The special and general mechanism of cyanobacterial harmful algal blooms

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Abstract

Cyanobacterial harmful algal blooms (CyanoHABs) arise as cyanobacteria dominate phytoplankton communities when nutrient levels increase from oligotrophic state. From a wholistic perspective, this longstanding altered phytoplankton structure results from two conditions: one sufficient condition that cyanobacteria can grow maximally with elevated nutrients; one necessary condition that co-living algae cannot grow fast or dominate at the same levels. The sufficient condition, the 'special' mechanism of CyanoHABs at the population level, has been established as the synergistic interaction between superior cyanobacterial ecophysiology and elevated nutrients. But it is unknown how these functions arise or whether they are under directed evolution to water eutrophication. The necessary condition, the 'general' mechanism of CyanoHABs at the community level, is little understood: why co-living algae cannot form blooms as cyanobacteria? Literature and bioinformatics analyses show that the superior ecophysiology undergoes no directed positive evolution to worldwide eutrophication in general or any local eutrophic waters in particular; instead, these functions are under strong purifying selection and likely acquired through early adaptive radiation in nutrient-deficient conditions, as functions enabling extant cyanobacteria to occupy other niches. The general mechanism turns out to be quite straightforward: cyanobacteria are simple life forms and thus have lower per capita nutrient demand for growth, compared to co-existing eukaryotic algae in cell size and structure, genome size, size of genome-scale metabolic networks, cell content, nutrient requirement. Lower nutrient demand is proved by existing field nutrient supplementation. Both the special and general mechanisms of CyanoHABs are tentative frameworks awaiting further theoretic improvement and

37   empirical assessment.

39   **Keywords**

40   Adaptive radiation, cyanobacterial harmful algal blooms (CyanoHABs), ecophysiology,

41   mechanism, nutrient demand, purifying selection, water eutrophication,

I. Introduction

CyanoHABs are one of the most profound environmental hazards in modern human history in terms of their global geographical scale (Paerl et al. 2020), longstanding duration (over a century) (Francis 1878, Lathrop and Carpenter 1992), and tremendous economic loss (Steffensen 2008). The mere fact that they are still intensifying and expanding under the global climate change (Chapra et al. 2017, Gobler 2020, Paerl et al. 2020) attests the fact CyanoHABs are still not fully understood, such that their ecology remains 'complicated and confusing' (Wilhelm et al. 2020). To demystify CyanoHABs, one needs to look at them from a wholistic perspective, considering all the main factors: bloom-forming cyanobacteria, other co-existing algal species, and eutrophic conditions. So far, it has rarely been seen blooms of other groups of algae appear in the same eutrophic waters as cyanobacteria (e.g., Heisler et al. 2008, Steffen et al. 2014, Cook et al. 2020). Considering this upscaled context, the main question now is why cyanobacteria, other than other algae, form blooms in the same eutrophic waters. Apparently, this overarching question can be broken down to two, each of which constitute the sufficient and necessary conditions: (1) how cyanobacteria form blooms in the eutrophic waters, and (2) why co-existing algae do not form blooms in the same eutrophic waters? The second question is equivalent to whether other algae can form blooms under the same eutrophic conditions, whether there are enough supplies of nutrients for them to bloom, or both.

To address these questions, we formulate a perspective primarily based on the comparatively advantage of cyanobacteria in nutrient demand. Simply put, it postulates that cells of cyanobacteria have simple cell structures and small sizes and therefore

66   require less input of energy and matter to sustain and grow than those of other species,

67   and as a result, can grow fast when nutrient level increases in the eutrophic waters.

68   This simple life structure and operation in turn results from adaptive evolution during

69   nutritiously prohibiting environment of early Earth. This perspective will be established

70   by addressing the sufficient and then the necessary condition, respectively.

71   II: The special mechanism of CyanoHABs

From an energy and matter perspective, the ubiquitous presence of CyanoHABs attests

the fact that bloom-forming cyanobacteria have metabolic machinery able to effectively

assimilate external nutrients and convert them to biomass, aided by favorable weather

or climate conditions (O'Neil et al. 2012, Zhang et al. 2012). That is to say that

CyanoHABs are the outcomes of the synergistic interactions between superior

ecophysiology of cyanobacteria and eutrophic conditions. Indeed, both factors have

been well established by field work, laboratory simulations, genomics and

metatranscriptomics. Specifically, the roles of nutrients, either trace elements or

macronutrients, have been recognized as important drivers for CyanoHABs in a

consensus and large survey (Heisler et al. 2008, Beaulieu et al. 2013). Meanwhile, the

metabolic pathways importing and converting available nutrients have been found to be

more complete and have more copies of genes encoded in the genomes of bloom-

forming cyanobacteria than non-blooming cyanobacteria (Cao et al. 2020a, Du et al.

2021) (Fig. 1). These metabolic pathways and their genomes are now curated in a

webserver CyanoPATH (Du et al. 2021). Most of the functions are peripheral and

involved in nutrient uptake and precursor processing to feed central metabolism, and

the rest are in the central metabolism converting metabolites for synthesis of

macromolecules in anabolism. Interestingly and expectedly, the gene expression levels

of these pathways are correlated with their completeness (Cao et al. 2020a). To sum up,

at the population level, the synergistic interactions between superior metabolic

capabilities and elevated nutrients suffice as a working mechanism of CyanoHABs.

Figure 1. The core and query pathways in *Aphanizomenon flos-aquae* NIES-81. The

pathways labeled with dashed borders are not complete (due to the absence of required

components) in this strain but may be complete in others.

III: Is there *a priori* directed evolution toward water eutrophication?

Given their global persistence for over a century (Francis 1878, Lathrop and Carpenter

1992), one may wonder whether there is prior adaptive evolution in cyanobacteria

toward water eutrophication to form bloom, or CyanoHABs are simply ecological

consequences of synergistic interactions between superior pre-equipped biological

functions and elevated nutrients. We think the latter is more likely than the former. First,

short-time whole-lake experiments in fertilization or nutrient reduction (Schindler et al.

2016, Wilkinson et al. 2018, Molot et al. 2021) have indisputably shown the causality of

eutrophication for CyanoHABs on an ecological time scale, allowing no time for

evolution. Second, all evolution of microbes is passive, rather than proactive, response

to environmental or genetic changes which are unforeseeable to them, and therefore

they cannot proactively prepare themselves through directed evolution.

Third, we confirmed there is no directed evolution toward CynaoHABs, by calculating

the metric, dN/dS (ratio of non-synonymous to synonymous mutations), for all

homologous genes in 50 blooming species of *M. aeruginosa* genomes from different

countries. Indeed, almost all homologous genes display dN/dS < 0.8, with a median of

0.32 (Fig. 2A), indicating they are all under negative (purifying) selection not positive

(divergent) evolution. When grouped into the functional pathways curated in

CyanoPAHT (Cao et al. 2020b), the homologous genes associated with CyanoHABs

displayed the same levels of purifying selection pressure as those in core metabolism

and housekeeping processes (e.g., translation and transcriptional regulation), with a

mean of dN/dS at 0.32 (Fig. 2B). This strong purifying selection suggests these gene

functions not only are important functions such that cells cannot afford them to be

comprised by mutations. Furthermore, the phylogenetic analysis shows that the *M.*

aeruginosa strains from different countries tend to align in the same clusters (Fig. 2C).

This complex phylogeny clearly shows among other things there is no adaptation to

local water conditions. In other words, all bloom-forming cyanobacteria share the set of

metabolic capabilities which enable them to grow well and dominate eutrophic waters

irrespective of local peculiarity in water conditions.

Last, there are not enough raw materials, mutations, for adaptive evolution to be

accumulated during one annual cycle of blooms. The spontaneous mutation rate (μ) in

cyanobacteria is estimated at 10^{-7} per genome per generation (García-Villada et al.

2004, López-Rodas et al. 2007, Osburne et al. 2011, Segawa et al. 2018). For a lake of

a million m^3 (i.e., with a surface area of 1 million m^2 with a depth of 1 m where

cyanobacteria are mostly concentrated), with initial cyanobacteria 20 cells/L growing to

10^8 cells/L after 25 doublings (t) at a growth rate 0.05-1.1 d^{-1} in an annual cycle

(bottlenecked in fall and winter), this gives an effective population size (N_e) of 10^8 cells,

based on the equation 15 in Wilson et al. (2006). So, the number of beneficial mutations

to be fixed can be approximated by, assuming a beneficial rate (s) at 0.02 and a rate of

fixation ($2s$) at 0.04, $N_e \mu t 2s$ ($10^8 \times 10^{-7} \times 25 \times 2 \times 0.02 = 10$). Therefore, for a lake with

a surface area smaller than 0.1 million m^2, each year there is less than one beneficial

mutant will occur, which makes prior adaptive evolution highly unlikely. But large lakes

are likely to accumulate beneficial mutations and better-growing mutants.

Figure 2. dN/dS for all homologous genes in 50 genomes of *M. aeruginosa*. (A) An overall

distribution of dN/dS for all homologous genes; (B) the dN/dS for genes in different groups of

functions in CyanoPATH. dN/dS values were calculated by CODEML from the PAML 4.9j

package with default settings (Yang 2007). AAPEP: uptake of amino acids and peptides; CCM:

CO$_2$-concentrating mechanism; DrugR: antibiotics resistance; MAA: UV radiation; MetalR:

heavy metal resistance; Nfix: nitrogen fixation; Nitrogen: nitrogen utilization; Osmos: osmos

homeostasis; OSR: oxidative stress resistance; PBS: phycobilisome; Phosphorus:

inorganic/organic utilization; PS-I/PS-II: photosystem I and photosystem II; Sugar: sugar

assimilation; TEVit: assimilation of trace metals and vitamins; Toxins: cyanotoxins; UFA:

unsaturated fatty acids; Vesicle: gas vesicles. The last seven columns colored dark blue

represent essential gene sets (Rubin Benjamin et al. 2015). (C) The phylogeny of the 50 *M.*

aeruginosa strains collected from different countries.

IV. Superior functions are shaped during long adaptive radiation on early Earth

The global ubiquity and expansion of CyanoHABs indicate the superiority of metabolic

capabilities. The fact these superior functions are under negative selection, instead of

assumed positive selection, suggests the metabolic capabilities were well established

before the era of water eutrophication. This brings another critical question: how

cyanobacteria acquired these functions? Revisiting the origin and evolution of

cyanobacteria on early Earth provides important clues: these superior functions are

shaped during their course of adaptive radiation.

Originating in an anoxic biosphere of early Earth, cyanobacteria are likely to evolve in

scarcity of macronutrients such as phosphorus and nitrogen (Falkowski 1997, Bjerrum

and Canfield 2002, Kipp and Stüeken 2017, Reinhard et al. 2017) and trace metals

(Anbar and Knoll 2002, Saito et al. 2003). This humble beginning of life leads to

primitive metabolic networks (Goldford and Segrè 2018), which suggests that

cyanobacteria could only obtain minimal energy and matter in both variety and amount

to support cell survival and division (Schopf 2002). Arguably, their nutrient requirement

for life is likely to be quite low. Besides nutrient deficiency, early cyanobacteria also

faced various types of stresses which are 'unforeseeable' for them. One type is the

rising oxygen level they caused themselves through oxygenic photosynthesis, for which

they developed various counterattack strategies in modern cyanobacteria (Raymond

and Segrè 2006, Moore et al. 2017). Ultraviolet light is another early stress which has

persisted until now, but some cyanobacteria evolved against it by producing sunscreens

(Garcia-Pichel et al. 2019). These global stresses were also intertwined with landscape

changes through geophysical processes (e.g., volcanism and climatic shifts). Here, we

demonstrate the remarkable adaptive ability of cyanobacteria by showcasing their

evolution of biological capability and morphology under the rising oxygen levels.

Since origin, particularly after the Great Oxidation Event (GOE) (Fig. 3), ancestral

cyanobacteria quickly acquired versatile antioxidant capabilities against reactive oxygen

species (ROSs), derived from O_2 produced as a result of oxygenic photosynthesis.

Different classes of superoxide dismutases (SODs) and other types of antioxidant

enzymes are evolved to deal with ROSs throughout their entire history (Boden et al.

2021). Based on local availability of metal cofactors (another case of adaptation), three

types of SODs—NiSOD, CuZnSOD, and MnSOD/FeSOD—have been found in four

types of aquatic environments (Fig. 3A). Besides direct enzymatic removal of ROSs,

cyanobacteria have also evolved other strategies, e.g., in photosynthetic reaction center

(RC) which split into two types as a response to the rising oxygen (Orf et al. 2018).

Another adaptation lies in the redundancy (heavy investment) of key functions. For

example, in photosystem I, cyanobacteria have two electron transporters that evolved

separately: copper-dependent plastocyanin (PC) and iron-dependent cytochrome c_6

($Cytc_6$). Distinct in the primary sequence and tertiary structure of protein/amino acid,

their expression is controlled by a BlaI/CopY-family transcription factor (PetR) and a

BlaR-membrane protease (PetP), depending on the availability of the metal cofactors

(García-Cañas et al. 2021).

With a continuous rise of oxygen level, morphological diversification was called upon to

provide an extra dimension of protection. It has been confirmed that the evolution of

multicellular morphotypes and the rate of morphological diversification coincide with the

GOE onset (Schirrmeister et al. 2013). Heterocyst became a specialized cell form for

nitrogen fixation, in which the oxygen level is reduced to pre-GOE levels (Fig. 3B).

Nitrogen fixation is viewed as a leap forward in promoting marine primary production

and contributed to increased O_2 levels, coinciding with the rise of animals (Lyons et al.

2014). Similarly, cyanobacteria also obtained a specific photosynthetic structure for

carbon fixation, carboxysome, in which CO_2 is increased from 10-15µM to 40mM

outside and greatly improved the efficiency of enzymatic fixation of carbon dioxide

(Mangan and Brenner 2014). After the evolution of geological timescales (Fig. 3C and

3D), modern cyanobacteria are among the most diverse prokaryotic phyla, with

morphotypes ranging from unicellular to multicellular filamentous forms, including those

able to terminally (i.e., irreversibly) differentiate in form and function (Schirrmeister et al.

2013, Hammerschmidt et al. 2020).

Billions of years of adaptive evolution have allowed structure elaboration and functional

diversification in coping with nutrient deficiency, environmental extremes and constraints

(Paerl 2014). This evolutionary divergence has enabled cyanobacteria to occupy all

geographic habitats in modern Earth, including terrestrial and aquatic ecosystems,

ranging from deserts to tropical rain forests, soils and limestones, and from open

oceans and brackish waters to freshwaters and hydrothermal vents (Fig. 3E). This

broad array of biological functions underlying the adaptive radiation in cyanobacteria is

recorded in their genomes (Chen et al. 2021). A large proportion of the genes in their

genomes are associated with adaption to the specific habitat they occupy, but not to any

other habitats. Therefore, the genomes produce a pangenome with most genes being

unique and only a small set of 323 genes being common among cyanobacteria (Shi and

Falkowski 2008). These core genes are mainly involved in housekeeping functions, as

opposed to interacting with environment, e.g., ribosomal proteins, photosynthetic

apparatus, ATP synthesis, chlorophyll biosynthesis, and the Calvin cycle. This small

stable core and large variable shell of genomes suggest most of the non-overlapping

functions are specialized in adaptation to distinct habitats.

Based on adaptation to rising oxygen level they produced themselves paralleling the

evolution of Earth and adaptation to various habitats (see discussion above), one can

conclude that most of the functions in CyanoPATH are also obtained through adaptive

radiation. For example, one most prominent function is the ABC transport for phosphate

PstSCAB, most cyanobacteria have two copies of operons and some top bloomers such

as M. aeruginosa have three operons. Again, CyanoPATH functions are the part of

entire function repertoire which sustains cyanobacteria through the harsh environment

before the era of water eutrophication.

Figure 3. The evolutionary trajectory of cyanobacteria in the presence of oxygen and adaptive radiation.

(A) The origin and distribution of SODs in different habitats. The timing of origin and habitat distribution of

SOD genes among taxa is created based on Boden et al (Boden et al. 2021). Starting points of horizontal

bars represent time of origin and color SOD types: CuZnSOD (blue), NiSOD (green), and Fe- and Mn-

utilizing SODs (yellow). (B) The oxygen level in atmosphere and nitrogen fixation in heterocysts in

cyanobacteria in the presence of elevated oxygen levels. The level of oxygen is based on (Tomitani et al.

2006). (C) and (D) The temporal morphological diversification cyanobacteria is based on Schirrmeistera

et al (Schirrmeister et al. 2013). (E). Adaptive strategies of cyanobacteria in different habitats.

Cyanobacterial adaptation strategies in nine environments, namely soil, desert, forest, salty

area, oil-spilled ocean, open ocean, limestone, geothermal water, and polar region.

Cyanobacteria also cope with the damage caused by ultraviolet light.

V. The general mechanism of CyanoHABs

In light of the mechanism discussed above that CyanoHABs result from the synergistic

interactions between elevated nutrients and the superior functions shaped during

evolution, why it is cyanobacteria, not the eukaryotic algae, that form blooms in the

same eutrophic waters? In what ways are cyanobacteria better than their co-living

counterparts (e.g., Chen et al. 2017, Stockenreiter et al. 2021)? This question is so

important such that the population-centric mechanism of CyanoHABs must be amended

to accommodate the community-level dominance.

This issue is quite complex, considering high connectedness between populations in a

food web. To reduce its complexity to a level amenable to testing without invoking any

experiments, here we only consider the issue from an energy perspective. Compared to

CyanoHABs as a result of maximum growth, one can inter two reasons why the co-

existing algae cannot form blooms. For one, they are not able to obtain enough

nutrients for growth; for the other, they have higher demands for nutrients than the

current levels can provision. In the discussion below, we focus on the latter and

elucidate cyanobacteria are simpler life forms than their co-living eukaryotic algae life

forms and thus require less nutrients, through cell structure, size, genome size, per

capita cell content, nutrient demand, and field manipulation.

(B)

	Cyanophyta	Chlorophyta	Rhodophyta	Euglenophyta	Cryptophyta	Dinoflagellata
Cell Wall	P	P	P	P	P	P
Chloroplast	A[1]	P	P	P	P	P
Nucleus	A	P	P	P	P	P
Golgi Apparatus	A	P	P	P	P[2]	P
Mitochondria	A	P	P	P	P	P
Endoplasmic Reticulum	A	P	P	P	P	P
Starch Granule	P[3]	P	P	P	P	P

[1]Cyanophyta contains chlorophylls distributed over cytoplasm but not in an aggregated form, chloroplast.

[2]The function of Golgi apparatus in cryptophyte is found to be limited.

[3]It does contain starch granule, but it is found much smaller in size compared to other eukaryotic algae

lineages.

Figure 4. Cell structure of cyanobacteria and eukaryotic algae commonly seen in fresh waters

and their evolutionary relationship. A: absent; P: present. (A) The major eukaryotic groups have

risen from a common prokaryotic ancestor, Cyanophyta, via endosymbiotic events. Through the

primary endosymbiosis, Chlorophyta and Rhodophyta acquired primary plastids, membrane-

bound organelles usually found in eukaryotic organisms. Chlorophyta was preyed upon by a

second eukaryotic cell, resulting in Euglenophyta acquiring their plastids. Similarly, Cryptophyta

and Dinophyta have arisen as a result of secondary endosymbiosis on Rhodophyta. (B)

Comparison of cellular components in six common major algal phyla. Cyanophyta shows the

absence of nucleus, chloroplast, and mitochondria compared to other eukaryotic algae lineages.

Cyanophyta, being the common prokaryotic ancestor, do not contain membrane-bound

organelles.

Cell Structure and size

Early deep origin and subsequent adaptive radiation (see Section IV) have shaped

cyanobacteria as simplest form of life among algae. Additionally, cyanobacteria are the

ancestor of eukaryotic algae, Chlorophyta, Rhodophyta, Euglenophyta, Cryptophyta,

and Dinophyta commonly seen in fresh waters, e.g., in Lake Taihu (China) (Niu et al.,

2011). Therefore, Cyanophyta, being a prokaryote, have the simplest cell structure

among modern algae (Fig. 4A): Cyanophyta does not contain a nucleus and membrane-

bound organelles such as mitochondria, Golgi apparatus, and endoplasmic reticulum.

Throughout the algal evolution, diverse eukaryotic algae have gained structural

complexity and acquired plastids via endosymbiotic events on their common prokaryotic

ancestor, Cyanophyta (Fig. 4B). In parallel to simple structure, cyanobacteria have the

smallest size among all algae gropus (Agusti et al. 1987). One example is provided with

lab cultures: cyanobacterium *M. aeruginosa* and chlorophyte *Cholorella vulgaris* are

3.30 ± 0.91 μm and 5.32 ± 1.27 μm in diameter, respectively (Hu 2014).

Genome Size

Consistent with the structural simplicity, the genome sizes of cyanobacteria are the

smallest compared to those of eukaryotic algae, which are smaller by one or two orders

of magnitude (Fig. 5A). Most genomes of the eukaryotic algae are about the same size

of around 100 Mb base pairs, except those of Phaeophyceae and Dinophyceae being

one more order of magnitude larger. Cyanobacteria usually have around 5000 genes

(Kaneko et al. 2007, Frangeul et al. 2008) while eukaryotic genomes have at least twice

as many (e.g., Armbrust et al. 2004, Chen et al. 2020). These differences in genome

size and gene count reflect the difference in cell structure and functions.

Genome-Scale Metabolic Networks (GSMs)

Cyanobacteria have half the number of the genes encoded in eukaryotic genomes at

the most. For some species, GSMs have been reconstructed based on the gene-

protein/enzyme-reaction rule and experimental measurement of the macromolecule

components (Table 1). In terms of the number of reactions and metabolites, the GSMs

of cyanobacteria have, on average, 780 metabolites and 820 reactions, which are only

less than half those of the eukaryotic GSMs. As the reactions are involved in

catabolism, core metabolism, and anabolism (Li et al. 2018), these smaller GSMs in

cyanobacteria make it clear that less nutrient input is needed than their more complex

eukaryotic counterparts for life maintenance and growth. Therefore, as nutrient levels

increase from the oligotrophic states during the early process of eutrophication,

cyanobacteria are the first group that can be saturated for growth.

Besides the size of GSMs, the metabolic rate (time^{-1}) is known to often scale with cell

size with a volume scaling exponent $b = -0.25$ (López-Urrutia et al. 2006, Finkel et al.

2010).

Table 1. Comparison of genome-scale metabolic networks between cyanobacteria and

eukaryotic algae.

	Organism	Genes	Model Genes	Metabolites	Reactions	Reference
Cyanophyta	Arthrospira platensis	6631	620	673	746	(Yoshikawa et al. 2015)
	Cyanothece sp. ATCC 51142	4912	806	651	667	(Vu et al. 2012)
	Spirulina platensis C1	6153	692	837	875	(Klanchui et al. 2012)
	Synechococcus elongatus	2723	785	768	850	(Broddrick et al. 2016)
	Synechococcus sp PCC	3179	821	777	792	(Qian et al. 2017)
	Synechocystis sp. PCC6803	3221	678	795	863	(Nogales et al. 2012)
	Synechocystis sp. PCC6803	3221	669	790	882	(Montagud et al. 2010)
Chlorophyta	Chlamydomonas reinhardtii	16709	866	1862	1725	(Gomes de Oliveira
	Chlamydomonas reinhardtii	16709	1080	1068	2190	(Chang et al. 2011)
	Chlorella variabilis	9879	526	1236	1455	(Juneja et al. 2016)
	Chlorella vulgaris	7100	843	1770	2286	(Zuñiga et al. 2016)
Bacillariophyta	Phaeodactylum tricornutum CCAP 1055/1	12280	1027	2172	4456	(Levering et al. 2016)
	Schizochytrium limacinum SR21	63000	1170	1659	1769	(Ye et al. 2015)

Figure 5. Comparison between cyanobacteria and eukaryotic algae in select key traits. (A):

Distributions of log-transformed sequence length in eleven groups of algae. Summary statistics

of 2240 genome assemblies were downloaded from NCBI Datasets: 1977 in cyanobacteria, 38

in Rhodophyta, 26 in Bacillariophyta, 156 in Chlorophyta, 14 in Chrysophyceae, 7 in

Haptophyta, 3 in Cryptophyceae, 3 in Cryptophyceae, 3 in Euglenida, 2 in Xanthophyceae, 11 in

Phaeophyceae and 20 in Dinophyceae, respectively. Each dot in the boxplot represents the log-

transformed total sequence length of one assembly. (B): Distributions of dry weight per cell

biovolume in Eukaryotic algae and cyanobacteria from literature (Mahlmann et al. 2008, Finkel

et al. 2016). Each dot plot represents the average values of dry weight per unit biovolume and

cell dry weights within a class. Blue symbols represent eukaryotic algae cells and red symbols

represents cyanobacteria. (C): The per-cell dry weight of two select cyanobacteria and five

green algae at mid log phase. C: cyanobacteria; G: green algae. (D): Relationship between cell

volume ($\log_{10} V$, μm^3), and dry weight ($\log_{10} DW$, pg $cell^{-1}$). Each dot plot represents the average

values of cell volumes and cell dry weights within a class. Linear regressions were applied for

eukaryotic algae (blue) and cyanobacteria (red) with 95% confidence intervals. (E): Lower

nutrient requirements by cyanobacteria than eukaryotic algae in aquatic environment. Among

seven selected media, the recipes of mAC, MJ, VTAC, and CHU-11 (left panel) are used for

culturing green algae and the recipes of M11, BG11 and CT (right panel) are used for culturing

cyanobacteria.

Per capita cell content

Following the same line of life form complexity, we examined the cell content per cell

between cyanobacteria and eukaryotic algae. It is clear that different species of

cyanobacteria have lower cell content than those of other algae, using the data from

literature (Mahlmann et al. 2008, Finkel et al. 2016) (Wilcoxon Test, $P < 0.01$; Fig. 5B).

Besides the public data, we also showed that the same pattern obtained with our own

per-cell cell content (as dry weight), we collected with two species of cyanobacteria and

five species of green algae (Wilcoxon Test, $P < 0.01$; Fig. 5C). Interestingly, species of

cyanobacteria or non-cyanobacteria show a similar trend of within-group variation in per

capita cell content (Fig. 5D). Here we provide on specific example of lab cultures. Dry

weight of individual *Cholorella vulgaris* cells at exponential phase are $1.70 \pm 0.07 \times 10^{-11}$

g/cell, and those of *M. aeruginosa* average $6.38 \pm 0.05 \times 10^{-12}$ g/cell, which is only about

of the green alga (Hu 2014).

Concentration of synthetic media

To further support the point that cyanobacteria are simpler life forms and require less

energy and mass input, we examined the recipe of the commonly used media optimized

for growing different groups of algae. As shown in Fig. 5E, the media for culturing

cyanobacteria generally have lower total concentrations of ions than those for green

algae or diatom, although the statistic test, Welch's test, produced a high p value (*P*

=0.1) for the selected media.

Golden test of nutrient demand: whole-lake nutrient manipulation

To test the hypothesis that cyanobacteria have lower nutrient requirements for

maximum growth than co-living eukaryotic algae, we summarized the results, which

directly compared the growth of cyanobacteria with eukaryotic algae in the field,

laboratory, and cosmos by supplying external nutrients (Table 2). Five case studies we

discovered all support our hypothesis: low nutrients (TP, TN, DIN, urea-N, Ca, etc.)

leads to dominance of cyanobacteria and high nutrients produce dominance of green

algae and even brown algae.

In a large study of 210 Danish lakes heterocystous cyanobacteria were in dominance

when the total phosphorus (TP) at low levels (<0.25 mg P·L^{-1}) and non-heterocystous

cyanobacteria were in dominance when TP was at intermediate levels (0.25-0.8 mg

P·L-1), while chlorophytes often dominated when TP was high (>1 mg P·L^{-1}) (Jensen et

al. 1994),. In another whole-lake study conducted in Wascana Lake (Canada) (Bogard

et al. 2020), different levels (0, 1, 3, 8, and 18 mg N L^{-1}) of urea added into the surface

water every seven days (day 0, day 7, and day 14) yielded compositional shifts in the

phytoplankton community—after 21 days, colonial cyanobacteria dominated when N

level was moderate (≤8 mg N L^{-1}), and chlorophyte dominated when N level was high

(>8 mg N L-1). A third support comes as a nutrient-supplement mesocosm in the

Archipelago Sea (Table 2). Two ratios of N:P supplement solution, 1N (1.7 µg L^{-1}):1P

(1.7 µg L^{-1}) and 7N (12 µg L^{-1}):1P (1.7 µg L^{-1}), were applied to the mesocosm at low

initial concentrations of N (mean=2.7 µg L^{-1}) and P (mean=2.8 µg L^{-1}) every day over

twenty days. At the onset, heterocystous cyanobacteria made up 70% to 80% of the

total phytoplankton community. Compared to 1N:1P treatment, 7N:1P led to the most

dramatic decline of the percentage of the heterocystous cyanobacteria and the

chlorophyte dominance with the flourishing of *Dictyosphaerium subsolitarium*,

Monoraphidium contortum and *Oocystis spp*. Besides N and P, the need for calcium is

also considered. Lab-based calcium enrichment experiment (Wu & Kow, 2010) showed

that both the cell number and the cell column of chlorophytes elevated with the increase

of calcium level, in contrast to those of cyanobacteria. More examples of nutrient

supplementation to shift dominance from cyanobacteria to other algae are provided in

Table 2.

To sum up, cyanobacteria are simpler life forms than co-living eukaryotic algae, in cell

size, cell structure, genome size, GSMs, and cell content. Simple structure and small

size provide them with higher metabolic rates leading to higher abundance (Agusti et al.

1987), which have been proved by field nutrient supplementation. Now it is clear that

complex eukaryotic algae would form blooms if nutrients were high enough.

Table 2. Case studies of nutrient manipulation on phytoplankton *in situ*, in the mesocosm study,

and in laboratory conditions showing lower nutrient requirements of cyanobacteria than

eukaryotic algae. "C" represents cyanobacteria; "G" represents green algae. DIN: dissolved

inorganic nitrogen; SRP: soluble reactive phosphorus; TN: total nitrogen; and TP: total

phosphorus.

Study	Nutrient Variation	Dominant Species	Dominance
Wascana Lake, Canada **Mesocosm Study** **(Bogard et al. 2020)**	1-8 mg Urea-N L^{-1}	Colonial cyanobacteria	C
	>8 mg Urea-N L^{-1}	Chlorophytes	G
210 Danish lakes ***In situ* Study** **(Jensen et al. 1994)**	0.25-0.8 mg TP L^{-1}	Non-heterocystous cyanobacteria	C
	>1.0 mg TP L^{-1}	Chlorophytes	G
Lake Tai, China **Laboratory and *in situ* study** **(Zhu et al. 2010)**	3 mg/L (TN) and 0.2 mg/L (TP)	*M. aeruginosa*	C
	10, 15, or 20 mg/L (TN), and 1 mg/L (TP)	*Scenedesmus quadricauda*	G
Archipelago Sea **Mesocosm Study** **(Vuorio et al. 2005)**	1N:1P 1.7 µg L^{-1}: 1.7 µg L^{-1}	*Aphanizomenon* sp., *Nodularia spumigena*, *Anabaena* spp., *Synechococcus* spp.	C
	7N:1P 12 µg L^{-1}:1.7 µg L^{-1}	*Dictyosphaerium subsolitarium*, *Monoraphidium contortum*, and *Monoraphidium contortum, Oocystis* spp..	G
Taipei Feitsui Reservoir **Laboratory and *in situ* study** **(Wu and Kow 2010)**	7-9 mg Ca^{2+} L^{-1}	*Microcystis* spp. and *Aphanocapsa delicatissima*.	C
	9,11,13,15,18 mg Ca^{2+} L^{-1}	*Eutetramorus, Coelastrum, Coenocystis*, and *Dictyosphaerium*	G
Laboratory Study **(Hyenstrand et al. 2000)**	32µM (NO_3) after 48h; 32µM NH_4^+ after 48h	*Synechococcus 6301*	C
	32µM NO_3 after 25h; 32µM NH_4 after 18h	*Scenedesmus sp.*	G

Vila Lake, Central Portugal *In situ study* (de Figueiredo et al. 2006)	0.66-2.42 mg L (DIN); 0-0.24 mg L (SRP)	*Aphanizomenon flos-aquae, Ch roococcus limneticus, M. aeruginosa and Pseudanabaena sp.*	C
	0.7-3.3 mg L (DIN); 0.19-0.93 mg L (SRP)	*Coelastrum reticulatum var. reticulatum, Kirchneriella lunaris, Monoraphidium contortum, Scenedesmus acuminatus var. acuminatus and Pediastrum boryanum var. boryanum*	G

V. Synthesis and outlook

We propose a synthesis of CyanoPATH as a result of the synergistic interactions

between cyanobacterial superior functions and elevated nutrients. In this evolutionary

ecological perspective, we provided evidence these functions are shaped during the

long course of adaptive radiation, but not toward water eutrophication. More importantly,

we place CyanoHABs in the community context and show that they are simple life forms

than co-living eukaryotic algae in terms of cell size, cell structure, genome size, per

capita cell content, metabolic network, nutrient demand. At last, simpler cyanobacteria

having low nutrient requirements are proved by various golden tests, field studies of

nutrient supplement. This community-centric mechanism of CyanoHABs provides a

framework to study them in a wholistic perspective, considering both the differences in

energy and matter requirement of various algal cell machineries and the environment

dictating their dynamics in relative to each other.

Considering the multiple factors in the mechanism, further empirical tests and theoretic

explorations are needed to make a better synthesis. Two types of research should be

given priority: (1) comparative studies between cyanobacteria and co-living algae in

nutrient requirement and growth in lab and field settings, and their interactions with

varying levels of environmental factors; and (2) the integrated systems biology

assessment of the relative roles in promoting growth in cyanobacteria. The latter can be

best achieved by genome-scale metabolic networks.

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704 **Table 1**. Comparison of genome-scale metabolic networks between cyanobacteria and

705 eukaryotic algae.

	Organism	Genes	Model Genes	Metabolites	Reactions	Reference
Cyanophyta	Arthrospira platensis	6631	620	673	746	(Yoshikawa et al. 2015)
	Cyanothece sp. ATCC 51142	4912	806	651	667	(Vu et al. 2012)
	Spirulina platensis C1	6153	692	837	875	(Klanchui et al. 2012)
	Synechococcus elongatus	2723	785	768	850	(Broddrick et al. 2016)
	Synechococcus sp PCC	3179	821	777	792	(Qian et al. 2017)
	Synechocystis sp. PCC6803	3221	678	795	863	(Nogales et al. 2012)
	Synechocystis sp. PCC6803	3221	669	790	882	(Montagud et al. 2010)
Chlorophyta	Chlamydomonas reinhardtii	16709	866	1862	1725	(Gomes de Oliveira
	Chlamydomonas reinhardtii	16709	1080	1068	2190	(Chang et al. 2011)
	Chlorella variabilis	9879	526	1236	1455	(Juneja et al. 2016)
	Chlorella vulgaris	7100	843	1770	2286	(Zuñiga et al. 2016)
Bacillariophyta	Phaeodactylum tricornutum CCAP 1055/1	12280	1027	2172	4456	(Levering et al. 2016)
	Schizochytrium limacinum SR21	63000	1170	1659	1769	(Ye et al. 2015)

708 Table 2. Case studies of nutrient manipulation on phytoplankton *in situ*, in the mesocosm study,

709 and in laboratory conditions showing lower nutrient requirements of cyanobacteria than

710 eukaryotic algae. "C" represents cyanobacteria; "G" represents green algae. DIN: dissolved

711 inorganic nitrogen; SRP: soluble reactive phosphorus; TN: total nitrogen; and TP: total

712 phosphorus.

Study	Nutrient Variation	Dominant Species	Dominance
Wascana Lake, Canada **Mesocosm Study** **(Bogard et al. 2020)**	1-8 mg Urea-N L^{-1}	Colonial cyanobacteria	C
	>8 mg Urea-N L^{-1}	Chlorophytes	G
210 Danish lakes *In situ* **Study** **(Jensen et al. 1994)**	0.25-0.8 mg TP L^{-1}	Non-heterocystous cyanobacteria	C
	>1.0 mg TP L^{-1}	Chlorophytes	G
Lake Tai, China **Laboratory and** *in situ* **study** **(Zhu et al. 2010)**	3 mg/L (TN) and 0.2 mg/L (TP)	*M. aeruginosa*	C
	10, 15, or 20 mg/L (TN), and 1 mg/L (TP)	*Scenedesmus quadricauda*	G
Archipelago Sea **Mesocosm Study** **(Vuorio et al. 2005)**	1N:1P 1.7 µg L^{-1}: 1.7 µg L^{-1}	*Aphanizomenon* sp., *Nodularia spumigena*, *Anabaena* spp., *Synechococcus* spp.	C
	7N:1P 12 µg L^{-1}:1.7 µg L^{-1}	*Dictyosphaerium subsolitarium*, *Monoraphidium contortum*, and *Monoraphidium contortum*, *Oocystis* spp..	G
Taipei Feitsui Reservoir **Laboratory and** *in situ* **study** **(Wu and Kow 2010)**	7-9 mg Ca^{2+} L^{-1}	*Microcystis* spp. and *Aphanocapsa delicatissima*.	C
	9,11,13,15,18 mg Ca^{2+} L^{-1}	*Eutetramorus*, *Coelastrum*, *Coenocystis*, and *Dictyosphaerium*	G
Laboratory Study **(Hyenstrand et al. 2000)**	32µM (NO$_3$) after 48h; 32µM NH$_4^+$ after 48h	*Synechococcus 6301*	C
	32µM NO$_3$ after 25h; 32µM NH$_4$ after 18h	*Scenedesmus sp.*	G
Vila Lake, Central Portugal *In situ study* **(de Figueiredo et al. 2006)**	0.66-2.42 mg L (DIN); 0-0.24 mg L (SRP)	*Aphanizomenon flos-aquae, Ch roococcus limneticus, M. aeruginosa* and *Pseudanabaena sp.*	C
	0.7-3.3 mg L (DIN); 0.19-0.93 mg L (SRP)	*Coelastrum reticulatum var. reticulatum, Kirchneriella lunaris, Monoraphidium contortum, Scenedesmus acuminatus var.*	G

		acuminatus and *Pediastrum boryanum var. boryanum*	

Figure legends

Figure 1. The core and query pathways in *Aphanizomenon flos-aquae* NIES-81. The pathways labeled with dashed borders are not complete (due to the absence of required components) in this strain but may be complete in others.

Figure 2. dN/dS for all homologous genes in 50 genomes of *M. aeruginosa*. (A) An overall distribution of dN/dS for all homologous genes; (B) the dN/dS for genes in different groups of functions in CyanoPATH. dN/dS values were calculated by CODEML from the PAML 4.9j package with default settings (Yang 2007). AAPEP: uptake of amino acids and peptides; CCM: CO_2-concentrating mechanism; DrugR: antibiotics resistance; MAA: UV radiation; MetalR: heavy metal resistance; Nfix: nitrogen fixation; Nitrogen: nitrogen utilization; Osmos: osmos homeostasis; OSR: oxidative stress resistance; PBS: phycobilisome; Phosphorus: inorganic/organic utilization; PS-I/PS-II: photosystem I and photosystem II; Sugar: sugar assimilation; TEVit: assimilation of trace metals and vitamins; Toxins: cyanotoxins; UFA: unsaturated fatty acids; Vesicle: gas vesicles. The last seven columns colored dark blue represent essential gene sets (Rubin Benjamin et al. 2015). (C) The phylogeny of the 50 *M. aeruginosa* strains collected from different countries.

Figure 3. The evolutionary trajectory of cyanobacteria in the presence of oxygen and adaptive radiation. (A) The origin and distribution of SODs in different habitats. The timing of origin and habitat distribution of SOD genes among taxa is created based on Boden et al (Boden et al. 2021). Starting points of horizontal bars represent time of origin and color SOD types: CuZnSOD (blue), NiSOD (green), and Fe- and Mn-utilizing SODs (yellow). (B) The oxygen level in atmosphere and nitrogen fixation in heterocysts in cyanobacteria in the presence of elevated oxygen levels. The level of oxygen is based on (Tomitani et al. 2006). (C) and (D) The temporal morphological diversification cyanobacteria is based on Schirrmeistera et al (Schirrmeister et al. 2013). (E). Adaptive strategies of cyanobacteria in different habitats. Cyanobacterial adaptation strategies in nine environments, namely soil, desert, forest, salty area, oil-spilled ocean, open ocean, limestone, geothermal water, and polar region.

Cyanobacteria also cope with the damage caused by ultraviolet light.

Figure 4. The evolutionary trajectory of cyanobacteria in the presence of oxygen and adaptive radiation.

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Cyanobacteria also cope with the damage caused by ultraviolet light.

Figure 5. Comparison between cyanobacteria and eukaryotic algae in select key traits. (A):

Distributions of log-transformed sequence length in eleven groups of algae. Summary statistics

of 2240 genome assemblies were downloaded from NCBI Datasets: 1977 in cyanobacteria, 38

in Rhodophyta, 26 in Bacillariophyta, 156 in Chlorophyta, 14 in Chrysophyceae, 7 in

Haptophyta, 3 in Cryptophyceae, 3 in Cryptophyceae, 3 in Euglenida, 2 in Xanthophyceae, 11 in

Phaeophyceae and 20 in Dinophyceae, respectively. Each dot in the boxplot represents the log-

transformed total sequence length of one assembly. (B): Distributions of dry weight per cell

biovolume in Eukaryotic algae and cyanobacteria from literature (Mahlmann et al. 2008, Finkel

et al. 2016). Each dot plot represents the average values of dry weight per unit biovolume and

cell dry weights within a class. Blue symbols represent eukaryotic algae cells and red symbols

represents cyanobacteria. (C): The per-cell dry weight of two select cyanobacteria and five

green algae at mid log phase. C: cyanobacteria; G: green algae. (D): Relationship between cell

volume (log10V, μm3), and dry weight (log10 DW, pg cell-1). Each dot plot represents the

average values of cell volumes and cell dry weights within a class. Linear regressions were

applied for eukaryotic algae (blue) and cyanobacteria (red) with 95% confidence intervals. (E):

Lower nutrient requirements by cyanobacteria than eukaryotic algae in aquatic environment.

Among seven selected media, the recipes of mAC, MJ, VTAC, and CHU-11 (left panel) are used

for culturing green algae and the recipes of M11, BG11 and CT (right panel) are used for

culturing cyanobacteria.

Figure 1

Figure 2

780   **Figure 3**

785   **Figure 4.**

788   **(B)**

	Cyanophyta	Chlorophyta	Rhodophyta	Euglenophyta	Cryptophyta	Dinoflagellata
Cell Wall	P	P	P	P	P	P
Chloroplast	A[1]	P	P	P	P	P
Nucleus	A	P	P	P	P	P
Golgi Apparatus	A	P	P	P	P[2]	P
Mitochondria	A	P	P	P	P	P
Endoplasmic Reticulum	A	P	P	P	P	P
Starch Granule	P[3]	P	P	P	P	P

789   [1]Cyanophyta contains chlorophylls distributed over cytoplasm but not in an aggregated form, chloroplast.

790   [2]The function of Golgi apparatus in cryptophyte is found to be limited.

791   [3]It does contain starch granule, but it is found much smaller in size compared to other eukaryotic algae

792   lineages.

Figure 5