1	Long-title:
2	Survey evaluation of dog owners' feeding habits in a household setting and comparison of FDA hygiene protocols
3	on dog bowl bacterial contamination as evaluated by total aerobic cell counts.
4	
5	Short-title:
6	Evaluation of Dog Owners' Feeding Protocols and Influence of Specific Hygiene Protocols
7	
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### 27 Abstract

28

29 In-home pet food handling and food dish hygiene practices can have adverse health impacts for both humans and 30 pets. Safe food and dish handling guidelines are not easily evidenced for pet owners. The study was designed to 31 investigate dog owners' feeding habits and evaluate the impact of the Food and Drug Association (FDA) hygiene 32 protocols on dog food dish contamination. Procedures and surveys were approved by NCSU-IACUC and -IRB. Pet 33 feeding and food dish hygiene data were collected from 417 dog owner surveys and 68 food dish swabs. Total 34 aerobic cell counts (TAC) were performed on 68 dishes and randomly assigned into Group A (FDA pet food 35 handling and dish hygiene guidelines), Group B (FDA pet and human food handling and dish hygiene guidelines), or 36 Group C (no guidelines). Hygiene protocols were instituted in-home for 1 week, followed by a second TAC and 37 follow-up survey. Survey from dog owners-households indicated: 4.7% were aware of FDA pet food handling and 38 dish hygiene guidelines; 36% have individuals < 13 years old and/or immunocompromised; 43% store dog food 0-5 39 feet from human food; 34% wash their hands after feeding; and 33% prepare their dog food on human food 40 preparation surfaces. The hygiene protocols followed by Groups A and B resulted in significant decreases in food 41 dish TAC (P<0.001; 1.40; p=0.026; 0.604, respectively), as compared to Group C (p=0.373). Hot water (>160F) 42 washing decreased TAC (p=0.005) over cold/lukewarm water. In the follow-up survey, 8% of Group A and B 43 respondents reported likely to adhere to protocols long-term. This study suggests a need for pet food handling and 44 dish hygiene guideline education to minimize bacterial contamination of dishes, especially in high-risk households. 45

#### 46 Introduction

47

The Centers for Disease Control and Prevention (CDC) One Health initiative, which highlights the interconnection between humans, animals and the environment, has been a prevalent focus in the scientific and lay literature of late. The aim of One Health is ultimately to achieve optimal health outcomes for all involved in these interactions(1). Food safety-related concerns are one aspect of One Health that span contamination of human and animal foodstuffs as well as equipment and environment hygiene practices involved in food handling. Certainly, human food safety is paramount to human wellness; correspondingly, emphasis on benefits of enhancing the human-animal bond invites

54 One Health concerns for pet food safety. The actual act of feeding a pet generally entails interplay between the pet, 55 the owner, and the food. This interaction creates the opportunity for mutual exchange of microbial contaminants 56 from food or water, dishes, and the food storage or preparation environment, which can cause health consequences 57 for both humans and pets.

58

59 Drug resistant *Escherichia coli* has been demonstrated to be present on pets, humans, and the pets' food dish in 60 affected households(2). A 2006 study examining microbial contamination, measured by total aerobic cell counts, of 61 daily use objects in households found that pet food dishes had the ninth highest level of contamination, out of 32 62 household surfaces studied(3). This study also cultured for medically important bacterial species: methicillin-63 sensitive Staphylococcus aureus (MSSA, found in 15% of pet food dishes sampled), methicillin-resistant 64 Staphylococcus aureus (MRSA, 3%), coagulase-negative Staphylococcus spp (74%), Pseudomonads (18%), and 65 Enterobacteriaceae (36%). In 2010, Weese and co-workers isolated Clostridium difficile in 6 of 84 dog food dishes 66 making it one of the second most contaminated sites of those sampled, ranking higher than surfaces commonly 67 considered to have high bacterial loads such as the toilet(4). More recently, a 2012 study also examining total 68 aerobic counts on household surfaces showed that pet water dishes had the third highest bacterial counts out of 26 69 surfaces studied. When categorized into areas of the household, the category of pet-related items (which comprised 70 the water dish and a pet toy) had the highest bacterial counts(5). These studies corroborate the concern of dog dishes 71 being a potential source of microbial contamination in a household setting.

72

73 Despite the concern for contamination, few guidelines for pet dish hygiene exist and those guidelines are not easily 74 accessed or widely distributed. The Food and Drug Administration (FDA) has pet dish cleaning recommendations 75 available via their website in combination with general pet food handling guidelines(6), but in comparison to their 76 guidelines for human dishes in the FDA Food Code 2017(7), the pet information is sparse and vague. In addition, no 77 studies examining the effects of the FDA's recommendations on pet dish hygiene were found by the authors. 78 Therefore, the goals of the study were to assess: dog owner's awareness of FDA pet food handling and feeding dish 79 hygiene guidelines; pet food and dish handling habits of pet owners; and evaluate the degree of dog bowl bacterial 80 contamination before and after the institution of the FDA pet food guidelines and FDA Food Code guidelines.

81

## 82 Materials and Methods

83

### 84 Survey study design -

85 Study procedures were approved by the North Carolina State University's Institutional Animal Care and Use

86 Committee (IACUC protocol number 19-542) and Institutional Review Board (IRB protocol number 23476).

87 Study participants were dog owners recruited from local veterinary practices, social media, and university veterinary

school staff and faculty. Recruitment criteria included owning at least one dog who eats from a designated food

89 bowl. An incentive in the form of a dog food donation to a local shelter for each participant was offered. The

90 approximately 20-minute survey was powered by Qualtrics and developed with assistance of an experienced

91 psychometrician. The survey was developed to obtain information regarding their pet's signalment, health status,

92 and diet. Additionally, information was obtained regarding the extent of each pet owner's knowledge of current

93 FDA-published pet food handling and food bowl hygiene guidelines and the specific food handling and bowl

94 hygiene habits practiced at home for their dog(s). Owners were requested to complete one survey per dog with a

95 maximum of two surveys per family. A total of 417 surveys were returned. Qualtrics surveys were evaluated via
96 internal software for means and tabulated breakdown.

97

#### 98 Evaluating food bowl bacterial contamination -

99 The impact of following specific food handling and food bowl hygiene protocols on food bowl contamination risk 100 was evaluated using a subset of the survey participants. From the survey participants, owners of 68 dogs (a total of 101 50 owners) were invited to complete a food bowl bacterial contamination study. To minimize bias, prior to survey 102 distribution to these 50 dog owners, a baseline food bowl swab was obtained, then owners were asked to complete 103 the Qualtrics survey. Participants were then randomly assigned to three treatment groups. Treatment group A 104 (n=27) were instructed to follow the FDA's Tips for Safe Handling of Pet Food and Treats(6) (last update 7/9/2019 105 at the time of study). Specifically, they were requested to: wash their hands before and after handling pet food, to not 106 use their dog food bowl as a food scooping utensil, wash the bowl and scooping utensils with soap and hot water 107 after each use, discard uneaten food in a designated manner and store dry pet food in its original bag. Treatment

108 group B (n=30) were given the FDA's Tips for Safe Handling of Pet Food and Treats and more stringent 109 instructions extrapolated from the FDA's Food Code 2017(7) to specify that handwashing should be at least 20 110 seconds and with warm water and soap, food dishes should be scraped of food prior to washing, that dishwashing 111 should be with water  $>160^{\circ}$  F and soap for at least 30 seconds and dried thoroughly with a clean towel or put 112 through an NSF-certified dishwasher for a wash and dry cycle. Treatment group C (n=11) were given no specific 113 instructions regarding food and or bowl handling but informed of the second sample collection time. Owners were 114 asked to follow the specific protocol until the second bacterial swab of the pet's food bowl was obtained (average of 115 8 days following pre-protocol sample). A follow-up survey was sent to Groups A and B regarding their compliance 116 and impression of the given instructions. The group C follow-up survey focused on their food bowl washing 117 behavior since the baseline sample was taken. 118 119 To obtain the food bowl swabs for evaluation of bacterial contaminants, bowls were fitted with a measured SKC 120 Incorporated 10 cm<sup>2</sup> environmental sampling template to allow for accurate cell counts. If bowls were too small for 121 the standard template the contact surface area was measured. A sterile swab, saturated in 4 mL of Butterfields 122 solution (Puritan ESK pre-filled Environmental Sampling Kit), was systematically rolled across the bowl surface 123 surrounded by the template, then placed back into the solution and sealed for proper handling. Samples were kept on 124 ice and plated on aerobic cell count Petrifilm (3M Nelson Jameson Company) within 24 hours of collection. 125 Samples were plated at 0, 1:10, 1:100, and 1:1000 dilutions. Swab sampling and plating was performed by one 126 investigator and repeated at the end of the treatment period. Post samples for Groups A and B were plated at 0 and 127 1:10 dilutions only based on suspected contamination level post-treatment and the results of a pilot study. Following 128 manufacturer's instructions, the Petrifilm was incubated for 48 hours +/- 1 hour at 32° C. Total aerobic cell counts 129 (TAC) were then read manually and results were adjusted to account for sample surface area on the-basis of colony 130 forming units per cm squared (cm<sup>2</sup>). TAC results were evaluated using R version 3.6.2. For log-scale analyses, all 131 raw values were increased by 0.01 to allow the logarithm transformation to be applied to the 0 values. Additionally, 132 the logarithm with base 10  $(log_{10})$  was used for the transformation. For percent reduction evaluations, observations

- 133 with a 0-value for the pre-value were excluded (2 of 68 observations) due to percent change from 0 not being
- defined. Predictive models of the change in log-transformed cell counts were examined with linear regression and
- 135 Kruskal-Wallis tests. T-tests were performed within groups to compare pre- and post-treatment values.

136

## 137 **Results**

138

## 139 Survey-

140 A total of 417 surveys were returned. As not all questions were required, there was a range in total responses to 141 individual questions. There was a broad dog demographic represented in this study as reported by survey responses 142 from dog owners. Reported age ranged from < 12 months to 16 years with an average of 7 years. Gender distribution 143 was as follows: spayed female (43%), neutered male (41%), male intact (11%), female intact (5%). The majority 144 (44%) reported breed as mixed or 'other' breed. The most popular purebred dog reported was a Labrador Retriever 145 at 9%, followed by German Shepherd Dog at 4%. Weight distribution was as follows: 1-10 pounds (5%), 11-25 pounds (20%), 26-50 pounds (25%), 51-75 pounds (29%), 76-100 pounds (16%), 101+ pounds (5%). The majority 146 147 of respondents (76%) reported their dogs as healthy whereas 24% reported a history of illness: gastrointestinal-148 related food allergies (7%), dental disease (6%), obesity (5%), pancreatitis (2%), less than 2% each: liver disease, 149 bladder stones, kidney disease and unspecified neoplasia. 150 151 A minority, less than 5%, of respondents were aware of the existence of FDA pet food handling guidelines. 152 However, when asked where they expected to find this information, 8% replied the FDA, 41% the food label, 28% 153 their veterinarian, 11% the store of purchase, 6% the USDA and 6% various websites. Table 1 summarizes the dog 154 owner compliance in our study. Higher levels of compliance (>75%) were found for: inspecting packaging for 155 visible damage, avoiding use of the food bowl as a scooping utensil, tightly covering leftover pet food, discarding 156 food in a way a pet cannot access, and avoiding raw food. Lower levels of compliance (>25%) were found for: 157 washing hands as recommended prior to handling pet food, washing the food dish as recommended after each use, 158 and washing the food scoop as recommended after each use.

159

#### 160 Table 1: FDA Pet Food Handling Recommendation and Owner Reported Compliance

FDA Pet Food Handling Recommendation	Owner Reported Compliance		

Inspect for visible damage	86% yes
Wash hands with soap and hot water for at least 20 seconds prior	22% yes
to handling	
Do not use bowl as scooping utensil	91% no
Wash pet food <i>dish</i> with soap and hot water after each use	50% with hot/water or dishwasher
	12% wash at least once daily
Wash <i>scoop/utensil</i> with soap and hot water for at least 20 seconds	13% yes
after each use	
Wash hands with soap and hot water after handling	38% yes
Store food in original bag	30% yes (including those who put whole
	bag into larger container)
Tightly cover leftover food	81% yes (dry food)
	57% yes (canned food)
Discarding food in a way pet cannot access	96% yes
Do not feed raw food	97% yes

#### 

#### 164 Table 2: Additional Survey Questions

Additional Survey Questions	Owner Response
Where do you typically prepare your dog's food?	32%: On a surface used for human food preparation
	39% Not on a surface used for human food preparation,
	but in the same room
	29% In a different room from where human food is
	prepared

When you wash your pet's food dish, do you	43% Yes, it is washed with human dishes
wash it in the same sink/dishwasher used for	49% Yes, although it is washed separately from human
human dishes?	dishes
	8% No, it is washed in a different sink/dishwasher than
	used for human dishes
Where do you typically keep your dog food dish?	96% indoors
	4% outdoors
If you had questions regarding how to handle or	41% the pet food label
store your pet's food, where would you expect to	28% your veterinarian
find guidelines? (choose all that apply)	11% place of purchase
	8% FDA
	6% USDA
	6% other (most popular fill in answer: internet searches)

165

166 The majority of respondents (22%) reported washing their dish, on average once weekly. However, there was a wide 167 distribution of responses with 12% washing their dish at least once daily to 18% reporting they wash their dish either 168 less than every 3 months or not at all.

169

170 When respondents did wash their bowl, it was most often with soap and warm water (defined as 100-159°F, 36%) 171 followed by the dishwasher (33%), soap with hot water (>160°F, 17%), rinsing with water only (6%), soap with cool 172 water (5%) with the remainder (<3%) reporting undefined average protocols. Most reported allowing their dish to air 173 dry (44%), followed by hand-drying with a towel (32%), heated dry in a dishwasher (22%) and a smaller percentage 174 used a non-heated dry in a dishwasher (<3%). When washing their pet food bowl, 43% washed the food dish in a 175 sink/dishwasher alongside human dishes, 49% washed in the same sink/dishwasher used for human dishes but at a 176 separate time, and 8% reported washing it in a different sink/dishwasher than used for human dishes (Table 2). The 177 majority of respondents (65%) remove dry dog food from the manufacturer's bag for storage. Most respondents 178 (81%) felt that they typically tightly closed or sealed the bag/container in which the kibble is stored. Of those that 179 fed canned food, most (61%) stored leftover food in the can, and (57%) reported using an airtight cover.

1	8	0

100	
181	Other results of potential public health interest were not directly related to FDA recommendations. Roughly one-
182	third (32%) of respondents reported preparing their pet's food on a surface used for human food preparation and
183	39% reported preparation occurred not on the same surface but in the same room (Table 2). Regarding pet food
184	storage, 44% reported storing dog food 0-5 feet from human food. Questions pertaining to the human population of
185	the household found that 35% stated they have children <12 years old and/or immunocompromised individuals in
186	the household. The vast majority (96%) reported typically keeping the food bowl indoors (Table 2).
187	
188	Respondents were asked about the percentages of the food type placed in their dog bowl during an average 24-hour
189	period (Fig 1). The TAC group was a relatively accurate reflection of the overall group as the majority (91% and
190	90% respectively) reported kibble with 5% of each reporting canned food. A smaller number of respondents in both
191	groups used other categories such as cooked homemade food 2.5, 2.7 (3%), raw commercial food 1.34, 0.5% (1%),
192	and raw non-commercial food 1.54 (<1% and 3%, TAC and overall, respectively). Within the 3% of the overall
193	group who reported raw non-commercial food, 25% noted they fed raw meat or eggs, 49% raw vegetables, 15% raw
194	fruit, and 3% raw dairy. The bowl material for each group was also comparable (Fig 2) with the majority of each
195	group being metal (64% and 74% of all respondents and TAC participants, respectively), followed by plastic (19%,
196	16%), ceramic (16%, 10%) and ~1% of both groups reporting glass or other materials. Of the overall group, 9%
197	reported adding supplements or medications into their pets' food bowls within the past 24 hours.
198	
199	Figure 1: Food type used in average 24-hour period
200	Comparison of food type between all survey respondents and respondents of those participating in the TAC
201	assessment
202	
203	Figure 2: Food bowl material
204	Comparison of bowl type between all survey respondents and respondents of those participating in the TAC
205	assessment
206	
207	<b>Bacterial Contamination Evaluation-</b>

208	As is common with total aerobic counts (TAC), there was a wide range in values, therefore data was examined on a
209	log10 scale. Differences were found within groups A and B for TAC between the pre- and post-treatments. Group C
210	showed no significant change in TAC from the initial to the final bowl swab for TAC. (Fig 3).
211	
212	Figure 3: Comparison of the Total Aerobic Counts in Studied Dog Bowls Pre- and Post- hygiene treatment
213	TAC on a basis of colony forming units (CFUs) per cm <sup>2</sup> of studied bowls pre- and post-hygiene treatment
214	Superscripts that differ within a group indicated significant difference (p<0.05). Similar superscripts across groups
215	indicate no significant difference (p<0.05).
216	
217	A significant decrease in TAC was observed between pre- and post-bowl hygiene treatments in both Groups A
218	(1.48, p<0.001) and B (0.604, p=0.042). Whereas group C showed a non-significant (p=0.373) increase in TAC
219	(Table 3). Once data was corrected for multiple testing, no significant difference ( $p=0.026$ ) was noted when
220	comparing the absolute quantitative decrease in TAC between pre- and post-treatment for group A vs group B. To
221	address the observation that a wide range of TAC values were counted in bowls pre-hygiene treatment, we evaluated
222	the TAC changes from the perspective of split levels (low=<20 CFUs, medium=20-100 CFUs and high=>100
223	CFUs) based on the pre-treatment contamination values. This further delineation did not show a significant
224	difference in post-measurements across groups A and B (p=0.240). In addition, utilizing a linear regression model,
225	no difference in post-treatment TAC values was found based on pre-contamination levels (p=0.434).
226	
227	Bowl material did not have a significant effect on CFU values of the aerobic bacteria detectable by our TAC
228	technique prior to initiating any food bowl hygiene treatment (p= 0.359). As well, no significant change in TAC was
229	noted in treatment groups A and B (p=0.642) following the specified hygiene treatment. Additionally, the pre-
230	treatment aerobic bacterial counts did not differ based on the presence of immunocompromised individuals or
231	children in the household (p=0.599 and p=0.496, respectively).
232	The follow up survey was completed by 90% of TAC participants. Only 8% of Group A and B respondents reported
233	likely to adhere to all of the instructed protocols long-term. This included handwashing, dishwashing, and food
234	storage guidelines. Whereas 20% reported likely to follow only their given washing instructions long-term. Group C
235	participants were not given instructions, however; none had washed their bowl since the first sample was taken. No

- significant differences were found between groups A and B in the self-reported likelihood of continuing all
- 237 instructions (p=0.577) or washing instructions (p=0.722).
- 238
- A statistical model fitted to enable the prediction of the log-change, which included the last wash and last dry as
- 240 predictors, indicated that a significant difference, reflective of TAC, was observed between cold/lukewarm wash and
- FDA recommended methods (dishwasher or hot water wash). The difference was a decrease of 1.52 units on the log
- scale (p=0.005) for TAC following a hot water wash or dishwasher as compared to a cold/lukewarm water wash.
- 243 No significant effect of the drying method was found within or across any treatment group (p=0.234).
- 244

#### 245 **Discussion**

246

247 It was found that the vast majority of study dog owners were not aware of and do not follow FDA pet food handling 248 and storage guidelines. Response to individual recommendations varied, however hygiene-related handling practices 249 (washing of hands, bowl and utensil) showed overall low levels of compliance. Additionally, studies in humans 250 regarding self-reported handwashing show an overestimation of hygiene(8) and similar forces, including the effects 251 of social desirability bias, could be expected in this study. Exposure to contaminated dog food can have implications 252 for canine and human health. For example, there have been multiple outbreaks of both humans and dogs becoming 253 ill after exposure to dog food contaminated with pathogenic bacteria(9). These risks may be amplified in households 254 with children and/or immunocompromised individuals, which were over a third of respondents' households. The 255 preponderance of pet food recalls has heightened the awareness of risk of illness. The CDC's examination of a 2008 256 multi-state dog food recall found that the attack rate supported hypothesized transmission methods regarding pet 257 food handling, including cross-contamination in the kitchen and irregular cleaning of dog food dishes(10). Although 258 microbial contamination has been reported in kibble(10), the increasing prevalence of both commercial and 259 homemade raw diets, which carry an increased risk of microbiological contamination such as E. coli and 260 Salmonella(11), exacerbates these concerns. Weese's previously mentioned 2010 study showed dog bowls were 17 261 times more likely to be contaminated with *Clostridium difficile* if the dog was fed a commercial raw food diet 262 compared to other types of food(4). These diets can involve increased preparation within the kitchen environment,

which may further increase human exposure. Risks also exist outside of food contamination; the aforementioned
study investigating household spread of drug resistant *E.coli* hypothesized that the bacteria found in one dog bowl
originated from the pet's feces(2).

266

267 However, the risk of contamination of the household can be mitigated. We concluded that bacterial contamination is 268 impacted by dish washing protocols due to the significant decrease in TAC for both Groups A and B, but not in 269 Group C. Although this study did not differentiate between pathogenic and non-pathogenic bacterial species, TAC 270 are commonly used in the food industry to determine the degree of sanitation. The CDC's cleaning and sanitization 271 guidelines for human dishes are based on achieving a 5-log reduction in bacterial counts(12). The degree of 272 contamination of bowls in this study did not allow for an assessment of sanitation by this definition; however, the 273 significant reduction in TAC in both Group A and B showed a beneficial impact of following either protocol. As 274 these protocols each had multiple steps, further studies identifying the best methods for sanitizing dishes are needed. 275 However, as only 20% of Group A and B respondents reported they were likely to follow their hygiene instructions 276 long-term, and only 8% reported likely to follow all given instructions, the need for recommendations that are 277 feasible as well as effective should be emphasized. Studies should address potential concerns such as the effects of 278 biofilms, influence of bowl degradation on contamination and the risk of cross-contamination in dishwashers. This is 279 particularly true for pathogenic bacteria of high zoonotic potential. A 2006 study by Weese et al in which food 280 dishes were inoculated with Salmonella-containing raw meat showed persistent infection in the majority of pet 281 dishes after washing with routine measures including a dishwasher or with soap and water(13). Other studies have 282 found dishwashers can disperse and harbor bacteria (14, 15). The effects of cross-contamination may extend beyond 283 bacterial contamination when one considers that 9% of pet owners reported adding medications or supplements into 284 their pets' food bowls.

285

The majority of respondents reported storing their pet food against FDA and most manufacturers' recommendations, which may have implications as far as increased microbial risk(16), nutritional degradation(17) and palatability. In addition, some respondents were engaging in behaviors that may increase risk of bacterial contamination that were not addressed in FDA guidelines such as the location of food preparation and storage. It is noted that the FDA has added more specific recommendations to their website regarding pet food storage and pet food recalls (website

12

291	updated 4/14/2020); how	ever. it is not com	prehensive in addr	essing pet owners	s' food preparatio	n choices.
		•••••••••••••••••••••••••••••••••••••••		essing per comen	5 100 a preparatio	

- 292 Additionally, because survey respondents indicated low levels of awareness that the FDA was a source of such dog
- 293 feeding hygiene recommendations, the expected sources of this information including the pet food label,
- veterinarians and pet food retailers, should consider prominently featuring these public health recommendations for
- their consumers. Further, it was noted Group C showed no significant change in TAC, despite the survey and the
- knowledge of the upcoming sample collection serving as potential introducers of bias. This suggests that education
- beyond awareness is needed to institute effective hygiene changes.
- 298
- 299 Sample size was a limitation to this study, in particular for subgroups such as raw diets. Future studies should further
- 300 examine contamination with specific pathogenic bacterial species and consider the contamination risk of other
- 301 microbiological agents or toxins. Finally, further studies identifying ideal cleaning and storage recommendations as
- 302 well as best practices to communicate these recommendations to consumers would help minimize risk of microbial
- 303 growth in pet food after distribution as well as minimize health consequences to both pets and their human
- 304 households.
- 305

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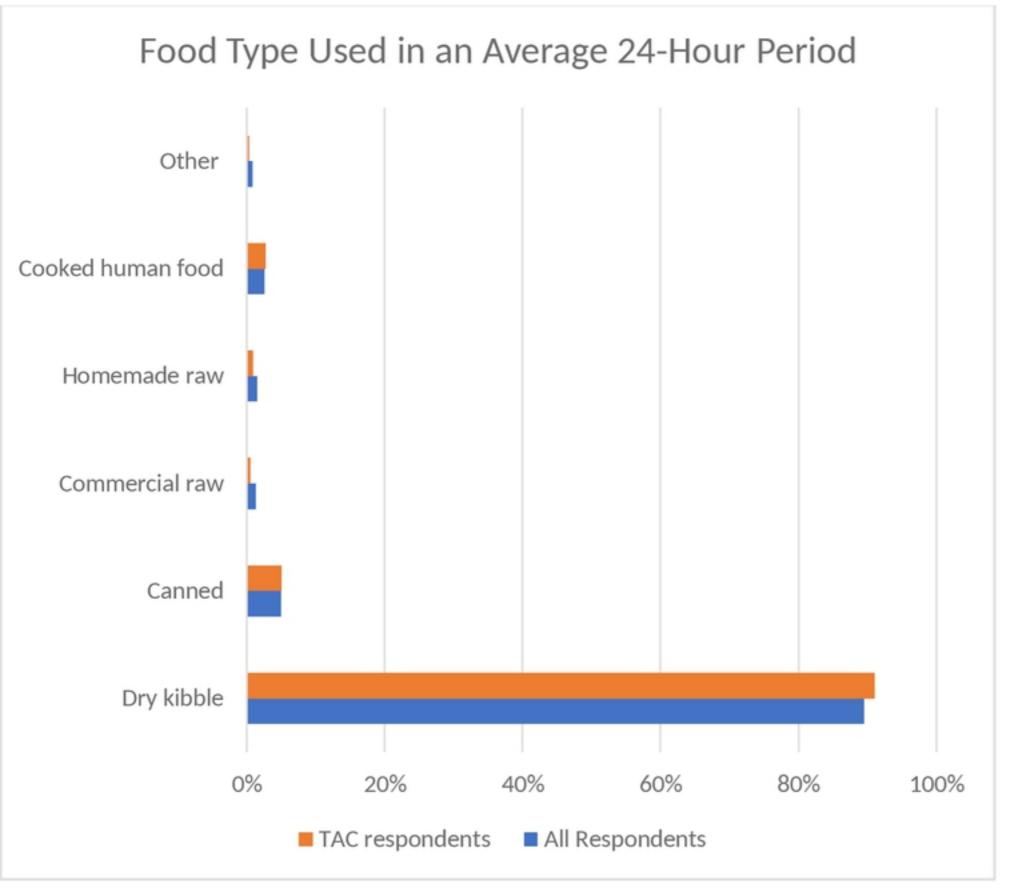
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## Figure 1: Food type used in average 24-hour period

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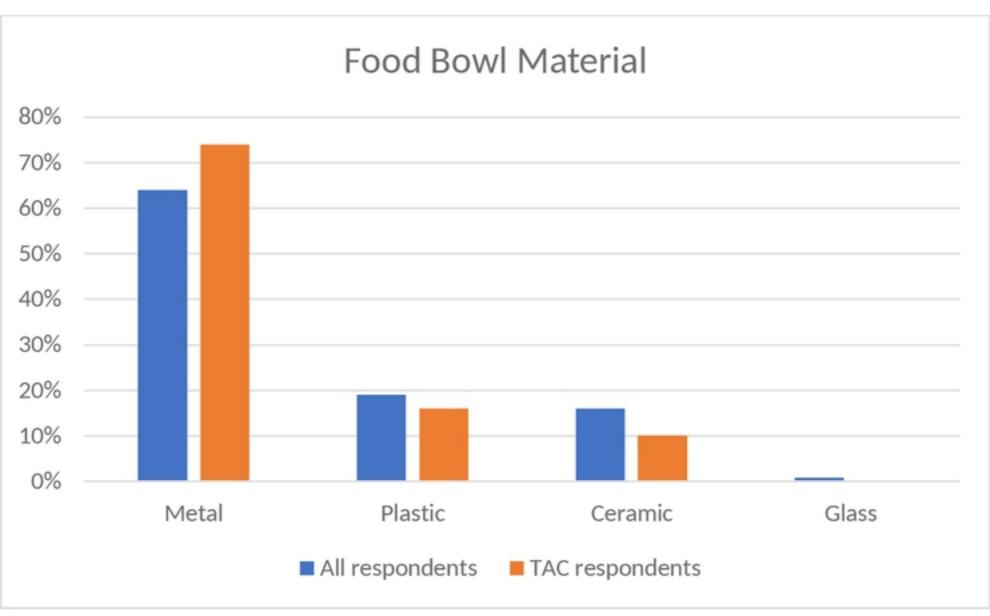
# assessment



# Figure 1

# Figure 2: Food bowl material

Comparison of bowl type between all survey respondents and respondents of those participating in the TAC assessment



# Figure 2

## Figure 3: Comparison of the Total Aerobic Counts in Studied Dog Bowls Pre- and Post- hygiene treatment

TAC on a basis of colony forming units (CFUs) per cm<sup>2</sup> of studied bowls pre- and post-hygiene treatment

Superscripts that differ within a group indicated significant difference (p<0.05). Similar superscripts across groups

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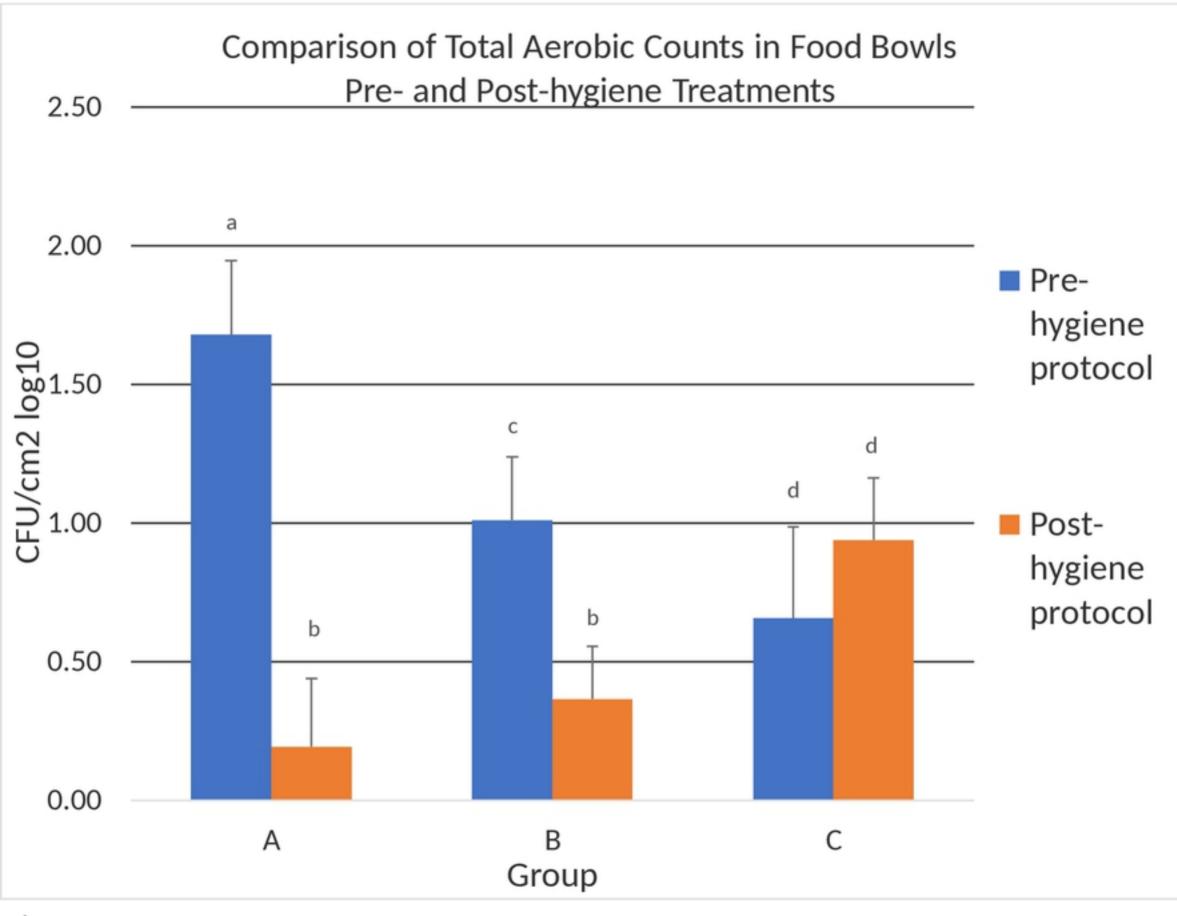


Figure 3