1 Conjugative transfer of naturally occurring plasmids in *Mycolicibacterium* sp.

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11 Abstract

12 Conjugation is considered the main horizontal gene transfer (HGT) mechanism in the 13 bacterial adaptation and evolution. In *Mvcobacteriaceae* family. Mycolicibacterium smegmatis has been used as the model organism for the 14 conjugative transfer of hybrid plasmids. However, the natural conjugation process in 15 any bacteria would involve the transfer of naturally occurring plasmids. Currently, 16 there is a gap in this regard in relation to this abundant environmental genus of 17 Mycobacteriaceae. Here, we performed conjugation experiments between wild 18 Mycolicibacterium sp. strains involving naturally occurring plasmids (sizes of 21 and 19 274 kb), and interestingly, evidence of conjugative transfer was obtained. Thus, it is 20 likely that conjugation occurs in *Mycolicibacterium* in the natural environment, 21 representing a source of diversification and evolution in this genus of bacteria. 22

23

24 Introduction

Horizontal gene transfer is fundamental in bacterial adaptation and evolution, 25 26 occurring through three natural processes: conjugation, transduction. and transformation. Among them, conjugation occurs through direct cell-cell contact, and 27 28 it is the main mechanism that contributes to the plasmid dispersion, as it occurs between several bacterial phyla (being mainly studied in Proteobacteria) (Wang et al., 29 2003; Kohler et al., 2019). In the Mycobacteriaceae family, which includes the 30 Mycobacterium genus and four recently reclassified genera (Mycolicibacterium, 31 Mycobacteroides, Mycolicibacter, and Mycolicibacillus) (Gupta et al., 2018), 32 conjugation has already been associated with plasmids and chromosomal fragments 33 (distributive conjugal transfer) (Grav and Derbyshire, 2018). The mycobacterial 34 conjugation system is driven by the type VII secretion system (T7SS), which is 35 encoded by six paralogous loci (ESX-1, -2, -3, -4, -5 and -4-bis), each one with 36 different genetic organizations and functions (Dumas et al., 2016). Due to the impact 37 that plasmid exchange has on many aspects of bacterial biology, this issue has been 38 studied in depth in several bacterial taxa, but in Mycobacteriaceae little significance 39 has been given to this point (Shoulah et al., 2018). 40

Plasmid conjugation in Mycobacteriaceae has only been experimentally observed in 41 42 few species of three genera: Mycobacterium (M. tuberculosis, M. marinum, M. avium, 43 M. kansasii, and M. bovis), Mycobacteroides (M. abscessus), and Mycolicibacterium 44 (M. smegmatis). Moreover, conjugations in these genera only occurred between organisms of the same genus, species, or to Escherichia coli (Wang et al., 2003; 45 Rabello et al., 2012; Leão et al., 2013; Ummels et al., 2014; Gray and Derbyshire, 46 2018; Shoulah et al., 2018). Concerning Mycolicibacterium genus and plasmid 47 conjugation, only one species, *M. smegmatis*, has been considered as a model in tests 48 with recombinant plasmids (lacks natural plasmids), since it is a fast-growing and 49 non-pathogenic species (Lazraq et al., 1990; Wang et al., 2003; Derbyshire and Gray, 50 2014; Gray and Derbyshire, 2018). In this genus, plasmids were thought to be scarce 51 (Gray and Derbyshire, 2018; Morgado and Vicente, 2021) and reports of conjugative 52 transfer of naturally occurring plasmids are rare, only showing successful conjugation 53 of a small (<10 kb size) non-conjugative plasmid from E. coli to M. smegmatis 54 (Gormley and Davies, 1991). 55

Previous genomic analyzes on Mycolicibacterium sp. from Atlantic Forest soil 56 revealed the presence of three plasmids (pCBMA213 1, ~274 kb; pCBMA213 2, 57 ~160 kb; and pCBMA213 3, ~21 kb) in a lineage. Curiously, strains from this lineage 58 presented distinct plasmid profiles, varying from one (pCBMA213 3) to the three 59 plasmids. In addition, in another Mycolicibacterium sp. lineage, no plasmids were 60 identified (Morgado and Vicente, 2020). So, to contribute with experimental evidence 61 of conjugation in this bacterial family, analyzing the conjugative capability of these 62 natural plasmids, we performed conjugation tests using these wild Mycolicibacterium 63 strains carrying plasmids as donors and a wild Mycolicibacterium as the recipient. In 64 this way, we revealed evidence of conjugative transfer of naturally occurring plasmids 65 in the Mycolicibacterium genus. 66

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68 Materials and Methods

69 Bacterial strains

70 Four *Mycolicibacterium* sp. strains (Mycolicibacterium sp. CBMA213, CBMA234, *Mycolicibacterium* CBMA311, 71 Mycolicibacterium sp. sp. and Mycolicibacterium sp. CBMA360) isolated from Atlantic Forest soil (deposited in 72 CBAS, Bacterial Collection, Fiocruz/Brazil) were used in this study. They were 73 cultivated in 5 ml of Tryptic Soy Broth (TSB) supplemented with Tween80 (0.05%) 74 for 10 days at 22°C before the mating experiments. 75

76 Pulsed-field gel electrophoresis

Genomic DNA of the *Mycolicibacterium* strains was submitted to PFGE. After
bacterial growth, cells were suspended in PIV buffer (10 mM Tris-HCl pH 7.6, 1 M
NaCl). Agarose plugs were prepared by mixing the suspension in PFGE molds, and
after solidification, the plugs were transferred to lysis buffer (6 mM Tris-HCl pH 7.6,
1 M NaCl, 100 mM EDTA, 0.2% deoxycholate, 0.5% N-lauroylsarcosine, 0.5%
Brij-58, 10 mg/ml lysozyme) and incubated overnight at 37°C. Lysis buffer was

removed and the plugs were incubated overnight at 50°C in ESP buffer (0.5 M EDTA 83 pH 8, 1% N-lauroylsarcosine, 100 µg/ml proteinase K). Next, the plugs were washed 84 85 four times/day for a week with TE buffer (10 mM Tris-HCl pH 8, 0.1 mM EDTA) until used. The plugs were loaded on a 1.2% agarose gel in a Bio-Rad CHEF-DR III 86 system containing TBE buffer (44.5 mM Tris-HCl, 44.5 mM boric acid, 1 mM EDTA, 87 pH 8.3), and were subjected to a pulse of 5 s ramping to 35 s for 18 h at 5.5 V/cm. 88 Two runs were carried out: one with non-digested DNA, and the other with digested 89 DNA by DraI restriction enzyme (Promega) at 37°C overnight. In the gels, the 90

91 Lambda ladder PFG marker (New England BioLabs) was used as molecular standard.

92 Mating experiments

Mating experiments were performed in triplicates using M. sp. CBMA213, M. sp. 93 CBMA311, and M. sp. CBMA360 as donors, and M. sp. CBMA234 as the recipient. 94 The recipient strain was negative for the presence of plasmids, as observed by PCR of 95 plasmid genes and non-digested PFGE. Aliquots of 2 ml of each recipient and donor 96 97 strains were combined (three mating pairs in total) and filtered through sterile 0.22 µm membranes (Merck Millipore, GSWP02500), which were incubated over TSA 98 99 plates for 8 days at 22°C. After this period, the membranes were transferred to sterile 100 containers and washed with 5 ml of TSB supplemented with 5 µg/ml of rifampicin (Sigma-Aldrich). Next, serial dilutions were plated on TSA supplemented with 5 101 µg/ml of rifampicin. Rifampicin was used as a selection marker because the donor 102 cells were susceptible on 5 µg/ml concentration, while the recipient, not. The putative 103 transconjugants were pooled from the TSB plates and submitted to further analysis. 104 Those pools that did not generate amplicons related to the gene markers of the donor 105 strains and presented a PFGE-DraI profile equal to the recipient strain were assumed 106 to be transconjugants. The presence of the plasmids in transconjugants was verified 107 by non-digested PFGE and amplification of plasmid gene markers. 108

109 PCR assays

PCR assays were performed using different PCR kits, depending on the set of primers 110 used (Table 1), in 50 µl reactions in the following conditions: (i) 95°C for 5 min, 40 111 cycles of 95°C for 30 s, 55°C for 30 s and 72°C for 45 s, with a final elongation step 112 at 72° for 10 min (Promega, GoTag DNA Polymerase); (ii) 98°C for 5 min, 40 cycles 113 of 96°C for 1 min, 50°C for 30 s and 72°C for 40 s, with a final elongation step at 72° 114 for 10 min (Qiagen, Taq DNA Polymerase). The amplicons were purified using GFX 115 PCR DNA and Gel Band Purification Kit (Sigma-Aldrich), according to the 116 manufacturer, and the products were sequenced by 3730XL DNA Analyser (Applied 117 Biosystems). 118

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120 Table 1. Primer sequences

| Primers | Sequences (5' -> 3') | Gene target | Amplicon size (bp) | PCR kit |
|------------|---|--------------|--------------------|---------|
| cbma234U | agc atc gct gag ttc aag g (F) tta gct gtt tga ccc tgc tg (R) | arr | 630 | Promega |
| cbma213U | gac cgg acc tga atg ttc tt (F) gtg cgt tat caa tcg tcc tc (R) | hypothetical | 606 | Promega |
| pCBMA213_1 | gcg atg agg aac ggt act aaa (F) cgc tcc ata gtt gtc atg ct (R) | vap | 526 | Promega |

| pCBMA213_2 | atc tcc cgt aag acg ctg at (F) ccg ggg gta gtt gtt tta tc (R) | rep | 338 | Qiagen |
|------------|--|--------------|-----|---------|
| pCBMA213_3 | gca atg tgg tga tcc tga gt (F) aca aga agg gca tga gca (R) | hypothetical | 332 | Promega |

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122 **Results**

The experiments were performed using three donor strains, which presented different 123 plasmid profiles, as observed in previous in silico analyzes (Morgado and Vicente, 124 125 2020): Mycolicibacterium sp. CBMA213; bearing pCBMA213 1, pCBMA213 2, and pCBMA213 3; Mycolicibacterium sp. CBMA311, bearing pCBMA213 3; and 126 Mycolicibacterium sp. CBMA360, bearing pCBMA213 2 and pCBMA213 3. The 127 128 recipient strain was Mycolicibacterium sp. CBMA234, which is devoid of plasmids. 129 To validate these *in silico* plasmid predictions, the plasmid profiles of the strains were revealed by pulsed-field gel electrophoresis of non-digested DNA, which showed the 130 presence of different band profiles (plasmids) in the donor strains, and the absence of 131 bands in the recipient strain (Figure 1). 132

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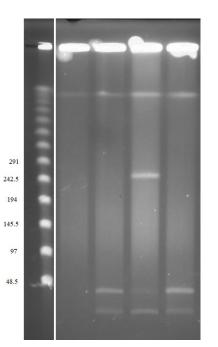




Figure 1. PFGE with undigested DNA from *M*. sp. CBMA234, *M*. sp. CBMA311, *M*. sp. CBMA213, *M*. sp. CBMA360. In the left column the size (kb) of the bands is shown.

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In addition to PFGE, we also showed the presence of the distinct plasmids in the donor strains by PCR targeting marker genes of each plasmid (Table 2). These marker genes were assigned to these plasmids based on the *in-silico* analyses (Morgado and Vicente, 2020). The plasmid pCBMA213_2 (~160 kb size) was not observed in the PFGE, however, the presence of this plasmid, and the others, in the donor strains was previously characterized by whole genome sequencing (Morgado and Vicente, 2020), and here by PCR (Table 2).

| Strains | pCBMA213_1 | pCBMA213_2 | pCBMA213_3 | Donor marker | Recipient marker |
|----------------|------------|------------|------------|--------------|------------------|
| M. sp. CBMA213 | + | + | + | + | - |
| M. sp. CBMA234 | - | - | - | - | + |
| M. sp. CBMA311 | - | - | + | + | - |
| M. sp. CBMA360 | - | + | + | + | - |
| Tc04 | - | - | + | - | + |
| Tc14 | - | - | + | - | + |
| Tc24 | + | - | + | - | + |

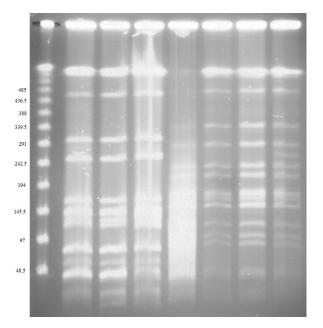
146 Table 2. PCR results for the marker genes of each strain

147 +, positive; -, negative.

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149 The mating experiments were performed on a solid medium using M. sp. CBMA311, M. sp. CBMA360, or M. sp. CBMA213 as donors, and M. sp. CBMA234 as the same 150 recipient. The putative transconjugants were selected in a solid medium supplemented 151 with 5 µg/ml of rifampicin. The plasmids pCBMA213 1, pCBMA213 2, and 152 pCBMA213 3 lack a suitable marker for the selection of eventual transconjugants. 153 However, the recipient and donor strains could be selected by their rifampicin 154 155 susceptibility, since the recipient strain is resistant to $\geq 5 \ \mu g/ml$ of rifampicin, while the donors do not grow at this concentration (data not shown). To check the success of 156 the conjugation, the transconjugant pools (Tc04, Tc14, and Tc24: transconjugants of 157 the recipient M. sp. CBMA234 with the donors M. sp. CBMA360, M. sp. CBMA311, 158 159 or M. sp. CBMA213, respectively) were submitted to PFGE of non-digested DNA 160 and PCR. As PFGE result, no bands corresponding to the plasmids were revealed. Conversely, a PCR assay, based on the plasmid marker genes, resulted in amplicons 161 of pCBMA213 3 plasmid (~21 kb) in Tc04, Tc14, and Tc24 pools; and 162 pCBMA213 1 plasmid (~274 kb) in Tc24 pool (Table 2). These amplicons were 163 further Sanger sequenced and confirmed as the target genes. In addition, to verify the 164 absence of the donor strain in the transconjugant pools, a PFGE with DraI restriction 165 enzyme digestion was performed. The wild donors and the recipient, as well as 166 transconjugants, had their PFGE pattern defined, and it was possible to observe that 167 the transconjugants share the same pattern with the recipient strain (Figure 2). 168 Furthermore, the transconjugant pools were submitted to PCR targeting marker genes 169 of the donor and recipient strains, revealing the presence of only the recipient strain 170 (Table 2). Altogether, these results showed that pCBMA213 1 and pCBMA213 3 171 were transferred by a conjugation-like mechanism between *Mycolicibacterium* strains. 172

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175 Figure 2. PFGE with DraI digested DNA from M. sp. CBMA360, M. sp. CBMA311, M. sp. CBMA213,

176 *M.* sp. CBMA234, Tc04, Tc14, and Tc24. In the left column the size (kb) of the bands is shown.

177

178 **Discussion**

To date, the experimental studies of HGT in the Mycobacteriaceae family involved 179 only organisms of few species. Among them, Mycolicibacterium smegmatis is the 180 model organism used in several conjugative experiments involving transfer of 181 recombinant (successful) and natural (unsuccessful) plasmids to/from other genera of 182 Mycobacteriaceae (Lazraq et al., 1990; Gormley and Davies, 1991; Wang et al., 2003; 183 Rabello et al., 2012; Leão et al., 2013; Ummels et al., 2014; Derbyshire and Gray, 184 2014). Here, we present experimental evidence of conjugation of naturally occurring 185 plasmids between wild Mycolicibacterium sp. strains, both as a recipient and as a 186 donor. Among the three plasmids tested, two of them (pCBMA213 1 and 187 pCBMA213 3) could be observed in the transconjugant strains, showing that 188 Mycolicibacterium can transfer plasmids with a wide variation in size (21 - 274 kb) in 189 a conjugation-like mechanism. In fact, the transfer efficiency appears to have been 190 191 low, as the transconjugants were only detected through molecular assays. In previous studies, in which donors and recipients were Mycobacterium strains, it was 192 demonstrated conjugation-like mechanisms with low and high transfer efficiency 193 (Rabello et al., 2012; Ummels et al., 2014; Shoulah et al., 2018). However, 194 195 conjugation experiments between Mycolicibacterium and other Mycobacteriaceae genera (Mycobacterium or Mycobacteroides) were not successful (Rabello et al., 2012; 196 Leão et al., 2013; Ummels et al., 2014), suggesting the existence of some species 197 198 barrier (Neil et al., 2021).

In a previous genomic analysis of the two successfully transferred plasmids (Morgado and Vicente, 2020), no classical conjugative gene was identified, such as *vir*B4, *vir*D4, and relaxases (Smillie et al., 2010). Moreover, sequences associated with known plasmid origin of transfer (oriT) were not identified (Morgado and Vicente, 2020), which would define them as non-mobilizable plasmids (Smillie et al., 2010). In *the*

Mycobacterium genus, a conjugative plasmid was shown to require both type IV and 204 205 type VII secretion systems (ESX-2) (Ummels et al., 2014). Curiously, pCBMA213 1 harbors a distinct T7SS (ESX-3), but it lacks type IV secretion system genes 206 207 (Morgado and Vicente, 2020). Although pCBMA213 1 and pCBMA213 3 plasmids were characterized as non-mobilizable plasmids, they were transferred, so it is likely 208 that they were transferred in trans by other elements through unknown oriT sites or 209 210 that they have an as-yet-undescribed conjugation mechanism. Thus, pCBMA213 2 could act as a helper plasmid, mobilizing other plasmids (Guédon et al., 2017), since 211 this plasmid carries the set of genes associated with conjugation (Morgado and 212 Vicente, 2020). Indeed, the E. coli plasmids transferred to M. smegmatis were 213 supported by helper plasmids (Lazraq et al., 1990; Gormley and Davies, 1991). 214 Another alternative could involve the distributive conjugal transfer mechanism, which 215 involves the ESX-1 and ESX-4 secretion systems, and it has been associated with 216 mycobacterial conjugation of unlinked chromosomal fragments (Wang et al., 2003; 217 Gray and Derbyshire, 2018). Interestingly, here, the chromosome of the donor strains 218 carried ESX-4, while the chromosome of the recipient strain had both ESX-1 and 219 220 ESX-4.

In conclusion, these findings provide new evidence for conjugative transfer of naturally occurring plasmids in the *Mycolicibacterium* genus, as other transfer mechanisms, such as transformation, seem unlikely to have occurred due to the sizes of transferred plasmids. These evidence are clues that can be further explored in this diverse and important family of bacteria.

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