1 Genomic variation in the tea leafhopper reveals the basis of

2 adaptive evolution

- 3 Qian Zhao^{1,#}, Longqing Shi^{1,2,#}, Weiyi He¹, Jinyu Li^{1,3}, Shijun You¹, Shuai Chen⁴, Jing
- 4 Lin⁴, Yibin Wang⁴, Liwen Zhang¹, Guang Yang¹, Liette Vasseur^{1,5}, Minsheng You^{1*}
- 5
- ¹ State Key Laboratory of Ecological Pest Control for Fujian and Taiwan Crops,
- 7 Institute of Applied Ecology, Fujian Agriculture and Forestry University, Fuzhou
 8 350002, China
- 9 ² Institute of Rice, Fujian Academy of Agricultural Sciences, Fuzhou 350018, China
- ³ Tea Research Institute, Fujian Academy of Agricultural Sciences, Fuzhou 350001,
 China
- ⁴ Center for Genomics and Biotechnology, Fujian Provincial Key Laboratory of
- 13 Haixia Applied Plant Systems Biology, Key Laboratory of Genetics, Fujian
- 14 Agriculture and Forestry University, Fuzhou 350002, China
- ⁵ Department of Biological Sciences, Brock University, 1812 Sir Isaac Brock Way, St.
- 16 Catharines, ON L2S 3A1, Canada
- 17 #These authors contributed equally to this work: Qian Zhao and Longqing Shi.
- 18 *Correspondence should be addressed to Minsheng You (msyou@fafu.edu.cn,
- 19 ORCID #0000-0001-9042-6432)
- 20 Running title: Zhao Q et al/ Adaptive evolution of Empoasca onukii
- 21 Counts of words: 5751
- 22 Tables and figures : 4 tables and 4 figures
- 23 Supplementary : 17 figures and 14 tables
- 24

25 ABSTRACT

The tea green leafhopper (TGL), *Empoasca onukii*, is of biological and economic 26 27 interest. Despite numerous studies, the mechanisms underlying its adaptation and 28 evolution remain enigmatic. Here, we used previously untapped genome and 29 population genetics approaches to examine how this pest so rapidly has adapted to 30 different environmental variables and thus has expanded geographically. We complete a chromosome-level assembly and annotation of the E. onukii genome, showing 31 notable expansions of gene families associated with adaptation to chemoreception and 32 detoxification. Genomic signals indicating balancing selection highlight metabolic 33 34 pathways involved in adaptation to a wide range of tea varieties grown across 35 ecologically diverse regions. Patterns of genetic variation among 54 E. onukii samples 36 unveil the population structure and evolutionary history across different tea-growing 37 regions in China. Our results demonstrate that the genomic change in key pathways, 38 including those linked to metabolism, circadian rhythms and immune system function, 39 may underlie the successful spread and adaptation of *E. onukii*. This work highlights 40 the genetic and molecular bases underlying the evolutionary success of a species with broad economic impact, and provides insight into insect adaptation to host plants, 41 42 which will ultimately facilitate more sustainable pest management.

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44 KEYWORDS: tea green leafhopper; genomic variation; population genetics;
45 local adaptation; evolutionary history

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48 Introduction

Tea is the most popular beverage worldwide, surpassing coffee and cocoa, with a 49 million 2019 50 production of 6.1 metric tons in (ITC: 51 https://www.statista.com/statistics/264183/global-production-and-exports-of-tea-since-2004/). 52 China represents the largest tea producer, consumer, and exporter in the world. In Asia, 53 the tea green leafhopper (TGL), Empoasca onukii (Hemiptera: Cicadellidae), 54 represents the most devastating pest across tea plantations, causing up to 50% 55 economic loss of tea production annually [1, 2]. Both nymph and adult TGLs pierce 56 and suck the sap of tender tea shoots, which are the most important part of the plant to 57 produce high-quality tea. Adult females also lay their eggs in these shoots, leading to irreparable damage (Figure S1) [2, 3]. Presence of local TGL populations has been 58 59 recorded in China since the 1950s [4]. Its distribution has increased around 60 tea-producing regions of China, Japan, and Vietnam [5]. E. onukii can cause yield loss 61 of between 15 and 50%, up to 100% in severely damaged plantations [2, 6].

E .onukii belongs to the most species-rich hemimetabolous order, various species of which are agricultural pests or human disease vectors [7]. As a monophagous insect, TGL is well-adapted, both physiologically and biochemically, to different tea varieties [8]. Thus, the rapid expansion of *E. onukii* raises critical questions concerning which factors contribute to its successful dispersal and colonization, and how genomic architecture underlies its broad and rapid ability to adapt.

To address the above questions, we generated a chromosomal level genome assembly of the *E. onukii* by integrating Illumina short reads, Oxford Nanopore long reads, and high-throughput chromosome conformation capture (Hi-C technology). This high-quality genome resource enabled us to investigate the genetic basis of chemoreception and detoxification in this insect, key to adapting to new environments. Based on 54 re-sequenced genomes of the *E. onukii* samples collected from different locations across a diverse range of tea-growing regions in China, we analyzed patterns

- 75 of genomic variation and population structure in this species, allowing us to gain
- ⁷⁶ insights into its evolutionary history and successful, rapid spread and colonization.

77 Results and Discussion

78 Chromosome-level assembly of the tea green leafhopper

79 The genome of *E. onukii* was estimated to be ~608Mb based on K-mer analysis. 80 We combined $61 \times$ Illumina short read and $109 \times$ Nanopore ONT sequences with 81 chromosome-scale scaffolding. We informed our assembly using physical mapping of 82 high-throughput chromatin conformation capture (Hi-C) (Tables S1 and S2), to 83 generate an assembly based on 599 Mb of sequence, with the mitochondrial 84 sequences excluded (Table 1 and Tables S3, S4). This assembly accounted for 98.5% 85 of the estimated genome size. A total of 592 Mb sequences and 98.83% of assembled 86 sequences were then anchored onto 10 pseudo-chromosomes using ALLHiC (see 87 Methods and Tables 1 and 2, Figures 1A and S2A). An official gene set was 88 generated based on alignment of insect gene homologs, ab initio predictions, and 89 transcriptomic evidence. Genome annotation predicted 19,642 protein coding genes 90 with 92.5% BUSCO completeness in TGLs (Table 1 and S5). The sequenced E. 91 onukii genome showed high heterozygosity (2.8%), with 13,122,207 heterozygous 92 SNPs, 3,796,369 heterozygous indels, and complex segmental duplication patterns 93 (Figure 1A). We also assembled the mitochondrial genome, which had a total length 94 of 14.2 kb and 13 protein-coding genes annotated (Figure S2B).

Compared to recently published Hemiptera genomes [9, 10], this assembly is a 95 96 high-quality genome with 92.7% BUSCO completeness (Tables S4 and S6). Around 97 92.3% (337.5/365.8 million) of the Illumina short reads were mapped to the 98 assembled reference, representing about ~94% of the genome (Table S7). 99 Well-organized patterns of interacting contact along the diagonal for each 100 pseudo-chromosome confirmed the high-quality chromosome-level assembly (Figure 101 S2). In addition, assessment using the LTR Assembly Index (LAI) [11] revealed that 102 more intact LTRs were recalled in our assembly than previously published insect

103 genomes [9, 10], further supporting the high quality of the TGL genome (Figure104 S3A).

105 In total, 19,642 genes were annotated in E. onukii and compared with five other 106 well-annotated Hemiptera published genomes including *Diuraphis noxia* [12], 107 Acyrthosiphon pisum [13], Cimex lectularius [9], Nilaparvata lugens [14], and Myzus 108 persicae (https://bipaa.genouest.org/sp/acyrthosiphon_pisum/). Results showed that 109 56.9% (11,170/19,642) of E. onukii genes had homologs (Figure 1B). The E. onukii 110 genome contained ~37.7% repetitive sequences, a relatively moderate level among 111 published Hemiptera genomes, which repetitive sequences range from $\sim 12\%$ in D. 112 noxia [12] to 56.5% in C. lectularius [9]. TEs accounted for approximately 32.8% of 113 the E. onukii genome, and were comprised of 12.82% transposon sequences, 4% long 114 terminal repeats (LTRs), 11.24% long interspersed nuclear elements (LINEs), 2.20% 115 short interspersed nuclear elements (SINEs) (Table S8). TE level in E. onukii was 116 comparable to that of N. lugens [15], but approximately 1.5 times higher than A. 117 glycines [10] (Figure 1C). Similar to the aphid genome, LINEs were more prevalent 118 than LTR retroelements (Table S8). The moderate genome size and levels of repetitive 119 sequences in *E. onukii* compared to other hemipteran species (Figure 1C; Figure S3B) 120 indicated that TEs and other non-coding DNA might contribute to variation in genome 121 size [16]. The genome sizes of insect generally result from changes in the repetitive 122 DNA content [16]. Therefore, we calculated the correlation between repetitive 123 sequence content and genome size in sequenced insect species from both the 124 Holometabola (e.g., flies, beetles, wasps, and butterflies) and Hemimetabola (e.g., 125 aphids, true bugs, blood-feeding bugs, and leafhoppers). As expected, the genome size 126 was highly correlated with the DNA repetitive content (Spearman test, r = 0.8, P =127 0.0004) (Figure S3B). Previous studies suggest that differences in DNA repeat content 128 are likely due to TE variation or the influence of stochastic population effects [17].

We used OrthoFinder to identify orthologous genes across the genomes of *E. onukii* and other 18 insect species covering six different insect orders (Hemiptera, Isoptera, Hymenoptera, Coleoptera, Diptera and Lepidoptera). A total of 196

single-copy orthologous genes, 6411 multi-copied orthologous genes, 18 unique
paralogous genes and 3325 unclustered genes were identified. The phylogenetic
relationships among 19 sequenced insect species were analyzed using the
PROTGAMMALGX model in RAxML [18] based on the 196 single-copy
orthologous genes (Figure 1C). Based on these analyses, *E. onukii* was estimated to
have diverged from *N. lugens* and *L. striatellus* approximately 175 Mya ago (Figure
S4).

139 Expansion and contraction of gene families were analyzed based on 19 species. 140 Results showed that both total and species-specific genes in Hemiptera genomes 141 increased relative to other insect orders (Figure S4) [15]. We identified 2,859 novel 142 genes (species-specific) in E. onukii, representing about 14.5% of the genome. In 143 addition, 1178 expanded gene families were detected and these gene families were 144 over-represented in specific Gene Ontology (GO) terms, including carboxylic ester 145 hydrolase activity, zinc ion binding, iron ion binding, and transmembrane transporter 146 activity (Table S9). The E. onukii genome contained 3880 contracted genes families 147 (Figure S4). For example, functional analysis revealed that these genes were involved 148 in immunity (immunoglobulins), myosin, and tropomyosin (Table S10). These E. 149 onukii-specific gene family expansions and contractions were likely involved in 150 evolutionary adaption to tea phloem sap, symbiotic dependence, pathogen immunity, 151 and environmental conditions such as ecological and climatic variation, and tea 152 variety differences. For example, evidence shows carboxylic ester hydrolase activity 153 is involved in sap-sucking insects (e.g., M. persicae and S. graminum) sequestering 154 OP insecticides [19]. Immunoglobulin superfamily proteins have been reported as 155 candidates for synapse targeting functions related to synaptic specificity in the visual 156 system in Drosophila [20, 21].

157 Genomic adaptation to chemoreception and detoxification

The chemosensory system is essential for herbivorous insects to orient toward and locate potential host plants [22], potentially indicating how herbivorous insects adapt to host changes. Environmental signals and chemosensory stimuli are recognized and transduced by several multi-gene families including olfactory receptors (ORs), ionotropic receptors (IRs), gustatory receptors (GRs), odorant-binding proteins (OBPs), and chemosensory proteins (CSPs) [22, 23]. To examine genes linked to chemosensory stimuli recognition, we manually annotated several related gene families, including 20 olfactory receptors (ORs), 23 ionotropic receptors (IRs), 12 gustatory receptors (GRs), 5 odorant-binding proteins (OBPs), and 26 chemosensory proteins (CSPs) (**Table 3**).

168 Comparative analysis of genomes across different species revealed an increased 169 number of CSPs in the *E. onukii* genome (Table 3, Figure 2A and B, and Table S11). 170 The phylogenetic analysis of Hemiptera identified 10 homologous subgroups of CSPs 171 (CSP1-CSP10) (Figure 2B), which was consistent with a previous study [24]. Other 172 than CSP5 and CSP6, E. onukii CSPs were present in seven of the ten clades, 173 indicating these genes are highly conserved across the Hemiptera. Interestingly, we 174 found obvious expansion of some subgroups in E. onukii (e.g., CSP3, CSP4, CSP8, 175 and CSP9) and these CSPs were unevenly distributed over 4 of the 10 chromosomes, with enrichment on chromosome 1 (Fisher exact test, P value < 0.00001; Figure 2C). 176 177 Most CSP genes were distributed in expanded clusters on chromosomes, likely 178 through a series of gene duplication events (Figure 2C). Meanwhile, several CSPs 179 were highly expressed across different life cycle stages (Figure S5A), implying an 180 important role in the growth and development of E. onukii. Earlier studies on CSP 181 functions [25, 26], coupled with our observations of conserved phylogeny in 182 Hemiptera species and species-specific expansion of CSPs, indicate that CSPs are 183 crucial for recognition of tea volatiles and location of potential host tea plants. We 184 suggest that E. onukii requires many CSPs to specifically detect the complex 185 molecular components of odors from different tea cultivars. Thus, our analyses 186 highlighted directions for further experimental analysis of genes linked to host 187 adaptation. Toward this goal, functional testing of CSPs might identify genes that 188 were responsible for detection of specific tea cultivars by *E. onukii*.

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189 Our investigation of the chemoreceptor-related genes showed relatively low 190 numbers of ORs, IRs, GRs and OBPs in E. onukii (Table 3; Table S11; Figure S5-8). 191 For example, we found that the number of OBPs in Hemiptera species was lower than 192 other insect orders (Figure S5B), suggesting conservation of odorant molecular 193 transport in Hemiptera [27]. Other chemoreceptor genes, including ORs, GRs and IRs, 194 play important roles in local adaption by responding to chemical signals with neuronal 195 activity [15]. The species-specific expansion OR clade of gene family was obvious in 196 our analysis (Figure S6). Polyphagous insects (e.g., P. americana) possess more OR 197 genes than monophagous insects (e.g., *E. onukii*) (Table 3; Table S11; Figure S6). This might have resulted from specific evolutionary adaption to food selection and 198 199 detection since genetic diversity of ORs allows insects to bind to a greater range of ligands [28]. In addition, similar to N. lugens, E. onukii had a substantially lower 200 201 number of GRs (Table 3, Figure S7). Earlier studies show a close relationship 202 between GRs and insect herbivory, with lower number of GRs in specialists than in 203 generalists [29, 30]. Another explanation may be that antennae of leafhoppers have a 204 much simpler structure with fewer sensilla than those of planthoppers (e.g., N. lugens) 205 and aphids. TGL also possessed fewer IRs (Table 3; Table S11; Figure S8), which 206 mediate synaptic communication in insects and mediates responses to volatile 207 chemicals in D. melanogaster [31, 32]. We believed that the numerical reduction in 208 ORs, IRs, GRs and OBPs might be associated with the adaptive evolution to a 209 monophagous diet of tea phloem sap, and the substantial expansion of CSPs might 210 contribute to tea volatile perception in E. onukii.

E. onukii is believed to have experienced rapid evolution leading to insecticide resistance in natural populations [33]. Four classic gene families commonly associated with detoxification of xenobiotics and insecticides, including P450, COEs, GSTs and ABCs, were therefore investigated. We identified 103 cytochrome P450s, 29 ATP-binding cassette transporters (ABC transporters), 77 carboxylesterases (COEs), and 30 glutathione S-transferases (GSTs) (Table 3). Similar to other insecticide resistant pests [34], we found that the P450 gene family was expanded, mainly in CYP3 and CYP4 clans (Table 3; Table S12; Figure 2D; Figure S9A). Based on our RNA-seq data, 28 CYP3 and 38 CYP4 genes showed expression (FPKM > 1.0) with 20 in CYP3 and 16 in CYP4 being highly expressed during at least one developmental stage (FPKM > 10.0) (Figure 2D; Figure S9B). The results underlined their potential function of detoxifying the xenobiotics or insecticides in TGL.

223 We tracked the expression patterns of these genes in TGL samples collected from 224 different tea cultivars, with four cultivars being resistant and four cultivars susceptible 225 to TGL according to previous studies [35]. Results showed that 17 CYP3 and 12 CYP4 genes were highly expressed ($Log_2^{FPKM} > 10.0$) but not differentiated in both 226 227 resistant and susceptible tea cultivars (Figure 2D; Figure S9C). Thus, we speculated 228 that CYP genes might be involved in metabolism of common xenobiotics, or their 229 expression may be induced by insecticides. Indeed, previous studies have shown that 230 CYP3s are involved in xenobiotic metabolism and insecticide resistance, with some 231 family members being inducible by pesticides or plant secondary metabolites [36]. 232 CYP4s are known to encode constitutive and inducible enzymes related to odorant 233 and pheromone metabolism, and expression can be induced by xenobiotics [37].

Niche under adaptive selection are related to metabolic regulation and detoxification

236 E. onukii samples were collected from four tea-growing regions around China: 237 southwest region (SWR), south of the Yangtze River region (SYR), north of the 238 Yangtze River region (NYR), and south China region (SER), and these samples were 239 re-sequenced with a depth ranging from $20.8 \times$ to $30.7 \times$ at whole genome level (Table 240 S13). After filtering the low-quality variants, we generated a genomic dataset 241 containing 12,271,501 high-quality SNPs (Table S13) to estimate the genomic 242 signatures of evolutionary adaptation for *E. onukii*, based on Tajima's *D* with a 50-kb 243 window size and a fixed step length of 10 kb. Totally 369 sliding windows, covering 18.45 Mb genomic sequences (Table S14) and containing 82 protein-coding genes 244 (Table S15) were detected, including seven genes (Table S16) under purifying 245

selection and 79 under balancing selection (**Figure 3**A).

247 Purifying selection is important in shaping genomic diversity in natural 248 populations and is essential to preserving biological functions at selection sites [38]. 249 Almost all these genes are related to nervous system or visual functions. For instance, 250 forkhead box protein P1 (FOXP1) is a transcription factor with a regulatory function 251 in the central nervous system (CNS), and mutations in this gene have been linked to various neurodevelopmental diseases, including autism, cognitive abnormalities, 252 253 intellectual disabilities and speech defects [39]. Coronin 6 is highly enriched at the 254 neuromuscular junction and can regulate adult acetylcholine receptor 255 (neurotransmitter receptor) clusters by modulating interactions between the actin 256 cytoskeletal network and receptors [40]. In mice lacking SZT2, mTORC1 signaling is 257 hyperactive in several tissues including neurons in the brain, and these components 258 have been linked to neurological disease [17]. The nucleoredoxin-like 1 (Nxnl1) gene 259 has two alternative splice isoforms: a rod-derived cone viability factor that functions 260 in the retina [41]. Inositol hexakisphosphate, the nonvisual arrestin oligomerization 261 and cellular localization are modulated by its binding [42]. In insects, detection of 262 light changes, vibration, colors and semiochemicals, which have evolutionarily old 263 sensory functions, are vital for behaviors including avoiding predation, food location 264 and intraspecific communication. Thus, we speculated that these genes under 265 purifying selection would be important for nervous or visual functions in *E. onukii*.

266 We found that 91.8% of the selected genes (79/86) were under balancing 267 selection with positive Tajima's D values that greatly deviated from zero, indicating 268 that the populations of *E. onukii* maintained a high level of polymorphism. A much 269 higher genetic diversity ($\pi = 0.00804$) was observed in those genomic regions under balancing selection compared to genome-wide diversity (P < 0.0001, T-test), 270 271 suggesting a strong capacity for *E. onukii* to rapidly adapt to diverse habitats [43]. GO 272 enrichment analysis showed that these genes were enriched in several biological 273 processes including cell periphery, plasma membrane part, transmembrane transport,

ion binding, anion binding, and nucleoside-triphosphatase activity (Figure S10; Table
S17). KEGG enrichment analyses pointed to several pathways including those linked
to metabolism, circadian rhythms, and immune system functions.

277 Based on KEGG analyses, lysine succinvlation and glutarylation pathways were 278 enriched (Figure 3B). Previous studies have reported that protein acetylation plays 279 critical roles in cellular processes ranging from gene expression to metabolism [44]. 280 Lysine succinvlation is a recently identified post-translational modification (PTM) 281 [45]. It is important for metabolism and detoxification in *B. mori* [45]. In our study, 282 the apoptosis pathway was consistently enriched (Figure S11). Studies in Lepidoptera 283 insects suggest that apoptosis plays a vital role in resistance to virus infection and 284 some apoptosis-related proteins are known to be succinylated [40, 46, 47]. Here, we 285 identified four key genes, including rve, Pyridoxal deC and two Glyco transf 22, 286 which were functionally present in lysine succinylation and glutarylation pathways 287 (Figure 3B).

Lysine succinvlation is important for virus-infection resistance and detoxification 288 289 in insects [44-46, 48, 49]. To examine whether the selected pathways in *E. onukii* had 290 similar functions, we analyzed the expression patterns of four genes, rve, *Pyridoxal_deC* and two *Glyco_transf_22*, collected from 11 different tea cultivars 291 292 including 4 cultivars (LongJ, DeQ, JianD, JuY) showing resistance to E. onukii and 4 293 cultivars (ZhuS, LanT, BanZ, EnB) showing susceptibility to E. onukii. Based on genes (*Pyridoxal_deC*, 294 RNA-Seq analysis, two *Glyco_transf_22*) showed 295 significantly high expression in both susceptible and resistant tea cultivars, but with 296 different patterns (Figure 3C) (P < 0.05, T-test). Pyridoxal_deC and Glyco_transf_22 297 were key genes in metabolic regulation of succinyl- and glutaryl-CoAs (Figure 3B). 298 These results, together with the previously reported roles of succinvlation and 299 glutarylation in other insects [44-46, 49], indicated that genes under balancing 300 selection could be involved in metabolic regulation and detoxification of *E. onukii*, 301 possibly contributing to its success in adapting to a wide range of tea cultivars grown in ecologically diverse regions of China.

303 E. onukii is largely controlled using insecticides in China, leading to 304 development of resistance to chemicals. The ATP-binding cassette (ABC) transporters 305 are conserved across insects and have been implicated in insecticide resistance among 306 pest species [50]. Based on our analyses, the ABC superfamily showed no expansion, 307 and few orthologs were present in *E. onukii* compared to other insect species (Table 3). 308 However, we identified four ABC transporter genes that showed signatures of 309 balancing selection and thus maintaining high genetic variation within populations of 310 E. onukii. Further analysis showed that these four genes belonged to three ABC 311 subfamilies including ABCG, ABCB, and ABCA. Balancing selection favors defense 312 proteins with functions in resistance, immunity and adaptations [51, 52]. However, the 313 functions of these four genes have not been elucidated in leafhoppers or aphids. 314 Studies in other animals or insects have shown that these subfamilies are closely 315 related to drug or insecticide resistance [53, 54, 55]. A comparative analysis between 316 susceptible and resistant strains of A. aegypti reports that the genes of ABC 317 transporter G family are highly up-regulated [54]. Similar studies have also been 318 carried out in P. xylostella and L. striatellus, showing that ABCA/B/G subfamilies are 319 significantly over-expressed in the resistant strains [55, 56]. Based on ABC family 320 functions in other insects, we hypothesized that E. onukii ABC genes might contribute 321 to its adaptation to different tea cultivars. This hypothesis may be supported by a 322 study of Cry1Ac resistance in *P. xylostella* [53]. We therefore investigated the expression patterns of the four genes using E. onukii samples collected from 11 323 324 different tea cultivars, as described above. These genes showed moderate expression 325 levels across different developmental stages (Figure S12A) and samples of E. onukii 326 from different tea cultivars (Figure S12B), suggesting that these ABC superfamily 327 members could broadly contribute to adaptation to various tea cultivars and even 328 possibly to chemical resistance. Previous studies also suggest that ABC transporters 329 are not strictly specific to certain chemicals, implying that ABC transporters have a 330 broad spectrum of chemical substrates and may act as a basis for cross-resistance of

331 multiple chemicals [55].

Genomic regions under balancing selection are functionally important because of their high genetic diversity contributing to adaption to environmental change [43]. Based on our results, we hypothesized that balancing selection might have contributed to the high level of polymorphism in *E. onukii* populations, facilitating adaptation to diverse environments and tea cultivars.

337 Evolutionary history is inconsistent between TGL and tea cultivars

We used high-quality SNPs obtained from the 54 E. onukii samples coming from 338 339 the different tea-growing regions (Figure 4A) in China (Table S13) to profile their 340 phylogeographical relationships. Phylogenetic analysis and network estimation of the 341 E. onukii samples with E. flavescens and Asymmetrasca sp. as outgroups uncovered 342 three geographically clustered groups (Groups I-III; Figure 4B and Figure S13). 343 Group I contained 4 samples collected from Yunnan province, being the closest to the 344 outgroups. Group II included 28 samples mainly collected from eastern China, 345 including Shandong, Jiangsu, Zhejiang, and Anhui provinces. The remaining 22 346 samples (i.e., Group III) were mainly from 13 provinces of central and southern China 347 (Figure 4B and **Table 4**). These results were further supported by genetic structure 348 analysis (K = 3) based on the Admixture model (Figure 4C and Figure S14) [57]. 349 Three clustered groups of *E. onukii* samples (Figure 4B; Table 4) were inconsistent 350 with the current division of the four tea-growing regions (Figure 4A) based on the tea 351 growing history, geographical locations, and tea cultivars [58]. These results suggest a 352 different evolutionary history of E. onukii among these regions. Our analyses of 353 phylogenetic and genetic structure confirmed the genetic differences between group I 354 (samples from Yunnan) and the other groups, as shown in a previous study based on 355 microsatellites [5]. However, this previous study suggested four main genetic groups 356 (K = 4) [5]. This may be because the present study collected much more samples 357 around China (54 locations in 22 provinces) than the other one (22 19 locations in 13 358 provinces) and used a greater number of genetic markers (whole-genome SNPs vs.

microsatellite markers). We observed that individuals from different groups were interspersed (Figures 4B and C), possibly reflecting gene flow across location, as observed in the previous study [5].

To investigate the genetic divergence, we calculated the average pairwise 362 363 diversity (π) within each of the clustered groups (Table 4). Comparably higher levels 364 of genetic diversity (0.004662 and 0.004744) were observed in Groups II and III than 365 in Group I (0.004062). The high genetic diversity of eastern China and 366 southern-central China may be explained by a geographically wide range and 367 ecologically diverse tea-growing conditions. Further, we found a higher genetic 368 diversity in certain subgroups within the major tea-growing provinces of eastern 369 China and southern-central China. The diversity ($\pi = 0.0048$) in Jiangsu and Zhejiang 370 provinces was higher than the overall diversity of eastern China. and similarly, a 371 higher diversity ($\pi = 0.004939$) was found in Anhui and Fujian provinces than in 372 southern-central China, indicating the genetic diversity in these locations. We further analyzed the population differentiation (F_{ST}) across different geographically clustered 373 374 groups and showed a very low F_{ST} value (0.005902) between Group II and III, 375 indicating their genetically close relationship. In contrast, the F_{ST} values between 376 Group I and Group II or III were much higher (0.052321 in Group II vs. Group I; 377 0.043225 in Group III vs. Group I), suggesting that the samples from Yunnan 378 province were genetically distant from the populations in eastern China and 379 southern-central China.

380 A previous study reports that the structure of male genitalia varies among E. 381 onukii locations from eastern China, southern-central China and Yunnan province [3]. 382 Our population genetic analysis also showed genetic differences among these 383 different groups (Figure 4). We speculated that some geographical barriers might have 384 restricted gene flow leading to these differences. Yunnan is surrounded by mountains 385 and rivers as a result of an uplift during the Quaternary and is isolated by the steep 386 Hengduan Mountains. Unlike the clonal propagation of tea cultivars in other regions 387 of China, tea cultivation in Yunnan has depended on seeds from early times [59]. This

388 might have prevented the interbreeding of local *E. onukii* populations with 389 populations from other regions. Similarly, the hilly region between Zhejiang and 390 Fujian might separate *E. onukii* populations, leading to populations genetically 391 different (Figure 4).

392 Conclusions

393 In this project, we develop a high-quality chromosome-level genome with 92.7% 394 BUSCO completeness for *E. onukii*, a species of crucial importance to a widely 395 consumed crop linked to human health. Based on genomic profiling and comparison, 396 we find complex patterns of genomic variation and expansion of gene families 397 associated with evolutionary adaptation to chemosensory reception and xenobiotic 398 detoxification. We identify genomic signatures of balancing selection to reveal the high genetic diversity of resistant genes, underlining their important roles in the 399 400 adaptive evolution of *E. onukii*. Further, we analyze patterns of variation in genomic 401 sequences from 54 samples and two outgroups, uncovering the population structure 402 and evolutionary history of *E. onukii* across the four different tea-growing regions of 403 China. This work will facilitate functional studies on the adaption of this pest to 404 ecologically diverse habitats, and provide the genomic resources and genetic 405 knowledge for development of sustainable pest management strategy.

406 Materials and Methods

407 **Insect colony.** *E. onukii* samples were collected in Fuzhou, Fujian province, 408 southeastern China in July 2017 (on the tea cultivar of Huangdan), and then 409 maintained on tea plants in the laboratory. The insectarium environment was set at 28 410 \pm 1°C and 60 \pm 5% RH with a photoperiod (light: dark = 12:12).

411 Genome sequencing and assembly. Since the quality of *de novo* assembly is 412 sensitive to genomic heterozygosity, genomic DNA of adults was extracted from 413 insects after 12 generations of laboratory inbreeding. Chromosome-level assembly

414 was performed using Nanopore (Oxford Nanopore Technologies, ONT) with 415 chromatin conformation capture (Hi-C) technologies. The raw ONT reads were 416 self-corrected using CANU version 1.7 [61] with parameter corOutCoverage = 100, 417 and corrected reads were subject to two widely-used long-read assemblers, wtdbg2 418 [62] and SMARTdenovo (https://github.com/ruanjue/smartdenovo). These two 419 assemblers applied the homopolyer compressed (HPC) k-mer indexing algorithm for 420 sequence alignment and assembly, making the heterozygous regions prone to 421 collapsing. To improve the contiguity of contig assemblies, we used Quickmerge [63] 422 to reconcile wtdbg2 and SMARTdenovo assemblies. Each round of assemblies was 423 inspected through evaluation of N50s based on assembled genome size as well as 424 complete/duplicated BUSCO ratio (Table S3), showing 92.7% completeness and only 425 2.7% duplication. It also indicated that the redundant sequences were well-handled in 426 our assembly. The total length of the final contig assembly for *E. onukii* genome was 427 599 Mb with a contig N50 size of 2.2 Mb. Illumina short reads were then used to 428 polish the ONT assembled genome by Pilon [60] with the following parameters: 429 --diploid --threads 6 --changes --tracks --fix bases --verbose --mindepth 4. Hi-C 430 libraries were created from nymphs as previously described [34]. The original 431 cross-linked fragments, also known as chimeric fragments, were then processed into 432 paired-end sequencing libraries and sequenced on the Illumina HiSeq X-10 platform. 433 Paired-end reads were uniquely mapped onto the draft assembly, then 3D-DNA 434 pipeline [64] was recruited to correct any mis-joined contigs by detecting abrupt 435 long-range contact patterns. The Hi-C corrected contigs were further linked into 10 436 pseudo-chromosomes using the ALLHiC pipeline [65]. In total, we generated 65 Gb 437 data (~109×) sequences for one cell by for Nanopore ONT and 37 Gb clean data 438 $(\sim 61 \times)$ of Illumina X-10 from polishing (Table 1S).

Official gene set annotation. Annotation of protein-coding genes was based on *ab initio* gene predictions, transcript evidence and homologous protein evidence, which
was all implemented in the GEMOMA computational pipeline [66]. RNA-seq data
were generated from every developmental stage (egg, 1st – 5th nymph instar and

443 adult). Besides, multiple studies have shown that resistance to E. onukii varies with 444 different tea cultivars [35, 67]. E. onukii samples were collected from 11 main tea 445 cultivars in China, of which four were susceptible to E. onukii (ZhuS, LanT, BanZ and 446 EnB), four were resistant (LongJ, DeQ, JianD, JuY), and three had unknown 447 resistance status (SuC, ZiD and ZhongC) [35]. RNA-seq reads were first trimmed 448 using the Trimmomatic program [68] and then mapped to the reference genome using 449 HiSAT2 [69]. During homolog-based prediction, the protein sequences of Drosophila 450 melanogaster, Apis mellifera, Myzus persicae, Acyrthosiphon pisum, Tribolium 451 *castaneum*, and *Bombyx mori* were downloaded and aligned to the reference assembly using TBLASTN with e-value 1e⁻⁵, and the resulting alignment files were subject to 452 453 GEMOMA annotation.

454 **Orthology and phylogenomics**. A total of 19 representative insect species including 455 E. onukii were collected for orthology and phylogenetic analyses (Figure 1). A 456 phylogenetic tree based on a concatenated sequence alignment of the single-copy 457 gene families from E. onukii and other insect species was constructed. We identified 458 5,736 single copy genes in these insect genomes using OrthoFinder (version 2.0.0) 459 [70] and performed multiple alignments of the single copy genes from the selected 460 genomes using MAFFT v7.299b [71]. Based on a concatenated sequence alignment, a 461 phylogenetic tree was constructed using RAxML software and the 462 PROTGAMMALGX model [18]. Divergence times of the selected insect species 463 were calculated by PAMLv4.8a mcmcTREE [72]. The Markov chain Monte Carlo (MCMC) was run for 1,000,000 iterations using a sample frequency of 100 after a 464 465 burn-in of 2,000 iterations, with the other parameters set as defaults. The following 466 constraints were used for time calibrations: D. melanogaster and A. mellifera 467 divergence time (42.8-83.4 million years ago) [73]. FigTree v1.44 was used to 468 visualize the phylogenetic tree. Gene family expansion and contraction analyses were 469 performed using Café v4.0.1 [74].

470 Gene families. Some gene families with functional importance were selected for

471 manual annotation based on the high-quality assembly. Most gene families were 472 annotated using known models from previously annotated genomes including D. 473 melanogaster, A. mellifera, M. persicae, A. pisum, C. lectularius and B. mori. Some gene families, which were difficult to identify from automated predictions, were 474 475 identified based on iterative searching. In brief, BLASTP searches for Hemiptera homologs used queries to search the genomic loci for significant hits ($e < 10^{-3}$). 476 Further, we recruited hidden Markov models (HMMs) to identify certain domains for 477 478 these selected gene families based on pfam_scan [75]. Multiple sequence alignments 479 of the selected gene families were obtained with MUSCLE [76] and corrected 480 manually. Phylogenetic analysis was conducted using ML and NJ models, and 481 implemented in MEGA7 for 500 bootstraps [77].

Differential gene expression. RNA-seq data were generated from seven 482 developmental stages (egg, $1^{st} - 5^{th}$ nymph instars and adult) and the 11 populations 483 of E. onukii collected from different tea cultivars (described in Official gene set 484 485 annotation section). The RNA-seq reads were trimmed using the Trimmomatic program [68] and mapped back to gene models using bowtie [78]. FPKM was 486 487 calculated based on the RSEM program [79] implanted in Trinity software [80]. Significantly differentially expressed genes were detected with a cutoff (P < 0.05 and 488 $\log_2^{(\text{change fold})} > |1|)$ [81]. 489

490 Sample collection, resequencing and SNP calling. Individuals of E. onukii (between 491 100 and 120) were collected from 54 plantations distributed in four tea-growing 492 regions of China: Southwest region (SWR), South of the Yangtze River region (SYR), 493 North of the Yangtze River region (NYR), and South China region (SER) (Table S13). 494 We also collected two samples, E. flavescens (collected from Canada in vineyards) 495 and Asymmetrasca sp. (collected from Africa), as outgroups (Table S13). For DNA 496 extraction, 50 to 100 individuals were mixed. Genomic DNA extraction, library construction and amplification were performed following standard protocols 497 498 (Supplemental Notes). All samples were sequenced using the Illumina X-10 platform

with a paired-end read length of 150 bp. The GATK toolkit (version: V
3.5-0-g36282e4) [82] and samtools/bcftools [83] were used to detect variants and
SNPs following a series of filtering steps as detailed in a Supplemental Note.

502 **Maximum-likelihood tree inference**. The phylogenetic tree was built based on SNPs 503 of single-copy genes. The heterozygous and homozygous SNPs were included in the 504 construction of ML tree. For the heterozygous SNPs, the major alleles that had more 505 reads supported than the minor alleles were retained for further analysis. These SNPs 506 were converted to phylip and aligned in fasta format. The ML (maximum likelihood) 507 tree was constructed using IQ-Tree with a self-estimated best substitution model [84].

Admixture analysis. Ancestral population stratification among the re-sequenced TGL populations was inferred using Admixture software [85]. We estimated the optimal ancestral population structure using ancestral population sizes K = 1 - 4 and estimated parameter standard errors based on bootstrapping of 2000.

Diversity statistics. VCFtools v 0.1.3 [86] was used to calculate population diversity statistics. Genetic differentiation (F_{ST}) and the average pairwise diversity index (π) were estimated based on a sliding window analysis with 100 kb window size and 50 kb step size.

516 Scanning loci under selective sweeps. To identify candidate genes responsible for 517 reciprocal selection in the TGL populations, we performed the Tajima's D test to identify selective sweeps. Loci with Tajima's D that greatly deviated from 0 proved to 518 519 be a selection niche in the genome. The Tajima's D statistics were calculated using 520 VCFtools program with a 50 kb window size and 10 kb step size. A negative Tajima's 521 D indicates population size expansion and/or purification selection. A significantly 522 positive Tajima's D signifies low levels of low and high frequency polymorphisms, 523 indicating a decrease in population size and/or balancing selection [87]. We used the 524 empirical 5% windows to indicate the significance. The lowest 5% windows were 525 considered as purifying selection and the highest 5% were considered as balancing

526 selection.

Based on the annotation of our high-quality genome, candidate genes were identified
using our outliers. GO annotation was conducted using Blast2GO [88] and the KEGG
pathway analysis was performed using OmicShare tools (www.omicshare.com/tools).

530

531 Data availability

The genome sequences and re-sequencing reads have been deposited in NCBI with 532 of 533 accession number PRJNA731240 and GSA database 534 (https://ngdc.cncb.ac.cn/search/?dbId=gsa&q=Empoasca) with the accession number 535 of GWHBAZN00000000. Reads for RNA-seq was deposited in GSA database with 536 the accession number of PRJCA005189. The mitochondrial sequence reported in this 537 paper have been deposited in the Genome Warehouse in National Genomics Data 538 Center with the accession number GWHBFSP00000000 that is publicly accessible at https://ngdc.cncb.ac.cn/gwh. 539

540 **CRediT author statement**

541 Qian Zhao: Investigation, Methodology, Formal analysis, Visualization, Writing – 542 original draft, Writing – review & editing. Longqing Shi: Resources, Methodology, 543 Writing – original draft. Weiyi He: Methodology, Writing - review & editing. Jinyu 544 Li: Resources, Formal analysis. Shijun You: Resources, Data curation. Shuai Chen: 545 Formal analysis. Jing Lin: Visualization, Formal analysis. Yibin Wang: Formal 546 analysis. Liwen Zhang: Visualization. Guang Yang: Resources, Writing - review & 547 editing. Liette Vasseur: Resources, Writing - review & editing. Minsheng You: 548 Conceptualization, Methodology, Resources, Writing - original draft, Writing - review 549 & editing, Supervision. All authors read and approved the final manuscript.

550 **Competing interests**

551 The authors declare that they have no competing interests.

552 Acknowledgements

This work was supported by The National Key R & D Program of China (2019YFD1002100), Fujian Agriculture and Forestry University Construction Project for Technological Innovation and Service System of Tea Industry Chain (K1520005A03) and Key International Science and Technology cooperation Project of China (2016YFE0102100). We thank Mr. Haifang He, and Fasheng Huang for their kind assistance in collection of the insect samples.

- 559 ORCID
- 560 0000-0003-4256-5686 (Qian Zhao)
- 561 0000-0003-2036-7558 (Longqing Shi)
- 562 0000-0001-8659-3123 (Weiyi He)
- 563 0000-0003-4560-3190 (Jinyu Li)
- 564 0000-0001-7340-1524 (Shijun You)
- 565 0000-0002-6861-2682 (Shuai Chen)
- 566 0000-0002-5913-1801 (Jing Lin)
- 567 0000-0002-0781-3966 (Yibin Wang)
- 568 0000-0001-9220-3849 (Liwen Zhang)
- 569 0000-0002-3250-5228 (Guang Yang)
- 570 0000-0001-7289-2675 (Liette Vasseur)
- 571 0000-0001-9042-6432 (Minsheng You)

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785

786 Figure legends

Figure 1. Genomic characterization of *E. onukii* and comparison with other insect genomes.

- (A) Genomic characterization of the sequenced *E. onukii*. The circles (from outermost
- to innermost) represent monoploid genome in Mb, segmental duplication, gene
- 791 density, LTR Copia/Gypsy, DNA transposable elements and expression profiles. (B)
- 792 Numerical comparison of homologous genes between gene sets from *E. onukii* and
- reach of the five Hemiptera species. Dataset overlaps were determined using a
- BLASTP search (*e* value $< 10^{-5}$). (C) Phylogenetic relationships among 15 insect
- species based on genomic comparisons. Single copy orthologs: only one copy in
- different genomes, multicopy orthologs: more than one copy in different genomes,
- ⁷⁹⁷ unique paralogs: species-specific genes, other orthologs: unclassified orthologs,
- ⁷⁹⁸ unclustered genes: genes that cannot be clustered into known gene families. Details
- about the identification are previously described [73].

800 Figure 2. Expansion of gene families related to chemoreception and

801 detoxification in *E. onukii* compared with other insect species.

- 802 (A) Numerical comparison of the chemosensory proteins (CSPs) among aphids, plant
- 803 bugs and hoppers. Aphid species include Acyrthosiphon pisum (Apis), Myzus persicae
- 804 (Mper), Aphis gossypii (Agos), Aphis glycines (Agly) and Sitobion avenae (Save);
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- and plant bugs (*N. lugens, L. striatellus* and *S. furcifera*). Yellow branches represent
- 811 CSP family genes in *E. onukii*. (C) Genomic expansion and unbalanced chromosomal
- distribution of CSPs in the *E. onukii* genome. (**D**) Phylogenetic relationships and
- expression profiling of detoxification-related proteins (CYP3 and CYP4) in plant

814 hoppers, aphids and plant bugs. Expression profiling based on RNA-seq data were

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- *E. onukii* populations collected from different tea cultivars (four cultivars being
- resistant including LongJ, DeQ, JianD, JuY and four cultivars susceptible to *E. onukii*
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819 Figure 3. Genomic signatures of balancing selection.

(A) Putative selection sweeps in populations of E. onukii. Tajima's D value was 820 821 calculated for each of the *E. onukii* populations. Mean values of Tajima's *D* are shown 822 in sliding windows of 50 kb with a step size of 10 kb. Regions with Tajima's D values 823 deviated significantly from 0 are marked with dotted lines in panel 1. Specifically, 824 values of Tajima's D significantly deviated from 0 are plotted in red (> 0) and green 825 (< 0) respectively in panel 2 and panel 3. (B) Succinyl- and glutaryl-CoA pathways 826 showing the regulatory role of lysine modifications in metabolism. (C) Expression 827 patterns of the *E. onukii* genes under balancing selection, in 11 different tea cultivars. 828 *Pyridoxal deC* was detected to be significantly highly expressed in susceptible tea 829 cultivars (P < 0.01, T-test), while Glyco_transf_22 was significantly highly expressed 830 in resistant tea cultivars (P < 0.05, T-test).

Figure 4. Phylogenetic relationship, population structure and expansion of *E*. *onukii*.

833 (A) Geographical locations (sites) of 54 samples collected from four tea-growing regions around China: Southwest region (SWR), South of the Yangtze River region 834 835 (SYR), North of the Yangtze River region (NYR), and South China region (SER). Dots with different colors represent different clustered groups. (B) Phylogenetic tree 836 837 of the 54 E. onukii samples based on RAxML and SplitsTress. Branch lengths are not 838 scaled. Different colors of inner circle represent 4 different tea-growing regions 839 shown in (A). Colors of outer lane represent different E. onukii groups based on 840 phylogenetic analysis. (C) Genetic structure and individual ancestry with colors in 841 each column representing ancestry proportion over range of population sizes (K = 2-4, 842 with an optimal K = 3).

- 843 Table legends
- Table 1. Sequencing, chromosome-scale assembly and annotation of the *E. onukii*
- 845 genome
- Table 2. Chromosome-based statistics of the *E. onukii* genome
- Table 3. Numerical comparison of genes related to chemoreception and
 detoxification among different insect species
- Table 4. Number of populations, nuclear SNPs, and genetic diversity (π) in each
- 850 of the three clustered groups

851 Supplementary material

- Title for supplementary file: Supplemental_Notes.docx
- **Figure legends:**
- **Figure S1. Damages of** *E.onukii* in modern tea plantation in China. (A) Life cycle
- of *E. onukii*. (B) Damages caused by *E.onukii*. (C) Developmental stages of *E. onukii*.
- Figure S2. Genome assembly of *E. onukii*. (A) Chromatin interactions with 150
- 857 kb resolution in *E. onukii*. (B) Mitochondrial genome of *E. onukii*. Inner circle
- represents the GC content while outer circle represents genes located on
- 859 mitochondrion.
- 860 Figure S3. (A) Assessment of the assembly using LTR Assembly Index (LAI). (B)
- 861 Correlation analysis between repeat content and the genome size.
- Figure S4. Gene family expansions and contractions in the *E. onukii* compared
- with other insects. Numbers for expanded (green) and contracted (red) gene familiesare shown on branches.
- Figure S5. Expression profile of genes involved in chemoreception and phylogenetic analysis of odorant-binding proteins (OBPs). (A) Expression of 5 chemoreception gene families. (B) Neighbor-joining method involving 84 protein sequences was used to construct the tree. Different colors represent different species:

869 red represents *Ap. Glycines* (Agly); green represents *A. pisum* (Apis); purple

870 represents *E. onukii* (Em) while black represents *D. melanogaster*.

Figure S6. Phylogenetic analysis of olfactory receptors (ORs). Neighbor-joining method involving 216 protein sequences was used to construct the tree. Different colors represent different species: red represents *Ap. Glycines* (Agly); green represents *A. pisum* (Apis); yellow represents *E. onukii* (Em) while blue represents *D.*

- 875 *melanogaster*.
- Figure S7. Phylogenetic analysis of gustatory receptors (GRs). Neighbor-joining
 method involving 219 protein sequences was used to construct the tree. Colors
 corresponded to Supplemental Figure 6.
- Figure S8. Phylogenetic analysis of ionotropic receptors (IRs). Neighbor-joining
 method involving 125 protein sequences was used to construct the tree. Colors
 corresponded to Supplemental Figure 6.
- **Figure S9. Phylogenetic analysis of P450 gene family.** Neighbor-joining method involving 259 protein sequences was used to construct the tree. Colors represented different species listed in the Figure.

Figure S10. GO enrichment of the genes under balancing selection in *E. onukii*.

- 886 Only top 20 were listed in the figures.
- Figure S11. Apoptosis pathway was enriched for the genes under balancing
 selection in *E. onukii*. Genes under selection were marked in red.
- Figure S12. Expression patterns of the ABC genes under balancing selection. (A)
 Expression patterns of different developmental stages; (B) Expression patterns when
 living on resistant and susceptible tea cultivars.
- Figure S13. Phylogenetic tree and network estimation by RAxML and SplitsTress. Three populations according to the geographic regions that most of the individuals located: group I was Yunan (YN), Eastern China (group II); and central and southern of China (group III) were listed in Red, Green, Blue respectively (right figure) with presence of the outgroups (left figure). Different 4 tea regions of China were listed in different colors represented in Figure 3.
- Figure S14. Admixture analysis of 55 TGLs accessions (k = 2-4). IDs were

- 899 represented in Supplemental Table 12.
- 900 **Table legends**
- 901 Table S1. Statistics of genomic sequencing data of *Empoasca onukii*
- 902 Table S2. Statistics of Hi-C mapping
- 903 Table S3. Statistics of contig level assembly of *E. onukii*
- 904 Table S4. BUSCO analysis of genome assembly of *E. onukii*
- 905 Table S5. BUSCO analysis of annotation completeness
- 906 Table S6. The statistics of different Hemiptera species assembly
- 907 Table S7. Assessment of genome consistency based on NGS (Illumina) reads
- 908 Table S8. Statistics of TEs in *E. onukii* genome
- 909 Table S9. GO over-representation of gene families expanded on *E.onukii* branch
- 910 Table S10. Gene family contraction analysis on *E.onukii* branch
- 911 Table S11. Chemosensory related gene families in *E. onukii*
- 912 Table S12. List of gene families involved in detoxification
- 913 Table S13. Geographic distributions of the collected samples around China
- 914 Table S14. Genomic regions under selection
- 915 Table S15. Genes under selection
- 916 Table S16. Functional analysis of genes under purifying selection
- 917 Table S17. GO terms for the genes under balancing selection

1 Figures

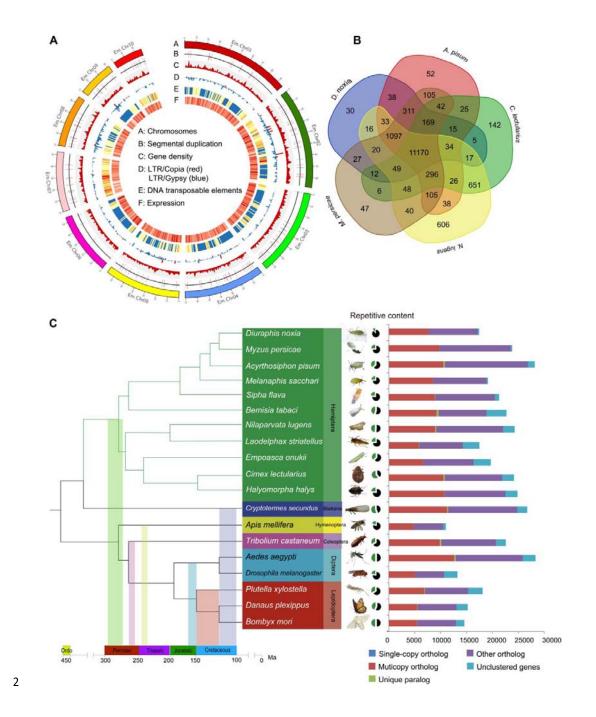
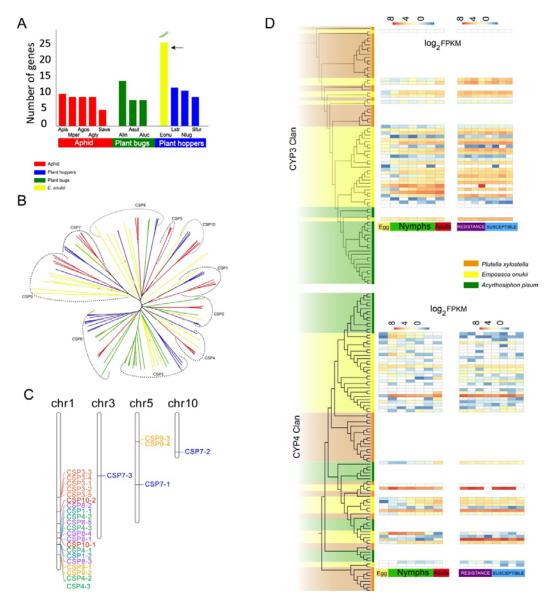


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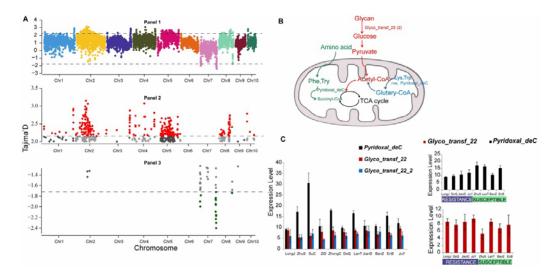
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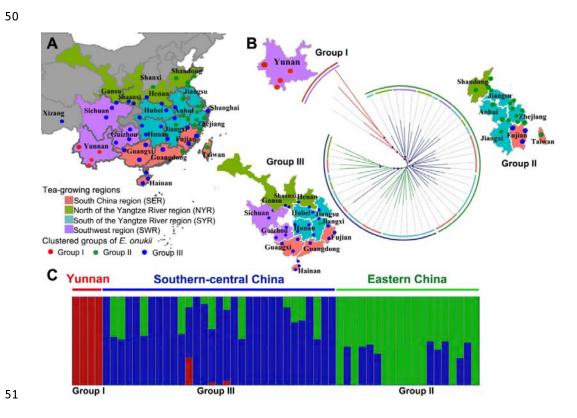


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Sequencing	
Sequencing Platform	Nanopore ONT
Data size (Gb)	65
Genome sequencing depth (×)	109
Estimated genome size (Mb)	~608
Chromosome-scale a	ssembly
Assembly size (Mbp)	599
% of estimated genome size	98.5
No. of contigs	1800
Contig N50 (Mb)	2.2
Average length (bp)	332,835
Minimum contig length (bp)	2,552
No. of chromosomes	10
Scaffold N50 (Mb)	67.98
No. of unanchored contigs	234
Length of anchored contigs (Mb)	592
Anchor rate (%)	98.83
BUSCO completeness (%)	92.7
Annotation	
No. of protein-coding genes	19,642
Average gene length (bp)	7904
Average CDS length (bp)	201
Average exon number per gene	4.99
BUSCO completeness (%)	92.5

Table 1. Sequencing, chromosome-scale assembly and annotation of the *E. onukii* genome

Chromosome	No. of contigs	Length (bp)
Chr1	263	94,216,414
Chr2	314	91,501,843
Chr3	121	74,646,503
Chr5	202	67,983,564
Chr4	155	65,611,511
Chr6	79	54,167,312
Chr8	177	48,146,360
Chr7	88	43,255,712
Chr9	110	28,073,217
Chr10	57	24,627,475
Total number of contigs	18	00
Total length of contigs (bp)	599,10	03,029
Total number of anchored contigs	15	66
Total length of chromosome level assembly (bp)	592,22	29,911
Number of unanchored contigs	23	34
Length of unanchored contigs	7,028	3,718
Anchor rate (%)	98.	.83

Table 2. Chromosome-based statistics of the *E. onukii* genome

		Chemor	reception				Detoxific	ation	
Insect species	Or	Gr	Ir	OBP	CSP	COE	ABC	GST	P450
A. pisum	79	77	11	15	11	57	187	22	83
N. lugens	50	10	25	11	17	79	40	11	67
E. onukii	20	12	23	5	26	77	29	30	103
P. americana	154	522	640	6	11	90	115	39	178
A. mellifera	163	10	9	21	6	24	41	11	46
T. castaneum	299	220	23	49	20	47	73	36	131
A. gambiae	79	76	55	82	8	40	55	28	105
A. aegypti	131	79	135	111	43	49	69	26	160
D. melanogaster	62	68	66	51	4	35	56	38	85
B. mori	73	76	24	44	20	76	53	20	81
P. xylostella	83	26	49	64	20	62	82	22	85

Table 3. Numerical comparison of genes related to chemoreception and detoxification among different insect species

		, 0		
Group	No. populations	No. SNPs	No. Indels	π
Group I	4	52,089,001	14,913,280	0.004062
Group II	28	472,824,888	135,096,045	0.004744
Group III	22	279,181,783	79,642,551	0.004662

|--|