Brain state and cortical layer-specific mechanisms underlying perception at threshold

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ABSTRACT

Identical stimuli can be perceived or go unnoticed across successive presentations,
producing divergent behavioral outcomes despite similarities in sensory input. We sought
to understand how fluctuations in behavioral state and in cortical layer and cell-class
specific neural activity underlie this perceptual variability. We analyzed physiological
measurements of state and laminar electrophysiological activity in visual area V4 while
monkeys were rewarded for correctly reporting a stimulus change at perceptual threshold.
We find that hit trials are characterized by a behavioral state with increased arousal and
improved eye position stability. During hit trials, target stimuli evoke stronger responses
in V4, and excitatory neurons in the superficial layers, the primary feed-forward output of
the cortical column, exhibit lower variability. Hit trials are characterized by greater
synchrony between the output layers of the cortex during spontaneous activity, while the
stimulus evoked period shows elevated synchrony in the feed-forward pathway. Taken
together, these results suggest that a state of elevated arousal and stable retinal images
allow enhanced processing of sensory stimuli, which contributes to hits at perceptual
threshold.

INTRODUCTION

Physical properties of stimuli strongly influence perception. Low intensity stimuli are detected infrequently. As intensity increases, detection probability remains low until some perceptual threshold is crossed, after which stimuli are perceived robustly. A psychometric function (1-3) mathematically describes this property of perception. Only within a narrow range around the perceptual threshold do stimuli lead to significant trial-to-trial perceptual variance. While many studies present stimuli at threshold (4-7), few have probed the laminar cortical microcircuit mechanisms that underlie successful or unsuccessful perception under these conditions (8, 9).

Prior studies have characterized how perceived stimuli trigger stronger information propagation from earlier visual areas to higher order visual and frontal regions (4, 9). Information propagation and sensory processing are strongly influenced by brain states such as arousal and attention (8, 10). Arousal has long been known to modulate cortical activity (11-13) and impact behavioral performance on a variety of sensory tasks (14-16). Activity in visual area V4, a critical intermediate region in the ventral visual processing stream (17-19) is known to be strongly modulated by attention (20-23). Attention enhances the firing rates of V4 neurons, increases the reliability in firing of individual neurons, and decreases correlated fluctuations among pairs of neurons (21, 24-26). Brain state dynamics influence both cortical and subcortical structures while contributing to behavior (27, 28). On and off state dynamics in V4 ensembles have been hypothesized to reflect fluctuations in attention and have been shown to correlate with behavioral performance (29, 30).

The visual cortex has a columnar architecture in which multiple cell classes (31-

35) across the cortical layers (18, 36) form distinct sub-populations. These sub-

populations have unique and stereotyped patterns of connectivity, thus forming a

canonical microcircuit that orchestrates the encoding and flow of information (37, 38).

Moreover, these sub-populations contribute uniquely to sensory processing and are

differentially modulated by brain states (25, 39-41). While it has been shown that

attentional modulation varies across cortical layers (39, 41-47), the role of these sub-

populations in attentive perception at threshold remains poorly understood. Moreover, the

influence of physiological states, that may be responsible for different outcomes at

threshold, on these sub-populations has not been studied in detail.

Here we examine the neural mechanisms that regulate perception at threshold.

We specifically focus on the columnar microcircuit mechanisms within area V4. We

hypothesized that minor fluctuations in behavioral state, such as arousal and visual

sensitivity, and in the activity of neural sub-populations across the layers of the visual

cortex, result in different perceptual outcomes at threshold. Specifically, we hypothesized

the output layers (II-III, V-VI) that project to higher cortical areas and subcortical structures

would show signs of improved capacity for stimulus representation. We also hypothesized

that improved information propagation throughout a cortical column would characterize

successful perception at threshold. We find that differences in behavioral and lamina-

specific neural states characterize correct and incorrect trials at threshold and explain

perceptual variability.

RESULTS

To study the neural dynamics responsible for determining whether a stimulus presented

at perceptual threshold is perceived, we analyzed behavioral and cortical layer-specific

neural data from area V4, collected while monkeys performed a cued attention task (39).

Monkeys were trained to detect an orientation change in one of two Gabor stimuli that

were presented concurrently at two spatial locations, and to report having seen the

change by making an eye movement to the changed stimulus. Prior to a block of trials,

monkeys were cued as to which of the two spatial locations was likely to undergo the

orientation change (95% valid cue; presented at the start of each block). During a trial,

"non-target" stimuli at a fixed reference orientation were repeatedly presented. Non-

targets were turned on for 200ms at the two spatial locations, and then turned off for a

variable interval (200-400ms). At a random time (1-5s, mean 3s) a "target" stimulus,

differing in orientation from the non-targets, was presented at one of the locations. If the

monkey reported having detected the orientation change by making an eye movement to

the location of the target stimulus, it received a juice reward (Figure 1A, "hit" trial). If the

monkey failed to detect the orientation change and instead continued to maintain fixation

on the center of the monitor, it was not rewarded (Figure 1A, "miss" trial). In this study,

we focused exclusively on trials in which the target stimulus was presented at the cued

location (95% of trials). All figures relate exclusively to trials in which the change occurred

at the cued location.

On each trial, the magnitude of the orientation change was drawn from a

distribution that spanned multiple levels of difficulty. We fit the behavioral data with a

logistic function (1) and defined the threshold condition as the orientation change that was

closest to the 50% threshold of the fitted psychometric function for that session (Figure

1B, Experimental Procedures). We selected this subset of trials for further analysis, since

the constant target stimuli in these trials were equally likely to be perceived or not

perceived.

While monkeys performed this task, single- and multi-unit activity and local field

potentials (LFPs) were recorded in area V4 using 16-channel linear array electrodes

(Plexon inc., Figure 1-figure supplement 1A-E). The array was inserted perpendicular to

the cortical surface and spanned the cortical layers. We used current source density

(CSD) analysis (48) to estimate the boundaries between the superficial (I-III), input (IV),

and deep (V-VI) cortical layers (Figure 1-figure supplement 1E-F), and assign individual

neurons their layer identity (39). Single units were classified as either broad-spiking

(putative excitatory neurons) or narrow-spiking (putative inhibitory neurons) on the basis

of their waveform width using previously published techniques (peak to trough duration;

Figure 1-figure supplement 1D; see Methods; 31, 39, 40, 49, 50). Eye position and pupil

diameter were also recorded (ISCAN ETL-200). When analyzing pupil diameter and eye

position data, we considered all trials in the threshold condition in which the change

occurred at the cued location, regardless of whether the cued location was within the

receptive field of the recorded neurons. For all electrophysiological analyses, we only

considered trials in which the cued stimulus was within the receptive field of the recorded

neurons, and the stimulus change occurred at the cued location.

To assess the behavioral impact of variations in arousal and retinal image stability

across trials at the threshold condition, we compared pupil diameter and microsaccade

incidence across trail outcomes. Larger pupil diameter is thought to be a proxy for

elevated alertness and arousal (8, 14, 15, 51-54). We found that hit trials were associated

with larger pupil diameters compared to miss trials, both before and during non-target and

target stimulus presentations (Figure 2A). We quantified this difference in the estimation

statistics framework (55, 56) by comparing effect sizes and using bootstrapping to

estimate uncertainty in the differences. We found that the mean of the distribution of pupil

diameters associated with hit trials is greater than that associated with misses (Figure 2B;

complementary null hypothesis testing results in Table 1). Prior work has shown that the

optimal state for sensory performance occurs at intermediate levels of arousal, with states

of low and hyper arousal associated with decreased performance (14-16, 57-60). In both

hit and miss trials, the mean pupil diameter was close to the optimal arousal state for

perceptual performance (Figure 2C; 15). The average differences in pupil diameter across

hit and miss trials reflect differences within the optimal state of intermediate arousal. Our

results thus suggest that hits are more likely to occur during periods of greater arousal.

Microsaccades, small fixational eye movements of <1° in amplitude that occur

during normal fixation, are associated with periods of decreased visual sensitivity due to

unstable retinal images (61, 62). Microsaccades have been linked to suppressed neural

responses in visual areas during perceptual tasks, impairing fine visual discrimination and

behavioral performance (63, 64). We grouped trials in the threshold condition based on

whether a microsaccade occurred in a 400ms window preceding the onset of the target

stimulus. Most trials with a pre-target microsaccade were misses, whereas the majority

of trials without a microsaccade in this window were hits (Figure 2D). There is a strong

link between microsaccade direction and attention deployment (65-71). Consistent with

previous reports we also find that microsaccades toward the attended stimulus were

overrepresented in correct trials (Figure 2-figure supplement 1A, upper left). Conversely,

microsaccades towards the attended stimulus were underrepresented in incorrect trials

(Figure 2-figure supplement 1A, lower left). There was a very low but statistically

significant negative correlation between pupil diameter and microsaccade rate (Figure 2-

figure supplement 1B, $r^2 = 0.006, p < 0.001$). Microsaccade rates and inter-

microsaccade times are reported in Figure 2-figure supplement 1C-D. Overall, these

results suggest that successful trials at threshold are significantly more likely to occur

during a state of greater arousal and improved visual sensitivity.

Having established that hit trials are more likely to occur in states of elevated

arousal and visual stability, we investigated whether hits are characterized by differential

information processing in V4. Elevated stimulus-evoked firing rates in hits would indicate

a stronger representation of the stimulus that could be necessary for accurate

discrimination. We compared the firing rates of all neurons (single and multi-units)

recorded in each cortical layer across hit and miss trials. For non-target stimuli, firing rates

were equivalent for hits and misses in both the pre-stimulus (0-200ms before stimulus

onset) and stimulus-evoked (60-260ms following stimulus onset) periods (Figure 3A). For

the target stimulus, firing rates were once again equivalent in the pre-stimulus period, but

hit trials were characterized by elevated firing across cortical layers in the stimulus-

evoked period (Figure 3B-C). Broad and narrow-spiking neurons in both the input and

deep layers respond more to target stimuli in hit trials, and trend towards elevated firing

rates in the superficial layers during hits (Figure 3D). The average firing rate in response

to target stimuli for each neuron is shown in Figure 3-figure supplement 1A for both hit

and miss trials. It is important to note that the stimuli presented to the animals were

identical for both hits and misses. Moreover, the responses to the target stimuli occur

early, and elevated firing in hits emerges at the time of expected V4 response latencies

(70-100 ms; Figure 3-figure supplement 1C), and thus cannot be attributed to elevated

levels of firing due to subsequent saccade planning in these trials (Figure 3-figure

supplement 1B; expected >200ms; 72).

Variability in response reflects how reliably information is encoded by a neural

population. Lower baseline variability can enhance the ability of neurons to encode

stimulus differences. We calculated the Fano factor, a mean-normalized measure of trial-

to-trial variability in firing, for single-units in our population (Figure 4A). We find that broad-

spiking units in the superficial layer exhibited lower Fano factor during the pre-stimulus

period in hit trials (0-60ms before non-target stimulus onset, Figure 4B), indicating this

population of neurons fired more reliably when the animal correctly detected the

orientation change. This was not the case for broad-spiking neurons in other layers

(Figure 4B) or narrow-spiking neurons (Figure 4-figure supplement 1).

We next wanted to test how the relationship between spiking activity and LFPs

may differ across hits and misses. Spike-LFP synchrony can reflect cortical processing

and both within- and inter-areal coordination (73-75). We calculated the PPC (76), a

frequency resolved measure of spike-LFP phase-locking, for single and multi-units

relative to their local LFP signal during the pre-stimulus period (0-200ms before non-

target stimulus onset, Figure 5A). We averaged PPC values at low (3-12Hz), medium (15-

25Hz), and high (30-80Hz) frequency bands (superficial & Input: Figure 5-figure

supplement 1A-B; deep: Figure 5B & Figure 5-figure supplement 1C). Deep layer neurons

exhibit reduced low-frequency phase-locking in hit trials than in misses (Figure 5B),

suggesting an improvement in pooled signal-to-noise among this neural population.

Our results at the individual neuron or neural-sub-population levels suggest

enhanced processing of perceived stimuli. However, it is the concerted activity among

neural sub-populations that ultimately determine information flow through the laminar

cortical circuit. We therefore examined differences in interlaminar synchrony as

signatures of differential information flow between hit and miss trials. Spike-spike

coherence (SSC) is a frequency resolved measure of the degree to which two spike trains

fluctuate together (26, 77). We measured interlaminar SSC for spike trains from pairs of

cortical layers, each spike train being comprised of all recorded action potentials in a

given layer (See Methods). We computed interlaminar SSC separately for hit and mis

trials in both the pre-stimulus (0-200ms before non-target stimulus onset, Figure 6A) and

non-target stimulus-evoked (60-260ms after non-target stimulus onset, Figure 7A)

periods, matching the firing rates across hit and miss trials separately for the pre-stimulus

and non-target stimulus-evoked conditions. We averaged SSC for each pair of layers

across three frequency bands, 3-12Hz, 15-25Hz, and 30-80Hz (Figure 6B and 7B).

Overall, hit trials have greater interlaminar SSC compared to misses at almost all

frequencies (Figure 6B and 7B). In the pre-stimulus period, the strongest SSC difference

between hits and misses was observed between the superficial and deep layers across

all frequencies (Figure 6B, middle panel). This implies greater synchrony of the output

layers of the cortex during hit trials. In contrast, this pattern was directionally the same

during the non-target stimulus-evoked period, but stronger in the other layer pairs, with

greater SSC differences being found in pairs that involve the input layer (Figure 7B, top

and bottom). This may reflect a higher degree of stimulus-driven feed-forward information

propagation during hit trials. When comparing across time (pre-stimulus vs non-target

stimulus-evoked), layers, and frequency band, there was a significant interaction effect of

layer pair and time window (three-way ANOVA, $p = 0.0075$).

Finally, we sought to compare the predictive power of our results on the monkey's

perceptual performance. We created a generalized linear model (GLM) to regress

behavioral outcome from the pupil diameter, number of microsaccades in the pre-target

window, and average target-evoked multi-unit firing rate in each of the three layers (see

Experimental Procedures; 78). Other reported measures (Fano factor, PPC, interlaminar

SSC) that we could not estimate reliably on a single trial basis were not considered in the

GLM analysis. Pre-target microsaccades were by far the strongest predictor of

performance $(weight = -1.3116; p = 6.0757e-08)$. Input layer firing rate also

significantly predicted perception $(weight = 0.3276; p = 0.020068)$. Superficial firing

rate, deep firing rate, and pupil diameter were not significant predictors (Table 2, all $p >$

0.5). This indicates that, among the variables that we could estimate reliably on a single

trial basis, stable retinal images in the pre-target window are critical for behavioral

performance, and elevated firing in the input layer is the most reliable physiological

signature of a perceived stimulus.

DISCUSSION
We investigated the physiological processes responsible for variable behavioral

outcomes at perceptual threshold. Controlling for *both* the attentive instruction (thus

minimizing large-scale attentional effects) and the stimulus condition that elicited

performance at a threshold level allowed us to examine the physiological and neural

correlates that underlie correct versus incorrect behavioral outcomes. While this study

cannot disentangle the independent roles of behavioral state fluctuations and neural

fluctuations in determining behavioral outcomes, evidence suggests that differences in

both are associated with hits. We found multiple lines of evidence which suggest that a

state of higher arousal and eye-position stability and the accompanying enhanced

processing of visual stimuli contributes to accurate perception in hit trials (Figure 8).

Pupil diameter is elevated in hit trials (Figure 2A-C; Figure 8A), and prior studies

have shown that pupil diameter is strongly linked to arousal and alertness (51, 52, 54).

This provides evidence that a state of higher arousal may contribute to improved sensory

processing. The much lower hit rate in trials with a microsaccade preceding the target

(Figure 2D; Figure 8A) and our GLM analysis shows that stability of retinal images is

critical for accurate discrimination at threshold. It is unlikely that these two measures are

reflecting the same phenomenon, as there is a very weak correlation between them over

the course of a trial (Figure 2-figure supplement 1B).

There is a strong link between oculomotor control and attentional deployment (79-

81). In this study, hits and misses differ in their behavioral responses, with hit trials being

characterized by a saccade to the target stimulus. Almost all of our neural results reflect

differences around the time of non-target stimulus presentations during which the

monkeys maintained fixation at the center of the screen and, therefore, were hundreds of

milliseconds prior to saccade planning and execution in the case of hit trials. Trials in

which saccades were made to non-target stimuli were excluded from analysis, as were

trials in which the monkey made a saccade to the target too soon after its presentation to

have been a behavioral response to stimulus perception (see Methods). The analysis of

microsaccade occurrence focused on the window just before target stimulus presentation

and before monkeys could begin oculomotor planning. Only the analysis of neural

responses to target stimuli appears in conjunction with divergent oculomotor behavior

between the hit (saccade) and miss (no saccade) trials. However, here too firing rates

diverge much earlier, particularly in the input layer, than would be consistent with the

effects of saccade planning (Figure 3-figure supplement 1B; 72).

A body of evidence (See 82 for review), suggests that microsaccades directed

towards a target stimulus reflect attention-related processing and performance (65-71).

In our dataset, during the pre-target period, microsaccades towards the attended stimulus

were overrepresented in correct trials (Figure 2-figure supplement 1A, upper left).

Conversely, microsaccades towards the attended stimulus were underrepresented in

incorrect trials (Figure 2-figure supplement 1A, lower left). Microsaccades directed

towards the location of the eventual target may reflect elevated attentional deployment

that can compensate for the reduced sensitivity due to higher incidence of

microsaccades.

Our electrophysiological findings and their laminar patterns associated with hit

trials *within* a cued attention state mirror several previous findings that are associated

with the deployment of covert spatial attention. Attention has long been known to increase

firing rates in V4 (21, 25, 83), and there is evidence that this increase occurs in all cortical

layers in V4 (39). We find that elevated firing rates in hits occur across all layers in

conjunction with elevated arousal (Figure 3; Figure 8C). Attention reduces the variability

in the firing of V4 neurons, and this reduction is thought to contribute to the improved

information coding capacity of a population of neurons (24-26, 39, 84). The reduction in

Fano factor among broad-spiking superficial-layer neurons in hit trials mirrors the effects

of attention (Figure 4). Multiple lines of evidence suggest broad- and narrow-spiking

correspond to putative excitatory and inhibitory neurons respectively. Narrow-spiking

neurons exhibit higher firing rates, which corresponds well with inhibitory interneuron (31,

39, Supplementary Table 1, 40, 85-87). Repolarization times in broad-spiking neurons

are also longer, as they are in excitatory pyramidal neurons (40, 50, 88). Since these

neurons are putative projection neurons to downstream cortical areas, this reduction in

Fano factor may indicate increased reliability in stimulus encoding that could contribute

to hits. Our finding is also in agreement with previous reports of higher variability in

representations of unperceived stimuli in humans (89). Synchronous neural activity

appears to modulate perceptual and cognitive ability in a variety of contexts (90-93). We

found that deep-layer neurons exhibit less low-frequency phase-locking in hit trials (Figure

5). This is consistent with prior studies that find an attention-mediated reduction in the

power spectrum of the spike-triggered-averaged LFP (91).

Our examination of inter-laminar synchrony revealed two interesting and

complementary patterns: hits were associated with greater coherence between the

superficial and deep layers during spontaneous activity in the pre-stimulus period (Figure

6; Figure 8B); in contrast, we found enhanced coherence between the input layer and

both the output layers (superficial and deep) in the stimulus-evoked period during hits

(Figure 7; Figure 8C). Increased superficial-deep coherence in the pre-stimulus period

could be the result of neuromodulatory or top-down processes that maintain the cortex in

a state of sustained depolarization corresponding to a state of higher arousal during hits

(8, 15). Increased synchrony between the input layer and the output layers during the

stimulus-evoked period could then reflect stronger information propagation through the

cortical circuit, and hence with improved stimulus detection (94). In contrast to broad

global synchrony or local correlated fluctuations, which may signal a default state of

minimal processing or decreased information coding capacity (26, 95-97), these patterns

of interlaminar coherence that we found suggest that successful perception at threshold

is mediated by pathway specific modulation of information flow through the laminar

cortical circuit.

Taken together, our results provide insight about how information about a

threshold stimulus may successfully propagate through a cortical column and influence

sensory perception. Lower baseline variability among broad-spiking superficial layer

neurons and decreased low-frequency synchronous activity in the deep layers could be

indicative of improved capacity to encode sensory information. Higher target-evoked firing

rates and elevated interlaminar synchrony could enhance the propagation of this encoded

signal. These results associate pre-stimulus baseline state differences with enhanced

cortical processing in the stimulus-evoked period.

Several studies have examined how information flow differs for perceived and

unperceived stimuli at a more macroscopic scale (4, 9). van Vugt et al. (9) recorded from

three brain regions, V1, V4, and dorsolateral prefrontal cortex (dlPFC), while a monkey

performed a stimulus detection task at threshold. Their work supports the model that

feedforward propagation of sensory information from the visual cortex to the PFC causes

a non-linear "ignition" of association areas resulting in conscious perception (98). Herman

et al. (4) found that conscious human perception triggers a wave of activity propagation

from occipital to frontal cortex while switching off default mode and other networks. Our

study provides insight into the functions of the cortical microcircuit at the columnar level

that could reflect these large-scale sweeping activity changes in perception.

Overall, we identified substantial layer-specific differences in cortical activity

between hits and misses at perceptual threshold, leading to the following conceptual

model (Figure 8). During spontaneous activity, the state of elevated arousal and eye

position stability during hits (Figure 8A) is manifested by increased interlaminar synchrony

between the superficial and deep layers (Figure 8B, thicker orange arrows), which we

propose is due to top-down influences. We predict that decreased firing variability in

broad-spiking neurons in the superficial layer is caused by a lower variability in membrane

potential closer to the action potential threshold among these neurons (Figure 8B, inset).

Elevated feed-forward propagation in the stimulus-evoked period (Figure 8C) and a

membrane potential closer to action potential threshold could both contribute to higher

firing rates in the output layers of the cortex (Figure 8C, inset), and are indicative of

greater fidelity of stimulus processing in hits. These physiological differences in the

laminar microcircuit likely contribute to successful perceptual discrimination at threshold.

MATERIALS & METHODS
Surgical Procedures

Surgical procedures have been described in detail previously (39, 99, 100). In brief, an

MRI compatible low-profile titanium chamber was placed over the pre-lunate gyrus, on

the basis of preoperative MRI in two rhesus macaques (right hemisphere in Monkey A,

left hemisphere in Monkey C). The native dura mater was then removed, and a silicone

based optically clear artificial dura (AD) was inserted, resulting in an optical window over

dorsal V4 (Figure 1-figure supplement 1A, B). Antibiotic (amikacin or gentamicin) soaked

gauzed was placed in the chamber between recording sessions to prevent bacterial

growth. All procedures were approved by the Institutional Animal Care and Use
Committee and conformed to NIH guidelines.

Electrophysiology

At the beginning of each recording session a plastic insert, with an opening for targeting

electrodes, was lowered into the chamber and secured. This served to stabilize the

recording site against cardiac pulsations. Neurons were recorded from cortical columns

in dorsal V4 using 16-channel linear array electrodes ('laminar probes', Plexon Inc.,

Plexon V-probe). The laminar probes were mounted on adjustable X-Y stages attached

to the recording chamber and positioned over the center of the pre-lunate gyrus under

visual guidance through a microscope (Zeiss Inc., Figure 1-figure supplement 1C). This

ensured that the probes were maximally perpendicular to the surface of the cortex and

thus had the best possible trajectory to make a perpendicular penetration down a cortical

column. Across recording sessions, the probes were positioned over different sites along

the center of the gyrus in the parafoveal region of V4 with receptive field (RF)

eccentricities between 2 and 7 degrees of visual angle. Care was taken to target cortical

sites with no surface micro-vasculature, with surface micro-vasculature used as reference

so that the same cortical site was not targeted across recording sessions. The probes

were advanced using a hydraulic microdrive (Narishige Inc.) to first penetrate the AD and

then through the cortex under microscopic visual guidance. Probes were advanced until

the point that the top-most electrode (toward the pial surface) registered local field

potential (LFP) signals. At this point, the probe was retracted by about 100-200 μm to

ease the dimpling of the cortex due to the penetration. This procedure greatly increased

the stability of the recordings and increased the neuronal yield in the superficial

electrodes.

The distance from the tip of the probes to the first electrode contact was either 300

μm or 700 μm. The inter-electrode distance was 150 μm, thus minimizing the possibility

of recording the same neural spikes in adjacent recording channels. Electrical signals

were recorded extracellularly from each channel. These were then amplified, digitized

and filtered either between 0.5 Hz and 2.2 kHz (LFPs) or between 250 Hz and 8 kHz

(spikes) and stored using the Multichannel Acquisition Processor system (MAP system,

Plexon Inc.). Spikes and LFPs were sampled at 40 and 10 kHz respectively. LFP signals

were further low-pass filtered with a 6th order Butterworth filter with 300Hz cut-off and

down-sampled to 1 kHz for further analysis. Spikes were classified as either multi-unit

clusters or isolated single units using the Plexon Offline Sorter software program. Single

units were identified based on two criteria: (a) if they formed an identifiable cluster,

separate from noise and other units, when projected into the principal components of

waveforms recorded on that electrode and (b) if the inter-spike interval (ISI) distribution

had a well-defined refractory period. Single-units were classified as either narrow-spiking

(putative interneurons) or broad-spiking (putative pyramidal cells) based on methods

described in detail previously (25, 39). Specifically, only units with waveforms having a

clearly defined peak *preceded* by a trough were potential candidates. The distribution of

trough-to-peak duration was clearly bimodal (Hartigan's Dip Test, $p = 0.012$) (101). Units

with trough-to-peak duration less than 225 μs were classified as narrow-spiking units;

units with trough-to-peak duration greater than 225 μs were classified as broad-spiking

units (Figure 1-figure supplement 1D; teal=narrow, gold=broad).

Data was collected over 32 sessions (23 sessions in Monkey A, 9 in Monkey C),

yielding a total of 413 single units (146 narrow-spiking, 267 broad-spiking) and 296 multi-

unit clusters. Per session unit yield was considerably higher in Monkey C compared to

Monkey A, resulting in a roughly equal contribution of both monkeys toward the population

data.

Task, Stimuli and Inclusion Criteria

Stimuli were presented on a computer monitor placed 57 cm from the eye. Eye position

was continuously monitored with an infrared eye tracking system (ISCAN ETL-200). Trials

were aborted if eye position deviated more than 1° (degree of visual angle, 'dva') from

fixation. Experimental control was handled by NIMH Cortex software

(http://www.cortex.salk.edu/). Eye-position (all sessions) and pupil diameter (18/32

sessions) data were concurrently recorded and stored using the MAP system.

Receptive Field Mapping: At the beginning of each recording session, neuronal

RFs were mapped using subspace reverse correlation in which Gabor (eight orientations,

80% luminance contrast, spatial frequency 1.2 cycles/degree, Gaussian half-width 2°) or

ring stimuli (80% luminance contrast) appeared at 60 Hz while the monkeys maintained

fixation. Each stimulus appeared at a random location selected from an 11x11 grid with

1° spacing in the appropriate visual quadrant. Spatial receptive maps were obtained by

applying reverse correlation to the evoked local field potential (LFP) signal at each

recording site. For each spatial location in the 11x11 grid, we calculated the time-

averaged power in the stimulus evoked LFP (0-200ms after each stimulus flash) at each

recording site. The resulting spatial map of LFP power was taken as the spatial RF at the

recording site. For the purpose of visualization, the spatial RF maps were smoothed using

spline interpolation and displayed as stacked contours plots of the smoothed maps

(Figure 1-figure supplement 1G). All RFs were in the lower visual quadrant (lower-left in

Monkey A, lower-right in Monkey C) and with eccentricities between 2 and 7 dva.

Current Source Density Mapping: In order to estimate the laminar identity of each

recording channel, we used a current source-density (CSD) mapping procedure (48).

Monkeys maintained fixation while 100% luminance contrast ring stimuli were flashed

(30ms) centered at the estimated RF overlap region across all channels. The size of the

ring was scaled to about three-quarters of the estimated diameter of the RF. CSD was

calculated as the second spatial derivative of the flash-triggered LFPs (Figure 1-figure

supplement 1E). The resulting time-varying traces of current across the cortical layers

can be visualized as CSD maps (Figure 1-figure supplement 1F; maps have been

spatially smoothed with a Gaussian kernel for aid in visualization). Red regions depict

current sinks in the corresponding region of the cortical laminae; blue regions depict

current sources. The input layer (Layer 4) was identified as the first current sink followed

by a reversal to current source. The superficial (Layers 1-3) and deep (Layers 5-6) layers

had opposite sink-source patterns. LFPs and spikes from the corresponding recording

channels were then assigned to one of three layers: superficial, input or deep.

Attention task: In the main experiment, monkeys had to perform an attention-

demanding orientation change-detection task (Figure 1A). While the monkey maintained

fixation, two achromatic Gabor stimuli (orientation optimized per recording session,

spatial frequency 1.2 cycles/degree, 6 contrasts randomly chosen from an uniform

distribution of luminance contrasts, $c = [10, 18, 26, 34, 42, 50\%]$) were flashed on for 200

ms and off for a variable period chosen from a uniform distribution between 200-400 ms.

One of the Gabors was flashed at the receptive field overlap region, the other at a location

of equal eccentricity across the vertical meridian. At the beginning of a block of trials, the

monkey was spatially cued ('instruction trials') to covertly attend to one of these two

spatial locations. During these instruction trials, the stimuli were only flashed at the

spatially cued location. No further spatial cue was presented during the rest of the trials

in a block. At an unpredictable time drawn from a truncated exponential distribution

(minimum 1 s, maximum 5 s, mean 3 s), one of the two stimuli changed in orientation.

The monkey was rewarded for making a saccade to the location of orientation change.

The monkey was rewarded for only those saccades where the saccade onset time was

within a window of 100-400 ms after the onset of the orientation change. The orientation

change occurred at the cued location with 95% probability and at the uncued location with

5% probability ('foil trials'). We controlled task difficulty by varying the degree of

orientation change (Δ_{ori}), which was randomly chosen from one of the following: 1, 2, 3,

4, 6, 8, 10 and 12°. The orientation change in the foil trials was fixed at 4°. These foil trials

allowed us to assess the extent to which the monkey was using the spatial cue, with the

expectation that there would be an impairment in performance and slower reaction times

compared to the case in which the change occurred at the cued location. If no change

occurred before 5s, the monkey was rewarded for maintaining fixation ('catch trials', 13%

of trials). We refer to all stimuli at the baseline orientation as 'non-targets' and the stimulus

flash with the orientation change as the 'target'.

Inclusion criteria: Of the 413 single units, we included only a subset of neurons

that were visually responsive for further analysis. For each neuron we calculated its

baseline firing-rate for each attention condition (attend into RF ['attend-in' or 'IN'], attend

away from RF ['attend-away' or 'AWAY']) from a 200ms window before a stimulus flash.

We also calculated the neuron's contrast response function for both attention conditions

(Figure 1-figure supplement 1H). This was calculated as the firing rate over a window

between 60-200 ms after stimulus onset and averaged across all stimulus flashes

(restricted to non-targets) of a particular contrast separately for each attention condition.

A neuron was considered visually responsive if any part of the contrast response curves

exceeded the baseline rate by 4 standard deviations for both attention conditions. This

left us with 274 single units (84 narrow-spiking, 190 broad-spiking) and 217 multi-unit

clusters for further analysis.

Data analysis

Behavioral Analysis: For each orientation change condition Δ_{ori}, we calculated the

hit rate as the ratio of the number of trials in which the monkey correctly identified the

target by making a saccadic eye-movement to the location of the target over the number

of trials in which the target was presented. The hit rate as a function of Δ_{ori}, yields a

behavioral psychometric function (Figure 1B). We performed this analysis independently

for each recording day for each monkey, yielding a similar but distinct psychometric

function for every session. Psychometric functions were fitted with a smooth logistic

function (1). Error bars were obtained by a jackknife procedure (20 jackknives, 5% of trials

left out for each jackknife). Performance for the foil trials were calculated similarly as the

hit rate for trials in which the orientation change occurred at the un-cued location (Figure

1B, square symbol). For each fitted psychometric function in both the attend-in and

attend-away conditions, we calculated the threshold of the fitted logistic function (i.e. the

Δ_{ori} at which performance was mid-way between the lower and upper asymptotes).

Because the threshold of the fitted function always lies somewhere on the axis of Δ_{ori}, but

not exactly at an orientation change presented to the subject, we then defined the

threshold condition as the subset of trials in which the orientation change of the target

stimulus was closest to the threshold of the fitted function (Figure 1B). We restricted

further analysis to this threshold condition. For this threshold condition we identified the

trials in which the monkey correctly identified the target as 'hit' trials and those in which

the monkey failed to identify the target as 'miss' trials. Analysis of behavior, pupil

diameter, and microsaccades was conducted on both the attend-in and attend-away

conditions; all electrophysiological analysis was applied only to the attend-in condition.

Pupil Diameter: The raw pupil diameter measurements from the infrared eye-

tracking system could differ across days due to external factors such as display monitor

illumination. To control for this, we normalized the raw data by a Z-score procedure

separately for each session (using the mean and standard deviation of all measurements

during the session). We analyzed normalized pupil diameter traces for hit and miss trials

in the threshold condition, over a time window from 100 ms before to 100 ms after all

stimulus presentations (non-target and target), excluding the first stimulus presentation in

a trial. The first stimulus was excluded to avoid pupil diameter changes due to the pupillary

near response caused by acquiring fixation (102). The pupil diameter was averaged over

this time period and compared across conditions using bootstrap estimation and *t*-test.

Distribution violin plots were generated using kernel density estimation (103)

(bandwidth(hit) = 0.0801, bandwidth(miss) = 0.0648).

Microsaccade Analysis: Saccadic eye-movements were detected using ClusterFix

(104). We identified microsaccades by filtering for eye movements with amplitudes

between 0.1 and 1 degree of visual angle. We then split all trials in the threshold condition

into two groups: those in which a microsaccade was detected in the 400ms preceding the

target stimulus presentation, and those without a detected microsaccade. We calculated

the hit rate for trials within those two groups. For all trials in which a target stimulus was

presented at the attended location, we determined the direction of all microsaccades in

the 400ms period preceding target presentation, relative to both the attended and

unattended stimuli. The relative microsaccade direction was defined as the angle

between two vectors: the one defined by the eye positions at the beginning and end of

the microsaccade, and the vector from the initial eye position to the center of the stimulus

(calculated separately for attended and unattended stimuli). Relative microsaccade

directions were grouped into 12 bins from 0-360°. The distribution of relative

microsaccade directions were calculated separately for correct and incorrect trials,

relative to both the attended and unattended stimuli (Figure 2-figure supplement 1A).

We next created a null distribution of relative microsaccade direction. This was

done by pooling together microsaccades from correct and incorrect trials and then

sampling with replacement from this pooled data (bootstrap procedure (105); 1000

samples). The number of microsaccades chosen for each sample was the same as the

number in correct or incorrect trials respectively. These bootstrapped samples were used

to create 99.5% confidence intervals for the count of microsaccades expected in each of

the 12 bins. A bin was considered significantly different from chance if it's true count fell

outside this confidence interval.

We calculated microsaccade rate for an entire trial by dividing the total number of

detected microsaccades in the whole trial by the trial length (4592 total trials). The

Pearson correlation between microsaccade rate and mean normalized pupil diameter

(see above) for the trial was calculated for all trials with pupil diameter data, regardless

of trial type or outcome (Figure 2-figure supplement 1B). Not pictured in Figure 2-figure

supplement 1B but included in correlation analysis were trials with a mean normalized

pupil diameter greater than 2 or less than -2 (~4% of trials). Only 4 of these trials were

longer than 1 s, out of which 2 trials contained detected microsaccades. The mean pupil

diameter in these trials is shown in Figure 2-figure supplement 1B inset. Inter-

microsaccade time was calculated as the time between microsaccade onset of

microsaccades detected in the same trial. 4% of microsaccades separated by >538 ms

are excluded from Figure 2-figure supplement 1D as they were more than 1.5x the

interquartile range above the third quartile.

Firing Rate: Firing rates were normalized per neuron to that neuron's maximum

stimulus-evoked response to each contrast before being combined across contrasts and

trial types. We averaged stimulus-evoked firing rates from 60-260 ms following non-target

or target stimulus presentations. We used bootstrapped estimation to compare firing rates

in hit and miss trials in a paired comparison. This was done for all single and multi-unit

clusters, as well as broad- and narrow-spiking single-units in each layer. Firing rates were

also compared across hit and miss trials by paired *t*-test for each group. PSTH of firing

rates were calculated in 30ms bins shifted in 5ms increments. To calculate the time of

firing rate divergence, we compared the difference in each single neuron or multi-unit

firing rate in the two conditions over time. At each time point, we performed a Wilcoxin

rank-sum test comparing the firing rates across hits and misses, and defined the

divergence point as the first time the firing rates were significantly different. Divergence

was calculated separately for each layer. To determine the time at which firing rates rose

above baseline levels, we used bootstrapping to estimate 95% confidence intervals for

each neuron's pre-stimulus firing rate (0-100 ms before target or non-target stimulus

onset). We then calculated the target PSTH for each neuron in 30ms bins shifted in 5ms

increments. We defined the response latency as the first time bin in which the neuron's

firing rate in the PSTH exceeded the upper limit of the 95% confidence interval of baseline

firing. We calculated the response latency independently for hit and miss trials for each

neuron.

Fano factor: Trial-to-trial variability was estimated by the Fano factor, which is the

ratio of the variance of the spike counts across trials over the mean of the spike counts

for each broad and narrow-spiking single unit. The Fano factor was calculated over non-

overlapping 20ms time bins in a window from 200ms prior to each non-target flash onset

to 200ms after each non-target flash onset for hit and miss trials in the threshold condition.

To compare across conditions, we calculated the Fano factor modulation index (MI),

defined as

$\text{MI} = \frac{FF_{hit} - FF_{miss}}{FF_{hit} + FF_{miss}}$

where FF_{hit} and FF_{miss} represent the Fano factor for a given unit in hit and miss trials

respectively at each point in time with respect to non-target stimulus onset. The Fano

factor MI was averaged from 0-60ms prior to non-target stimulus onset and compared

across trial types in the threshold condition for each sub-population.

Pairwise Phase Consistency (PPC): We calculated PPC (76) for single and multi-

units in the non-target pre-stimulus period (0-200ms preceding onset) in trials in the

threshold condition. Although PPC is unbiased by spike count, we set a threshold of 50

spikes for analysis so that only units with enough spikes for a reliable estimate of PPC

were included (superficial: $n = 26$, input: $n = 41$, deep: $n = 64$). LFP phase was

calculated using Morlet wavelets. PPC for each unit was calculated for the phase of the

LFP recorded on the same channel and averaged in three frequency bands (3-12 Hz, 15-

25 Hz, and 30-80 Hz). PPC was calculated separately for hit and miss trials and compared

across trial outcomes by t-test, corrected for multiple comparisons.

Spike-spike coherence (SSC): For each recording session, all spikes recorded

from visually responsive single and multi-units in each layer were combined into a single

spike train for that layer (layer multi-unit). Separately for both the pre-stimulus and non-

target stimulus-evoked periods, we randomly deleted spikes from the layer multi-unit with

a higher firing rate so that the firing rates were matched across hit and miss trials. SSC

was calculated for each of the three possible pairs of layer multi-units in each session for

both the pre-stimulus (0-200 ms preceding stimulus onset) and non-target stimulus

evoked period (60-260 ms following non-target stimulus onset) separately for hit and miss

trials using Chronux (NW = 1; K = 1; http://chronux.org) (77, 106). To control for differences

in firing rates across hit and miss trials we used a rate matching procedure (26). For

estimation statistics, interlaminar SSC values was calculated for each frequency and

subsequently averaged across three frequency bands: 3-12 Hz, 5-15 Hz, and 30-80 Hz

and compared across hit and miss trials for each pair of layers in each recording session.

For null-hypothesis testing, we calculated the SSC modulation index, defined as

$$MI = \frac{SSC_{hit} - SSC_{miss}}{SSC_{hit} + SSC_{miss}}$$

The SSC MI was calculated for each frequency and subsequently averaged across three

frequency bands: 3-12 Hz, 5-15 Hz, and 30-80 Hz. MI values for each frequency band

were compared to zero by t-test, Bonferroni corrected for multiple comparisons. We

tested for interaction effects with a three-factor ANOVA, with frequency, pair of layers,

and time window (pre or post stimulus) as factors. We calculated a shuffled distribution of

SSC by shuffling the trial identities of the spikes in one of the layers in the pair. We then

calculated SSC with the shuffled trial identities. This procedure was repeated 10 times to

create the shuffled distribution.

GLM quantification: To compare how well our results can predict behavioral

performance we fit a GLM to the response of the monkeys in trials in the threshold

condition (78). We included five regressors in our analysis: (1) average pupil diameter

during the trial, (2) number of microsaccades in the pre-target window (0-400ms before

target stimulus onset), and average target-evoked multi-unit firing rate in the (3)

superficial, (4) input, and (5) deep layers. We calculated the average target-evoked firing

rate by averaging the firing rate of all single- and multi-units in a given layer 60-260ms

after target stimulus onset in each trial. In order to be able to compare weights across

regressors, each regressor was transformed into a z-score before being included in the

model. We fit the GLM using a logit link function, using the predictors to regress the

categorical binary trial outcome (hit or miss). A total of 309 trials were included in the

GLM.

FIGURE LEGENDS
Figure 1. Orientation change detection task at perceptual threshold
(**A**) Schematic of task structure. The monkey initiated a trial by fixating on the center of the screen. Two
Gabor stimuli (represented by oriented lines) were presented for 200 ms and then turned off for 200-400ms.
This was repeated until, at an unpredictable time, one of the stimuli changed orientation. The monkey could
report having seen the change by making an eye movement to the location of the target stimulus to receive
a reward (hit trials). If the monkey failed to report the orientation change and maintained fixation on the
center of the screen it was not rewarded (miss trials). Before a block of trials, the monkey was cued as to
which stimulus was likely to undergo the change (95% valid cue). In 5% of trials the orientation change
occurred at the other location (foil trials). Circles indicating the cued location and receptive field are drawn
for figure reference only and were not presented during the task. (**B**) Example behavioral psychometric
function from one recording session and attention condition. Behavioral performance (hit rate, circles) is
presented as a function of orientation change. Data was fitted with a logistic function. The threshold
condition, trials with performance halfway between the upper and lower asymptotes of the logistic function,
is indicated by the orange box. Error bars represent standard deviation calculated with a jackknife
procedure (20 jackknives). The square symbol indicates foil trial performance.
640
Figure 2. Hit trials have larger pupil diameter whereas microsaccades more often precede misses
(**A**) Normalized pupil diameter for hit and miss trails in the threshold condition. 0 ms corresponds to non-
target and target stimulus onset. Mean +/- s.e.m. (**B**) Distribution of pupil diameter values associated with
hit and miss trials. Pupil diameter was averaged from 100ms before to 100ms after non-target and target
stimulus onset. Violin plots were generated using kernel smoothing (See Experimental Procedures). Error
bars represent 95% confidence intervals for the mean of each distribution, and the mean difference (blue,
right axes). *Inset*: zoomed in view of the mean difference between hit and miss trials. Black bar represents
a 95% confidence interval of the mean difference. Shaded region reflects the distribution of the
bootstrapped estimation of the mean difference. (**C**) Histogram of mean pupil diameter around the time of
non-target and target stimulus onset (calculated as in **B**). Orange and gray lines represent the mean pupil
diameter for hit and miss trials respectively. (**D**) *Left:* Hit rate for trials with (387 trials) and without (*right*,
1336 trials) a microsaccade detected in the time window 0-400ms before target onset. *Right:* Bootstrapped
estimation of the mean difference in hit proportion in trials with vs without a pre-target microsaccade. Same
conventions as **2B**.
655
Figure 3. Target stimuli evoke higher firing rates in hit trials
Rows correspond to different layers (top=superficial, middle=input, bottom=deep). (**A**) Population (visually
responsive single and multi-unit) PSTH for non-target stimuli during hit (orange) and miss (dark-gray) trials
in the threshold condition (mean +/- s.e.m). The horizontal black bar indicates the time and duration of
stimulus presentation. (**B**) As in **A** but for target stimuli. The star indicates the time at which firing rates in
the input layer first differ significantly between hit and miss trials. Vertical lines represent the mean time
firing rates for each neuron rise above the 95% confidence interval of their baseline activity (see also Figure
3-figure supplement 1C). (**C**) Bootstrapped estimation of the paired mean difference in target stimulus-
evoked firing rate across hit and miss trials in the time window 60-260ms (red dotted box in **B**) after target
stimulus onset. Shaded regions represent the bootstrapped estimation of the paired mean difference in
firing rate (hit - miss), and black lines are 95% confidence intervals. Plots include data from both single and
multi-units, separated by layer (top=superficial, middle=input, bottom=deep). (**D**) As in **C**, bootstrapped
estimation of the paired mean difference in firing rate for hit trials compared to miss trials in the target
stimulus-evoked period, but only for single-units broken up by cell class (gold=broad, teal=narrow).
670
Figure 4. Broad-spiking neurons in the superficial layer have decreased variability in hit trials
(**A**) Rows correspond to different layers (top=superficial, middle=input, bottom=deep). The Fano factor of
broad-spiking putative excitatory neurons for the hit and miss trials in the threshold condition (mean +/-
s.e.m). There is a significant decrease in variability for the hit trials prior to stimulus onset only in the
superficial layer. 0 ms corresponds to non-target stimulus onset. The average Fano factor within a 60ms
time-window (red dashed box) prior to non-target stimulus onset is plotted in **B**. (**B**) *Top:* Fano factor
modulation index for each broad-spiking neurons recorded in each layer, averaged in the 60ms preceding
non-target stimulus onset. *Bottom:* Bootstrapped estimation of the mean difference of the Fano factor

modulation index from zero in each of the three layers. Colored curves represent the estimated
bootstrapped distribution. Black dots and lines reflect the mean and 95% confidence intervals of the
distributions.
682
Figure 5. Deep layer neurons are phase-locked to low-frequency rhythms in miss trials
(**A**) Pairwise phase consistency (PPC) of single and multi-units in each layer to the local field potential (LFP)
signal recorded from the same channel in hit and miss trials at threshold. PPC was calculated in the pre-
stimulus period (0-200 ms before stimulus onset). Dashed red line indicates a PPC of 0, below which there
is no consistent relationship between spikes and LFP phase. (**B**) Bootstrapped estimation plot for the paired
mean difference in PPC for deep layer neurons over three frequency bands: 3-12Hz, 15-25Hz, 30-80Hz.
Curves represent the bootstrapped distribution for the paired difference, and black dots and vertical lines
represent the mean and 95% confidence intervals for the paired mean difference
691
Figure 6. Greater interlaminar coherence in hit trials in the pre-stimulus period
Interlaminar spike-spike coherence in the pre-stimulus period (0-200ms prior to stimulus onset). Rows
correspond to different pairs of layers (*top*=superficial-input, *middle*=superficial-deep, *bottom*=input-deep).
(**A**) Multi-unit interlaminar spike-spike coherence (SSC) calculated in the 200ms before non-target stimulus
onset in hit and miss trials (solid lines, mean +/- s.e.m). Firing rates were matched across hit and miss trials.
Dashed lines represent coherence calculated with shuffled trial identities (mean +/- s.e.m). (**B**)
Bootstrapped estimation plot for the paired mean difference in SSC for each pair of layers averaged over
three frequency bands : 3-12Hz, 15-25Hz, 30-80Hz. Curves represent the bootstrapped distribution for the
paired difference, and black dots and vertical lines represent the mean and 95% confidence intervals for
the paired mean difference.
702
703 **Figure 7. Greater interlaminar coherence in hit trials in the stimulus-evoked period**
704 Interlaminar spike-spike coherence in the stimulus evoked period (60-260ms after stimulus onset). Same
705 conventions as in Figure 6.
706
Figure 8. Conceptual model for stimulus processing at perceptual threshold
(**A**) Hit trials have a larger pupil diameter and fewer pre-target microsaccades, reflecting a state of increased
arousal and greater eye position stability. Conversely, miss trials show decreased arousal and eye position
stability. (**B**) In the spontaneous pre-stimulus period, hits are characterized by decreased variability in
superficial layer broad-spiking neurons, which we hypothesize is reflective of lower membrane potential
(V_m) variability (inset). Hit trials are also characterized by greater synchrony between the superficial and
deep layers (indicated by thicker arrows), which could be reflecting a stronger top-down influence on the
cortical column. (**C**) In the stimulus-evoked period there is greater interlaminar synchrony between pairs
that include the input layer (represented by thicker arrows), which we propose reflects improved feed-
forward propagation of information. We propose these state differences in hits contribute to elevated firing
rates in response to target stimuli, particularly in the superficial layers (inset), resulting in a higher-fidelity
output to downstream areas. E = excitatory; I = inhibitory; s = superficial; i = input; d = deep.
719
Figure 1-figure supplement 1. Laminar recordings in V4
(**A**) An artificial dura (AD) chamber is shown over dorsal V4 in the right hemisphere of Monkey A. The native
dura mater was resected and replaced with a silicone based artificial dura, thereby providing an optically
clear window into the cortex. Scale bar = 5mm. (**B**) An enlarged view of the boxed region in **A** clearly shows
the sulci and the microvasculature. sts = superior temporal sulcus, lu = lunate sulcus, io = inferior occipital
sulcus. Area V4 lies on the pre-lunate gyrus between the superior temporal and lunate sulci. Scale bar =
2mm. (**C**) Electrophysiology setup: a plastic stabilizer with a circular aperture is secured in place inside the
chamber such that the aperture is centered over the pre-lunate gryus. A 16-channel linear array electrode
(electrode spacing 150 μm) is positioned over the center of the gyrus and lowered into the cortex under
microscopic guidance. The microvasculature pattern was used as a reference to target different cortical
sites across recording sessions. (**D**) Example recording session in monkey C depicting 12 single unit
waveforms (mean +/- s.e.m.) isolated along the cortical column. Teal waveforms correspond to narrow-
spiking putative interneurons and gold waveforms correspond to broad-spiking putative excitatory units. (**E**)
Stimulus triggered local field potentials (LFPs) obtained by flashing 30ms high contrast ring stimuli in the
receptive field of a V4 cortical column. LFP traces averaged across all stimulus repeats are shown color-

coded as being part of either the superficial (green), input (gray) or deep (pink) layers. Layer assignment
was done after current source-density analysis. (**F**) Current source-density (CSD) calculated as the second
spatial derivative of the stimulus triggered LFPs and displayed as a colored map. The x-axis represents
time from stimulus onset; the y-axis represents cortical depth oriented such that the pial surface is at the
top and the white matter is at the bottom. Red hues represent current sink, blue hues represent current
source. The input layer is identified as the first current sink followed by a reversal to current source. The
superficial and deep layers have the opposite sink-source pattern. The CSD map has been spatially
smoothed for visualization. (**G**) Stacked contour plots show spatial receptive fields (RFs) mapped along
each contact point in the laminar probe. The spatial receptive fields were obtained by applying reverse
correlation to the LFP power evoked by sparse pseudo-random sequences of Gabor stimuli. The RFs are
well aligned, indicating perpendicular penetration down a cortical column. Zero depth represents the center
of the input layer as estimated from the CSD. (**H**) Contrast response functions – spikes rate as a function
of stimulus contrast – are shown for 2 example units identified in a single recording session in Monkey A.
Red and blue traces correspond to the attend-in to RF and attend-away from RF conditions respectively.
The dotted lines represent the corresponding background firing-rates. The dashed lines are 4 standard
deviations above baseline. A unit was considered as visually responsive, if the contrast response functions
exceeded this threshold in both the attention conditions. Mean +/- s.e.m. Panels are reproduced from Nandy
et al. (2017).
753
Figure 2-figure supplement 1. Microsaccades are preferentially directed towards the target in
correct trials and have a slight correlation with pupil diameter
Data is presented for all trials, regardless of orientation change (not just the threshold condition). (**A**) The
histograms represent the direction of microsaccades relative to the attended stimulus (left column) or
unattended stimulus (right column) in correct (top row) and incorrect (bottom row) trials. Black lines
represent the mean (solid) and 99.5% confidence interval (dashed) of the bootstrapped null distribution
estimated by pooling correct and incorrect microsaccades. $*$ p < 0.005. *Inset:* Schematic for calculation
of relative microsaccade direction. Microsaccade is represented by the gray arrow (**B**) Scatterplot of
microsaccade rate versus mean normalized pupil diameter, shows a small but statistically significant
relationship between the two quantities ($r^2 = 0.006$, $p < 0.001$). Each dot is color-coded by trial length. *Inset:*
Histogram of mean pupil diameter for all trials, including those less than -2 or greater than 2 (red lines).
Only four of the trials with pupil diameters less than -2 or greater than 2 had detected microsaccades. (**C**)
Boxplot of microsaccade rates for all trials. (**D**) Boxplot of the time between microsaccades recorded in the
same trial. 4% of microsaccades separated by more than 538ms were excluded as outliers (See Methods).
768
Figure 3-figure supplement 1. Firing rates for individual neurons and reaction time in threshold
condition
(**A**) Target stimulus-evoked normalized firing rates in hit and miss trials for each recorded single and multi-
unit cluster in hit and miss trials. Clusters are divided by layer: left=superficial, middle=input, right=deep.
Related to Figure 3B. Each line represents the mean firing rate in response to target stimuli in hit and miss
trials for a given unit. Data is color coded by unit type (gold=broad, teal=narrow, gray=multi-unit). See
Experimental Procedures for normalization method. (**B**) Mean reaction time (time from target stimulus onset
to saccade onset) for hit trials in the threshold condition in both attend-in (red) and attend-away (blue) trials
for each recording session. (**C**) Mean time at which single- and multi-unit firing rates rise significantly above
pre-stimulus baseline in response to target stimuli in hit and miss trials. Mean +/- s.e.m.
779
Figure 4-figure supplement 1. Narrow-spiking neurons do not have decreased variability in hit trials
Top: Fano factor modulation index for each narrow-spiking neurons recorded in each layer, averaged in the
60ms preceding non-target stimulus onset. *Bottom:* Bootstrapped estimation of the mean difference of the
Fano factor modulation index from zero in each of the three layers. Colored curves represent the estimated
bootstrapped distribution. Black dots and lines reflect the mean and 95% confidence intervals of the
distributions.
786
Figure 5-figure supplement 1. Additional PPC data
(**A-B**) *Top:* Raw PPC values calculated for clusters recorded in the superficial (**A**) and (**B**) input layers in hit
and miss trials, averaged into three frequency bands, 3-12 Hz, 15-25 Hz, and 30-80 Hz. PPC was calculated
using the LFP recorded on the same channel as the spikes. *Bottom:* Bootstrapped estimation of the paired

mean difference in PPC across hit and miss trials for each frequency band. Note that although there
appears to be a difference in high-frequency PPC in the superficial layer, this population does not have
significantly positive PPC in either condition, indicating that there is no phase-locking in either hits or
misses. (**C**) Raw PPC values for neurons recorded in the deep layer in hit and miss trials, averaged into
the same 3 frequency bands. Related to Figure 5B.
796

AUTHOR CONTRIBUTIONS

MPJ and ASN conceptualized the project. ASN collected the data and supervised the

project. MPM analyzed the data, with assistance from SD and IB. MPM, SD, ASN, MPJ,

and JHR wrote the manuscript.

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Figure 1

Figure 1. Orientation change detection task at perceptual threshold
(**A**) Schematic of task structure. The monkey initiated a trial by fixating on the center of the screen. Two Gabor stimuli (represented by oriented lines) were presented for 200 ms and then turned off for 200-400ms. This was repeated until, at an unpredictable time, one of the stimuli changed orientation. The monkey could report having seen the change by making an eye movement to the location of the target stimulus to receive a reward (hit trials). If the monkey failed to report the orientation change and maintained fixation on the center of the screen it was not rewarded (miss trials). Before a block of trials, the monkey was cued as to which stimulus was likely to undergo the change (95% valid cue). In 5% of trials the orientation change occurred at the other location (foil trials). Circles indicating the cued location and receptive field are drawn for figure reference only and were not presented during the task. (**B**) Example behavioral psychometric function from one recording session and attention condition. Behavioral performance (hit rate, circles) is presented as a function of orientation change. Data was fitted with a logistic function. The threshold condition, trials with performance halfway between the upper and lower asymptotes of the logistic function, is indicated by the orange box. Error bars represent standard deviation calculated with a jackknife procedure (20 jackknives). The square symbol indicates foil trial performance.

Figure 2

Figure 2. Hit trials have larger pupil diameter whereas microsaccades more often precede misses
(**A**) Normalized pupil diameter for hit and miss trails in the threshold condition. 0 ms corresponds to non-target and target stimulus onset. Mean +/- s.e.m. (**B**) Distribution of pupil diameter values associated with hit and miss trials. Pupil diameter was averaged from 100ms before to 100ms after non-target and target stimulus onset. Violin plots were generated using kernel smoothing (See Experimental Procedures). Error bars represent 95% confidence intervals for the mean of each distribution, and the mean difference (blue, right axes). Inset: zoomed in view of the mean difference between hit and miss trials. Black bar represents a 95% confidence interval of the mean difference. Shaded region reflects the distribution of the bootstrapped estimation of the mean difference. (**C**) Histogram of mean pupil diameter around the time of non-target and target stimulus onset (calculated as in **B**). Orange and gray lines represent the mean pupil diameter for hit and miss trials respectively. (**D**) *Left:* Hit rate for trials with (387 trials) and without (right, 1336 trials) a microsaccade detected in the time window 0-400ms before target onset. *Right:* Bootstrapped estimation of the mean difference in hit proportion in trials with vs without a pre-target microsaccade. Same conventions as **2B**.

Figure 3. Target stimuli evoke higher firing rates in hit trials

Rows correspond to different layers (top=superficial, middle=input, bottom=deep). (**A**) Non-target population (single and multi-unit) non-target PSTH of visually responsive neurons for the hit (orange) and miss (dark-gray) trials in the threshold condition (mean +/- s.e.m). The horizontal black bar indicates the time and duration of stimulus presentation. (**B**) As in **A** but for target stimuli. The star indicates the time at which firing rates in the input layer first differ significantly between hit and miss trials. Vertical lines represent the mean time firing rates for each neuron rise above the 95% confidence interval of their baseline activity (see also Figure S3C). (**C**) Bootstrapped estimation of the paired mean difference in target stimulus-evoked firing rate across hit and miss trials in the time window 60-260ms (red dotted box in **B**) after target stimulus onset. Shaded regions represent the bootstrapped estimation of the paired mean difference in firing rate (hit - miss), and black lines are 95% confidence intervals. Plots include data from both single and multi-units, separated by layer (top= superficial, middle=input, bottom=deep). (**D**) As in **C**, bootstrapped estimation of the paired mean difference in firing rate for hit trials compared to miss trials in the target stimulus-evoked period, but only for single-units broken up by cell class (gold=broad, teal=narrow).

Figure 4

Figure 4. Broad-spiking neurons in the superficial layer have decreased variability in hit trials
(**A**) Rows correspond to different layers (top=superficial, middle=input, bottom=deep). The Fano factor of broad-spiking putative excitatory neurons for the hit and miss trials in the threshold condition (mean +/- s.e.m). There is a significant decrease in variability for the hit trials prior to stimulus onset only in the superficial layer. 0 ms corresponds to non-target stimulus onset. The average Fano factor within a 60ms time-window (red dashed box) prior to stimulus onset is plotted in **B**. (**B**) *Top:* Fano factor modulation index for each broad-spiking neurons recorded in each layer, averaged in the 60ms preceding non-target stimulus onset. *Bottom:* Bootstrapped estimation of the mean difference of the Fano factor modulation index from zero in each of the three layers. Colored curves represent the estimated bootstrapped distribution. Black dots and lines reflect the mean and 95% confidence intervals of the distributions.

Figure 5

Figure 5. Deep layer neurons are phase-locked to low-frequency rhythms in miss trials
(**A**) Pairwise phase consistency (PPC) of single and multi-units in each layer to the local field potential (LFP) signal recorded from the same channel in hit and miss trials at threshold. PPC was calculated in the pre-stimulus period (0-200 ms before stimulus onset). Dashed red line indicates a PPC of 0, below which there is no consistent relationship between spikes and LFP phase. (**B**) Bootstrapped estimation plot for the paired mean difference in PPC for deep layer neurons over three frequency bands: 3-12Hz, 15-25Hz, 30-80Hz. Curves represent the bootstrapped distribution for the paired difference, and black dots and vertical lines represent the mean and 95% confidence intervals for the paired mean difference

Figure 6. Greater interlaminar coherence in hit trials in the pre-stimulus period

Interlaminar spike-spike coherence in the pre-stimulus period (0-200ms prior to stimulus onset). Rows correspond to different pairs of layers (*top*=superficial-input, *middle*=superficial-deep, *bottom*=input-deep). (**A**) Multi-unit interlaminar spike-spike coherence (SSC) calculated in the 200ms before non-target stimulus onset in hit and miss trials (solid lines, mean +/- s.e.m). Firing rates were matched across hit and miss trials. Dashed lines represent coherence calculated with shuffled trial identities (mean +/- s.e.m). (**B**) Bootstrapped estimation plot for the paired mean difference in SSC for each pair of layers averaged over three frequency bands : 3-12Hz, 15-25Hz, 30-80Hz. Curves represent the bootstrapped distribution for the paired difference, and black dots and vertical lines represent the mean and 95% confidence intervals for the paired mean difference.

Figure 7. Greater interlaminar coherence in hit trials in the non-target stimulus-evoked period
Interlaminar spike-spike coherence in the non-target stimulus evoked period (60-260ms after stimulus onset). Same conventions as in Figure 6.

Figure 8

Figure 8. Conceptual model for stimulus processing at perceptual threshold
(**A**) Hit trials have a larger pupil diameter and fewer pre-target microsaccades, reflecting a state of increased arousal and greater eye position stability. Conversely, miss trials show decreased arousal and eye position stability. (**B**) In the spontaneous pre-stimulus period, hits are characterized by decreased variability in superficial layer broad-spiking neurons, which we hypothesize is reflective of lower membrane potential (V_m) variability (inset). Hit trials are also characterized by greater synchrony between the superficial and deep layers (indicated by thicker arrows), which could be reflecting a stronger top-down influence on the cortical column. (**C**) In the stimulus-evoked period there is greater interlaminar synchrony between pairs that include the input layer (represented by thicker arrows), which we propose reflects improved feed-forward propagation of information. We propose these state differences in hits contribute to elevated firing rates in response to target stimuli, particularly in the superficial layers (inset), resulting in a higher-fidelity output to downstream areas. E = excitatory; I = inhibitory; s = superficial; i = input; d = deep.

Figure 1-figure supplement 1

Figure 1-figure supplement 1. Laminar recordings in V4

(**A**) An artificial dura (AD) chamber is shown over dorsal V4 in the right hemisphere of Monkey A. The native dura mater was resected and replaced with a silicone based artificial dura, thereby providing an optically clear window into the cortex. Scale bar = 5mm. (**B**) An enlarged view of the boxed region in **A** clearly shows the sulci and the microvasculature. sts = superior temporal sulcus, lu = lunate sulcus, io = inferior occipital sulcus. Area V4 lies on the pre-lunate gyrus between the superior temporal and lunate sulci. Scale bar = 2mm. (**C**) Electrophysiology setup: a plastic stabilizer with a circular aperture is secured in place inside the chamber such that the aperture is centered over the pre-lunate gryus. A 16-channel linear array electrode (electrode spacing 150m) is positioned over the center of the gyrus and lowered into the cortex under microscopic guidance. The microvasculature pattern was used as a reference to target different cortical sites across recording sessions. (**D**) Example recording session in monkey C depicting 12 single unit waveforms (mean +/- s.e.m.) isolated along the cortical column. Teal waveforms correspond to narrow-spiking putative interneurons and gold waveforms correspond to broad-spiking putative excitatory units. (**E**) Stimulus triggered local field potentials (LFPs) obtained by flashing 30ms high contrast ring stimuli in the receptive field of a V4 cortical column. LFP traces averaged across all stimulus repeats are shown color-coded as being part of either the superficial (green), input (gray) or deep (pink) layers. Layer assignment was done after current source-density analysis. (**F**) Current source-density (CSD) calculated as the second spatial derivative of the stimulus triggered LFPs and displayed as a colored map. The x-axis represents time from stimulus onset; the y-axis represents cortical depth oriented such that the pial surface is at the top and the white matter is at the bottom. Red hues represent current sink, blue hues represent current source. The input layer is identified as the first current sink followed by a reversal to current source. The superficial and deep layers have the opposite sink-source pattern. The CSD map has been spatially smoothed for visualization. (**G**) Stacked contour plots show spatial receptive fields (RFs) mapped along each contact point in the laminar probe. The spatial receptive fields were obtained by applying reverse correlation to the LFP power evoked by sparse pseudo-random sequences of Gabor stimuli. The RFs are well aligned, indicating perpendicular penetration down a cortical column. Zero depth represents the center of the input layer as estimated from the CSD. (**H**) Contrast response functions – spikes rate as a function of stimulus contrast – are shown for 2 example units identified in a single recording session in Monkey A. Red and blue traces correspond to the attend-in to RF and attend-away from RF conditions respectively. The dotted lines represent the corresponding background firing-rates. The dashed lines are 4 standard deviations above baseline. A unit was considered as visually responsive, if the contrast response functions exceeded this threshold in both the attention conditions. Mean +/- s.e.m.

Figure 2-figure supplement 1

Figure 2-figure supplement 1. Microsaccades are preferentially directed towards the target in correct trials and have a slight correlation with pupil diameter

Data is presented for all trials, regardless of orientation change (not just the threshold condition). (**A**) The histograms represent the direction of microsaccades relative to the attended stimulus (left column) or unattended stimulus (right column) in correct (top row) and incorrect (bottom row) trials. Black lines represent the mean (solid) and 99.5% confidence interval (dashed) of the bootstrapped null distribution estimated by pooling correct and incorrect microsaccades. . *Inset:* Schematic for calculation of relative microsaccade direction. Microsaccade is represented by the gray arrow (**B**) Scatterplot of microsaccade rate versus mean normalized pupil diameter, shows a small but statistically significant relationship between the two quantities (r^2 = 0.006, p<0.001). Each dot is color-coded by trial length. *Inset:* Histogram of mean pupil diameter for all trials, including those less than -2 or greater than 2 (red lines). Only four of the trials with pupil diameters less than -2 or greater than 2 had detected microsaccades. (**C**) Boxplot of microsaccade rates for all trials. (**D**) Boxplot of the time between microsaccades recorded in the same trial. 4% of microsaccades separated by more than 538ms were excluded as outliers (See Methods).

Figure 3-figure supplement 1

Figure 3-figure supplement 1. Firing rates for individual neurons and reaction time in threshold condition
(**A**) Target stimulus-evoked normalized firing rates in hit and miss trials for each recorded single and multi-unit cluster in hit and miss trials. Clusters are divided by layer: left=superficial, middle=input, right=deep. Related to Figure 3B. Each line represents the mean firing rate in response to target stimuli in hit and miss trials for a given unit. Data is color coded by unit type (gold=broad, teal=narrow, gray=multi-unit). See Experimental Procedures for normalization method. (**B**) Mean reaction time in response to the target stimulus in the threshold condition in both attend-in (red) and attend-away (blue) trials for each recording session. (**C**) Mean time at which single- and multi-unit firing rates rise significantly above pre-stimulus baseline in response to target stimuli in hit and miss trials. Mean +/- s.e.m.

Figure 4-figure supplement 1

Figure 4-figure supplement 1. Narrow-spiking neurons do not have decreased variability in hit trials
Top: Fano factor modulation index for each narrow-spiking neurons recorded in each layer, averaged in the 60ms preceding non-target stimulus onset. *Bottom*: Bootstrapped estimation of the mean difference of the Fano factor modulation index from zero in each of the three layers. Colored curves represent the estimated bootstrapped distribution. Black dots and lines reflect the mean and 95% confidence intervals of the distributions.

Figure 5-figure supplement 1

Figure 5-figure supplement 1. Additional PPC data
(**A-B**) *Top:* Raw PPC values calculated for clusters recorded in the superficial (**A**) and (**B**) input layers in hit and miss trials, averaged into three frequency bands, 3-12 Hz, 15-25 Hz, and 30-80 Hz. PPC was calculated using the LFP recorded on the same channel as the spikes. *Bottom:* Bootstrapped estimation of the paired mean difference in PPC across hit and miss trials for each frequency band. Note that although there appears to be a difference in high-frequency PPC in the superficial layer, this population does not have significantly positive PPC in either condition, indicating that there is no phase-locking in either hits or misses. (**C**) Raw PPC values for neurons recorded in the deep layer in hit and miss trials, averaged into the same 3 frequency bands. Related to Figure 5B.

Table 1: Corresponding Null-Hypothesis Testing Results

Figure	Null-Hypothesis Test	P-Value
2B (pupil diameter)	t-test (unpaired)	$p = 6.63624e-09$
2D (microsaccades)	X^2-test	$p \ll 0.0001$
3B (target-evoked firing rate, single and multi-units)	t-test (paired) Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	Superficial: $p = 4.19108e-05$ Input: $p = 1.10838e-11$ Deep: $p = 1.75826e-11$
3C (target-evoked firing rate, broad-spiking)	t-test (paired) Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	Superficial: $p = 0.0526902$ Input: $p = 0.000124947$ Deep: $p = 0.00119012$
3C (target-evoked firing rate, narrow-spiking)	t-test (paired) Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	Superficial: $p = 0.103689$ Input: $p = 0.00570757$ Deep: $p = 0.00393437$
4B (Fano Factor modulation index, broad)	t-test (unpaired) Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	Superficial: $p = 0.0102155$ Input: $p = 0.418197$ Deep: $p = 0.708115$
S4 (Fano factor modulation index, narrow)	t-test (unpaired) Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	Superficial: $p = 0.676039$ Input: $p = 0.10532$ Deep: $p = 0.950487$
5B (deep PPC)	t-test (paired) Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	3-12 Hz: $p = 0.0142$ 15-25 Hz: $p = 0.4064$ 30-80 Hz: $p = 0.3600$
6B (top, superficial-input SSC modulation index)	t-test Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	3-12 Hz: $p = 0.925338$ 15-25 Hz: $p = 0.107304$ 30-80 Hz: $p = 0.00394525$
6B (middle, superficial-deep SSC modulation index)	t-test Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	3-12 Hz: $p = 0.0125919$ 15-25 Hz: $p = 0.00116552$ 30-80 Hz: $p = 0.00142568$
6B (bottom, input-deep SSC modulation index)	t-test Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	3-12 Hz: $p = 0.260698$ 15-25 Hz: $p = 0.0358178$ 30-80 Hz: $p = 0.0325516$
7B (top, superficial-input SSC modulation index)	t-test Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	3-12 Hz: $p = 0.00344279$ 15-25 Hz: $p = 3.17111e-05$ 30-80 Hz: $p = 0.00396824$
7B (middle, superficial-deep SSC modulation index)	t-test Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	3-12 Hz: $p = 0.0164846$ 15-25 Hz: $p = 0.0890114$ 30-80 Hz: $p = 0.00441928$
7B (bottom, input-deep SSC modulation index)	t-test Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	3-12 Hz: $p = 0.241036$ 15-25 Hz: $p = 0.00140176$ 30-80 Hz: $p = 6.84271e-05$
5-supplement 1B (superficial PPC)	t-test (paired) Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	3-12 Hz: $p = 0.8307$ 15-25 Hz: $p = 0.2812$ 30-80 Hz: $p = 0.0037$*
5-supplement 1C (input PPC)	t-test (paired) Bonferoni Corrected for 3 comparisons, $\alpha = 0.0166$	3-12 Hz: $p = 0.3440$ 15-25 Hz: $p = 0.2517$ 30-80 Hz: $p = 0.1881$

* Although this p-value is significant, the PPC in both conditions is below 0, indicating there is no phase-locking in either condition (Vinck et al., 2010).

Table 2: GLM Values

Variable (Z-Scored)	Estimated Coefficient	P-Value
Pupil Diameter	0.11754	$p = 0.32869$
Pretarget Microsaccades	-1.3116	$p = 6.0757e-08$
Target Superficial FR	0.22414	$p = 0.091946$
Target Input FR	0.3276	$p = 0.020068$
Target Deep FR	0.11399	$p = 0.45762$