# VOCAL TRACT ALLOMETRY IN A MAMMALIAN VOCAL LEARNER

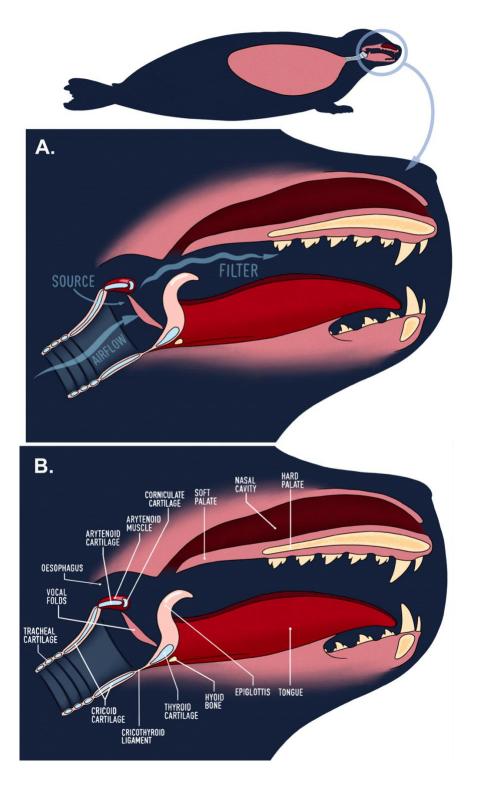
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3	Koen de Reus <sup>1,2,3*#</sup> , Daryll Carlson <sup>3,4*</sup> , Alice Lowry <sup>3,5</sup> , Stephanie Gross <sup>6</sup> , Maxime Garcia <sup>7,8</sup> , Ana
4	Rubio-Garcia <sup>3</sup> , Anna Salazar-Casals <sup>3</sup> , Andrea Ravignani <sup>1,3#</sup>
5 6 7	<sup>1</sup> Comparative Bioacoustics Group, Max Planck Institute for Psycholinguistics, Nijmegen, the Netherlands <sup>2</sup> Artificial Intelligence Lab, Vrije Universiteit Brussel, Brussels, Belgium
8	<sup>3</sup> Research Department, Sealcentre Pieterburen, Pieterburen, the Netherlands
9	<sup>4</sup> Department of Earth System Science, Stanford University, Stanford, USA
10 11	<sup>5</sup> School of Environmental Sciences, University of Liverpool, Liverpool, United Kingdom <sup>6</sup> Institute for Terrestrial and Aquatic Wildlife Research (ITAW), University of
12	Veterinary Medicine Hannover, Foundation, Büsum, Germany
13 14	<sup>7</sup> Animal Behaviour, Department of Evolutionary Biology and Environmental Studies, University of Zurich, Zürich, Switzerland
15	<sup>8</sup> Center for the Interdisciplinary Study of Language Evolution, University of Zurich, Zürich, Switzerland
16 17	* These authors share joint first authorship.
18	<sup>#</sup> Corresponding Authors: kdereus95@gmail.com; andrea.ravignani@mpi.nl
19	
20	Abstract
21	Acoustic allometry occurs when features of animal vocalisations can be predicted from body size
22	measurements. Despite this being considered the norm, allometry sometimes breaks, resulting in species
23	sounding smaller or larger than expected. A recent hypothesis suggests that allometry-breaking animals
24	cluster into two groups: those with anatomical adaptations to their vocal tracts and those capable of
25	learning new sounds (vocal learners). Here we test this hypothesis by probing vocal tract allometry in a
26	proven mammalian vocal learner, the harbour seal (Phoca vitulina). We test whether vocal tract structures
27	and body size scale allometrically in 68 individuals. We find that both body length and body weight
28	accurately predict vocal tract length and one tracheal dimension. Independently, body length predicts
29	vocal fold length while body weight predicts a second tracheal dimension. All vocal tract measures are
30	larger in weaners than in pups and some structures are sexually dimorphic within age classes. We
31	conclude that harbour seals do comply with allometric constraints, lending support to our hypothesis.
32	However, allometry between body size and vocal fold length seems to emerge after puppyhood,
33	suggesting that ontogeny may modulate the anatomy-learning distinction previously hypothesised as
34	clear-cut. Species capable of producing non-allometric signals while their vocal tract scales
35	allometrically, like seals, may then use non-morphological allometry-breaking mechanisms. We suggest
36	that seals, and potentially other vocal learning mammals, may achieve allometry-breaking through
37	developed neural control over their vocal organs.
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39	Key words: pinniped, harbour seal, vocal anatomy, acoustic allometry, trachea, larynx, vocal tract
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#### 42 Introduction

- 43 In many species, acoustic signals help mediate social interactions among individuals such as competition 44 for mates and territory, and parent-offspring recognition (Bradbury & Vehrencamp, 1998; Martin, Tucker, 45 & Rogers, 2017). Signals can encode information about the caller's biology which can be readily 46 deciphered by the receiver, including age (Reby & McComb, 2003; Charlton, Zhihe, & Snyder, 2009), 47 sex (Vignal & Kelley, 2007; Charlton et al., 2009), body size (Fitch, 1997; Charlton et al., 2009; Charlton 48 et al., 2011, Garcia, Wondrak, Huber, & Fitch, 2016), hormone levels (Koren & Geffen, 2009), and 49 physical condition (Wyman, Mooring, McCowan, Penedo, & Hart, 2008; Koren & Geffen, 2009). 50 51 In particular, body size often shapes mammalian sounds by constraining the geometry of the vocal tract 52 (Fitch, 2000; Reby & McComb, 2003). Acoustic cues relating to the body size of the caller can inform the 53 receiver about the caller's competitive ability and reproductive success (Poole, 1999; Reby & McComb, 54 2003; Kuester, Paul, & Arnemann, 1995; Pfefferle & Fischer, 2006). For example, in primates and 55 carnivores, there is an inverse relationship between body size and the call frequency parameters, where 56 larger animals produce calls with lower frequencies, i.e., have a 'deeper' voice (Bowling et al., 2017). 57 This relationship between acoustical call features and their accurate reflection of body size is known as 58 'acoustic allometry' (Taylor & Reby, 2010; Fitch, 1997). Here, signalling is considered honest when the 59 acoustic parameters of observed vocalisations accurately reflect an individual's body size (Fitch & 60 Hauser, 2003). Deviations from allometry can generate *dishonest* signals, with animals sounding 61 unexpectedly small or large for their body size (Garcia & Ravignani, 2020). Dishonest signals may be 62 produced when an animal has a non-allometric vocal tract, or enhanced control over their vocal organs 63 which allows them to learn or modify existing vocalisations: an ability known as 'vocal learning'. Recent 64 cross-species work indeed showed that, given a cross-species regression between sounds produced and 65 body size, outlier species seem to cluster either on one side of the line – those with anatomical adaptations 66 - or on the other – the vocal learners. This led to a morphology vs. learning hypothesis (Garcia & Ravignani, 2020; Ravignani & Garcia, 2021): dishonest signals in mammals may arise either from 67 68 anatomical adaptations or vocal learning capacities. This prediction has the potential to identify new vocal 69 learners or species with unexpected vocal tract morphology. Vocal learners should therefore violate 70 acoustic allometry while possessing an allometric vocal tract. For the first time, we test this prediction, 71 asking whether vocal tract allometry or a deviation thereof occurs in a vocal learning species which is 72 known to violate acoustic allometry. We do this in harbour seals, a pinniped rapidly becoming a model 73 species for studying mammalian vocal learning.
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75 Pinnipeds are a group of semi-aquatic mammals, with many species exhibiting marked vocal flexibility 76 (Bowen, 1991; Ravignani et al., 2016). Harbour seals are among the few pinnipeds showing vocal 77 learning abilities (Bjørge, Desportes, Waring, & Rosing-Asvid, 2010; Ralls, Fiorelli, & Gish, 1985; 78 Borda, Jadoul, Rasilo, Salazar-Casals, & Ravignani, in press). They are particularly vocal during the first 79 few weeks following birth (Perry & Renouf, 1988). Harbour seal pups produce individually distinctive 80 mother attraction calls (Renouf, 1984) which vary with age, sex, and body length (Khan, Markowitz, & 81 McCowan, 2006; Sauvé, Beauplet, Hammill, & Charrier, 2015). After weaning, however, these calls 82 disappear entirely from their vocal repertoire, with most vocalisations ceasing aside from occasional 83 clicks and growls (Renouf, 1984). During adulthood, female harbour seals remain almost entirely vocally 84 inactive (Van Parijs & Kovacs, 2002), but males start vocalising again, producing underwater calls during 85 the mating season (Hanggi & Schusterman, 1994). The large variation in vocal repertoire observed across 86 individuals, sexes, and age classes makes harbour seals ideal candidates to test the morphology vs. 87 learning hypothesis, i.e., whether a vocal learning mammal does indeed escape acoustic allometry via learning instead of via anatomical adaptations. 88 89 90 Most mammalian vocalizations are described via the source-filter theory of vocal production. Within this 91 framework, vocal signals are initially produced by a *source* and are then *filtered* by the vocal tract before

92 being released into the environment (Fant, 1970). In mammals, the source of sound production is the 93 larynx, and the filter is composed of the cavities making up the supralaryngeal vocal tract (Fant, 1970) 94 (see Figure 1A). The vocal folds in the larynx are shelves of tissue lying across the airway that attach 95 ventrally and laterally to the thyroid cartilage and dorsally to the arytenoid cartilage (see Figure 1B). 96 When vocalising, the air expelled from the lungs rushes between the vocal folds, causing vibration of 97 their edges and producing sound (Reidenberg & Laitman, 2010). The sound then continues to propagate 98 along the supralaryngeal vocal tract, being filtered by its geometry, and is finally released as vocalisation. 99 The source-filter framework highlights which vocal tract structures determine specific features present in 100 acoustic signals. The rate of vibration of the vocal folds determines the fundamental frequency (F0) and 101 the cavities of the vocal tract determine formant frequencies (Taylor & Reby, 2010). Measurements of 102 these vocal tract structures can thus be used to estimate the acoustic features of vocalisations.





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**Figure 1.** Vocal anatomy of the harbour seal. Panel A illustrates the source-filter theory of sound production. Panel B shows the main anatomical structures making up the vocal tract.

110	Bioacoustics studies often investigate allometric relationships between acoustic signal features and body
111	size, without consideration of the underlying allometric scaling between body size and vocal anatomy.
112	Mammals often show allometry between body size and upper vocal tract length because the upper vocal
113	tract is constrained by bony structures (Fitch, 1997; Fitch & Giedd, 1999; Fitch, 2000; Plotsky, Rendall,
114	Riede, & Chase, 2013; Garcia et al., 2016). However, allometry between body size and the size of the
115	vocal folds is less common: the larynx is surrounded by cartilaginous structures and is thus less
116	constrained, suggesting that vocal fold length can be decoupled from overall body size, as found in
117	nonhuman primates (Fitch & Hauser, 1995; Fitch, 1997; Garcia, Herbst, Bowling, Dunn, & Fitch, 2017).
118	In mammals, formants, the acoustic proxy of vocal tract length, are thus a stronger body size predictor
119	than F0, the acoustic proxy of vocal fold length (Fitch, 1997; Garcia et al., 2016). These findings indicate
120	that, if animals do not have particular anatomical adaptations, their upper vocal tract should scale with
121	body size, but their vocal folds should not.
122	
123	Within the larger framework of the hypothesis above, this study tests for allometric relationships between
124	body size and vocal anatomy measurements in young harbour seals and tests how these relationships vary
125	with sex and age. Preliminary work found that harbour seals' body length correlates with upper vocal
126	tract length and tracheal diameter, but not with vocal fold length (Ravignani et al., 2017). Here, we aim to
127	expand on these findings by using a larger sample size (353% increase), adding refined anatomical
128	measurements, and comparing different age classes (to test for developmental effects). Based on previous
129	literature, we expect to find allometry between body size and vocal tract structures that are surrounded -
130	and hence constrained - by bony structures, such as vocal tract length. However, based on harbour seals'
131	vocal learning abilities (Janik & Slater, 1997), we expect their vocal flexibility to offer favourable
132	grounds to find deviations from body size allometry for vocal tract components surrounded by cartilage,
133	such as the trachea and vocal fold length.
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# 137 <u>Results</u>

- 138 Inter-rater reliability for VTL, VFL, VFT, and both STDVs was evaluated using Pearson correlations.
- 139 VTL (r = 0.94), VFL (r = 0.88), STDV1 (r = 0.97) and STDV2 (r = 0.93) showed high inter-rater
- 140 reliability. VFT (r = 0.59) showed lower inter-rater reliability and was consequently excluded from
- 141 further analysis. All correlations were significant at p < 0.001.
- 142
- 143 All Spearman correlations between body size and vocal anatomy measurements showed positive
- relationships and significance at the 0.05 level (see Table 1). There were high correlations between
- 145 weight and length ( $r_s = 0.70$ ), and between weight and girth ( $r_s = 0.86$ ). Other notable correlations
- 146 included those between VTL and VFL ( $r_s = 0.72$ ), VTL and STDV1 ( $r_s = 0.70$ ), VFL and STDV1 ( $r_s = 0.72$ )
- 147 0.82), VFL and STDV2 ( $r_s = 0.76$ ). Spearman correlations for pups and weaners can be found in Tables
- 148 2-3 of the Supplement.
- 149
- 150 Table 1
- 151 Pairwise Spearman correlations
- 152

Variable	Length (cm)	Weight (kg)	Girth (cm)	VTL (mm)	VFL (mm)	VFT (mm)	STDV1 (mm)
Weight (kg)	0.70						
Girth (cm)	0.53	0.86					
VTL (mm)	0.62	0.69	0.63				
VFL (mm)	0.73	0.79	0.65	0.72			
VFT (mm)	0.44	0.67	0.68	0.52	0.60		
STDV1 (mm)	0.63	0.78	0.65	0.70	0.82	0.69	
STDV2 (mm)	0.58	0.72	0.62	0.67	0.76	0.60	0.81

153

154 *Note.* All correlations were significant at p < 0.05 after correcting for multiple comparisons using the

155 Holm-Bonferroni method.

157 All anatomical measurements were non-normally distributed but showed equal variances across age and 158 sex groups. A Mann Whitney U-test was used to test for group differences in both variables as only the 159 assumption for homogeneity of variance was satisfied. All anatomical measurements were significantly 160 larger in weaners than in pups (p < 0.001; see Table 2 and Figure 3). No significant sex differences were 161 found when considering pups and weaters together (p > 0.05). When considering pups alone, both the 162 normality and homoscedasticity assumptions were met. A two-tailed independent samples t-test found 163 significant sex differences for vocal tract length (t = -3.416, p < 0.05; see Figure 4A). Male pups (86.03) 164 mm  $\pm$  2.92) had a larger mean VTL than females (79.80 mm  $\pm$  3.68). When considering weapers alone, 165 variables showed non-normal distribution, but equal variances. A series of Mann Whitney U-tests found 166 that only the first subglottic-tracheal dorsoventral distance was significantly different across sexes (U =167 218, p < 0.05; see Figure 4B). Weaned males (25.12 mm ± 1.50) had a wider mean STDV1 compared to 168 weaned females (24.22 mm  $\pm$  1.24). 169

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- **170** Table 2
- 171 Means and standard deviations
- 172

	A	11	Pu	ps	Weaners	
Variable	Mean	SD	Mean	SD	Mean	SD
Length (cm)	88.07	8.03	79.86	4.79	90.19	7.32
Weight (kg)	14.53	3.92	9.81	1.46	15.75	3.39
Girth (cm)	57.55	9.62	46.54	5.66	60.41	8.30
VTL (mm)	91.43	6.77	83.36	4.48	93.53	5.60
VFL (mm)	10.92	1.16	9.26	0.90	11.35	0.77
VFT (mm)	5.15	0.61	4.50	0.43	5.35	0.51
STDV1 (mm)	23.97	1.95	21.35	1.37	24.65	1.43
STDV2 (mm)	17.43	1.82	15.17	1.04	18.02	1.49

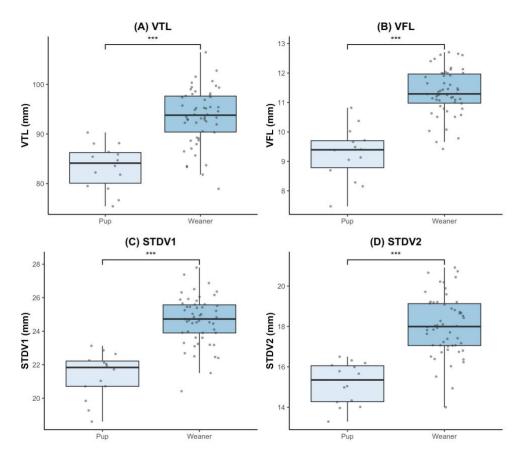


Figure 3. Boxplots illustrating the significant age differences between pups and weaners for (A) VTL,
(B) VFL, (C) STDV1, and (D) STDV2. The level of significance is denoted by asterisks, where \*\*\* =
0.001.

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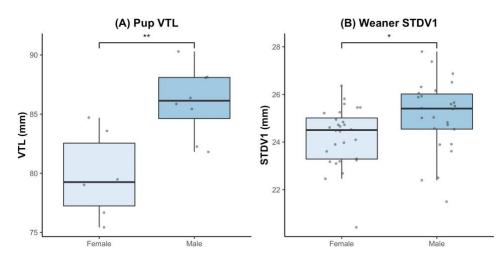




Figure 4. Boxplots illustrating the significant sex differences for (A) VTL in pups and (B) STDV1 in weaners. The level of significance is denoted by asterisks, where \* = 0.05 and \*\* = 0.01.

# 183

184	A reduced GLM, obtained by stepwise regression based on AIC values, was produced for every vocal
185	tract measurement with high inter-rater reliability, including VTL, VFL, STDV1 and STDV2. All VIF
186	scores were lower than 5 suggesting that multicollinearity was not problematic in the selected models. All
187	model assumptions were satisfied. Moreover, ANOVA testing indicated that the reduced models did not
188	perform significantly worse than the full models ( $p > 0.90$ ). GLM results showed that most vocal tract
189	dimensions were best explained by length, weight, age, and sex (see Table 3). Girth was not retained as a
190	predictor term in any of the selected models. For each model, the predictor estimates with their
191	confidence intervals can be found in Tables 4-7 of the Supplement and plots of the predicted effects can
192	be found in Figures 1-5 of the same document. Significant interaction effects are shown in Figure 5.
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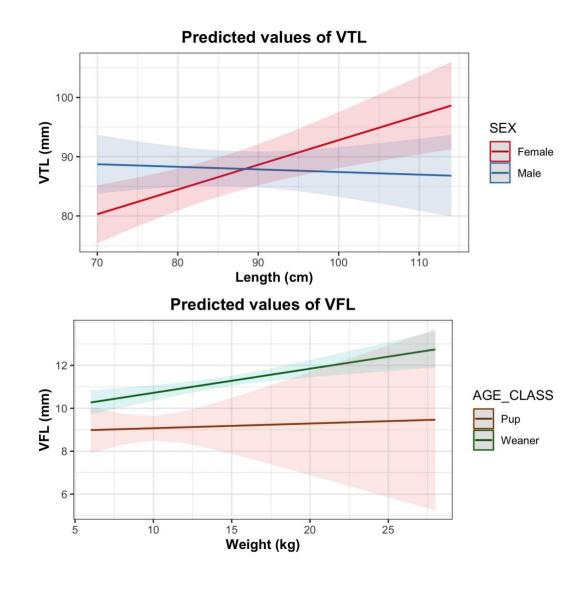
**194** Table 3

	5		
-	Measurement	Selected model	Deviance explained (%)
-	VTL	$\underline{L} + \underline{W} + \underline{A} + \underline{S} + \underline{L*S}$	59.30
	VFL	$\underline{L} + W + A + \underline{S} + A^*W + \underline{A^*S}$	74.89
	STDV1	$\underline{L} + \underline{W} + \underline{A} + \underline{S}$	69.99
	STDV2	$L+\underline{W}+\underline{A}+S$	58.38

# 195 Selected models for each vocal tract structure

196 *Note.* Models included the predictors length (L), weight (W), age class (A) and sex (S). Predictor terms

197 joined by an asterisk denote an interaction effect. Significant predictor terms are shown as underlined.



199 200

Figure 5. Predicted effects of the body length and sex interaction for VTL including both age classes
 (top), and the weight and age interaction for VFL including both sexes (bottom). The shading around each
 line of best fit indicates the 95% confidence interval.

204

# 205 Discussion

206 This study reports on the allometric relationships between body size and vocal tract dimensions in

- 207 harbour seals. It shows that body length accurately predicts VTL, VFL, and STDV1, and body weight
- 208 predicts VTL and both tracheal measurements (STDVs). We also find age and sex to be important
- 209 predictors for the size of vocal tract structures. This is evidenced by significant differences in
- 210 measurements between age classes and significant sexual differences within age classes.
- 211

212 Previous work showed that upper vocal tract (i.e., filter) dimensions in mammals are predicted by body 213 size measurements (Fitch, 1997; Fitch & Giedd, 1999; Fitch, 2000; Plotsky, Rendall, Riede, & Chase, 214 2013; Garcia et al., 2016, Ravignani et al., 2017) and our results provide additional evidence to support 215 such allometry. Although most studies have used body length as a proxy for body size, we find that body 216 weight can also be used to predict VTL in harbour seals. In the first years of life, harbour seals show a 217 linear growth rate for both length (Haukkson, 2006) and weight (Markussen, Bjørge, & Øritsland, 1989), 218 suggesting that VTL may develop in a similar fashion during this period. Acoustic proxies for the filter 219 could thus provide a good estimation of a harbour seal's size. In mammals, formant frequencies and 220 formant spacing can be predicted from VTL and vice versa (see Reby & McComb, 2003). Other acoustic 221 proxies include energy quartiles, the frequency of amplitude peaks, and the ratios between these 222 amplitudes (Sauvé et al., 2015). These parameters also encode individual signatures, suggesting that 223 acoustic individuality may partially be an allometric by-product (Ravignani et al., 2017). Harbour seals 224 have the vocal tract predispositions to produce vocalisations that accurately reflect body size whilst also 225 sharing individual-specific information, suggesting that learning does not need to be invoked to explain 226 individuality.

227

228 Across mammals, source-related features such as F0 can sometimes predict body size despite showing 229 weaker allometric scaling than filter-related features (Reby & McComb, 2003; Charlton et al., 2011; 230 Pfefferle et al., 2007; Charlton & Reby, 2016); it was unclear whether this holds for harbour seals 231 (Ravignani et al., 2017; Bowling et al., 2017). Our findings indicate that vocal fold length, which may be 232 used to approximate F0, can be predicted by body size in harbour seals. Moreover, Sauvé and colleagues 233 (2015) reported a decrease in F0 with an increase in body length of harbour seal pups. Taken together, 234 this suggests that a harbour seal's F0 can be predicted from vocal anatomy. Previous evidence against 235 allometric scaling for VFL could be explained by low statistical power or lack of testing for age effects on 236 vocal tract measurements (Ravignani et al., 2017). It is indeed notable that age is included in both 237 interactions which were retained in the selected VFL model. Our results, including both pups and 238 weaners, show that allometric scaling between body size and VFL only emerges after weaning, 239 suggesting that VFL may not be constrained in harbour seal pups (see bottom panel of Figure 5). This 240 begs the following question: how would escaping acoustic allometry for source-related features be 241 beneficial for pups? Broadcasting honest body size information may be detrimental for harbour seal pups 242 as they are significantly more likely to be displaced by larger conspecifics during agonistic interactions 243 (Neumann, 1999). However, pups may be able to benefit from lowering the F0 (Borda et al., in press) of 244 their calls to create an impression of size exaggeration. On the other hand, pups may also benefit from

increasing the F0 of their calls to create an impression of distress to the mother (Briefer, 2012). Futureplayback studies could contrast these hypotheses.

247

248 Several phocid species use the trachea for sound production (Bryden & Felts, 1974), but this could be a 249 by-product of adaptive modifications to the respiratory tract required for diving (Kooyman & Andersen, 250 1969; Tyack & Miller, 2002). Our results support the correlation between tracheal diameter and body 251 length found by Ravignani and colleagues (2017), but also provide evidence that tracheal dimensions can 252 be predicted by body weight. Previous literature found that the trachea may potentially convey body size 253 information if its size influences acoustic call features (Ravignani et al., 2017). In humans, a wider 254 tracheal diameter partially predicts turbulence (i.e., unsteady air movements) for large airflows (Van den 255 Berg, Zantema, & Doornenbal, 1957). Applying the same logic to other mammals, larger seals would 256 have wider tracheal dimensions which, in turn, would make vocalisations noisier. This could explain, for 257 instance, why the signal-to-noise ratio decreases as harbour seals get older (de Reus, 2017; de Reus, 258 Jadoul, & Ravignani, in prep.). Future work on sound production in this species could test this prediction 259 using sound-anatomy correlations and excised larynx set-ups. Moreover, playback experiments could test 260 whether adding noise to vocalisations alters interactive behaviour to determine if signal-to-noise ratio 261 may encode body size information. Understanding whether the trachea is actively involved in sound 262 production will thus require further research.

263

As expected, all anatomical measurements are larger for weaners than they are for pups. In Ravignani and colleagues (2017), animals up to 108 days old were classified as pups. However, in the wild, the lactation period for harbour seals ranges from 23 to 42 days, after which the pups are weaned (Renouf, 2012). Hence, for the sake of simplicity, we consider animals up to one month old as pups and animals older than one month as weaners. Thanks to this categorical classification, we were able to identify how allometric trends develop over the harbour seal's early life. Future research including larynges from subadults and adults will further extend our knowledge of how vocal allometry develops in harbour seals.

There were no sexual differences when considering the sample size as a whole, but significant sexual differences existed within age classes. These differences could be attributed to differing levels of steroid hormones acting on the laryngeal structures in males and females (Aufdemorte, Sheridan, & Holt, 1983; Sauvé et al., 2015). In some mammals, sex hormones affect the structural development of the larynx and the viscoelastic properties of the vocal fold tissue (Fitch & Giedd, 1999; Beckford, Schaid, Rood, & Schanbacher, 1985). At puberty, the male larynx descends in the vocal tract causing an elongation of the length of the upper vocal tract, allowing males to convey an exaggerated impression of size (Fitch &

279 Giedd, 1999; Fitch & Reby, 2001). In harbour seal pups, males have larger VTLs than females,

suggesting that laryngeal descent in males possibly occurs early in life. Once weaned, however, females
show a clear increase in VTL whereas it remains relatively constant in males (see top panel of Figure 5),

suggesting that VTL differences across sexes may become less pronounced over time. In mammalian

283 males, sex hormone action also causes a rapid increase in cartilage size leading to an enlarged larynx and

an increase in the vibrating portion of the vocal folds (Fitch & Hauser, 2003). This could explain why, in

weaners, STDV1 is larger in males than in females. Nevertheless, these findings are somewhat surprisingas young harbour seals normally show little sexual dimorphism (Le Boeuf, 1991). In particular, there is a

as young harbour seals normally show little sexual dimorphism (Le Boeuf, 1991). In particular, there is alack of evidence for sexual differences regarding birth mass and growth rates among harbour seal pups

288 (Bowen, Oftedal, Boness, & Iverson, 1994). In our sample, there are no significant body size differences

between sexes (p > 0.05), but male pups are slightly larger than female pups in both body length (M =

290 81.63 cm  $\pm$  4.44, F = 77.50 cm  $\pm$  4.51) and weight (M = 9.82 kg  $\pm$  1.49, F = 9.78 kg  $\pm$  1.55). It is

291 important to note that the sampled animals were sick and/or in poor condition; hence weight values are 292 not representative of healthy individuals and should be interpreted with caution. In short, based on these 293 observed differences in vocal anatomy across sexes, formants are expected to differ in pups and signal-to-294 noise ratio is expected to differ in weaners. The anatomical structures that determine these acoustic 295 features both show strong allometric scaling, hence these parameters may provide distinct body size cues 296 across age classes, potentially facilitating the discrimination of male and female conspecific calls. Sexual 297 selection could thus play a role in driving size differences in VTL and STDV1, but it is yet unknown if 298 these differences are maintained throughout developmental life stages. Future research should aim to 299 investigate the elastic properties of harbour seal laryngeal tissues and to understand how sex hormones 300 affect these properties. Hormone levels can be measured by taking blood samples from healthy male and 301 female seals at different developmental stages, and results can be combined with magnetic resonance 302 imaging (MRI) mapping of laryngeal tissue elasticity.

303

304 The high inter-rater reliability observed for VTL, VFL and both STDVs demonstrates that these quantities 305 can be measured and replicated easily, making them reliable landmarks for vocal tract measurements. 306 However, tissue properties such as the viscoelasticity of certain vocal tract structures, like the vocal folds, 307 are significant obstacles to getting accurate measurements. Indeed, raters struggled to produce precise 308 data for VFT. Future research in the field of pinniped vocal anatomy would benefit from improved 309 measuring techniques using 2D pictures, radiography, MRI and computed tomography (CT) scans as this 310 would enable more accurate measurements for structures that are difficult to handle. Finally, future 311 similar studies should include measurements of another vocal tract structure: the corniculate cartilage.

312 Although widely absent in terrestrial carnivores, harbour seals have rather large corniculate cartilage that

help close the trachea together with the epiglottis (Adams et al., 2020). These cartilages are located close to the vocal folds and are possibly innervated by the same nerves and controlled by the same muscles. It may be possible that these cartilages play a role in sound production by, for example, lowering the F0 by adding weight to the vocal folds. Taken together, these suggestions will provide a more precise and

- 317 detailed picture of the harbour seal's vocal anatomy.
- 318

319 Observed species-specific vocalisations are determined by both the species' vocal anatomy and their 320 capacity for vocal learning (Garcia & Ravignani 2020; Ravignani & Garcia 2021). The vocal anatomy 321 generates vocal predispositions by imposing biomechanical constraints, whereas neural processes 322 determine the degree of control species have over their vocal organs (Garcia & Manser, 2020). 323 Particularly, vocal learners, like the harbour seal, are capable of actively modulating sounds, suggesting 324 that they are less constrained by anatomy and have a refined capacity for vocal motor control. 325 Unfortunately, the relative contribution of both sound production mechanisms is unclear. Here, we 326 provide support for a hypothesis trying to segregate anatomical vs. learning mechanisms (Garcia & 327 Ravignani, 2020; Ravignani & Garcia 2021). We find that harbour seals are mechanistically constrained 328 by their vocal anatomy, and their large vocal flexibility (Ralls et al., 1985; Borda et al., in press) thus 329 points towards extensive volitional control over their vocalisations. As shown here, by testing for 330 allometric relationships between body size and vocal tract structures, one can start to disentangle the

- respective contributions of vocal anatomy and vocal motor control in shaping acoustic signals.
- 332

### 333 Conclusion

334 In sum, we provide evidence of allometry between body size and vocal tract measurements in harbour 335 seals. Body length is a strong predictor for VTL, VFL, and STDV1, and body weight is a strong predictor 336 for VTL and both tracheal measurements (STDVs). Age and sex are also important in predicting the 337 dimensions of these anatomical structures. Taken together, the combined findings demonstrate that 338 harbour seal vocal tracts do indeed scale with body size, although allometry between VTL and body size 339 may only emerge after weaning. Inferences, based on their vocal tract or body size, can now be made 340 about the vocal predispositions of harbour seals (e.g., F0, formants, signal-to-noise ratio). Comparing 341 these predictions to data obtained from observed natural vocalisations will shed light on the range of 342 vocal flexibility resulting from their extensive vocal motor control. Moreover, connecting harbour seals' 343 vocal anatomy measurements to the vocalisations they produce while alive is a critical next step to 344 directly relate acoustic features to sound production structures. Integrating such results with investigations 345 of call function will eventually inform on which vocal structures are responsible for generating the 346 individual- and species-specific information encoded in harbour seals' vocalisations.

347

#### 348 Methods

349 Sample collection

Larynges were collected during necropsies on 68 harbour seals (35 males). 52 samples came from seals that stranded on the Dutch coastline, the rest from animals found on the German coastline (Schleswig-

Holstein). Forty-two animals died in captivity at Sealcentre Pieterburen, Pieterburen, the Netherlands,

353 either naturally during rehabilitation or by means of euthanasia, and 26 animals died in the wild, either

aturally or by means of mercy killing (see Table 1 of the Supplement). No animals were harmed for the

- 355 purpose of this study.
- 356

357 At the time of death, the seals studied were aged between 9 days and 12 months (median 6 months). The 358 age of new-born individuals was estimated in number of days by expert seal veterinarians based on the 359 condition of the umbilical cord or the umbilicus. Older individuals with a closed umbilicus were assigned 360 June as their birth month, which is consistent with the majority of harbour seal births in the Wadden Sea 361 (Osinga, Nussbaum, Brakefield, & de Haes, 2012; Reijnders, Brasseur, & Meesters, 2010). Animals aged 362 1 month or younger were classified as pups, while those between 1 and 12 months in age were classified 363 as weaners, making age a binary variable. Of the 68 individuals included in this study, 14 (8 males) were 364 classified as pups and 54 (26 males) were classified as weaners. A Fisher's exact test showed no significant associations between age and sex ( $\chi^2 = 0.7652$ , p > .05), suggesting our sample is balanced 365 366 between sexes and ages.

367

368 Sample treatment and measurements

369 Post-mortem examinations were performed by trained veterinarians. Dutch seals were examined at

370 Sealcentre Pieterburen and German seals were necropsied at the Institute for Terrestrial and Aquatic

371 Wildlife Research (ITAW), Büsum, Germany. Necropsies were performed on either cooled or defrosted

372 carcasses. Body weight, body length and axillary girth were all measured prior to the start of the

area necropsy. Body length was measured from the tip of the nose to the end of the tail in a non-curvilinear

374 fashion, while the animal was in supine position, and axillary girth was measured as the body

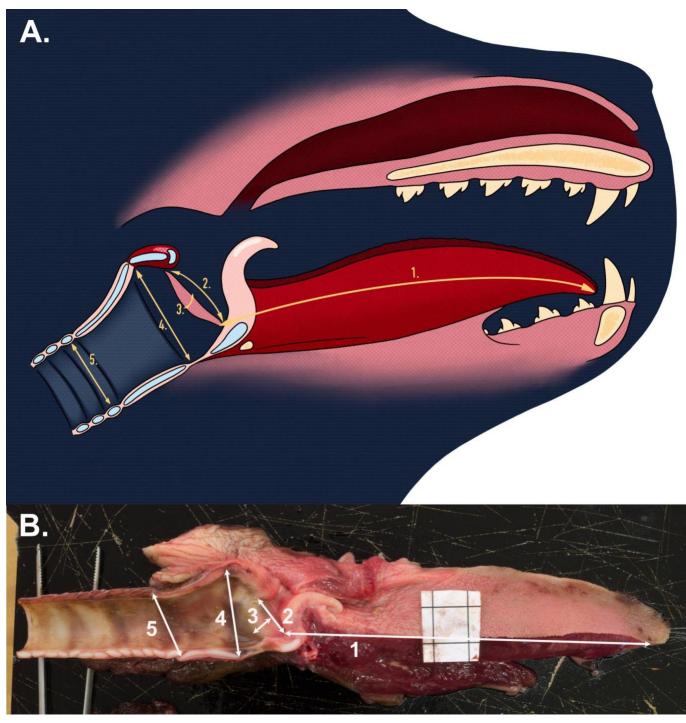
375 circumference directly caudal to the front flippers. The vocal apparatus including the upper vocal tract,

the larynx, and part of the trachea was then removed and immediately frozen at -20°C. All samples were

in a similar condition (i.e., none presented signs of decomposition), comparable to pinniped vocal tracts in

378 Schneider (1962) and Ravignani and colleagues (2017).

380 Prior to measurement, samples were thawed in a refrigerator at 8°C and each larynx was cut medially to 381 produce two hemi-vocal tracts. The measurements taken on these hemi-vocal tracts include vocal tract 382 length (VTL), vocal fold length (VFL), vocal fold thickness (VFT), and tracheal measurements in the 383 form of subglottic-tracheal dorsoventral distances (STDVs) (called subglottic-tracheal anterior-posterior 384 distance, STAP, in Roers, Mürbe, & Sundberg, 2009) using a calliper to an accuracy of  $\pm 0.01$  mm (see 385 Figure 2). Although the vocal tract can be divided into lower (below larynx) and upper (above larynx) 386 sections, formants (the resonant frequencies which often encode information about body size) are only 387 determined by the upper vocal tract (Lester & LaGasse, 2008). VTL will henceforth refer to the length of 388 the upper vocal tract. VTL was measured as the linear distance from the caudal end of the epiglottis to the 389 rostral end of the tongue muscle while the tongue was kept straight. VFL was measured as the distance 390 from the ventral attachment of the vocal fold on the thyroid cartilage to the dorsal attachment of the vocal 391 fold on the arytenoid cartilage. VFT was measured as the distance between the anterior and posterior 392 sides of the vocal folds. The first STDV was measured as the distance between the cricothyroid ligament 393 and the caudal end of the arytenoid. The second STDV was measured as the diameter of the first tracheal 394 ring. All measurements were performed independently by two researchers. For both researchers, VTL, 395 VFL, and VFT were measured twice for each hemi-vocal tract, and STDVs were taken once for each 396 hemi-larynx because the start and end measuring points were composed of cartilage (as opposed to soft 397 tissue) and hence, we assumed that the inter-rater reliability for STDVs would be higher than for other 398 measurements.





401 Figure 2. Images depicting the measurements taken using (A) a digital rendering of the vocal tract and
402 (B) one hemi-larynx of a harbour seal pup. The vocal tract measurements taken include (1) vocal tract
403 length (VTL), (2) vocal fold length (VFL), (3) vocal fold thickness (VFT), (4) subglottic-tracheal
404 dorsoventral distance 1 (STDV1), and (5) subglottic-tracheal dorsoventral distance 2 (STDV2). In panel
405 B, the black square outlined on the white paper serves as reference and is exactly 1 cm<sup>2</sup>.
406

#### 407 Statistical analysis

408 Statistical analyses were performed in RStudio version 1.1.463 (R version 4.0.4). First, for both raters, the 409 medians for VTL, VFL and VFT were computed from all values reported for every right and left hemi-410 larynx. Second, using the medians from the first step, the median values for all measurements including 411 STDV1 and STDV2 were computed for each larynx. This provided, for each larynx and rater, five 412 measurements: VTL, VFL, VFT, STDV1 and STDV2. The inter-rater reliability for VTL, VFL, VFT, 413 STDV1 and STDV2 was evaluated using Pearson's correlations. Finally, the overall median values 414 between raters were computed for all measurements. Using these new values, Spearman's correlations 415 between body size and vocal anatomy measurements were then calculated (see Table 1). For each 416 measurement, normality was assessed using the Shapiro-Wilk test and homogeneity of variance was 417 assessed using an F-test. If both assumptions were met, a two-tailed independent samples t-test was 418 computed to check for age and sex differences. When variables were not normally distributed, but 419 samples had equal variance, a Mann Whitney U-test was performed to assess group differences instead. 420 421 Predictive modelling was done using generalised linear models (GLMs) with the stats package (R Core 422 Team, 2013). A series of models were produced for all anatomical measurements with high inter-rater 423 reliability (r > 0.70). For every response variable, the full model included the fixed effects length, weight, 424 girth, sex, age and the interaction effects of sex with all body size predictors, age with all body size 425 predictors and the interaction of age and sex. The reduced model was then obtained through stepwise 426 regression based on Akaike Information Criterion (AIC) values. An analysis of variance (ANOVA) test 427 was performed to ensure that the reduced model was not performing significantly worse than the full one. 428 Variance inflation factors (VIF) scores were calculated for all predictors included in the reduced models 429 using the *car* package (Fox & Weisberg, 2019). Multicollinearity was considered problematic for 430 subsequent model selection if VIF scores were greater than 5 (Akinwande, Dikko, & Samson, 2015). For 431 all selected models, deviance explained was calculated from the model output (1 - residual deviance / null 432 deviance) and expressed as a percentage. Plots displaying the predicted effects of every predictor retained 433 in the final models were produced to assess their relationship with the response variable. Diagnostic 434 residual plots were used to verify the model assumptions. Independence of residuals was tested using a 435 Durbin Watson test (Fox & Weisberg, 2019). Normality of residuals was assessed visually by plotting 436 model fit against the observed data. Homoscedasticity (i.e., constant variance) of residuals was also 437 assessed visually using quantile-quantile plots. Finally, influential data points were assessed by 438 calculating Cook's distance. 439

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- 440

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#### 448 <u>Competing interests</u>

- 449 The authors declare no competing interests.
- 450

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**Supplementary material** 

Koen de Reus, Daryll Carlson, Alice Lowry, Stephanie Gross, Maxime Garcia, Ana Rubio-Garcia, Anna
 Salazar-Casals, Andrea Ravignani

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662 Table 1

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# 664 *List of sampled animals*

ID	Age class	Where from	Sex	Length (cm)	Weight (kg)	Girth (cm)	Cause of death	
1	weaner	NL	F	86	15.6	90	Euthanised	
2	weaner	NL	F	99	17.3	83	Died during rehab	
3	weaner	NL	М	96	26.8	76	Found dead in the wild	
4	weaner	NL	Μ	96	22.9	71	Euthanised	
5	weaner	NL	Μ	86	19.2	71	Died before rehab	
6	weaner	NL	М	84	18.2	69	Euthanised	
7	weaner	NL	М	89	19.9	66	Euthanised	
8	weaner	NL	F	94	14.2	66	Euthanised	
9	weaner	NL	F	92	15.8	65	Euthanised	
10	weaner	NL	F	94	14.9	65	Died during rehab	
11	weaner	NL	М	104	20.8	64	Died before rehab	
12	weaner	NL	F	86	16.5	63	Died during rehab	
13	weaner	NL	F	86	15.7	63	Euthanised	
14	weaner	NL	Μ	100	18.37	62	Euthanised	
15	weaner	NL	F	93	16.8	62	Euthanised	
16	weaner	NL	М	114	18.8	61	Died before rehab	
17	weaner	NL	F	87	15.8	61	Euthanised	
18	weaner	NL	F	93	17.8	60.5	Euthanised	
19	weaner	NL	М	96	16.3	60	Died during rehab	
20	weaner	NL	F	82	15.3	60	Euthanised	
21	weaner	NL	М	80	14.3	60	Died before rehab	
22	weaner	NL	F	88	16.1	59	Died during rehab	
23	weaner	NL	F	89	16.9	58	Euthanised	
24	weaner	NL	F	71	10	58	Euthanised	
25	weaner	NL	М	92	17	57	Euthanised	
26	weaner	NL	F	94	14.5	57	Died during rehab	
27	weaner	NL	Μ	86	13.9	57	Died before rehab	
28	weaner	NL	F	79	11.9	56.5	Euthanised	
29	weaner	NL	Μ	85	14.6	56	Died during rehab	
30	weaner	NL	М	94	14.6	55	Euthanised	

31	maanar	NL	F	92	13.7	55	Died during rehab
32	weaner	NL	M	92 80	13.7	55	Died during rehab
32 33	weaner	NL	F	80 75	13.1	55 55	Euthanised
33 34	pup			73 84			Found dead in the wild
	pup	NL	M		11.79	54	
35	weaner	NL	F	93 92	14	53	Died during rehab
36	pup	NL	M	83	11.47	52	Euthanised
37	weaner	NL	F	93	13.9	51.5	Euthanised
38	weaner	NL	Μ	86	13	51.5	Died before rehab
39	weaner	NL	F	87	12.4	51	Died before rehab
40	pup	NL	Μ	86	10.6	51	Euthanised
41	pup	NL	F	81	11.37	49.5	Euthanised
42	pup	NL	F	82	9.3	49	Found dead in the wild
43	pup	NL	Μ	80	9.46	47	Euthanised
44	pup	NL	Μ	73	8.6	46	Found dead in the wild
45	pup	NL	F	77	8.5	44.5	Died before rehab
46	pup	NL	F	80	9.63	44	Found dead in the wild
47	weaner	NL	Μ	87	9.3	44	Died during rehab
48	pup	NL	F	70	8	44	Found dead in the wild
49	pup	NL	Μ	87	9.43	41	Found dead in the wild
50	weaner	NL	F	77	7.47	40	Euthanised
51	pup	NL	Μ	80	7.28	38.5	Died during rehab
52	pup	NL	Μ	80	9.95	36	Died before rehab
53	weaner	DE	Μ	85.5	19.2	67.5	Mercy killed
54	weaner	DE	F	98.5	17	66	Mercy killed
55	weaner	DE	М	90	14.6	65	Mercy killed
56	weaner	DE	М	101	20.8	64	Mercy killed
57	weaner	DE	М	90	17	63	Found dead in the wild
58	weaner	DE	F	92	20.4	62	Found dead in the wild
59	weaner	DE	F	99	17.8	60.5	Mercy killed
60	weaner	DE	М	86	16	60.5	Found dead in the wild
61	weaner	DE	М	90	16.6	59	Found dead in the wild
62	weaner	DE	F	94	17	58	Found dead in the wild
63	weaner	DE	М	90	14.6	57	Mercy killed
64	weaner	DE	М	96	13.4	56	Found dead in the wild
65	weaner	DE	F	82	11.6	55.5	Found dead in the wild
66	weaner	DE	F	97	14.8	54	Mercy killed
67	weaner	DE	М	81	10.2	51	Mercy killed
68	weaner	DE	F	88.5	12	50	Mercy killed
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*Note.* Seals were from the Netherlands (NL) or Germany (DE). Sex is denoted as F for females and M formales.

# 

669 Table 2

# 670 Pairwise Spearman correlations for pups

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Variable	Length	Weight	Girth	VTL	VFL	VFT	STAP1
	(cm)	(kg)	(cm)	(mm)	(mm)	(mm)	(mm)
Weight (kg)	0.40						
Girth (cm)	0.23	0.72					
VTL (mm)	0.23	-0.03	-0.04				
VFL (mm)	0.22	0.08	-0.01	0.49			
VFT (mm)	-0.21	0.11	0.42	0.01	0.16		
STAP1 (mm)	0.20	0.18	0.08	0.49	0.79*	0.55	
STAP2 (mm)	0.35	0.36	0.38	0.26	0.71	0.57	0.76*

*Note.* \* indicates p < .05 after correcting for multiple comparisons using the Holm-Bonferroni method. 

- 676 Table 3
- 677 Pairwise Spearman correlations for weaners

Variable	Length	Weight	Girth	VTL	VFL	VFT	STAP1
	(cm)	(kg)	(cm)	(mm)	(mm)	(mm)	(mm)
Weight (kg)	0.51*						
Girth (cm)	0.28	0.76*					
VTL (mm)	0.39*	0.48*	0.39*				
VFL (mm)	0.58*	0.64*	0.41*	0.54*			
VFT (mm)	0.16	0.48*	0.50*	0.22	0.34		
STAP1 (mm)	0.38*	0.61*	0.38*	0.46*	0.67*	0.47*	
STAP2 (mm)	0.32	0.48*	0.31	0.47*	0.57*	0.30	0.66*

*Note.* \* indicates p < .05 after correcting for multiple comparisons using the Holm-Bonferroni method.

# 683 Table 4

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### *GLM estimates for vocal tract length (VTL)*

Effect	Estimate	Std.Error	2.5%	97.5%	р
Intercept	42.4788	9.2445	23.9898	60.9678	< 0.001
Age Class-Weaner	4.6695	1.7801	1.1093	8.2297	< 0.05
Length	0.4170	0.1184	0.1802	0.6538	< 0.001
Weight	0.5933	0.2182	0.1569	1.0297	< 0.01
Sex-Male	40.7192	12.1685	16.3822	65.0562	< 0.01
Length*Sex-Male	-0.4610	0.1379	-0.7368	-0.1852	< 0.01

*Note.* The reference level for Age Class is 'Pup' and the reference level for Sex is 'Female'.

691 Table 5

- 693 GLM estimates for vocal fold length (VFL)

Effect	Estimate	Std.Error	2.5%	97.5%	р
Intercept	9.1651	3.0598	3.0455	15.2847	< 0.01
Age Class-Weaner	-2.7492	3.2348	-9.2188	3.7204	0.399
Length	-0.0189	0.0396	-0.0981	0.0603	0.635
Weight	0.1050	0.0301	0.0448	0.1652	< 0.001
Sex-Male	1.0070	0.3670	0.2730	1.7410	< 0.01
Age Class-Weaner*Length	0.0562	0.0411	-0.026	0.1384	0.177
Age Class-Weaner*Sex-Male	-1.1833	0.4071	-1.9975	-0.3691	< 0.01

*Note.* The reference level for Age Class is 'Pup' and the reference level for Sex is 'Female'.

## 700 Table 6

#### *GLM estimates for subglottic tracheal dorsoventral distance 1 (STDV1)*

Effect	Estimate	Std.Error	2.5%	97.5%	р
Intercept	15.389	1.6827	12.0236	18.7544	< 0.001
Age Class-Weaner	1.7474	0.4352	0.8770	2.6178	< 0.001
Length	0.0472	0.0236	0.0000	0.0944	< 0.05
Weight	0.1887	0.0533	0.0821	0.2953	< 0.001
Sex-Male	0.5956	0.2754	0.0448	1.1464	< 0.05

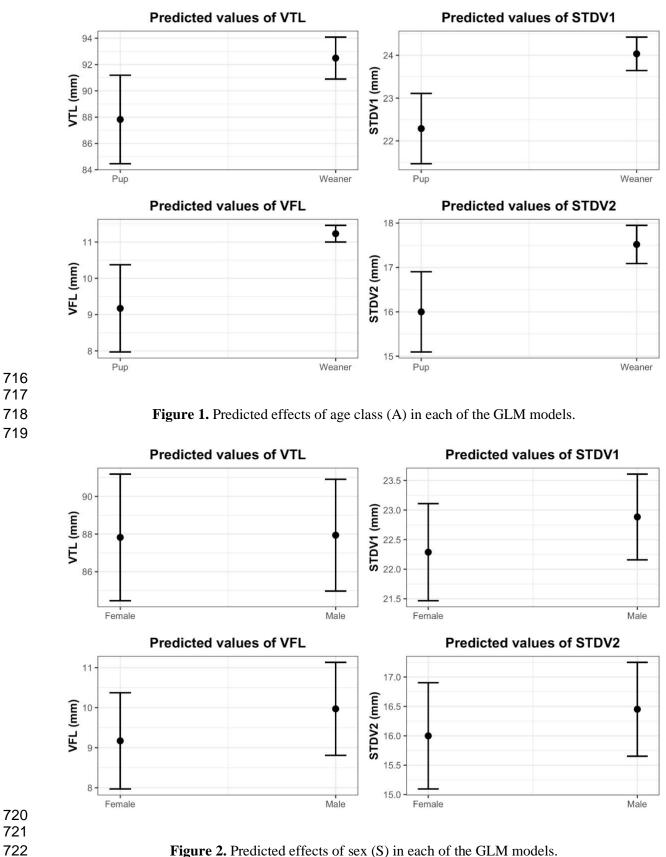
Note. The reference level for Age Class is 'Pup' and the reference level for Sex is 'Female'.

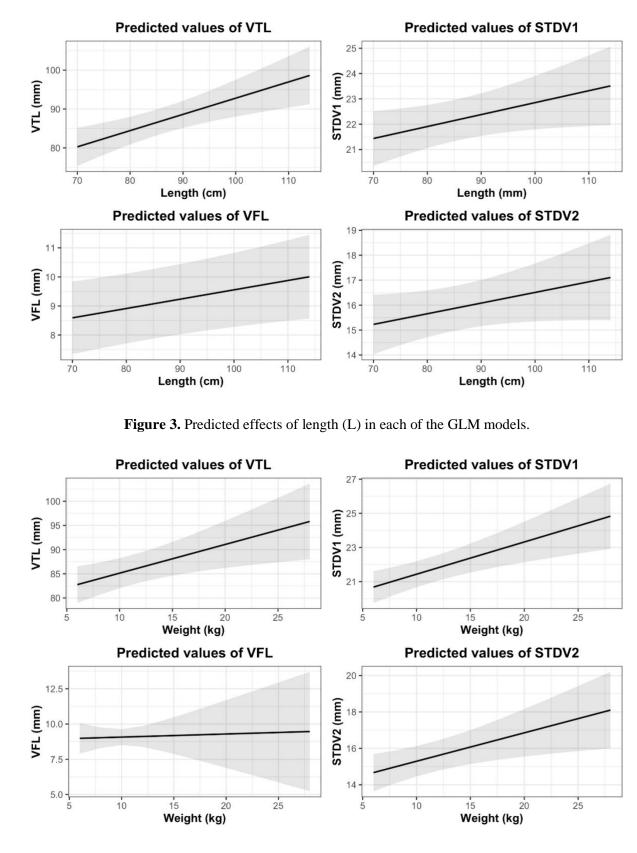
- Table 7

# *GLM estimates for subglottic tracheal dorsoventral distance 2 (STDV2)*

Effect	Estimate	Std.Error	2.5%	97.5%	р
Intercept	9.9726	1.8526	6.2674	13.6778	< 0.001
Age Class-Weaner	1.5194	0.4792	0.5610	2.4778	< 0.01
Length	0.0427	0.0260	-0.0093	0.0947	0.105
Weight	0.1560	0.0587	0.0386	0.2734	< 0.01
Sex-Male	0.4523	0.3032	-0.1541	1.0587	0.141

*Note.* The reference level for Age Class is 'Pup' and the reference level for Sex is 'Female'.

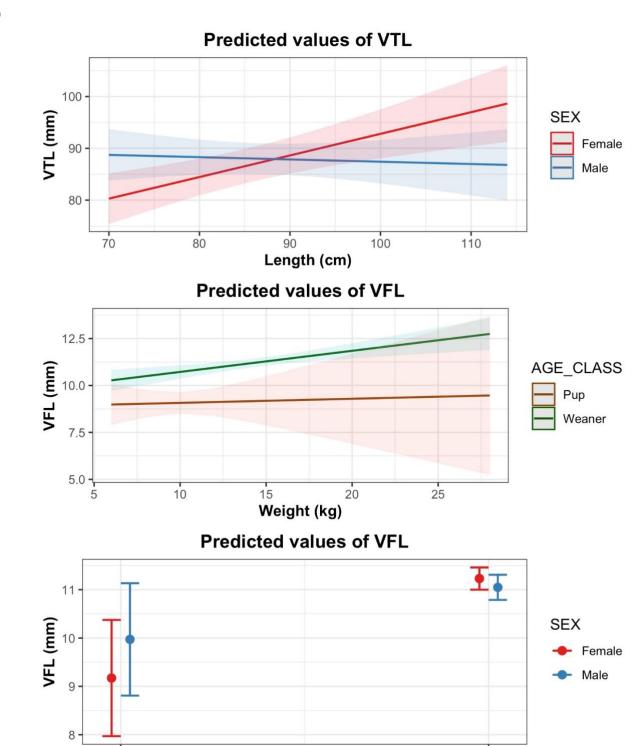






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Figure 4. Predicted effects of weight (W) in each of the GLM models.





Pup

Figure 5. Predicted effects of the length and sex interaction for VTL (top), the weight and age interaction
 for VFL (middle) and the age and sex interaction for VFL (bottom).

Weaner