# Conformational dynamics and allosteric modulation of the SARS-CoV-2 spike

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#### 7 Abstract

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8 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infects cells through 9 binding to angiotensin-converting enzyme 2 (ACE2). This interaction is mediated by the receptor-0 binding domain (RBD) of the viral spike (S) glycoprotein. Structural and dynamic data have 1 shown that S can adopt multiple conformations, which controls the exposure of the ACE2-binding 2 site in the RBD. Here, using single-molecule Förster resonance energy transfer (smFRET) 3 imaging we report the effects of ACE2 and antibody binding on the conformational dynamics of S 4 from the Wuhan-1 strain and the B.1 variant (D614G). We find that D614G modulates the 5 energetics of the RBD position in a manner similar to ACE2 binding. We also find that antibodies 6 that target diverse epitopes, including those distal to the RBD, stabilize the RBD in a position 7 competent for ACE2 binding. Parallel solution-based binding experiments using fluorescence 8 correlation spectroscopy (FCS) indicate antibody-mediated enhancement of ACE2 binding. These 9 findings inform on novel strategies for therapeutic antibody cocktails. 0

# Introduction

1 2 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is the etiologic agent of 3 the coronavirus disease 2019 (COVID-19) pandemic (1). Despite the existence of efficacious 4 COVID-19 vaccines (2), urgent needs remain for preventative and therapeutic strategies to control 5 this unprecedented situation, as well as to stop the emergence of new variants of concern (3). 6 To infect host cells, SARS-CoV-2 binds the cell receptor angiotensin-converting enzyme 7 2 (ACE2) through its envelope glycoprotein spike (S), which subsequently promotes membrane 8 fusion and cell entry (1, 4-11). S is a trimer of heterodimers, with each protomer consisting of S1 9 and S2 subunits (Fig. 1). S1 contains the receptor-binding domain (RBD), which includes the 0 ACE2 receptor binding motif (RBM). S2, which forms the spike stalk, undergoes a large-scale 1 refolding during promotion of membrane fusion (12–15). Structures of the soluble trimeric 2 ectodomain of the SARS-CoV-2 S glycoprotein in two prefusion conformations have been 3 reported (10, 11, 16). These distinct conformations demonstrate that the RBD of each protomer 4 can independently adopt either a "down" (closed) or an "up" (open) position, giving rise to 5 asymmetric trimer configurations (Fig. 1A). The RBM is occluded in the down conformation, 6 suggesting that the RBD transitioning from the down to the up conformation is required for 7 binding the ACE2 receptor. Indeed, structures of S bound to ACE2 show the RBD in the up 8 conformation (17). Structural data were corroborated by real-time analysis of the conformational 9 dynamics of S through single-molecule Förster resonance energy transfer (smFRET) imaging 0 (18).

These structural and biophysical data suggest that modulating the conformational 1 2 equilibrium of the RBD of S might be a determinant of SARS-CoV-2 infectivity and 3 neutralization sensitivity. By the summer of 2020, the SARS-CoV-2 S variant D614G (strain B.1) 4 had supplanted the ancestral virus (strain Wuhan-1) worldwide, and structural analysis showed 5 that D614G disrupts an interprotomer contact (19). This disruption results in a shift in the RBD 6 conformation toward the up position, which is competent for ACE2 binding, consistent with 7 increases in *in vitro* virus-cell binding mediated by ACE2 and infectivity (16, 20). At the same 8 time, the enhanced exposure of the RBM in the D614G variant led to increased sensitivity to 9 neutralizing antibodies (21). Furthermore, the RBD showed stabilization in the up position, as 0 well as an intermediate conformation, upon treatment with a neutralizing S2 stalk-directed 1 antibody (22, 23).

2 Here we report on the conformational dynamics of SARS-CoV-2 S in the absence or 3 presence of ligands visualized using an smFRET imaging assay (Fig. 1A). Our results indicate 4 that ACE2 binding is controlled by the intrinsic conformational dynamics of the RBD, with ACE2 5 capturing the intrinsically accessible up conformation rather than inducing a conformational 6 change. We find that antibodies that target diverse epitopes-including epitopes in the NTD and 7 in the S2 stalk, which are distal to the RBD—tend to shift the RBD equilibrium on the D614 spike 8 toward the up conformation, enhancing ACE2 binding. The D614G spike existed in an 9 equilibrium where the RBD favors the up conformation prior to antibody binding. Nonetheless, 0 antibodies that target the S2 stalk further promoted the RBD-up conformation on the D614G spike. We thus observe long-range allosteric modulation of the RBD equilibrium, which in turn 1 2 regulates exposure of the ACE2-binding site. Inducing exposure of key neutralizing epitopes with 3 antibodies will inform the design of novel therapeutic cocktails (24–26). 4

### Results

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### 6 Tagged SARS-CoV-2 S spike maintains a native conformation

7 With the aim of visualizing the conformational dynamics in real-time of SARS-CoV-2 S, 8 we developed an smFRET imaging assay. We specifically sought to probe the movement of the 9 RBD between the up and down positions. To this end, guided by the available structural data (10, 0 11) (Fig. 1A), we inserted the 8-amino-acid A4 peptide into the spike ectodomain (S $\Delta$ TM) within 1 loops located between the  $\beta$ 7- $\beta$ 8 strands in the NTD at position 161, and between helix  $\alpha$ 1 and 2 strand  $\beta$ 1 in the RBD at position 345 (Fig. 1B). Fluorophores were then enzymatically attached to 3 the A4 tags through incubation with AcpS(27). This approach was chosen because it was 4 previously used for conformational dynamics studies of S, as well as the spike proteins from HIV-5 1 and Ebola (18, 28-30). Structural analysis indicated an increase in the distance between the 6 attachment sites of LD550 and LD650 fluorophores after the RBD transitions from the down to 7 the up conformation, suggesting that this labeling strategy would allow us to visualize this 8 dynamic event (Fig. 1A) (18).

9 Before proceeding to smFRET imaging, we first sought to validate the structure and 0 antigenicity of the 161/345A4-tagged SATM trimer. Homo-trimers with either D614 or D614G 1 were validated through two different approaches: (1) evaluation of their binding to ACE2 and, (2) 2 evaluation of their antigenic characteristics compared with untagged S∆TM (Fig. S1A-B). We 3 developed a fluorescence correlation spectroscopy (FCS) assay to evaluate ACE2 binding to A4-4 tagged S $\Delta$ TM trimers in solution (Fig. 2A). For this assay, purified ACE2 (Fig. S1C) was 5 conjugated to the Cy5 fluorophore (Fig. S1D). Cy5-ACE2 was incubated with varying 6 concentrations of either tagged or untagged SATM and the timescale of diffusion was measured 7 using FCS. The FCS data were fit to a model of two diffusing species (31) (Fig. 2B). Fitting led to 8 determination of diffusion times for unbound ACE2 ( $t_{free} = 0.48 \pm 0.02 \text{ ms}$ ), and ACE2 bound to 9 S $\Delta$ TM D614 (t<sub>D614-bound</sub> = 4.53±0.11 ms) or to the D614G variant (t<sub>D614G-bound</sub> = 4.32±0.15 ms). As 0 expected, the diffusion times for the SATM-ACE2 complex were higher than for unbound ACE2,

1 consistent with the formation of a larger complex with slower diffusion. Moreover, FCS 2 experiments allowed us to calculate dissociation constants  $(K_D)$  for ACE2 binding to untagged 3 and A4-tagged S∆TM proteins in solution (Fig. 2C), which were approximately 12.4±2.7 nM and 4 8.3±1.2 nM, respectively, in rough agreement with values obtained through surface-based assays 5 (10, 16). The antigenicity of A4-tagged S $\Delta$ TM homo-trimers was evaluated through an ELISA 6 assay described in Material and Methods, using the RBD-targeting antibodies MAb362 (both 7 IgG<sub>1</sub> and IgA<sub>1</sub>) (32), REGN1098 (33), S309 (34) and CR3022 (35); NTD-targeting antibody 4A8 8 (36), as well as the stalk-targeting antibodies 1A9 (37) and 2G12 (38) (Fig. S2A). A4-tagged 9 S $\Delta$ TM homo-trimers maintained more than 50% of antibody binding compared to untagged 0 SATM (Fig. S2B), with MAb362-IgG1 and 4A8 showing no significant loss of binding. Taken

1 together, these results suggest that double 161/345 A4-labeled S $\Delta$ TM trimers maintain native

2 functionality during ACE2 binding and near-native antigenic properties.3

### 4 Effects of ACE2 on the SARS-CoV-2 S RBD conformational equilibrium

5 To monitor the conformational dynamics of S $\Delta$ TM D614 and D614G, we purified S $\Delta$ TM 6 hetero-trimers (Fig. S1E-G), formed by co-transfection of 161/345A4-tagged and untagged 7 S $\Delta$ TM plasmids at a 1:2 ratio (Material and Methods). This ensured that on average the S $\Delta$ TM 8 trimers were comprised of one tagged protomer and two untagged protomers. The SATM hetero-9 trimers were then labeled with equimolar concentrations of LD550 and LD650 fluorophores. The 0 labeled trimers were then incubated in the absence or presence of ACE2 before immobilization on 1 a quartz microscope slide and imaging with TIRF microscopy. smFRET trajectories acquired 2 from individual unbound S $\Delta$ TM D614 molecules showed transitions between high (~0.65) and 3 low (~0.35) FRET states, suggestive of the down and up RBD positions, respectively (Fig. 3A). 4 Hidden Markov modeling (HMM) confirmed that a 2-state kinetic model was sufficient to 5 describe the dynamics observed in the smFRET trajectories. Consistent with S∆TM D614 6 preferring the down conformation, HMM analysis indicated 61.0±1.7% occupancy in the high-7 FRET state and 39.0±1.7% occupancy in the low-FRET state. The same FRET states were 8 detected after incubation with ACE2, but the conformational equilibrium shifted to 36.8±2.1% in 9 the high-FRET state and 63.2±2.1% occupancy in the low-FRET state (Fig. 3B-C). This result is 0 consistent with ACE2 promoting the RBD-up conformation. HMM analysis also indicated a 1 reduction in the overall dynamics upon ACE2 binding, as indicated by the transition density plots 2 (TDPs; Fig. 3A-B), which display the relative frequencies of transitions between the high- and 3 low-FRET states. The rates of transition were determined through maximum likelihood 4 estimation. This analysis indicated that transition from the high- to the low-FRET state occurred 5 at  $k_{-1}=2.6\pm0.2$  sec<sup>-1</sup>, whereas the low- to high-FRET transition occurred at  $k_1=3.8\pm0.2$  sec<sup>-1</sup>. ACE2 6 binding had minimal effect on the high- to low-FRET transition  $(k_1=2.2\pm0.2 \text{ sec}^{-1})$ , but reduced 7 the low- to high-FRET transition to  $k_1=1.3\pm0.1$  sec<sup>-1</sup> (Fig. 3D). This analysis thus specified that 8 the effect of ACE2 binding is to capture and stabilize the up conformation (low-FRET state) and 9 reduce transitions to the down conformation (high-FRET state). ACE2 binding does not 0 significantly affect the stability of the down conformation, nor induce transitions to the up 1 conformation.

2 We next sought to determine the effect of the D614G mutation on the conformational 3 dynamics of SATM. We observed the same two FRET states for SATM D614G as for the 4 ancestral D614 spike (Fig. 3E). However, the unbound S∆TM D614G displayed greater 5 occupancy in the low-FRET state (60.9±2.5%), and the overall level of dynamics was reduced as 6 compared to D614 (Fig. 3E-G). The rate constants,  $k_{-1}$  and  $k_{1}$ , were reduced to 2.0±0.2 sec<sup>-1</sup> and 1.6±0.2 sec<sup>-1</sup>, respectively (Fig. 3H). ACE2 binding further increased the low-FRET occupancy to 7 8  $74.5\pm2.2\%$  and reduced the overall level of dynamics shown in the TDPs (Fig. 3F). As seen for 9 D614, ACE2 binding had minimal effect on the rate of transition from the high- to the low-FRET 0 state  $(k_{-1}=2.0\pm0.4 \text{ sec}^{-1})$  but reduced the rate of transition from the low- to the high-FRET state to

 $k_1=0.7\pm0.1$  sec<sup>-1</sup> (Fig. 3H). Thus, consistent with structural studies (16, 19), the D614G mutation 2 shifted the conformational equilibrium in favor of the RBD-up conformation. Also, here again, 3 ACE2 binding stabilized the RBD-up conformation without affecting the energetics of the RBD-4 down conformation. 5

#### 6 **RBD-targeting antibodies promote the RBD-up conformation of S D614**

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7 Numerous neutralizing monoclonal antibodies (mAbs) targeting SARS-CoV-2 S have 8 been identified (39). However, their mechanisms of action have only been partially described, 9 especially for mAbs that target epitopes outside of the RBD. We first sought to use our smFRET 0 imaging approach to explore the effect of RBD-directed mAbs on SATM dynamics for both the 1 D614 and D614G variants. We chose neutralizing RBD-directed mAbs from different classified 2 groups according to the epitope targeted (40): (1) MAb362 (isoforms  $IgG_1$  and  $IgA_1$ ) that directly targets the RBM(32); (2) REGN10987, which binds an epitope located on the side of the RBD, 3 4 blocking ACE2 binding without directly interacting with the RBM (33); and S309 and CR3022 5 that bind the RBD but do not compete with ACE2 binding (34, 35). Imaging of SATM D614 pre-6 incubated with each of the above mAbs revealed a predominant low-FRET state associated with 7 the RBD in the up conformation (Fig. S3, left, and Table S2). In all cases, the mAbs stabilized the 8 low-FRET state as compared to the unbound SATM, although none to the extent seen for ACE2 9 (Fig. 4A). As observed during ACE2 binding, the mAbs generally induced a larger effect on the 0 rate of transition from the low- to the high-FRET state  $(k_1)$ , with a minor effect on the high- to 1 low-FRET transition (k-1; Fig. 4B). In contrast, none of the mAbs stabilized the low-FRET state 2 for SATM D614G to a significant extent (Figs. 4A, S3 and Table S3), suggesting that the effect of 3 the D614G mutation is sufficient to enable mAb binding without further conformational changes.

#### 5 NTD- and stalk-targeting mAbs allosterically modulate the RBD position

6 Several mAbs have been identified that target epitopes outside of the RBD. Some of 7 which bind the NTD and are potently neutralizing (36, 41-43). We therefore explored the 8 conformational dynamics of both SATM D614 and D614G pre-treated with the NTD-targeting 9 mAb 4A8 (36), and with the S2 stalk-directed mAbs 1A9 (37) and 2G12 (38). 4A8 treatment of 0 SATM D614 stabilized the low-FRET state to a comparable extent as seen for the RBD-targeted 1 mAbs (Figs. 4C, S3, and Table S2). The change in transition rates also followed a similar trend as 2 seen for RBD-targeted mAbs with the low- to high-FRET  $(k_1)$  transition being reduced, with a 3 minor effect on the high- to low-FRET transition ( $k_{-1}$ ; Figs. 4D, S3, and Table S2). The stalk-4 directed mAbs 1A9 and 2G12 also stabilized the low-FRET state (Figs. 4C, S3, and Table S2), 5 although kinetic analysis revealed a modulation of the dynamics that was distinct from the S1-6 targeted mAbs. Here, the rates of low- to high-FRET transition were reduced, while the rates of 7 high- to low-FRET transition were increased (Fig. 4D). For S∆TM D614G, 4A8 had only a minor 8 effect on low-FRET stability or kinetics, again suggesting that the mAb binds without affecting 9 the conformational equilibrium. However, the stalk-targeting 1A9 and 2G12 mAbs stabilized low 0 FRET and induced increases in the rates of transition out of high FRET (Fig. 4A, S3, and Table 1 S3). These data indicate that the S2 stalk-targeting mAbs studied here allosterically induce 2 transition of the RDB to the up conformation on both the D614 and D614G spikes. In contrast, the 3 RBD- and NTD-targeting mAbs studied here capture the up conformation without actively 4 inducing a conformational change, similar to the effects of ACE2 on the RBD conformation. 5

#### 6 Stalk-targeting mAbs allosterically enhance ACE2 binding

7 We next asked if stabilization of the RBD-up conformation by NTD- and stalk-targeted 8 mAbs would increase ACE2 binding. We therefore applied our FCS assay for ACE2 binding after 9 pre-treating SATM D614 or D614G with mAbs (Material and Methods). MAb362IgA1 and REGN10987 mAbs were used as controls because of their documented ACE2-blocking properties 0

(32, 33). Incubation of S $\Delta$ TM D614 or D614G with MAb362IgA<sub>1</sub> or REGN10987 resulted in 1 2 statistically significant reductions in ACE2 binding that are consistent with previous reports at 3 comparable mAb concentrations (32, 33) (Fig. 5). Overall, mAbs that stabilized the up 4 conformation without blocking the ACE2-binding site tended to promote ACE2 binding (Fig. 5A-5 B). Calculation of the Spearman coefficient indicated a strong correlation ( $r_s = 0.7619$ ) between 6 ACE2 binding and modulation of the SATM RBD conformational equilibrium across all the 7 mAbs under consideration (Fig. 5C). S309 and 4A8 provided a slight enhancement of ACE2 8 binding to S∆TM D614, consistent with their impacts on RBD conformation. In contrast, S309 9 had no significant effect on ACE2 binding to SATM D614G, and 4A8 had a slight inhibition of 0 ACE2 binding, again consistent with their modulation of RBD conformation. Of particular note, 1 the stalk-targeting 1A9 and 2G12 mAbs induced the greatest enhancement of ACE2 binding to 2 SATM D614 and D614G, consistent with their allosteric modulation of RBD conformation.

#### Discussion

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5 Time-resolved analysis of viral spike protein conformation at single-molecule resolution 6 complements structural studies by specifying the effects of ligand binding on the energetics of 7 conformational dynamics. These analyses provide mechanistic insights unattainable from 8 structures and bulk functional data alone. Here, we have developed and applied an smFRET 9 imaging approach to monitor conformational dynamics of SARS-CoV-2 S, from the ancestral 0 Wuhan-1 strain with D614 and the B.1 variant with D614G, during engagement with the ACE2 1 receptor and mAbs. Our analysis of S conformational dynamics shows that ACE2 stabilizes the 2 RBD in the up conformation, which, in agreement with structural data, is a conformation that pre-3 exists prior to ACE2 binding (10, 11). Determination of the kinetics of conformational changes 4 through HMM indicated that ACE2 binding does not affect the rate of transition to the up 5 conformation. Instead, ACE2 captures the up conformation and reduces the rate of transition to 6 the down conformation. This can be explained by a thermodynamic stabilization of the RBD-up 7 conformation without affecting the energetics of the down conformation (Fig. 6A). This analysis 8 of S dynamics specifies that ACE2 binding to S does not induce a conformational change in S, 9 but rather occurs through the capture of a pre-existing conformation.

0 As ACE2 binding is an essential step during SARS-CoV-2 entry, our interpretation implicates the intrinsic dynamics of S in controlling the rate or efficiency of membrane fusion 1 2 during virus entry. Current models of coronaviral membrane fusion depict the RBD-up 3 conformation as an intermediate state that is on-pathway to the post-fusion S conformation (44, 4 45). Accordingly, factors that stabilize the RBD-up conformation would likely increase the rate of 5 membrane fusion. Our data demonstrate that the D614G mutation stabilized the RBD-up 6 conformation, consistent with previous reports, which likely relates to how the mutation enhances 7 infectivity (16, 19). Previous studies have shown that D614G does not increase the rate of ACE2 8 binding to S (16, 19), as might be expected for a conformational capture binding mechanism. This 9 may indicate that further rearrangements in the RBD, perhaps localized in the RBM, are 0 necessary to fully engage ACE2 beyond transition to the up conformation. Analysis of the dynamics of the unbound D614G variant showed an overall reduction in dynamics as compared to 1 2 D614, consistent with the increased thermostability of the S trimer with the D614G mutation (19). 3 Like ACE2 binding to the D614 spike, the predominant effect of the D614G mutation was the 4 reduction of the rate of transition to the down conformation. This reduction in the rate constant for 5 the RBD-up to -down transition indicates an increase in the activation energy, which is mainly 6 explained by an increase in thermodynamic stability of the RBD-up conformation (Fig. 6A). 7 ACE2 binding to S D614G had an additive effect on the RBD position, pushing the equilibrium 8 further toward the up conformation than either ACE2 binding or the D614G mutation did 9 independently. Thus, the D614G mutation permits further stabilization of an intermediate 0 conformation captured by ACE2 binding. Here again, ACE2 binding functioned by specifically

increasing the thermodynamic stability of the RBD-up conformation. Residue D614 is distal to 1 2 the RBD and forms a salt bridge with K854 in the fusion-peptide proximal region, which is lost 3 with the D614G mutation (17, 19, 46). Our analysis shows that the D614-K854 electrostatic 4 interaction had a destabilizing effect on the thermodynamics of the RBD-up conformation. The 5 similar impacts of D614G and ACE2 binding on the S energetic landscape implies that the 6 mutation provides a fitness advantage by mimicking the effects of receptor binding. Such a long-7 range allosteric connection between the receptor-binding domain and the region surrounding the 8 fusion peptide has been reported for the influenza hemagglutinin and the Ebola virus envelope 9 glycoprotein (47–49), suggestive of a common mechanistic connection between receptor binding 0 and triggering movement of the fusion peptide (or fusion loop) among class-I viral fusion 1 proteins.

2 We find that mAbs that target S1 of the D614 spike, including the RBD and NTD, have a 3 similar impact on conformational dynamics as ACE2, with the predominant effect being the 4 reduction in the rate of transition to the down conformation. The overall minimal effect on the 5 rate of transition to the up conformation is again consistent with thermodynamic stabilization and 6 the capture of a pre-existing S conformation (Fig. 6B). mAb S309 had a notably modest effect on 7 the stability of the up conformation, consistent with structural data demonstrating that it binds to 8 the RBD in either the up or down positions (34). Overall, RBD-and NTD-targeting mAbs had 9 minimal effect on the conformation of the D614G spike. The exception was mAb S309, which 0 modestly destabilized the up conformation, shifting the equilibrium to approximately that seen for 1 the D614 spike bound to S309. As S309 does not prevent ACE2 binding, its mechanism of broad 2 neutralization remains unclear (34, 50). However, its modulation of the RBD position likely plays 3 some role and may impact downstream conformational changes related to membrane fusion.

4 Our kinetic analyses have shown that the S1-targeted ligands considered here capture the 5 up conformation. In contrast, the S2-targeted mAbs considered here induce conformational 6 changes in the RBD by reducing the activation energy for transition into the up conformation, 7 while also stabilizing the up conformation (Fig. 6C). Cryo-electron tomography of SARS-CoV-2 8 virions has revealed the presence of three flexible hinges within the S stalk: the hip, knee, and 9 ankle. These hinges connect the head, and the upper and lower legs of S and confer flexibility on 0 the spikes (51, 52). Our smFRET data demonstrate that stalk-targeted mAbs 1A9 and 2G12 allosterically modulate the position of the RBD, enhancing ACE2 binding. mAb 1A9, which 1 2 neutralizes SARS-CoV, binds an epitope on S in the upper leg of the stalk near the hip and 3 upstream of the heptad repeat helix 2 (53). High sequence conservation in the 1A9 epitope 4 suggests a similar binding site in SARS-CoV-2 S and mode of action in preventing viral 5 membrane fusion (37). The stalk epitope recognized by mAb 2G12, which does not neutralize 6 SARS-CoV-2, is located near the hip and is comprised entirely of glycans (38) (Fig. S2A). Taken 7 together, our data on 1A9 and 2G12 implicate the hip hinge as a critical center for allosteric 8 control of the RBD position. Further support for the existence of allosteric centers in S2 came 9 from other smFRET analyses of mAb CV3-25 (54), which binds an epitope in the upper leg of the 0 stalk near the knee (23). CV3-25 was also found to promote the RBD-up conformation (22, 23). 1 Further studies are necessary to determine whether mAbs that target the lower leg and ankle hinge 2 also exert allosteric control of the RBD.

3 The use of therapeutic mAb cocktails is a promising strategy, which has been explored for 4 the treatment and prevention of Ebola virus disease (55). Similarly, enhancement of neutralization 5 of SARS-CoV-2 was observed with the simultaneous use of S309 and S2E12 (56, 57) which 6 targets the RBM. This likely stems from the combined effect of S309 on S conformation and 7 blocking ACE2 binding by S2E12. Our results suggest similar synergy in neutralization might 8 come from the combination of 4A8 with RBM-directed mAbs. Indeed, human trials are underway 9 evaluating mAb cocktails for COVID-19 treatment. But none of these have considered the 0 simultaneous use of mAbs targeting the RBD and stalk of SARS-CoV-2 S (25, 39). The

promotion of the RBD-up conformation, which exposes the ACE2-binding site, by NTD-directed 1 2 mAbs like 4A8, or stalk-directed mAbs like 1A9 and 2G12, presents a strategy for enhancement 3 of neutralization through combination therapies with RBM-directed mAbs. The results presented 4 here suggest the potential for synergistic inhibition of virus entry and increased potency through 5 the combination of mAbs that target diverse epitopes.

#### 6 7 **Materials and Methods**

#### 8 **Cell culture**

ExpiCHO-S<sup>™</sup> and Expi293F<sup>™</sup> cell lines (Gibco<sup>™</sup>, Thermo Fisher Scientific, Waltham, 9 0 MA, USA) were cultured in ExpiCHO<sup>™</sup> Expression and Expi293 Expression media (Gibco<sup>™</sup>, 1 Thermo Fisher Scientific, Waltham, MA, USA), respectively. Both cell lines were maintained at 2 37 °C, 8% CO<sub>2</sub> with orbital shaking according to manufacturer instructions. 3

### Antibodies

4 5 Monoclonal antibodies MAb362 isotypes  $IgG_1$  and  $IgA_1$  has been described before(32). 6 REGN10987, S309 and CR3022 antibodies heavy and light variable region sequences(33, 34, 58) were synthesized and cloned into pcDNA3.1 vector (Invitrogen<sup>™</sup>, Thermo Fisher Scientific, 7 8 Waltham, MA, USA) in-frame with human IgG heavy or light chain Fc fragment. The recombinant constructs of heavy and light chain were transfected at 1:1 ratio into Expi293F<sup>TM</sup> 9 0 cells using the ExpiFectamine<sup>™</sup> 293 Transfection Kit (Gibco<sup>™</sup>, Thermo Fisher Scientific, Waltham, MA, USA). 4-5 days after transfection the antibodies were purified from the 1 2 supernatant by protein A affinity resin (ProSep<sup>®</sup>-vA ultra, Millipore<sup>®</sup>, Burlington, MA, USA) and 3 dialyzed into phosphate buffered saline pH 7.2 (PBS) overnight at 4 °C. 2G12 monoclonal antibody was expressed in ExpiCHO-S<sup>™</sup> cells through co-transfection of plasmids encoding light 4 and IgG heavy chains(59), using the ExpiFectamine<sup>™</sup> CHO transfection kit (Gibco<sup>™</sup>, Thermo 5 Fisher Scientific, Waltham, MA, USA) according to manufacturer instructions. The antibody was 6 7 purified from the cell culture supernatant 12 days post-transfection through protein G affinity 8 resin (Thermo Fisher Scientific, Waltham, MA, USA), and buffer exchanged and concentrated in 9 PBS using centrifugal concentrators (Sartorius AG, Göttingen, Germany; Millipore<sup>®</sup>, Burlington, 0 MA, USA). Monoclonal antibodies 4A8 and 1A9 were purchased from BioVision (Milpitas, CA, 1 USA) and GeneTex (Irvine, CA, USA), respectively. Anti-6x-His-tag polyclonal antibody, and 2 both HRP-conjugated anti-mouse IgG Fc and anti-human IgG Fc were purchased from 3 Invitrogen<sup>™</sup> (Waltham, MA, USA). Both horseradish peroxidase (HRP) conjugated anti-human 4 kappa and anti-rabbit IgG were purchased from SouthernBiotech (Birmingham, AL, USA) and 5 Abcam (Cambridge, UK), respectively.

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### **Plasmids and site-directed mutagenesis**

8 The mammalian codon-optimized gene coding SARS-CoV-2 (Wuhan-Hu-1 strain, 9 GenBank ID: MN908947.3) glycoprotein ectodomain (S $\Delta$ TM) (residues Q14–K1211) with 0 SGAG substitution at the furin cleavage site (R682 to R685), and proline substitutions at K986 1 and V987, was synthesized by GenScript<sup>®</sup> (Piscataway, NJ, USA) and inserted into pcDNA3.1(-). 2 A C-terminal T4 fibritin foldon trimerization motif, a TEV protease cleavage site, and a His-tag 3 were synthesized downstream of the SARS-CoV-2 SATM (Fig. 1B). Insertion of A4 peptide 4 (DSLDMLEW) at amino acid position 161 in SARS-CoV-2 S∆TM was done through overlap-5 extension PCR(60). Primers 2S-Age-I-F, 2S-161A4-2, 2S-161A4-3, and 2S-ApaI-R (Table S1) were used in the PCR reactions to obtain a final product bearing the 161A4 insertion, which was 6 7 cloned into SATM using the AgeI and ApaI restriction sites. A similar strategy was performed for 8 the A4 insertion at position 345 of S∆TM using 2S-XhoI-F, 2S-345A4-2, 2S-345A4-3, and 2S-9 ApaI-R primers (Table S1) in the PCR reactions. The final PCR product bearing the 345A4 0 insertion was cloned into  $S\Delta TM$  using the XhoI and ApaI restriction sites. To generate the

 161/345A4 double-tagged construct, the 345A4 insertion was subcloned into the 161A4 construct through XhoI and ApaI digestion. Mutagenic PCR to obtain the D614G amino acid change into both untagged and 161/345A4-tagged SΔTM constructs was done using the primers
 S2\_D614\_Q5-F and S2\_D614\_Q5-R (Table S1) and the Q5<sup>®</sup> Site-Directed Mutagenesis Kit (NEB<sup>®</sup>, Ipswich, MA, USA) according to the manufacturer instructions. Insertions and sitedirected mutagenesis were confirmed through Sanger sequencing (GENEWIZ<sup>®</sup>, Cambridge, MA, USA).

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# 9 Protein expression and purification

Expression S∆TM trimers was performed by transfection of ExpiCHO-S<sup>™</sup> cells with the 0 plasmids described above using the ExpiFectamine<sup>™</sup> CHO transfection kit (Gibco<sup>™</sup>, Thermo 1 Fisher Scientific, Waltham, MA, USA) and according to manufacturer instructions. SATM hetero-2 3 trimers for smFRET experiments were expressed by co-transfection with both the untagged 4 SATM (D614 or D614G) construct and the corresponding 161/345A4-tagged SATM plasmid at a 5 2:1 molar ratio. Untagged SATM trimers or A4-tagged hetero-trimers were purified from cell 6 culture supernatants as follows. Supernatants containing soluble SATM trimers were harvested 7 nine days post-transfection and adjusted to 20 mM imidazole, 1 mM NiSO<sub>4</sub>, and pH 8.0 before 8 binding to the Ni-NTA resin. The resin was washed, and protein was eluted from the column with 9 300 mM imidazole, 500 mM NaCl, 20 mM Tris-HCl pH 8.0, and 10% (v/v) glycerol. Elution 0 fractions containing SATM were pooled and concentrated by centrifugal concentrators (Sartorius 1 AG, Göttingen, Germany). The S $\Delta$ TM protein was then further purified by size exclusion 2 chromatography on a Superdex 200 Increase 10/300 GL column (GE Healthcare, Chicago, IL, 3 USA) (Fig. S1). Double 161/345A4-tagged S∆TM homo-trimers for functional assays were extracted from ExpiCHO-S<sup>™</sup> cells at 6 days pot-transfection with a non-denaturing lysis buffer 4 5 (20 mM Tris-HCl pH 8.0, 500 mM NaCl, 10% (v/v) glycerol, 1% (v/v) Triton<sup>™</sup> X-100, 2 mg/ml aprotinin, 1 mg/ml leupeptin, and 1 mg/ml pepstatin A (Sigma-Aldrich<sup>®</sup>, St. Louis, MO, USA)). 6 7 After 20 minutes of centrifugation at 4000 xg, the soluble fraction was diluted with two volumes of the same buffer without Triton<sup>™</sup> X-100. These extracts were then passed through a 0.45 mm 8 polyethersulfone filter unit (Nalgene<sup>™</sup>, Thermo Fisher Scientific, Waltham, MA, USA), and the 9 0 tagged SATM was purified by affinity chromatography using Ni-NTA agarose beads 1 (Invitrogen<sup>™</sup>, Waltham, MA, USA) and size exclusion chromatography as described above.

2 3 A plasmid encoding soluble monomeric ACE2 with a C-terminal 6x-His tag was 4 transfected into ExpiCHO-S<sup>™</sup> cells as described above. Supernatant containing ACE2 was 5 harvested six days post-transfection, dialyzed at 4 °C into 20 mM Tris-HCl pH 8.0, 500 mM NaCl 6 and 10% (v/v) glycerol buffer, using a 10 kDa MWCO dialysis membrane (Spectrum<sup>®</sup> Repligen, 7 Waltham, MA, USA). For ACE2 purification, the dialyzed supernatant was supplemented with 20 8 mM imidazole pH 8.0 before purification as described above for SATM. Purified protein concentrations were estimated by UV absorbance at 280 nm and Bradford assay (Thermo Fisher 9 0 Scientific, Waltham, MA, USA). SATM concentration was also estimated by densitometric 1 analysis of protein bands on immunoblots with the monoclonal antibody 1A9 as described below, 2 and using ImageJ software v1.52q (NIH, USA).

### 4 **PAGE and immunoblots**

3

Protein expression was evaluated by denaturing PAGE in 4-20% acrylamide (Bio-Rad,
Hercules, CA, USA) and either staining with Coomassie blue or with immunoblots performed as
follows. Protein gels were transferred into nitrocellulose membranes (Bio-Rad, Hercules, CA,
USA) according to the manufacturer instructions. After one hour of blocking with 5% (w/v) skim
milk in 0.1% (v/v) Tween<sup>™</sup>-20 (Fisher Scientific, Hampton, NH, USA) and PBS (PBS-T),
membranes were incubated by shaking overnight at 4 °C with dilutions 1:2000 in blocking buffer

1 of the primary antibody. We used a rabbit anti-6X-His antibody (Invitrogen<sup>™</sup>, Waltham, MA,

2 USA) to detect histidine-tagged proteins or mouse 1A9 antibody (GeneTex, Irvine, CA, USA) for

3 specific detection of SARS-CoV-2 S∆TM. Membranes were washed three times with PBS-T and

4 then incubated with secondary HRP-conjugated anti-rabbit IgG (Abcam, Cambridge, UK) or anti-

mouse IgG (Invitrogen<sup>™</sup>, Waltham, MA, USA) antibodies diluted in 0.5% (w/v) skim milk/PBS T and incubated for one hour at room temperature. After three washes with PBS-T, membranes

7 were developed using SuperSignal<sup>™</sup> West Pico PLUS Chemiluminescent Substrate (Thermo

8 Scientific<sup>™</sup>, Waltham, MA, USA) according to the manufacturer's instructions.

#### 9 0 ELISA assays

96-well polystyrene plates (Thermo Scientific<sup>™</sup>, Waltham, MA, USA) were coated either 1 2 with 200 ng of SARS-CoV-2 SATM proteins or bovine serum albumin (BSA, Thermo 3 Scientific<sup>™</sup>, Waltham, MA, USA) through incubation overnight at 4 °C. Plates were washed three 4 times with PBS and blocked for one hour at room temperature with the immunoblot blocking 5 buffer described above. After three washes with PBS, plates were incubated with 600 nM of the 6 indicated antibodies diluted in PBS for two hours at room temperature. As secondary antibodies, 7 HRP-conjugated anti-human kappa antibody (SouthernBiotech, Birmingham, AL, USA) diluted 8 1:4000 in PBS was used in wells treated with MAb362, CR3022 and S309 antibodies, while 9 HRP-conjugated anti-human IgG Fc (Invitrogen<sup>™</sup>, Waltham, MA, USA) diluted 1:10,000 in PBS 0 was used in wells treated with REGN10987, 4A8 and 2G12 antibodies. A 1:5000 dilution of 1 HRP-conjugated anti-mouse IgG Fc antibody in PBS was used in 1A9 antibody-treated wells. 2 Plates were incubated with the secondary antibody dilutions for one hour at 37 °C and developed 3 with 1-Step<sup>™</sup> Ultra TBM-ELISA (Thermo Scientific<sup>™</sup>, Waltham, MA, USA) reagent according to 4 the manufacturer's instructions. The absorbances at 450 nm were measured using a Synergy H1 5 microplate reader (BioTek<sup>®</sup> Winooski, VT). Absorbance values from non-specific binding to BSA-coated wells were subtracted from the values obtained for S∆TM-coated wells. The 6 7 background-subtracted absorbance values were then normalized to the values obtained from 8 antibodies binding to untagged S $\Delta$ TM.

# 90 Fluorescent labeling of proteins

1 Purified A4-tagged SATM hetero-trimers for smFRET imaging were prepared by 2 overnight incubation at room temperature with 5 µM each of coenzyme A (CoA)-conjugated 3 LD550 and LD650 fluorophores (Lumidyne Technologies, New York, NY, USA), 10 mM 4 MgOAc, 50 mM HEPES pH 7.5, and 5 µM Acyl carrier protein synthase (AcpS). Labeled protein 5 was purified away from unbound dye and AcpS by size exclusion chromatography as above 6 described, and elution fractions containing labeled SATM hetero-trimers were pooled and 7 concentrated. Aliquots were stored at -80 °C until use. Purified ACE2 was labeled with Cy5 8 conjugated to n-hydroxysuccinimide ester (Cytiva, Marlborough, MA, USA) according to the 9 manufacturer's instructions. ACE2 was then purified away from unbound dye by Ni-NTA affinity 0 chromatography as described above, followed by buffer exchanged into PBS pH 7.4 using 10 kDa 1 MWCO centrifuge concentrators (Millipore<sup>®</sup>, Burlington, MA, USA).

Purified LD550/LD650-labeled S∆TM spikes and Cy5-labeled ACE2 samples were
analyzed by denaturing PAGE and in-gel fluorescence was visualized using a Typhoon 9410
variable mode imager (GE Amersham Biosciences, Amersham, UK) by laser excitation at 532 nm
(emission filter: 580 BP 30 Cy3) to detect LD550, or 633 nm (emission filter: 670 BP 30 Cy5) to
detect LD650 or Cy5 (Fig. S1).

# 8 smFRET imaging

Labeled SATM spikes (100-200 nM) were incubated in the absence or presence of
 unlabeled ACE2 or the indicated antibody at a monomer: ACE2 or monomer: antibody ratio of 1:3

1 for 90 minutes at room temperature. The 6X-His tagged S∆TM was then immobilized on

2 streptavidin-coated quartz microscope slides by way of Ni-NTA-biotin (vendor) and imaged using

3 wide-field prism-based TIRF microscopy as described(28, 29, 62, 63). Imaging was performed in

4 the continued presence of ligands at room temperature and smFRET data were collected using

5 Micromanager(64) v2.0 (micro-manager.org) at 25 frames/sec. All smFRET data were processed

6 and analyzed using the SPARTAN software (www.scottcblanchardlab.com/software) in Matlab 7 (Mathwarks, Natisk, MA, USA)(65), and ERET transmission identified according to following

(Mathworks, Natick, MA, USA)(65). smFRET traces were identified according to following
 criteria: mean fluorescence intensity from both donor and acceptor were greater than 50, duration

9 of smFRET trajectory exceeded 5 frames, correlation coefficient calculated from the donor and

0 acceptor fluorescence traces ranged between -1.1 to 0.5, and signal-to-noise ratio was greater than

1 8. Traces that fulfilled these criteria were then verified manually. smFRET trajectories were

2 idealized to a 3-state hidden Markov model and the transition rates were optimized using the

maximum point likelihood algorithm(66), implemented in SPARTAN.

# 5 FCS-based ACE2-binding assay

6 ACE2 binding to the untagged and A4-tagged SATM spikes was evaluated by FCS as 7 follows. Several concentrations ranging from 0.1 to 200 nM S∆TM were incubated with 100 nM 8 Cy5-labeled ACE2 in PBS pH 7.4 for one hour at room temperature. Where indicated, 200 nM 9 SATM was incubated with 600 nM of the indicated antibody for one hour at room temperature. 0 before adding 100 nM Cy5-labeled ACE2. Non-specific antibody binding to Cy5-labeled ACE2 was determined by incubation in the absence of S $\Delta$ TM. Samples were then placed on No. 1.5 1 2 coverslips (ThorLabs, Newton, NJ) and mounted on a CorTector SX100 instrument (LightEdge 3 Technologies, Beijing, China) equipped with a 638-nm laser. 10-25 autocorrelation measurements 4 were made for 10 sec each at room temperature for each experimental condition. To obtain the 5 fractions of unbound and bound (f) ACE2 after incubation with  $S\Delta TM$ , normalized 6 autocorrelation curves were fit to a model of the diffusion of two species in a three-dimensional 7 Gaussian confocal volume(67, 68),

# 8

0

$$G(\tau) = (1 - f) \cdot g_{unbound}(\tau) + f \cdot g_{bound}(\tau),$$

9 Where

$$\boldsymbol{g}_i(\boldsymbol{\tau}) = \left(1 + \frac{\boldsymbol{\tau}}{\boldsymbol{\tau}_i}\right)^{-1} \left(1 + \frac{\boldsymbol{\tau}}{s^2 \boldsymbol{\tau}_i}\right)^{-1/2}$$

and  $t_i$  is the diffusion time for bound or unbound ACE2 and *s* is the structure factor that parameterizes the dimensions of the confocal volume. To determine  $t_{unbound}$  FCS data was obtained for ACE2 in the absence of S $\Delta$ TM and fit to a model of a single diffusing species (f = 0). This value was then fixed during fitting of the FCS data obtained after incubation of ACE2 with S $\Delta$ TM, so that only  $t_{bound}$  and f were allowed to vary. ACE2 binding was expressed as the average

6 bound fraction (f) at each S $\Delta$ TM concentration normalized either to the fraction bound at the

7 highest S∆TM concentration (Fig. 2C), or to the fraction bound in the absence of antibodies (Fig.

8 5). All fitting was performed with a non-linear least-squares algorithm in MATLAB (The

9 MathWorks, Waltham, MA, USA). Dissociation constants (K<sub>D</sub>) were determined using GraphPad

0 Prism version 9.2.0 (GraphPad Software, San Diego, CA, USA).1

# 2 Structural analysis

Protein structures from RCSB PDB were visualized and analyzed using PyMOL<sup>™</sup>
software version 2.0.7 (The PyMOL Molecular Graphic System, Schrödinger<sub>®</sub> Inc. New York,
NY, USA).

# 7 Correlation and statistical analysis

8 Data sets subjected to statistical analysis were first tested for normality using GraphPad
9 Prism version 9.2.0 (GraphPad Software, San Diego, CA, USA). Where indicated, statistical

- 0 significances were evaluated through either two-tailed parametric (unpaired t-test with Welch's
- 1 correction) or nonparametric (unpaired Mann-Whitney) tests. Both tests were performed with
- 2 95% confidence levels and *P* values <0.05 were considered significant. Significance values are
- 3 indicated as \**P*<0.05, \*\**P*<0.01, \*\*\**P*<0.001, \*\*\*\**P*<0.0001. Two-tailed nonparametric
- 4 Spearman test with 95% confidence was performed to evaluate the correlation level between the
- 5 occupancy of  $S\Delta TM$  in the open conformation due to allosteric antibody binding and ACE2
- 6 binding (Figs. 4 and 5). The correlation level between the above variables was determined
- 7 according to established criteria(69) regarding Spearman coefficients ( $r_s$ ) rank values as follows:
- 8 0.00-0.10 = "negligible", 0.10-0.39 = "weak", 0.40-0.69 = "moderate", 0.70-0.89 = "strong", and
- 9 0.90-1.00 = "very strong" correlation. 0

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1

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### 5 Author contributions

- 6 Conceptualization: JBM
- 7 Methodology: MAD-S, JBM
- 8 Investigation: MAD-S, QL, ME, LK
- 9 Visualization: MAD-S, JBM
- 0 Supervision: JL, KS, YW, JBM
- 1 Writing—original draft: MAD-S
- 2 Writing—review & editing: all authors 3

4 **Competing interests:** A patent application has been filed on May 5, 2020 on monoclonal

5 antibodies targeting SARS-CoV-2 (U.S. Patent and Trademark Office patent application no.

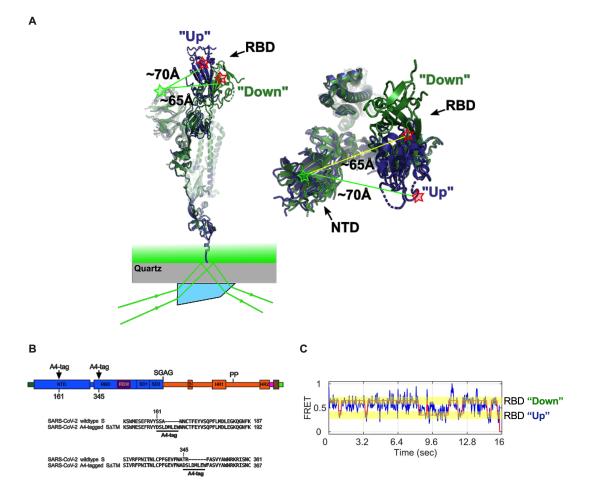
6 63/020,483; patent applicants: YW, ME, and QL, UMass Chan Medical School). The remaining
7 authors declare no competing interests.

8

Data and materials availability: All data are available in the main text or the supplementary
 materials.

1

2 Figures

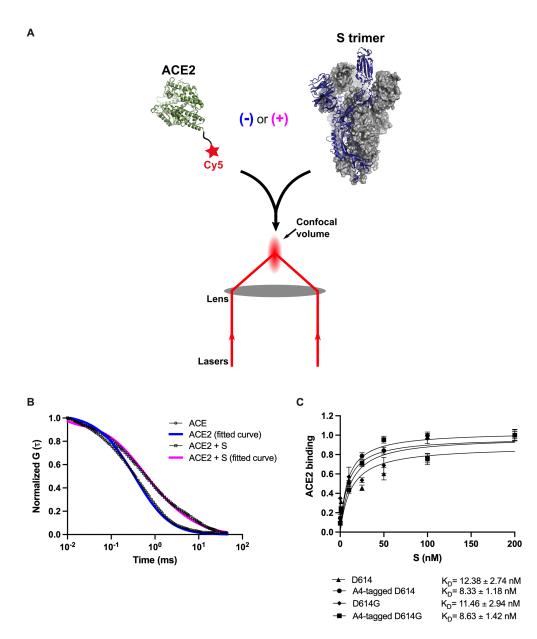


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#### 4 Fig. 1. smFRET imaging the conformational dynamics of the SARS-CoV-2 S ectodomain. 5 (A) (left) SARS-CoV-2 SATM containing a single fluorescently labelled A4-tagged protomer within an otherwise untagged trimer was immobilized on a streptavidin-coated quartz microscope 6 7 slide by way of a C-terminal 8X His tag and biotin-NiNTA. For clarity, only a monomer is 8 depicted. Individual SATM trimers were visualized with prism-based TIRF microscopy using a 9 532-nm laser. Overlay of two S protomers with RBD domains in the "up" (blue) and "down" 0 (green) conformations are shown with approximate positions of fluorophores indicated by green 1 (LD550) and red (LD650) stars. (right) Top view of the same S protomer overlay. The 2 approximate distances between the sites of labeling are shown. Structures adapted from PDB 3 6VSB. (B) (top) Domain organization of the SARS-CoV-2 SΔTM construct used for smFRET 4 experiments, indicating the sites of A4 tag insertion. The S1 and S2 subunits are in blue and 5 orange, respectively. Additional domains and features are as follows, ordered from N- to C-6 terminus: signal peptide, dark green; NTD, N-terminal domain; RBD and RBM, receptor binding 7 domain and motif (purple), respectively; SD1, subunit domain 1; SD2, subunit domain 2; SGAG, 8 furin cleavage site mutation; FP, fusion peptide; HR1 and HR2, heptad repeat 1 and 2, 9 respectively; PP, diproline mutations; T4 fibritin trimerization motif (foldon), magenta; TEV 0 protease cleavage site, brown; 8X-His tag, green; SGAG, sequence replacing furin-cleavage site. 1 (bottom) Amino acid sequence alignments indicating sites of A4-tag insertions in S $\Delta$ TM. A4 2 peptide sequences (DSLDMLEW) are underlined. (C) Representative smFRET trajectory 3 acquired from an individual SATM trimer (blue). Idealization resulting from HMM analysis is 4 overlaid (red). High and low FRET states corresponding to the "down" and "up" positions of the 5 RBD, respectively, are indicated and highlighted in transparent yellow bars.

6

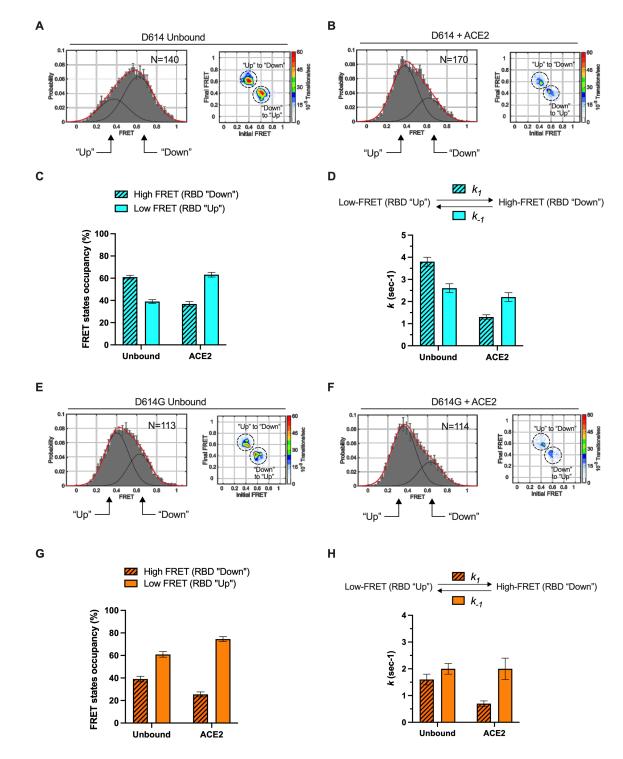
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8

9 Fig. 2. Verification of ACE2-binding to A4-tagged SATM trimers using FCS. (A) Cv5labeled ACE2 was incubated in the absence or presence of untagged or A4-tagged SATM spikes. 0 1 The diffusion of Cy5-ACE2 was evaluated by FCS using a 647-nm laser as indicated at Materials 2 and Methods. (B) Representative normalized autocorrelation curves for Cy5-ACE2 in the absence 3 (circles) or presence (squares) of S $\Delta$ TM, and the corresponding fits are shown in blue or magenta, 4 respectively. The shift in the autocorrelation to longer timescales seen in the presence of SATM 5 reflects the slower diffusion resulting from the larger size of the complex. (C) Cy5-ACE2 (100 6 nM) was incubated with different concentrations of the indicated S $\Delta$ TM spikes and the resulting 7 mixture was evaluated by FCS as described in Material and Methods. Dissociation constants  $(K_D)$ 8 determined from fitting the titration are indicated for the different SATM constructs. Data are 9 presented as the mean  $\pm$  standard deviation from three independent measurements. 0

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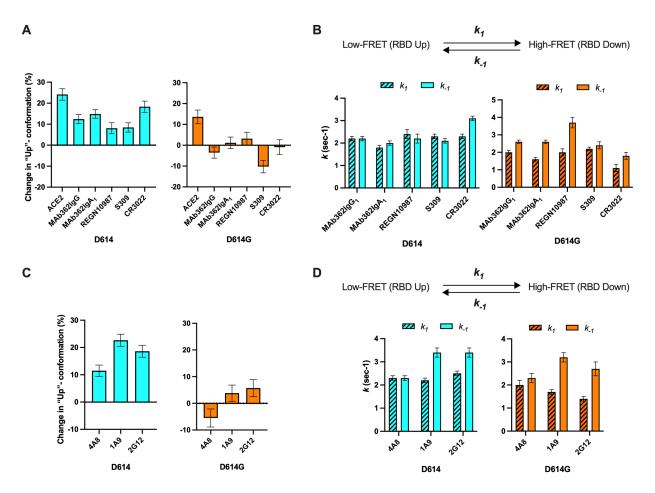
2 Fig. 3. ACE2-binding modulates the RBD conformation of SΔTM D614 and D614G. (A)

3 (left) FRET histogram for unbound S $\Delta$ TM D614 overlaid with the sum of two Gaussian

- 4 distributions (sum, red; single distributions, grey) reflecting the results of HMM analysis. The
- 5 Gaussian distributions are centered at 0.65 and 0.35 FRET, corresponding to the RBD-down and
- 6 RBD-up conformations, respectively. FRET histograms are presented as the mean  $\pm$  standard
- error determined from three independent populations of smFRET traces. The number of smFRET
   traces compiled in the histogram is shown (N). (right) Transition density plot (TDP) for unbound
- 9 SΔTM D614 indicating the frequency of observed FRET transitions, as indicated, determined
- 0 through HMM analysis. (**B**) The same data for the ACE2-bound S $\Delta$ TM D614 spike. (**C**)
- 1 Quantification of the high- and low-FRET state occupancies for unbound and ACE2-bound

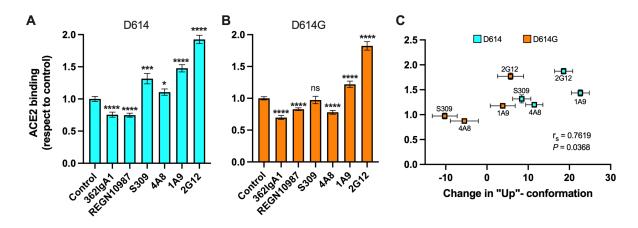
- 2 SATM D614 determined from HMM analysis of the individual smFRET traces. Occupancies are
- 3 presented as the mean  $\pm$  standard deviation across the population of traces. Numeric data are
- 4 shown in Table S2. (**D**) (top) Kinetic scheme defining the rates of transition between FRET states.
- 5 (bottom) Rates of transition for unbound and ACE2-bound SATM D614 determined from HMM
- 6 analysis of the individual smFRET traces. Rate constants are presented with error bars estimated
- 7 from 1000 bootstrap samples. Numeric data are shown in Table S2. (E,F) FRET histogram and
- 8 TDP for the unbound and ACE2-bound SATM D614G spike, displayed as in (A). (G,H) FRET
- 9 state occupancies and rates constants for the unbound and ACE2-bound SΔTM D614G spike,
- 0 displayed as in (C) and (D). Numeric data are shown in Table S3.
- 1

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3 Fig. 4. Antibodies directly and allosterically modulate SATM RBD conformation. (A) The 4 change in low-FRET occupancy (RBD-up conformation), calculated by subtracting the low-FRET 5 occupancy for unbound S $\Delta$ TM from that seen for S $\Delta$ TM in the presence of the indicated ligand 6 (ACE2 or RBD-targeted mAb). The changes in occupancy are shown for SATM D614 (cvan) and 7 D614G (orange). (B) (top) Kinetic scheme defining the rates of transition between FRET states. 8 (bottom) Rates of transition for SATM D614 (cvan) and D614G (orange) in the presence of RBD-9 targeted mAbs determined from HMM analysis of the individual smFRET traces. Rate constants 0 are presented with error bars estimated from 1000 bootstrap samples. Numeric data are shown in 1 Tables S2 and S3. (C) The change in low-FRET occupancy (RBD-up conformation) seen for 2 SATM D614 (cyan) and D614G (orange) in the presence of NTD- (4A8) and stalk-targeted (1A9 3 and 2G12) mAbs. Data were determined as in (A). (D) Rates of transition between FRET states 4 for SATM D614 (cyan) and D614G (orange) in the presence of NTD and stalk-targeted mAbs, 5 presented as in (B). Numeric data are shown in Tables S2 and S3. 6

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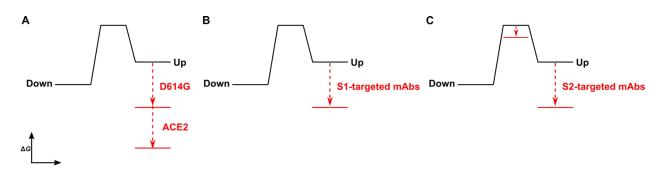


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8 Fig. 5. Allosteric modulation of the RBD position promotes ACE2 binding. (A) Binding of 9 ACE2 by (A) S $\Delta$ TM D614 or (B) D614G spikes pre-incubated with the indicated mAbs was 0 measured by FCS as described in Material and Methods. Data are presented as the average of two 1 independent experiments, each consisting of forty 10-sec acquisitions. Statistical significance was 2 evaluated through a two-tailed, unpaired Mann-Whitney test as indicated in Material and 3 Methods. P values <0.05 were considered significant and significance values are indicated as \**P*<0.05, \*\**P*<0.01, \*\*\**P*<0.001, \*\*\*\**P*<0.0001. (C) The change in the RBD-up conformation of 4 5 SATM spikes pre-incubated with the indicated mAbs (Fig. 4A,C) exhibited a positive correlation 6 with the binding of ACE2 determined through FCS. Statistical significance (P=0.0368) was found 7 when Spearman test was performed with 95% of confidence ( $\alpha$ =0.05).



9



0 Fig. 6. The D614G mutation and ligands modulate the S energetic landscape. (A) The D614G

1 mutation and ACE2 have additive effects on the thermodynamic stabilization of the RBD-up

2 conformation. (B) The predominant effect of mAbs that target the S1 domain, either the RBD

3 (MAb362, REGN10987, S309, CR3022) or NTD (4A8), is to stabilize the RBD-up conformation.

4 (C) mAbs that target the S2 domain have a more complex allosteric effect, resulting in

5 stabilization of the RBD-up conformation coupled to reduction in the activation energy for

6 transition from the RBD-down to the -up conformation.