Host Variation in Type I Interferon Signaling Genes (*MX1*), CCR5∆32, and MHC Class I

Alleles in Treated HIV+ Non-Controllers Predict Viral Reservoir Size

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Abstract

Prior genomewide association studies have identified variation in MHC Class I alleles and *CCR5Δ32* as genetic predictors of viral control, especially in "elite" controllers, individuals who remain virally suppressed in the absence of therapy. We analyzed custom host whole exome sequencing and direct HLA typing from 202 ART-suppressed HIV+ non-controllers in relation to four measures of the peripheral CD4+ T cell reservoir: HIV intact DNA, total (t)DNA, unspliced (us)RNA, and RNA/DNA. Linear mixed models were adjusted for potential covariates including age, sex, nadir CD4+ T cell count, pre-ART HIV RNA, timing of ART initiation from date of detected HIV infection, and duration of ART suppression. Previously reported HLA "protective" B*57:01 (in untreated elite controllers) was significantly associated with lower usRNA ($p=4.2 \times 10^{-4}$, Benjamini-Hochberg false discovery rate [FDR] $q=3.3 \times 10^{-3}$). The favorable *CCR5Δ32* mutation was also associated with lower tDNA and usRNA, primarily in Europeans ($p=4.3 \times 10^{-3}$ and 8.7×10^{-3}). Several SNPs in interferon stimulated gene, *MX1*, were associated with HIV total DNA ($p=1.3 \times 10^{-7}$; $q=0.02$), and the direction of these associations paralleled predicted eQTL (expression quantitative trait) *MX1* gene expression. Among Europeans, gene set enrichment analysis identified genes involved in "negative regulation of host cell viral entry" to be significantly associated with usRNA ($q=0.03$). These findings suggest a potential role for interferon signaling, MHC class I alleles, and CCR5Δ32 in maintaining the reservoir size in HIV non-controllers. These data need to be further validated in functional studies and replication cohorts.

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1. Background

HIV persistence may contribute to ongoing inflammation, immune activation, and subsequent clinical outcomes, even during effective ART (1-4). However, factors associated with the HIV reservoir size, including the timing of ART initiation (smaller reservoir sizes with starting therapy during acute versus chronic infection (5, 6)), and host factors such as the level of T cell activation and T cell function only explain a fraction of the person-to-person variability in HIV reservoir size (7). Identifying host genetic predictors of the HIV reservoir in ART-suppressed individuals may shed light on additional (potentially modifiable factors) that may contribute to HIV persistence.

Most prior host genetic HIV studies have evaluated untreated HIV-infected individuals to identify variants associated with viral setpoint, especially among "elite controllers", HIV+ individuals able to maintain viral suppression in the absence of therapy (8-17). These studies identified several key single nucleotide polymorphisms (SNPs) in the human Major Histocompatibility Complex (MHC), or human leukocyte antigen (HLA)-B and -C regions as well as deletions in the C-C chemokine receptor type 5 gene (*CCR5Δ32*) (18-21) and a SNP in the HLA complex 5 (*HCP5*) gene (9). It remains unclear if "residual" viral control during treated HIV disease – a.k.a., "the HIV reservoir" – is influenced by the same genetic variants that influence viral control during untreated disease. It is also possible that identified genetic variants may have variable "penetrance" (22) in the population, i.e., lead to varying degrees to which the clinical phenotype is expressed; the same genetic variants enriched in "elite controllers" (e.g., *CCR5Δ32*) might drive the same immune processes influence HIV reservoir size in non-controllers, but to a lesser degree.

Therefore, in order to identify host genetic variation associated with the HIV reservoir size, we performed custom whole exome sequencing and HLA typing among HIV non-controllers, including a range of individuals treated during "early" (within 6 months) and "later" (after 6 months) of HIV infection from the University of California San Francisco SCOPE and

Options cohorts. Our study adds to two recent host genetic studies of the HIV reservoir (23, 24),

one of which was published concurrently to ours (24). The first (23) evaluated 797 HIV-infected

acute- and chronic-treated individuals from the Swiss Cohort Study, of which 194 had whole

exome sequencing performed but observed no association between genetic variants (including

HLA or *CCR5Δ32*) and reservoir size. The study included longitudinal measurements of the HIV

reservoir, but only HIV total DNA from peripheral blood mononuclear cells (PBMCs) were

quantified. The study also imputed HLA alleles (from genotypes), rather than performed direct

HLA typing, and it is unclear if the study adjusted for timing of ART initiation as a covariate in

the modeling. The second (24) study was published concurrently with our own and included 207

chronic-treated individuals. GWAS microarray, rather than genetic sequencing, was performed,

but HIV total DNA and unspliced RNA were quantified from peripheral CD4+ T cells. The study

did not report HLA or *CCR5Δ32* findings, but did report a statistically significant association

between HIV tDNA and a SNP in *PTDSS2*, encoding an immunosuppressive protein, using a

stringent genomewide significance cutoff of $P<5x10^{-8}$ (25). A trend was also reported with HIV

RNA/DNA and three additional genes, *IRF7* (Interferon Regulatory Factor 7), *RNH1*

(Ribonuclease/Angiogenin Inhibitor 1), and *DEAF1* (DEAF1 Transcription Factor).

Here, we performed custom whole exome sequencing (including intronic, 50 kilobase

upstream, and 50 kilobase downstream regulatory regions of select candidate genes) and direct

HLA typing of 25 polymorphic exons of HLA-A & B, C, E, DPA1, DPB1, DQA1, DQB1, DRB1,

and DRB3, 4, 5. We quantified four measures of the HIV reservoir from peripheral CD4+ T cells:

HIV cell-associated "intact" DNA (an estimate of the frequency of potentially "replication-

competent" virus with intact HIV genomes) (26), as well as total DNA (approximates intact +

defective HIV DNA), unspliced (full length transcript prior to alternative splicing) RNA, and

RNA/DNA (previously used as a marker of "transcriptionally active" HIV reservoir) from 202

HIV+ ART-suppressed acute- and chronic-treated non-controllers (**Figure 1**). We performed

both "common" (polymorphisms observed at minor allele frequency, MAF, ≥5%) and rare-

variant, gene-based analyses. We observed significant genetic associations with variants

previously linked to viral control during untreated HIV disease (e.g., MHC class I alleles (9, 16)

and *CCR5Δ32* (19, 20, 27)), to be associated with the HIV reservoir size in our study population.

In addition, we identified several novel genes, highlighting potentially important roles for

interferon signaling (28) and actively transcribing HIV (29-32) in determining the peripheral HIV

reservoir size.

3. Results

Study population

A total of 202 HIV-infected ART-suppressed individuals from the UCSF SCOPE and

Options HIV+ cohorts were included in the study. Consistent with our San Francisco-based HIV

patient population, participants were mostly male (94%) with median age of 46 (**Table S1**). The

study included individuals who initiated ART during early (<6 months) as well as chronic ($\geq$6

months) HIV infection (**Table S1**). HIV "elite controllers," individuals with a history of

undetectable viral load in the absence of therapy for at least 1 year prior to the specimen

collection date (33-35), were excluded. Participants had a median of 5.1 years of ART

suppression at the time of biospecimen sampling and reported a median nadir CD4+ T cell

count of 341 cells/mm^3 and maximum pre-ART HIV RNA of 5.1 log$_{10}$copies/mL (**Table S1**). The

majority of study participants reported White/European American ethnicity (63%), and the

remainder reported Black/African American (12%), Hispanic/Latino (11%), Mixed

Ethnicity/Multiracial (6%), Asian (4%), Pacific Islander (1.5%), Native American (<1%), and

Middle Eastern (<1%) ethnicities. Interestingly, upon further inspection of the raw clinical data,

we identified 22 individuals with at least one documented HIV RNA value <10,000 prior to ART

initiation (which did not occur during primary HIV infection when such values might be

considered within normal range (36)); these individuals were assessed in subanalyses shown in

Figures S1-S2 as "possible partial controllers" to ensure that surreptitious HIV controllers were

not included in the analyses, potentially driving our results.

Earlier ART initiation was associated with smaller HIV reservoir sizes

Consistent with prior work (37-39), earlier ART initiation was statistically significantly

associated with smaller HIV reservoir sizes: tDNA: R=0.28; p=7.6×10^{-5}, usRNA:

R=0.28,p=5.9×10^{-5}, and intact DNA: R=0.21; p=3.8×10^{-3} (**Figure 2**). Lower nadir CD4+ T cell

counts were significantly associated with larger reservoir sizes: tDNA: r=-0.28, p=7.1×10^{-5},

usRNA: R=-0.23, p=9.9×10^{-4}, RNA/DNA: -0.15, p=3.3×10^{-2}, and intact DNA: R=-0.27, p=2.5×10^{-4}

(**Figure S3**). Maximum pre-ART viral load and duration of ART suppression were less strongly

correlated with the HIV reservoir measures (**Figure S4** and **Figure S5**, respectively).

Measures of the HIV reservoir size were correlated with each other

The HIV reservoir largely consists of "defective" virus that harbors mutations prohibiting

the production of infectious virus (39, 40), and there is currently no "gold standard" for

measuring the HIV reservoir. Here, we estimated the frequency of HIV "intact" DNA using a

droplet digital PCR (ddPCR)-based assay as a proxy for estimating the size of the potential

"replication-competent" reservoir (41, 42). We also measured HIV total DNA (which includes

both defective and intact HIV) and unspliced RNA (which corresponds to full-length HIV RNA

transcript, prior to alternative splicing) with an in-house quantitative polymerase chain reaction

(qPCR) TaqMan assay using HIV-1 long terminal repeat (LTR)-specific primers (43). Cell-

associated HIV total DNA and unspliced RNA were simultaneously extracted from the same

sample aliquot using the dual extraction AllPrep Universal Kit (Qiagen, Hilden, Germany)); tDNA

and usRNA results were found to be significantly correlated with one another (Spearman

r=0.59, p=8.3×10^{-20}, **Figure S6a**). HIV intact DNA was associated with HIV usRNA (Spearman

r=0.25, p=7.0x10^{-4}, **Figure S6b**) but not with HIV tDNA (Spearman r=0.10, p=0.19, **Figure S6c**).

Custom whole exome sequencing enhanced genomic coverage and allowed principal
component analysis to minimize population stratification bias

We designed custom primers and probes to sequence an additional 54 megabases of
intronic, intergenic, and untranslated regions of putative genes associated with the HIV reservoir,
including genes previously associated with HIV integration into the host genome, host HIV antiviral
restriction factors, and genes involved in the regulation of cell cycle progression, in addition to
performing whole exome sequencing of all exons in the human genome (**Table S2**) (44-47). A
total of 1,279,156 variants from 23,733 genes from 199 participants passed quality control
metrics, with a total of 46% intronic, 21% intergenic, 19% exonic, 6% untranslated region (UTR),
6% non-coding RNA variants that were included in the final analysis (**Figure 3a**). Among the
exonic variants, 53% were nonsynonymous and 42% were synonymous variants (**Figure 3b**).

Principal component analysis (PCA) was performed to investigate the population
structure and account for potential population stratification bias (i.e., differences in allele
frequencies due to differences in ancestry rather than to clinical phenotype). These analyses
generated the PCs used as covariates for ancestry in final multivariate models (**Figure 4)**.
Secondary PC analyses were performed among Europeans, the largest cluster, providing a
relatively homogenous subgroup in which to perform sensitivity analyses (**Figure 4b**). Final
models demonstrated lambda GC (genomic inflation factor (48)) values that were all close to 1
(**Figure 5**), further ensuring minimal systematic bias secondary to population stratification in the
analyses.

HLA "protective" B*57:01 and "risk" C*07 alleles from prior studies of untreated HIV
disease were associated with smaller and larger HIV reservoir sizes, respectively
We performed direct HLA typing to determine whether HLA alleles known to be associated

with viral control during untreated disease (16) were also associated with the HIV reservoir

(**Tables S3-S6**). Using a Benjamini-Hochberg (49) false discovery rate (FDR) adjusted q<0.05

for statistical significance, as well as evaluating a less stringent q<0.25 for suggestive trends

(given our smaller genomewide study, as discussed previously (50)), we examined previously

reported protective (B*57:01, B*27:05, B*14, C*08:02, B*52, and A*25) and risk (B*35 and

C*07) alleles (16). HLA-B*57:01 demonstrated a statistically significant "protective effect" on HIV

usRNA (β=-1.5, p=4.2x10^{-4}, q=3.3x10^{-3}), with a similar trend observed for tDNA (β=-1.6,

p=0.032, q=0.13). The previously reported HLA-C*07 "risk" allele associated with higher viral

loads during untreated disease, which was observed primarily in Europeans, also demonstrated

a trend towards larger HIV reservoir size in our European subgroup (tDNA: β=0.76, p=0.012,

q=0.072, and usRNA: β=0.41, p=0.034, q=0.10). Previously reported "risk" allele HLA-B*35, in

contrast, demonstrated a trend with smaller HIV reservoir size (tDNA: β=-0.81, p=0.021, q=0.13,

and usRNA: β=-0.44, p=0.032,q=0.13). Neither HIV RNA/DNA (a frequently used biomarker of

the "active" HIV reservoir in older studies (51, 52)) or HIV intact DNA (a more recent assay that

estimates the "replication-competent" HIV reservoir (41, 42)) were statistically significantly

associated with HLA alleles. Further analyses employing a composite HLA variable (the

aggregate of the total number of protective alleles minus the total number of risk alleles was

analyzed in relation to each of the HIV reservoir measures), did not identify statistically

significant associations (**Tables S3-S6**).

CCR5Δ32 was associated with smaller HIV reservoir sizes

Deletions in the C-C chemokine receptor type 5 gene (*CCR5Δ32*) have previously been

shown to be associated with HIV viral control in the absence of therapy (19, 20, 27). We

observed a statistically significant association between the presence of *CCR5Δ32* mutation and

smaller HIV reservoir size in Europeans (tDNA: β=-1.3, p=4.3x10^{-3}; usRNA: β=-0.78, p=8.7x10^{-}

[3]), with a similar trend observed in the total population (tDNA: β=-0.86, p=0.045; usRNA: β=-

0.41, p=0.12), In addition, the previously reported long noncoding RNA variant which regulates

differential CCR5 expression (rs1015164) (21), was found to be significantly associated with

smaller HIV reservoir size in Europeans (usRNA: β=-0.39, p=0.027), which reached near-

statistical significance in the total population as well (usRNA: β=-0.30, p=0.051),

Several SNPs in the antiviral interferon stimulated gene, *MX1*, were associated with

larger and smaller HIV reservoir sizes, paralleling predicted *MX1* gene expression

The strongest genetic associations with HIV reservoir size in the total study population were with

total HIV DNA, in particular, with the human interferon-inducible myxovirus resistance 1 gene, *MX1*,

also known as *MXA* (53, 54). Again using a Benjamini-Hochberg (49) FDR-adjusted q<0.05 for

statistical significance, and a less stringent q<0.25 to evaluate suggestive trends in our smaller

genomewide study (50), we identified 44 SNPs in linkage disequilibrium (LD) in *MX1* (all

q<0.03) (**Table 1 and Table S7**). Many of these *MX1* SNPs lie in known expression quantitative

trait loci (eQTL) (55), genomic regions shown to influence gene (mRNA) expression in experimental

and/or in silico studies. *MX1* is closely related to *MX2* (*MXB*), which encodes a well-known potent

host restriction factor that inhibits HIV-1 infection (56-58). MX1 has been associated with HIV-1

latency in in latently infected cell lines (59) and been shown to inhibit viral replication for other

viruses (e.g., influenza and hepatitis B) (60). Interestingly, per the eQTL data, the top hit *MX1*

SNPs associated with upregulated *MX1* expression (55), were the same SNPs that

predicted larger HIV reservoir sizes, while the *MX1* SNPs with predicted lower *MX1*

expression, were the SNPs associated with smaller HIV reservoir sizes (**Table S7**). These

eQTL data might support a model where enhanced *MX1* gene expression reflects a larger

HIV reservoir size. Perhaps, for example, that genetically predisposed individuals with

robust type I interferon responses may fail to limit the size of the HIV reservoir due to

desensitization and/or selection of interferon-resistant clones over time. This model would

fit with a recent study demonstrating that after each round of ART interruption, rebounding

virus emerged increasingly from interferon-resistant clones (able to evade host pressure)

(28).

We also observed two additional SNPs significantly associated with HIV tDNA, the first in

PPP1CB (p=1.5x10^{-7}, q=0.03), which encodes Protein Phosphatase 1 Catalytic Subunit Beta, a

protein shown to reduce the antiviral potency of MX2 against HIV-1 (58), and *LRMP*

(p=4.1x10^{-6}, q=0.03), which encodes Lymphoid-Restricted Membrane Protein, which plays a

critical role in the delivery of peptides to MHC class I molecules (61) (**Table 1**). Additional SNPs

that showed trends with HIV tDNA included: *DDX3X* (p=2.5x10^{-5}, q=0.17), which encodes

DEAD-box helicase 3 X-linked, a protein that regulates the production of type I interferons (62),

and *AKAP6* (p=3.0x10^{-5}, q=0.20), encoding A-Kinase Anchoring Protein 6, which that binds to

regulatory subunits of protein kinase A, a critical signaling pathway that has been associated

with HIV latency reversal and T cell proliferation (63, 64). Among Europeans, *OSBP* (p=1.9x10^{-}

^{5}, q=0.14), encoding oxysterol-binding protein, involved in intracellular lipid transport and

previously associated with HIV-1 infection of monocyte-derived macrophages from highly-

exposed seronegative individuals (65), also showed a trend with HIV tDNA.

No SNPs met statistical significance in association with HIV intact DNA or RNA/DNA ratio,

and one SNP demonstrated a slight trend with HIV usRNA (**Table 1**). This SNP is intronic in

PLAVP (p=6.9x10^{-7}, q=0.21), which encodes a protein regulating lymphocyte migration into

lymph nodes (66) and also lies <30 kilobases upstream of *BST2*, encoding for the HIV host

restriction factor, tetherin (67).

Genes involved in viral entry into host cell were significantly associated with the putative

"replication-competent" HIV reservoir (intact DNA)

We then performed multi-SNP rare variant analyses using the Variant Set Mixed Model

Association Test (SMMAT) (68), a similar rare variant analysis method to SKAT-O (69), and gene

set enrichment analyses (GSEA) using the Broad MSigDB database (47, 70), to identify genes

and gene sets associated with the HIV reservoir size. No individual genes met statistical

significance at an FDR q<0.05 or a trend at q<0.25 (data not shown). GSEA identified one

statistically significant association between "Negative Regulation of Viral Entry into Host Cell"

and HIV intact DNA levels (NES, normalized enrichment score=1.81, q=0.03) (**Figure 6, Table**

S8). Genes contributing to the gene set's statistical significance included: *IFITM1*, *IFITM3*,

APCS, *IFITM2*, *FCN3*, *FCN1*, *GSN*, *TRIM59*, *SNX3*, *TRIM25*, *PTX3*, *TRIM11*, *TRIM8*, *MID2*,

TRIM5, *IFNA2* (**Table S8**).

Several other gene sets showed trends with HIV intact DNA (including 2 gene sets related to

the key interferon-induced STAT, signal transducer and activator of transcription, signaling

pathway; NES=1.67, q=0.19 and NES=1.63, q=0.25), HIV tDNA (glycosylation gene set;

NES=1.76, q=0.12) and usRNA (retroviral transcription gene set; NES=1.73, q=0.16) (**Figure 6,**

Table S8).

4. Discussion

We performed custom whole exome sequencing and HLA typing from 202 HIV+ ART-

suppressed non-controllers treated during both acute and chronic HIV infection, in relation to

four measures of the peripheral CD4+ T cell reservoir (intact DNA, total DNA, unspliced RNA,

and RNA/DNA). Our study found that the previously reported "protective" HLA-B*57:01 allele

and the favorable *CCR5Δ32* mutation were associated with smaller HIV reservoir size (usRNA

for B*57:01; tDNA and usRNA for *CCR5Δ32*), especially among individuals of European

ancestry. SNP-based analyses identified *MX1*, a type I interferon stimulated gene, to be

significantly associated with tDNA, and these associations correlated with predicted eQTL *MX1*

gene expression data. Finally, gene-based analyses identified genes involved in "viral entry into

host cell" to be significantly associated with HIV intact DNA. The gene set again included

several genes involved in interferon signaling, potentially reflecting an important role in

maintenances of the replication-competent HIV reservoir. Further validation of our findings in

other cohorts is needed, especially among non-European and including female participants, to

determine whether these genetic variants might serve as potential targets for viral eradication in

the majority of people living with HIV (PLWH).

We observed a statistically significant protective association with HLA-B*57:01 and a risk

association with HLA-C*07, as previously described during untreated HIV disease (9, 16), on

the HIV reservoir size in our study. These associations were observed primarily among

individuals with European ancestry and with usRNA measures; however similar trends were

observed in the total population, as well as with tDNA levels (**Tables S3-S6**). Similarly,

previously reported "risk" allele HLA-B*35, showed a trend with usRNA and tDNA only – but the

direction for this allele was in contrast to prior reported associations; here B*35 was associated

with a "protective" effect, or smaller HIV reservoir size. If confirmed in other studies, the

observed associations between these HLA alleles and tDNA and usRNA (but not intact DNA)

may simply reflect the lower frequency of intact DNA compared to these other measures, but

might also suggest that even defective provirus can produce proteins (e.g., HIV Nef), making

the cells "visible" to the immune system (e.g., cells expressing MHC class I molecules) (71-73).

Similarly, our observation that the *CCR5Δ32* mutation was associated with a smaller HIV

reservoir size is consistent with a prior study in ART-suppressed individuals (74). A second

study, in contrast, did not report an association between *CCR5Δ32* and reservoir size during

treated disease, but the study only included chronic-treated individuals, which may have

influenced the differential findings (75). Our results are also in contrast to a recent genomewide

study which also reported no association between *CCR5Δ32* and HIV reservoir size (measured

solely as total DNA from PBMCs) (23). However, this study as well did not adjust their analyses

for timing of ART initiation, one of the strongest clinical predictors of HIV reservoir size (5, 6),

although Table 1 of the paper indicates that at least 140 individuals were labeled as "Infection

Stage" as being "acute." The study also reported approximately 200 (25%) of the participants

had "blips" or "low-level" viremia during the study period, which may have also contributed to

their findings.

We also performed SNP-based common genetic variant (MAF≥5%) and multi-SNP rare

variant gene-based analyses. The most striking finding from our SNP-based analysis was the

identification of several SNPs in the human interferon-inducible myxovirus resistance 1 gene,

MX1, also known as *MXA*, as well as additional genes linked to type I interferon signaling (**Table 1,**

Table S7). The encoded protein, MX1, is closely related to MX2 (MXB), a potent host restriction

factor that inhibits HIV infection prior to integration (56-58). MX1 itself is long recognized as a potent

antiviral factor, inhibiting replication of several RNA viruses, including influenza A and measles

viruses, and DNA viruses, such as hepatitis B virus (60). Indeed, *MX1* gene expression has been

shown to be upregulated in HIV-infected individuals compared to HIV-uninfected individuals (76), in

HIV-infected individuals with high vs low viremia (77), and with HIV-1 latency in latently infected cell

lines (59). eQTL function at these loci (55) suggest that elevated levels of MX1 expression may

be associated with higher total HIV DNA levels (78, 79). We also observed statistically

significant (*PPP1CB*) and suggestive (*DDX3X*) associations with HIV tDNA and these additional

interferon-related genes. *PPP1CB* encodes Protein Phosphatase 1 Catalytic Subunit Beta,

which regulates the antiviral potency of MX2 (58). PP1CB has been shown to interact with HIV

Tat and is required for Tat-induced HIV-1 transcription in cultured cells; suppression of PP1 has

been shown to inhibit HIV-1 transcription in cell lines (80). *DDX3X* encodes DEAD Box Helicase

3 X-Linked, which is an X-chromosome-encoded RNA helicase that regulates type I inteferon

production (62). Prior work suggests that DDX3X may be involved in HIV-1 translation,

delivering HIV-1 RNA from the nucleus to the cytoplasm (81). The other statistically significant

SNP associated with tDNA was in *LRMP*, which encodes for Lymphoid-Restricted Membrane

Protein, important for the delivery of peptides to MHC class I molecules (61). *LRMP* is

differentially expressed in HIV-1 infected lymph nodes from patients before and after ART

initiation, compared with untreated controls (82). Interestingly, HIV-infected patients who

underwent ART interruption were found to have differential *LRMP* gene expression between

HIV-infected individuals with slow versus rapid CD4 decline during treatment interruption (83).

Given its role in peptide delivery to MHC class I molecules, *LRMP* may play an important role in

CTL recognition of HIV-infected cells (61). The remaining SNPs showed suggestive trends with

tDNA or usRNA (**Table 1**). *AKAP6* encodes for A-Kinase Anchoring Protein 6, which binds to

regulatory subunits of protein kinase A and anchors them to the nuclear membrane or

sarcoplasmic reticulum. PKA activation is related to many cell cycle functions and has been

associated with HIV-1 infection (84, 85), T cell proliferation (86), and HIV persistence (87, 88).

Among males with European ancestry, we also observed an association between tDNA ans a

SNP in *OSBP*, a gene that encodes oxysterol-binding protein, implicated in cholesterol

metabolism and anti-HIV viral defenses HIV (65, 89). *OSBP* blocked HIV entry into host cells

when its interaction with another key protein regulating intracellular cholesterol homeostasis,

vesicle-associated membrane protein-associated protein A (VAPA) was disrupted (90). In a

study comparing highly exposed seronegative (HESN) individuals to "lower risk" HIV-uninfected

controls, HESNs demonstrated significantly higher expression of *OSBP*, which correlated with a

reduced susceptibility to *in vitro* HIV-1 infection of PBMCs and monocyte-derived macrophages

(MDMs) (65). Finally, a SNP in *PLVAP*, which encodes Plasmalemma Vesicle Associated

Protein, which regulates the transmigration of lymphocytes into lymph nodes (66) and lies <30kb

of *BST2*, (encodes for the host HIV restriction factor, tetherin (67)).

Gene set enrichment analyses identified one pathway, "Negative Regulation of Viral

Entry into Host Cells," that was significantly associated HIV intact DNA (**Figure 6, Table S8**). A

non-significant trend was observed between usRNA and a somewhat related gene set, "Single-

Stranded Viral RNA Replication via Double-Stranded DNA Intermediate." The transcriptionally

and translationally "active reservoir" has become an increasingly important component of the

HIV reservoir (91). Perhaps these top two gene sets associated with intact DNA and usRNA,

respectively, reflect genes reflecting a role in this "active reservoir" (e.g., the genes contributing

to statistical significance for the intact DNA-associaged gene set included several interferon

stimulated genes and restriction factors, such as *IFITM1, IFITM3, TRIM5,* etc., **Table S8**).

Previously, the HIV reservoir was thought to consist mostly of quiescent, latently-infected cells

that could reactivate and lead to systemic infection with interruption of ART (32, 92, 93). New

methods, combining HIV integration, viral sequencing, and HIV outgrowth assays, now suggest

that transcriptionally and translationally active cells might be a major source of residual viremia

during suppressive ART (92), and these "active" cells can persist for years (previously thought to

be short-lived and cleared by immune recognition/cytotoxic response) (29-32). Several

additional gene sets demonstrated a suggestive association with intact DNA – in particular two

gene sets associated with interferon-stimulated pathway, STAT signaling (94), "Regulation of

Peptidyl Serine Phosphorylation of STAT Protein" and "Serine Phosphorylation of STAT

Protein." Finally, a gene set reflecting glycosylation ("N-glycan processing"), showed a non-

significant association with HIV tDNA. There have been recent studies suggesting an important

role for glycoprotein metabolism in the maintenance of the HIV reservoir (95, 96). Glycosylation

is the process by which proteins with covalently attached glycans (oligosaccharide chains) are

(post-translationally) modified. Indeed, the composition of glycans in the IgG's Fc region has

been shown to modify functional and FcγR binding properties in HIV-infected patients (97, 98).

N-glycan processing is associated with HIV proliferation, as the envelope (Env) glycoprotein of

HIV is densely glycosylated with N-glycans and has been shown to modulate host antibody

response to the HIV virus (99, 100). The immunologically and/or clinically relevant associations

with HIV persistence were less clear for the remaining gene sets demonstrating a slight trend

with reservoir size (all with HIV intact DNA). These included gene sets associated with bone

formation, fatty acid metabolism, "cellular response to lead" (genes associated with changes in

Th1 and Th2 responses due to lead toxicity (101, 102)).

There are several limitations to our study that deserve mention. Our study design is

cross-sectional, and thus our findings may not necessarily reflect genetic variants that predict

reservoir decay over time. Thus, although, the HIV reservoir has been shown to be relatively

stable over time (38, 103, 104), our cross-sectional design provides a "snapshot" of the HIV

reservoir after a median of 5.1 years of ART suppression. Second, the direction of the observed

associations between host DNA variants and the HIV reservoir cannot be made from whole

exome sequencing and HLA typing data alone; further studies are needed to confirm the

functional relevance of the observed genetic variants. The exception to this is *MX1*, for which

several SNPs localized to eQTL regions (55), demonstrating parallel direction of the predicted

MX1 gene expression and the size of the HIV reservoir. As is typical for current U.S.-based

HIV+ cohorts, our study population consisted primarily of individuals (mostly males) of European

ancestry (**Figure 4**). We performed all analyses adjusted for ancestry using established

methods (105) to avoid false positive associations secondary to population stratification (106).

We also performed secondary analyses within the largest genetically homogeneous group,

individuals of European ancestry. Further studies are needed to validate these findings in non-

European populations, especially including more female participants. In addition, like most HIV

genomewide studies to date, our sample size was smaller than most host genomic cancer or

cardiovascular disease registry-based studies. To address this, we carefully selected a range of

HIV+ ART-suppressed non-controllers, including those who initiated ART during acute infection,

and comprehensively fit separate linear mixed models for each of the four HIV reservoir

measures, including covariates to further improve the fit of the models (e.g., timing of ART

intiation, nadir CD4+ T cell count, etc.). Although we excluded HIV elite controllers, we included

a range of HIV non-controllers, including individuals who may have had at least one

documented HIV viral load <10,000 copies/mL prior to ART initiation. These individuals were

included in the study in order to maximize the dynamic range of HIV host responses (**Figure

S1**). Indeed, the maximum pre-ART viral loads did not correlate with lower HIV reservoir

measures for these individuals. To further ensure that inadvertent outlier bias was not present

(e.g., as would be the case if HIV elite controllers were included), we performed two additional

SNP-based analyses: a sensitivity analysis removing these individuals (**Figure S2a-b**) and a

"case-control" analysis, comparing these individuals to the rest of the study population (**Figure**

S2c). The first analysis demonstrated that the top SNP associations were overall unchanged

(Spearman R=0.79 for the correlation of top hit SNPs with and without these individuals). The

second analysis demonstrated that there were no statistically significantly SNPs by "possible

partial controller" status, in association with HIV reservoir size. Interestingly, several of the top

(non-significant) SNPs identified from within the "possible partial controller" group were in or

within 50 kb of immunologically relevant genes (**Table S9**), such as *BRINP1*, *SNX29*,

IL1RAPL2, *MX1*, *NFATC3*, *RIPK2*, *MASP2*, *TRIM6-TRIM34*, *HLA-A*, and *RNH1*, the latter of

which was reported as the top hit SNP from a recent genomewide association studiy of HIV

reservoir size, as noted above (24). Finally, we only measured the peripheral CD4+ T cell

reservoir, not the tissue reservoir, where the majority of HIV persists during treatment (107).

Although the tissue HIV reservoir has been shown to reflect the peripheral blood HIV reservoir

(108-110), and a recent study demonstrated that rebounding virus subsequently seeds tissue

reservoirs throughout the body (111), it remains to be seen whether the genetic variants

identified in our study hold true for the tissue HIV reservoir.

Our study also has several strengths. It is the first host genomic study to evaluate HIV

intact DNA, an estimate of the putative "replication-competent" reservoir (41, 42), as well as HIV

total DNA, unspliced RNA, and RNA/DNA from peripheral CD4+ T cells. Here, we estimated the

frequency of HIV intact DNA which quantified absolute copies of HIV intact DNA using a droplet

digital PCR-based assay. Our study performed direct HLA typing via targeted next generation

sequencing of 25 polymorphic exons of HLA-A, B, C, E, DPA1, DPB1, DQA1, DQB1, DRB1,

and DRB3, 4, 5 genes on the Illumina MiSeq platform, allowing highly accurate HLA

characterization. We also performed custom whole exome sequencing to include rare and

commonly observed variants, which included over 50 megabases of intronic and regulatory

region sequencing of putative candidate genes involved in HIV persistence (**Table S2**). The

UCSF SCOPE and Options cohort also collects detailed clinical data, including key variables

known to impact the size of the HIV reservoir, such as estimated date of HIV infection

(adjudicated clinical test results – such as date of last HIV negative test and date and type of

positive incidence assay tests), date of ART initiation, and nadir CD4+ T cell count. Inclusion of

these covariates in the final regression models may have enhanced model fit, enhancing the

ability to detect the oberved genetic effects associated with HIV reservoir size. We also

performed simultaneous RNA and DNA extraction from CD4+ T cells from a single PBMC

aliquot. The relatively higher dynamic range of usRNA versus tDNA levels in our study

population might be due to this dual extraction, in contrast to prior studies where HIV RNA and

DNA extractions were performed from separate aliquots and often shown higher tDNA

compared to usRNA values (**Figure S6**) (112).

We performed a comprehensive host genetic analysis of variants associated with the

peripheral CD4+ T cell reservoir in treated HIV non-controllers. Unlike in a recent genomewide

association study (23), we observed statistical significant associations between MHC class I

alleles and *CCR5Δ32* with the HIV reservoir, which recapitulated protective effects previously

described in untreated HIV elite controllers (9, 16, 19, 20, 27). We further identified several

SNPs in the interferon stimulated gene, *MX1*, which were associated with the HIV reservoir

(tDNA), paralleling predicted gene expression eQTL data. Gene set enrichment analyses

highlighted potential genes associated with HIV intact DNA, including genes reflecting viral entry

into host cell (e.g., interferon signaling genes). These findings provide further support for a

potential role for the innate immune response (i.e., antiviral interferon signaling (29-32)) in

maintaining the "active" HIV reservoir that persists during therapy (29-32). Additional studies are

needed to functionally validate these findings, especially in non-European and female HIV-

infected individuals.

2. Methods

Study participants

Participants were sampled from the San Francisco-based SCOPE and Options cohorts, which include HIV-infected individuals who initiated ART during chronic (>2 years) or early (<6 months) HIV infection. Inclusion criteria were laboratory-confirmed HIV-1 infection, availability of at least one aliquot of cryopreserved 10^6 PBMCs, and plasma HIV RNA levels below the limit of assay quantification for at least 24 months at the time of biospecimen collection. HIV "elite controllers," individuals with a history of undetectable viral load in the absence of therapy for at least 1 year prior to the specimen collection date (33-35), were excluded. Additional exclusion criteria included factors that could potentially influence HIV reservoir measures, including recent hospitalization, infection requiring antibiotics, vaccination, or exposure to immunomodulatory drugs in the six months prior to sampling timepoint. The research was approved by the University of California Committee on Human Research (CHR), and all participants provided written informed consent.

Custom whole exome host DNA sequencing

Cryopreserved PBMCs were enriched for CD4+ T cells (StemCell, Vancouver, Canada), and genomic DNA was extracted from CD4+ T cells (AllPrep Universal Kit, Qiagen, Hilden, Germany). Whole exome sequencing was using the extracted genomic host DNA. Using targeted exome capture with the SeqCap EZ Exome + UTR Library (Roche NimbleGen, Wilmington, MA) with custom addition of 50 Mb regulatory regions, exon-enriched sequencing libraries were generated and then sequenced on the Illumina HiSeq 2000 system (Illumina, Inc., San Diego, CA). Additional custom regions included intronic and regulatory regions (50 kilobases upstream and 50 kilobases downstream of each gene) for the analysis of potential

variants influencing key gene expression quantitative trait loci (113). The custom region

included 442 candidate genes related to cell cycle regulation, HIV host restriction factors, and HIV-

host integration, which were selected based on Gene Ontology (GO) Consortium experimental

evidence codes (EXP, IDA, IPI, IMP, IGI, IEP) indicating experimental evidence directly

supporting the annotations (http://geneontology.org/docs/guide-go-evidence-codes/) (**Table S2**),

as well as previously associated HLA variants associated with viral setpoint and/or HIV disease

progression (114, 115).

HLA typing

HLA typing was performed from extracted genomic DNA from cryopreserved PBMCs,

following the PCR-SSOP (sequence-specific oligonucleotide probing) typing and PCR-SBT

(sequence based typing) protocols recommended by the 13th International Histocompatibility

Workshop (116, 117). Targeted sequencing using locus-specific primers were used to amplify a

total of 25 polymorphic exons of HLA-A & B (exons 1 to 4), C (exons 1 to 5), E (exon 3), DPA1

(exon 2), DPB1 (exons 2 to 4), DQA1 (exon 1 to 3), DQB1 (exons 2 & 3), DRB1 (exons 2 & 3),

and DRB3, 4, 5 (exon 2) genes with Fluidigm Access Array (Fluidigm Singapore PTE Ltd,

Singapore). The 25 Fluidigm PCR amplicons are pooled and subjected to sequencing on an

Illumina MiSeq sequencer (Illumina, San Diego, CA 92122 USA). HLA alleles and genotypes

are called using the Omixon HLA Explore (version 2.0.0) software (Omixon, Budapest,

Hungary).

HIV total DNA and unspliced RNA from peripheral CD4+ T cells

Cryopreserved PBMCs were enriched for CD4+ T cells using magnetic bead separation

(StemCell Technologies) and host genomic DNA extracted from purified CD4+ T cells as

described above (AllPrep Universal Kit, Qiagen, Hilden, Germany). Participant specimens were

assayed with up to 800 ng total cellular RNA or DNA in replicate reaction wells and copy

number determined by extrapolation against a 7-point standard curve (1–10,000 copies/second)

performed in triplicate.

HIV intact DNA from peripheral CD4+ T cells

Cell-associated HIV intact DNA was quantified from purified CD4+ T cells as above. Five

regions on the HIV genome, including highly conserved regions, and positions that are

frequently deleted or hypermutated, were interrogated to quantify potentially intact provirus (26).

Optimized restriction enzyme digestion was used to prepare the genomic DNA for droplet

formation while minimizing the amount of shearing within the viral genome. The protocol

targeted 5 regions in the HIV genome across two droplet digital (dd)PCR assays. Droplet

generation and thermocycling were performed according to manufacturer instructions. This

multiplex ddPCR assay allowed the analysis of potentially replication-competent ("intact")

proviral genomes by quantifying the number of droplets positive for 3 targets per assay. Two

targets in a housekeeping gene (*RPP30)* were used to quantify all cells, and a target in the T

cell receptor D gene (*TRD)* was used to identify all non-T cells, in order to normalize the HIV

copy numbers per $1x10^6$ CD4+ T cells. A DNA shearing index (DSI) was then calculated and

mathematically corrected for residual DNA shearing as measured by *RPP30* targets to calculate

the estimated number of intact proviral genomes per million CD4+ T cells after correcting for

shearing.

Data processing and quality control

The bcbio bioinformatics pipeline (118) was used to perform DNA alignment, which included

the Burroughs-Welcome Aligner (BWA) tool (119) and the GenomeAnalysisToolkit (GATK)

HaplotypeCaller joint variant calling method (120). Reads were initially mapped to reference

genome b37, then transposed to human genome assembly GRCh38 using Picard tools (121).

SNPs and insertions or deletions (indels) were then filtered by variant quality score

recalibration (VQSR) using GATK (122). The whole genome data analysis toolset, PLINK (123),

was then used to validate the chromosomal sex of each individual, filter out individuals with

excessive heterozygosity, and SNPS violating Hardy-Weinberg equilibrium (HWE) at a p-

value threshold of 1×10^{-8}. The VCFtools suite of functions were then used to summarize

data, run calculations, convert data, and filter out data, and convert data, and filter out

relevant SNPs (124).

The GENESIS analysis pipeline (105) was used to analyze the relatedness and ancestries of

the individuals in the study. All individuals were determined to be unrelated (kinship estimates <

0.05) aside from one pair of siblings, so one sibling was randomly removed from the study. The

remaining 199 unrelated individuals had diverse and mixed ancestries, as demonstrated in

Figure 4a. To attempt to increase the power of our study, we identified the largest homogeneous

subgroup from the first two principal components (PCs), a group of 126 men with European

ancestry (**Figure 4b**). We performed the rest of our analysis in parallel on the full 199 individuals

from diverse ancestries and genders and the 126 males with European ancestry. We used

principal component analysis (PCA) to account for genetic heterogeneity in two more ways:

First, our model includes a genetic effects term with a genetic relatedness matrix (GRM) to

account for relatedness. Second, we included the first five PCs as covariates in our models to

account for population-level effects.

Single SNP common variant analyses

Single SNP associations were calculated with GENESIS "assocTestSingle". For HIV total

DNA, unspliced RNA, RNA/DNA, and intact DNA, respectively, the outcome variables were:

$\log_{10}((\text{DNA copies}/10^6 \text{ CD4+ T cells} + \text{offset}))$; $\log_{10}((\text{RNA copies}/10^6 \text{ CD4+ T cells} + \text{offset}))$;

$\log_{10}$((RNA copies/10^6 CD4+ T cells + offset) / (DNA copies/10^6 CD4+ T cells + offset));

$\log_{10}$((Intact DNA copies/10^6 CD4+ T cells + offset)). The offsets for RNA and DNA counts

were given by the smallest nonzero measured values of RNA and DNA, respectively, to avoid

divergences in the logarithm. Covariates include sex for the full population, time to

antiretroviral therapy (ART) initiation, nadir CD4 count, and 5 genetic principal components

(PCs). A Gaussian link function was used, and a genetic relatedness matrix (GRM) was

included as calculated by PC-AiR and PC-Relate. Results were filtered to allele frequencies

≥5%. SNP annotations were then obtained using Annovar, a bioinformatics software tool to

interpret SNPS, insertions, deletions, and copy number variants in a genome (125).

Gene-based rare variant analyses

Gene level rare variant multi-SNP associations were calculated with the GENESIS

software package "assocTestAggregate" function implementing the variant Set Mixed Model

Association Test (SMMAT), an adjusted gene-based test combined with a burden test (68), for

alleles with frequencies < 5% with weights following the beta distribution parameters of a_1=1

and a_2=25 as suggested by Ref (126). Genes with a total of fewer than 5 alternate alleles

were omitted. The same covariates, GRM, and regression family were used as for the single

SNP associations. Outcomes were quantile-normalized to follow a normal distribution. Gene

regions were defined according to the intersection of Bioconductor's UCSC hg38 genes(127).

Exons for genes with custom sequencing were defined according to (Roche NimbleGen,

Wilmington, MA). Exons for genes without custom sequencing were defined according to the

intersection of exonic regions defined by Bioconductor and (Roche NimbleGen, Wilmington,

MA).

Gene set enrichment analyses (GSEA) were performed using the Molecular Signatures

Database (MSigDB) (47, 128). We used unsigned SMMAT p-values and focused on Gene

Ontology (GO) Biological Processes (BP) gene sets that were up-regulated for low p-values. For

all gene set analyses, introns and flanking regions of ±50kb were included in the SMMAT p-

value calculations for each gene to account for potential regulatory regions and SNPs with

smaller effects. GSEAPreranked was run with default parameters on the SMMAT gene-level

$-log_{10}(P)$.

HLA analysis

Multivariate regression models were fit using the python statsmodels OLS function (129)

with covariates for sex, timing of ART initiation, nadir CD4+ T cell count, and 3 genetic PCs.

    FIGURE LEGENDS

    Figure 1. Study participant sample selection flowchart. Specific inclusion and exclusion criteria

    are listed for each selection step and for HIV reservoir measure analysis.

    Figure 2. HIV total DNA, unspliced RNA, RNA/DNA, and intact DNA were associated with

    timing of ART initiation. Spearman correlation and p-values are shown in the inset boxes.

    Figure 3. Distribution of the total number of host variants (a), as well as all exonic variants (b)

    sequenced in the study population.

    Figure 4. Genetic principal component analysis (PCA) plots of the full study population (with

    legend with self-identified race/ethnicity) (a) and of the largest homogenous ancestral

    population, males of mostly European ancestry (b). Recalculated European ancestry male PCA

    plot is shown in panel b, from lower left dashed box in panel a.

    Figure 5. Quantile-quantile (QQ) plots (a, c) and Manhattan plots (b, d) of the total study

    population (a-b) and of European ancestry (c-d). QQ plots: the blue line represents the expected

    -log10 p-values while the black lines denote the expected error bars. Manhattan plots: the

    horizontal black line delineates a traditional conservative genome-wide significance of p-value of

    5×10^{-8}, while less conservative Benjamini-Hochberg false discovery rate (FDR) statistical

    significance q-values are shown as blue (q=0.05) and grey (q=0.25) lines.

    Figure 6. Gene set enrichment analysis (GSEA) was used to identify associations between

    genes, ordered by p-value under a host multi-SNP rare variant (minor allele frequency, MAF,

    <5%) analysis using HIV total DNA, unspliced RNA, RNA/DNA, and intact DNA as outcomes;

670   and biological processes gene sets, in the total study population (a) and among European

671   ancestry (b). Horizontal dashed lines represent the GSEA Benjamini-Hochberg false discovery

672   rate (FDR) statistical significance level of q=0.05 (blue) and q=0.025 (grey), respectively.

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Figure 1. Study participant sample selection flowchart. Specific inclusion and exclusion criteria are listed for each selection step and for HIV reservoir measure analysis.

^a F = inbreeding coefficient
^b VQSR = Variant Quality Score Recalibration
^c HWE = Hardy-Weinberg equilibrium

Figure 2. HIV total DNA, unspliced RNA, RNA/DNA, and intact DNA were associated with timing of ART initiation. Spearman correlation and p-values are shown in the inset boxes.

Figure 3. Distribution of the total number of host variants (a), as well as all exonic variants (b) sequenced in the study population.

Figure 4. Genetic principal component analysis (PCA) plots of the full study population (with legend with self-identified race/ethnicity) (a) and of the largest homogenous ancestral population, participants of mostly European ancestry (b). Recalculated European ancestry PCA plot is shown in panel b, from lower left dashed box in panel a.

Figure 5. Quantile-quantile (QQ) plots (a, c) and Manhattan plots (b, d) of the total study population (a-b) and of European ancestry (c-d). QQ plots: the blue line represents the expected $-\log_{10}$ p-values while the black lines denote the expected error bars. Manhattan plots: the horizontal black line delineates a traditional conservative genome-wide significance of p-value of 5×10^{-8}, while less conservative Benjamini-Hochberg false discovery rate (FDR) statistical significance q-values are shown as blue (q=0.05) and grey (q=0.25) lines.

Table 1. Single nucleotide polymorphisms (SNPs) associated with HIV total DNA (upper table) and unspliced RNA (lower table) in the total study population. Two additional SNPs were significantly associated with total HIV DNA in the subpopulation of European ancestry (middle table). For genes in which there were several SNPs in linkage disequilibrium (LD), the top SNP for each gene is shown, with the full list of SNPs shown in **Table S7**.

SNP	Chrom	Position	Nearest Gene	Gene Location	MAF[a]	Beta[b]	PVE[c]	p[d]	q[e]	Description
HIV TOTAL DNA										
Full Cohort										
rs10670165	chr21	41421873	*MX1*	Intron/Exon/5'UTR[f]	0.45	-1.2	0.15	1.3×10^{-7}	0.02	Antiviral. Upregulated in HIV+ compared to uninfected individuals (76) and associated with higher viremia among HIV+ individuals (77). Associated with HIV-1 latency (59). MX2, but not MX1, was shown to be capable of directly acting against HIV-1 virus (56-57).
rs74867009	chr12	25063777	*LRMP*	5'UTR[†]	0.06	-2.5	0.15	1.5×10^{-7}	0.02	Delivers peptides to MHC class I molecules. (61) Differentially expressed in lymphatic tissue (82) and peripheral blood mononuclear cells (PBMCs) (83) in HIV+ individuals in response to ART initiation and cessation, respectively.
rs751660317	chr2	28786774	*PPP1CB*	Intronic	0.07	-1.8	0.11	4.1×10^{-6}	0.03	Encodes a subunit of PP1. Depletion of PPP1CB was shown to reduce the antiviral potency of MX2 against HIV (58). PP1 is involved in transcription of HIV-1; inhibition of PP1 inhibits HIV-1 transcription (80).
rs776025235	chr6	51638799	*PKHD1*	Intronic	0.09	-1.7	0.09	2.5×10^{-5}	0.17	Predicted to have a transmembrane-spanning domain and an immunoglobulin-like plexin-transcription-factor domain. We could not find a direct relationship with HIV in the literature.
N/A	chrX	41382082	*DDX3X; NYX*	Intergenic	0.18	-1.4	0.09	2.5×10^{-5}	0.17	*DDX3X* regulates the production of type I IFNs (62) and encodes a protein that shuttles HIV-1 RNA from the nucleus to the cytoplasm. Is upregulated in HIV-infected cells; knockdown of *DDX3X* suppresses HIV-1 replication (81). Also plays a key role in innate antimicrobial immunity (62).
rs17506750	chr14	32599402	*AKAP6*	Intronic	0.07	-1.8	0.09	3.0×10^{-5}	0.2	Binds to regulatory subunits of protein kinase A (PKA) and anchors them to the nuclear membrane. PKA activation has been associated with HIV-1 infection, T cell proliferation, and dysfunction (63-64).
European Ancestry Subgroup										
rs469390	chr21	41446003	*MX1*	Intron/Exon/5'UTR[f]	0.54	1.3	0.2	1.0×10^{-6}	0.03	Antiviral. Upregulated in HIV+ compared to uninfected individuals (76) and associated with higher viremia among HIV+ individuals (77). Associated with HIV-1 latency (59). MX2, but not MX1, was shown to be capable of directly acting against HIV-1 virus (56-57).
N/A	chr11	59574427	*OSBP*	3'UTR[†]	0.15	-1.8	0.15	1.9×10^{-5}	0.14	Oxysterol binding protein involved in intracellular lipid transport. Associated with *in vitro* HIV-1 infection of monocyte-derived macrophages (MDMs) from highly (HIV)-exposed seronegatives (HESNs) (65). Is required for the replication of several human viruses such as hepatitis C (HCV), encephalomyocarditis (EMCV), Zika, etc. (89).

HIV UNSPLICED RNA

Full Cohort

N/A	chr19	17374631	*PLVAP*	Intronic	0.1	-1.2	0.14	6.9×10^{-7}	0.21	Endothelial membrane protein, also controls the entry of lymphocytes and antigens into lymph nodes (66). Located within 30kb of *BST2*, an interferon stimulated gene encoding the host restriction factor tetherin, which is known to inhibit HIV-1 release by directly tethering virions to cells (67).

[a] MAF = minor allele frequency in the study population.

[b] Beta = estimate from multivariate linear mixed model adjusted for age, sex, nadir CD4+ T cell count, timing of ART initiation, and ancestry.

[c] PVE = proportion of phenotype variance explained.

[d] p = two sided p-value.

[e] q = two-sided false discovery rate (FDR) Benjamini-Hochberg q-value.

[f] UTR= untranslated region

Figure 6. Gene set enrichment analysis (GSEA) was used to identify associations between genes, ordered by p-value under a host multi-SNP rare variant (minor allele frequency, MAF, <5%) analysis using HIV total DNA, unspliced RNA, RNA/DNA, and intact DNA as outcomes; and biological processes gene sets, in the total study population (a) and among European subgroup (b). Horizontal dashed lines represent the GSEA Benjamini-Hochberg false discovery rate (FDR) statistical significance level of q=0.05 (blue) and q=0.025 (grey), respectively.