on the corresponding explanatory variables in a series of mixed-effects

models.

The first way of recoding the contributor(s) of parental care focuses on the which sex provides the care. We

recoded 'Female care', 'Biparental care' and 'Male care' as '-1', '0', and '+1', respectively. Species in the

'Cooperation' category were also coded as '0', because breeders and helpers of both sexes contributed to

care. Using this way of recoding, we built four models to test whether sexual selection, extra-pair paternity,

and nest predation were the main driving factors determining which sex provide care in each form. The

second way of recoding the contributor(s) of parental care focuses on the number of individuals that provide

care to a brood in each of the three forms. In this way, we recoded 'Female care' and 'Male care' as '1',

'Biparental care' as '2', and 'Cooperation' as '3', because there were only one carer (either the male or the

female) in the first category, two carers (both the male and female parent) in the second category, and at least

three carers (both the male and female breeder and at least one helper) in the third category. The second way

of recoding allowed us to build an additional model to test the association between offspring's life history

traits (reflecting offspring's reproductive value and brood needs) and the number of carers in each care form.

Detailed information about the five models were listed below.

Model 1: The model was built to quantify the association between sex roles in each of the three forms of

parental care and sexual selection. In this model, sex roles of parental care (using the first way of recoding)

was added as the response variable. We included 'form of care' (three levels: nest building, incubation, and

offspring provisioning), 'sexual selection', 'body size', and 'research effort' as fixed effects. The 'family'

and 'genus' of the species was included as a random effect to account for phylogenetic uncertainty. To fully

control for phylogenetic uncertainty, we additionally used a phylogenetically controlled regression method

as implemented in the function 'phylolm' from the R package *phylolm* [45,46]. In this model, the
phylogenetic tree of species was included as a random effect, and we ran the model using 100 different
phylogenetic trees from Jetz et al. (2012) [38]. Results are therefore based on mean estimates for predictor
slopes and model-averaged standard errors. However, because our dataset included multiple traits for each
species (i.e. sex roles in nest building, nest incubation and offspring provisioning), directly adding a
phylogenetic tree into the model as a random effect seems unattainable, because the three different traits
were considered as "repeated values" in the model. To solve this issue, we randomly selected one
representative observation (out of three) for each species and ran the model with the culled data, which
contained only one observation per species. Note that in this way, the full control for phylogeny was achieved
at the cost of losing two thirds of the observations.
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Model 2 and Model 3: The two models were built to assess the association between sex roles in each of the
three forms of parental care and the degrees of uncertainty in paternity. Sex roles of parental care (using the
first way of recoding) was added as the response variable. We included 'form of care', 'body size', 'research
effort', and either 'EPP' (in Model 2) or 'EPBr' (in Model 3) as fixed effects. Regarding random effect(s),
due to the limited number of species with data on extra-pair paternity (see the section of 'explanatory
variables' above), the model simultaneously contained two radom effects ('family' and 'genus') caused a
singular fit issue. This indicated an overfitting of the model, meaning that the random effects structure was
too complex to be parameterized by the limited data. Therefore, we only included one random effect (either
'family' or 'genus') that explained more variation of the response variable. Additionally, we built two
phylogenetically controlled regression models using the same fixed effects but treated the phylogenetic tree
as random effect using the *phylolm* package as explained in Model 1.
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Model 4: The model was built to test the association between sex roles in each of the three forms of parental
care and daily nest predation rates. Sex roles of parental care (using the first way of recoding) was added as
the response variable. We included 'daily nest predation', 'form of care', 'body size', and 'research effort'
as fixed effects, and 'family' and 'genus' of the species as a random effect. Additionally, we built a
complementary phylogenetically controlled regression model with the same fixed effects while using the
phylogenetic tree as random effect.
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Model 5: The model was built to test the association between the number of carers in different care forms
and offspring's life history traits. In this model, sex roles of parental care (using the second way of recoding)
was added as the response variable. We included 'form of care', 'length of nestling developmental period',
'clutch size', and 'research effort' as fixed effects. Like in the other models, 'family' and 'genus' of the
species was treated as a random effect to account for phylogenetic uncertainty. Additionally, like in Models
1 to 4, we built a complementary phylogenetically controlled regression model using the phylogenetic tree
as random effect.
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Results

Large variation in sex roles across different forms of parental care

We found substantial variation regarding which sex provides care across three different care forms in passerine birds (incubation *vs* nest building: $t = -12.2$, $p < 0.0001$; offspring provisioning *vs* nest building: $t = 19.3$, $p < 0.0001$; Figure 1; Table 1: Model 1). In general, 'Female care' and 'Biparental care' were the predominant categories across three care forms, while 'Male care' only presented in a small proportion (2.4%, N = 21) of species in a single form of care (nest building). Specifically, during nest building, except for a small group of species with exclusive male investment, female and biparental care occurred almost equally frequently (N = 401 species and N = 460 species for female and biparental care, respectively; Figure 1). During incubation, 'Female care' was the most prevailing form (N = 585 species; Figure 1), about twice the frequency of 'Biparental care' (N = 297 species; Figure 1). During offspring provisioning, 'Biparental care' was the foremost category (N = 810 species; Figure 1), with more than ten times the frequency of 'Female care' (N = 72 species; Figure 1).

Figure 1. Variation of sex roles in different forms of parental care. The results were based on 882 species with full data on sex roles ('Female care', 'Biparental care', and 'Male care') across three forms of parental care: nest building, incubation, and offspring provisioning. Bars of different colors represent different sex role categories. Note that a few species of cooperative breeding were grouped into the 'Biparental care' category (N = 34 species in nest building, N = 21 in incubation, and N=105 in offspring provisioning).

Table 1. Summary of statistics of five linear mixed-effects models (Model 1 to Model 5). For the random effect, the size of the variance components is shown. The estimate with its standard error (SE), t-value, and corresponding p value is shown for each fixed effect.

Model 1		Estimate		
		$(\beta \pm SE)$	t	P
	Random effects:			
	Family (n = 78)	0.013		
	Genus (n = 300)	0.04		
	Residual	0.135		
	Fixed effects:			
	Intercept	-0.412 ± 0.030	-13.7	-
	Nest incubation	-0.235 ± 0.019	-12.2	<0.001
	Offspring provisioning	0.370 ± 0.019	19.3	<0.001
	Sexual selection	-0.066 ± 0.001	-5.9	<0.001
	Body size	-0.054 ± 0.016	-3.5	0.001
	Research effort	0.00004 ± 0.00003	1.1	0.29
Model 2	Random effects:			
	Family (n = 42)	0.046		
	Residual	0.143		
	Fixed effects:			
	Intercept	-0.409 ± 0.060	-6.8	-
	Nest incubation	-0.214 ± 0.051	-4.2	<0.001
	Offspring provisioning	0.491 ± 0.051	9.7	0.001
	EPP	0.004 ± 0.002	-2.3	0.02
	Research effort	-0.000001 ± 0.00005	-0.1	0.89
Model 3	Random effects:			
	Family (n = 42)	0.046		
	Residual	0.145		
	Fixed effects:			
	Intercept	-0.397 ± 0.063	-6.3	-
	Nest incubation	-0.225 ± 0.051	-4.4	<0.001
	Offspring provisioning	0.478 ± 0.051	9.3	0.001
	EPBr	-0.002 ± 0.001	-1.9	0.05
	Research effort	0.000 ± 0.000	0.002	0.99
Model 4	Random effects:			
	Family (n = 55)	0.032		
	Genus (n = 132)	0.013		
	Residual	0.122		
	Fixed effects:			
	Intercept	-0.503 ± 0.045	-11.1	-
	Nest incubation	-0.233 ± 0.034	-6.9	<0.001
	Offspring provisioning	0.535 ± 0.034	15.9	<0.001
	Body size	-0.019 ± 0.024	-0.8	0.43

	Nest daily predation rate	-0.154 ± 0.987	-0.2	0.87
	Research effort	0.000 ± 0.000	-0.02	0.98
Model 5	Random effects:			
	Family (n = 72)	0.069		
	Genus (n = 229)	0.044		
	Residual	0.165		
	Fixed effects:			
	Intercept	1.516 ± 0.438	3.5	-
	Nest incubation	-0.192 ± 0.027	-7.2	<0.001
	Offspring provisioning	0.563 ± 0.027	21.2	<0.001
	Body size	-0.020 ± 0.027	-0.8	0.45
	Nestling developmental time	0.035 ± 0.279	0.1	0.9
	Clutch size	0.06 ± 0.128	0.5	0.64
	Research effort	-0.00002 ± 0.00004	-0.4	0.69

Biases towards female care under strong sexual selection

Overall, our statistical analysis of the linear mixed-effect model (Model 1) revealed a significant association
between sexual selection and the role of sexes in nest building, incubation, and offspring provisioning ($t = -5.9$, $p < 0.001$; Table 1: Model 1; Figure 2). Despite the consistent pattern of sexual selection being
markedly stronger in the 'Female care' than the 'Biparental care' category across all three forms of care, in
the stage of nest building, sexual selection scores were the highest in the 'Male care' category (N = 19
species). This pattern implies that in the small proportion of Passeriformes where nests were built solely by
males, nest building may also function as a means of mate attraction. In addition, the model revealed that
'Female care' was more prevalent in species of larger body size ($t = -3.5$, $p = 0.001$; Table 1: Model 1).
Furthermore, sex roles in parental care across three different care forms did not depend on research effort
($t = 1.1$, $p = 0.29$; Table 1: Model 1). The random effects 'family' and 'genus' explained 28% of variation
in the response variable, indicating that sex roles in parental care have a phylogenetic signal (Table 1: Model
1). Indeed, the phylogenetic signal was even stronger from the phylogenetically controlled regression model
($\lambda = 0.54$, 95 CI: 0.39 – 0.65, Table S1, Model 1). The estimated direction and degree of the fixed effects
(i.e. 'form of care', 'Sexual selection' and 'Research effort') from the phylogenetically controlled regression
model were almost the same as the linear mixed-effects model except for the fixed effect 'body size' which
turned to be not significant (Table S1: Model 1 vs Table 1: Model 1).

Figure 2. Sexual selection (PC1 of mating system and sexual dimorphism) scores of three sex role categories

in parental care across three distinct care forms. Plots in each panel showed the mean value with 95%

confidence intervals of sexual selection scores in each sex role category.

Association between certainty of paternity and male care

Model 2 and Model 3 revealed consistently that male in species with high levels of EPP or EPBr tended to

show less paternal care (i.e. more 'Female care' and 'Biparental care'; EPP: $t = -2.3, p = 0.02$, EPBr: $t =$

$-1.9, p = 0.05$; Table 1: Model 2 and Model 3). Those patterns suggested that sexual selection on males

and mixed paternity due to female multiple mating disfavour the evolution of male care. In the

phylogenetically controlled regression model, the estimated direction and degree of extra-pair paternity

(either EPP or EPBr) were stronger and more significant (EPP: $t = -2.6, p = 0.01$, EPBr: $t = -2.5, p =$

0.02; Table S1: Model 2 and Model 3).

No clear association between sex roles in parental care and predation risk

Model 4 did not show a significant association between sex roles in parental care and nest daily predation

rate across three different care forms ($t = -0.2, p = 0.9$; Table 1: Model 4; $t = 0.1, p = 1.0$; Table S1:

Model 4). Hence, the difference between males and females in the cost of providing care (predation risk in

this case) appeared to be non-essential in determining which sex provides care.

No clear association between the number of carers and offspring's life history traits

Model 5 showed no significant association between the number of carers in each care form and nestlings'

developmental time ($t = 0.1, p = 0.9$; Table 1: Model 5; $t = 0.1, p = 0.9$; Table S1: Model 5). There was

also no clear association between the number of carers and clutch size ($t = 0.5, p = 0.6$; Table 1: Model 5;

$t = 1.6, p = 0.1$; Table S1: Model 5). These results suggest that the reproductive value of the current brood

(represented by clutch size) and brood needs (represented by both clutch size and nestling developmental

time) were not determinant factors of the number of individuals that provide care.

Discussion

Our survey of more than 800 species of passerine birds revealed that sex roles of parental care differ

substantially across different care forms (i.e. nest building, incubation, and offspring provisioning). In
particular, we identified 21 species where nests were built solely by males, probably as a means of mate
attraction. Analyses using a set of linear mixed-effects models identified several ecological and evolutionary
factors that may explain sex differences across different forms of parental care. Uniparental care by females
tended to be more frequent in species under strong sexual selection, and males were more likely to contribute
in species with high certainty of paternity. However, we did not find a significant association between nest
predation rate and sex-specific contribution to parental care. There was also no evidence that offspring's life
history traits that reflect their reproductive value and brood needs played a role in the number of carers. Our
major findings remain unchanged by excluding uncertain species from the dataset (Supplementary Table S2).
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Parental care is not a unitary trait regarding which sex provides care
The distribution of sex role categories differed greatly across the three forms of parental care we studied.
During nest building, except a small proportion of species (2.4%, 21 species) where males contributed alone,
biparental care and female-only care occurred at comparable frequencies (52.2% and 45.5%). During
incubation, female-only care was the most prevalent form (66.3%) while male-only care was absent. In
contrast, during offspring provisioning, biparental care was markedly predominant, with a proportion of 91.8%
and male-only care was also absent. Our results during the offspring provisioning stage were in concordance
with a previous survey of Cockburn (2006) [37], where he identified biparental care in 90% (9% with and
81% without helpers) of bird species that cover a broad phylogenetic spectrum.
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However, we showed that biparental care was no longer the norm in other forms of parental care, and thereby
we advocate that parental care should not be treated as a unitary trait, but a composite of several integrated
features with diverse functions. For example, in species where males build nests alone and/or defend patches
of resources, it is often unclear whether this behaviour should be regarded as a form of parental effort or
mating effort [1]. Our finding of particularly strong sexual selection in the 21 species where males build
nests alone supports a dual-purpose role of nest building as an investment in both mate attraction and
offspring care. The model of Kelly & Alonzo (2009) [47] showed that males can evolve to allocate
proportionally more of their resources to whichever trait (advertisement or parental care) that is more fitness
limiting, and if offspring survival is strongly dependent on male care, male advertisement can evolve to be
a reliable indicator of parental care. Therefore, it would be interesting to further investigate whether female
choice is based on males' different abilities in nest building, and whether offspring survival is strongly
dependent on the quality of the nests in the 21 passerine species where nests are built by males only.
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We found in this study that sex-specific contribution into parental care can differ greatly across different care
forms. Our results and the lack of theoretical predictions highlighted important knowledge gaps in our
understanding of parental care as a package with several functionally integrated traits, and how males and
females were selected to fulfil different sex roles in the evolutionary time scale. Studies in birds have
identified several factors that affect the (relative) contributions of the male and female parents in the

ecological time scale, including the harshness of abiotic environments, especially temperature and rainfall
[48–51], predation risk [52,53], the vulnerability of offspring in the absence of parental care [54,55], and the
body condition of the parents themselves [56]. Studies also found that males and female can communicate
and negotiate their parental effort [57–61], and the negotiation rules can be sex-specific [62]. Would those
factors also play a role in driving sex roles evolution in different care forms in the evolutionary time scale?
Do they co-evolve with each other? And how eco-evolutionary feedbacks may affect the evolutionary
trajectories and evolutionary transitions? Future work in both empirical and theoretical aspects are needed
to answer those questions.

Strong sexual selection was tied to female-biased care
Our analyses showed a consistent pattern of sexual selection being stronger in species of female-only care
than in species of biparental care across three different forms of parental care. This result was in agreement
with the Darwin-Bateman paradigm that predicts sexual selection on males leading to the evolution of
conventional sex roles [63], and concurred with a recent survey of 659 bird species from 113 families, which
found that parental cooperation decreased with the intensity of sexual selection and skewed adult sex ratios
[27]. The study of [27] focused on the association between sexual selection and the "inequality" between
males and females in parental care contributions, and therefore they recoded the parental care data without
sex-specificity (i.e. uniparental care by the male or female were considered equally uncooperative and were
both assigned a cooperation score of 0; biparental care biased towards either sex were assigned a cooperation
score of 1; and only when males and females contributed approximately equally, the species were assigned
a cooperation score of 2). In addition, although the parental care data of [27] contained eight different
parental care activities (corresponding to different care forms in our study), the parental cooperation score
was calculated by averaging the statistically centered extent of biparental care across the different activities.
In comparison with [27], we covered a narrower phylogenetic spectrum (focusing on passerine birds), but
included more species (882 species in total) and associated data on sex-specific contributions of parental
care in three distinct forms. Our results were thus complementary to those of [27], and the combined results
of these two studies suggest that the role of sexual selection on the evolution of sex-biased parental care may
be widespread across avian taxa and across different forms of parental care. Our findings also suggest that
sexual selection may play a role in evolutionary transitions between major patterns of parental care, in
agreement with previous studies in cichlid fishes [25] and shorebirds [26].

Uncertainty of paternity selected against male care
Our statistical model showed a significant association between extra-pair paternity and reduced male care
across different parental care forms, in agreement with a number of previous comparative studies with a
smaller number of species [64–67]. Although theory generally predicts that males should invest more in the
care of their genetic offspring and adjust their parental efforts to their share of paternity in the nest [10–
12,68], empirical support has been mixed, with abundant exceptions where males do not seem to react to the
loss of paternity by reducing their parental care efforts. For example, male dunnocks (*Prunella modularis*)

did not preferentially feed their genetic offspring in a mixed brood despite that males that had some paternity
were more likely to feed the chicks in general [28,29]; male reed buntings (*Emberiza schoeniclus*) did not
adjust their parental effort in relation to EPP, and in mixed paternity nests they did not bias their provisioning
to kin [30]; and males western bluebirds (*Sialia mexicana*) did not reduce parental care even when they
observed their mate engaging in extra-pair copulations [31]. Recent theoretical studies revealed some
conditions where males may evolve to be insensitive to the loss of paternity, e.g. in cooperative breeding
species where offspring help to raise their younger (half-)siblings [69], or in the presence of male alternative
reproductive tactics where the "sneaker" males specialize in gaining extra-pair paternity [70]. Empirical
studies also found that in species where males were not sensitive to paternity loss, paternal care may not be
costly in terms of parental survival [30] and/or the loss of opportunities for siring extra-pair offspring [23].
Few comparative studies (for a rare exception, see [71]) have tested the roles of potential factors that may
explain the presence or absence of male response to paternity loss by reducing or withholding paternal care,
probably due to a limitation of detailed data on life history traits related to parental care across species.
Future efforts in generating and collating such data are therefore indispensable to a better understanding of
the relation between certainty of paternity and male investment in parental care.
389

Nest predation risk did not shape sex roles in parental care
Our analyses did not show a significant association between nest predation risk and sex differences in
parental care. This result was surprising since a survey of 256 species passerine birds showed that the
frequency of nest visits decreased as the risk of nest predation increased, because frequent bouts of
incubation could increase the visibility of a nest [40], and similar results were found also in seven species of
arctic sandpipers [72]. Given that the plumage of females is usually drabber and more cryptic than males,
we expected species with high nest predation to show more female-biased care. The lack of correlation could
be due to either anti-predatory adaptations, confounding factors that masked the effect of female cryptic
plumage, or a combination of both. Species that endure high nest predation risk may have evolved strategies
that minimize activities that could attract predators, like long on- and off-bouts of incubation [48], and males
with brighter plumage may evolve to attend the nest largely at night when visual predators were inactive,
such as in the red-capped plover (*Charadrius ruficapillus*) [73]. Confounding factors such as nesting site
quality and the shape of nests may also override the advantage of drabber plumage of females in providing
care. For example, an study using 10 species of open-nesting birds in Arizona, USA revealed a positive
correlation between nest predation and parental activity only when nest site effects were considered [74].
405

Brood needs and offspring's reproductive value did not affect the number of care providers
Since broods of larger sizes and longer nestling developmental time generally have higher needs, we
expected that more carers (i.e. both parents relative to a single parent, or breeders and helpers relative to
only the breeders) were required to provide the elevated amount of care. But no such association was found
in our data. Our results suggested that the amount of parental care a brood receives may not necessarily
increase with the number of carers. Indeed, models have shown that a parent may or may not compensate

for a reduction of parental effort by the other depending on various factors, including the marginal
benefit/harm to offspring as a function of total care received, how well each parent is informed about brood
needs, and how well the parents can monitor each other's investment [17,19,20,75]. Negotiation between
parents can even produce cases where the offspring do better with one parent than two [18]. Experimental
studies by (temporally) removing a parent also showed that the compensation patterns can vary widely from
a matching reduction, through no, partial, and full compensation, to even over-compensation [76–79].
Therefore, species are likely to have evolved redundancy in their abilities to provide care, and such abilities
could be beneficial to secure reproductive success in cases of losing a partner and/or helper.
420

Conclusion

Through a survey of more than 800 species of passerine birds, we found significant variation in terms of
which sex provides care in three different forms of parental care. Regarding nest building, except a small
proportion of species (2.4%) where males build nests alone, the prevalence of female-only care and
biparental care were nearly equal. As for incubation, female-only care was twice as frequent as biparental
care. Regarding offspring provisioning, biparental care was the predominant pattern, with a prevalence of
more than 90%. Our statistical models showed that the intensity of sexual selection may be the primary
driving force of the sex-role variation we found in distinct parental care forms. We also found strong support
for uncertainly of paternity selecting against male care. As a whole, our results suggest that parental care
should not be treated as a unitary trait, but a composite of integrated features with diverse functions. For
example, nest building by males may serve a dual function of mate attraction and parental care. Besides
those findings, we also identified important knowledge gaps for future theoretical and empirical
investigations. For example, we still lack testable theory that make predictions on the relative efforts of male
and female parents in different care forms. And we still do not fully understand why males react to a loss of
paternity by reducing paternal care in some species but not in others. Would the effects of sexual selection,
certainty of paternity, predation risk and offspring life history traits we found in passerine birds be consistent
with other avian species? Do other factors, such as adult sex ratio, operational sex ratio, and sex-specific
adult mortality, also play a role in shaping sex-role patterns in different forms of parental care? And how do
these the driving factors interact with each other in eco-evolutionary feedbacks? Our current work provided
a valuable starting point towards answering those new questions. And we encourage future empirical and
theoretical studies to go beyond considering parental care as a unitary trait and delve deeper into the
components of it, such as different forms and different stages across time.
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