| 1  | Mining | transcriptomic data to identify Saccharomyces cerevisiae signatures related to improved and                              |
|----|--------|--|
| 2  |        | repressed ethanol production under fermentation  |
| 3  |        | Sima Sazegari <sup>1</sup> , Ali Niazi <sup>2</sup> , Zahra Zinati * <sup>3</sup> , Mohammad Hadi Eskandari <sup>4</sup> |
| 4  | 1.     | Institute of Biotechnology, Shiraz University, Shiraz, Iran, email: sazegari.s@gmail.com                                 |
| 5  | 2.     | Institute of Biotechnology, Shiraz University, Shiraz, Iran, email: niazi@shirazu.ac.ir                                  |
| 6  | 3.     | Assistant Professor, Department of Agroecology, College of Agriculture and Natural Resources of                          |
| 7  |        | Darab, Shiraz University, Shiraz, Iran. Corresponding author email*: zahrazinati@shirazu.ac.ir, Tel:                     |
| 8  |        | +987136139940, Fax: +9853546476  |
| 9  | 4.     | Department of Food Science and Technology, Shiraz University, Shiraz, Iran, email:                                       |
| 10 |        | eskandar@shirazu.ac.ir   |
| 11 |        |  |

# 12 Abstract

13 Saccharomyces cerevisiae is known for its outstanding ability to produce ethanol in industry. 14 Identifying the dynamic of gene expression in S. cerevisiae in response to fermentation is required for 15 the establishment of any ethanol production improvement program. The goal of this study was to 16 identify the discriminative genes between improved and repressed ethanol production as well as 17 clarifying the molecular responses to this process through mining the transcriptomic data. Through 11 18 machine learning based algorithms from RapidMiner employed on available microarray datasets related to yeast fermentation performance under Mg<sup>2+</sup> and Cu<sup>2+</sup> supplementation, 172 probe sets 19 20 were identified by at least 5 AWAs. Some have been identified as being involved in carbohydrate 21 metabolism, oxidative phosphorylation, and ethanol fermentation. Principal component analysis (PCA) 22 and heatmap clustering were also validated the top-ranked selective probe sets. According to decision 23 tree models, 17 roots with 100% performance were identified. OLI1 and CYC3 were identified as the 24 roots with the best performance, demonstrated by the most weighting algorithms and linked to top two 25 significant enriched pathways including porphyrin biosynthesis and oxidative phosphorylation. ADH5 26 and *PDA1* are also recognized as differential top-ranked genes that contribute to ethanol production. 27 According to the regulatory clustering analysis, *Tup1* has a significant effect on the top-ranked target 28 genes CYC3 and ADH5 genes. This study provides a basic understanding of the S. cerevisiae cell molecular mechanism and responses to two different medium conditions (Mg<sup>2+</sup> and Cu<sup>2+</sup>) during the 29 30 fermentation process.

Key words: *Saccharomyces cerevisiae*, fermentation, Microarray analysis, Machine learning, Principal
 component analysis, Hierarchical clustering

## 33 Introduction

In research and industry, *Saccharomyces cerevisiae* is used as one of the main microorganisms for bioethanol production. In addition to its high ethanol production capability, its stability for anaerobic fermentation and low pH tolerance facilitates its use in industry for ethanol production [1]. In terms of molecular biology, the genetics of *S. cerevisiae* is known, the genome has been sequenced, and many 38 genes have been functionally annotated and characterized [2,3], so genetic manipulation of this 39 organism is well developed [4]. There are different *S. cerevisiae* industrial strains used for bioethanol 40 production. Molecular study of industrial strains with the aim of providing insight for improved ethanol 41 production, is of great interest due to their importance for large-scale production. S. cerevisiae JP1 is 42 one of the dominant strains in fermentation industry since it exhibits high temperature tolerance, 43 stability under low pH and high fermentation rate [5]. Several researches have been conducted on the 44 S. cerevisiae metabolic engineering to generate efficient ethanol producing strains [6,7]. Suji et al [8], 45 for example used the *PHO13* deletion in conjunction with *LAD1* and *ALX1* heterologous expression to 46 improve S. cerevisiae for arabinose consumption, resulting in a 3.5-fold increase in specific ethanol 47 productivity. Furthermore, transcriptomic studies have revealed the role of genes in ethanol fermentation. Under fermentation, gene expression analysis revealed the presence of stress-response 48 and energy-related genes in S. cerevisiae supplemented with Mg<sup>2+</sup> [9]. Upregulation of transketolase 49 50 and transaldolase genes have been reported through transcriptome analysis of engineered S. cerevisiae 51 under fermentation of arabinose sugar [10]. Identifying the molecular basis and dynamics of gene 52 expression profiles related to yeast response in improved bioethanol production conditions is critical 53 for developing new manipulated strains with increased ethanol yield. It also shed light on the 54 mechanisms that yeast uses to improve production.

55 Metal supplements are effective in the yeast metabolic pathways that produce ethanol. Among these, 56 zinc, magnesium, manganese, and copper have been extensively researched and shown to have regulatory effects on ethanol production [11, 12, 13]. Mg<sup>2+</sup> ion is involved in phosphorylation, DNA 57 58 and protein synthesis, as well as cell membrane rigidity and proliferation, and it has the potential to 59 increase ethanol accumulation through fermentation [14, 9]. Furthermore,  $Mg^{2+}$  may improve the S. 60 *cerevisiae* tolerance to high ethanol concentration during glucose and xylose fermentation [15, 16]. 61 Mg<sup>2+</sup> medium supplementation, in particular, resulted in a 29% increase in ethanol production by 62 regulating the expression of cell wall and membrane related genes using S. cerevisiae [16]. Copper is 63 also known as a critical element for yeast biological functions, particularly in its ion form Cu<sup>++</sup>. Some 64 essential activities, such as cytochrome c oxidase, a component of oxidative phosphorylation, and

superoxide dismutase are dependent on  $Cu^{2+}[17]$ . Copper stress, on the other hand, caused by an excess of copper, can result in ROS generation and DNA damage. At high concentrations, it also has a negative impact on cell membrane stability and enzyme activity. [18]. A high copper concentration (1.5 mM) inhibited cell growth, glucose and fructose consumption during fermentation by *S. cerevisiae* [19]. Few studies have been conducted to investigate the effect of  $Cu^{2+}$  on the physiology and fermentation ability of *S. cerevisiae* cell. As a result, despite their lack of research, Mg and Cu have the potential to modulate the gene expression network involved in the fermentation process.

72 It would be possible to identify the critical genes and clarify the mechanisms involved in the ethanol 73 production process using bioinformatics-based analysis of the S. cerevisiae expression dataset. 74 Computational approaches for identifying key genes involved in the fermentation process could 75 elucidate the transcriptomic dynamics of yeast ethanol fermentation and reveal expression signatures 76 that could be underutilized for improved production. RapidMiner is one of the most useful and widely 77 used mining tools for data analysis [20]. Machine learning algorithms, both supervised and 78 unsupervised models, are widely used in gene expression data analysis and gene identification [21,22]. 79 Different gene selection algorithms, such as Information Gain, Information Gain Ratio, rule induction, 80 SVM, and PCA, are widely used in gene expression analysis using RapidMiner. Cheng et al [23] used 81 RapidMiner to preform four machine learning weighting models on gene expression datasets related to 82 Huntington's disease, including decision tree, rule induction, random forest, and generalized linear 83 algorithms, in order to identify contributing genes to this disorder. In another study, Zinati et al [24] 84 used ten different weighting algorithms to identify the genes that differentiate between sour and acidic 85 lemon taste.

Valuable publicly available data on *S. cerevisiae* genome-wide expression experiments could be used for functional genomic analysis through machine learning. Machine learning algorithms' discriminative ability aids in revealing the underlying biological process in microarray data analysis [20]. In light of the availability of such useful primary data sets and the potential of RapidMiner as an efficient tool for biological data analysis, we used available microarray expression dataset related to *S. cerevisiae* supplemented with Copper and Magnesium metal components under fermentation to investigate the 92 underlying molecular basis of fermentation used by *S. cerevisiae*. The goal of this study was to identify 93 the critical genes contribute to discriminate the improved (Mg<sup>2+</sup> treatment) and low ethanol production 94 (Cu<sup>2+</sup> treatment at toxic concentration), as well as to elucidate the transcriptomic response of *S.* 95 *cerevisiae* under these two conditions. *S. cerevisiae* transcriptome analysis using data mining and 96 machine learning by both supervised and unsupervised models was used in this study as a novel 97 approach to identify the underlying gene regulation mechanisms that can be used to optimize 98 fermentation performance.

# 99 Materials and Methods

## 100 Data Collection

For this study available microarray datasets related to yeast fermentation performance under Mg<sup>2+</sup> (500 mg/L) or Cu<sup>2+</sup> (1 mg/L) supplementation was used. Microarray data of the industrial yeast *S. cerevisiae* JP1 strain downloaded from the GEO repository of the NCBI database (GEO number: GSE75803) was used. To meet the research objective, the probe sets with significant differential expression (concomitant Adj. p < 0.05 and B  $\geq$  3) were chosen for this study.

# 106 Data cleaning

We used RapidMiner software (RapidMiner Studio 7.6) [25] to enter the 6300-differential expressed probe sets as numerical features, as well as high and low bioethanol as class features. For better processing, inefficient or redundant probe sets with less than or equal to a given standard deviation (SD) threshold (0.1), as well as correlated probe sets (correlation  $\ge$  0.95), were carefully removed from the dataset. The resulting list, which only contained efficient probe sets, was designated as the Final Cleaned (FCdb) database.

## 113 Attribute weighting algorithms

Eleven attribute weighting algorithms with cut-off  $\ge 0.7$  were used in the FCdb to identify the most effective probe sets contributing to discriminate ethanol content. Weights close to 1 indicate that a specific probe set in ethanol content is more important. The main probe sets were those determined by

the majority of AWAs (intersection of the weighing method). The attribute weighting algorithms used
in this investigation, as well as the statistical background description for each one, are as follows
(RapidMiner Studio 7.6):

## 120 Weight by Information Gain and Information Gain Ratio

121 This algorithm is a well-established superior method for gene selection in microarray data analysis 122 [26,27]. In this method, the attributes (probe sets) are weighted according to their class label (high or 123 low ethanol production).

## 124 Weight by Rule

Based on a single rule and the relationship between attributes (genes) and considering the errors, the weight of each attribute is measured through rule algorithm [28] and is used as a selective method for

127 microarray analysis.

## 128 Weight by Deviation, Weight by Correlation and Weight by Chi Squared Statistic

The standard deviation of attributes is used as a weighting parameter in the deviation weighting method.
The correlation method, on the other hand, weighs the label attributes based on the correlation. In
addition, for labeling the attributes, we used the Chi Squared Statistic weighting algorithm, which takes
the Chi squared into account.

# 133 Weight by Gini Index and Weight by Uncertainty

Due to the label attribute in this model, the weight of attributes is determined by measuring the Gini coefficient as an inequality index of sample data. According to each attribute, the lower the Gini index of the attribute, the more equal dispersion among attributes is considered. The weight for uncertainty model, on the other hand, is determined using the symmetrical uncertainty due to the class attribute.

#### 138 Weight by Relief

139 This model is one of the most reliable algorithms for weighting genes because it is based on the 140 determination of values between probe sets of the same and different classes in a short distance.

#### 141 Weight by SVM

- 142 SVM is one of the most powerful classification models for gene expression analysis [21]. The SVM
- 143 method weighs attributes using the coefficients of the normal vector of a linear SVM.

#### 144 Weight by PCA

145 This model performs attribute weighting due to the class attribute based on the component number

146 parameter of PCA and the value of the components.

#### 147 **Decision tree models**

148 Eleven new datasets were generated using the entire probe sets with weight >0.70. They were 149 annotated based on the models used for attribute weighting (Relief, Information gain, Uncertainty, 150 Information gain ratio, Chi Squared, Rule, Correlation, Deviation, SVM and PCA, Gini index). 151 Random Tree, Decision Tree, Decision Stump, and Random Forest were the tree induction models 152 used for 12 datasets (FCdb and 11 datasets produced by specific weighting algorithms). Each model 153 had four criteria (Gini Index, Gain Ratio Information Gain, and Accuracy). We used a ten-fold 154 validation algorithm with appropriate sampling to create trees with RapidMiner. The performance 155 of the model was evaluated and used to compare various models based on the accuracy of each model 156 in identifying the target variable (high and low bioethanol content) and according to the attribute 157 variables (normal expression of the probe set). Performance is expressed as a measure of model 158 accuracy in this case. We calculated the accuracy by dividing the number of correct predictions by 159 the total number of samples. The value of the attribute accuracy that is expected to be the same as 160 the value of the labeled attribute is referred to as the correct prediction. These models were used with 161 a minimum gain of 0.1 to obtain a split and a maximum tree depth of 20. For pruning 0.25 confidence 162 level was considered with a pessimistic error calculation.

## 163 Unsupervised analysis of the top ranked probe sets derived by supervised AWAs

164 Unsupervised principal component analysis (PCA) and hierarchical clustering heatmap were used to 165 evaluate the power of top-ranked probe sets which differentiate the fermentation under different 166 supplementation treatments. For unsupervised analysis, а web-based tool Clustvis 167 (https://biit.cs.ut.ee/clustvis/) was used [30]. The PCA analysis was carried out in the PCA Methods 168 R package using unit variance scaling on rows and Singular Value Decomposition (SVD) with the 169 imputation method. The clustering heatmap was created with the pheatmap R package (version 170 (0.7.7)). The clustering heatmap was constructed using correlation, Pearson correlation subtracted 171 from 1, and the average distance of all possible pairs [31].

## 172 Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment analysis

173 The pathway enrichment analysis carried out using YeastEnrichr was 174 (https://maayanlab.cloud/YeastEnrichr/) [30,31]. The biochemical pathways related to key probe sets 175 were identified using the KEGG2019 database. Pathways with p-value < 0.1 were considered 176 significant.

## 177 Exploring for transcription factors among top-ranked genes and Regulator cluster analysis

178 (http://www.yeastract.com/formrankbyhomotf.php) to We used yeastract database identify 179 transcription factors (TFs) among the 172 probe sets identified by at least 5 attribute weighting 180 algorithms [32]. The TFs and their target genes were identified using this tool based on DNA binding 181 sites and expression evidence. Furthermore, we used the regulator DB database 182 (http://wyrickbioinfo2.smb.wsu.edu/cgi-bin/RegulatorDB/cgi/home.pl) to run regulator cluster 183 diagram to determine the regulatory effect of the identified TFs on the target genes [33,34]. It provides 184 data on mutant regulator expression for selected regulators and target genes.

185

186 **Results** 

# 187 Ranking probe sets by AWAs

188 After cleaning 6300 probe sets by RapidMiner, we obtained 1813 probe sets. Eleven AWAs were used 189 to identify informative probe sets. Following AWAs analysis, 172 probe sets were identified by at least 190 5 attribute weighting algorithms (Supplementary File, sheet S1). Furthermore, there were distinct probe

191 sets classified by at least five algorithms that respond discriminatively to supplement treatment and/or 192 are particularly related to ethanol production during fermentation. Sheet S2 of the Supplementary File 193 contains the probe sets as well as the AWAs used to identify the probe sets. Some of the informative 194 probe sets were recognized to be involved in carbohydrate metabolism, TCA cycle, oxidative 195 phosphorylation, and ethanol fermentation while others were related to stress responses, cell membrane 196 structure, and cell growth which could be indirectly effective in ethanol production. Some of the top 197 informative genes are presented in (Table 1).

|            | Standard<br>Gene |   | AWAs   |                                 |
|------------|------------------|---|--------|---------------------------------|
| probe sets | Name             | AWAs Names  | number | Gene Name                       |
|            |                  | Chi Square Statistic, Correlation, Gini Index,    |        |                                 |
|            |                  | Information Gain, Information Gain ratio, PCA,    |        | Uncharacterized, response to    |
| A_06_P4554 | MRP8             | Relief, Rule, SVM, Uncertainty                    | 10     | stress                          |
|            |                  | Chi Square Statistic, Correlation, Gini Index,    |        |                                 |
|            |                  | Information Gain, Information Gain ratio, PCA,    |        | ATP synthase subunit 9,         |
| A_06_P1016 | OLI1             | Relief, Rule, SVM, Uncertainty                    | 10     | mitochondrial;OLI1;ortholog     |
|            |                  | Chi Square Statistic, Correlation, Gini Index,    |        |                                 |
|            |                  | Information Gain, Information Gain ratio, PCA,    |        | Alcohol dehydrogenase           |
| A_06_P1397 | ADH5             | Rule, SVM, Uncertainty                            | 9      | 5;ADH5;ortholog                 |
|            |                  | Chi Square Statistic, Correlation, Gini Index,    |        |                                 |
|            |                  | Information Gain, Information Gain ratio, PCA,    |        |                                 |
| A_06_P1238 | PKC1             | Rule, SVM   | 8      | Protein serine/threonine kinase |
|            |                  | Chi Square Statistic, Correlation, Gini Index,    |        |                                 |
|            |                  | Information Gain, Information Gain ratio, PCA,    |        |                                 |
| A_06_P3384 | GTR2             | Rule, SVM, Uncertainty                            | 9      | GTP-binding protein             |
|            |                  | Chi Square Statistic, Correlation, Gini Index,    |        |                                 |
|            |                  | Information Gain, Information Gain ratio, Relief, |        |                                 |
| A_06_P1063 | CYC3             | Rule, SVM, Uncertainty                            | 9      | Cytochrome c heme lyase         |
|            |                  | Chi Square Statistic, Correlation, Gini Index,    |        |                                 |
|            |                  | Information Gain, Information Gain ratio, Rule,   |        |                                 |
| A_06_P1003 | COX1             | SVM, Uncertainty                                  | 8      | cytochrome c oxidase            |
|            |                  | Chi Square Statistic, Correlation, Gini Index,    |        | Pyruvate dehydrogenase E1       |
|            |                  | Information Gain, Information Gain ratio, Rule,   |        | component subunit alpha,        |
| A_06_P2810 | PDA1             | SVM   | 7      | mitochondrial;PDA1;ortholog     |
|            |                  |   |        | Cytochrome b-c1 complex         |
| A_06_P2931 | QCR6             | Chi Square Statistic, Correlation, PCA, Rule, SVM | 5      | subunit 6;QCR6;ortholog         |
|            |                  |   |        | Magnesium-activated aldehyde    |
|            |                  |   |        | dehydrogenase,                  |
| A 06 P6820 | ALD6             | Chi Square Statistic, PCA, Rule, Uncertainty      | 4      | cytosolic;ALD6;ortholog         |

# 200

# 201 Decision Tree models

- 202 The decision tree models were used to achieve pattern recognition between important genes as well as
- with the genes with the highest distinguishing power. The lowest and highest performances were 0%
- and 100%, respectively (Supplementary File, sheet S3). There were 17 probe sets with 100%
- 205 performance in the roots of decision tree models (Table 2).

**Table 2.** Decision Tree models roots identified as exhibited 100% performance.

| elementsLAWSDESCRIPTIONA_06_P101BioProcess=ATP synthesis coupled proton6OLI110transportA_06_P247S5CWC219BioProcess=biological_process unknownA_06_P1002ORF:Q00179BioProcess=biological_process unknownA_06_P1034CYS39BioProcess=sulfur amino acid metabolism*A_06_P1131HTB28BioProcess=chromatin assembly/disassemblyA_06_P106 |
|--|
| 6OLI110transportA_06_P247<br>5CWC219BioProcess=biological_process unknownA_06_P100<br>2ORF:Q00179BioProcess=biological_process unknownA_06_P103<br>4CYS39BioProcess=sulfur amino acid metabolism*A_06_P113<br>1HTB28BioProcess=chromatin assembly/disassemblyA_06_P106   |
| A_06_P247SCWC219BioProcess=biological_process unknownA_06_P1002ORF:Q00179BioProcess=biological_process unknownA_06_P1034CYS39BioProcess=sulfur amino acid metabolism*A_06_P1131HTB28BioProcess=chromatin assembly/disassemblyA_06_P106 </td  |
| 5CWC219BioProcess=biological_process unknownA_06_P1002ORF:Q00179BioProcess=biological_process unknownA_06_P1034CYS39BioProcess=sulfur amino acid metabolism*A_06_P1131HTB28BioProcess=chromatin assembly/disassemblyA_06_P106 </td   |
| A_06_P100ORF:Q00179BioProcess=biological_process unknownA_06_P1034CYS39BioProcess=sulfur amino acid metabolism*A_06_P1131HTB28BioProcess=chromatin assembly/disassemblyA_06_P106 </td  |
| 2ORF:Q00179BioProcess=biological_process unknownA_06_P1034CYS39BioProcess=sulfur amino acid metabolism*A_06_P1131HTB28BioProcess=chromatin assembly/disassemblyA_06_P106 </td  |
| A_06_P103General4CYS39BioProcess=sulfur amino acid metabolism*A_06_P1131HTB28BioProcess=chromatin assembly/disassemblyA_06_P106  |
| 4CYS39BioProcess=sulfur amino acid metabolism*A_06_P1131HTB28BioProcess=chromatin assembly/disassemblyA_06_P1068A_06_P106A_06_P106A_06_P106  |
| A_06_P113<br>1 HTB2 8 BioProcess=chromatin assembly/disassembly<br>A_06_P106   |
| IHTB28BioProcess=chromatin assembly/disassemblyA_06_P10688   |
| A_06_P106  |
|  |
|  |
| 8 KRE23 9 BioProcess=biological_process unknown  |
| A_06_P298  |
| 4 CGR1 9 BioProcess=rRNA processing*   |
| A_06_P129  |
| 8 ORF:YBR051W 9 BioProcess=biological_process unknown  |
| A_06_P152<br>4 ORF:YBR270C 8 BioProcess=biological process unknown   |
| 4 ORF:YBR270C 8 BioProcess=biological_process unknown<br>A 06 P328   |
| 7 ORF:YGR067C 9 BioProcess=biological process unknown  |
| A 06 P106  |
| 3 CYC3 9 BioProcess=not yet annotated  |
| A 06 P205  |
| 1RPS139BioProcess=protein biosynthesis   |
| A 06 P196  |
| 7 OST4 10 BioProcess=not yet annotated   |
| A 06 P104  |
| 9 ORF:YAL027W 9 BioProcess=biological process unknown  |
| A 06 P100  |
| $\overline{3}$ COX1 8 BioProcess=aerobic respiration   |
| A 06 P202  |
| 3ORF:YDR036C7BioProcess=biological_process unknown   |
| A_06_P102  |
| 3         ORF:Q0297         8         BioProcess=biological_process unknown  |

## 208 Unsupervised Analysis

209 As a complementary confirmation, the 172 top ranked probe sets were validated using PCA and 210 hierarchical clustering heatmap, identified with supervised attribute weighting models. According to 211 the results, the 172 significant probe sets could accurately differentiate between two different 212 fermentation conditions, thus confirming the significance and accuracy of the identified probe sets (Fig. 213 1). In particular, the captured variances with the first two components on all recognized 6031 probe sets 214 and informative 172 probe sets were up to 74% and 50%, respectively. Furthermore, it could efficiently 215 separate informative 172 probe sets under  $Cu^{2+}$  or  $Mg^{2+}$  supplementation in the hierarchical clustering 216 heat map, (Fig. 1). In total, 64 probe sets were up and down regulated by Mg<sup>2+</sup> and Cu<sup>++</sup>, while 108 217 probe sets were up and down regulated through Cu and Mg supplementation, respectively (Fig. 2).

**Fig. 1.** Two-dimensional plot related to the first two principal components. GSM1968101, GSM1968110, GSM1968100 and GSM1968108 are samples related to  $Mg^{2+}$  supplementation. GSM1968106, GSM1968114, GSM1968103 and GSM1968112 are samples related to  $Cu^{2+}$ supplementation.

Fig. 2. The heatmap related to 172 probe sets which were recognized by at least 5 attribute weighting algorithms (AWAs). Each row corresponds to the different samples including  $Mg^{2+}$  (high ethanol production) and  $Cu^{2+}$  supplementation (repressed ethanol production). Columns exhibits hierarchically clustered probe sets. The normalized intensity expressions of probe sets were shown as a color scale. The up and down-expression levels were represented as red and blue scales, respectively.

## 227 Pathway enrichment analysis of genes

Significant enriched pathways such as Porphyrin metabolism, Oxidative phosphorylation, Glycolysis,
Amino sugar and nucleotide sugar metabolism, Cell cycle, Meiosis and Citrate cycle (TCA cycle) were
identified using the KEGG enrichment analysis. The enriched pathways and the related genes are
presented in Table 3.

- Table 3. KEGG enrichment analysis of 172 probe sets. The significant pathways with adjusted P-
- value < 0.1 are represented.

| Term                                  | <b>Adjusted P-value</b> | Genes                       |  |
|---------------------------------------|-------------------------|-----------------------------|--|
| Porphyrin and chlorophyll metabolism  | 0.000582985             | HEM2;HEM12;CYC3;YFH1        |  |
| Oxidative phosphorylation             | 0.007415084             | OLI1;QCR6;ATP6;COX1;ATP2    |  |
| Endocytosis                           | 0.007415084             | CAP1;APL3;LAS17;ARC15;VPS25 |  |
| RNA degradation                       | 0.025376563             | POP2;RRP42;SSQ1;CCR4        |  |
| Meiosis                               | 0.049279656             | CLN3;HMRA2;MSN4;APC9;TPD3   |  |
| Autophagy                             | 0.049279656             | KCS1;VPS8;MSN4;PEP4         |  |
| Ubiquitin mediated proteolysis        | 0.049279656             | UBC13;UBC6;APC9             |  |
| Protein processing in endoplasmic     |                         |                             |  |
| reticulum                             | 0.049279656             | OST4;UBC6;PDI1;SSE2         |  |
| Glycolysis / Gluconeogenesis          | 0.064176371             | PDA1;PGM2;ADH5              |  |
| Galactose metabolism                  | 0.072088565             | GAL7;PGM2                   |  |
| Phosphatidylinositol signaling system | 0.072088565             | KCS1;PKC1                   |  |
| Amino sugar and nucleotide sugar      |                         |                             |  |
| metabolism                            | 0.075323668             | GAL7;PGM2                   |  |
| Spliceosome                           | 0.075323668             | PRP43;ECM2;PRP8             |  |
| MAPK signaling pathway                | 0.072088565             | TUP1;MKC7;MSN4;PKC1         |  |
| Pentose phosphate pathway             | 0.075323668             | SOL4;PGM2                   |  |
| Alanine, aspartate and glutamate      |                         |                             |  |
| metabolism                            | 0.075323668             | GDH3;NIT3                   |  |
| Cell cycle                            | 0.075323668             | CLN3;TUP1;APC9;TPD3         |  |
| Citrate cycle (TCA cycle)             | 0.075323668             | PDA1;LSC2                   |  |
| Ribosome biogenesis in eukaryotes     | 0.075323668             | UTP15;CKB1;RIO1             |  |
| Glycine, serine and threonine         |                         |                             |  |
| metabolism                            | 0.075323668             | SER1;CYS3                   |  |

234

# 235 Identification of transcription factors and their targets

236 Among the 172 informative probe sets identified by yeastract analysis were seven transcription factors: 237 YGR067C, HAP4, NRG2, TUP1, TOS8, MSN4, and PDC2. Surprisingly, the targets of the identified 238 transcription factors were discovered among the 172 genes identified by RapidMiner analysis and 239 ranked by at least 5 algorithms (Supplementary File, sheet S5). These findings support the AWAs' 240 ability to correctly identify top-ranked probe sets. Furthermore, regulator clustering related to TFs and 241 their targets (both ranked by at least eight algorithms) was performed to demonstrate the effect of top-242 ranked TFs on top-ranked target genes based on the transcription factors mutants. The results showed 243 that Hap4p, Tup1, and TOS8 mutants resulted in different ratios of up and down-regulation of target 244 genes (Fig. 3). Although Hap4 and TOS8 resulted in down or up regulation of target genes, their effect

on none of target genes was significant. According to the findings, the Tup1 transcription factor has the
greatest impact on the target genes expression. The Tup1 knocked out mutant significantly induce the
expression of *CYC3 (YAL039C)*, while causing highest level of down regulation of *YBL11C*, *ADH5 (YBR145W)*.

Fig. 3. The regulatory clustering heatmap related to genes targeted by identified transcription factors *Hap4p* and *Tup1* and *TOS8*. The cluster is represented as the log mRNA ratio of each target gene in
each regulator mutant.

## 252 **Discussion**

253 In this study, machine learning and decision tree models were used to analyze the transcriptome of S. 254 cerevisiae during the fermentation process in two conditions: repressed ethanol production and high 255 ethanol production supplemented with Cu<sup>2+</sup> and Mg<sup>2+</sup>. Indeed, for the most accurate prediction methods, 256 we used both supervised and unsupervised models. In summary, we used 11 supervised models to 257 achieve high accuracy results. In addition, a PCA analysis as an unsupervised model and a hierarchical 258 clustering heat map were used to validate the 172 top-ranked probe sets identified by supervised-based 259 models. Furthermore, we used pathway enrichment, transcription factor and regulatory analysis to 260 validate the machine learning analysis results (Fig. 4). According to RapidMiner-assisted analysis, some 261 probe sets were identified as playing a distinct role in ethanol production. Nonetheless, it should be 262 noted that the function of some identified probe sets has not yet been clarified, despite the fact that they 263 may be critical in ethanol production. ADH5 or Alcohol dehydrogenase, which was weighted by 9 264 algorithms and classified in Glycolysis / Gluconeogenesis by KEGG enrichment analysis, contributes 265 to ethanol production by reducing acetaldehyde to ethanol [35]. OLII is distinguished by ten algorithms 266 and is rich in Oxidative phosphorylation term, which encodes F0-ATP synthase subunit c and generates 267 ATP in yeast mitochondria [36]. Metal ions, such as Cu<sup>++</sup>, are known to have a negative effect on 268 mitochondrial respiratory components, as it slowed the respiratory chain in *PC12* and liver cells at toxic 269 doses [37,38]. That is most likely the main reason for the down regulation of OLII, which is an 270 important component of the oxidative phosphorylation pathway when exposed to toxic Cu. RNA-seq 271 analysis revealed that this gene was enriched as a significant gene between the wild and high glucose

272 tolerant mutant strains of S. cerevisiae [39]. In addition to this gene, COXI has an AWA weight of 8 273 and is involved in the final electron chain reaction in the respiratory system [40]. It encodes one of the 274 cytochrome c oxidase subunits and, like OLI1, has been shown to be repressed by Cu<sup>2+</sup> treatment. PDAI 275 encodes alpha subunit of pyruvate dehydrogenase and converts the pyruvate to acetyl-CoA through 276 oxidative decarboxylation [41]. This gene was found to be enriched in the Glycolysis/Gluconeogenesis 277 pathway by seven weighting algorithms used in this study. PDAI directs the pyruvate metabolism to 278 Acetyl- COA in mitochondria to provide the TCA cycle substrate. In other words, directing the pyruvate 279 to TCA cycle PDAI keeps pyruvate from being consumed in the fermentation process or ethanol 280 production. PDAI was down regulated in Mg-containing medium, which accounts for improved ethanol 281 production, and was upregulated in the repressed fermentation condition, by Cu. OCR6 is a subunit of 282 cytochrome bc1 complex and contributes to oxidative phosphorylation. Cytochrome C is known to be 283 activated by Cu metal ion [42]. OCR6 was up regulated, as expected, by Cu supplementation. Similarly, 284 in Pichia stipites, cytochrome bc1 disruption resulted in increased ethanol production. [43]. Granados-285 Arvizu et al [44] also concluded that cytochrome bc<sub>1</sub> complex repression would be a promising way to 286 enhance ethanol production in Saccharomyces stipitis. ALD6 or Aldehyde dehydrogenases is activated 287 by Mg and have a distinct role in the formation of acetate from pyruvate in an alternate pyruvate 288 dehydrogenase bypass pathway [45]. ALD6 expression was found to be increased with Mg 289 supplementation, which corresponded to the activation of this enzyme by Mg++. Since it consumes the 290 acetaldehyde source that ADH enzymes can use to produce ethanol, deleting ALD6 via Crisper/CAS 9 291 genome editing resulted in increased ethanol production [46].

**Fig. 4.** The schematic illustrates the methodology of the study with summarized results.

Based on decision tree analysis, 17 identified roots performed flawlessly, some of which have unknown molecular functions and have yet to be characterized. Surprisingly, *OLI1* and *CYC3* were identified by the highest attribute weighting algorithms (10 and 9), were enriched in the second most important biochemical pathway, and were also identified as decision tree model roots with 100% performance. *COX1* is also shown as a complete root, but it is identified using 8 weighting algorithms. As previously stated, *OLI1* is an F0-ATP synthase subunit c that contributes to the electron transport chain. *CYC3* is

also known as Cytochrome c heme lyase and has a strong sensitivity to ethanol. Indeed, the null mutants for this gene showed ethanol sensitivity. Both of these genes are involved in ATP generation and are up regulated in Mg supplemented medium. Nonetheless, it has been established that  $Mg^{2+}$  has an effect on energy metabolism and ATP production in the cell [47].

303 Confirming the results of the AWAs and decision tree models analysis through RapidMiner, the Kegg 304 pathway analysis showed that two most significant terms, porphyrin biosynthesis and oxidative 305 phosphorylation, were enriched in CYC3 and OLI1 and COX1. Cell cycle and division, as well as 306 Ribosome biogenesis, are identified as significant terms in the KEGG pathway enrichment. They may 307 have an impact on ethanol production even though they do not directly contribute to the fermentation 308 bioprocess. For example, in addition to its role in yeast cell growth and proliferation, which affects 309 ethanol production, ribosome biogenesis is predicted to be associated with fermentation, and some 310 related genes, such as SFP1 are thought to be involved in glycolysis control as well [35,48]. 311 Nonetheless, significant phosphatidylinositol signaling and MAPK signaling pathways identified in this 312 study by enrichment analysis were reported to be responsible for cell proliferation/growth regulation 313 and critical for stress responses [49,50]. *PKC1* which was attributed by 8 algorithms and remarkably 314 enriched in phosphatidylinositol signaling system is a serine/threonine kinase which is suggested to 315 have role in response to copper toxicity since it was upregulated in  $Cu^{2+}$  supplementation or reduced 316 ethanol production according to heatmap clustering. Confirming this finding, Zhou et al [49] reported 317 that 5-hydroxymethyl-2-furaldehyde, which is toxic to industrial fermentative S. cerevisiae strain, 318 increases the expression of *PKC1* gene. Furthermore, according to AWAs analysis, some genes are 319 involved in stress responses, cell growth and proliferation, protein synthesis, fatty acids and lipid 320 metabolism, all of which may contribute to ethanol production efficiency. MRP8 was assigned by ten 321 algorithms as a response to cell wall stress, and its expression has been reported to be induced under 322 stress conditions [51]. Its function, however, is unknown. Cu supplementation induces the expression 323 of this gene in response to the stress condition caused by copper. GTR2, a GTPase subunit, was weighted 324 using nine algorithms. It is suggested in this study that it contributes to tolerance response to CU

inhibitor because it was up regulated by copper. As an implication for this result, the null mutant related
to *GTR* gene showed decreased resistance to Zn metal at inhibitory amount [52].

327 According to the crucial role of TFs in gene expression regulation and to confirm the results obtained 328 from attribute weighting algorithms analysis, the TFs and their targets were explored among 172 probe 329 sets. According to the regulatory clustering analysis, *Tup1* has a significant effect on the top-ranked 330 target genes. Tup1 is a transcriptional repressor in S. cerevisiae has the ability to repress target genes 331 via various molecular mechanisms, and it contributes to carbon catabolite repression of transcription by 332 glucose [53, 54]. Regarding the results of this study on regulatory clustering analysis, the *Tup1* mutant 333 caused decreased expression in some of the target genes and up regulation in others. In other words, the 334 deletion of *Tup1* resulted in downregulation of *YBL111C*, whose biological function is unknown and 335 YBR145W (ADH5) at most. The ADH5 gene has also been identified as the top-ranked gene with 9 336 AWAs through RapidMiner analysis. On the other hand, the TUP1 knock out resulted in significant 337 upregulation of YAL039C (CYC3). Indeed, the CYC3 gene, which was confirmed by the greatest number 338 of AWAs and a decision tree model, was also shown to be a top target of the transcription factor 339 involved in ethanol production responses in this study. *Hap4* is a transcription factor involved in the 340 regulation of the respiratory genes' expression and ethanol tolerance. The role of TUP1 and HAP4 in 341 glucose fermentation have been studied and recently confirmed in thermotolerant yeast, Ogataea 342 polymorpha [54]. Moreover, the overexpression of HAP4 gene caused enhanced glucose consumption 343 and ethanol production in S. cerevisiae [55,56]. In this study, the HAP4 gene was also identified as top-344 ranked gene attributed by nine AWAs. Although the results confirm its involvement in the identified 345 probe sets regulation, it does not demonstrate significant up or down-regulation effect on the target 346 genes. Overall, OLII, CYC3, COX1 and ADH5 were ranked as the most critical genes in the 347 differentiation of two improved and repressed ethanol production conditions because they were the most 348 frequently identified genes across analyses. These important findings shed light on the complex 349 pathways and regulatory responses that genes use to contribute to ethanol production. However, 350 additional experimental analysis could fully clarify the results. Overall, the findings of this study could

- 351 be used to further investigate the possibility of improving ethanol through overexpression or knock out
- 352 strategies. Furthermore, additional experimental testing to confirm the findings is strongly advised.

## 353 Abbreviations

- 354 (PCA) Principal component analysis, (KEGG) Kyoto Encyclopedia of Genes and Genomes, ADH5
- 355 (Alcohol dehydrogenase), (CYC3) Cytochrome c heme lyase

# 356 **Declarations**

- 357 Ethics approval and consent to participate
- 358 Not applicable
- 359 Consent for publication
- 360 Not applicable

# 361 Availability of data and materials

- 362 All data generated or analyzed during this study are included in this published article [and its
- 363 supplementary information files}.

# 364 Competing interests

365 The authors declare that they have no competing interests

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# 368 Authors' contributions

- 369 SS, ZZ and AN contributed to the study conception and design. ZZ and SS analyzed the Data. The
- 370 manuscript was written by SS. ME, ZZ and AN contribute to scientific revision of the manuscript. All
- authors read and approved the final manuscript.

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# 374 Supplementary data

- 375 The Supplementary File, sheet S1 and S2. The list of the probe sets along with the attribute weighting
- algorithms (AWAs) through which the probe sets were identified.
- 377 The Supplementary File, sheet S3 and S4. The decision tree models (performance and roots).
- 378 The Supplementary File, sheet S5. The list of identified transcription factors and their targets.

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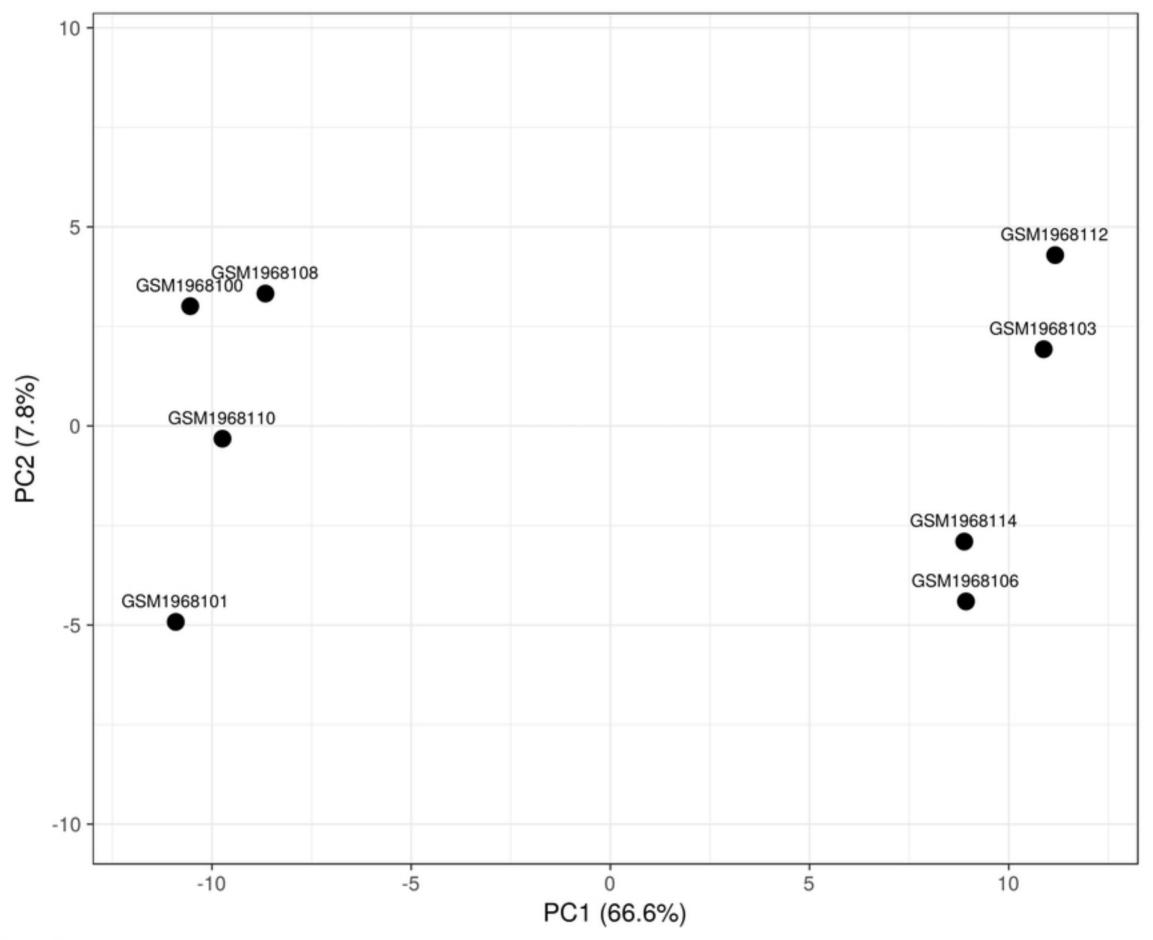
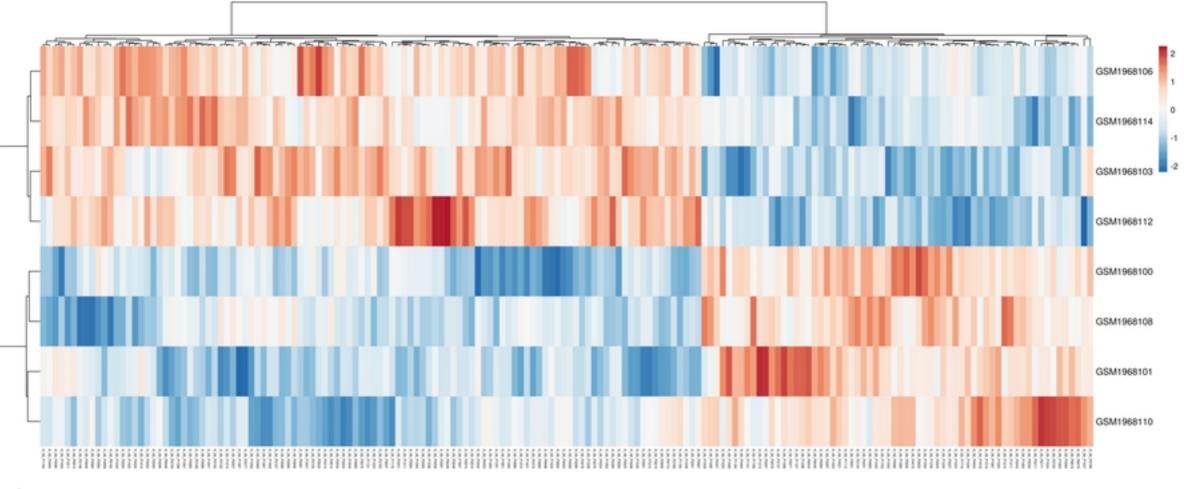


Fig 1



# Fig 2

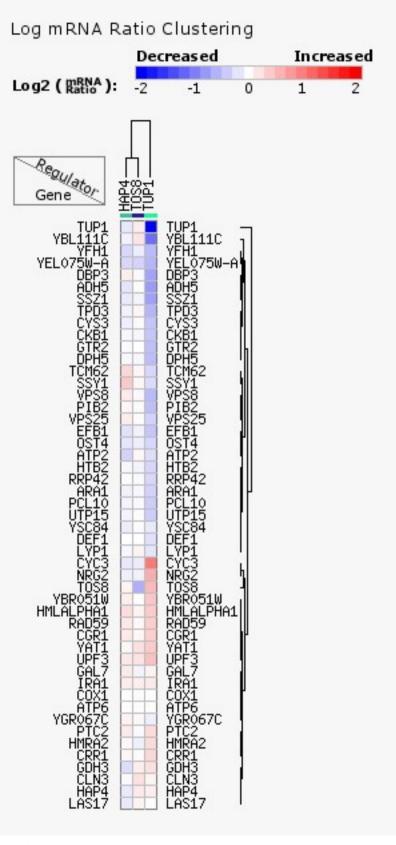


Fig 3

