# Distinct properties in ventral pallidum projection neuron subtypes

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## Abstract

The ventral pallidum (VP), a major component of the basal ganglia, plays a critical role in motivational disorders. It sends projections to many different brain regions but it is not yet known whether and how these projections differ in their cellular properties, gene expression patterns, connectivity and role in reward seeking. In this study, we focus on four major outputs of the VP – to the lateral hypothalamus (LH), ventral tegmental area (VTA), mediodorsal thalamus (MDT), and lateral habenula (LHb) – and examine the differences between them in 1) baseline gene expression profiles using projection-specific RNA-sequencing; 2) physiological parameters using whole-cell patch clamp; and 3) their influence on cocaine reward using chemogenetic tools. We show that these four VP efferents differ in all three aspects and highlight specifically differences between the projections to the LH and the VTA. These two projections originate largely from separate populations of neurons, express distinct sets of genes related to neurobiological functions, and show opposite physiological and behavioral properties. Collectively, our data

demonstrates for the first time that VP neurons exhibit distinct molecular and cellular profiles in a projection-specific manner, suggesting that they represent different cell types.

**Introduction**

The ventral pallidum (VP) is a central hub in the basal ganglia and plays an important role in motivation, reward, and addiction[1,2]. The VP receives dense inhibitory input from the nucleus accumbens (NAc), however inputs from other sources such as the amygdala, thalamus and hypothalamus have also been reported[1]. The VP has wide-ranging downstream projections, including dense projections to the ventral tegmental area (VTA), the lateral hypothalamus (LH), the mediodorsal thalamus (MDT) and the lateral habenula (LHb) among other targets[1].Previous work has identified that VP projection neurons exert different roles in rewarding and aversive behaviors[1,3–6]. Despite these distinct roles in behavior, it is theorized that different VP projection neurons are composed of the same neuronal subtype, possibly even with significant overlap between the projection subpopulations. However, no direct comparisons of the cellular and molecular compositions within VP projection neurons have been performed.

The neuronal composition of the VP is not fully understood. Past work has categorized VP neurons based on their neurochemical content. Thus, most of the VP neurons are GABAergic, and a minority are glutamatergic and cholinergic[1]. However, other studies define VP neurons according to a neuropeptide they release[7], or the expression of a particular receptor[8] or protein[1,2,9,10]. A full characterization of the celluar and molecular phenotypes within VP neurons is required to identify the cell-type and circuit-specific mechanisms relavent to psychiatric diseases.

In this work we examine whether the different projections of the VP to the VTA, LH, MDT and LHb differ in their physiological properties, baseline gene expression and behavioral responses to cocaine. Our data highlight the $VP_{\rightarrow VTA}$ and $VP_{\rightarrow LH}$ projections as highly distinct in these parameters, suggesting these two projections of the VP may be different types of neurons.

**Methods**

Experimental subjects – For electrophysiology, labeling and behavioral experiments, subjects were naïve C57bl6/J wildtype male and female mice who were approximately 8 weeks old at the beginning of the experiments. A 12-hour reversed light/dark cycle was maintained, with the lights turned off at 8:00 am. Experimental procedures were conducted during dark hours. Mice were group-housed and nesting/enrichment material was available. Experimentation began after a minimum of 7 days of acclimation to animal facility.  All experimental procedures were approved by the Authority for Biological and Biomedical Models in the Hebrew university. For projection-specific gene expression analysis, adult (7-8 weeks old) male RiboTag (RT)+/+ mice (Rpl22tm1.1Psam/J) on a C57Bl/6 background were used. RiboTag mice were given food and water ad libitum throughout the study. These studies were conducted in accordance with guidelines of the Institutional Animal Care and Use Committees at University of Maryland School of Medicine.

Stereotaxic microinjections - Mice were anesthetized with isoflurane and fixed in a stereotaxic frame (Kopf, Model 940). Viruses or red Retrobeads™ (Lumafluor, Durham, North Carolina) were microinjected into one or more of the following structures - the MDT (coordinates in millimeters relative to Bregma: anterior/posterior -1.5, medial/lateral ±0.47, dorsal/ventral -3.63), LHb (A/P -1.8, M/L ±0.7, D/V -2.91), LH (A/P -0.3, M/L ±0.9, D/V -5.5), VTA (A/P -2.9, M/L ±0.5, D/V -4.53), VP (A/P 0.7, M/L ±1.2, D/V -5.21) and NAc (A/P 1.8, M/L ±1.0, D/V -4.6) (see below for details on the injections in each experiment). Injections were performed bilaterally by drilling bilateral holes into the skull and then microinjecting the viral constructs [through a 33 ga NanoFil syringe (World Precision Instruments; 300 nl per hemisphere, 100 nl/min, needle retracted 5 min after injection terminated)] or Retrobeads [through a 30 ga syringe (Hamilton: 300 nl per hemisphere, 300 nl/min, needle retracted 5 min after injection terminated)] into the target structure. Circuit-specific gene expression analysis was performed using retrograde AAV-Cre virus in combination with Ribotag mice. Briefly, anesthetized RiboTag RT +/+ mice were bilaterally injected with AAV5-Cre viruses (AAV sterotype 5 AAV5.hSyn.HI.eGFP-Cre.WPRE.SV40 (Addgene; #105540) at the following coordinates: ventral pallidum (from Bregma; 10° angle, anterior/posterior: AP +0.9, medial/lateral: ML ±2.2, dorsal/ventral: DV -5.3), mediodorsal thalamus (from Bregma; 10° angle, AP: -0.8, ML: ±1.2, DV: -3.7),

ventral tegmental area (from Bregma; 7° angle, AP: - 3.2, ML: ±1, DV: -4.6), lateral habenula (from Bregma; 10° angle, AP: -1.2, ML: ±0.7, DV: - 3.1).

Double-labeling experiments – Each mouse was injected with two different retrograde tracers, rAAV-GFP and rAAV-RFP (ELSC viral core, The Hebrew University, Israel). Each virus was injected bilaterally (300 nl per hemisphere) into one of the VP targets tested here. Two weeks after microinjections mice were anesthetized with Pental (CTS Chemical Industries, Israel) and perfused with 4% paraformaldehyde (PFA). Sections of the VP (40 $\mu$m) were prepared using a sliding microtome (Leica, model SM2010R) and mounted on slides. The sections were scanned with Nikon ECLIPSE-NiE fluorescent microscope and scans were analyzed using ImageJ (NIH).

RNA-Sequencing and Bioinformatics- Three weeks following viral injections, VP tissue was collected from Ribotag mice infused with Retrograde-Cre virus (AAV5-Cre). Projection-specific RNA isolation was performed using polyribosome immunoprecipitation as described previously[12,13]. Briefly, pooled tissue from RiboTag (RT)+/+ mice with virally mediated Cre expression in VP projection neurons (n= 4-5 mice per sample) was homogenized and 800 μL of the supernatant was incubated in HA-coupled magnetic beads (Invitrogen: 100.03D; Covance: MMS-101R) overnight at 4°C. Magnetic beads were washed using high salt buffer. Following TRK lysis buffer, RNA was extracted with the RNeasy Micro kit (Qiagen: 74004). Libraries were prepared from 10 ng of RNA using the NEBNext Ultra kit (New England BioLabs, Ipswich, MA, USA) and sequenced on an Illumina HiSeq 4000 with a 75 bp paired-end read.

Total of 75–110 million reads were obtained for each sample. Reads were aligned to the mouse genome using TopHat and the number of reads that aligned to the predicted coding regions was determined using HTSeq. Significant differential expression was assessed using Limma. Genes with the absolute value of log fold change (LFC) ≥ 0.3 and an uncorrected p-value<0.05 in the pairwise comparisons were used for downstream analysis. Cytoscape 3.7.2 software was used for downstream analysis: transcriptional regulator networks were identified using the iRegulon app and Gene Ontology functional enrichment analysis was performed using the BiNGO app. From these lists, top GO terms were selected based off of highest -$\log_{10}$(adjusted-p-value), and top upstream regulators were selected based on the number of predicted targets DEGs.

Slice preparation - As described before [14–16]. Mice were anesthetized with 150 mg/kg Ketamine-HCl and then decapitated. Coronal slices (200 µm) of the VP were prepared (VT1200S Leica vibratome) and moved to vials containing artificial cerebrospinal fluid (aCSF (in mM): 126 NaCl, 1.4 $NaH_2PO_4$, 25 $NaHCO_3$, 11 glucose, 1.2 $MgCl_2$, 2.4 $CaCl_2$, 2.5 KCl, 2.0 Na Pyruvate, 0.4 ascorbic acid, bubbled with 95% $O_2$ and 5% $CO_2$) and a mixture of 5 mM kynurenic acid and 100 mM MK-801. Slices were stored in room temperature (22-24 °C) until recording.

In vitro whole-cell recording - Recordings were performed at 32 °C (TC-344B, Warner Instrument Corporation). VP neurons were visualized using an Olympus BX51WI microscope and recorded from using glass pipettes (1.3-2 MΩ, World Precision Instruments) filled with internal solution (in mM: 68 KCl, 65 D-gluconic acid potassium salt, 7.5 HEPES potassium, 1 EGTA, 1.25 MgCl2, 10 NaCl, 2.0 MgATP, and 0.4 NaGTP, pH 7.2-7.3, 275mOsm). Multiclamp 700B (Axon Instruments, Union City, CA) was used to record both membrane and action potentials and inhibitory postsynaptic currents (IPSCs) in whole-cell configuration. Excitability and passive membrane properties were measured in current clamp mode while synaptic activity was measured in voltage clamp mode at a holding potential of -80 mV. Recordings were acquired at 10 kHz and filtered at 2 kHz using AxoGraph X software (AxoGraph Scientific, Sydney). To evoke IPSCs from NAc terminals expressing ChR2 we used a 470 nm LED light source (Mightex Systems; 0.1–1 ms in duration) directed at the slice through the x60 objective. The stimulation intensity was set to evoke 50% of maximal IPSC at −80 mV. Recordings were collected every 10 seconds. Series resistance (Rs) measured with a −2 mV hyperpolarizing step (10 ms) given with each stimulus and holding current were always monitored online. Recordings with unstable Rs or when Rs exceeded 20 MΩ were aborted.

Current clamp experiments - After penetrating the neuron, we switched to current clamp mode and recorded the resting membrane potential of the neurons and spontaneous action potentials for 60 seconds. Cells with unstable membrane potential were discarded. Action potentials were later detected by their waveform using the Axograph software, baselined and analyzed. Potentials of <20 mV in amplitude were discarded. We then applied the current step protocol - five 500 ms-long depolarization current steps ranging from 0 pA to +80 pA (20 pA intervals) were applied, inter-step interval was 3 seconds. The 5-step protocol was repeated 5 times with 3 s between repetitions.

Baseline membrane potential was adjusted to be approximately -50 mV in all neurons by injecting constant current.

Cocaine conditioned place preference – Mice were first injected with rAAV-Cre in one of the 4 VP targets examined here and with an AAV encoding for the Gi-coupled DREADD, hM4Di, in a Cre-dependent manner (AAV-DIO-hM4Di-mCherry), or a sham virus (AAV2-EF1a-DIO-EYFP-WPRE-pA) into the VP. After two weeks of acclimation to the reverse light cycle and recovery from surgery, mice were trained in the unbiased cocaine conditioned place preference (CPP) paradigm as described previously [14] (**Fig. 9**). On the first day, mice were allowed to freely explore both sides of a 30 cm × 30 cm arena, divided in two, each side with a different wall pattern and floor texture.  On the following days, experimental mice received one daily injection of either cocaine (15 mg/kg, i.p.) or saline such that cocaine was always given in one side of the box (the "cocaine-paired" side) and saline in the other. Cocaine-paired sides were counterbalanced for pattern and side. Cocaine/saline injections alternated daily until each mouse received 4 of each. Control mice received 8 injections of saline. Then, mice were left in their cages for 14 days (abstinence) before being tested for their preference of the cocaine-paired side. On the test day, mice first received an injection of the DREADD ligand clozapine-N-oxide (CNO, 3 mg/kg, i.p.), were left in their home cages for 30 minutes and then put in the CPP box for 15 minutes. During the test, mice were allowed to move freely between the two sides of the arena. Movement was tracked using MediaRecorder (Noldus, the Netherlands), analyzed using Optimouse software[17] and CPP scores were calculated offline as the ratio between the difference in time spent between the cocaine-paired and unpaired sides and the total time [CPP score = (time in paired zone − time in unpaired zone)/(time in paired zone + time in unpaired zone)].

Viruses –

| Viral construct | manufacturer | Abbreviation in paper | titer | Used in figure # |
|---|---|---|---|---|
| AAVr-hSyn-eGFP | EVCF | rAAV-GFP | 1.78E+13 | 1 |
| AAVr-hSyn-mRuby2 | EVCF | rAAV-RFP | 3.06E+13 | 1 |
| AAVr-hSyn-iCre | EVCF | rAAV-Cre | 1.10E+14 | 8 |
| AAV2-EF1a-DIO-hChR2(H134R)-EYFP | UNC | AAV-DIO-ChR | 5.7E+12 | 4 |

| AAV2-hSyn-DIO-hM4D(Gi)-mCherry | UNC | AAV-DIO-DREADDs | 3.7E+12 | 8 |
|---|---|---|---|---|
| EVCF – ELSC Vector Core Facility, The Hebrew University of Jerusalem; Salk – Salk Institute Viral Vector Core; UNC – University of North Carolina Vector Core | | | | |

Statistics and data analysis – All statistical analyses were performed using GraphPad Prism 9.2 (GraphPad Software Inc.). Parametric tests were used unless stated otherwise with p values <0.05 considered as significant. All tests are indicated in the figure legends. Data in graphs represent mean ± s.e.m.

# Results

## *Minimal overlap between VP projection neurons*

While the VP projects to the LH, VTA, MDT and LHb, it is not known whether there is any overlap between these projections, and if so to what extent. To examine this we performed six experiments covering all pair combinations of the four targets of the VP. For each pair combination we injected one retrograde virus expressing GFP (rAAV-GFP) into one of the targets and another retrograde virus expressing RFP (rAAV-RFP) into the other target (**Fig. 1A**). Then we examined the proportion of the double-labeled yellow neurons out of all labeled neurons in the VP (**Fig. 1B**). Our data show that overlap between projections range between 7-20%, with the VP$_{\rightarrow LH}$ projections showing the lowest overlap proportions and especially low overlap with VP$_{\rightarrow VTA}$ projections (7%) (**Fig. 1C**). This is surprising, as a previous study[18] showed that most of the VP projection neurons, regardless of their final target, pass through the LH. It is possible that the levels of overlap between VP projections reported here are underestimations as the overlap may be affected by the efficiency of virus uptake by axons and by microinjection localization.

## *Distinct molecular signatures within VP projection neurons*

After finding that the projection patterns of the VP are relatively distinct to downstream regions, we aimed to examine whether these sets of VP projection neurons exhibit distinct profiles at the molecular level. Although studies have identified the neurochemical composition of VP projection neurons using *in situ* hybridization [3,4,19], we profiled global translatome-wide signatures within VP projection neurons. This allows us to identify

patterns and novel genes enriched within VP projection neurons.To this end, gene expression profiles of VP projection neurons were assessed using RNA-sequencing. Retrograde Cre virus (AAV5-Cre) was infused into one of the following regions in male Ribotag mice: VTA (n=16), LHb (n=16), LH (n=16), MDT(n=16), VP (n=16). This results in Cre-dependent expression of HA-tagged ribosomal protein within upstream VP neurons. Infusion of AAV5-Cre directly into the VP served as a comparison of non-pathway specific VP (global VP) gene expression. VP tissue was collected from mice and Ribotag methods were used to isolate pathway-specific ribosome-associated mRNA from VP neurons. RNA-Sequencing libraries were constructed from each VP projection group and followed by translatome profiling (**Fig. 2A**).

First, pair-wise comparisons of gene expression patterns from VP$_{\rightarrow VTA}$, VP$_{\rightarrow LHb}$, VP$_{\rightarrow LH}$ and VP$_{\rightarrow MDT}$ were compared to gene expression within non-projection specific VP neurons (**Fig. 2B**). The following number of differentially expressed genes (DEGs) were detected in each VP projection neuron: VP$_{\rightarrow MDT}$: 4318; VP$_{\rightarrow VTA}$: 1982; VP$_{\rightarrow LHb}$:1709 and VP$_{\rightarrow LH}$: 1308. To further characterize global gene expression patterns, we performed Rank-Rank Hypergeometric Overlap (RRHO) analysis[20], which compares gene expression between two lists in a threshold free manner. Heat maps display overlap at those points, determined by relative effect sizes in differential gene expression, using -log10(p-value). Comparisons between gene expression lists of VP projection neurons include VP$_{\rightarrow VTA}$ vs. VP$_{\rightarrow LHb}$; VP$_{\rightarrow VTA}$ vs. VP$_{\rightarrow MDT}$; VP$_{\rightarrow VTA}$ vs. VP$_{\rightarrow LH}$; VP$_{\rightarrow LH}$ vs. VP$_{\rightarrow MDT}$; VP$_{\rightarrow LHb}$ vs. VP$_{\rightarrow MDT}$ and VP$_{\rightarrow LHb}$ vs. VP$_{\rightarrow LH}$ (**Fig. 2D**). High concordance is seen within genes upregulated within VP$_{\rightarrow LH}$ versus VP$_{\rightarrow MDT}$ in relation to VP global neurons (peak: -log10(p-value): 541) and VP$_{\rightarrow LHb}$ versus VP$_{\rightarrow MDT}$ (peak: -log10(p-value): 334). High concordance is also observed within downregulated genes in VP$_{\rightarrow VTA}$ versus VP$_{\rightarrow LH}$ neurons (peak: -log10(p-value): 393). These data overall suggest that VP$_{\rightarrow MDT}$ has the highest number of upregulated genes and exhibits the highest overlap of upregulated gene expression patterns among other VP projection neuron types.

To identify cell-type specific molecules and processes, additional analysis was performed on genes upregulated (i.e. enriched) within the VP neuronal types. VP$_{\rightarrow MDT}$ neurons had the highest number of upregulated DEGs (708) in comparison to other VP neuron types (VP$_{\rightarrow VTA}$: 489; VP$_{\rightarrow LH}$: 454; VP$_{\rightarrow LHb}$: 402). Upregulated genes were largely distinct from one another (**Fig. 3A**), with only 66 genes shared amongst all VP neuron types. Consistent with the patterns detected from RRHO analysis, VP$_{\rightarrow MDT}$ and VP$_{\rightarrow LH}$

projection neurons shared the highest number of upregulated genes (267 total) and VP$_{\rightarrow LH}$ and VP$_{\rightarrow LHb}$ have little gene overlap (133 total). To characterize the biological processes and cellular functions associated with genes enriched within each VP neuronal type, gene ontology (GO) analysis was performed (**Fig. 3B**). All projection neuron types have enrichment for GABA receptor activity processes, suggesting that all these neuronal types receive GABAergic inputs. VP$_{\rightarrow VTA}$ neurons have genes enriched for glutamate receptor activity and VP$_{\rightarrow LHb}$ neurons are enriched for dopamine binding, which were not detected in other projection neuron types. Interestingly, shared GO terms across VP projection types include synaptic transmission and cytoskeletal protein binding. This may indicate that VP projection neurons express distinct sets of genes to regulate processes for synaptic communication. Similarly, GO terms for metabolic process and mitochondrian organization are detected amongst VP$_{\rightarrow VTA}$ and VP$_{\rightarrow MDT}$ neurons. This suggests that neuronal types may have distinct molecules that regulate mitochondrial and energy-processes. Overall, the enriched genes and biological processes within each projection neuron type may underlie the VP projection-specific functions and responses.

**The VP$_{\rightarrow MDT}$ projection receives less inhibitory input from the other VP projections**

The projections of the VP may differ not only in their basal gene expression (**Fig. 2**) but also in the synaptic inputs they receive. To examine this, we used the whole-cell patch clamp technique and recorded from each of the four VP projections the evoked input from the NAc (**Fig. 4**) and the global spontaneous inputs they receive (**Fig. 5**).

Examination of the evoked NAc input to each of the VP projections tested here revealed no difference between the projections (**Fig. 4**). In all projections tested, the proportion of neurons in which we detected evoked NAc input was similar (between 66-77 %, **Fig. 4A-B**) and the average amplitude of the optogenetically-evoked inhibitory postsynaptic current (oIPSC) was similar (**Fig. 4C**). This was also true for the paired pulse ratio (PPR) and coefficient of variation of the evoked currents (two measures reflecting the probability of release[22,23]) (**Fig. 4D-E**). Lastly, in all VP projections application of picrotoxin completely abolished the NAc oIPSC (**Fig. 4F**), indicating a GABAergic synapse.

Comparing the characteristics of the spontaneous postsynaptic currents (sPSCs) between the projections revealed that while they do not differ in the amplitude of the sPSCs (**Fig. 5A**), the VP$_{\rightarrow MDT}$ projection shows a significantly lower frequency of sPSCs compared to

the other projections (**Fig. 5B**) (Kolmogorov-Smirnov tests; D=0.13, p=0.0001 compared to $VP_{\rightarrow LHb}$; D=0.16, p<0.0001 compared to $VP_{\rightarrow LH}$; D=0.14, p<0.0001 compared to $VP_{\rightarrow VTA}$). This difference may be due to less GABAergic input because when we washed the slices with picrotoxin (100 μM), a GABA-A receptor antagonist, the reduction in the frequency of sPSCs was significantly smaller in $VP_{\rightarrow MDT}$ neurons (52.8±33 % reduction) (**Fig. 5C-D**) [One-way ANOVA main projection effect, $F_{(3,79)}$=4.06, p=0.01; post-hoc Tukey's multiple comparisons – compared to $VP_{\rightarrow LHB}$ (79.0±14.9 % reduction), p=0.001, compared to $VP_{\rightarrow LH}$ (74.4±14.7 % reduction), p=0.02, compared to $VP_{\rightarrow VTA}$ (73.1±22.5 % reduction), p=0.03].

### *$VP_{\rightarrow LH}$ neurons are more excitable and $VP_{\rightarrow VTA}$ are less excitable than the average VP neuron*

We next tested various properties that contribute to the excitability of the VP projection neurons (**Fig. 6A**). The resting membrane potential (**Fig. 6B**) did not differ between VP projections (One-way ANOVA, $F_{(3,103)}$=0.49, p=0.69; $VP_{\rightarrow MDT}$=-46.0±4.4 mV; $VP_{\rightarrow LHb}$=-45.8±4.0 mV; $VP_{\rightarrow LH}$=-46.6±5.8 mV; $VP_{\rightarrow VTA}$=-47.2±4.3 mV). In contrast, the firing rate at rest (**Fig. 6C-D**) did differ between projections (One-way ANOVA, $F_{(3,103)}$=3.47, p=0.02). In particular, the $VP_{\rightarrow LH}$ neurons had the highest firing rate (10.9±8.1 Hz), which was significantly higher than the firing rate of $VP_{\rightarrow MDT}$ (6.1±4.8 Hz; Post-hoc Holm-Sidak's multiple comparisons test, p=0.03) and $VP_{\rightarrow VTA}$ neurons (6.5±5.2 Hz; Post-hoc Holm-Sidak's multiple comparisons test, p=0.04).

When we applied a series of depolarizing current steps to the VP projections (**Fig. 6E-G**), we found that the projections differed both in the number of action potentials they generated per step (Analysis of covariance (ANCOVA), $F_{(3,260)}$=1.06, p=0.37 for slope comparison; $F_{(3,260)}$=6.1, p=0.0005 for elevation comparison) and in the latency to the first action potential (ANCOVA, $F_{(3,260)}$=4.65, p=0.003 for slope comparison). Note that the $VP_{\rightarrow LH}$ projections, who had the highest firing rates at rest (**Fig. 6C**), also showed strong firing and quick response when depolarized.

To evaluate the average excitability of the projections we generated an "excitability index". In each of the measured parameters we pooled all cells together and applied z-scores to all cells. Thus, each neuron had four z-scores (one from each experiment) and the excitability index of a neuron is the average of its four z-scores. Comparison of the excitability indexes between VP projections (**Fig. 6H**) demonstrated that it differed between projections (One-way ANOVA, $F_{(3,146)}$=3.21, p=0.03) and that the $VP_{\rightarrow LH}$ and

VP$_{\rightarrow VTA}$ projections had a significantly different excitability index (Post-hoc Tukey's multiple comparisons test, p=0.02) . Moreover, when comparing the excitability indexes to zero, which reflects the average excitability of a VP neuron, the average excitability index of the VP$_{\rightarrow LH}$ neurons was significantly higher than zero (One sample t-test, $t_{(36)}$=2.18, p=0.035) while that of VP$_{\rightarrow VTA}$ neurons was significantly lower than zero (One sample t-test, $t_{(31)}$=2.15, p=0.039). Thus, our data suggest that VP$_{\rightarrow LH}$ neurons are more excitable than the average VP neurons while VP$_{\rightarrow VTA}$ neurons are less excitable from the average VP neuron.

**Genes critical for ion transport enriched within VTA-projecting and LH-projecting VP neurons**

To identify potential molecules underlying neuronal responses seen between VP-VTA and VP-LH neurons, we compared genes enriched within both VP projection neuron types. We found 156 genes enriched within both VP$_{\rightarrow LH}$ and VP$_{\rightarrow VTA}$ neurons (**Fig. 7A**). GO Term analysis illustrated that the 156 genes belonged to processes related to neuron development, synaptic transmission, GABA signaling pathways, chromatin assembly and ion transport (**Fig. 7B**). This is consistent with previous work identifying VP GABAergic projections to the LH and VTA[4,6]. It also suggests that despite electrophysiological differences, there may be shared molecules that regulate presynaptic and synaptic processes between VP$_{\rightarrow LH}$ and VP$_{\rightarrow VTA}$ neurons. Given the differences in excitability between these projection neurons, we focused our analysis on genes associated with ion transport, which includes genes encoding subunits of ion channels and transporters. A heat map shows the genes enriched for ion transport within each projection type and their log-fold changes in comparison to global VP neuron gene expression. *GABRA5*, the GABA-A receptor alpha 5 subunit, is the highest expressing among both projection neuron types. We next performed predicted transcription analysis on the genes associated with ion transport and found RFX3 as a top predictor regulator of several shared ion transport genes. This presents a possible target for shared ion genes within VP$_{\rightarrow VTA}$ and VP$_{\rightarrow LH}$ neurons. To identify genes and biological processes distinct to each neuronal type, GO term analysis was performed on the unshared genes within VP$_{\rightarrow LH}$ (298 genes) and VP$_{\rightarrow VTA}$ (333 genes). Interestingly, both sets of genes were associated with processes related to synaptic transmission and ion transport (**Fig. 7C,D**). Both neuron types have enriched expression of potassium chanels, despite distinct families expressed within each neuronal type (VP-LH: *KCNC1 KCNC2*; VP-VTA: *KCND2, KCNAB1)*. Predicted transcription factor

analysis was performed on synaptic genes enriched within VP$_{\to LH}$ neurons and VP$_{\to VTA}$ neurons. We found that RNF138 was a top predicted regulator for a subset of VP$_{\to LH}$ ion transport genes, and DLX4 was a top predicted regulator for a subset of ion transport genes within VP$_{\to VTA}$ neurons. Altogether, these data demonstrate that VP$_{\to VTA}$ and VP$_{\to LH}$ neurons have sets of ion transport genes that are enriched within each projection neuron type and present novel molecular targets to study VP projection-specific neuronal function.

***Opposite roles for VP$_{\to LH}$ and VP$_{\to VTA}$ projections in cocaine conditioned place preference***

Our data so far indicates different VP projections show different characteristics in many aspects. In particular, the VP$_{\to LH}$ and VP$_{\to VTA}$ projections emerge as largely separate neuronal populations (**Fig. 1**) with contrasting physiological properties (**Fig. 6**). In light of these differences between the projections, we next tested whether these projections affect differently the motivation to receive drug reward in a cocaine conditioned place preference (CPP) procedure.

To inhibit the activity of a specific projection (e.g. VP$_{\to LH}$) we injected a retrograde AAV encoding for Cre recombinase (rAAV-Cre) in the target area to express Cre in the specific VP projection neurons and expressed the inhibitory version of the Designer Receptor Exclusively Activated by Designer Drugs (DREADDs) in a cre-dependent manner in the VP (AAV-DIO-hM4Di-GFP) (control mice were injected with a sham virus, AAV-DIO-GFP) (**Fig. 8A**). We then trained mice to associate one side of the CPP box with cocaine using the cocaine CPP paradigm (**Fig. 8B**, see Methods) and then allowed them to undergo abstinence in their home cages for 14 days. Thirty minutes before the CPP test, we injected all mice with CNO (3 mg/kg, i.p.) and then tested their preference to the cocaine-paired side.

Our data show that inhibiting the different VP projections had different effects on the preference of the cocaine paired side (**Fig. 8C-H**) (One-way ANOVA, $F_{(3,25)}$=5.81, p=0.004). In particular, the average CPP score when inhibiting the VP$_{\to LH}$ projection (0.34±0.19) was significantly lower than the CPP score when inhibiting the VP$_{\to VTA}$ (0.63±0.10, Tukey's multiple comparisons test p=0.005) or VP$_{\to MDT}$ (0.61±0.15, Tukey's multiple comparisons test p=0.01) projections. Lastly, comparison of the CPP scores to

that of the control group (injected with CNO but expressing the sham virus, CPP score of 0.51) revealed that inhibiting the VP$_{\rightarrow LH}$ projection significantly reduced the CPP score (One sample t-test, $t_{(7)}$=2.54, p=0.04) while inhibiting the VP$_{\rightarrow VTA}$ projection significantly increased the CPP score (One sample t-test, $t_{(7)}$=3.42, p=0.01). Inhibiting the different projections did not change differently the overall locomotion of the mice (**Fig. 8I**). Overall, our data point to different, and maybe opposite roles of VP$_{\rightarrow LH}$ and VP$_{\rightarrow VTA}$ in cocaine preference.

## Discussion

The VP is a structure largely composed of GABAergic neurons and has numerous downstream projection targets. It has been assumed that the cellular composition of the different projections is largely similar, despite the VP's projection-specific roles in behavior. In this manuscript we provide for the first time a thorough examination of four major outputs of the VP and compare directly their genetic profile, input sources, physiological characteristics and roles in cocaine reward behavior. We find that the different VP projections show distinct characteristics in all properties examined. Each projection has distinct sets of enriched genes (**Figs. 2-3**), exhibits different levels of excitability and inhibitory input (**Figs. 4-6**) and has differential roles in regulates rewarding cocaine CPP behavior (**Fig. 8**). In particular, the VP projections to the LH and VTA stand out as being largely distinct cell populations. They show a minimal level of projection overlap (**Fig. 2**), express different sets of enriched genes (**Fig. 7**), have different excitability levels (**Fig. 6**) and show opposite effects on cocaine CPP (**Fig. 8**).

### VP heterogeneity

Our data suggest that these four projection neurons of the VP differ from each other in multiple aspects, potentially suggesting some of these projections contain distinct subtypes of VP neurons. For instance, we found that VP$_{\rightarrow LH}$ neurons have the lowest overlap of output projections with other VP projection neurons, show enrichment of genes critical for axogenesis and GABAergic receptors and are hyperexcitable. Together, this suggests that VP$_{\rightarrow LH}$ neurons are a distinct subgroup of neurons that are potentially poised to propagate information quickly from their inputs. In addition, VP$_{\rightarrow VTA}$ and VP$_{\rightarrow LHb}$ neurons are enriched for biological processes distinct from other VP projection neurons (VP$_{\rightarrow VTA}$ :

Glutamate receptor activity; VP$_{\rightarrow LHb}$: dopamine binding activity) suggesting these neuronal types have distinct inputs from other VP projection neurons. However, VP$_{\rightarrow MDT}$ neurons show similar levels of excitability as average (non-projection specific) VP neurons, share the highest overlap of projection with other VP neurons and similarly display large overlap of gene expression patterns with other VP projection neuron types. Surprisingly, although no differences are seen from evoked responses from NAc inputs, VP$_{\rightarrow MDT}$ neurons display lower frequency of of sPSCs from global inputs. Collectively, our viral tracing and molecular profiling suggests that VP$_{\rightarrow MDT}$ neurons may have overlapping cells with other VP projections, however our electrophysiological data suggests they differ in their inhibitory inputs with other VP neurons. These VP$_{\rightarrow MDT}$ neurons, although they receive inhibitiory inputs from NAc, may receive information from other upstream regions such as the glutamtergic prefrontal cortex or the dopaminergic VTA[2]. We also found no differences in responses from evoked inputs from the NAc among VP projection neurons, which may suggest that these VP neurons do not exhibit baseline differences in responses to GABAergic NAc inputs. Our GO term analysis could reflect this, as all VP projection neurons have genes enriched for GABA receptor activity. However, this may change following responses to other stimuli such as cocaine, as we have previously observed synaptic adaptations in VP projection neurons during cocaine abstinence[15]. Our data overall suggests that VP projection neurons, with the exception of VP$_{\rightarrow MDT}$ neurons, are largely non-overlapping and composed of distinct genetic and cellular profiles. These findings also highlight the need to further interrogate how the VP encodes distinct sets of information for its various inputs to promote stimuli and input-specific behaviors.

Although our findings suggest segregation of the VP projection neurons, it is unclear from this data alone how distinct these VP neurons are. Despite the fact that we show low percentage of VP neurons projecting to two targets and that this is corroborated by differences in our other biological measurements, it should be emphasized that they may be underestimates. This is due to the fact that differences in viral expression of retrograde tracers depends on the efficiency of viral uptake and the excact location of the injection. In addition, combining the retrograde tracing data from all projections poses the possibility that a significant proportion of neurons projecting to one target projects also to another target. Thus, while we show that the projections of the VP show distinct characteristics, they may still show overlap with others.

<u>The difference between VP$_{\rightarrow LH}$ and VP$_{\rightarrow VTA}$ projections</u>

Our results highlight the VP$_{\rightarrow LH}$ and the VP$_{\rightarrow VTA}$ projections as being particularly distinct from each other. They show only 7% overlap (**Fig. 1**) and a large number of upregulated genes within these populations are non-overlapping (**Fig. 7**). We also detected differences in excitability, with VP$_{\rightarrow LH}$ neurons being more excitable while VP$_{\rightarrow VTA}$ neurons are less excitable than other VP neurons (**Fig. 6**). Consistent with this, chemogenetic inhibition of these populations resulted in opposite behavioral effects; inhibition of VP$_{\rightarrow LH}$ neurons decreases while inhibition of VP$_{\rightarrow VTA}$ neurons increases cocaine CPP.

The differences we report on VP$_{\rightarrow LH}$ and VP$_{\rightarrow VTA}$ neurons challenge several previous notions of the VP. First, an anatomical study suggests that approximately 90% of VP projections, including those to the VTA, pass through the LH[18]. In fact, the LH is positioned between the VP and the VTA[24]. Our data suggests that these VP projections to the VTA do not collateralize to the LH, and are strictly passing through the structure. Our CPP work does demonstrate that the VP exerts projection-specific effects on behavior, as previously seen[3,4,8,25]. However, there is conflicting reports on the effects of inhibiting VP projection neurons on drug seeking. These differences may be due to different cell-type specific manipulations, as Prasad et al. had found that inhibition of VP$_{\rightarrow LH}$ GABAergic neurons, but not VP$_{\rightarrow LH}$ parvalbumin neurons reduces renewal of operant-based alcohol seeking in rats[6]. This may also be due to species-specific effects, as inhibition of VP$_{\rightarrow VTA}$ neurons has previously been shown to enhance cocaine seeking in mice[8], but impair cocaine-seeking in rats[26]. Alternatively, it is possible that different circuits and cell-types are affected due to extinction training[6,26] and forced abstinence[8]. Further investigation into these underlying differences will be required to better understand the role of VP in drug-seeking.

The mechanism allowing the VP$_{\rightarrow LH}$ and the VP$_{\rightarrow VTA}$ projections to have different behavioral roles in drug seeking is still not understood. One possibility is potential opposite effects on dopamine release from VTA neurons. The VP projection to the VTA targets both GABA and dopamine neurons[15,27,28]. It is still not known how activation of VP input to the VTA affects dopamine levels but it is plausible that it enhances dopamine release as it increases the firing rate of putative dopamine neurons[26]. LH GABAergic neurons that project to the VTA increase firing of VTA dopamine neurons[29]. These neurons are also a main target of VP$_{\rightarrow LH}$ projections[30]. Thus, activation of VP$_{\rightarrow LH}$ neurons, which are mostly GABAergic[1] (**Fig. 7**) may result in a net decrease in dopamine release. Therefore, VP$_{\rightarrow VTA}$ and VP$_{\rightarrow LH}$ are expected to have opposite effects on dopamine release from the VTA. This may underlie their opposite effect on cocaine CPP.

Altogether, our data demonstrates that VP projection neurons have relatively distinct pathways, cellular properties and molecular compositions. These baseline differences may reflect their projection-specific roles of cocaine-related behaviors. Our behavioral findings illustrate how VP projection neurons have differential roles of in cocaine reward, which is consistent with previous work in the field. This work emphasizes the importance in further investigating and characterizing the VP projections and cell types in addiction processes.

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## <u>Figure legends</u>

**Figure 1 – Minimal overlap between ventral pallidal projections to the MDT, LHb, LH and VTA.** (**A**) Experimental setup. We injected each mouse with two retrograde viruses expressing either RFP (rAAV-RFP) or GFP (rAAV-GFP), each in a different target of the VP. Thus, we could identify neurons projecting to either target and those projecting to both. (**B**) Sample images of labeled VP$_{\to MDT}$ neurons, VP$_{\to LH}$ neurons and double-labeled neurons projecting to both targets. (**C**) Pie charts depicting for each pair of VP targets the proportion of double-labeled VP neurons projecting to both targets out of all labeled neurons (arranged from lowest to highest proportion of double-labeled neurons). The proportion of VP neurons projecting to both targets tested was in the range of 7-20%. Note that VP$_{\to LH}$ neurons showed the lowest proportions of overlap, especially with VP$_{\to VTA}$ neurons.

**Figure 2- VP Projection Neurons have distinct molecular signatures.** (**A**) Retrograde Cre (AAV5-Cre) was infused into 1 of 5 downstream VP regions (VTA; LHb; LH; MDT; VP) within Ribotag male mice. HA-immunoprecipitation procedures was employed to isolate RNA from distinct VP projection neurons. RNA-sequencing libraries were generated and analyzed form these samples to characterize baseline gene expression profiles within VP neurons projecting to the VTA, LHb, LH, MDT. (**B**) Differential Gene Expression (DEG) Analysis was generated by comparing gene expression within specific VP- projection neuron types relative to the global VP gene expression (uncorrected p<0.05). Transcriptional patterns within each VP projection neuron type are shown in a heat map. (**C**) Rank-Rank Hypergeometric Overlap (RRHO) Analysis comparing gene expression in a threshold free manner suggests VP-MDT and VP-LH and VP-MDT and VP-LHb projection neurons have the highest concordance of gene expression patterns.

**Figure 3- Gene ontology analysis identifies biological processes and molecular functions enriched within VP projection neurons.**

(**A**) Genes upregulated within each VP projection neuron type were compared in Venn Diagrams. The VP-MDT projection neuron has the highest number of enriched genes, with VP-MDT and VP-LH sharing the highest number of enriched genes. (**B**) Top Gene Ontology (GO) terms enriched in upregulated genes from each VP projection neuron.

**Figure 4 – Similar nucleus accumbens input to different VP projections**. (**A**) Schematic representation of the experimental setup. In each mouse, a retrograde tracer was injected into one of the four targets of the VP examined here and ChR2 was expressed in NAc neurons. Recordings were performed from identified VP projections and NAc input was induced optogenetically. (**B**) The proportion of responding VP neurons (i.e. the number of neurons displaying an oIPSC divided by the total number of neurons examined) was similar between projections and was between 66-77%. (**C-E**) The amplitude (**C**), paired-pulse ratio (**D**) and coefficient of variation (**E**) of the evoked oIPSC did not differ between projections (One-way ANOVA; $F_{(3,80)}=0.64$, p=0.59 for amplitude; $F_{(3,80)}=1.75$, p=0.16 for paired-pulse ratio; $F_{(3,80)}=1.08$, p=0.36 for CV of amplitude). (**F**) Application of 100 µM picrotoxin (GABA-A receptor blocker) on the slice completely blocked the oIPSCs in all VP projections, indicating these are GABA-only inputs. Inset – representative traces.

**Figure 5 – Projection-specific differences in the overall inhibitory input to VP neurons**. (**A**) Cumulative probability of sPSC amplitudes in the four VP projections. There were no differences between the curves (Kolmogorov-Smirnov tests; p>0.16 for all pairwise comparisons between projections). (**B**) Cumulative probability of the frequency of sPSCs in the four VP projections. The VP$_{\rightarrow MDT}$ neurons showed significantly lower frequencies compared to the other projections (Kolmogorov-Smirnov tests; d=0.13, p=0.0001 compared to VP$_{\rightarrow LHb}$; d=0.16, p<0.0001 compared to VP$_{\rightarrow LH}$; d=0.14, p<0.0001 compared to VP$_{\rightarrow VTA}$). * - p<0.05 for MDT compared with each of the other projections. (**C-D**) Application of 100 µM picrotoxin (GABA-A receptor blocker) on the slice significantly reduced the frequency of spontaneous inputs in all projections (**C**), but the reduction was smaller for the VP$_{\rightarrow MDT}$ projections (**D**) (frequency was reduced to 47.2±7 % for VP$_{\rightarrow MDT}$, 21.1±3 % for VP$_{\rightarrow LHb}$, 25.7±3 % for VP$_{\rightarrow LH}$ and 26.9±5 % for VP$_{\rightarrow VTA}$ neurons; * - p<0.05 compared to VP$_{\rightarrow MDT}$ neurons).

**Figure 6 – VP$_{\rightarrow LH}$ neurons are more excitable and VP$_{\rightarrow VTA}$ neurons are less excitable than the average VP neuron**. (**A**) Experimental setup. VP projections were labeled by injecting a retrograde tracer in the target region and recorded from using whole-cell patch clamp electrophysiology. (**B**) Resting membrane potential was not different between the four VP projections we examined (One-way ANOVA, $F_{(3,103)}=0.49$, p=0.69; VP$_{\rightarrow MDT}$=-46.0±4.4 mV; VP$_{\rightarrow LHb}$=-45.8±4.0 mV; VP$_{\rightarrow LH}$=-46.6±5.8 mV; VP$_{\rightarrow VTA}$=-47.2±4.3 mV). (**C**) Action potential firing frequency at rest differed between the different VP projections (One-way ANOVA, $F_{(3,103)}=3.47$, p=0.02). Post-hoc analyses show that

VP$_{\to LH}$ neurons fire in higher frequencies than VP$_{\to MDT}$ (Post-hoc Holm-Sidak's multiple comparisons test, p=0.03) and VP$_{\to VTA}$ (Post-hoc Holm-Sidak's multiple comparisons test, p=0.04) neurons. (**D**) Representative traces. (**E-F**) When applying a series of increasing depolarizing steps (inset, 20, 40, 60 and 80 pA) we found a main projection effect both in the number of action potentials per step (Analysis of covariance (ANCOVA), $F_{(3,260)}$=1.06, p=0.37 for slope comparison; $F_{(3,260)}$=6.1, p=0.0005 for elevation comparison) (**E**) and in the minimal latency to fire the first action potential (ANCOVA, $F_{(3,260)}$=4.65, p=0.003 for slope comparison) (**F**). Note that VP$_{\to LH}$ neurons had among the highest number of action potentials and shortest latency to fire, both of which indicate high excitability. (**G**) Representative traces. (**H**) An "Excitability index" was calculated by first calculating for each cell a z-score in each of the parameters recorded in panels B,C,E,F and then calculating the average z-score for each cell. There was a main projection effect for the z-scores (One-way ANOVA, $F_{(3,146)}$=3.21, p=0.03) and post-hoc analyses (Tukey's multiple comparisons test) revealed that the VP$_{\to LH}$ and the VP$_{\to VTA}$ projections were significantly different in their excitability (p=0.02). In addition, the excitability index of VP$_{\to LH}$ neurons was significantly higher than zero (One sample t-test, $t_{(36)}$=2.18, p=0.035) while that of VP$_{\to VTA}$ neurons was significantly lower than zero (One sample t-test, $t_{(31)}$=2.15, p=0.039). Thus, VP$_{\to LH}$ neurons are more excitable and VP$_{\to VTA}$ neurons are less excitable than the average VP neuron. * - p<0.05 compared to zero.

**Figure 7 - Distinct sets of genes related to ion transport are enriched within VTA-projecting and LH-projecting VP neurons. (A)** Upregulated genes within VP$_{\to LH}$ and VP$_{\to VTA}$ projection neurons were compared in a Venn diagram. Gene Ontology analysis identifies common synaptic genes (**B**) in VP$_{\to VTA}$ and VP$_{\to LH}$ projection neurons (log fold changes from each projection type displayed in a heat map) and RFX3 as a predicted upstream regulator of a subset of genes related to ion transport. GO term analysis reveals distinct sets of ion transport related genes and their listed potential upstream regulators within the VP$_{\to LH}$ (**C**) and VP$_{\to VTA}$ projection neurons (**D**).

**Figure 8 – Inhibiting VP$_{\to LH}$ neurons diminishes, while inhibiting VP$_{\to VTA}$ neurons enhances the preference for cocaine**. (**A**) Viral injection strategy. A retrograde AAV expressing Cre (rAAV-Cre) was injected into one of the 4 targets of the VP tested here and an AAV encoding Cre-dependently for the inhibitory DREADD hM4Di (AAV-DIO-hM4Di-eYFP) or a control sham virus (AAV-DIO-eYFP) were injected into the VP. (**B**) Cocaine CPP paradigm. After habituating to the CPP box, mice received daily alternating injections of cocaine (15 mg/kg i.p.) in one side of the box or saline in the other side of the box (4 injections of each). Mice then underwent 14 days of abstinence in their home-cages. On the test day, all mice first received an injection of CNO (3 mg/kg i.p.) 30 minutes prior to the beginning of the test and then were left in the CPP box for 15 minutes, during which their movement was recorded. A CPP score was calculated using the following equation - $CPP\ score = \frac{Time\ in\ cocaine-paired\ side - Time\ in\ saline-paired\ side}{Total\ time\ in\ the\ CPP\ box}$. (**C**) Inhibiting different VP projections had different influences on behavior (One-way ANOVA, $F_{(3,25)}$=5.81, p=0.004). While inhibiting VP$_{\to MDT}$ or VP$_{\to LHb}$ neurons did not affect the CPP score (One-sample t-test comparing to the CPP score of control mice expressing the sham virus and receiving CNO, Sham X CNO, 0.51; $t_{(6)}$=1.71, p=0.14 for VP$_{\to MDT}$, $t_{(5)}$=0.38, p=0.72 for VP$_{\to LHb}$), inhibiting the VP$_{\to LH}$ projection decreased the CPP

score ($t_{(7)}$=2.54, p=0.039) and inhibiting the VP$_{\rightarrow VTA}$ projection increased the CPP score ($t_{(7)}$=3.42, p=0.011). (**D-H**) Heatmaps of the movement of representative mice from all groups. (**I**) Inhibiting the different VP projections did not affect the distance traveled differently between projections.

**Figure 1**

**Figure 2**

**A.** Comparison of Number of Upregulated DEGs within VP-Projection Neurons

**B.** Gene Ontology Analysis of Upregulated DEGs within VP-Projection Neurons

**Figure 3**

**Figure 4**

**Figure 5**

**Figure 7**

**A.** Comparison of Genes Enriched within LH-Projecting vs. VTA-Projecting VP Neurons

**B.** Genes Enriched within both VTA-projecting VP Neurons and LH-Projecting VP Neurons

**C.** Enriched Genes Specific to LH-projecting VP Neurons vs. VTA Projecting VP Neurons

**D.** Enriched Genes Specific to VTA-projecting VP Neurons vs. LH-Projecting VP Neurons

**A**

rAAV-Cre
AAV-DIO-DREADDs(Gi)-eYFP /
AAV-DIO-eYFP (sham)

MDT    LHb

LH    VP    VTA

**B**

# Figure 8

| CNO (i.p.) | 30 minutes | Test |

Habituation          Coc/Sal  Sal/Coc
1 day, 5 min

Conditioning
8 days, 15 min/day

Abstinence
14 days

Test
1 day, 15 min

**C**

##

Average CPP score

Sham x CNO

MDT   LHb   LH   VTA
VP projection Inhibited

**D** Sham x CNO

**E** VP-->MDT

**F** VP-->LHb

**G** VP-->LH

**H** VP-->VTA

**I**

n.s.

Distance traveled (cm)