Multiple hybridization events punctuate the evolutionary trajectory of

Malassezia furfur

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Running head: Hybridization in *Malassezia furfur*

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Abstract

Malassezia species are important fungal skin commensals and are part of the
normal microbiota of humans and other animals. However, under certain
circumstances these fungi can also display a pathogenic behaviour. For example,
Malassezia furfur is a common commensal of human skin, and yet is often
responsible for skin disorders but also systemic infections. Comparative genomics
analysis of *M. furfur* revealed that some isolates have a hybrid origin, similar to
several other recently described hybrid fungal pathogens. Because hybrid species
exhibit genomic plasticity that can impact phenotypes, we sought to elucidate the
genomic evolution and phenotypic characteristics of *M. furfur* hybrids in comparison
to their parental lineages. To this end, we performed a comparative genomics analysis
between hybrid strains and their presumptive parental lineages, and assessed
phenotypic characteristics. Our results provide evidence that at least two distinct
hybridization events occurred between the same parental lineages, and that the
parental strains may have originally been hybrids themselves. Analysis of the mating-
type locus reveals that *M. furfur* has a pseudobipolar mating system, and provides
evidence that hybridization involved sexual liaisons resulting in cell-cell fusion
leading to a diploid/aneuploid state. This study provides new insights into the
evolutionary trajectory of *M. furfur* and contributes valuable genomic resources for
future pathogenicity studies.

Importance

Malassezia furfur is a common commensal member of human/animal microbiota that
is also associated with several pathogenic states. Recent studies report involvement of

Malassezia species in Crohn's disease, a type of inflammatory bowel disease,

pancreatic cancer progression, and exacerbation of Cystic fibrosis. A recent genomics

analysis of *M. furfur* revealed the existence of hybrid isolates and identified their

putative parental lineages. In this study, we explored the genomic and phenotypic

features of these hybrids in comparison to their putative parental lineages. Our results

revealed the existence of a pseudobipolar mating system in this species and showed

evidence for the occurrence of multiple hybridization events in the evolutionary

trajectory of *M. furfur*. These findings significantly advance our understanding of the

evolution of this commensal microbe and are relevant for future studies exploring the

role of hybridization in the adaptation to new niches or environments, including the

emergence of pathogenicity.

Introduction (573 words)

Malassezia are basidiomycetous yeasts that are the dominant fungal

component of the healthy human skin microbiome (1, 2). Nevertheless, they can also

take on pathogenic roles in various skin disorders, and have been implicated in cases

of infection associated with several comorbidities (3–12). Non-culture based

sequencing methods revealed *Malassezia* presence in other ecological niches,

including insects, nematodes, corals, sponges, deep-sea environments, and soils (13–

15), suggesting that *Malassezia* are ecologically diverse. Interestingly, *Malassezia* are

evolutionarily related to fungal plant pathogens (16, 17), potentially pointing towards

an early host shift from plants to animals, facilitated by the loss of genes coding for

proteins involved in the degradation of complex carbohydrates, and expansion of lipid

hydrolases required to break down lipids available on animal skin (16, 17).

In *Basidiomycetes*, species with either a tetrapolar or bipolar mating system have been described (18, 19). In a tetrapolar mating system, the pheromone and pheromone receptor locus (P/R) and the homeodomain locus (HD) are unlinked, whereas in a bipolar mating system both loci are linked and contiguous on the same chromosome which precludes recombination. An intermediate -pseudobipolar- mating system was described in three *Malassezia* species, namely *Malassezia globosa, Malassezia sympodialis*, and *Malassezia yamatoensis*. In this configuration, the P/R and HD mating-type loci are linked on the same chromosome but far enough apart from each other that recombination can still occur (16, 20, 21). The presence of mating and meiotic genes suggests that *Malassezia* are potentially capable of sexual reproduction, but mating remains hitherto unobserved, and the genus is known to propagate asexually through unipolar budding (16, 21, 22).

Our study focuses on *Malassezia furfur*, a species isolated from a wide variety of hosts, from humans (with healthy skin, skin disorders, or bloodstream infections), to a range of domestic and zoo animals (12, 15, 23–26). This species presents variable cell sizes and shapes and different ploidies and karyotypes (27–29). Indeed, previous studies reported two different karyotypes -one displaying more chromosomes (10-11 vs. 7-8) and a larger genome size (~14 Mbp vs. 8.5 Mbp) than the other- and a high degree of genetic variation that uncovered a possible hybrid genotype (28, 30, 31). These findings were later corroborated in a genomic analysis of members of the *Malassezia* genus. This analysis revealed four *M. furfur* strains (CBS1878, CBS4172, CBS7019, CBS7710) with double genome size due to gene duplication that possibly originated from a hybridization event between members of the lineages of strains CBS7982 and CBS14141 (syn. JPLK23)(16).

The coexistence of genomic material of two diverged lineages in a single cell is often

associated with hybrids' lack of viability. Nevertheless, several genomic mechanisms,

such as recombination, often lead to loss of heterozygosity (LOH), thus erasing some

of the genomic incompatibilities (32). In such a scenario, organisms carrying highly

plastic genomes and unique phenotypes may survive leading to new lineages able to

thrive in new environments (32–34). For instance, findings of multiple pathogenic

hybrids, such as *Candida albicans* or the *Cryptococcus gattii / Cryptococcus*

neoformans species complex, has led to the hypothesis that hybridization plays an

important role in the emergence of pathogenicity (30, 35–42).

Taking advantage of the existence of known candidate parental haploid lineages, this

study explored genomic and some general phenotypic features of *M. furfur* hybrids to

assess the impact of hybridization on their evolutionary path. This study reveals that

M. furfur has a pseudobipolar mating system and shows evidence that multiple

hybridization events punctuated the evolution of this species.

Results and Discussion (4155 words)

***M. furfur* genomic patterns reveal the current existence of two hybrid lineages**

Previous AFLP and comparative genomics analyses suggested the existence of *M.*

furfur hybrid strains (16, 31). In this study, we further explored the genomic patterns

of these hybrids and of 17 additional strains presently classified as *M. furfur* (Table 1)

to assess how widespread hybridization is within this species. Following the original

observations of a hybrid genotype (31), we performed AFLP with two different

adaptor and primer set combinations for 22 *M. furfur* strains (Supplementary Table 1

and Materials and Methods for more details). These AFLP analyses confirmed that

the banding patterns of three previously identified hybrids (CBS1878, CBS4172,

CBS7019) are indeed a combination of those of the proposed parental lineages

(Figure 1) (16). Nevertheless, the hybrid strain CBS7019 did not cluster with the

other two, suggesting the existence of two putative hybrid clades (Figure 1). In total,

the AFLP clustering analysis identified four lineages: P1 (parental 1), which

corresponds to the parental CBS7982 lineage with five additional strains; P2, which

corresponds to the parental CBS14141 lineage and four additional strains; H1 (hybrid

1), which corresponds to CBS1878, CBS4172, and five additional hybrid strains; and

finally, H2, which harbors CBS7019 and three additional hybrid strains (Figure 1).

[Figure 1]

[Table 1]

To confirm the existence of two independent hybridization events, 13 strains (Table

1) comprising representative isolates of all four AFLP-determined lineages were

further compared in detail at the genomic level. Hybrid sequencing reads were

mapped to a combined reference including the P1 (CBS9595: 8.2 Mb, 8 scaffolds)

and P2 (CBS14141: 8.3 Mb, 9 scaffolds) genome assemblies (Supplementary file 1,

Table 2, Materials and Methods for more details) to identify the source and sequence

of the different sub-genomes of the hybrids (Figure 2a).

[Table 2]

AFLP-determined H1 and H2 lineages on average presented 6 SNPs/kb

(Supplementary Table 5), the majority of them (>85%) corresponding to P2 for all

hybrid strains, thus suggesting that CBS9595 is possibly closer to the actual parent 1

than CBS14141 is to the actual parent 2. Noteworthy, these SNPs were not

homogeneously distributed along the genome, but rather formed blocks of

homozygous variants (see Materials and Methods for more details on block

definition). Therefore, based on the assumption that strains originating from the same

hybridization event would share the same blocks of variants, we utilized these as

signatures of the hybrids' evolutionary past and compared them between the different

hybrids to confirm the number of hybridization events. Jaccard metrics revealed a

high block overlap between the strains identified by AFLP as H1 (>84.6%), and the

strains identified by AFLP as H2 (>91.8%), thus confirming the results of the AFLP

analysis. It is important to highlight that among the H1 strains, CBS4172 presented

the lowest similarity with its peers. While this strain revealed 84.6% and 84.9% block

overlap with CBS1878 and CBS9365, respectively, the latter two strains had an

overlap of 99%. The block overlap in strains of hybrid lineage H2 was more

homogeneous. The overlap of the high polymorphic regions between strains of H1

and H2 varied between 20.8% and 23.1%, thus suggesting independent origins.

Together, these results confirm that the hybrid lineages resulted from two independent

hybridization events between P1 and P2. These findings suggest an apparent

propensity of P1 and P2 to hybridize, and for their hybrids to survive.

[Figure 2]

Genomic divergence and different mitochondria uncover the hybrid origin of P1 and P2

Considering the possible ancestry of the highly polymorphic blocks in the two hybrid lineages, we sought to determine their presence in the parental lineages. To this end, the same methodology was followed as for the hybrids (see Materials and Methods), and we verified that indeed, the strains of both P1 and P2 that were not used for genome assembly also harbor highly polymorphic genomic regions. A comparison between these blocks and the ones observed in the hybrid strains showed that the highest overlap between H1 strains and P1 and P2 is 14.6% and 21%, respectively. Similarly, comparing the regions of high variability in the hybrid lineage H2 with what was detected in P1 and P2 revealed that the highest overlaps were 64% and 13%, respectively. This is in line with our previous observations in the hybrid genomes and suggests that none of the strains sequenced for the P1 or P2 lineages represents the direct parental strain of the hybrids, but rather their close relatives.

The origin of these blocks of high genomic variability was unknown, but as reported by Mixão and Gabaldón (35) for *C. albicans*, two possible models could explain their existence: i) continuous admixture between different strains, and ii) a hybrid ancestor that experienced genomic recombination. A key aspect to distinguish these models is the divergence between the reference genome and the observed sequence, which we expect to vary between the different blocks in the first model, and to be similar among them in the second one (35). Therefore, we next assessed the level of divergence between these blocks and the reference genome in both lineages. For P2 strains, we identified a clear single peak of sequence divergence in both CBS8735 and PM315 (Figure 2b), suggesting that the genomic material of all the blocks was acquired at a

single time-point, which in turns supports the existence of a hybrid ancestor. Our

estimations point to a current haplotype divergence between the strains of parental

lineage P2 of 4% to 4.5% (Supplementary Table 5). To determine if any sequenced

strain could be the alternative parent of P2 lineage, we performed a BLASTn search

in the NCBI genome database. The only hit obtained was the CBS14141 (the same

strain we use as the P2 reference) genome with 95.2% sequence similarity, a value

consistent with our estimations. This means that the alternative parent of the P2

lineage has not been sequenced thus far.

For the P1 lineage, this analysis was complicated by the limited number of

polymorphic blocks (min 78 - max 463 blocks), and their short size (average = 245

bp), because a variation in a single polymorphism can have a large impact on the

estimation of the sequence divergence. Indeed, despite the observed overlap between

the peaks of sequence divergence in the different P1 strains, we also detected multiple

sequence divergence peaks (Figure 2c). This did not allow exclusion of any of the

proposed models, and properly estimate the sequence divergence. Even so, we

performed a BLASTn search in the NCBI genome database using the longest blocks

(>500 bp), and we verified that their best hits always presented a sequence similarity

of ~93% with the CBS14141 genome (P2 lineage), thus suggesting that P1 also has a

hybrid origin.

We next focused on comparing the mitochondrial genome sequences of the different

M. furfur lineages. Genomic reads of each parental strain were mapped against

mitochondrial genomes of the respective strains. As reference mitochondria for the P2

lineages, we selected the CBS14141 mitochondrial sequence available in NCBI

(accession number: KY911086.1). As expected, all P2 strains shared the same

mitochondrial genome. In the P1 lineage, the publicly available mitochondrial

genome of CBS7982 (NCBI accession number: KY911085.1) was used as a reference

for mapping sequencing reads from P1 strains. Mapping results revealed the presence

of two different mitochondrial sequences in the P1 lineage with the mitochondrial

sequence of CBS7982 differing from that of CBS9595, CD866, and CBS9574

(Supplementary Figure 1). To compare both P1 mitochondrial types, a draft

mitochondrial genome assembly with 49kb was generated for CBS9595 (see

Materials and Methods). A BLASTn search with this mitochondrial genome against

the NCBI nr database revealed that its sequence is equally distant to that of the

mitochondrial genomes of CBS7982 (P1 lineage) and CBS14141 (P2 lineage), with a

similarity of 93.45%. These results are in line with our observations for the

polymorphic regions of the nuclear genome, further providing support that P1 results

from the cross of two diverged lineages, i.e. hybridization, and CBS7982 harbors the

mitochondrial genome of the alternative parent. A recent study assessing three

mitochondrial genomic loci of 43 *M. furfur* strains, found two rather divergent

mitochondrial clades for samples belonging to the P1 lineage (43), supporting our

results and suggesting a wider presence of two different mitochondria among P1

strains. When reads of the strains belonging to both hybrid lineages were mapped

against the mitochondrial reference genomes, it was observed that all hybrid strains

inherited the mitochondria from the P2 lineage.

Together, these analyses suggest that both parental lineages are not genetically "pure"

but are genomic mosaics likely resulting from a hybridization event between two

unknown lineages that are approximately 7% divergent in the case of P1, and 4%

divergent in the case of P2 (Figure 3). The observed small differences in the genetic

mosaics detected in the nuclear genomes in each of the parental lineages, suggest that

strains in each lineage (P1 and P2) diverged before some of the recombination events

occurred, a scenario which implies a non-haploid state of the strains at the time of

their respective divergence. Nevertheless, all analyses performed in this study support

a haploid state for all of them (Supplementary Figure 4,Figure 5), indicating

independent ploidy reduction.

[Figure 3]

M. *furfur* hybrid lineages are mostly diploid with some LOH

Karyotype data from this study and previous publications (44, 45) demonstrate

significant chromosomal variation in *M. furfur* with hybrid strains containing

additional chromosomal bands when compared to strains from their hypothesized

haploid parental lineages (Supplementary Figure 4a). Moreover, FACS ploidy

analysis for H1 strains displayed a DNA content between 1n and 2n suggesting

aneuploidy, whereas the DNA-content of both analyzed H2 strains is consistent with

assignment as diploids (Supplementary Figure 4b). Based on whole-genome

sequencing data analysis, the analyzed strains for both hybrid lineages generally seem

of diploid nature (Supplementary Figures 2 and 3), with a few exceptions, such as a

putative triplication of a chromosome from P2 in the hybrid strain CBS9365 (H1,

Supplementary Figure 2).

Considering the non-haploid state of these hybrid strains, we decided to look for LOH

events, an important feature to restore genomic stability through the erasure of one of

the haplotypes of the recombining region (32). In the case of the *M. furfur* hybrids

analyzed in this study, we estimated that LOH covers approximately 20% of the

genome (Supplementary Table 5). This is a low value when compared to other fungal

hybrids, such as *Candida* hybrids, where LOH has been estimated to cover at least

50% of the genome (35–38, 42). Considering that sequence divergence between the

parental lineages is higher in *M. furfur* (10%) than in *Candida* hybrids (~4%) (35–38,

42), we hypothesize that the lower occurrence of LOH in *M. furfur* may be related to

a lower number of potential recombining sites. Indeed, a recent study on *C.*

neoformans x *C. gattii* hybrids, which present 7% sequence divergence, has shown

that they experience fewer recombination events (39).

The direction of the LOH event, i.e. which allele is retained, varies from hybrid to

hybrid, or even from niche to niche according to the most advantageous phenotypes

(46). Therefore, it is important to understand the genomic alterations that the

coexistence of the parental sub-genomes may cause in the hybrid. While in some

hybrids there seems to be a tendency to retain the allele of a given parental lineage, in

others this appears to be a random process (32, 38, 42, 47, 48). In *M. furfur* H1 and

H2 hybrid lineages we found that 60% of the LOH tends to the allele of P2

(Supplementary Table 5). Although this result revealed a slight tendency to retain the

allele of P2 parental, we consider that it is not as robust and evident as in other

hybrids where >80% of the genome retains the allele of the same parent (47, 48).

Previous studies have shown that incompatibilities between the mitochondrial and the

nuclear genome may be one of the major sources of incompatibilities in hybrids (49,

50). Nevertheless, a previous study on *Saccharomyces* hybrids revealed that the

overall direction of LOH is not correlated with the direction of mitochondrial

inheritance (51). The fact that all *M. furfur* hybrid strains retained the mitochondria of

P2, is in accordance with the observed direction of LOH of the nuclear genome.

However, we are not certain if the direction of LOH and this observation have a

causal relation.

***M. furfur* possesses the genetic machinery of a pseudo-bipolar mating system**

To understand the origin of the hybridization events leading to the H1 and H2

lineages, analysis of the mating-type genes of *M. furfur* was carried out (see

Supplementary file 2) for a detailed description of the results). The *MAT* genes of *M.*

furfur haploid strains were identified based on similarity searches with the *MAT* genes

of two opposite mating-types of *M. sympodialis* (strain CBS12506, *MAT a1b1*; strain

ATCC44340, *MAT a2b2*). Two *MAT a* and two *MAT b* loci were identified in the

haploid strains of *M. furfur*. The *MAT a* and *b* loci of the *M. furfur* haploid strains

analyzed are ~ 590 kb apart (Supplementary Table 6), suggesting a pseudo-bipolar

configuration. A representative comparison of the *MAT* loci of CBS14139 (*MAT*

a1b1) and CBS7982 (*MAT a2b2*), as model strains, is shown in Figure 4. The *M.*

furfur MAT structure reflects that of the *MAT* locus of *M. yamatoensis (16)* and differs

from the *M. globosa* and *M. sympodialis MAT* loci, for which *MAT a* and *MAT b* are

~167 kb and ~140 kb apart, respectively (20, 22). This is consistent with a whole-

genome based clustering that groups *M. furfur* and *M. yamatoensis* in a separate

phylogenetic cluster within the *Malassezia* genus (16). In all *M. furfur* haploid strains

analyzed, the two *MAT a* locus genes are divergently oriented, corroborating previous

findings for other *Malassezia* species (16, 20, 22). Moreover, a comparison between

the *MAT a1* and *a2* loci revealed that the *Mfa* and *Pra* genes have an opposite

arrangement albeit being located in highly syntenic flanking regions (Figure 4). In

Basidiomycetes, the tetrapolar mating system is thought to be ancestral, and transition

from a tetrapolar to bipolar system has been linked to the evolution of pathogenicity

(52, 53). For example in the genus *Cryptococcus*, pathogenic species have a bipolar

mating system, whereas closely related non-pathogenic species such as *Cryptococcus*

amylolentus have a tetrapolar mating system (54). Based on findings for the red yeast

Sporobolomyces salmonicolor (cited as *Sporidiobolus salmonicolor*), the pseudo-

bipolar mating system was proposed to be a gradual stage in the transition from a

tetrapolar to bipolar system (53).

[Figure 4]

Hybrid genome searches with the *MAT* genes of haploid *M. furfur* identified two *MAT*

a loci and two additional *MAT b* loci in the *M. furfur* hybrid strains CBS1878 and

CBS7019. The sexual identity of the *b* loci among strains from all lineages was

assigned following sequence comparison and phylogenetic analysis of the predicted

proteins, resulting in *MAT b1, b2, b3,* and *b4* alleles, with *MAT b1* clustering with *b3*,

and *MAT b2* clustering with *b4* (Figure 5C). In particular, based on genome data,

strain CBS1878 was designated as *MAT a2a2b1b4,* strain CBS4172 as *MAT a2b1b4*,

and strain CBS7019 as *MAT a1a2b3b4*. Interestingly, CBS1878 did not contain *MAT*

a1 but the *a2* locus was present in two copies, suggesting a duplication of this region

or LOH from an ancestral *a1a2* state to a derived *a2a2* configuration. In addition,

CBS4172 did not possess the *MAT a1* locus and only possessed one copy of the *MAT*

a2 locus.

A molecular assay for *M. furfur* mating type identifies mating-compatible strains in the parental lineages

Following these initial findings for the *M. furfur* mating-type regions, a PCR assay was developed with primers that specifically amplify *MAT a1*, *MAT a2*, *MAT b1-b3*, and *MAT b2-b4*. PCR results for the *MAT a1* and *a2*, and sequencing analysis of *MAT b1-b3* and *b2-b4*, indicated additional mating-type configurations (Figure 5a-c and Supplementary Table 7). Both P1 and P2 parental lineages contain strains with either *MAT a1* or *MAT a2*, while all P1 strains are of the *MAT b2* type and all P2 strains are of the *MAT b1* type (Figure 5a-c and Supplementary Table 7). The presence of *a1b1, a2b1, a1b2* and *a2b2 MAT* combinations supports the hypothesis that recombination occurs within this region, corroborating findings on the pseudo-bipolar *MAT* structure of *M. sympodialis* and in contrast with the lack of recombination reported for *Ustilago hordei*, which is bipolar (20). Our findings also highlight incompatibility at the B loci within each parental lineage, despite compatibility being present at the *MAT a* locus, which might explain why sexual reproduction could not be observed under laboratory conditions. Attempts to cross compatible *MAT a* and *MAT b* strains belonging to the P1 and P2 parental lineages were also carried out, but also in this case sexual reproduction could not be observed. It seems likely that two individual mating events between representatives of both parental lineages originally resulted in hybrid strains that contained the combination *MAT a1a2b1b2*, yet our data showed that all H1-strains lost the *MAT a1* copy and in some instances may have duplicated the *MAT a2* allele (only confirmed for CBS1878, whereas CBS4172 only has one *MAT a2* copy). Strains belonging to the H2 hybrid lineage still have both parental *MAT a* copies, with the exception of CBS6093, that seems to have lost the parental *MAT a2* copy. In the hybrids, two unique *MAT b* arrangements were observed: *MAT b4* in both hybrid

lineages, with similarity to *MAT b2* of the P1 strains; and *MAT b3* which is only

present in H2 strains, and is a phylogenetic sister of *MAT b1* of the P2 strains (Figure

5a-c and Supplementary Table 7). Strains of hybrid lineage H1 retained the *MAT b1*

copy. Considering that recombination in the *MAT* locus has previously been observed

in other hybrid lineages (38, 55, 56), and is associated with a possible restoration of

hybrid fertility (55, 56), we hypothesize that strains in lineages H1 and H2 may have

undergone genomic changes leading to re-establishment of a viable sexual state.

[Figure 5]

Targeted sequencing of five nuclear loci and genomic data suggest P1 and P2

lineages may be two separate species

The species *M. furfur* is represented by two neotype cultures, namely CBS1878 and

CBS7019, corresponding to the respective names *Malassezia furfur* and *Pityrosporum*

ovale (synonym of *M. furfur*), but these belong to the hybrid lineages H1 and H2,

respectively. Many species are described based on a limited number of nuclear DNA

loci, ribosomal loci ITS and LSU being two of the most frequently used taxonomic

markers in fungi, but these loci may not reflect the genetic heterogeneity of a yeast

strain sufficiently as is also shown in this study for the ITS of ribosomal DNA and

three protein coding genes (β-tubulin, chitin synthase (*CHS2*), and translation

elongation factor 1-α (EF1- α)) (Supplementary Figure 5). Phylogenetic analysis of

published sequencing data for the intergenic transcribed spacer (IGS) of the rDNA

resulted in separate clusters for each of the studied lineages, making it a potential

diagnostic tool for identifying hybrids belonging to H1 or H2 among genetically

uncharacterized *M. furfur* isolates (Supplementary Figure 5). As chromatograms of

hybrid strains for the protein coding genes possessed multiple sites with two different

nucleotide peaks representing both parental backgrounds, they were phased into two

sequences representing their respective parental copies (Supplementary Figure 5).

Based on ITS data, both hybrid lineages H1 and H2 cannot be distinguished from the

parental lineage P2, yet for the most part they contain genetic material from both P1

and P2. Type strains or neotype strains are reference strains, often used as

representatives for a species in specific functional assays, but as in this case they

represent hybrid lineages with a combined genomic content of two haploid parental

lineages, they may not be the best choice to serve as reference strains and should

probably be disqualified to serve as neotypes. At present, all strains considered in this

study are classified as *M. furfur*. However, based on sequence divergence between the

P1 and P2 lineages for the five analyzed nuclear genomic loci it is likely that P1 and

P2 may represent two closely related species. For example, ITS sequence similarity

between P1 strain CBS9595 and the P2 strain CBS14141 is 98% but their similarity

for protein coding genes is much lower: 97.3% for EF1- α, 96.4% for β-tubulin, and

87.6 % for *CHS2* respectively. According to a study that assessed more than 9 000

yeast isolates to establish species and genus thresholds for ribosomal ITS and LSU

regions, a species-threshold of 98.41% was presented for ITS (57), which supports the

assignment of these lineages into two species. Based on genome sequence alignments

for CBS9595 (P1) and CBS14141 (P2), an overall sequence similarity of 89 % was

estimated, further supporting that these strains represent two species.

Hybrid lineages H1 and H2 show different morphologies but limited differentiation based on traditional physiological properties

At the phenotypic level, cells belonging to hybrid lineages differ in size and shape from parental lineage cells (Figure 6, Supplementary Table 8), with hybrid cells being thinner and more elongated. However, growth profiling experiments traditionally used for *Malassezia* species identification, seem not to differ significantly between hybrid and parental lineages (Supplementary Table 9). One noteworthy observation is that all P2 strains were positive for β-glucosidase activity and strains from the other lineages were all negative, except for one P1 strain (CBS9589) and for one H2-strain, CBS6093. This latter strain also seems somewhat different from other H2-strains based on mating type, AFLP-pattern, and Matrix-Assisted Laser Desorption Ionization-time Of Flight (MALDI-TOF) mass spectrum (Supplementary Figure 6) and would be an interesting candidate for further genomic exploration. Variable results for Cremophor EL utilization and β-glucosidase activity have been described previously for *M. furfur* isolates (12, 15, 23–26) and may be an expression of the heterogeneity of the species. Analysis of mass spectra generated with a Bruker MALDI Biotyper also illustrated the differences between P1 and P2 lineages and formed separate dendrogram clusters for H1, H2, P1, and P2, with a few exceptions (Supplementary Figure 6). The putative H2 strain CBS6093 clustered basal to clusters for P2 and H2, supporting above mentioned deviating findings for that strain. In addition, the MALDI-TOF mass spectrum of H1-strain CBS4172 clusters with the P2 strains. This divergent character is in line with a deviating PFGE chromosomal banding pattern and this strain has lost *MAT* a1, and only has one copy of *MAT* a2. These findings need to be repeated but confirm the current high heterogeneity present in the species *M. furfur* that might be better interpreted as a species complex.

Of note, as has also previously been mentioned (30, 58), deep-seated isolates (e.g.

blood, urine, rectal swabs) seem to almost exclusively belong to the genotype

representing the P2 lineage. Based on AFLP, mating type analysis, and Sanger

sequencing data, the H1 hybrid lineage consists of seven strains, five originating from

animal skin and two from diseased human skin. Hybrid lineage H2 consists of four

strains, all isolated from human diseased skin, with the exception of CBS6093 for

which the origin is unknown. Leong and colleagues explored antifungal susceptibility

patterns of 26 *M. furfur* strains, including some strains also considered in this study.

Four hybrid strains (H1: CBS1878, CBS7019; H2:CBS6000, CBS600) showed

reduced susceptibility to certain azoles. This feature was not exclusive for strains

from hybrid lineages H1 and H2 but rather seemed linked to disease state

backgrounds of strains, and reduced azole susceptibility also included disease isolates

belonging to parental lineage P2 (59). Whether hybridization at the basis of any of the

lineages H1, H2, or P2 may have facilitated genetic changes driving this reduced

azole susceptibility, or whether this was the result from mere exposure to these drugs,

remains to be elucidated. Considering that five out of the seven H1-strains are derived

from animals, we hypothesize that the hybridization event for H1 may have facilitated

a host-shift event between humans and animals. This hypothesis should be tested in

future analyses including a larger sampling of strains.

[Figure 6]

In summary, this study identified two individual hybrid lineages H1 and H2, with P1

and P2 representing their parental lineages, although not the exact parental strains.

We propose that the diploid hybrid lineages H1 and H2 are the result of two separate

mating events between mating-compatible strains from the P1 and P2 groups.

Interestingly, our genome analysis shows that both haploid parental lineages were

themselves the result of prior hybridization events but became haploid before some of

their members mated again to form hybrid lineages H1 and H2. The various

hybridization events and subsequent further evolutionary changes have contributed to

additional genetic diversification in *M. furfur*, but also at the phenotypic level, the

hybrid strains differ from their parental lineages. The implications of possible

hybridization-driven changes in pathogenicity, or adaptation to new environments,

will drive further analysis and in depth examination comparing pathogenicity factors,

lipid production and utilization, and salient physiological and phenotypic facets.

Materials and Methods (2095 words)

DNA extraction

To extract DNA for whole-genome sequencing, cells were grown on modified Dixon

agar (mDA) (60) for 48 h at 30°C and harvested into 50 ml tubes. Yeast cells were

lysed, using the QIAGEN Genomic DNA Purification procedure for yeast samples

(Qiagen, Hilden, Germany), with minor modifications. Lyticase incubation was

performed for 2 h at 30°C, and RNase/Proteinase incubation was performed for 2 h at

55°C. Genomic DNA was purified using Genomic-tip 100/G prep columns, according

to the manufacturer's handbook. For AFLP, MLST, and the mating type assay, gDNA

extraction was performed following the CTAB method as described by (61) with the

following modification: DNA was purified in two steps- first with phenol-chloroform

and secondly with chloroform only.

AFLP

AFLP analysis was performed according to (62) with some modifications. Various restriction enzymes and adaptor pairs were combined with multiple primer combinations (Supplementary Table 1). A combined restriction ligation reaction was performed for 2 h at 37°C. PCR products were diluted 200x after purification and then combined with Orange600 size standard (Nimagen, Nijmegen, the Netherlands) before fragment analysis on a 3730xl DNA Analyzer (Thermo Fisher Scientific, Waltham, Massachusetts, USA). Data was imported and analyzed using Bionumerics v.7.6.3 (Applied Maths, Sint-Martens-Latem, Belgium), and dendrograms were created with UPGMA clustering and Pearson correlation coefficient. After purification of PCR products, all reactions were diluted 200x.

Whole-genome sequencing

Thirteen samples were selected for whole-genome sequencing data analysis (Table 1). For three of them (CBS7982, CBS14141 and CBS4172), we retrieved Illumina data from SRA (Table 1) (16). For the remaining ones, whole-genome sequencing was performed at the Genomics Unit from the Centre for Genomic Regulation (group 1: CBS8735, PM315, CBS1878 and CBS7019) and at the Genome Institute Singapore (group 2: CBS9595, CD866, CBS9574, CBS6000 and CBS6001). Except when specified, the protocol was similar in both groups of strains. Libraries were prepared using the NEBNext Ultra DNA Library Prep kit for Illumina (New England BioLabs, United States) according to manufacturer's instructions. All reagents subsequently mentioned are from the NEBNext Ultra DNA Library Prep kit for Illumina, if not specified otherwise. 1 μg of gDNA was fragmented by ultrasonic acoustic energy in Covaris to a size of ~600 bp in group 1 and ~300-400 bp in group 2. After shearing,

the ends of the DNA fragments were blunted with the End Prep Enzyme Mix, and

then NEBNext Adaptors for Illumina were ligated using the Blunt/TA Ligase Master

Mix. The adaptor-ligated DNA was cleaned-up using the MinElute PCR Purification

kit (Qiagen, Germany) and a further size selection step was performed using an

agarose gel. Size-selected DNA was then purified using the QIAgen Gel Extraction

Kit with MinElute columns (Qiagen) and library amplification was performed by

PCR with the NEBNext Q5 Hot Start 2X PCR Master Mix and index primers (12–15

cycles in group 1, 6 cycles in group 2). A purification step was done using AMPure

XP Beads (Agentcourt, United States). The final library was analyzed using Agilent

DNA 1000 chip (Agilent) to estimate the quantity and check size distribution, and it

was then quantified by qPCR using the KAPA Library Quantification Kit

(KapaBiosystems, United States) prior to amplification with Illumina's cBot.

Libraries were loaded and sequenced 2 x 125 on Illumina's HiSeq2500 for group 1

and 2 x 101 on Illumina's HiSeq2000 for group 2. Base-calling was performed using

Illumina pipeline software. De-convolution was performed using the CASAVA

software (Illumina, United States).

Four samples (CBS7982, CBS9595, CBS14141 and CBS1878) were additionally

sequenced with PacBio long-read sequencing strategy. In addition, previously

generated PacBio sequencing data was used for mating type analysis only, for five

samples (CBS14139, CBS8735, PM315, CBS4172, CBS9369 and CBS7019). All

samples were sequenced on the PacBio RSII platform (Pacific Biosciences, United

States), and, except for CBS9595, libraries were prepared in 2015 with the DNA

Template Prep Kit 3.0, and polymerase/template complexes were subsequently

formed using Polymerase Binding Kit P6 v2, and then sequenced with Sequencing

Reagent Kit 4.0. Sample CBS9595 was sequenced more recently, with the following

specifications: the library was prepared using the SMRTbell Template Prep Kit 1.0,

polymerase/template complexes were generated with DNA/Polymerase Binding Kit

P6 v2, and the sample was sequenced using DNA Sequencing Reagent Kit 4.0 v2

with 360 min runtime per SMRTcell.

***De novo* genome assembly**

The genomes of the samples exclusively sequenced with PacBio (CBS14139,

CBS8735, PM315, CBS4172, CBS9369 and CBS7019) were assembled with HGAP3

within the SMRT portal of PacBio, SMRTanalysis v3.1, with standard settings. The

genomes of the four samples with short- and long-read sequencing libraries

(CBS7982, CBS9595, CBS14141 and CBS1878) were assembled with a pipeline that

combines short- and long-read assemblers. Briefly, Illumina reads were filtered and

trimmed with Trimmomatic v0.36 (63) and assembled with Platanus v1.2.4 (64).

PacBio reads were corrected with Canu (65) and assembled with DBG2OLC

(v20180222) (66) using Platanus assembly, MaSurCA v3.3.0 (67), and WTDBG2

v2.1 (68). Ragout v2.2 (69) was used for scaffolding using DBG2OLC, WTDBG2

and MaSurCA assemblies. Assembly correction was performed with Pilon v1.22 (70).

The assemblies' quality was assessed with Quast v4.5 (71) and K-mer Analysis

Toolkit v2.4.1 (KAT, (72)). The best assembly for each sample was chosen based on

N50, level of fragmentation and estimated assembly completeness by KAT (72).

Augustus Web-server (73, 74) was used for genome annotation, using *Malassezia*

restricta proteome as training set (accession number: GCA_003290485.1 (75)).

Predicted protein-coding genes completeness was assessed with BUSCO v4 using the

Basidiomycota database (76). Functional annotation was performed with eggNOG-

mapper web-server using the default settings (77).

Read mapping and variant calling

All paired-end Illumina libraries were inspected with FastQC v0.11.5

(http://www.bioinformatics.babraham.ac.uk/projects/fastqc/) and trimmed and filtered

with Trimmomatic v0.36 (63). Read mapping was performed with sppIDer pipeline

(78) using a combined reference including the genome assembly of CBS9595 (as

representative of P1 lineage, Supplementary File 1 for more details) and CBS14141

(as representative of P2 lineage, Supplementary File 1 for more details). To guarantee

the proper correspondence between the scaffolds of both parentals, we aligned both

genomes with the nucmer tool of MUMmer v3 (79). Average genome coverage for

each sample was estimated with Samtools v1.9 (80). Variant calling was performed

with HaploTypo v1.0.1 (81) selecting Freebayes v1.3.2 (82) as variant caller, and

using the default settings for the remaining parameters.

Definition of blocks of high genomic variability

We used the methodology developed and tested by (38) for LOH blocks definition.

Briefly, we used bedtools merge (83) with a distance of 100 bp to merge the

homozygous SNPs of each sample, and we set a minimum polymorphic region size of

100 bp. These blocks were compared among the different strains using bedtools

jaccard (83). The sequence divergence between the reference alleles and the allele of

the polymorphic regions was calculated by dividing the number of SNPs overlapping

such regions by the total number of base pairs covered by them.

LOH blocks definition

LOH block definition relied on read depth of coverage. Briefly, bedtools genomecov

(83) was used to determine the number of reads covering each position. Positions

covered by 0 reads were considered deleted, while those covered by 150% of the

average genome coverage were considered duplicated. Similarly to the procedure

developed by (38), we determined a minimum block size of 100 bp. Bedtools

intersect was used to determine the intersection of the deleted regions of P1 and the

duplicated regions of P2, and *vice-versa*. Only duplicated regions in one parent that

intersect a deleted region in the alternative one were considered as LOH. An

enrichment analysis of the genes overlapping LOH blocks was performed with

FatiGO (84).

Mitochondrial genome assembly

De novo genome assembly of CBS9595 mitochondrial genome was performed with

NOVOPlasty v2.7.2 (85), using CBS7982 *cox2* sequence as seed (accession number:

KY911085.1).

Identification of *M. furfur* mating type region

P/R (*MAT A*) and HD (*MAT B*) loci of *M. sympodialis* strains ATCC42132 (*MAT*

a1b1) and ATCC44340 (*MAT a2b2*) (20) were used as query for tBLASTn analysis

on the PacBio genomic assemblies of *M. furfur* haploid strains. The designation of *M.*

furfur MAT A loci was assigned following that of the closest *M. sympodialis* orthologs

based on the *E*-value of the tBLASTn outcome, whereas that of *MAT B* loci was

assigned according to phylogenetic clustering of the predicted concatenated HD

proteins (see below). In all cases, the *MAT* genes identified in *M. furfur* strains were

confirmed by reciprocal BLASTx on GenBank. The nomenclature of the *M. furfur*

MAT genes follows that of the closely-related Ustilaginomycotina: *mfa* is the

pheromone-encoding gene, *pra* is the pheromone receptor, *bE* and *bW* are the HD

transcription factors, followed by a number to distinguish from different alleles (18).

Open reading frames of the *MAT* genes were predicted by comparison with their

respective orthologs through BLASTx on GenBank, and using RNAseq data available

for *M. furfur* CBS14141 (Bioproject PRJNA741845 (59)). DNA sequences of the

MAT genes were aligned with MUSCLE (86) and their phylogenetic reconstruction

was performed with MEGA7 (87) using the maximum likelihood method [Tamura 3-

parameter model with Gamma distribution] and 100 bootstrap replications. Similarly,

translated HD proteins were predicted with ExPASy translate tool (88), and

concatenated bE-bW sequences were aligned with MUSCLE (86) and the respective

maximum likelihood tree [Jones-Tailor-Thornton (JTT), uniform rates] with 100

bootstrap replications was obtained with MEGA7 (87).

The identified genes of the *MAT a1, a2, b1,* and *b2* loci of the *M. furfur* haploid

strains were used as queries for BLASTn and tBLASTx analyses to identify the *MAT*

regions in the *M. furfur* hybrid strains CBS1878, CBS4172, and CBS7019. The *MAT*

a1 and *MAT a2* designation followed that of the haploid strains used as input. For the

identified *MAT B* loci, the DNA sequences of *bE* and *bW* genes and their predicted

encoded proteins were aligned with MUSCLE (86) and then subjected to

phylogenetic analysis with MEGA7 (87) as described above (data not shown).

Characterization of *M. furfur* mating type

Primers for the amplification of *MAT a1, a2, b1,* and *b2* alleles were designed on the

basis of an alignment of all *MAT* loci of the available *M. furfur* parental and hybrid

strains derived from their genome sequences. The primers for *MAT A* loci specifically

amplified the *a1* or *a2* allele, while the primers for the *MAT B* loci amplified *b1* and

b3, or *b2* and *b4* (Supplementary Table 3). For the amplification of the *MAT A* loci,

ExTaq (Taqara) was used following the manufacturer's instructions with the following

PCR conditions: initial denaturation 2 min at 94˚C, followed by 33 cycles of 30 sec at

94˚C, 30 sec annealing at 58˚C, 1 min 15 sec extension at 72˚C, and a final extension

of 5 min at 72˚C. For the amplification of the *MAT b1-b3,* LATaq (Taqara)

supplemented with GC Buffer II was used following the manufacturer's instruction,

and PCR conditions were: initial denaturation 2 min at 94˚C, followed by 33 cycles of

30 sec at 94˚C, 30 sec annealing at 60˚C, 2 min extension at 72˚C, and a final

extension of 5 min at 72˚C. The *MAT b2-b4* alleles were amplified using the same

conditions as reported for *MAT b1-b3*, except for the use of LATaq (Taqara)

supplemented with GC Buffer I. *MAT B* loci are high in G+C (~65 %) and the use of

specific Taq polymerase was important for their successful amplification.

The amplified MAT b1-b3 and MaT b2-b4 alleles were then sequenced using the

primers used for amplification, and sequences were aligned using MEGAX with

MUSCLE and then subjected to phylogenetic analysis using the maximum likelihood

method [Tamura-Nei model] and 500 bootstrap replications (86, 89).

Scanning Electron Microscopy

Hybrid- and parent strains were cultivated on modified Dixon (mDixon) medium for

72 h and a loop of cells was suspended in water. The cells were briefly vortexed to

dislodge from each other. Droplets of 1, 2 and 3 µl were gently placed on mDixon

agar and dried for 1 h in a laminar flow cabinet to fix the cells onto the agar. After

pre-examination under a stereo microscope, small 4 x 4 mm selections with both

individual cells and cells grouped together were cut out using a surgical blade

(Swann-Morton, no. 11, Sheffield, UK) and glued on a copper sample cup with a

small droplet of frozen-tissue medium (KP-Cryoblock, Klinipath, Duiven, The

Netherlands) and subsequently snap-frozen in nitrogen slush, and transferred into an

Oxford CT1500 Cryostation connected to a JEOL 5600LV scanning electron

microscope (JEOL, Tokyo, Japan). Samples were sputter-coated (3 x 1 min) using a

gold target in the cryostation. Electron micrographs were taken at an acceleration

voltage of 5 kV.

Data availability

Sequencing data, genome assemblies and annotations are available at NCBI database

under the BioProject PRJNA732434.

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 Tables

 Table 1. Strains used in this study with information about their lineage, source, country of isolation, NGS sequencing data analysis, usage for

 PFGE, AFLP, sanger sequencing, mating-type, FACS, LM, MALDI-TOF and physiology analyses.

Lineage	Strain	Source	Country	Data availability NGS data	NGS strategy	PFGE	AFLP	Sanger sequencing 5 loci	Mating type	FACS	LM	MALDI-TOF	Physiology
P1	CBS 9595	back (man)	Greece	PRJNA732434	Reference assembly P1	-	+	+	+	+	+	+	+
	CBS 9575	back (man)	Greece	-	-	-	+	+	+	-	+	+	-
	CBS 9574	back (man)	Greece	PRJNA732434	Read mapping	-	+	+	+	+	+	+	+
	CBS 9589	folds (man)	Greece	-	-	-	+	+	+	+	+	+	-
	CBS 7982	Skin of ear (man)	France	PRJNA286710* / PRJNA732434	Read mapping	+	+	+	+	+	+	+	-
	CD 866	Poodle	Brazil	PRJNA732434	Read mapping	-	+	+	+	+	+	+	+
	CBS 9369	Scalp of patient	Canada	-	-	+	-	+	+	-	+	+	+
P2	CBS 8735	bronchial wash	Canada	PRJNA732434	Read mapping	+	+	+	+	+	+	+	+
	CBS 14139	urine, human	France	-	-	+	+	+	+	+	+	+	+
	CBS 14141	catheter, blood	France	PRJNA286710* / PRJNA732434	Reference assembly P2	+	+	+	+	+	+	+	+
	PM 315	anal swab neonate	Germany	PRJNA732434	Read mapping	-	+	+	+	+	+	+	+
H1	CBS 1878 NT	Dandruff, man	Unknown	PRJNA732434	Read mapping	+	+	+	+	+	+	+	+
	CBS 4171	Ear of cow	Unknown	-	-	+	+	+	+	+	+	+	+
	CBS 4172	Skin of eland	Unknown	PRJNA286710*	Read mapping	+	+	+	+	+	+	+	+
	CBS 7969	Asian elephant	France	-	-	+	+	+	+	+	+	+	+

	Strain	Source	Country	Project	Method								
	CBS 9365	Elephant in zoo	France	PRJNA732434	Read mapping	+	+	+	+	+	+	+	+
	CBS 5101	skin scales, from PV	USA	-	-	-	+	+	+	-	+	+	-
	CBS 4162	Ear of pig	unknown	-	-	-	+	+	+	-	+	+	-
	CBS 6000	Dandruff	India	PRJNA732434	Read mapping	+	+	+	+	+	+	+	+
	CBS 6001	PV	India	PRJNA732434	Read mapping	+	+	+	+	-	+	+	-
H2	CBS 6093	Unknown	unknown	-	-	-	+	+	+	-	+	+	-
	CBS 7019 NT	PV on trunk of 15-year-old girl	Finland	PRJNA732434	Read mapping	+	+	+	+	+	+	+	+

1009 H1 = hybrid lineage 1, H2 = hybrid lineage 2, P1 = Parental lineage 1, P2 = parental lineage 2, PFGE = Pulsed-Field Gel Electrophoresis, AFLP

1010 = Amplified Fragment Length Polymorphism. NT = neotype strain, + = analysis performed, - = analysis not performed, *Data from Wu et al.

1011 2015.

1012

1013 **Table 2.** Summary of assembly statistics for the best genome assembly obtained for

1014 CBS9595 and CBS14141.

	CBS9595	CBS14141
Assembly strategy	Ragout	DBG2OLC_sparse
Estimated genome size (Mb)*	7.8	8.3
Genome size (bp)	8 107 776	8 241 990
Contigs	8	9
Contigs > 50kb	8	8
N50 (bp)	1 622 862	1 642 932
GC (%)	64,48%	64,97%
Mapped reads (%)	95,50%	77,2%***
Protein-coding genes	4.376	4.441
Completeness (BUSCO)*	96.1%	95.4%
Completeness (KAT)**	99,90%	99,81%

1015 *BUSCO (Waterhouse et al. 2017), **KAT (Mapleson et al. 2017)

Multiple hybridization events punctuate the evolutionary trajectory of *Malassezia furfur*

Figures main text – illustration qualities may be reduced in this file, required quality files are available for publication.

Figure 1. AFLP banding pattern-based Neighbor Joining trees for two different

adaptor/primer combinations (A-B) (see Materials & Methods, and Supplementary Table 1).

Both versions resulted from using different primer/adaptor pairs, reflecting different

polymorphisms in the genomic DNA and thus resulting in some clustering variation for some

strains. CBS6093 belongs to the H2 lineage based on dendrogram B, but clusters outside any

of the other lineages in dendrogram A, suggesting genomic deviation from other H2 strains, a

finding also supported by mating type, β-glucosidase activity, and MALDI-TOF data. CBS7982 clusters together with other P1 strains as expected in dendrogram A, but clusters close to H2 strains in dendrogram B. Interestingly, CBS7982 was found to contain a mitochondrial sequence different from other P1 strains (CBS9595, CD866, and CBS9574, Supplementary Figure 1).

Figure 2. Analysis of the genomic patterns of hybrid genomes. A) Sequencing libraries of hybrid genomes were simultaneously mapped on the genome assemblies of the putative lineages. Differences in the patterns of genomic variability were used to determine the different hybrid lineages. Estimated sequence divergence in the blocks of genomic variability were used to determine the origin of such blocks: hybridization or admixture between different strains. **B)** Sequence divergence in the blocks of genomic variability of P2 lineages show a single density peak, suggesting a hybrid origin. **C)** Sequence divergence in the blocks of genomic variability of P1 lineages show multiple density peaks, with a single peak shared by all strains, not allowing the exclusion of any of the above-mentioned scenarios.

Figure 3. Comparative genomics analysis suggests multiple hybridization events in the evolutionary path of *M. furfur* lineages.

Figure 4. Schematic representation and comparison of mating loci for two mating compatible parental strains: *MAT* a1b1 loci of *M. furfur* strain CBS14139 (P2), and of the *MAT* a2b2 loci of *M. furfur* strain CBS7982 (P1). The coordinates of the genes in the genome scaffold are indicated. The two *MAT* regions were aligned with tBLASTx and visualized using ACT Artemis. The red and blue bars indicate regions of similarity, with red bars corresponding to regions of similar orientation and blue bars indicating regions oriented in opposite directions.

Figure 5. Mating typing assay results. A) Schematic presentation of primer positions in the MAT genes. B) Agarose gel electrophoresis picture, showing PCR results for all assessed strains for Mat a1, MAT a2, combined MAT b1-b3, and MAT b2-b4. To distinguish between b1 and b3, and between b2 and b4, the MAT B PCR-positive products require sequencing. C) Phylogenetic tree based on the Maximum Likelihood method and Tamura-Nei model with 500 bootstrap replications, representing the MAT B loci, resulting in four main clusters.

Figure 6. SEM photo plate for representative strains of each lineage, showcasing different morphologies between haploid parental strains and hybrid strains. Additional phenotypic data is available in Supplementary tables 8 and 9 for cell size measurements and traditional physiological data respectively. Bar = 5 μm