Directional selection at gene expression level contributes to the speciation of Asian rice cultivars

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9 Abstract

Differences in expression levels play important roles in phenotypic variation across 10 species, especially those closely related species with limited genomic differences. 11 12 Therefore, studying gene evolution at expression level is important for illustrating phenotypic differentiation between species, such as the two Asian rice cultivars, 13 Oryza sativa L. ssp. indica and Oryza sativa L. ssp. japonica. In this study, we 14 15 evaluated the gene expression variation at inter-subspecies and intra-subspecies level using transcriptome data from seedlings of three *indica* and *japonica* rice and defined 16 four groups of genes under different natural selections. We found a substantial of 17 genes (about 79%) that are under stabilizing selection at the expression level in both 18 subspecies, while about 16% of genes are under directional selection. Genes under 19 directional selection have higher expression level and lower expression variation than 20 those under stabilizing selection, which suggest a potential explanation to subspecies 21 adaptation to different environments and interspecific phenotypic differences. 22 23 Subsequent functional enrichment analysis of genes under directional selection shows that indica rice have experienced the adaptation to environmental stresses, and also 24 show differences in biosynthesis and metabolism pathways. Our study provide an 25 avenue of investigating indica-japonica differentiation through gene expression 26 27 variation, which may guide to rice breeding and yield improvement.

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Key words: *japonica* rice; *indica* rice; gene expression evolution; speciation,
adaptation

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32 Introduction

Rice grown in Asia (*Oryza sativa* L.) is a very important food crop for China and the world (Ruan Bosheng, 2008), and more than half of the world's population uses it as a staple food (Sasaki & Burr, 2000). It is mainly divided into two different subspecies, namely the subspecies *Oryza sativa* L. ssp. *indica* (*indica*) and the subspecies *Oryza sativa* L. ssp. *japonica* (*japonica*). It is speculated that *japonica* and *indica* rice were

domesticated 9,000 years ago (Purugganan & Fuller, 2009), but debate about their 38 39 origins still exists. The first model, the single-source model, indicated that the two main subspecies of Asian rice, *indica* and *japonica*, were domesticated from wild rice 40 (O. rufipogon.) and then differentiated (Ting, Y., 1957) (Lu et al. al., 2002; Wang et al., 41 42 2008). In contrast, the second model, the multiple independent domestication model, proposes that the two main rice types are domesticated separately (Oka & Morishima, 43 1982). Indica rice evolved from wild rice. Because, japonica rice is the continuous 44 45 evolution and artificial selection of *indica* rice in the process of people's continuous introduction to high latitudes and high altitude areas (Lu Baorong et al., 2009). 46 Therefore, *indica* rice is the basic type, and *japonica* rice is the variant type (Molina 47 et al., 2011). The latter has been supported by many researchers after the observation 48 49 of the strong genetic differentiation between *indica* and *japonica* and the development of several systems of rice domestication (Wang, X. et al., 1984). The third origin 50 model claims that *indica* and *japonica* were independently domesticated or at least 51 domesticated twice and then differentiated from wild rice (O. rufipogon.) (Garris et al., 52 53 2005; Second, 1982).

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In most cases, indica rice ecotypes are mainly distributed in low-latitude or 55 high-altitude tropical and subtropical rice growing areas, while *japonica* rice ecotypes 56 are mainly distributed in high-latitude temperate areas (Lu et al., 2009). Due to 57 long-term adaptation to different ecological environments, *japonica* rice and *indica* 58 rice have differences in morphological characteristics, agronomic traits, and genes. In 59 terms of morphological characteristics, the stems of *indica* rice are thicker, and the 60 61 plant height is generally more than 1 meter. The tillering ability is stronger, the leaf color is lighter, the grains are slender, easy to fall, and the rice yield rate is low. 62 However, japonica rice generally has a thin stem and a plant height of 75-95 cm. 63 Traditional *japonica* rice varieties have a lower tillering ability than *indica* rice. The 64 leaves are darker, the grains are short and round, and they are not easy to shatter, and 65 the rice yield rate is higher. (Flower Encyclopedia; Xu Zhengjin et al., 2003). In terms 66 of agronomic characteristics, *indica* rice with a short growth period is more resistant 67

to humidity, heat, and strong light, but not cold tolerant. After being hulled into *indica* 68 69 rice, the transparency of the rice grains is low. Because it contains about 20% amylose, 70 *indica* rice is drier and looser when cooked. However, *japonica* rice, which has a long growth period, generally only matures once a year, is more cold-tolerant and tolerant 71 to low light, but not tolerant to high temperatures. After being hulled into japonica 72 rice, the rice grains have high transparency(Douding.com). Because it contains less 73 amylose, less than 15%, it is medium-viscosity (Report on Rice Factory Seedling 74 75 Breeding-Douding.com), and its cooked food characteristics are between glutinous rice and *indica* rice (Jiang Jian et al., 2001; Miao Xiangwei & Wang Dexin, 2009; Xu 76 Hai et al., 2007). 77

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We want to study how the advantages of gene expression evolution between *japonica* rice and *indica* rice are reflected in the phenotype. *Japonica* rice and *indica* rice are two subspecies with similar evolutionary distances and similar genomes. Because the differences between species caused by DNA sequences are relatively small, the differences caused by gene expression regulation are very important for the interpretation of phenotypic differences.

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Previous studies on phenotypic differences in species focused on the evolution of 86 87 gene lineage to study species differentiation (Onishi et al., 2007; Ting et al., 2000), but a small number of study focused on the study of transcriptomes. The 88 transcriptome is a collection of all transcription products in a cell under a specific 89 developmental stage or physiological condition of a species. Understanding the 90 91 transcriptome is of great significance for explaining the functional elements of the genome and the phenotypic traits controlled by its line (Wang et al., 2009). Therefore, 92 we want to study the influence of the transcriptome on the differences in gene 93 expression and the changes in traits. This will provide great research significance for 94 species differentiation. 95

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97 In order to measure the extent and specific traits of the differences between Asian

98 cultivated rice subspecies caused by gene expression levels, we divided genes into 99 four categories according to the differences in gene expression between subspecies and within subspecies: (1) genes subjected to directional selection: genes with large 100 101 differences in expression between species and small differences in expression within species are the genes that continue to strengthen the selection pressure for a certain 102 trait among populations. These genes are also the main genes that cause differences in 103 traits between species (Mitchell-Olds et al., 2007; Rieseberg et al., 2002). (2) Genes 104 105 subjected to stabilizing selection: genes with small differences between species and within species are the continuous selection pressure for interspecies traits in the 106 population. This natural selection will not lead to population differentiation 107 (Charlesworth et al., 1982). (3) Genetic drift: genes with large differences between 108 109 species and within species are a type of gene affected by random factors in the population (Melo & Marroig, 2015; Nielsen, 2005). (4) Complex scenario: genes with 110 small inter-species differences and large intra-species differences. After the 111 identification of natural selection, we analyze for gene enrichment of the genes 112 113 subject to directional selection and understand its specific traits and functions 114 (Subramanian et al., 2005).

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This article studies the following scientific issues. First, whether the genes subject to directional selection in expression are the genes that cause the phenotypic differences between *japonica* rice and *indica* rice. Second, whether the functions of these genes are related to phenotypic differences.

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121 The purpose and significance of this research are mainly to increase yield and 122 breeding. It is to study that this species is closely related to the food production of all 123 human beings, and to analyze the reasons for the differences in rice phenotypes so 124 that people can better control the traits to achieve the ideal yield and quality of rice.

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127 Materials and methods

The acquisition of rice transcriptome data and the measurement of gene expression levels

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We obtained the seedling transcriptome data of three different *indica* and *japonica* 131 rice lines (Indica: Khao Dawk Mali 105, Guangluai 4, and Rathuwee; Japonica: 132 Taipei 309, Heukgyeong, and Nipponbare) from Wen et al. (Wen et al., 2016). 133 Subsequently, we used hisat2 (version: 2.2.0, default parameters) to map the read 134 135 length of the original sequencing data of all samples back to the *japonica* rice reference genome (ensembl, IRGSP-1.0), and used stringtie (version: 2.1.6; 136 parameters: -e, Other defaults) to calculate the TPM (transcript per million) of the 137 protein-coding gene (ensembl, IRGSP-1.0.51). TPM was used as the expression level 138 139 of the protein-coding gene for subsequent analysis.

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142 Principal component analysis

After obtaining gene expression levels, we use principal components to analyze the differences between samples. First, the gene-specific TPM uses the R method vegdist to calculate the dissimilarity index between samples, then uses the R method prcomp to calculate the principal component of the sample for the index, and finally uses the R method ggbiplot to visualize the data. (Figure 1, grouping, principle component separates different samples)

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151 Identification of genes under four types of natural selection

We adopted the method of Yeh et al. According to whether there are significant differences in gene expression between species and within species, we divided them into four categories: directional selection: significant differences between species and insignificant differences within species; stabilizing selection: both difference between interspecies and difference between intraspecies are not significant; genetic drift: interspecies and intraspecies differences are both significant; complex scenario:

158 interspecies differences are not significant, and intraspecies differences are significant

159 (Yeh et al., 2014). We use a linear model to measure whether there are significant

160 differences in gene expression between species and within species.

161 For interspecies:

$$y_i = \mu + S_i + \varepsilon_i$$

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Among them, y_i represents the expression level of the protein coding gene of species i, μ is the basic expression level, S_i is the effect of species i, and ε_i is the residual. If the P value of the linear model is less than 0.05, it is defined as significant difference between species.

167 For intraspecies:

$$y_j = \mu + L_j + \varepsilon_j$$

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169 Among them, y_j represents the expression level of the protein coding gene of 170 line j, μ is the basic expression level, L_j is the effect of line j, ε_j and is the residual. 171 If the P value of the linear model is less than 0.05, it is defined as significant 172 intraspecies difference.

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175 Functional enrichment analysis

In order to find genes and functions related to subspecies differentiation, we used RiceNETDB (http://bis.zju.edu.cn/ricenetdb/) to carry out Gene Ontology (Gene Ontology) on *indica* and *japonica* species-specific directional selection genes. , GO) enrichment analysis. The three functional branches of GO, the biological process, molecular function and cellular component, are all used for functional enrichment analysis.

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185 **Results**

186 **Processing and expression analysis of Asian cultivated rice transcriptome data**

We obtained the seedling transcriptome data of three *indica* rice lines (Khao Dawk 187 Mali 105, Guangluai 4 and Rathuwee) and three *japonica* rice lines (Taipei 309, 188 189 Heukgyeong and Nipponbare) from the research of Wen et al. (Wen et al., 2016)(Table 1). After hisat2 (version 2.2.0), the initial read length was posted to the 190 reference genome (ensembl, IRGSP-1.0). Among the samples with a total read length 191 192 of 18,818,192 to 20,326,850, it was found that the only response rate of the transcriptome sequencing read length was between 63.41 and 68.40%. For the 35,775 193 protein-coding genes that have been annotated, the number of genes detected to be 194 expressed (TPM greater than 0) ranged from 28,021 to 29,092 (78.33 to 81.32%); 195 196 while higher expression levels were detected (TPM greater than or equal to 5), the number of genes is between 16,942-17,807 (47.36-49.77%). It shows that in our 197 subsequent analysis, about 50% of the genes can be judged to be credible and selected 198 because of their higher expression levels. 199

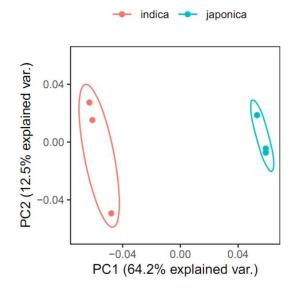
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201 **Table 1.** *Indica* and *Japonica* transcriptome statistics

					# of	# of
Subspecies	SRR id	Accession name	Total reads	Uniquely	detected	detected
				mapped	PCGs	PCGs
					(TPM>0)	(TPM≥5)
indica	SRR2154082	Guangluai4	20,326,850	13,336,411	28,021	16,942
inuicu				(65.61%)	(78.33%)	(47.36%)
	SRR2154083	KDM105	20,216,686	12,820,328	28,316	17,367
				(63.41%)	(79.15%)	(48.55%)
	SRR2154084	Rathuwee	19,876,912	12,716,807	28,240	17,428
				(63.98%)	(78.94%)	(48.72%)
ianonica	SRR2154085	Heukgyeong	19,889,198	13,584,731	28,766	17,312
japonica				(68.30%)	(80.41%)	(48.39%)
	SRR2154086	Nipponbare	18,818,192	12,871,620	29,300	17,807
				(68.40%)	(81.90%)	(49.77%)
	SRR2154087 7	Taipei309	19,094,551	13,013,267	29,092	17,475
				(68.15%)	(81.32%)	(48.85%)

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204 Subsequently, we conducted a principal component analysis of six lines from two cultivated rice subspecies, and found that the difference between the samples of *indica* 205 and *japonica* is relatively large (the first principal component PC1 can 206 explain 64.2% of the variation between the samples), while the subspecies differences 207 in the internal samples are small (the second principal component PC2 only 208 explains 12.5% of the variation between samples) (Figure 1). It shows that the data is 209 210 sufficient to define the evolution of expression based on inter-species and 211 intra-species differences (see Materials and Methods).



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Figure 1. Principal component analysis of the transcriptome of *indica* and *japonica* rice samples.

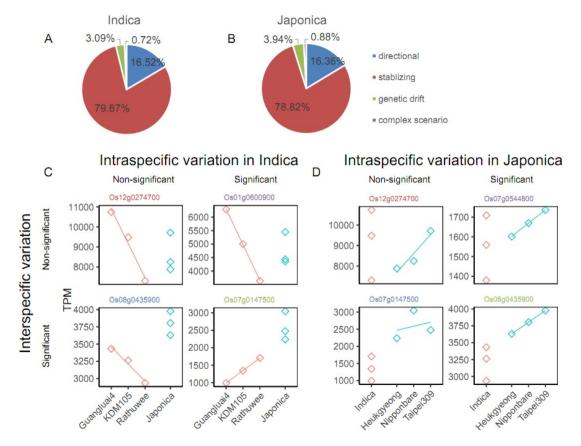
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The expression of most genes in *indica* and *japonica* rice is affected by stabilizing selection

Indica rice and *japonica* rice are subspecies of cultivated rice in Asia. They diverged about 0.55 million years ago (Stein et al. 2018, nature genetics). There is only 1/6 difference in genome , and most of them are located on transposons (Campbell et al., 2020; Gao et al., 2015; Ma & Bennetzen, 2004). Therefore, in addition to the genomic differences between *indica* and *japonica* rice, differences in

gene expression levels are also an important factor explaining the differences in traits 224 between this subspecies (Rieseberg et al., 2002). In order to find out which genes 225 cause differences between subspecies, we divided genes into four categories based on 226 differences in gene expression between and within subspecies: genes subject 227 to directional selection, genes subject to stabilizing selection gene, genetic drift, and 228 complex scenario. Our results show that among the 35,775 genes in indica and 229 of the are subject to stabilizing selection 230 japonica, most genes 231 (indica: 28,501, accounting for 79.67%; japonica: 28,198, accounting for 78.82%), which is similar to the two subspecies. The genomic differences are relatively small 232 and consistent (Figure 2A,B). For example, the expression difference of 233 the gene Os12g0274700 between and within the two subspecies is relatively small and 234 235 not significant (Figure 2C, D). However, there are still quite a few genes subject to targeted selection, 16.25% (5,910/35,775) in *indica* rice and 16.36% (5,854/35,775) 236 in japonica rice (Figure 1A, B). For example, in indica rice, the gene Os08g0435900, 237 which is subject to targeted selection, has a large difference in expression between 238 239 species, while the difference between *indica* species is not significant (Figure 2C). This gene is chlorophyll ab binding protein P4 (chlorophyll ab binding 240 protein P4), which is located in the chloroplast, and is highly expressed in flag leaves 241 before flowering (Wang et al., 2015). The gene Os07g0147500, which is subject to 242 directional selection in japonica rice, has a larger expression difference between 243 japonica rice and indica rice, but the expression difference in japonica rice is not 244 significant (Figure 2D). This gene is a 10kDa polypeptide located in the 245 photosystem II of the chloroplast, and is highly expressed in flower buds, leaves after 246 anthesis, and grain-filled seeds (Wang et al., 2015). In addition, genetic drift genes 247 whose expression is affected by random factors, that is, genes that are not 248 different between species and within species, 249 significantly account for about 3.09~3.94% of the two subspecies ; the expression of very few genes differs 250 within species Significant but not significant differences between species, it is a 251 complex situation, accounting for 0.72 to 0.88% (Figure 2A, B). 252

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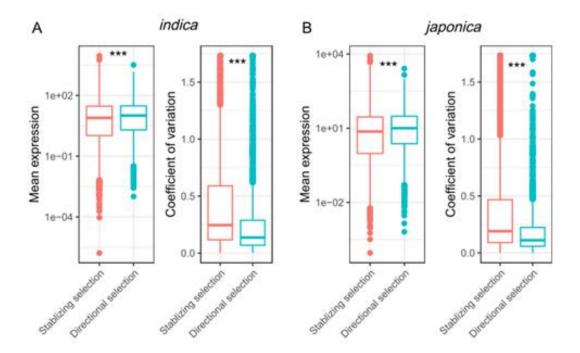
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Figure 2. Evolutionary pattern of gene expression in *indica* and *japonica* rice. The 255 256 ratio of the four types of expression selection in *indica* (A) and *japonica* (B). Graphical representation of the expression of four different selection types of 257 genes in *indica* (C) and *japonica* (D). The inter-species and intra-species significance 258 were obtained by one-way analysis of variance (one-way ANOVA). Directional 259 selection: genes with significant differences between species and insignificant 260 differences within species; stabilizing selection: genes with insignificant differences 261 between species and within species; genetic drift: between species Genes with 262 significant differences and intraspecies differences, and complex scenarios: Genes 263 264 with insignificant differences between species and significant differences within species. Red: Indica rice strain; Blue: Japonica rice strain. 265

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The difference in expression level of directional selection genes and stabilizing
 selection genes

Species differentiation is affected by two types of genes: stabilizing selection and 270 directional selection. Genes with small differences between species and within species 271 when genes are subjected to stabilizing selection are the continuous selection pressure 272 for interspecific traits in the population, and this natural selection will not lead to 273 population differentiation (Charlesworth et al., 1982); genes subjected to directional 274 selection are genes with large differences in expression between species and small 275 differences in expression within species. They are the continuous strengthening of 276 277 selective pressure for a trait among populations, and are the main genes that cause differences in traits between species (Mitchell-Olds et al., 2007; Rieseberg et al., 278 2002). Through previous research and analysis, we found that indica rice and 279 japonica rice are mainly subject to two types of genes, namely stabilizing selection 280 281 and directional selection, respectively. We analyze the differences in their expression levels between subspecies strains. We get TPM median of *indica* gene level is 7.3773, 282 TPM median of gene subjected to stabilizing selection is 3.6448. The expression 283 level of directional selection genes in *indica* rice was significantly greater than that of 284 285 stabilizing selection genes (Figure 3A Wilkerson rank sum test, p<0.001). In addition, the coefficient of variation (0.1364) of directional selection genes was significantly 286 lower than that of stabilizing selection genes (0.2451) (Figure 3A Wilkerson rank sum 287 situation found 288 test. p<0.001). We also the same in japonica rice. The median TPM expression level of the directional selection gene in japonica 289 rice was 9.4966, and the gene expression level of the stabilizing selection gene 290 was 3.4431. The expression level of directional selection genes was significantly 291 greater than that of stabilizing selection genes (Figure 3B Wilkerson rank sum 292 293 test, p<0.001). Similarly, the coefficient of variation of *japonica* gene subjected to directional selection (0.1106) is significantly lower than the coefficient of variation of 294 gene subjected to stabilizing selection (0.1904) (Figure 3B Weierkesen rank sum 295 test, P < from 0.001). Therefore, from the data of gene expression level and variation 296 level, we can conclude that the expression level of targeted selection genes is higher 297 and the variation level is lower. Directional selection genes play a more important role 298 in the process of subspecies differentiation than stabilizing selection genes. 299



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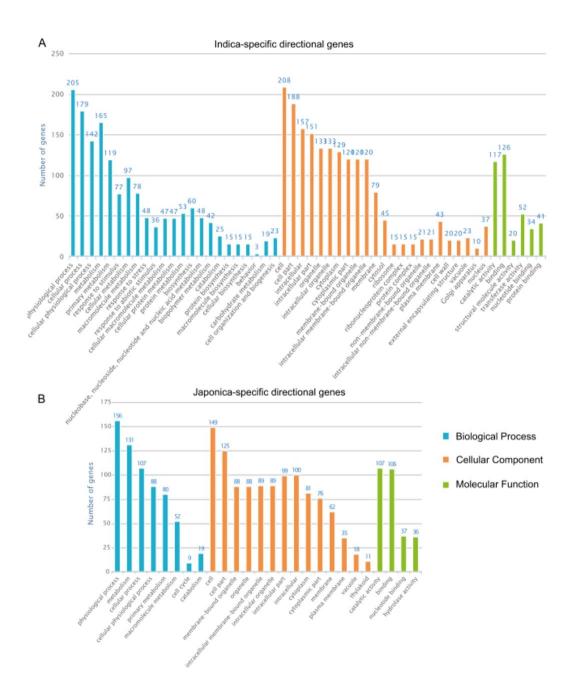
Figure 3. The expression and variation levels of directional selection and stabilizing
selection in *indica* (A) and *japonica* (B). *** Wilcoxon rank sum test, p<0.001. Red:
stabilizing selection gene; green: directional selection gene.

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Indica rice species-specific targeted selection genes will enrich biological pathways to cope with environmental pressures

In order to measure the phenotypic difference between *indica* and *japonica* in 308 309 gene expression levels, we focused on species-specific directional selection of genes. Among the 5,910 indica-directional selection genes identified, 298 were 310 indica-specific; among the 5,854 japonica-directional selection genes, 242 were 311 312 japonica-specific. Subsequently, we will conduct gene function enrichment analysis for these subspecies-specific targeted selections (see Materials and Methods) to obtain 313 functional pathways related to phenotypic differences. In addition to enriching a large 314 315 number of common functional pathways, we found that *indica* rice-specific targeted genes also specifically enriched two types of biological pathways (Figure 4). The first 316 category is related to coping with environmental stress, such as the response to 317 stimulus, response to stress, response to abiotic stimulus (Figure 4A). This is related 318

to the different geographical distribution, temperature and light adaptation of the two 319 320 subspecies of indica and japonica (Foll & Gaggiotti, 2006; Mahgoub, 2019; Wu et al., 2019). The second category is the biosynthesis and metabolism, especially the 321 Biopolymer Metabolism, Carbohydrate Metabolism, Protein biosynthesis, 322 biosynthesis Macromolecule, etc (Figure 4A). This type of pathway may be related to 323 the difference in starch and protein composition between *indica* and *japonica* rice. For 324 example, indica rice has a higher amylose content than japonica rice (DuPont & 325 326 Altenbach, 2003: Padilla-González et al.. 2019). In addition. indica subspecies-specific targeted selection genes also have characteristics in the location of 327 cellular components, for example, they specifically 328 are located in non-membrane-bound organelles (intracellular non-membrane-bound organelle, 329 330 external encapsulating structure). The molecule function also exist specificity, such as the activity of the specific structure of the molecules enriched (Structural Molecular 331 Activity), transferase activity, etc. 332



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Figure 4. Gene Ontology (GO) functional enrichment analysis of species-specific directional selection genes in *indica* (A) and *japonica* (B). Blue: biological processes; orange: cellular components; green: molecular functions.

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338 Discussion

In the process of natural differentiation or artificial selection of species, the core gene regions affected by selection pressure are of concern to a wide range of researchers. If gene flow continues to affect species undergoing natural differentiation or artificial

selection, under different selection pressures, different regions of the same genome 342 will express different evolutionary results (He et al., 2011), such as gene 343 introgression. Differences in genomic DNA and changes in epigenetics are the two 344 main factors that cause differences between species. Unlike in the past, which mainly 345 focused on the change of DNA sequence to study species differentiation (He et al., 346 2011), in recent years, more studies have focused on the transcriptome level and the 347 expression level to explore the factors of species evolution. These studies have found 348 349 that gene expression has a wide range of adaptability and is related to species differentiation (Guo et al., 2016; Wen et al., 2016; Yeh et al., 2014). In the past, some 350 scholars studied the effects of changes in the species transcriptome on the evolution of 351 gene expression, and gene chips. But our research has data advantages. We study the 352 353 evolution of gene expression, which makes it easier to accurately find genes that differentiate between species than pure differential expression. In addition, we use 354 more types of strains and effective methods to obtain more accurate 355 transcriptomes (Ding et al., 2007; Goff et al., 2002; Huang et al., 2012; Wang et al., 356 357 2014).

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If the effects on rice differentiation are studied by observing changes in genome, this 359 will have limitations and deficiencies, such as only studying wild rice and neglecting 360 artificial selection (Guo et al., 2016), or lack of measuring the internal expression 361 variation of multiple strains between subspecies (Guo et al., 2016), or lack of 362 sequencing data of tissue or developmental stages at that time (Wen et al., 2016). We 363 use the linear model method to study the interspecific and intraspecific expression 364 365 differences of the seedling transcriptome data of six lines of *japonica* rice and *indica* rice, and divide the genes into four selected gene types. We found that most of the 366 subspecies with a higher degree of genome similarity are genes that are subjected to 367 stabilizing selection, followed by genes that are subject to targeted selection. Later, in 368 further comparing the expression levels and expression variation levels of genes 369 370 subject to stabilizing selection and targeted selection, we found that targeted selection genes have higher expression levels and lower variation levels than stabilizing 371

selection genes. This illustrates the importance of directional selection of genes in species differentiation. Finally, in the enrichment analysis of genes subject to targeted selection, we found that these genes are related to environmental pressure. This can indicate that the directional selection genes of *japonica* rice may have been affected by environmental pressure, which led to the differentiation of *indica* rice. The study of species evolution from the perspective of the transcriptome can provide different perspectives and depths.

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Our research has two main shortcomings. First, from the data level, we only collected 380 the transcriptome data of *indica* and *japonica* rice seedlings but missed the 381 transcriptome data of other tissues or developmental stages. Future research can use 382 383 more extensive and comprehensive organization and developmental stages to carry out more in-depth research. Second, there are many models for the evolution of 384 cultivated rice. There are single-source models, that is they are domesticated together 385 and then differentiated. There are also multiple independent domestication models, 386 387 that is *indica* rice originates from *japonica* rice, and there are independent-origin models, that is completely independent domestication. In addition, wild rice, the 388 ancestor of cultivated rice, is also controversial (He et al., 2011; Stein et al., 389 2018). However, we only studied the transcriptome of two cultivated rice. Future 390 391 research can focus on the transcriptome of different wild rice. Although our results are 392 insufficient, we have discovered the contribution of the transcriptome of cultivated rice seedlings to species differentiation. This lays the foundation for future research 393 on the impact of changes in gene expression levels on species differentiation and 394 395 gives certain prospective results, especially the impact of artificial selection on 396 cultivated rice.

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401 **Conflict of interest**

402 The authors declare no competing interests.

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